

# Superconducting RF Cavity Basics

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USPAS Course:

SRF Technology: Practices and Hands-On Measurements

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# Suggested Literatures

- H.Padamsee, J. Knobloch, T. Hays
   "RF Superconductivity for Accelerators", John Wiley&Sons, Inc; ISBN 0-471-15432-6
- Proceedings of the Workshops on RF Superconductivity 1981 – 2014
  - Available online from <u>www.jacow.org</u> up to 2013
- A.W.Chao, M. Tigner

"Handbook of Accelerator Physics and Engineering", World Scientific PublishingCo, P.O.Box 128, Farrer Road, Singapore, ISBN 9810235003



# Outline

- General introduction and fundamentals
  - Timeline leading to SRF based accelerators
  - Cavity fundamentals
  - Losses in normal conductor and superconductor
  - Critical field
- Limit to SRF cavity performance
  - Gradient limit
  - $-Q_0$  limit
  - Gradient progress and SRF based accelerators
- SRF cavity fabrication and processing



#### General Introduction and Fundamentals





#### Milestones that led to accelerators based on SRF







Since then, many sc accelerators were built and we are constructing and making plans for many new facilities.









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### **RF Resonator/Cavity**



**S**IA

#### Cavity Modes

- Fields in the cavity are solutions to the wave equation
- Subject to the boundary conditions

 $\left(\nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right) \left\{ \begin{array}{c} \mathbf{E} \\ \mathbf{H} \end{array} \right\} = \mathbf{0}$ 

$$\hat{n} \times \mathbf{E} = 0, \quad \hat{n} \cdot \mathbf{H} = 0$$

- Solutions are two families of modes with different eigenfrequencies
  - TE modes have only transverse electric fields
  - TM modes have only transverse magnetic fields (but longitudinal component for E)
- TM modes are needed for acceleration. Choose the one with the lowest frequency (TM<sub>010</sub>)

For pillbox (no beam tubes) solution is:

$$E_z = E_0 J_0 \left(\frac{2.405\rho}{R}\right) e^{-i\omega t}$$
$$H_\phi = -i \frac{E_0}{\eta} J_1 \left(\frac{2.405\rho}{R}\right) e^{-i\omega t}$$
$$\omega_{010} = \frac{2.405c}{R} \quad \eta = \sqrt{\frac{\mu_0}{\epsilon_0}}$$



Note that the frequency scales inversely with the linear dimension of the cavity (call this "a")

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## **Cavity Fundamentals**



E.g.: For 1.5 GHz cavity and speed of light electrons ( $\beta$  = 1),  $L_{acc}$  = 10 cm

# Accelerating Voltage/Field (β=1)

#### • How much energy gain can we expect?

Integrate the E-field at the particle position as it traverses the cavity:

 $V_{\rm c} = \left| \int_0^d E_z(\rho = 0, z) e^{i\omega_0 z/c} dz \right| \text{ (assume speed of light electrons)}$ 

For the pillbox cavity this is

$$V_{\rm c} = E_0 \left| \int_0^L \exp\left(\frac{i\omega_0 z}{c}\right) dz \right| = L E_0 \frac{\sin\left(\frac{\omega_0 L}{2c}\right)}{\frac{\omega_0 L}{2c}} = \frac{2}{\pi} E_0 L$$

We can define the accelerating field as E

$$a_{\rm acc} = \frac{V_{\rm c}}{L} = \frac{2}{\pi} E_0$$

Active acceleration gap = half wavelength

Transit time factor



cT

# Accelerating Voltage/Field(β<1)

Energy gain: 
$$\Delta W_p = q \int_{-L/2}^{L/2} E_z(z_p, t) dz_p$$

In a resonator  $E_z(r,z,t) = E_z(r,z)\cos(\omega t + \varphi)$ . (For simplicity, we assume to be on axis so that r=0, and  $E_z(0,z) \equiv E_z(z)$ ). A particle with velocity  $\beta c$ , which crosses z=0 when t=0, sees a field  $E_z(z)\cos(\omega z/\beta c + \varphi)$ .

$$T(\beta) = \frac{\int_{-L/2}^{L/2} E_z(z) \cos\left(\frac{\omega z}{\beta c}\right) dz}{\int_{-L/2}^{L/2} E_z(z) dz}$$

Transit time factor:

Avg. accelerating field:

$$E_{a} = \frac{1}{L} \int_{-L/2}^{L/2} E_{z}(z) dz$$

We obtain a simple espression for the energy gain

$$\Delta W_p = q E_a LT(\beta) \cos \varphi$$

Note: choice of active acceleration gap may vary



### Peak Surface Electric Field

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Figure 11: Ratio of peak surface to accelerating field. Data points joined by lines are for TM structures, isolated points (red squares) are for  $\lambda/2$  structures.

Elliptical cavity TM-class Epk/Eacc ~ 2

Half-Wave Cavity, TEM class Epk/Eacc ~ 3-6

#### Peak Surface Magnetic Field



Surface current ( $\infty$  H) results in power dissipation  $\frac{\mathrm{dP_c}}{\mathrm{ds}} = \frac{1}{2} R_s |H|^2$ proportional to the surface resistance  $(R_s)$ Total power dissipation in cavity wall  $P_c = \frac{1}{2} \int R_s |\mathbf{H}|^2 ds$ Stored energy in cavity  $U = \frac{1}{2} \mu_0 \int_{V} |\mathbf{H}|^2 dv$ Cavity quality factor  $Q_0 = \frac{\omega_0 U}{P_c} = \frac{\omega_0 \mu_0}{R_s} \frac{\int |\mathbf{H}|^2 dv}{\int |\mathbf{H}|^2 dv} = \frac{10^4 \text{ for n.c.}}{10^{10} \text{ for s.c.}}$ Measure of how lossy the cavity material is R.L. Geng Jefferson Lab USPAS SRF Course Jan. 2015 15

$$Q_0 = \frac{\omega_0 U}{P_c} = \frac{\omega_0 \mu_0}{R_s} \frac{\int |\mathbf{H}|^2 \,\mathrm{d}v}{\int |\mathbf{H}|^2 \,\mathrm{d}v} = \frac{G}{R_s} \qquad G = \omega_0 \mu_0 \frac{\int |\mathbf{H}|^2 \,\mathrm{d}v}{\int \int |\mathbf{H}|^2 \,\mathrm{d}v}$$

G is independent of size and material of cavity G is only dependent of cavity shape → Geometry factor

For pill-box cavity, G = 257  $\Omega$ 



Shunt impedance 
$$R_a = \frac{V_c^2}{P_c} \longrightarrow$$
 To get maximum acceleration, maximize shunt impedance

Measure of how much acceleration one gets for a given power dissipation

$$\frac{R_a}{Q_0} = \frac{V_c^2}{\omega_0 U}$$

independent of size and material of cavity only dependent of cavity shape

For pill-box cavity, 
$$\frac{R_a}{Q_0} = 196 \Omega$$





For copper cavities, power dissipation is a constraint, cavity design is driven by this fact

For SRF cavities, power dissipation is minimal, This enables cavity design for specific applications



# Features of SRF Cavity

- Low power dissipation
  - allows high gradient in CW or long-pulsed operation
    - Less number of cells
      - Less disruption to beam
    - Shorter linac and tunnel length
      - Cost saving
  - allows cavity design with large beam tube
    - Many benefits (next slide)



# Features of SRF Cavity

#### Large beam tube & Fewer cells

- Reduces the <u>interaction</u> of the beam with the cavity (scales as size<sup>3</sup>) →
- The beam quality is better preserved (important for, e.g., FELs).
- HOMs are removed easily → better beam stability → more current accelerated (important for, e.g., B-factories)
- Reduce the amount of beam scraping → less activation in, e.g., proton machines (important for, e.g., SNS, Neutrino factory)



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#### Large aperture of SRF cavity relaxes wakefields





- For simplicity, use nearly-free electron model
- Losses given by Ohm's law  $j = \sigma E = \frac{n_e e^2 \tau}{E}$ 
  - $\tau$  is scattering time  $m_e^{-}$ - Electron gains energy between scattering  $\Lambda_v = \frac{-eE\tau}{-}$
- In a cavity, RF magnetic field drives an oscillating current in cavity wall
  - From Maxwell equation

$$\nabla \times \mathbf{B} = \mu j + \mu \varepsilon \frac{\partial \mathbf{E}}{\partial t}$$
  $\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$ 



 $m_{e}$ 

Combine three equations and note the harmonic time dependence e<sup>iωt</sup>

$$\nabla \times \mathbf{B} = \mu j + \mu \varepsilon \frac{\partial \mathbf{E}}{\partial t}$$
  $j = \sigma \mathbf{E}$   $\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$ 

$$-\nabla^{2}\mathbf{B} = \mu\sigma\nabla\times\mathbf{E} - \mu\varepsilon\frac{\partial^{2}\mathbf{B}}{\partial t^{2}} = -\mu\sigma\frac{\partial\mathbf{B}}{\partial t} - \mu\varepsilon\frac{\partial^{2}\mathbf{B}}{\partial t^{2}} = -i\mu\sigma\omega\mathbf{B} + \mu\varepsilon\omega^{2}\mathbf{B}$$

cavity at RF frequency  $\sigma >> \mathcal{E} \omega$ 



Now solve an one dimensional problem at surface of a conductor A uniform magnetic field in y direction

$$\nabla^2 \mathbf{B} - i\mu\sigma\omega \mathbf{B} = 0 \quad \Rightarrow \quad H_y = H_0 e^{-\frac{1+i}{\delta}x}$$

The field decays into the conductor over skin depth



From Maxwell 
$$E_z = -\frac{1+i}{\sigma\delta}H_y$$

A small tangential electric field exists and decays into the conductor

Power loss per unit area

$$P_{loss} = \frac{1}{2} \int_{0}^{\infty} j_{z}^{*} \mathbf{E}_{z} dx = \frac{1}{2} \int_{0}^{\infty} \sigma |\mathbf{E}_{z}|^{2} dx = \frac{1}{2\sigma\delta} H_{0}^{2} = \frac{1}{2} R_{s} H_{0}^{2}$$
  
Surface resistance 
$$R_{s} = \frac{1}{\sigma\delta} = \sqrt{\frac{\pi f\mu}{\sigma}}$$

Copper  $\sigma = 5.8 \times 10^7$  A/Vm,  $\mu_0 = 1.26 \times 10^{-6}$  Vs/Am at 1.3 GHz,  $\delta = 1.8 \ \mu$ m,  $R_s = 9 \ m\Omega$ ,  $Q_0 = 2.9 \times 10^4$  for pill box cavity

Homework: skin depth, surface resistance for cooper at 650 MHz

Surface impedance 
$$Z_s = \frac{E_z}{H_y} = \frac{1+i}{\sigma\delta} = (1+i)\sqrt{\frac{\pi f\mu}{\sigma}} = \sqrt{\frac{\omega\mu}{\sigma}}e^{i\frac{\pi}{4}}$$

#### Surface resistance is just the real part of the surface impedance



#### An Intuitive Model of Surface Resistance

Consider a square sheet of metal and calculate its resistance to a transverse current flow:



The surface resistance  $R_s$  is the resistance that a square piece of conductor opposes to the flow of the currents induced by the RF wave, within a layer  $\delta$ 

#### Superconductivity – Zero DC Resistance

#### Heike Kammerlingh-Onnes, 1911, discovery of SC in mercury



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#### Superconductivity – Meissner Effect

Magnetic field is expelled from a superconductor



Complete magnetic shielding by circulating surface supercurrents



# Energy Gap and Two-Fluid Model



# Losses in Superconductor

- Now look at the RF case
- Cooper pairs have inertia
  - They can not follow the AC field instantly
    - Thus do not shield AC field perfectly
    - A residual field remains
    - The normal electrons are accelerated
       Thus dissipate power
- Scaling of RF surface resistance
  - The faster the field oscillates the less perfect the shielding
    - RF surface resistance increases with frequency
  - The more normal electrons, the lossier the material
    - RF surface resistance deceases with temperature below Tc

### London Penetration Depth

For "superconducting" electrons, there is no scattering  $j_s = -n_s ev$ 

$$m_{e} \frac{\partial v}{\partial t} = -e \mathbf{E} \quad \Rightarrow \quad \frac{\partial j_{s}}{\partial t} = \frac{n_{s}e^{2}}{m_{e}} \mathbf{E} \quad \text{First London equation}$$
Note the harmonic time dependence  $e^{i\omega t}$   $j_{s} = -i\frac{n_{s}e^{2}}{m_{e}\omega}\mathbf{E}$ 
or  $j_{s} = \frac{-i}{\omega\mu_{0}\lambda_{L}^{2}}\mathbf{E}$   $\sigma_{s} = \frac{n_{s}e^{2}}{m_{e}\omega}$  acts as AC conductivity of SC fluid
where  $\lambda_{L} = \sqrt{\frac{m_{e}}{\mu_{0}n_{s}e^{2}}}$  is the London penetration depth

#### Losses in Superconductor

Add currents due to two fluids to get total current  $j = j_n + j_s = (\sigma_n - i\sigma_s)E$ 

The treatment of a superconductor is the same as before,

Just replace  $\sigma_n$  with  $\sigma_n - i\sigma_s$ Surface impedance  $\sqrt{\frac{\omega\mu}{\sigma}}e^{i\frac{\pi}{4}} \Rightarrow \sqrt{\frac{\omega\mu}{\sigma_n - i\sigma_s}}e^{i\frac{\pi}{4}}$ Penetration depth  $\delta = \frac{1}{\sqrt{\pi f \mu \sigma}} \Rightarrow \delta = \frac{1}{\sqrt{\pi f \mu}(\sigma_n - i\sigma_s)}$ note  $H_y = H_0 e^{-\frac{1+i}{\delta}x}$   $\sigma_n = \frac{n_n e^2 \tau}{m_e}$   $\sigma_s = \frac{n_s e^2}{m_e \omega}$ 



#### Losses in Superconductor

Note 1/ $\omega$  is of order 100 ps, whereas for normal electrons  $\tau$  is of order few 10 fs

Also  $n_s >> n_n$  for T<<T<sub>c</sub>, therefore  $\sigma_s >> \sigma_n$ 

As a result one finds  $\delta \approx (1+i)\lambda_L(1-i\frac{\sigma_n}{2\sigma_s})$ 

$$H_{y} = H_{0}e^{-\frac{x}{\lambda_{L}}}e^{-ix\frac{\sigma_{n}}{2\sigma_{s}\lambda_{L}}}$$

The magnetic field decays rapidly over the London penetration depth

#### Surface Impedance of



Niobium  $\lambda_1 = 36 \text{ nm}$ 

For comparison, for copper the skin depth is 1.8  $\mu m$  at 1.3 GHz

The field penetrates over a much shorter distance than for a normal conductor



#### Temperature Scaling of Surface Resistance

$$R_s = \frac{1}{2} \sigma_n \omega^2 \mu_0^2 \lambda_L^3$$

- The surface resistance is proportional to the conductivity of the normal fluid!
  - Explanation: for residual field not shielded by cooper pairs, more normal current flow, more dissipation  $P_{res} \propto \sigma_r E^2$
- Below  $T_c$ , electrons condense into cooper pairs

- For the normal fluid 
$$n_n \propto e^{-\frac{\Delta}{k_B T}} \approx e^{-\frac{1.86T_c}{T}}$$

- Conductivity 
$$\sigma_n \propto le^{-\frac{1.86T_c}{T}}$$

- Mean free path of normal electron: *l*
- Hence superconductor surface resistange  $\propto \omega^2 \lambda_r^3 le^{-\frac{1.86T_c}{T}}$

#### Losses in Superconductor

$$R_s \propto \omega^2 \lambda_L^3 l e^{-\frac{1.86T_c}{T}}$$

- Increases quadratically with frequency
   Use lower frequency
- Decreases exponentially with temperature

– Work at temperature well below T<sub>c</sub>

Increases with increasing material purity

- Use lower purity material


#### Impact of Purity to R<sub>s</sub> of

#### Superconductor

$$R_{s} \propto \omega^{2} \lambda_{L}^{3} l e^{-\frac{1.86T_{c}}{T}}$$

- Surface resistance decreases as the mean free path decreases (less pure)
- This is only valid as long as the coherence length is much less than the mean free path  $\xi_0 << l$
- Otherwise the first London equation breaks down
- In this case  $\lambda_{L}$  must be replaced by  $\Lambda_{L} = \lambda_{L} \sqrt{1 + \frac{\xi_{0}}{I}}$
- And thus the surface resistance increases with  $l \le \xi_0 = 64 nm$

### Losses in Superconductor



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### Losses in Superconductor



Compilation of results from several Nb/Cu and Nb bulk 1.5 GHz RF cavities

C. Benvenuti et. al, Physica C 316 (1999)



## Losses in Superconductor

- Mattis and Bardeen developed theory based
   On BCS Mattis & Bardeen, Phys. Rev. 111 (1958) 412
- An approximate expression for Niobium

$$R_{BCS} = 3 \times 10^{-4} \,\Omega \left(\frac{f}{1500 \,MHz}\right)^2 \left(\frac{1}{T}\right) e^{-\frac{17.67}{T}}$$

- A program written by J. Halbritter to calculate surface resistance under wide range of conditions
- Note: calculations only for  $H_{RF} << H_{c}$



#### Losses in Superconductor:



# Type-I and Type-II Superconductor

Two types of superconductors defined by Ginsburg-Landau

 $\kappa = \lambda(T) \,/\, \xi(T)$ 



# Flux Penetration and Flux Quanta

Magnetic flux penetrates type-II superconductor in the form of flux quanta, fluxons







#### DC and RF Critical Field of



#### Superheating Field of niobium



### Limit to SRF Cavity Performance



### Ideal vs Real Performance



# **General Approach**

- Symptom and Diagnostics
- Physics and Understanding
- Solutions for overcoming limits

### **Field Emission**





# Symptom of Field Emission

- Detection of ionization radiation at cavity or remote to cavity, such as above top plate of test stand
  - Mostly X-rays
  - Sometimes neutron also for high gradient cavities
- Detection of free electrons intercepted by biased probes or Faraday cup placed inside cavity
  - On order of >  $\mu$ A
- Excitation of pass-band modes, or 3<sup>rd</sup> harmonic



### Field Emission Diagnostic Commonly Used Radiation Detectors



Diode for X-ray

Can be used in liquid Helium near cavity Ion chamber probe For X—ray

Typically used outside dewar



Neutron probe For thermal and fast neutron

# Symptom of Field Emission

- Rapid decline of Q<sub>0</sub> value
  - When FE is severe
  - Sometimes Q<sub>0</sub> decline not so obvious
- Sudden quench of RF field
  - Hence gradient limit
  - Not all quench is caused by FE (more later)



# Symptom of Field Emission

 Rapid Q<sub>0</sub> decline followed by sudden Q<sub>0</sub> jumps with accompanied drop in radiation

- This is a "processing event" (more later)

- Sudden Q<sub>0</sub> (and gradient) degradation with accompanied sudden increase in radiation
  - This is a "field emission turn on" event (more later)



- Electron emission from site of "field emitter"
- Emitted electrons captured and accelerated by RF field
- Energetic electrons strike cavity wall





- Electron emission from site of "field emitter"
  - Quantum mechanical process tunneling effect



**Figure 3.2**: Electrostatic potential of the metal–vacuum interface. (a) No electric field applied, (b) with an electric field applied.



- Modified Fowler-Nordheim
  - Electric field enhancement factor  $\beta_{FN}$ 
    - Typical value 50-500 for SRF cavity
  - Effective emitter area  $A_{FN}$ 
    - Typical value 10<sup>-18</sup> 10<sup>-9</sup> m<sup>2</sup>

$$I_{\rm FN} = j_{\rm FN}A_{\rm FN} = A_{\rm FN} \frac{e^3(eta_{\rm FN}E)^2}{8\pi h\Phi t^2(y)} \exp\left(-\frac{8\pi\sqrt{2m_{
m e}\Phi^3}v(y)}{3heeta_{
m FN}E}
ight)$$

# Note: $\beta_{\text{FN}}$ and $A_{\text{FN}}$ have no physical significance There are different models in book

- Emitted electrons captured and accelerated by RF field
  - This consumes RF energy stored in cavity and hence cause rapid Q<sub>0</sub> decline (recall exponential increase in current as field is raised)
- Energetic electrons strike cavity wall
  - Deposit heat and cause local rise of wall temperature
    - Cause line heating at cavity wall because electrons emitted at different RF phase angle follow different trajectory in the plane defined by cavity axis and en
    - Also contribute to Q decline
  - Produce X-rays due to
     Bremsstrahlung Effect
  - May produce neutron through (γ,n) reaction
    - Will cause activation





## Field Emitters

- Microscopic particles
  - from external source, consist foreign material
  - Airborne
  - From cavity assembly hardware and tool





# Field Emitters

- Geometrical defects
  - Is permanent feature, is part of cavity
  - Pits (from fabrication)
  - Scratches
    - HPR wand damage





# **Field Emitters**

- Contaminants from surface processing
  - Niobium oxide granules (electropolished surface)
  - Sulfur
    - And other element:









• Field emission is primarily an electric field effect



- Processing events extinction of field emitter
  - Micro-tip melting, gas release
  - Discharge/plasma
  - Breakdown/emitter destruction





Figure 5.36: Flow chart of the feedback loop leading up to rf processing.



- Field emission turn on events
  - Activation of field emitter
    - Arrival of particles



- Field emission turn on events
  - Activation of field emitter
    - Baking (120 ℃) induced (for electropolished



- Post-Chemistry Cleaning (remove contaminants)
  - Rinsing
  - Wiping and brushing (end group components)





- Post-Chemistry Cleaning
  - Ethanol rinsing
  - Ultrasonic cleaning (De-ionized water + detergent)







- High Pressure Water Rinsing (HPR)
  - De-ionized water, 18 MΩ-cm resistivity





(click photo for video)

Courtesy P. Kneisel



- Clean room assembly
  - Class-10
  - Or ISO 4







- Slow pump down
  - Prevent re-contamination
- Oil-free pumping system



- New techniques
  - CO2 snow cleaning
  - Horn cleaning (target end grou



300 65  $\infty$ 180.

Courtesy D. Reschke

Courtesy K. Saito



- Processing (to destroy emitter)
  - RF conditioning (CW or pulsed)
  - Helium processing
    - Back fill cavity with He gas to ~ 10<sup>-5</sup> Torr pressure
  - High Peak Power Pulsed Processing (HPP)
    - 1 MW, 200 µs
  - Plasma cleaning



First plasma in the SNS HB cavity

300W forward 200W reflected 1e-4 torr



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 Reducing peak surface electric field in cavity design




### Last Word on Field Emission



Progress has been made in recent years in reducing field emission. "Field emission free" cavity vertical testing of 1 meter long 9-cell 1300 MHz cavities has been reported at DESY, FNAL, JLab, KEK in gradient range of 35-45 MV/m. Much less cavities are limited by field emission. But challenges remain toward reliable control of field emission. Such as "sudden field emitter turn on" at high gradient, degradation from vertical test to cryomodule test. Plenty room for innovation and creativity.

(Vm

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### Quench





# Symptom of Quench

- Sudden collapse (ms time scale) of field in SRF cavity
  - Field may self recovers
  - Or may not
- Detection of temperature rise at cavity wall near quench source
  - Can be as high as a few K







(click photo for video)



### Quench Diagnostic Commonly Used Heat Pulse Detectors



Allen-Bradley carbon resistor 100 Ω, 1/8 W





Cernox

Cornell OST

Used at 1.8 K for defect localization



# Physics of Quench

- Quench caused by field emission
  - Heat deposition at electron bombardment site (earlier slides)
- Quench caused by multipacting (more later)
  - Heat deposition at electron bombardment site(s)
- Quench caused by resistive heating of local normal conducting defect
  - Thermal breakdown
- Quench caused by growing normal conducting region driven by magnetic field
  - "magneto-thermal" breakdown
- Quench caused by uniform heating (Global Thermal Instability)
- Ultimate limit: quench due to RF critical field





# Physics of Thermal Quench

- Power dissipation in normal conducting defect generates heat
- Poor thermal conductivity of superconducting wall limits heat conduction
- This causes temperature rise to exceed Tc (9.25 K) in surrounding superconducting region
- This causes additional resistive heating
- The normal conducting region grows rapidly, leading to quench





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### Physics of Magneto-Thermal Quench

- Initial heating at low field follows quadratic dependence of superconductor
- When a threshold field is reached, a "small domain" is driven from s.c. to n.c because local magnetic field exceed local critical field
  - Local magnetic field enhancement
  - Local critical field suppression
- Converted n.c domain is thermally stable, but causes resistive heating
- The boundary of n.c domain is not necessarily at Tc
- The normal conducting domain grows rapidly when field is further raised, leading to quench

$$\frac{dP_{c}}{ds} = \frac{1}{2}R_{s}|\mathbf{H}|^{2} \quad \mathbf{Rs} - \begin{pmatrix} n\Omega, s.c. \\ m\Omega, n.c. \\ m\Omega, n.c. \end{pmatrix}$$

#### Note: no n.c. defect is involved

### Defects

- N.C. defect with foreign materia
  - inclusion
  - Stain
  - Copper particle







#### 50-500 µm



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### Defects

• Geometrical defect (no foreign material)



200-800 µm

Courtesy W. Singer

Figure 2: Defects observed near quench site of AES1(L) & AES3(R), limited at 16 & 21 MV/m, respectively. These circular defects have a diameter of ~ 600  $\mu$ m and are outside the equator EBW (5-10 mm from weld seam).

# Understanding of Thermal

# Quench

- Thermal breakdown field is determined by
  - Defect size  $(r_d)$
  - Defect size (I<sub>d</sub>) Defect surface resistance (R<sub>d</sub>)<sup> $H_{tb} = \sqrt{\frac{4\kappa_T(T_c T_b)}{r_d R_d}}$ .</sup>
  - Thermal conductivity of wall material (~ Tc)
  - Heat transfer across Nb/LHe interface (Kapitza)





Figure 3.10: Geometry used to determine the thermal breakdown field due to a defect.



### Understanding of Magneto-Thermal Quench

- Magneto-thermal breakdown is determined by  $h \propto (r/R)^n$ 
  - Local magnetic field enhancement factor
  - Thermal conductivity of wall material (< Tc)



#### Understanding of Thermal and Magneto-Thermal Quench





#### Understanding of Magneto-Thermal Quench



### Understanding of Quench

Quench is primarily a magnetic field effect

 High magnetic field region (arrow) in cavity is
 critical

equator





# **Overcoming Thermal Breakdown**

- Raise bulk thermal conductivity near Tc – High purity niobium (RRR >300)
  - Multiple re-melting



T. Shishido et al., SRF1999

# **Overcoming Thermal Breakdown**

Raise bulk thermal conductivity

 Post-fabrication purification



- Heat in vacuum furnace to ~ 1400 C
- Evaporate Ti on cavity surface
- Use titanium as getter to capture impurities
- Later etch away the titanium
- Doubles the purity (RRR ~ 600 if originally RRR = 300)

Courtesy H. Padamsee

# **Overcoming Thermal Breakdown**

Avoid normal conducting defects

 Eddy current scanning of starting sheets



Detlef Reschke



Courtesy D. Reschke



Produce smooth surface
 – Global mechanical polish





Courtesy C. Cooper



Raise bulk thermal conductivity at T < Tc
 <ul>
 Heat treatment cold worked niobium
 Use large-grain niobium material



A. Ermakov et al., EUCAS 2007

S.K. Chandrasekaran et al., SSTIN 2010

- Removal quench-causing geometric defects
  - First localize defect
    - OST for rapid quench location
  - Then assess quench region
    - high-resolution optical inspection









- Removal quench-causing geometric defects
  - Then remove identified defects
    - Local grinding by using robotic tc
    - Tumbling individual cell w/ defect – Leave other cell undisturbed
    - Local electron-beam re-melting
    - Local laser re-melting



Courtesy M. Ge, G. Wu







Courtesy H. Hayano



### **Overcoming Quench**

 Reducing peak surface magnetic field in cavity design



# **Overcoming Quench**

- Use high thermal conductivity material for cavity wall
  - Nb/Cu clad material
  - Thin film coated copper or aluminum cavit







1.3 GHz Nb coated Cu cavity by INFN in evaluation at JLab



# **Overcoming Quench**

"Knobs" for improved reproducibility in overcoming local quench at very high gradient of 40-50 MV/m



- (3) Smooth surface for reduced local magnetic field enhancement. In hand(CBP & derivative + EP).
- (4) Improved wall thermal conductance for increased local heating tolerance.
  - Cavity heat treatment optimization for "phonon peak engineering"
  - Use Nb/Cu composite material (such as explosion bonded material)
- (5) The game-changing knob is a Nb replacement material (such as Nb<sub>3</sub>Sn or Mg<sub>2</sub>B w/ multi-layer).

# Last Word on Quench

- RF critical field sets ultimate limit in achievable gradient
  - Still not settled theoretically
  - Experimentally observed quench seems to be always caused by local defect
    - There is one claim of 1-cell cavity reaching RF critical field experimentally
    - More measurements needed for independent confirmation
  - Experimental record peak surface magnetic field
    - Cornell 1-cell 1300 MHz re-entrant shape cavity: 2065 Oe
    - DESY 9-cell 1300 MHz large-grain TESLA-shape cavity: 1950 Oe
- Niobium is still the dominant material for known SRF based projects
  - Plenty intricate issues remain further understanding
  - Still room for improvement
- Niobium replacement materials are being actively explored

# Multipacting (MP)





# Symptom of Multipacting

- Gradient stops rising despite more RF power is provided to cavity
  - Barrier
- Detection of X-rays
- Detection of electrons by biased probes at right place
- "wavy" transmitted and reflected power signal
- Detection of temperature rise

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# Symptom of Multipacting

- Barrier can be overcome if RF field is sustained (RF conditioning)
  - "Soft barrier" can be processed through in a few minutes
  - "Hard barrier" may take much longer time
- "Memory effect"
  - Some processed barrier may re-appear "lost memory"
  - Some will not re-appear once processed "memorized"
- Barrier usually has specific field range
  - Multipacting band width
- One cavity may have multiple barriers

# **Multipacting Diagnostics**

- RF signal by crystal detector
- X-ray detector
- Thermometer
- Electron pick up probe



See previous slides on X-ray, thermal and electron diagnostic sensors



# Physics of Multipacting

- Rapid growth of number of electrons from noise due to existence of conditions for resonant electron movement in cavity space
- Electron trajectories occupy only a small volume near cavity surface due to "confinement effect" by RF magnetic field
- Confined electrons return to cavity surface
- Electrons gain energy due to acceleration by RF electric field
- Energetic electrons bombard surface, causing secondary electron emission
- Process becomes self sustained when secondary electron emission coefficient of surface is larger than 1



 Electrons gain kinetic energy by consuming energy stored in cavity RF field

– This causes  $Q_0$  drop

- Energetic electrons bombard cavity surface
  - This deposit heat, causing local temperature rise
    - When Tc is exceeded, local surface area becomes normal conducting
      - Normal region grows due to resistive heating, leading to quench
  - This produce X-rays due to Bremsstrahlung effect

- Secondary electron emission
  - Secondary electrons are low energy 2-5 eV
  - Secondary electron yield (SEY) depends on impact energy of 2 primary electrons
    - First cross-over energy E<sub>1</sub>
    - Second cross-over energy E<sub>2</sub>
  - SEY is a material property and sensitive to surface condition
    - Electron bombardment reduces SEY
      - Conditioning effect



- Resonant conditions are met for limited field ranges
  - MP bands are separated with finite bandwidth
- MP electrons travel time from emission to bombardment is integer multiple of RF period or integer multiple of half period
  - This explains linear frequency scaling of MP barrier
    - Emitted secondary electron experiences more acceleration within 1- or <sup>1</sup>/<sub>2</sub>period at lower frequency
      - Electron reach the first cross-over energy  $(E_1)$  at a lower gradient
  - This explains higher order MP barrier at lower gradient



- One or more local areas might be involved in MP
  - -1-point MP (1-side MP)

- 2-point MP (2-side MP)



• Multipacting in beam pipe transition



2-cell Cornell Injector prototype



ICHIRO cavity early design

Courtesy Y. Morozumi

• Multipacting is an issue of interest in higher order mode coupler and fundamental power coupler for cavity



P. Yla -Oijala, TESLA Report, TESLA 97-21, (1997).

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## **Overcoming Multipacting**

- Avoid high SEY surface
  - Clean surface
  - Oil free pumping system
- Mitigate by RF conditioning
  - Use fundamental mode
  - Use other modes
    - Pass-band modes
    - Lower order modes



#### **Overcoming Multipacting**

- Design cavity RF structure that is free from MP
  - Not easy to do for 3D structures, good new is that modern simulation tools are improving (see example below)



Figure 8: Multipacting in the HOM coupler (left). The multipacting was eliminated by changing the loop geometry from a racetrack cross section to a circular cross section (right). Z. Li et al., SLAC-PUB-13088





### Last Word on Multipacting

- Elliptical β=1 cavities are reaching very high gradients at 1300 MHz with no known limit due to hard MP
  - Soft MP barriers appear to ubiquitous
- MP issue needs further attention in following cavities
  - Elliptical  $\beta$ <1 cavities at 500-900 MHz
  - All TEM class cavities
- Experimental measurements are still essential in assessing MP characteristics of new cavity designs

#### **Residual Losses**



# Symptom of Residual Losses

- Q<sub>0</sub> lower than what is expected from theory
  - BCS theory predicts exponentia  $R_s \propto_n \omega^2 \lambda_L^3 \ell \exp\left(\frac{-1.86T_c}{T}\right)$ dependence of surface resistance
    - Recall  $Q_0 = \frac{G}{R_c}$
  - Temperature independent term is called residual resistance
  - Residual resistance limits achievable Q<sub>0</sub>

### Symptom of Residual Losses

- Q-disease
  - Q<sub>0</sub> at low field degrades when cavity parked at a temperature 70-150 K for extended period of time
  - Similar effect when cavity cool down rate is slower than 1K/min in passing 70-150 K



Figure 1 : Eacc - Dependence of Q - Degradation on "Holding"Temperature

J. Halbritter, P. Kneisel, K, Saito, SRF1993

- There are multiple sources for residual losses
- Known sources
  - Surface contamination
    - Dielectric losses
  - Electric interface
  - Grain boundaries
  - Frozen flux effect
  - Hydride phase (Q-disease)

J. Halbritter, "On RF residual losses in superconducting cavities," in *Proc. 2nd Workshop on RF Supercond., Geneva, Switzerland, 1984, pp. 427-446.* 

- Frozen flux effect
  - DC magnetic field is "trapped"
    - Fluxon
    - Normal conducting core
  - Sources of DC magnetic field
    - Earth magnetic field
    - Thermal-electric current due to temperature gradient during cavity cool down or local quench during test
  - Mechanisms of losses
    - RF dissipation at n.c. core
    - Fluxon dynamical flow





- Scaling of residual resistance due to frozen flux effect
  - Linear dependence on external field H<sub>ext</sub>
  - Inverse linear dependence on second critical field H<sub>c2</sub>
  - Linear dependence on superconductor's normal state surface resistance R<sub>n</sub>
- Frequency scaling:  $\sqrt{f}$ 
  - Recall  $R_n \sim \sqrt{f}$

$$R_{\Phi} \approx \frac{H_{\rm ext}}{2H_{\rm c2}} R_{\rm n}$$

For Nb, residual resistance contribution due to frozen flux:

$$R_{res} = \alpha H_{dc} \sqrt{f/GHz}$$

 $\alpha = 0.2-0.3 \text{ n}\Omega/\text{mG}$ 

#### Hydride phase

- Nb-H system undergoes phase transition at low temperatures
- H mobility still high at 100 K
  - H in bulk Nb precipitates
  - Forms islands of weak superconductor
- Danger arises when bulk H concentration in Nb > 2 wt ppm
- Higher danger for high purity Nb
  - H is bound by impurities





- Shield ambient magnetic field

   – < 15 mG or better</li>
- Use non-magnetic material and lowpermeability material within shield
- Nb-Cu thin film cavity
  - Much less sensitive to frozen flux effect due to high Hc<sub>2</sub>
- Go to low frequency
  - Take advantage of  $\sqrt{f}$



#### Friday tutorial by T. Nicol, FNAL

- Minimize H uptake from processing
  - BCP etching at < 15  $^{\circ}$ C
  - "H free" EP
- Hydrogen out-gassing in vacuum furnace
  - 800 ℃ x 2hr
  - Or at lower temperature for longer time
- Minimum or no chemistry after out-gassing





 Use largegrain Niobium material

BCP etched large grain cavity 9-cell 1300 MHz Consistent lower surface resistance with more than 10 cavities

Heraeus large-grain Nb

<Q<sub>0</sub> > = 2E10 @ 20 MV/m, 2K Q<sub>0</sub> 3-4E10 @ 20 MV/m, 1.8K

W. Singer et al., STTIN2010



Figure 7: Q(Eacc) of the cavities AC112- AC114 and AC151-AC158 at 2K. Test after 100 µm rough BCP, annealing at 800°C for 2h followed by a fine BCP 20 µm and baking at 120°C for 48h (AC112 was not baked). Star shows the XFE requirements



## Q-Drop/Q-Slope



# Symptom of Q-drop/Q-slope

- Q<sub>0</sub> declines as field is raised
  - Without any X-ray present
  - Decline starts at gradient 3-4 MV/m
    - "Medium field Q-slope"
  - Rapid decline above 20 MV/m
    - "High field Q-slope"
  - Observable in bulk Nb cavities, Nb thin film coated Cu cavities and Nb<sub>3</sub>Sn coated cavities



#### Understanding of Q-drop/Q-slope

- This is an active area of research presently in SRF community
  - Theoretical
    - Non-linear BCS
    - Vertex
    - ...
  - Experimental
    - Oxygen
    - Dislocation
    - Magnetic impurities
    - . . .



#### Overcoming Q-drop/Q-slope

- Fine-grain Nb cavities
  - Electropolishing + 120 ℃ bake (48 hours)
- Large-grain Nb cavities
  - Buffered Chemical Polishing + 120 ℃ bake (12-24 h ours) also



- Mixture of HF and sulfuric acid half-filled, flows slowly in closed-loop
- Aluminum cathode runs across cavity length
- 14.5 V voltage
- across cathode and cavity, draws a current of 100-200 A
- cavity slowly rotates, cell temperature controlled



#### Overcoming Q-drop/Q-slope



## Cavity Performance Limits and SRF Based Accelerators



#### **Performance Pushing Directions**





#### Achieved Peak Surface Electric Field in L-band SRF Niobium Cavities (Circle: Single-Cell Cavity; Triangle: Multi-Cell Cavity)

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#### Achieved Peak Surface Magnetic Field in L-band SRF Niobium Cavities (Circle: Single-Cell Cavity; Triangle: Multi-Cell Cavity

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L-Band SRF Niobium Cavity Gradient Envelope Evolution

Understanding in gradient limits and inventing breakthrough solutions are responsible for gradient progresses. This has been a tradition in SRF community and rapid gradient progress continues. Up to 60 MV/m gradient has been demonstrated in 1-cell 1300 MHz Nb cavity. 45-50 MV/m gradient demonstration in 9-cell cavity is foreseen in next 5 years.

L-band SRF Linear Accelerator Technology and Impact to Nuclear, Elementary Particle, and Photon Sciences



Year

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#### Cavity Fabrication and Processing



## Niobium Material

- Niobium is the elemental superconductor with the highest critical temperature and the highest critical field
- Formability like OFHC copper
- Commercially available in different grades of purity (RRR> 250)
- Can be further purified by UHV heat treatment or solid state gettering
- High affinity to interstitial impurities such as C,H,O,N (in air at temperature < 150 ℃)</li>
- Require electron beam welding for joining
- Metallurgy not so easy
- Hydrogen can be easily absorbed, leading to Q-disease



#### Niobium and Other SC Materials

Material	Тс [K]	Hc, Hc1 [Oe]	Туре	Fabrication
Pb	7.2	803	I	electroplating
Nb	9.25	1900, 1700	II	Forming+EBW or film coating
Nb <sub>3</sub> Sn	18.2	5350,300	П	Film coating
MgB <sub>2</sub>	39	4290,300	П	Film coating



#### Niobium Production

- Niobium Ore in Araxa mine (open air pit) is Bariopyrochlor with 2.5% Nb<sub>2</sub>O<sub>5</sub>
- The ore is crushed and magnetite is magnetically separated from the pyrochlor.
- By chemical processes the ore is concentrated in Nb contents (50 –60 % of Nb<sub>2</sub>O<sub>5</sub>
- A mixture of Nb<sub>2</sub>O<sub>5</sub> and aluminum powder is being reacted to reduce the oxide to Nb
- This Nb is the feedstock for the EBM processes

H.R.Salles Moura,"Melting and Purification of Nb", Proc.Intern.Sumposium Niobium 2001, Dec 2-5, 2001, Orlando Fl, p.147



CBMM deposit in Araxa, Brazil



EBM Ingots at CBMM



### **Electron Beam Melting**

- High purity niobium is made by multiple electron beam melting steps under vacuum
  - This eliminates volatile impurities
- Several companies produce RRR niobium in large quantities
  - Cabot (USA), CBMM(Brazil), Tokyo Denkai (Japan), W.C. Heraeus (Germany), OTIC(China), Wah Chang (USA)
- RRR: Residual Resistivity Ratio
  - resistivity at room temperature divided by the resistivity at 4.2K in the normal conducting state
  - RRR scales roughly linearly with thermal conductivity at 4.2K







#### Large-Grain Material Multi-Wire Slicing





#### **Overview of Cavity Fabrication**



A. Matheisen, DESY



## **Example: Dumbbell Fabrication**



Dumb- bell

- 1. Mechanical measurement
- 2. Cleaning (by ultra sonic [us] cleaning +rinsing)
- 3. Trimming of iris region and reshaping of cups if needed
- 4. Cleaning
- 5. Rf measurement of cups
- 6. Buffered chemical polishing + Rinsing (for welding of Iris)
- 7. Welding of Iris
- 8. Welding of stiffening rings
- 9. Mechanical measurement of dumb-bells
- 10. Reshaping of dumb bell if needed
- 11. Cleaning
- 12. Rf measurement of dumb-bell
- 13. Trimming of dumb-bells (Equator regions)
- 14. Cleaning
- 15. Intermediate chemical etching (BCP /20- 40 µm)+ Rinsing
- 16. Visual Inspection of the inner surface of the dumb-bell

local grinding if needed + (second chemical treatment + inspection )

#### Fabrication SNS/JLab




## Fabrication SNS/JLab



SNS/JLab



### Fabrication (JLab)

### Endgroups







#### Cavity





### Electron Beam Welding (JLab)

#### Dumbbells



Tack- Welding:4 tacks, focused beamVoltage :50 kVCurrent:15 mARotational Speed :20 inches/minDistance of gun to work : 6 "Final weld Current:33 mARotational speed:18"/minFocussing:elliptical pattern

#### **Stiffening Rings**







# Tuning







Figure 3: Trimming of the equator to adjust the elongation at the equator



Computerized tuning machine at DESY

- •Equalizing stored energy in each cell
- by squeezing or pulling
- •Straightening of cavity



### **Field flatness after pre-tuning**



	Field flatness (min/max)	Freq. target 1298.141 (MHz) @R.T.
Cavity	as delivered / after pre-tuning	as delivered / after pre-tuning
1 <sup>st</sup>	0.1% / 98%	1298.774 / 1298.547
2 <sup>nd</sup>	57.6% / Notyet	1301.447 / Not yet
3 <sup>rd</sup>	31.5% / Not yet	1301.577 / Not yet
4 <sup>th</sup>	51.5% / Not yet	1301.696 / Not yet

Cell-to-cell coupling is as small as 1.6%, but no problem in pre-tuning.



### **Alternative Fabrication**

### Seamless Cavity

Hydro forming (W.Singer, DESY) Spinning (V.Palmieri, INFN •

Legnaro)





R.L. Geng





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JJPAJ JNI COUISE Jan. 2013

## Alternative Fabrication Nb Thin Film Coated Copper Cavity

Niobium Film Coated Cavities

Developed in CERN for LEP II Superconducting RF cavities



Copper half cell for LEP-II SC cavity

350MHz 4-cell Nb bulk cavity (CERN)





## Fabrication of Low Beta Cavities

- · Bulk Nb (by far the most used)
  - highest performance, many manufacturers, any shape and *f*
    - performance \*\*\*\*



- Sputtered Nb on Cu (only on QWRs)
  - high performance, lower cost than bulk Nb in large production, simple shapes
    - performance \*\*\*

cost \*\*\*

cost \*\*

- Plated Pb on Cu (being abandoned)
  - lower performance, lowest cost, affordable also in a small laboratory
    - performance \*\*









## Large-Grain Ingot Niobium

#### Large grain Ingot "D" from CBMM



## Impurity Doping of Bulk Nb Cavity

- Surface diffusion of some foreign atomic species (N, Ti etc) into Nb at high temperature results in large increase of Q0.
- 800°C vacuum for 3 hours is used to degas dissolved H from the niobium bulk.
- ~20 mTorr nitrogen gas @ 800°C for a few minutes.
- Lossy nitrides on the surface are removed by light > 2  $\mu$ m electropolish.
- Resulting rf surface resistance decreases with field to <u>unprecedented low</u> levels (< 10 nOhm @ 2.0K, 1.3 – 1.5 GHz)= high Q<sub>0</sub>





# Nitrogen doping



- Injection of small nitrogen partial pressure at the end of 800C degassing, followed by EP-> drastic increase in Q
- At present more than 40 cavities treated
- R&D program ongoing for LCLS-II with the goal of validating <u>Q=2.7e10@2K</u>, 16MV/m



A. Grassellino et al, 2013 Supercond. Sci. Technol. **26** 102001 (Rapid Communication) – selected for highlights of 2013









### Buffered Chemical Polishing (BCP) Electropolishing (EP)

Chemical etching (BCP) or HF : HNO<sub>3</sub> : H<sub>3</sub>PO<sub>4</sub> volume ratio: 1:1:2 removal rate: app. 1 µm/min



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**electro polishing (EP)** HF : H<sub>2</sub>SO<sub>4</sub> 1 : 9 app. 0.4 μm/min



### BCP and EP System







rson Lab



### High Pressure Rinse Systems



DESY-System





Jlab HPR Cabinet





KEK-System

FROM ULTRAPUR



ab





**SJSA** 

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# Clean Room Technology





Jefferson Lab

### Clean Room: DESY



## SRF Linac: CEBAF



SOUTH LINAC CRYOMODULES





## **SRF Linac: SNS**





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# SRF Linac: ISAC-II



Figure 5: The ISAC-II superconducting linac.



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# Summary

- Steady progress has been made over the past decades in SRF science and technology
- SRF has become an enabling technology
- Still many exciting opportunities for future SRF technology
- Must advance understanding
- Innovation and creativity essential for continued success