



Vibration and Modal Analysis Basics

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USPAS Course:

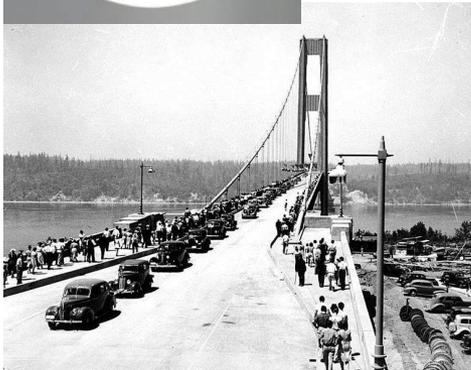
SRF Technology: Practices and Hands-On Measurements

January 2015

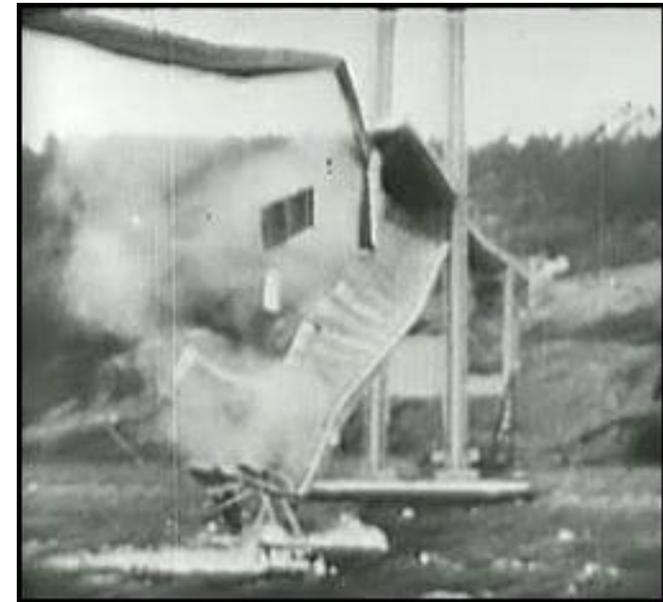
Vibration and Modal Analysis Basics



Vibration and Modal Analysis Basics



April 1940



November 1940

Vibration and Modal Analysis Basics

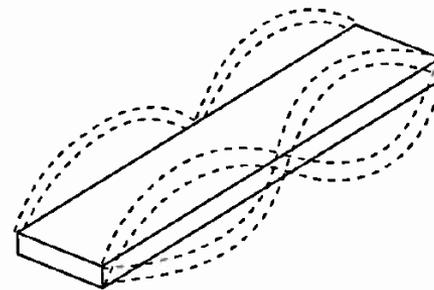
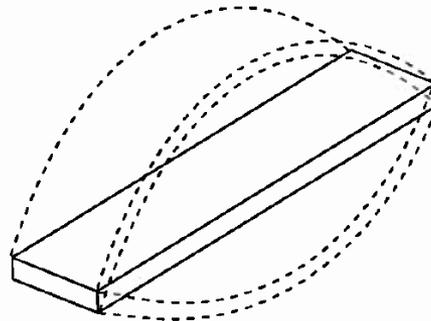
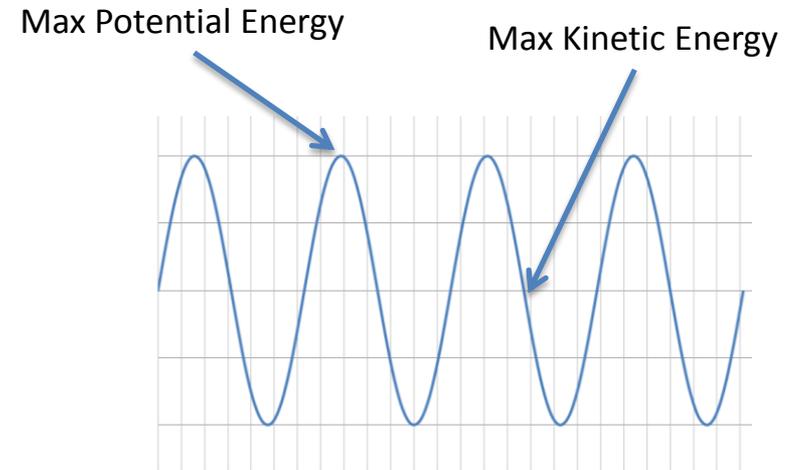
Objectives

- Why study vibrations?
 - So my machine doesn't get screwed up
- What is modal analysis?
 - Analytical Modal Analysis (Calculations)
 - Experimental Modal Analysis (Measurements)
- How are real structures analyzed?

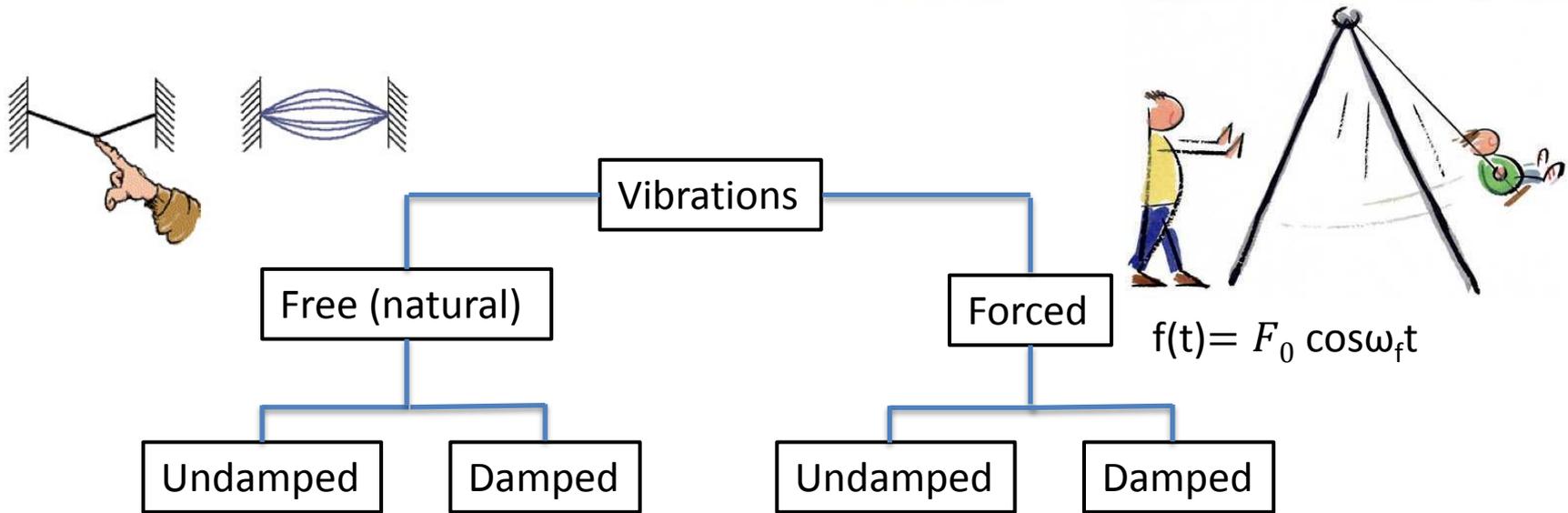
Vibration and Modal Analysis Basics

What is vibration?

- Stored energy within a structure is transformed between potential (elastic deformation) and kinetic (moving mass) energy. The oscillatory motion is vibration.
- The stored energy results in standing waves (modes) at inherent natural frequencies.



Types of Vibrations



Undamped

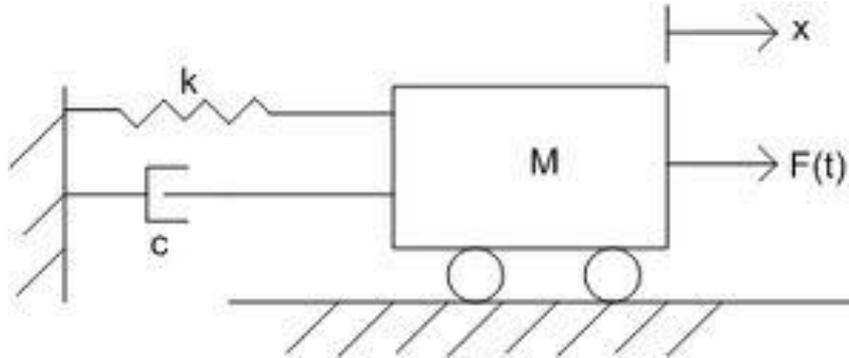
- Idealized
- No friction
- No energy dissipation
- Perpetual Motion

Damped

- Real Structures
- Energy is dissipated
- Viscous Damping (linear models)
 - Damping Force proportional to velocity

SDOF System Model

Single Degree of Freedom (SDOF) Mechanical System Model



Newton

$$\sum f = ma$$

Equation of Motion

$$m \frac{d^2x}{dt^2} + c \frac{dx}{dt} + kx = f(t)$$

Acceleration Velocity Displacement

SDOF System Model

➤ Undamped Free Vibrations

$$m \frac{d^2x}{dt^2} = -kx$$

➤ Solution

$$x(t) = x_0 \cos \omega t + \left(\frac{v_0}{\omega}\right) \sin \omega t$$

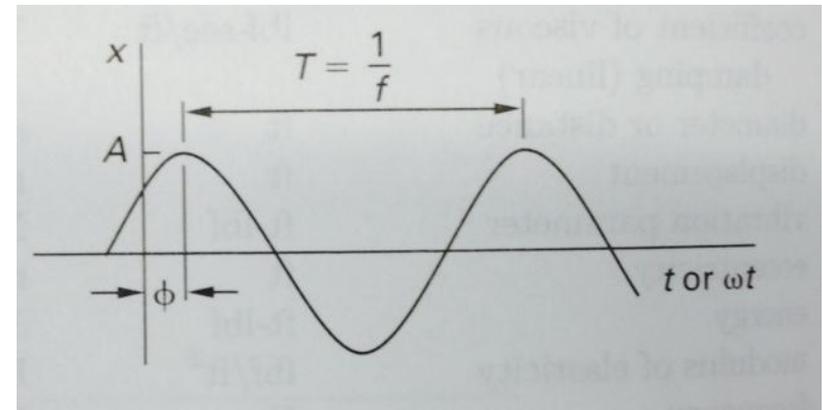
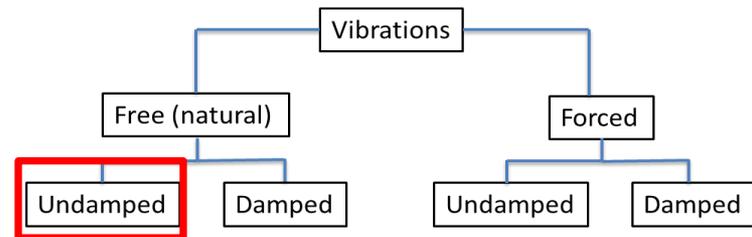
$$x(t) = A \cos(\omega t - \phi)$$

$$A = \sqrt{x_0^2 + \left(\frac{v_0}{\omega}\right)^2} \quad \phi = \tan^{-1} \left(\frac{v_0}{\omega x_0}\right)$$

$$\omega = \sqrt{\frac{k}{m}} \quad \text{Angular Natural Frequency} \quad \left(\frac{\text{rad}}{\text{sec}}\right)$$

$$f = \frac{\omega}{2\pi} \quad \text{Linear Natural Frequency} \quad (\text{hertz})$$

$$c = 0 \quad f(t) = 0$$



The initial conditions (displacement, velocity) do not affect the natural frequency. (Just the amplitude)

SDOF System Model

➤ Damped Free Vibrations

$$m \frac{d^2x}{dt^2} + c \frac{dx}{dt} + kx = 0$$

Linear, 2nd order differential equation

- homogeneous

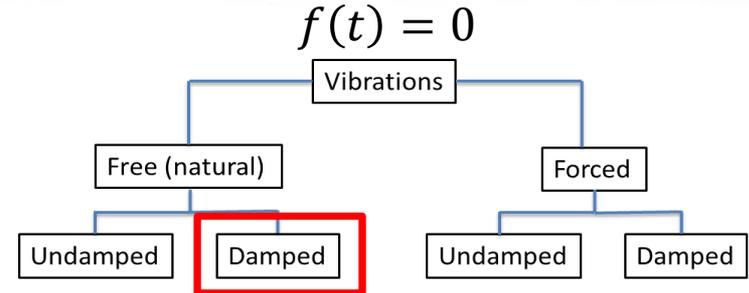
➤ General Solution

$$x(t) = A e^{r_1 t} + B e^{r_2 t}$$

$$r_1, r_2 = \frac{-c}{2m} \pm \sqrt{\left(\frac{c}{2m}\right)^2 - \frac{k}{m}}$$

$$\zeta = \frac{c}{2m\omega} = \frac{c}{c_{critical}} \quad \text{Damping Ratio}$$

$$r_1, r_2 = -\zeta\omega \pm \omega\sqrt{\zeta^2 - 1}$$



➤ A & B from initial conditions

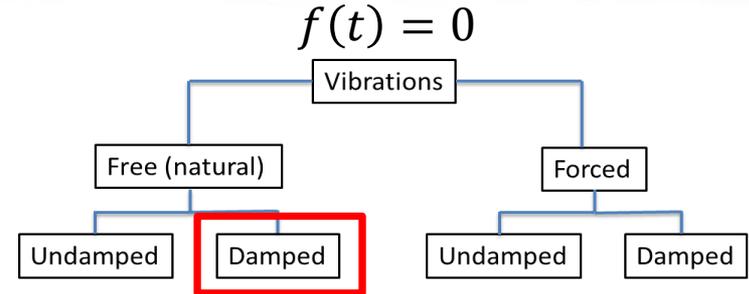
➤ 3 Distinct Solution Sets
Correspond to the Damping Ratio

1. Underdamped $\zeta < 1$
2. Overdamped $\zeta > 1$
3. Critically Damped $\zeta = 1$

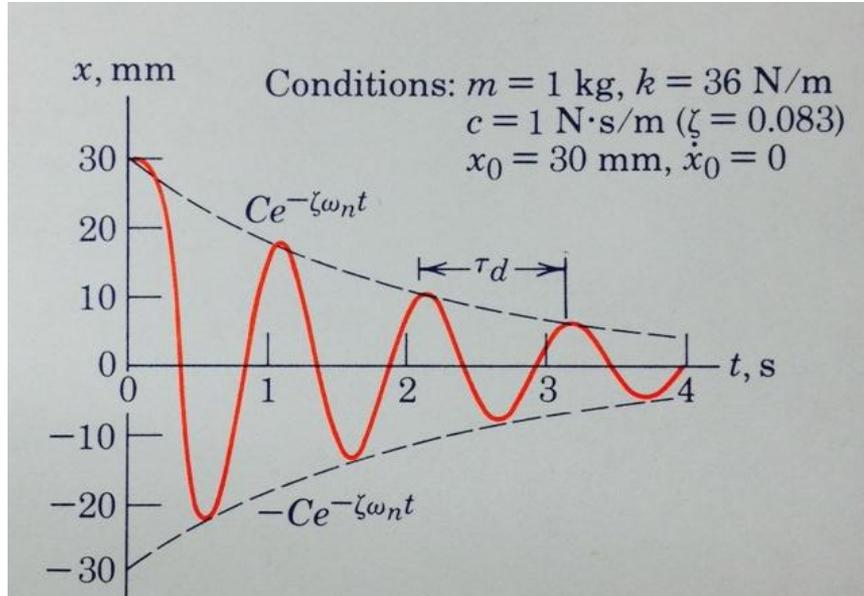
SDOF System Model

➤ Damped Free Vibrations

- Underdamped $\zeta < 1$



$$\text{➤ } x(t) = e^{-\zeta\omega t} \left[\frac{v_0 + \zeta\omega x_0}{\omega\sqrt{1-\zeta^2}} \sin(\omega t\sqrt{1-\zeta^2}) + x_0 \cos(\omega t\sqrt{1-\zeta^2}) \right]$$



Damped Natural Frequency

$$\omega_d = \omega\sqrt{1-\zeta^2}$$

SDOF System Model

➤ Damped Free Vibrations

- Critically Damped $\zeta = 1$

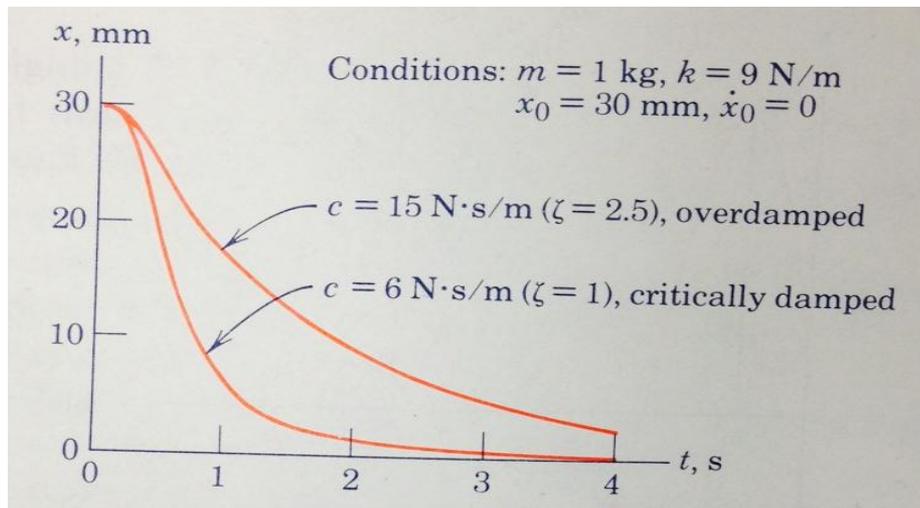
$$x(t) = (v_0 + \omega x_0)t e^{-\omega t} + x_0 e^{-\omega t}$$

- Overdamped $\zeta > 1$

$$x(t) = A e^{(-\zeta + \sqrt{\zeta^2 - 1})\omega t} + B e^{(-\zeta - \sqrt{\zeta^2 - 1})\omega t}$$

$$A = \frac{v_0 + (\zeta + \sqrt{\zeta^2 - 1})\omega x_0}{2\omega\sqrt{\zeta^2 - 1}}$$

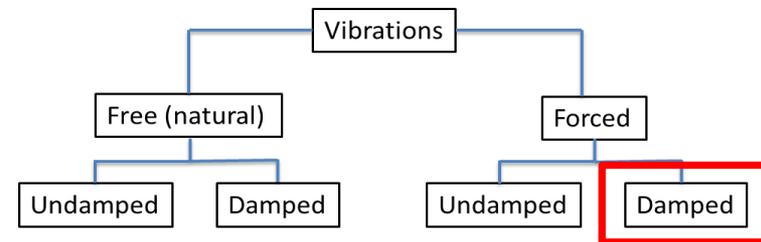
$$B = \frac{-v_0 - (\zeta - \sqrt{\zeta^2 - 1})\omega x_0}{2\omega\sqrt{\zeta^2 - 1}}$$



SDOF System Model

➤ Damped Forced Vibrations

$$m \frac{d^2x}{dt^2} + c \frac{dx}{dt} + kx = F_0 \sin(\omega_f t)$$



➤ Solution consists of a complementary (transient) and a particular (steady state) solution

- Complementary $F_0=0$; homogeneous DE

$$x(t) = x_c(t) + x_p(t)$$

$$x_p(t) = D \sin(\omega t - \phi)$$

$$D = \frac{F_0}{\sqrt{(k - m\omega_f^2)^2 + (\omega_f)^2}}$$

$$\phi = \tan^{-1} \left(\frac{c\omega_f}{k - m\omega_f^2} \right)$$

Damped Magnification Factor

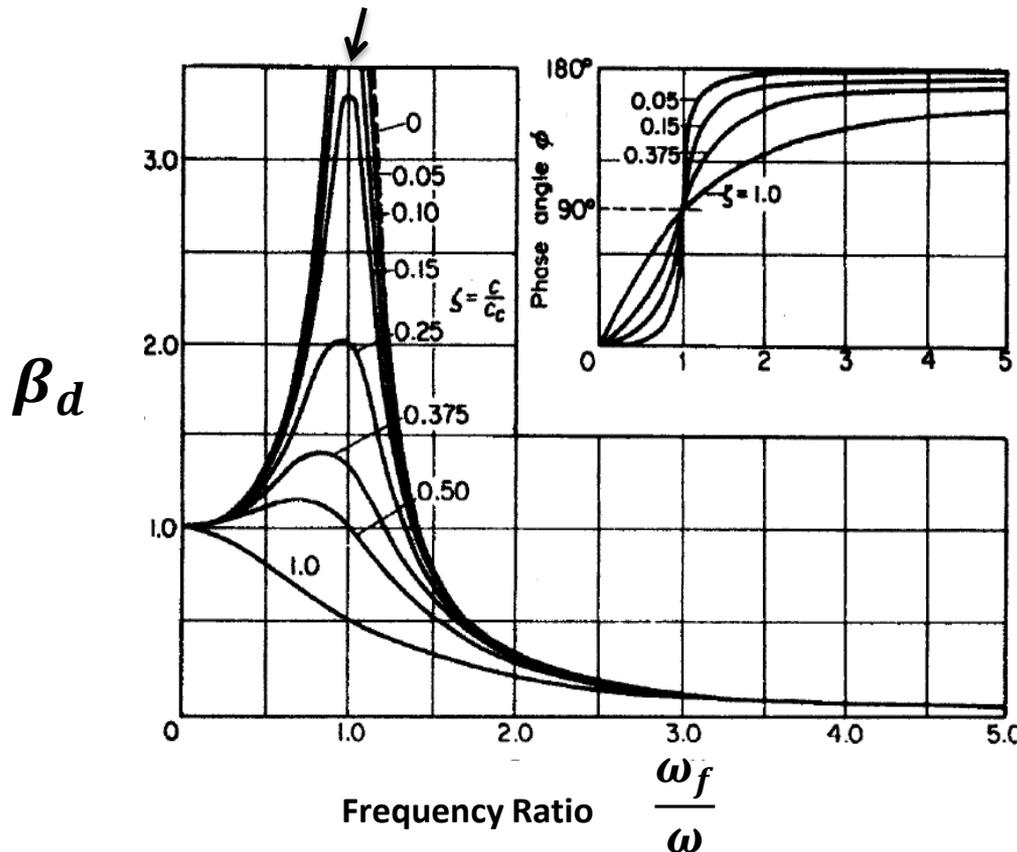
$$\beta_d = \left| \frac{D}{\frac{F_0}{k}} \right| = \frac{1}{\sqrt{\left(1 - \left(\frac{\omega_f}{\omega}\right)^2\right)^2 + \left(2\zeta \frac{\omega_f}{\omega}\right)^2}}$$

SDOF System Model

➤ Forced Response of a SDOF System

- How much energy is leaving before next force input?

RESONANCE



Energy is readily absorbed by a system near its natural frequency

Transmissibility

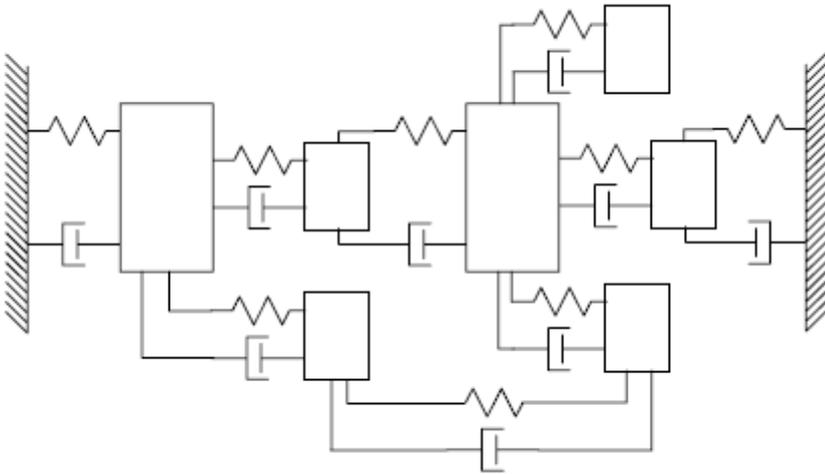
$$TR = \left| \frac{F_{transmitted}}{F_{applied}} \right| = \beta_d \sqrt{1 + \left(2\zeta \frac{\omega_f}{\omega} \right)^2}$$

Amplification Ratio

$$AR = \frac{\text{displacement}_{transmitted}}{\text{displacement}_{applied}} = TR$$

MDOF System

Multiple Degree of Freedom (MDOF) Mechanical System Model



- Equation of Motion for 2 DOF system

$$\begin{bmatrix} m_1 & \\ & m_2 \end{bmatrix} \begin{Bmatrix} \ddot{x}_1 \\ \ddot{x}_2 \end{Bmatrix} + \begin{bmatrix} (c_1 + c_2) & -c_2 \\ -c_2 & c_2 \end{bmatrix} \begin{Bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{Bmatrix} + \begin{bmatrix} (k_1 + k_2) & -k_2 \\ -k_2 & k_2 \end{bmatrix} \begin{Bmatrix} x_1 \\ x_2 \end{Bmatrix} = \begin{Bmatrix} f_1(t) \\ f_2(t) \end{Bmatrix}$$

- Model Complex Systems
- Approximate Continuous Real Systems

- Matrix Formulation

$$[M]\{\ddot{x}\} + [C]\{\dot{x}\} + [K]\{x\} = \{F\}$$

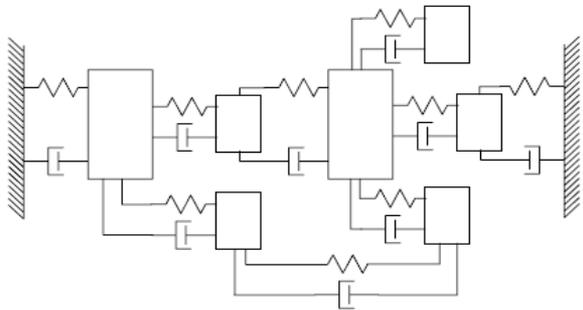
MDOF System

$$[M]\{\ddot{x}\} + [C]\{\dot{x}\} + [K]\{x\} = \{F\}$$

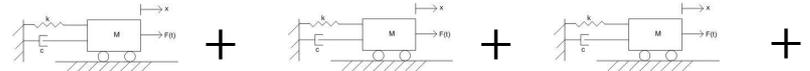
- An eigensolution yields eigenvalues (frequency) and eigenvectors (mode shapes) for each mode of the system.
- Modal Transformation Equation is used to uncouple the set of highly coupled equations

$$\{x\} = [U]\{p\}$$

$$[M]\{\ddot{x}\} + [C]\{\dot{x}\} + [K]\{x\} = \{F\} \quad \longrightarrow \quad [\bar{M}]\{\ddot{p}\} + [\bar{C}]\{\dot{p}\} + [\bar{K}]\{p\} = [U]^T\{F\}$$



Independent SDOF Systems



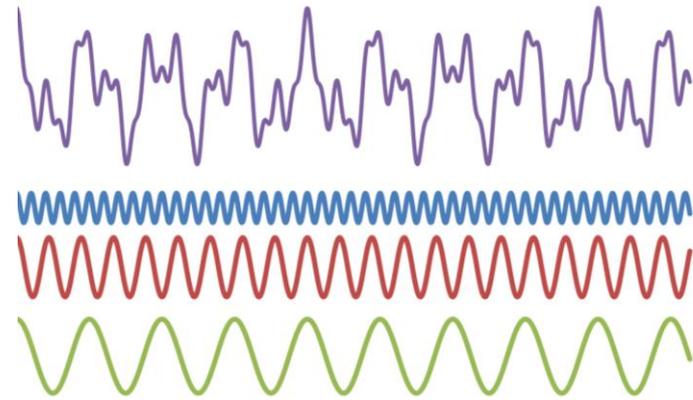
1. Natural Frequency
2. Damping
3. Mode Shape

Vibration and Fourier Analogy

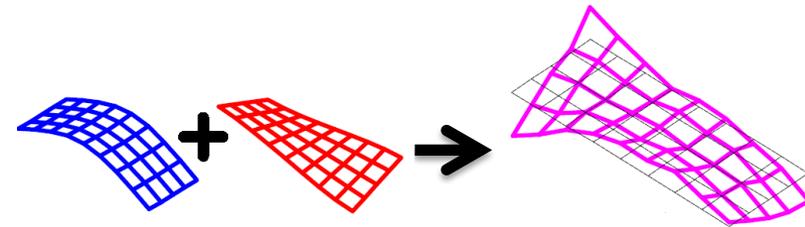
What is modal analysis?

- The process of characterizing the dynamic response of a system in terms of its modes of vibration.
- Any periodic function can be represented as a series of sinusoidal functions.
- Each individual sinusoid is defined by its amplitude, frequency and phase.

$$\sum_{n=1}^N A_n \sin(2\pi f_n t + \phi_n)$$

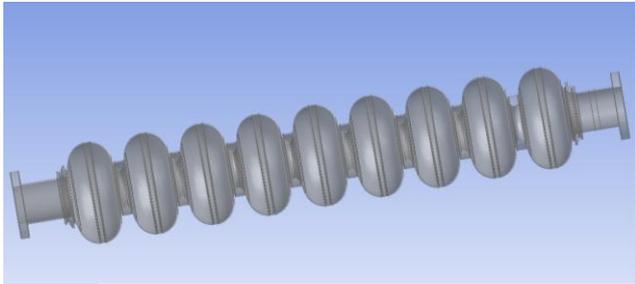


- Vibration of a real structure can be represented as a series of modal contributions.
- Each mode is defined by its natural frequency, damping, and mode shape.

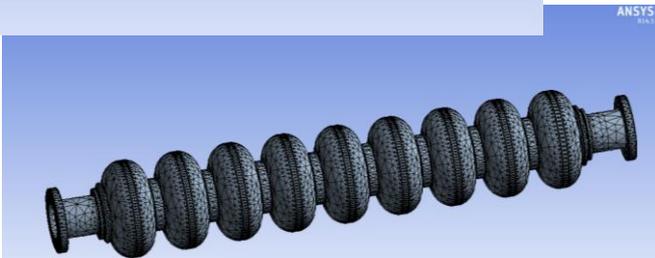


Analytical Modal Analysis

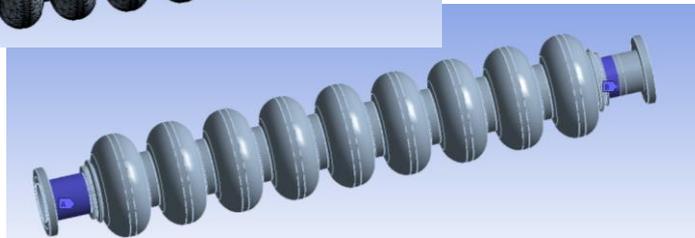
- Modal Analysis is the process of characterizing the dynamic response of a system in terms of its modes of vibration.
- Analytical Modal Analysis depends on the generation of the equations of motion of a system through a finite element model.



- 3D model typically generated with CAD tool



- Import & mesh with FEA tool



- Requires good material property info

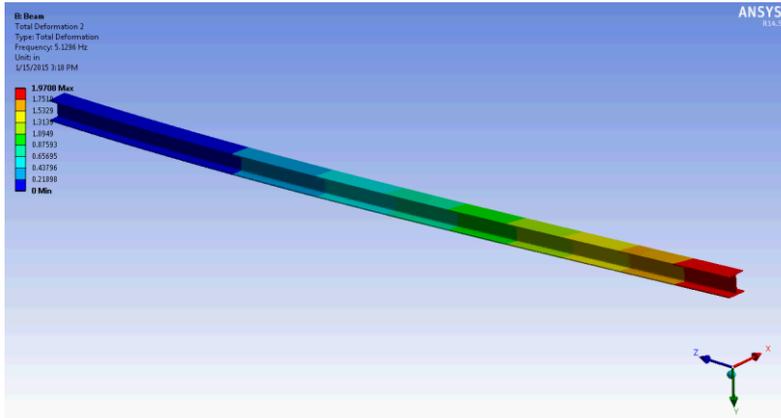
- Application of accurate boundary conditions is vitally important for reasonable results

1000's of simultaneous equations are common for FEA modal models

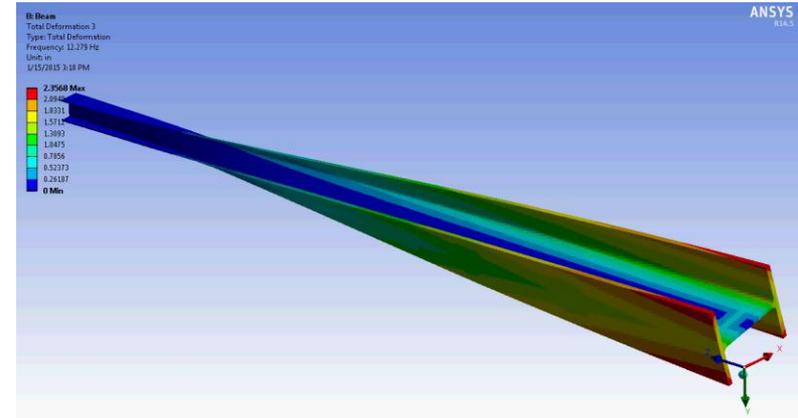
Analytical Modal Analysis

- Output is an ordered list of frequencies and the corresponding mode shapes

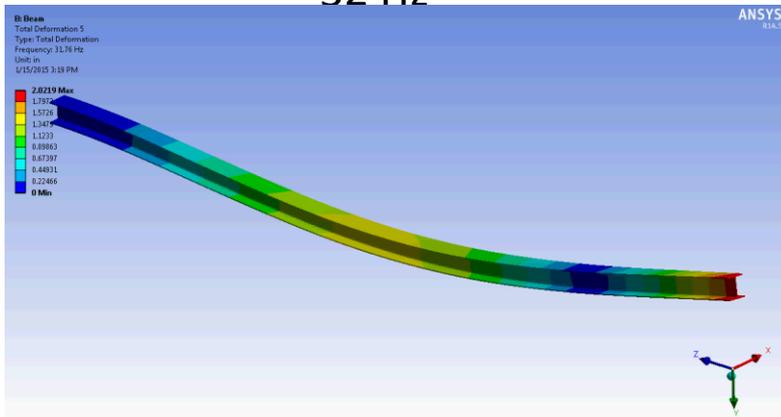
5 Hz



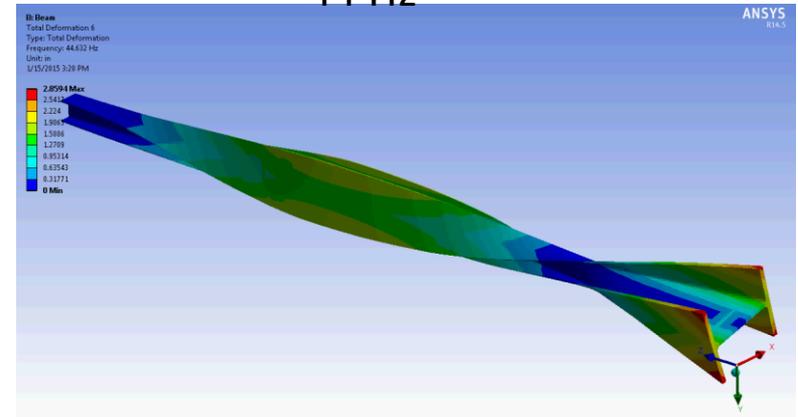
12 Hz



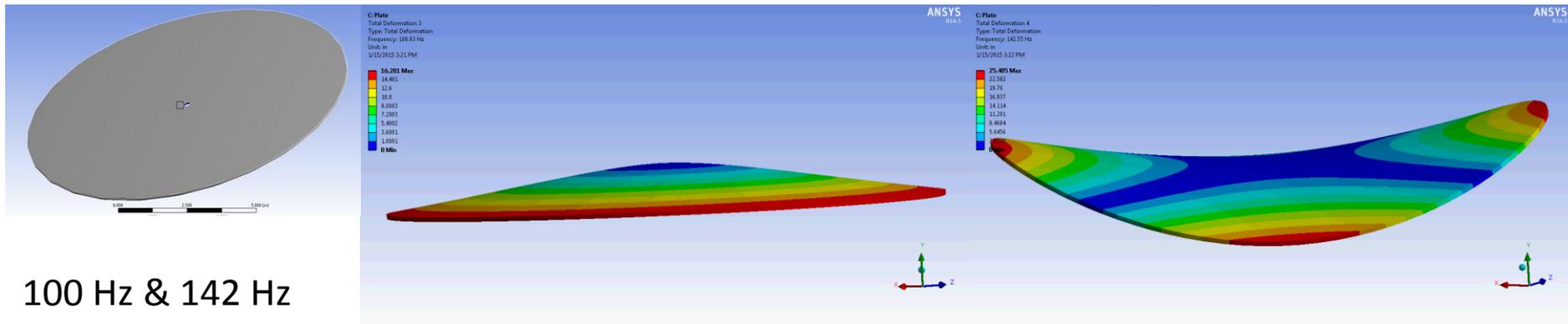
32 Hz



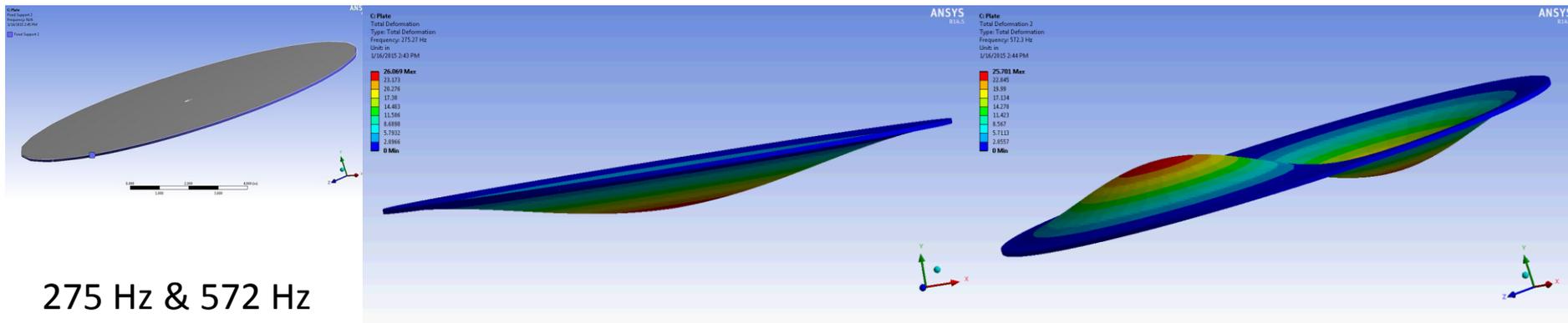
44 Hz



Analytical Modal Analysis



100 Hz & 142 Hz



275 Hz & 572 Hz

➤ Note,

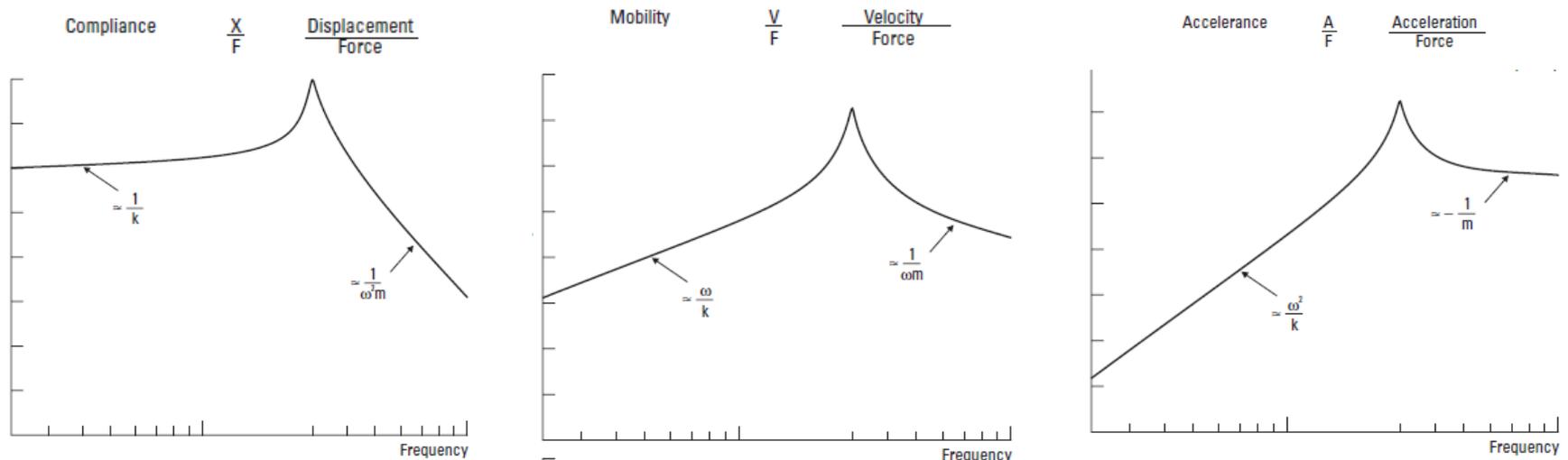
- The individual mode animations do NOT reflect an expected deflection shape
- The modes are a function of the inherent mass and stiffness of the structure (no loads are applied)

Experimental Modal Analysis

- Modal Analysis is the process of characterizing the dynamic response of a system in terms of its modes of vibration.
- Experimental Modal Analysis depends on parameter estimation techniques to extract modal information from experimental data.

Frequency Response Function (FRF)

- Ratio of the output response of a structure to the applied force
- The applied force and structure response are measured simultaneously
- Time domain data is transformed to frequency domain (FFT)

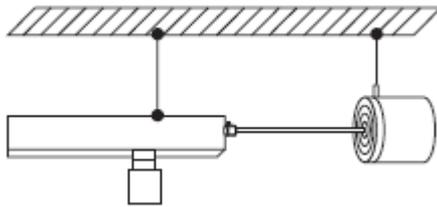


Experimental Modal Analysis

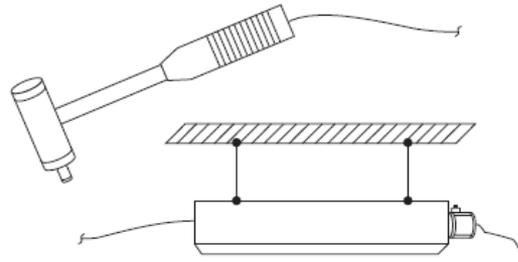
➤ Components used to take measurements

- Want to accurately measure force and response simultaneously

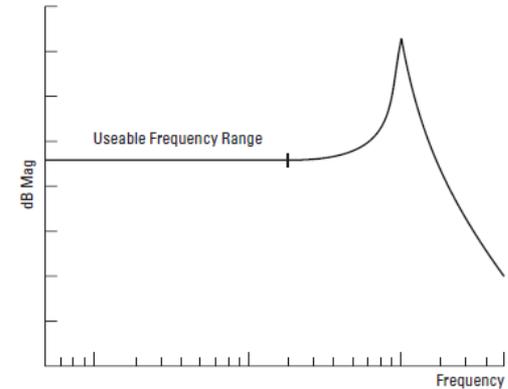
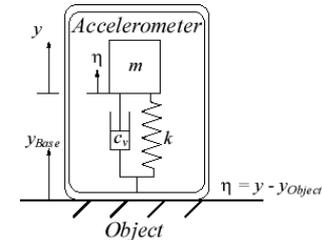
Shaker - $F(t)$



Hammer - $F(t)$

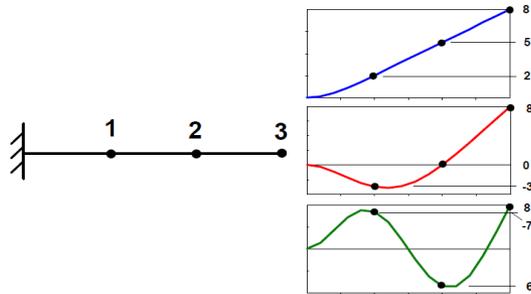


Accelerometer - $a(t)$



Experimental Modal Analysis

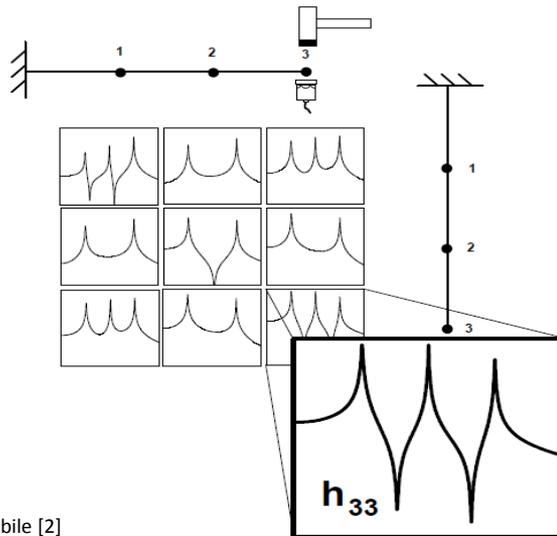
- FRFs are used to generate modal data
 - Consider Simple 3DOF beam model



- 3 possible locations for force application
- 3 locations for response measurement
- 9 possible FRFs; organized in matrix form
- Notation convention
 - $h_{\text{row,column}}$ $h_{\text{output,input}}$

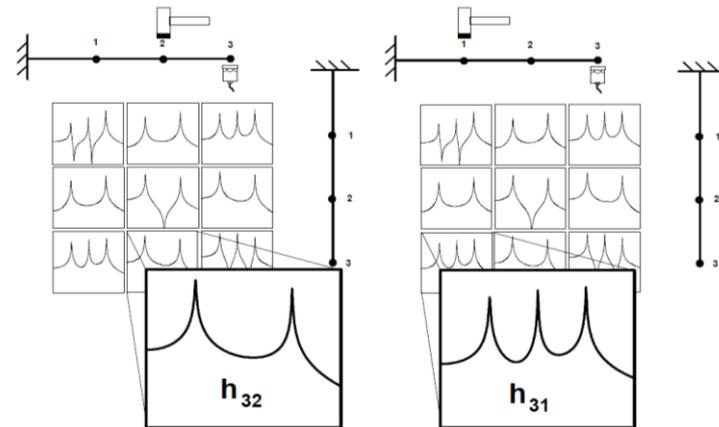
Drive Point FRF

-Excitation and measurement at same location



Cross FRF

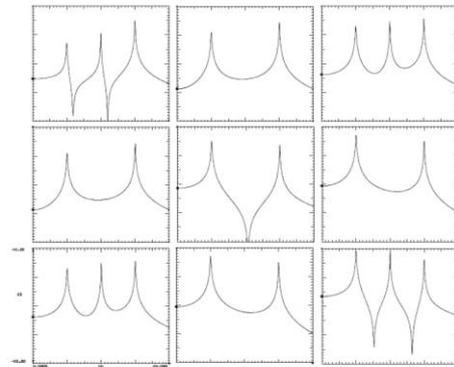
-Excitation and measurement at different location



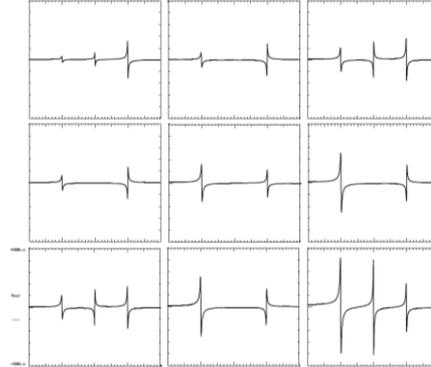
Images from Peter Avitabile [2]

Experimental Modal Analysis

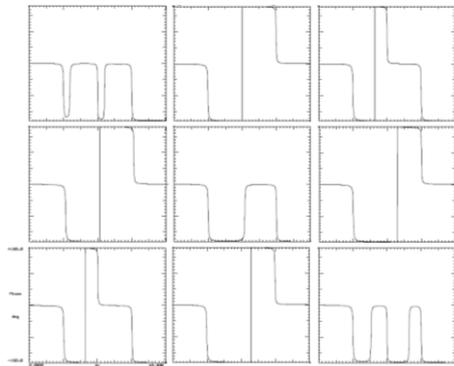
- Due to the Fast Fourier Transformation the FRFs are complex valued quantities
 - Magnitude & Phase or Real & Imaginary



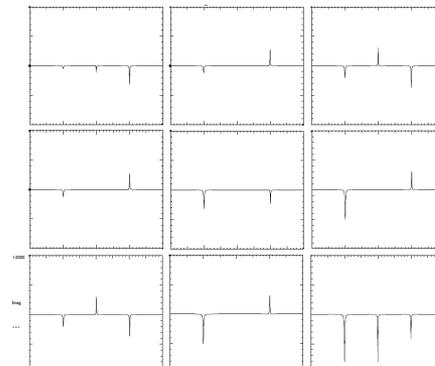
Magnitude



Real



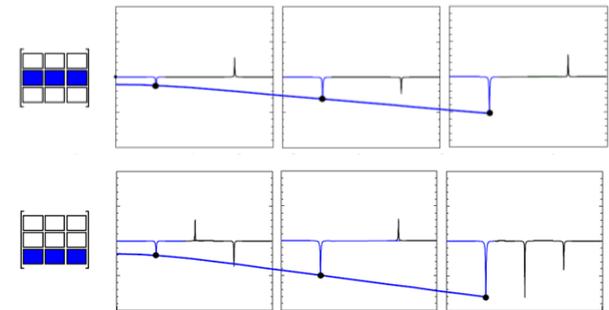
Phase



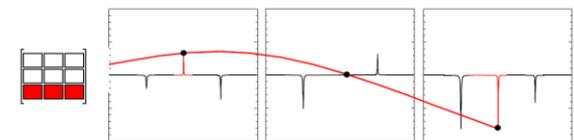
Imaginary

- FRFs matrix used to determine
 1. Natural Frequency
 2. Damping
 3. Mode Shape

1st Mode



2nd Mode

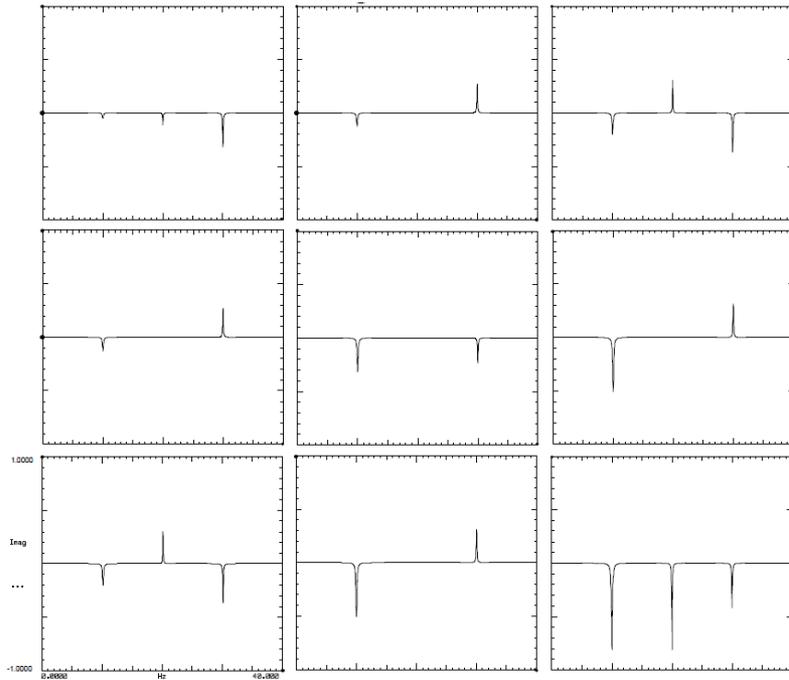


Images from Peter Avitabile [2]

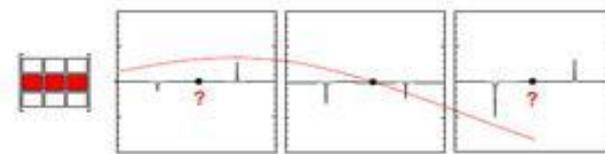
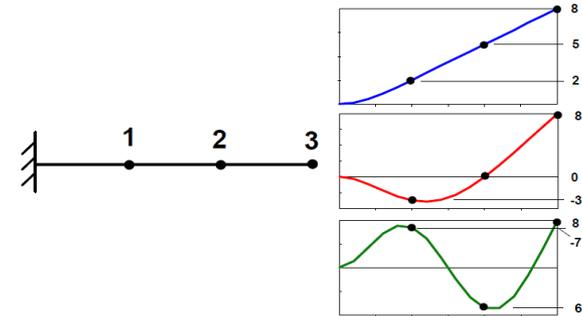
Experimental Modal Analysis

➤ FRFs

- Reference points cannot be located at the node of a mode.



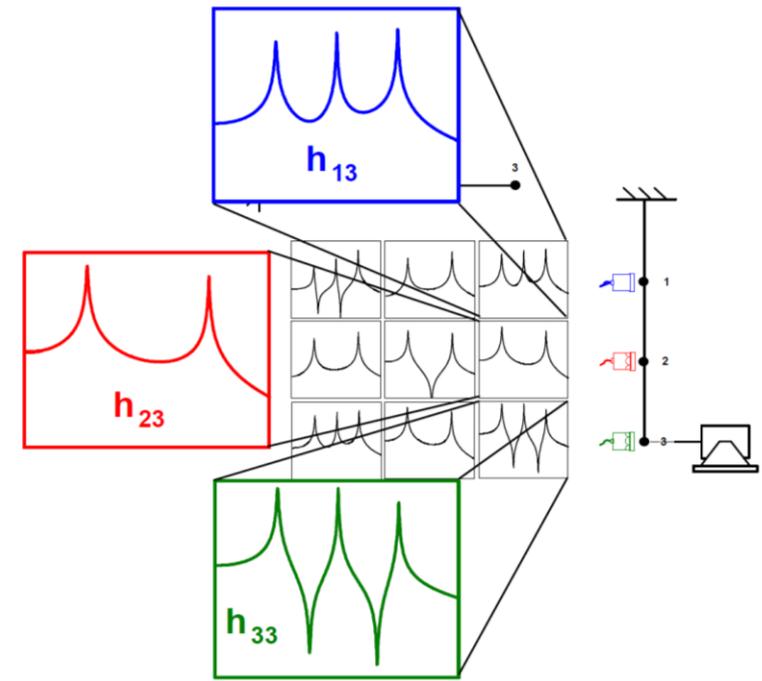
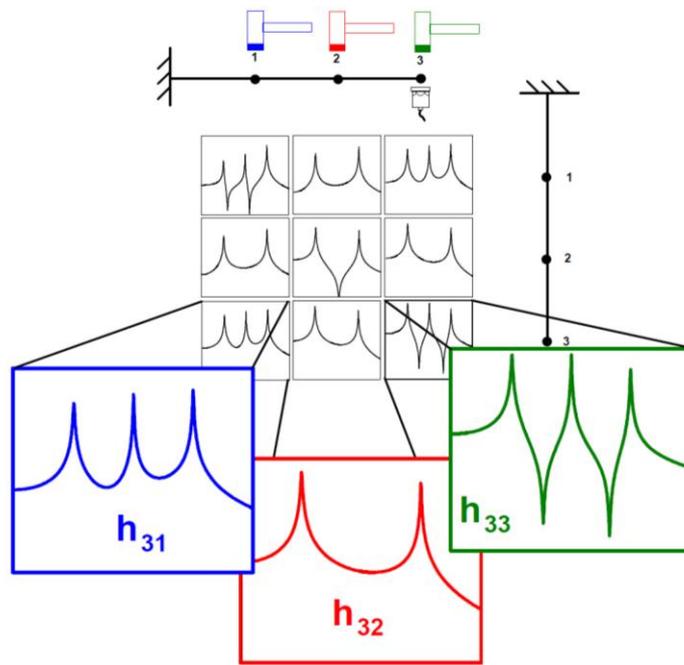
Imaginary



Experimental Modal Analysis

➤ FRFs

- Roving Impact
 - Force input is moved
 - Transducer stationary
- Roving Response
 - Force input is stationary
 - Transducer is moved



Images from Peter Avitabile [2]

Experimental Modal Analysis

➤ Practical Considerations

- Theoretically no difference between shaker test and impact (hammer) test
- Ideal
 - No interaction between applied force and the structure
 - Massless transducer
- Reality
 - Collecting data on the structure plus all the measurement apparatus
 - Structure supports
 - Mass of transducers
 - Stiffening effects of shaker attachment
- Impact tests
 - Typically faster, lower cost, and easier to implement
 - Hammer tip hardness must be matched to the frequency range of modes desired
 - S/N ratio may be poor
 - Windowing required (less accuracy in predicting damping)
- Shaker tests
 - Better precision, enables frequency sweep (targeted investigations)
 - Setup is timely and can be difficult

Experimental Modal Analysis

➤ Actual FRF measurements

Remove high frequency signals

More bits, better resolution

Minimize leakage

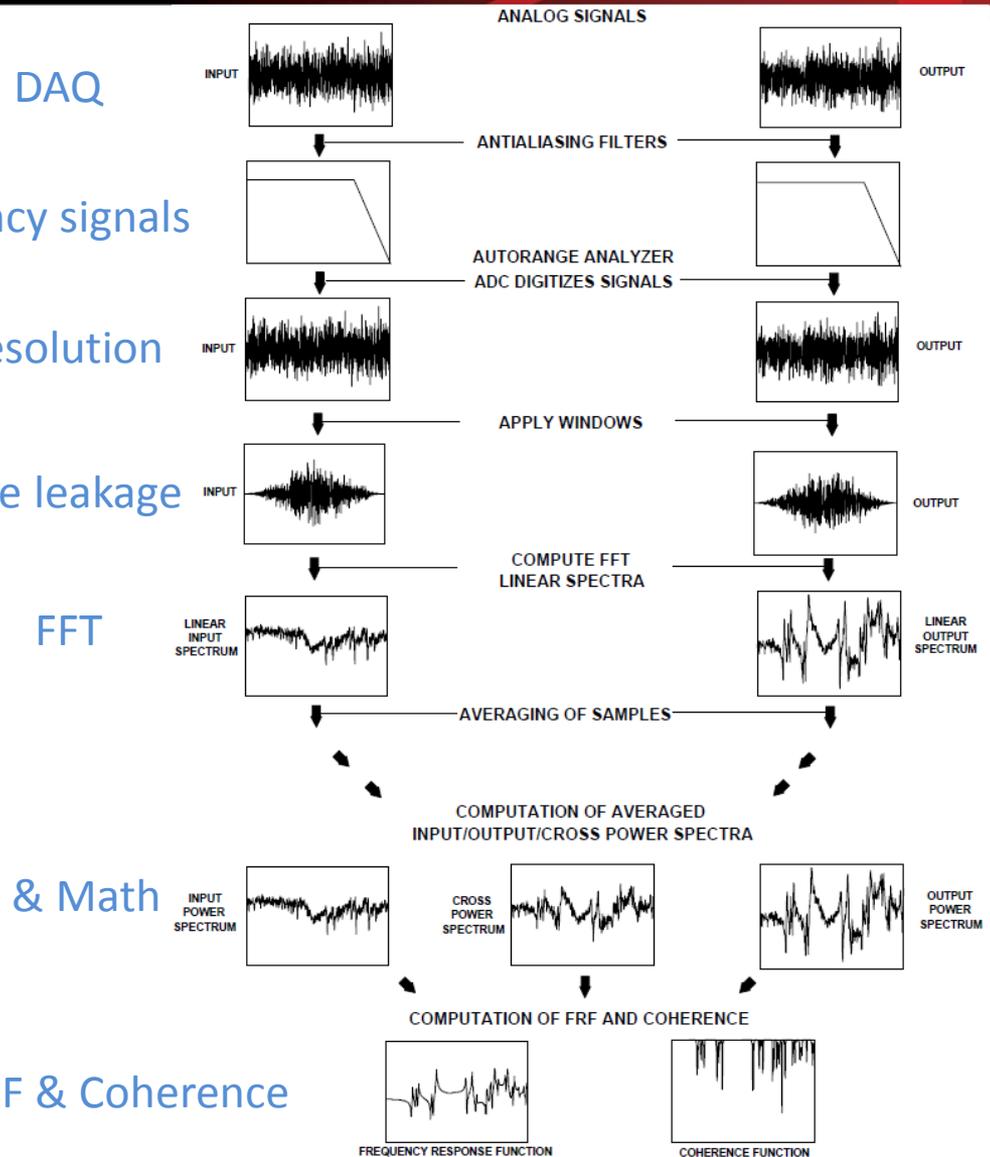
FFT

Averaging & Math

FRF & Coherence

FFT process requires the sampled data consist of a complete representation of the data for all time or contain a periodic repetition of the measured data. When this is not satisfied (leakage) a serious distortion of the data in the frequency domain is the result.

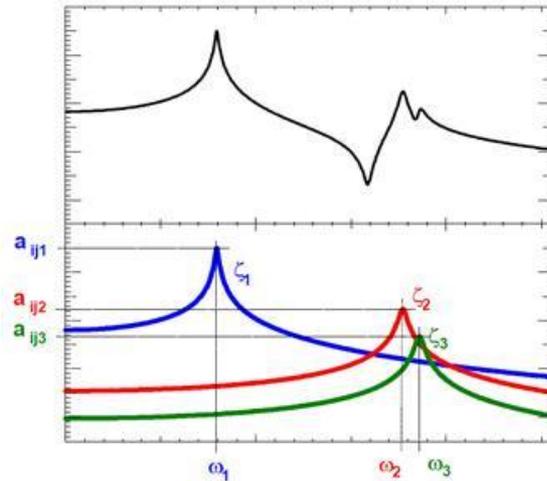
Coherence function is used as a data quality assessment tool. It identifies how much of the output signal is related to the input signal.



Images from Peter Avitabile [2]

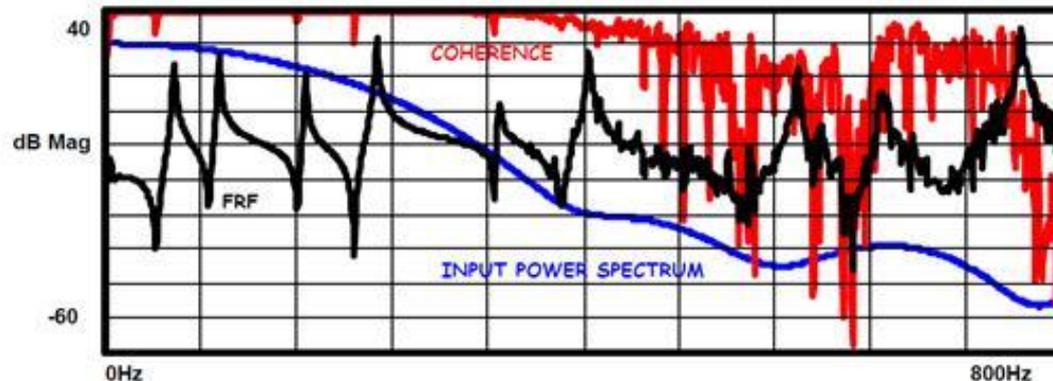
Experimental Modal Analysis

➤ Actual FRF measurements



Modal Parameter estimation (Curve Fitting)

- FRF Broken down into multiple SDOF systems
- Determine frequency, damping, and mode shape
- Multiple techniques & automated algorithms are utilized to extract data.



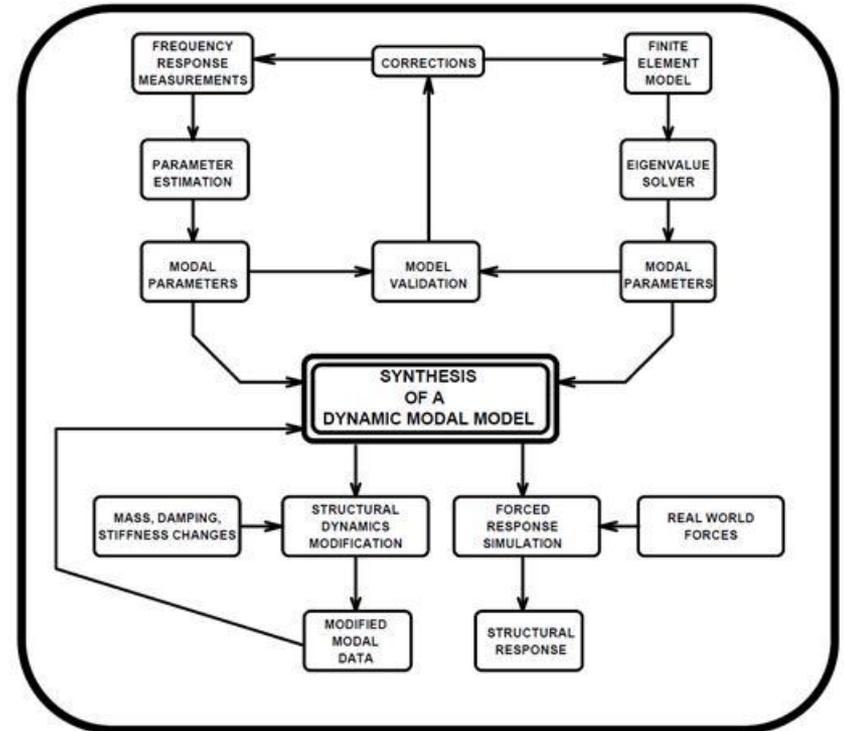
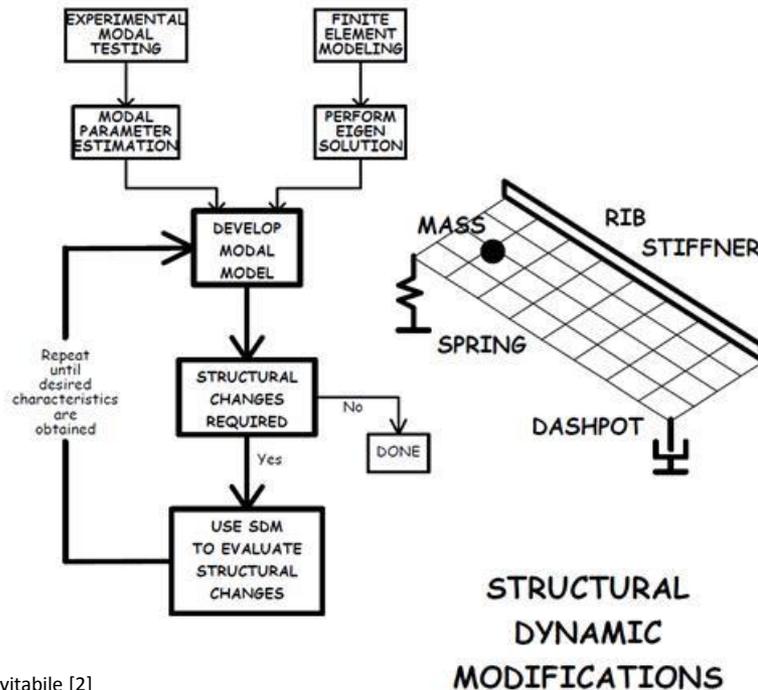
- Insufficient input power at higher frequencies
- Coherence good at low frequencies, poor at high frequencies.

Images from Peter Avitabile [2]

Experimental Modal Analysis

OK, I've got my frequencies and mode shapes. Now what?

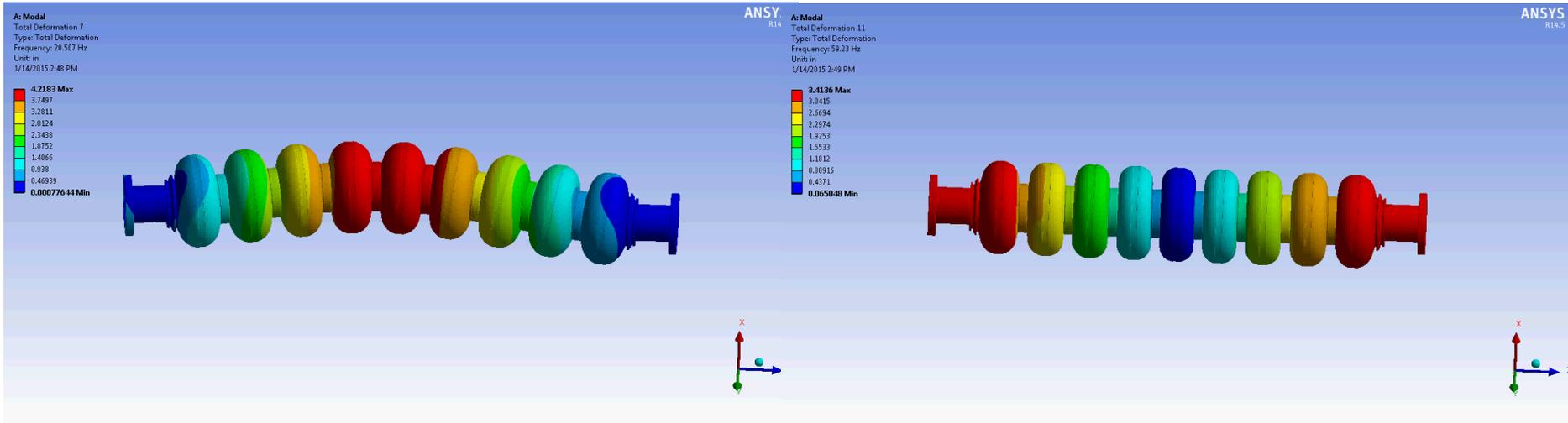
- Visualization of mode shapes is invaluable in the design (& redesign) process.
- Evaluate the most cost effective design modifications.
- Identifies areas of weakness in a system or structure.
- Predict system response to proposed loads or operating conditions.
- Update / correlate FEA models.



Images from Peter Avitabile [2]

Vibration and Modal Analysis Basics

OK, fix your beams, buildings, & bridges. Why do I care?



- SRF cavities have mechanical modes too !
 - Example: JLAB 12GeV cavities tuning sensitivity = 300 Hz / micron
 - Low frequency oscillations cause cavity target frequency to vary (1497.000... MHz)
 - Accelerating gradient per supplied RF power degraded

References

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“Mechanical Engineering Reference Manual”, Professional Publications, Inc., 2013
ISBN: 978-1-59126-414-9
2. Peter Avitabile
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