

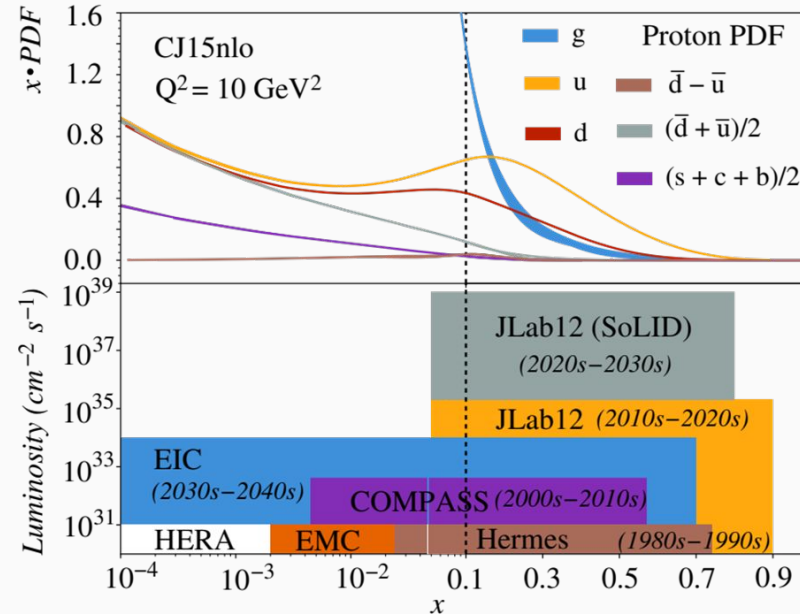
Machine Learning PID SoLID ECal Beam Test

Darren W Upton



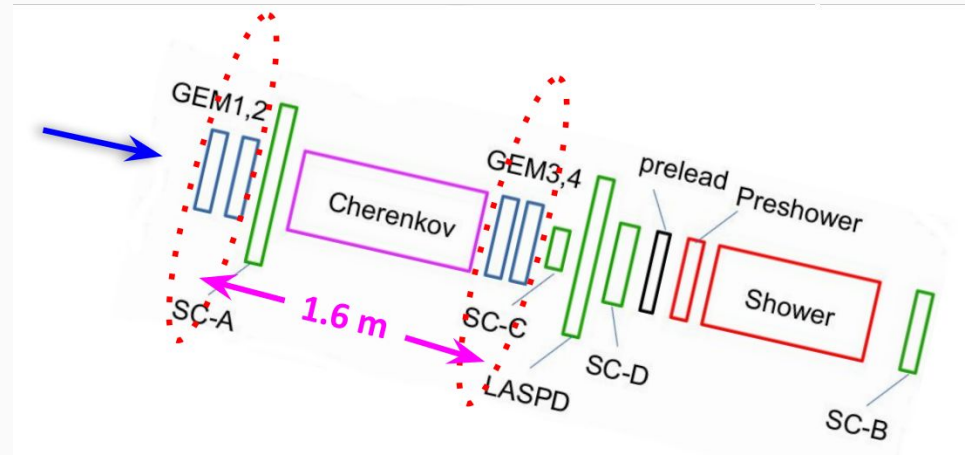
Getting the most out of SoLID

- ❖ SoLID is about getting most out of JLab
- ❖ How do we get the most out of SoLID?



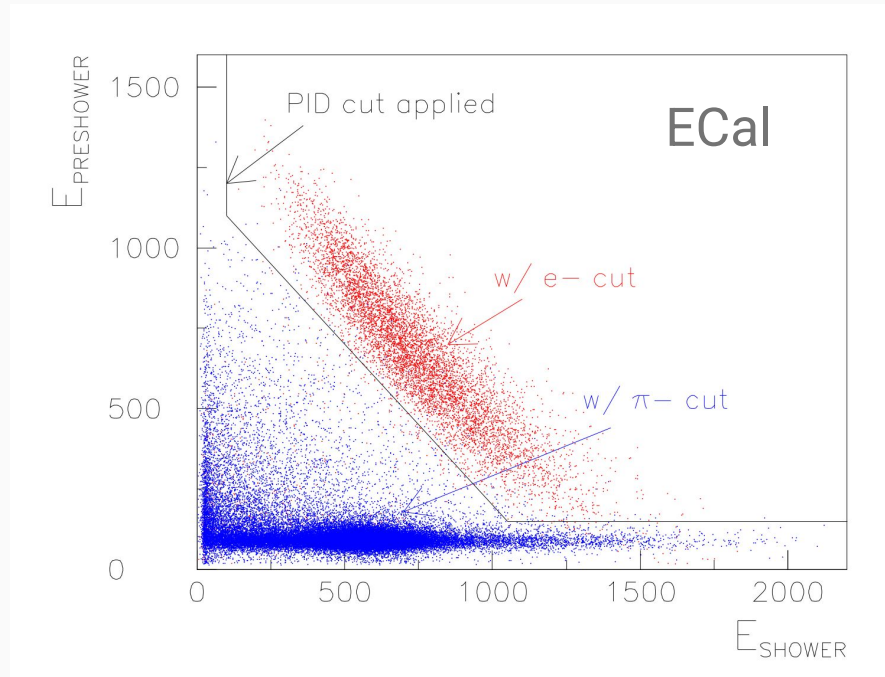
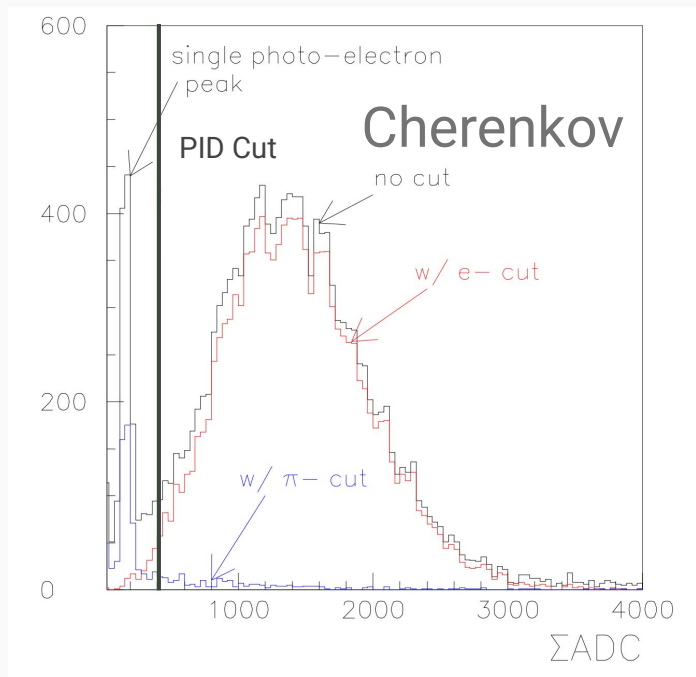
SoLID ECal Beam Test

- ❖ Focus on characterizing ECal
- ❖ Main Detectors
 - 3 PreShower-Shower modules
 - 4 scintillators
 - Light-gas Cherenkov



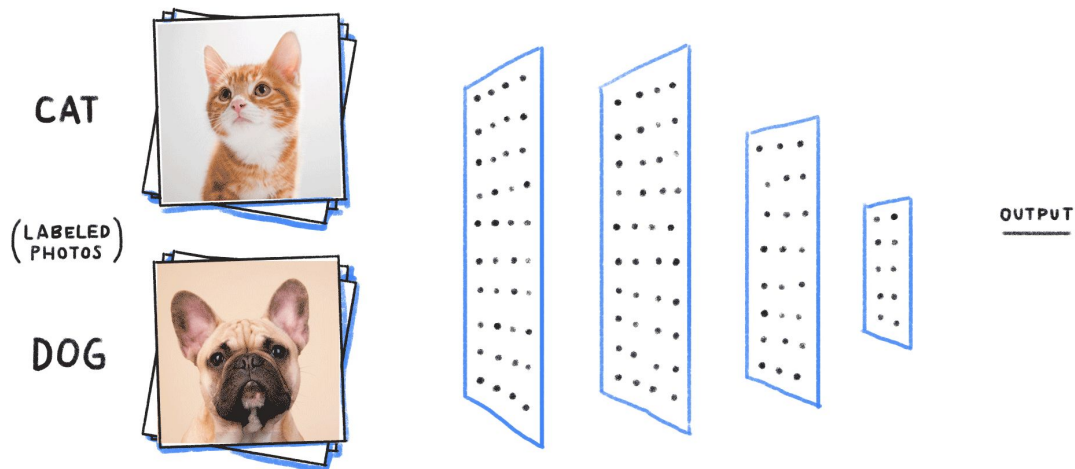
“Classical” Particle Identification (PID)

- ❖ Selecting electrons vs charged pions, start with Cherenkov & ECal cuts
- ❖ Low dimensional cuts remove “good” events



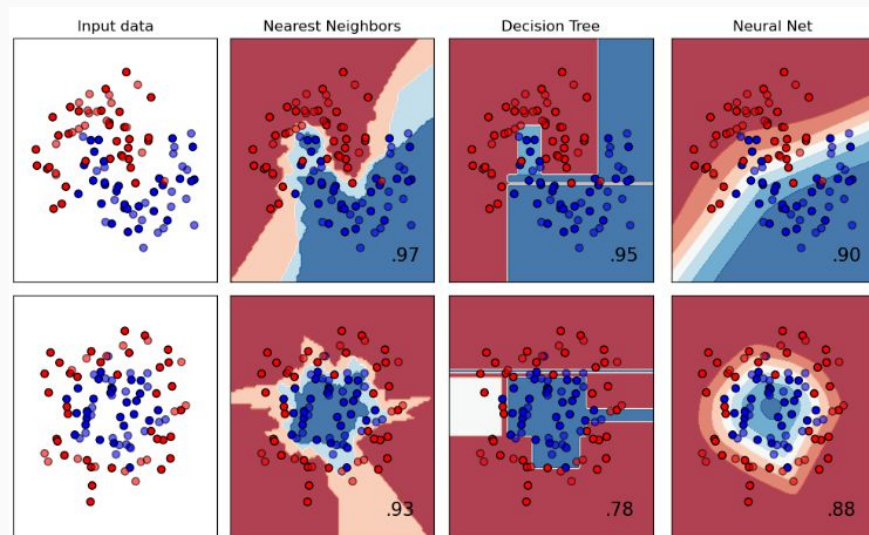
Machine Learning for Classification

- ❖ Train on labelled images/data
- ❖ Determine label for given set of input
 - Label Cats vs Dogs, etc



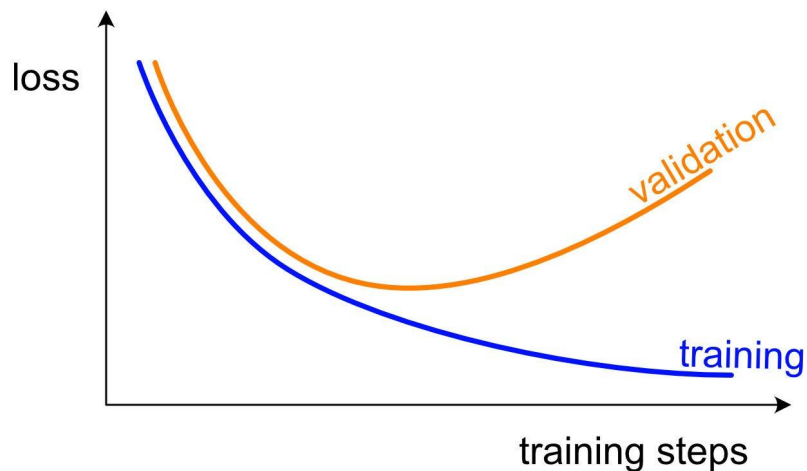
Machine Learning for Classification

- ❖ Given some labels for values in input space
- ❖ Optimize separation of classes
- ❖ Multiple approaches for supervised & unsupervised
 - Clustering, decision tree, NN, etc



Machine Learning for PID

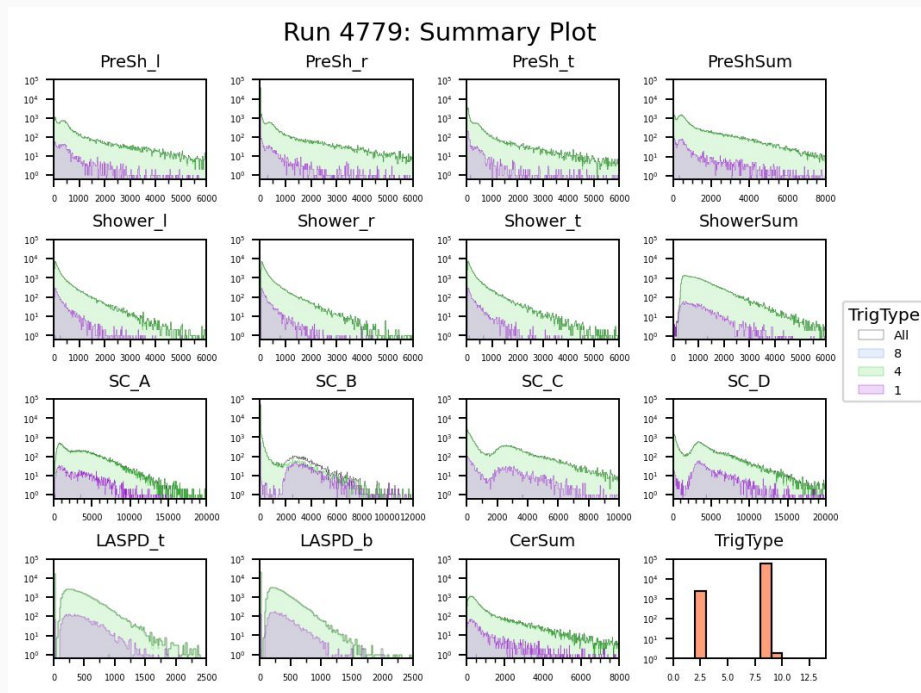
- ❖ Train NN given some labels with embedding in input space
- ❖ Study training metrics & model performance



		Predicted	
		Spam	Non-spam
Actual	Spam	600 (True positive)	300 (False negative)
	Non-spam	100 (False positive)	9000 (True negative)

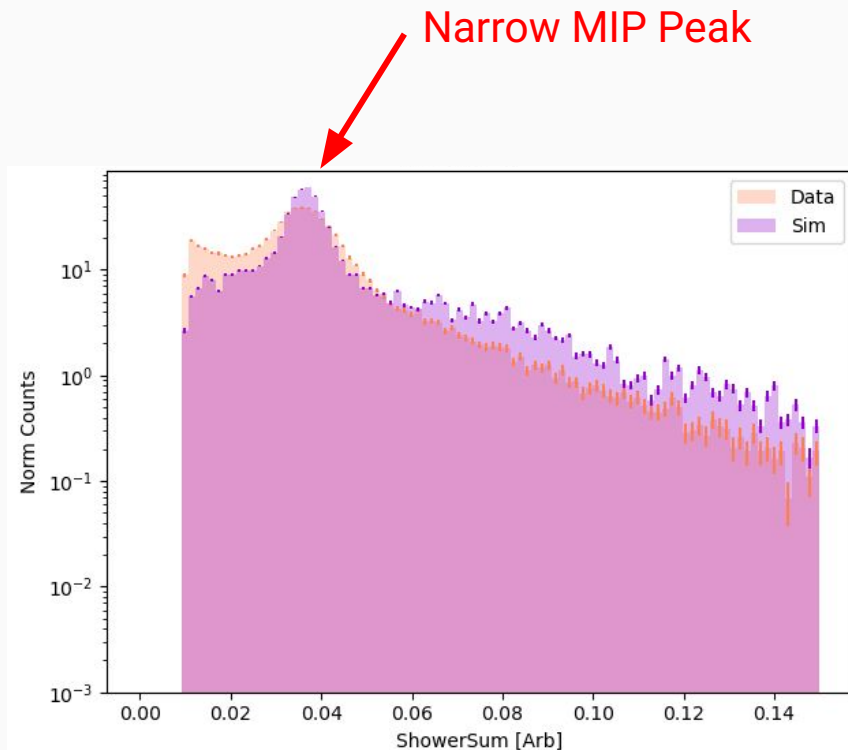
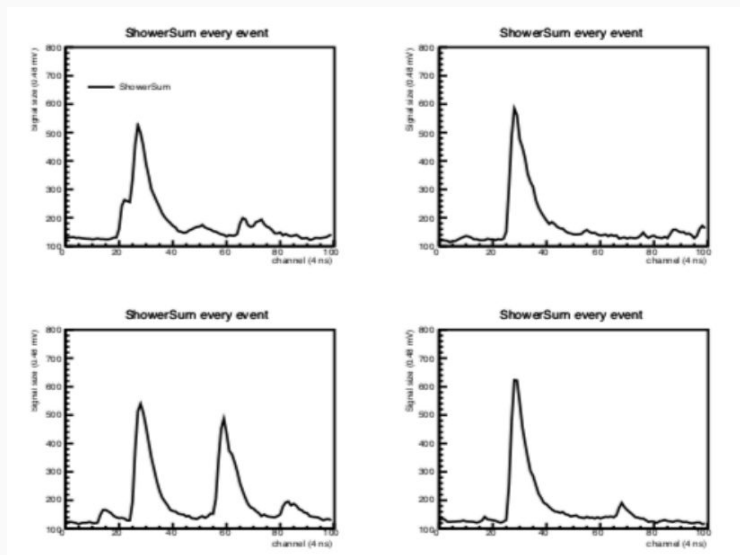
Data Distributions

- ❖ ADC values for different detectors from different triggers
- ❖ Determine Minimum Ionizing Particle (MIP) peaks for sim-data scaling factor



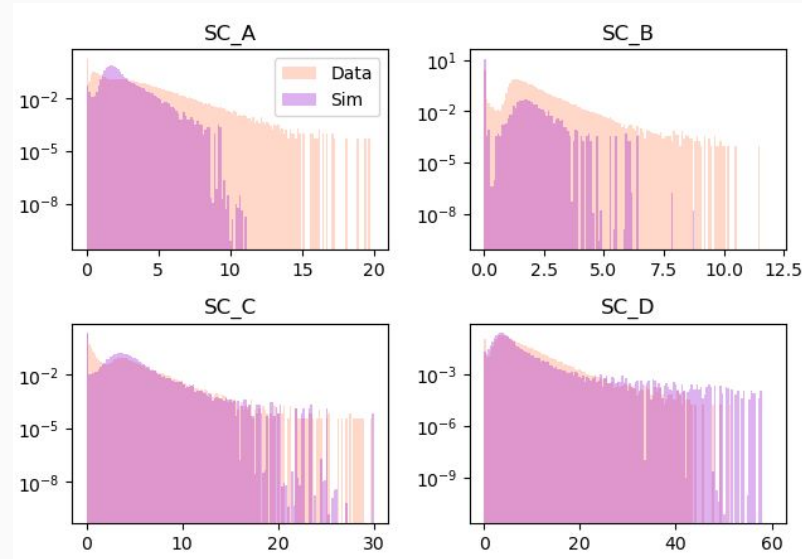
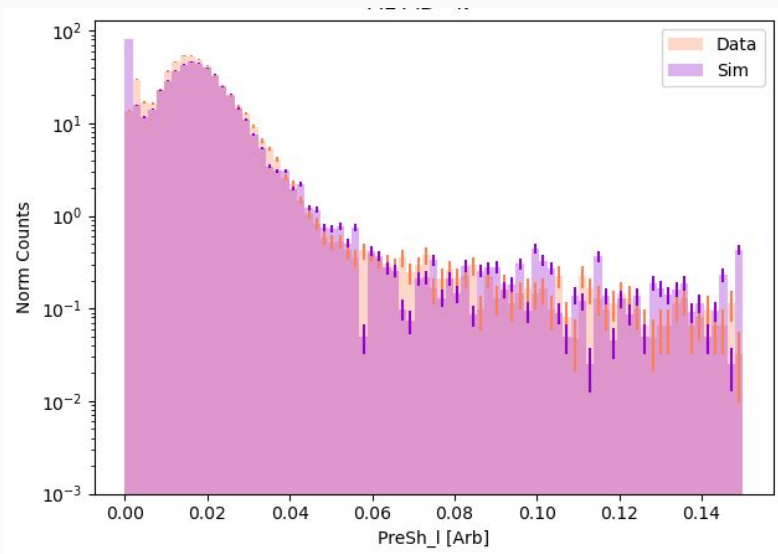
Background Mixing & Smearing

- ❖ Match sim-data overall distributions
- ❖ Merge concurrent EM background into sim events
 - Rate 3:1 bkg:sim for 10 uA
- ❖ **Smear sim MIP peaks** to match data



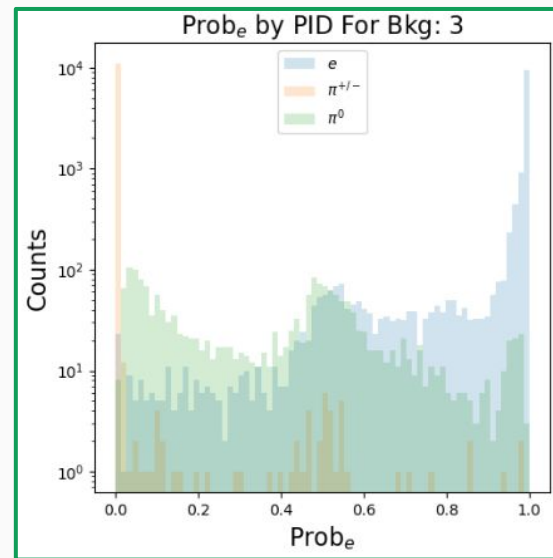
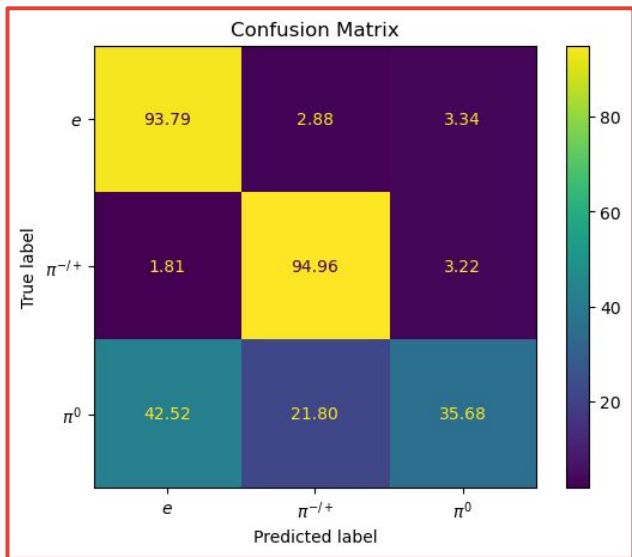
Data-Sim Comparison

- ❖ MIP peak aligned & scaled for ECal modules
- ❖ More work needed for some scintillators



ML Model - Output

- ❖ Train model for 10 uA data & check performance for e^- , $\pi^{+/-}$, π^0
- ❖ **Confusion matrix** shows good e^- vs $\pi^{+/-}$ but poor e^- vs π^0
- ❖ Reduce high-dimensional input into **1D probability distribution**



Figures By: Mohhamed Rafi

$\pi^{+/-}$ PID - Shower Modules

- ❖ Charged pion classification
- ❖ Reasonable match between data & sim in Shower
- ❖ More tuning needed for shoulder before MIP

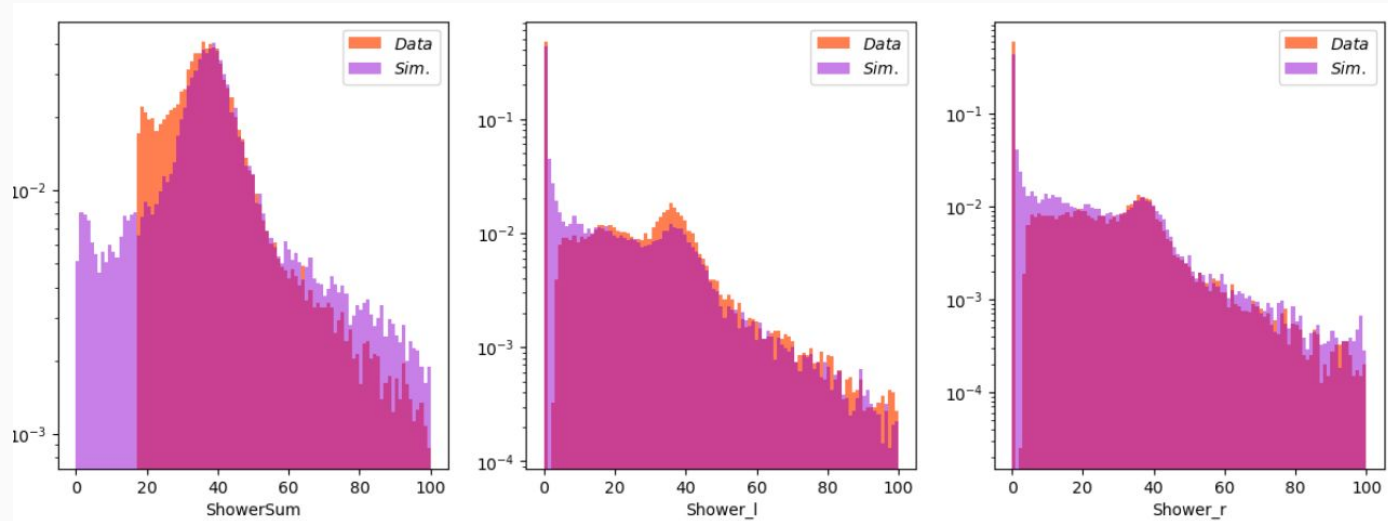
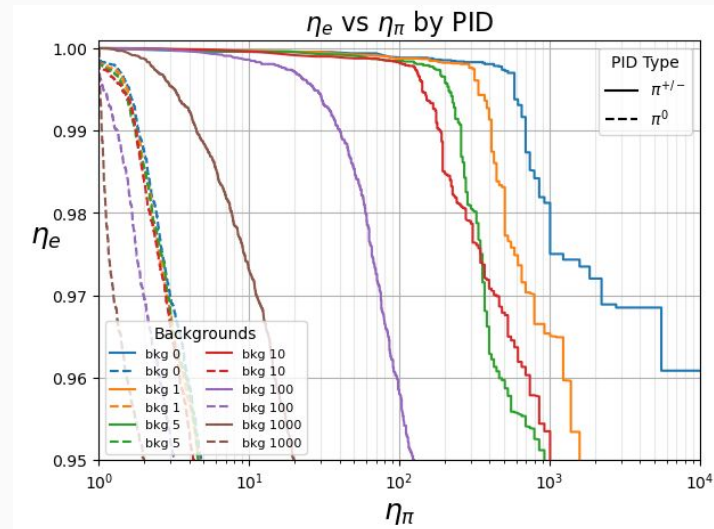


Figure By: Mohhamed Rafi

Impact of Background Merge Factor

- ❖ Increasing background:signal decreases performance
- ❖ Map ratio onto beam current then compare with classical PID

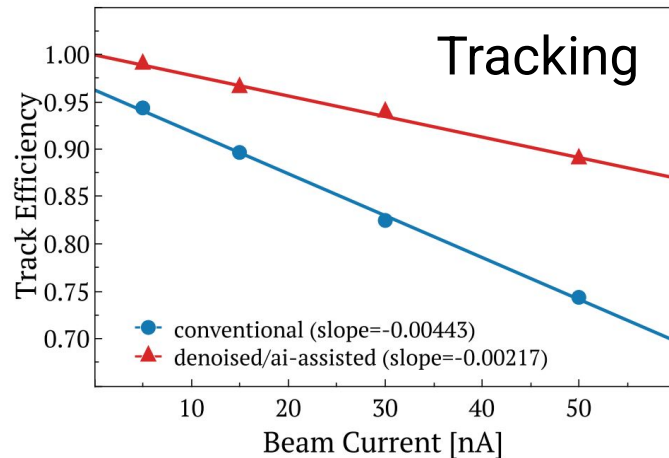
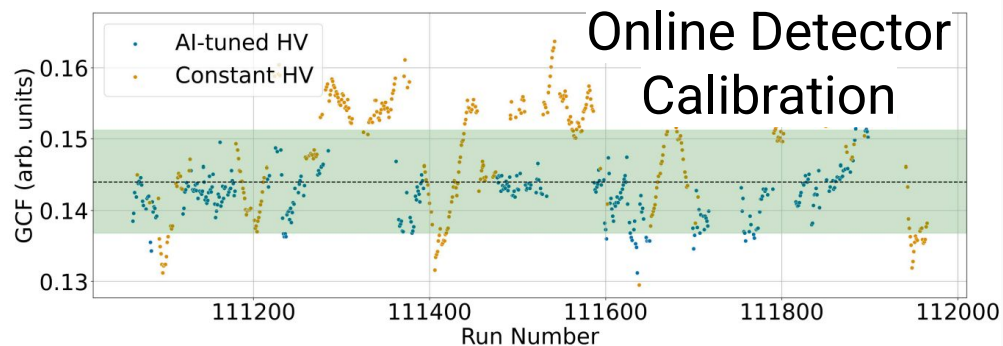
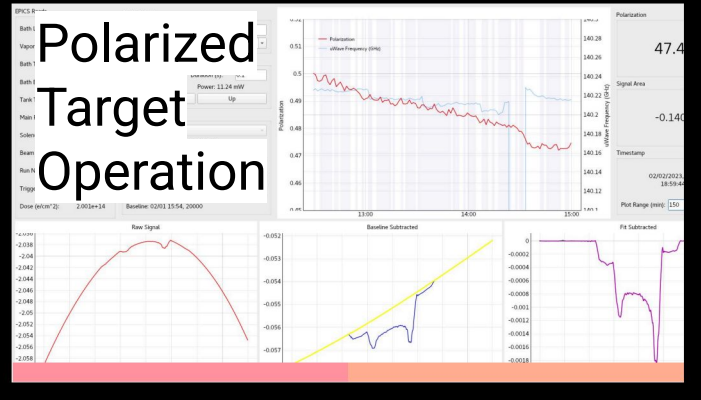
Bkg Sampling Ratio	Electron Efficiency	π^\pm Rejection
0	0.9674	473.2436
1	0.9499	439.7209
3	0.9675	370.5415
5	0.9623	291.6676
10	0.9355	309.3121
13	0.9222	300.9621
100	0.9331	102.3948



Figures By: Mohhamed Rafi

Other Applications for ML

Polarized Target Operation

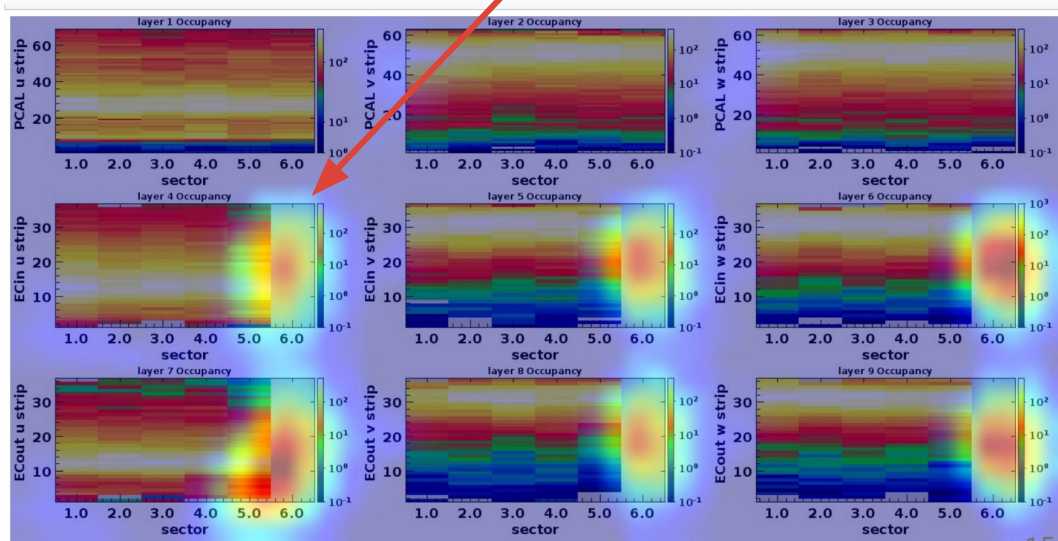
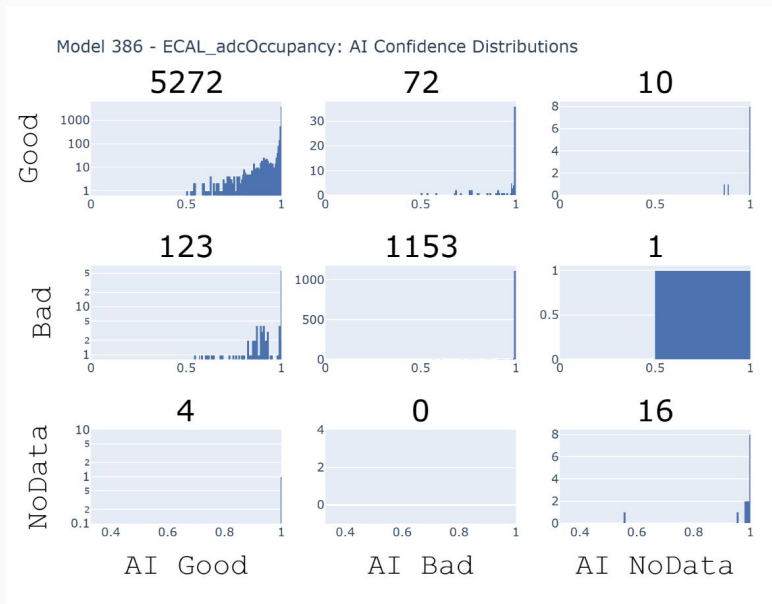


Supervised ML Classification via Hydra

- ❖ Train image-classification NN on monitoring plots
- ❖ Augment failure examples with pseudo-data

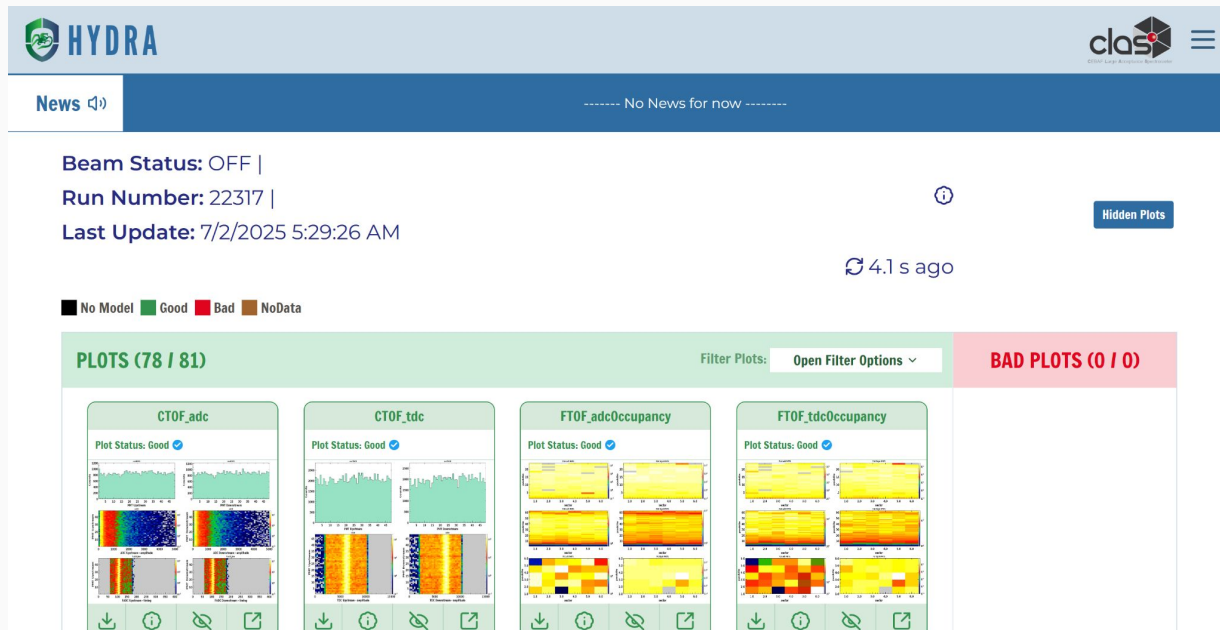


Model looks at images and finds problems



Supervised ML Classification via Hydra

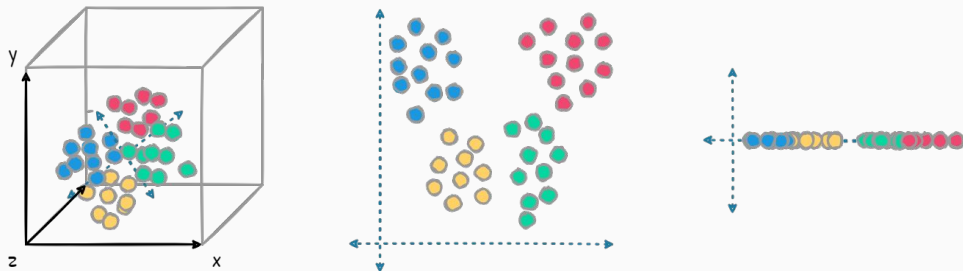
- ❖ Same general principle as ML for PID
- ❖ Interface operational for all four experimental halls
- ❖ Online models for CLAS12 and GlueX



What's the Point of ML?

- ❖ Leveraging correlations in high-dimensional data
 - ML PID boils complicated cuts into 1D probability cuts
- ❖ Data Science / ML methods forces careful understanding of data
 - Careful matching of sim-data needed for ML PID
- ❖ Developing ML-based tools provides training ground for students
 - Taught detector physics, analysis methods, etc to me + 4 students

Dimensionality Reduction



Questions/Comments



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ENERGY

Office of
Science



UNIVERSITY
of VIRGINIA



ML Model - Basics

Small NN



```
Dense(256, activation="relu"), BatchNormalization(), Dropout(0.15),  
Dense(128, activation="relu"), BatchNormalization(), Dropout(0.15),  
Dense(64, activation="relu"), BatchNormalization(), Dropout(0.15),  
Dense(32, activation="relu"), BatchNormalization(), Dropout(0.15),  
Dense(16, activation="relu"), BatchNormalization(), Dropout(0.15),  
Dense(8, activation="relu"), BatchNormalization(), Dropout(0.15),  
Dense(len(np.unique(y)), activation="softmax")
```

Trigger Cuts



Outlier Cuts

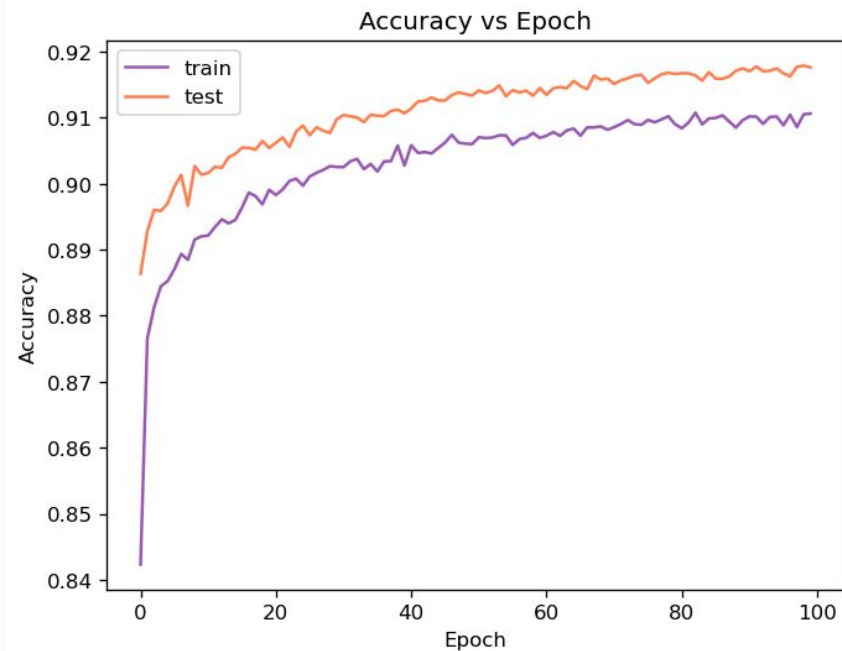
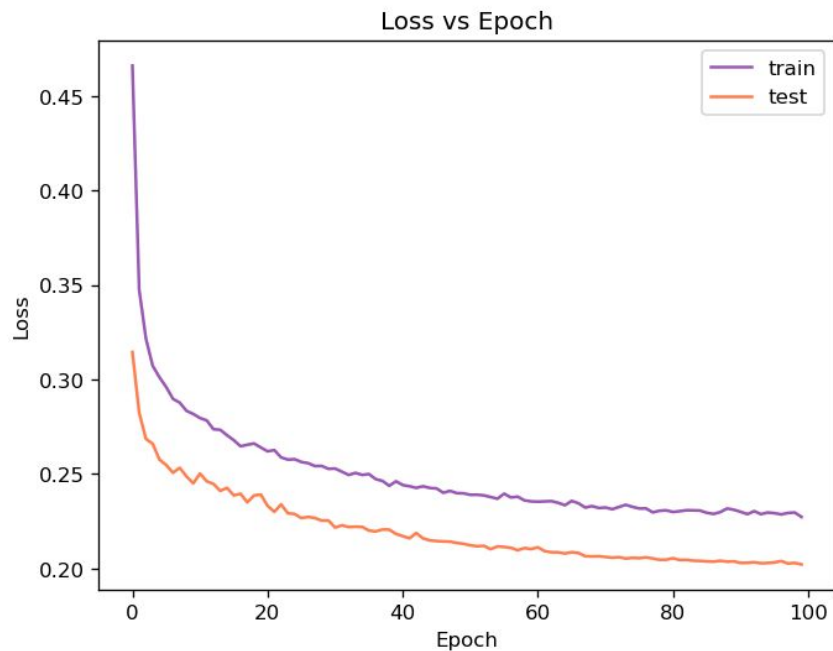


```
#Number of background events per data event  
n_bkg = 0#1#10  
  
Scint_MIPs = [1.65, 3.5, 3.5, 1.65] # A, D, C, B  
  
keeps = (sim_df["pid"]!=0) #((sim_df["pid"]==11) | ((sim_df["pid"]==211))  
trig_keeps = ((sim_df["SC_A_Endsum"]>Scint_MIPs[0]/2)  
              & (sim_df["SC_D_Endsum"]>Scint_MIPs[1]/2)  
              & (sim_df["ShowerSum"]>.5)  
              )  
outlier_cuts = ((sim_df["SC_A_Endsum"]<10) & (sim_df["SC_B_Endsum"]<4) &  
                (sim_df["SC_C_Endsum"]<20) & (sim_df["SC_D_Endsum"]<50))  
  
#data_np = sim_cher[keeps].to_numpy() #Cher Channels  
data_np = (sim_df[(keeps & trig_keeps & outlier_cuts)]).to_numpy()  
#bkg_np = (raw_bkg_df.sample(n=n_bkg*len(data_np), random_state=42, replace=True)).to_numpy()  
#cher_np = Cher_df.to_numpy()  
  
X = data_np[:, [16,17,18,19, 20,21,22,23, 31,28]]#, 25,34,31,28]]#[0, 16,17
```

Train:Test - 60865:26085

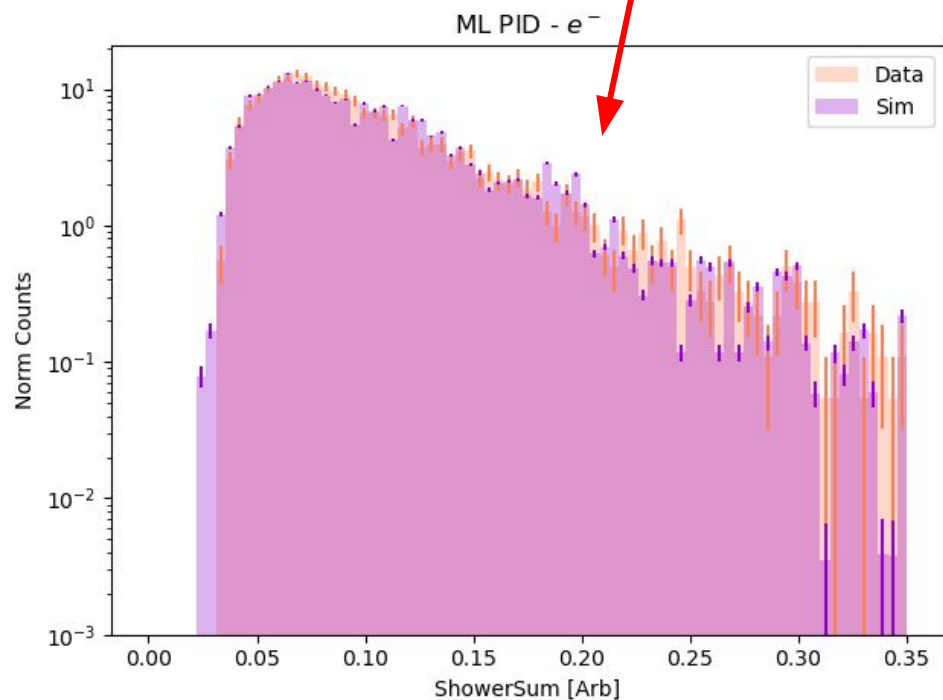
ML Model - Training

- ❖ Provides information on training performance
- ❖ Offset is just normalization effect



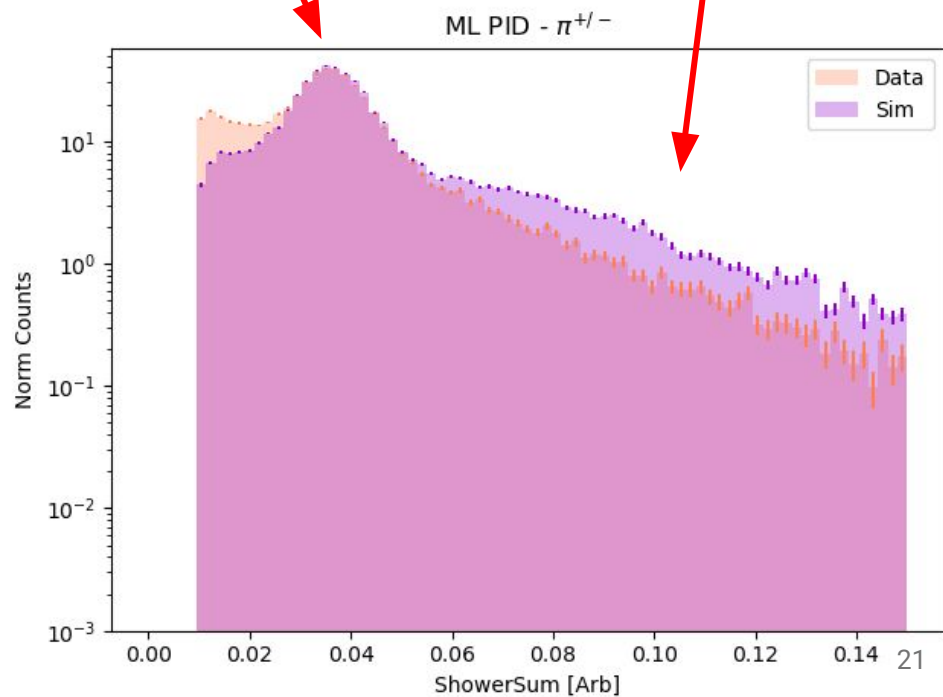
ML PID - Shower Sum

Good Agreement



MIP Match

Tail Disagrees



Ongoing Questions

1. **Data-Sim Scaling:** Aligning data-sim distributions
2. **ECal Resolution:** What resolution/smearing effects should be considered?
We use 35% for the PreShower and 10% for the Shower.
3. **Sim Rate:** Are the "# rate" values accurate? This is critical for realistic comparison where we weight the histograms by rate.
4. **Data Runs:** Which beam currents can we use