

First Measurement of the Proton Generalized Polarizabilities with a polarized electron beam in Virtual Compton Scattering (VCS-IIIb)

A Proposal to Jefferson Lab PAC-53

Hall-A/C summer meeting

Speaker: **Michael Paolone** (New Mexico State University)

On behalf of the VCS-II collaboration and its spokespeople:

N. Sparveris*, H. Atac, S. Lee, M.K. Jones and M. Paolone

*contact person

First Measurement of the Proton Generalized Polarizabilities with a polarized electron beam in Virtual Compton Scattering (VCS-IIIb)

Temple University, PA, USA

H. Atac (co-spokesperson), N. Ifat, Y. Niroula, S. Shrestha, P.~Smith, N. Sparveris~(spokesperson / contact person)

Thomas Jefferson National Accelerator Facility, VA, USA

D. Higinbotham, M. Jones (co-spokesperson), M. McCaughan

New Mexico State University, NM, USA

M.Ali, B.Duran, M. Engelhardt, M. Paolone (co-spokesperson), C. Paudel

Physics Division, Argonne National Laboratory, IL, USA

S. Joosten, H.T. Klest, S. Lee (co-spokesperson), Z.E. Meziani, C. Peng

Hampton University, VA, USA

M. Kohl

Rutgers, The State University of New Jersey, USA

R. Gilman

Duke University, NC, USA

H. Gao, Y. Liu, B. Yu, Y. Yu, Z. Zhao, J. Zhou

A.I. Alokanyan National Science Laboratory - Yerevan Physics Institute, Armenia

A. Mkrtchyan, H. Mkrtchyan, V. Tadevosyan

Karadeniz Technical University, Turkey

M.~Demirci

First Measurement of the Proton Generalized Polarizabilities with a polarized electron beam in Virtual Compton Scattering (VCS-IIIb)

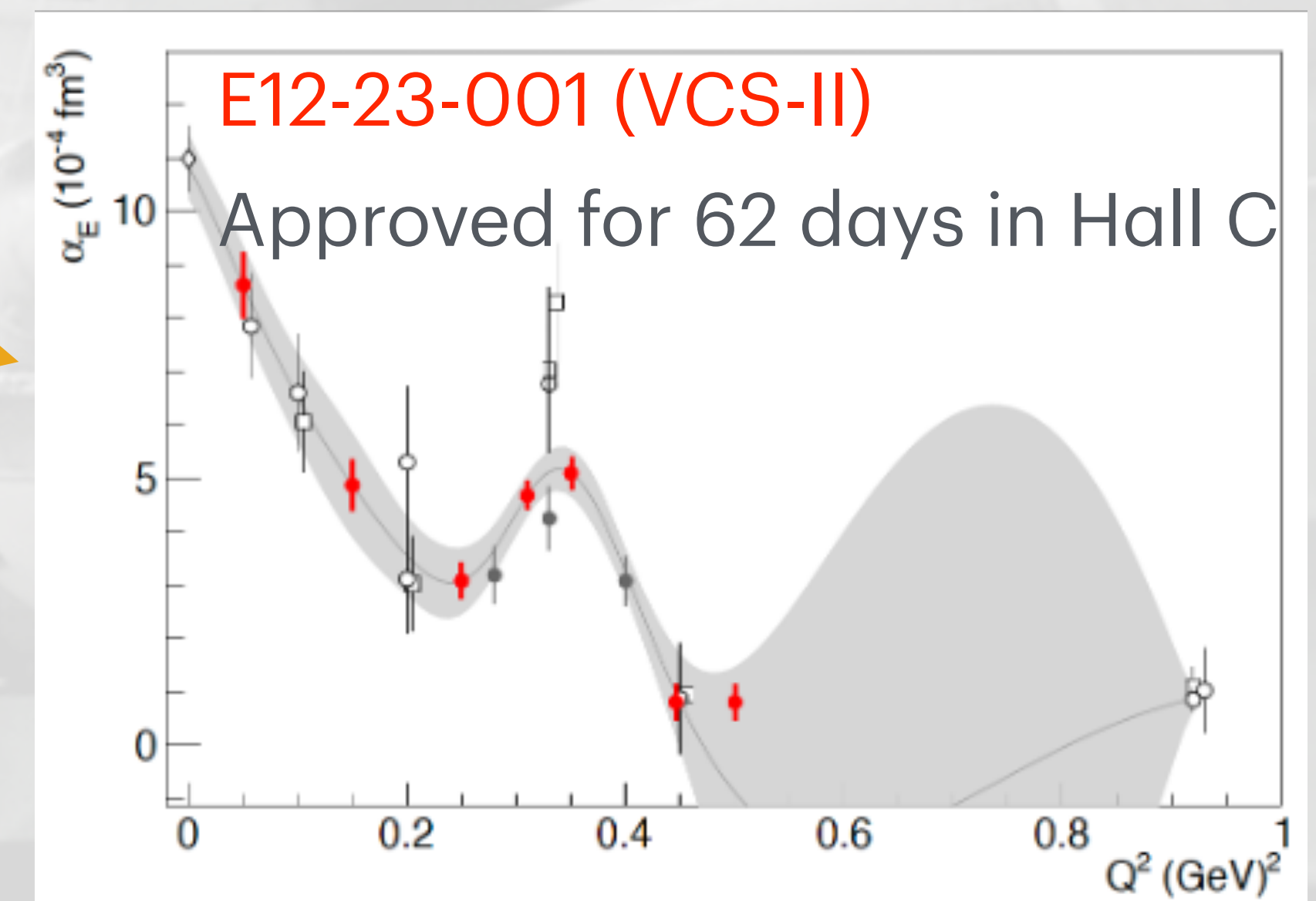
The proposal follows the Letter-of-Intent LOI12+23-001

PAC51 Recommendation:

“The physics case presented in the proposal is robust, and the document is very well-written. Feasibility concerns have not been identified, and the PAC encourages the proponents to proceed and submit a full proposal ...”

The proposal adds a unique component to an ongoing experimental program at JLab

First measurement of the proton GPs utilizing polarization measurements (BSAs) as an alternative to the unpolarized VCS cross section measurements

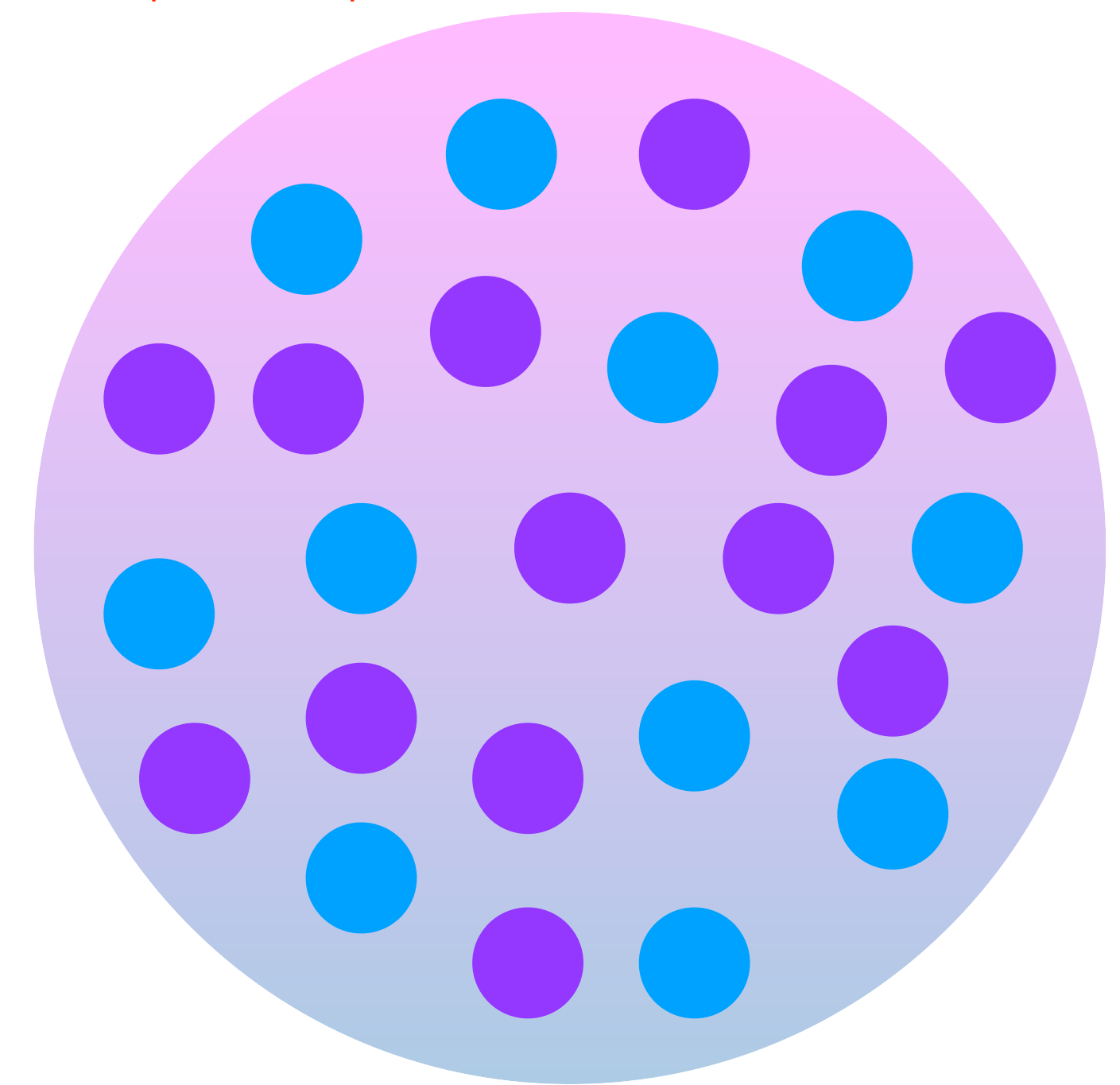


Motivation: Explore the fundamental properties of the nucleon

If we **want** to understand the characteristics of the proton as a building block of the universe... we **need** to understand the dynamics of the proton's constituents and how they contribute to those emergent characteristics.

- **Polarizability** is an important characteristic of the proton:
 - How rigid is the proton in the presence of an EM field?
 - A fundamental property of the proton!
 - Sensitive to the excitation of the proton.
 - Can be accessed by Compton scattering (the photon acting as an induced EM field)
- **Generalized Polarizabilities** (GPs)
 - Accessed via virtual photon interaction.
 - Probe length (Q^2) provides information on proton constituents in relation to structure of the proton

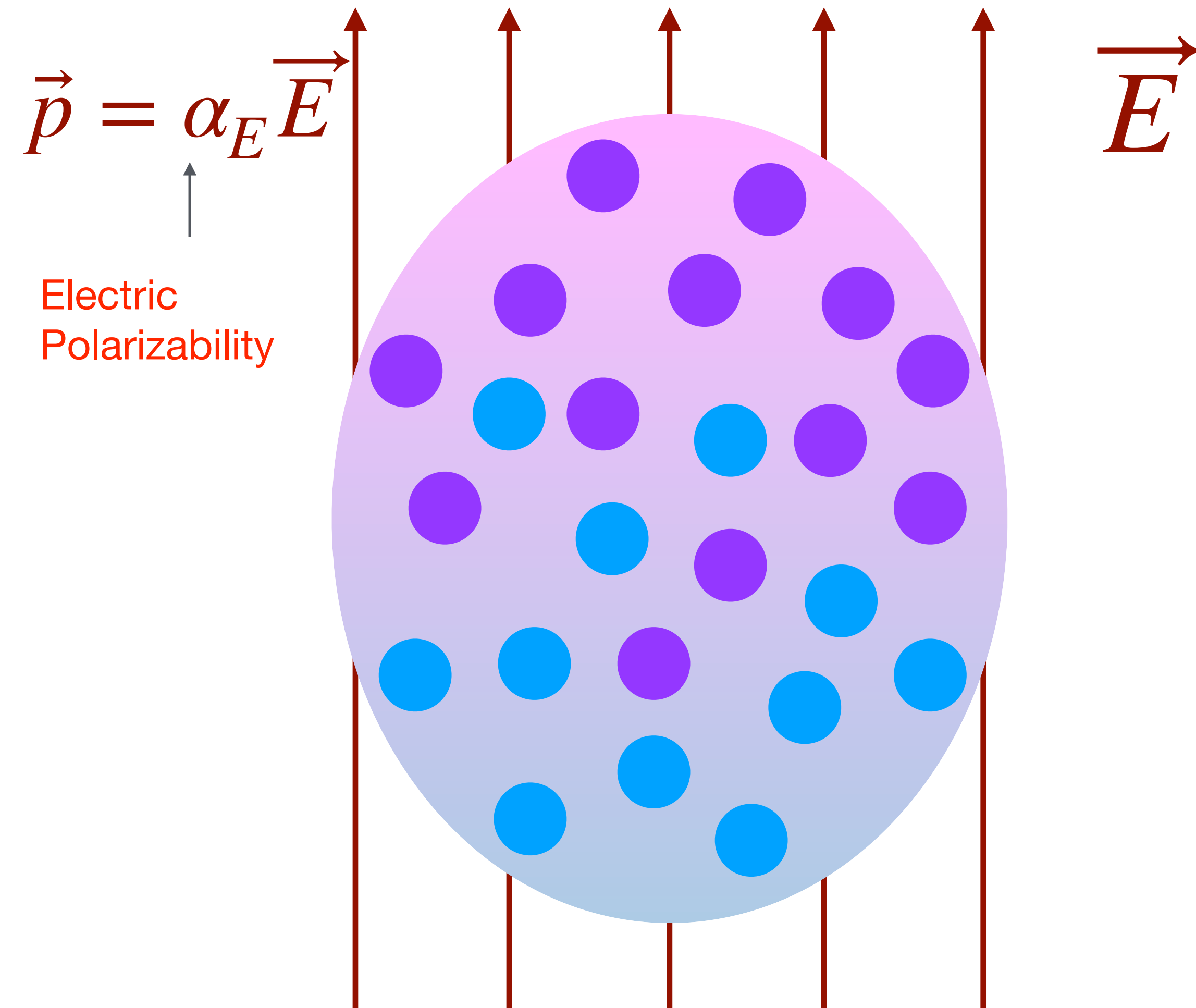
The proton is the only known stable composite particle!



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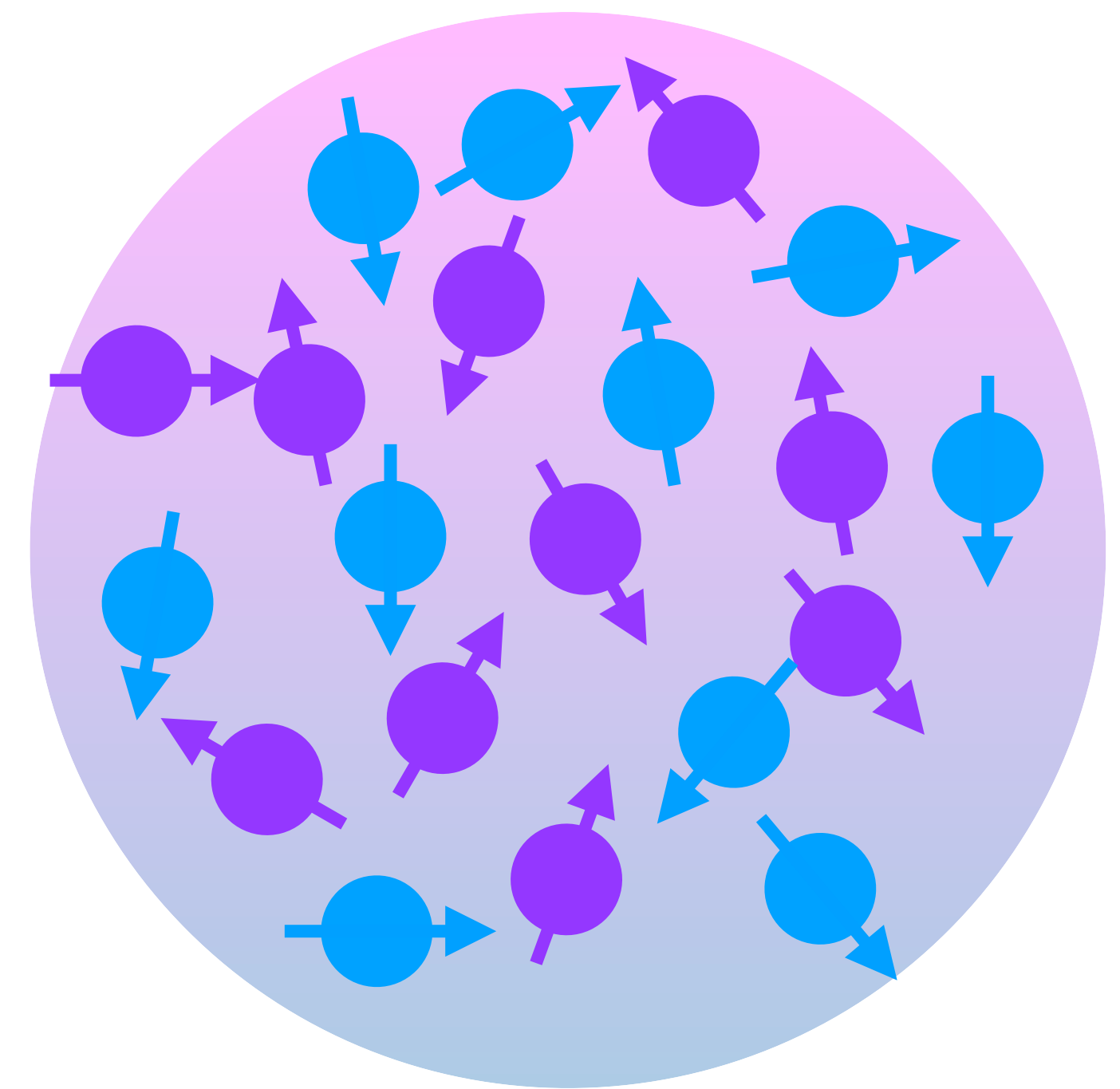
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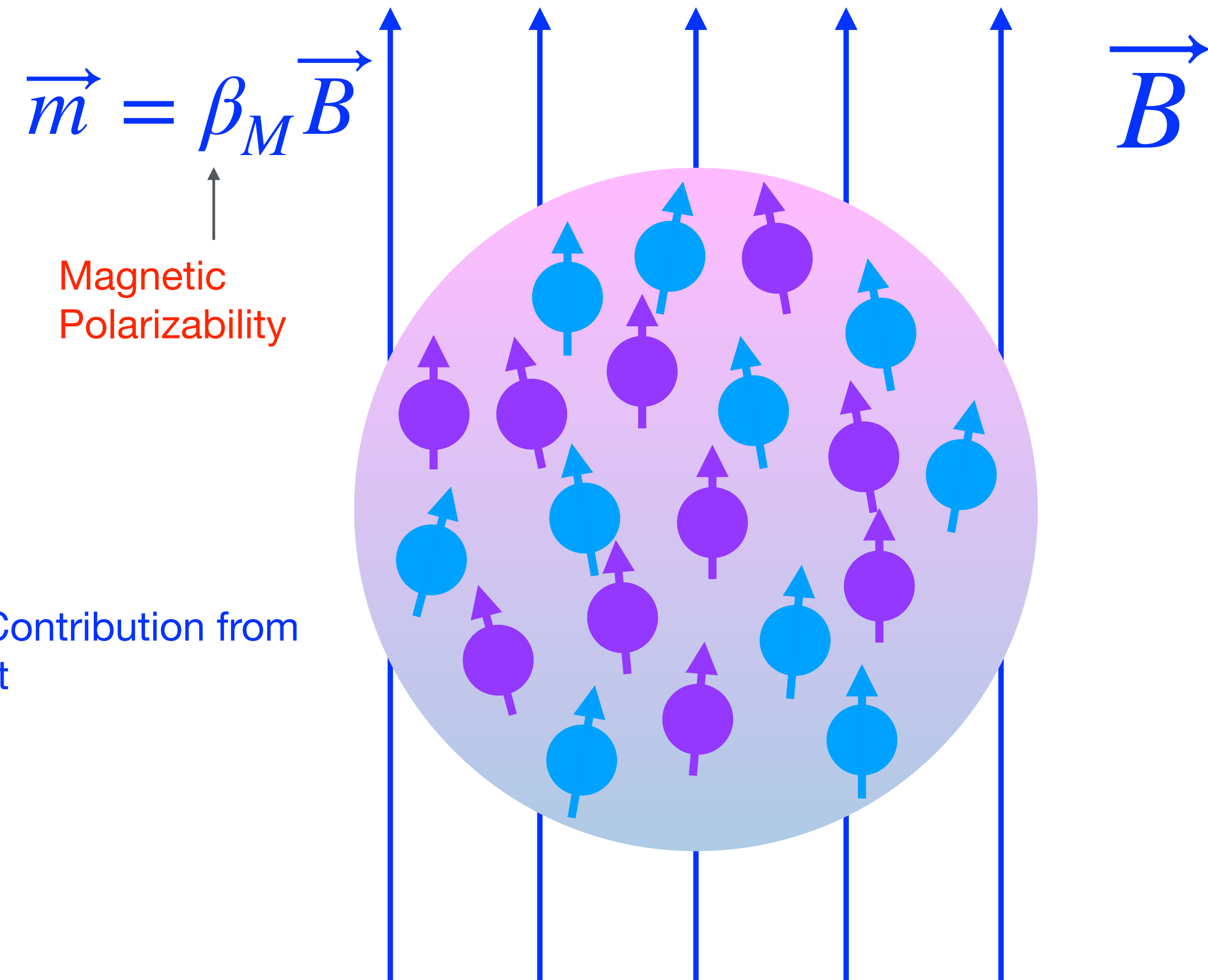
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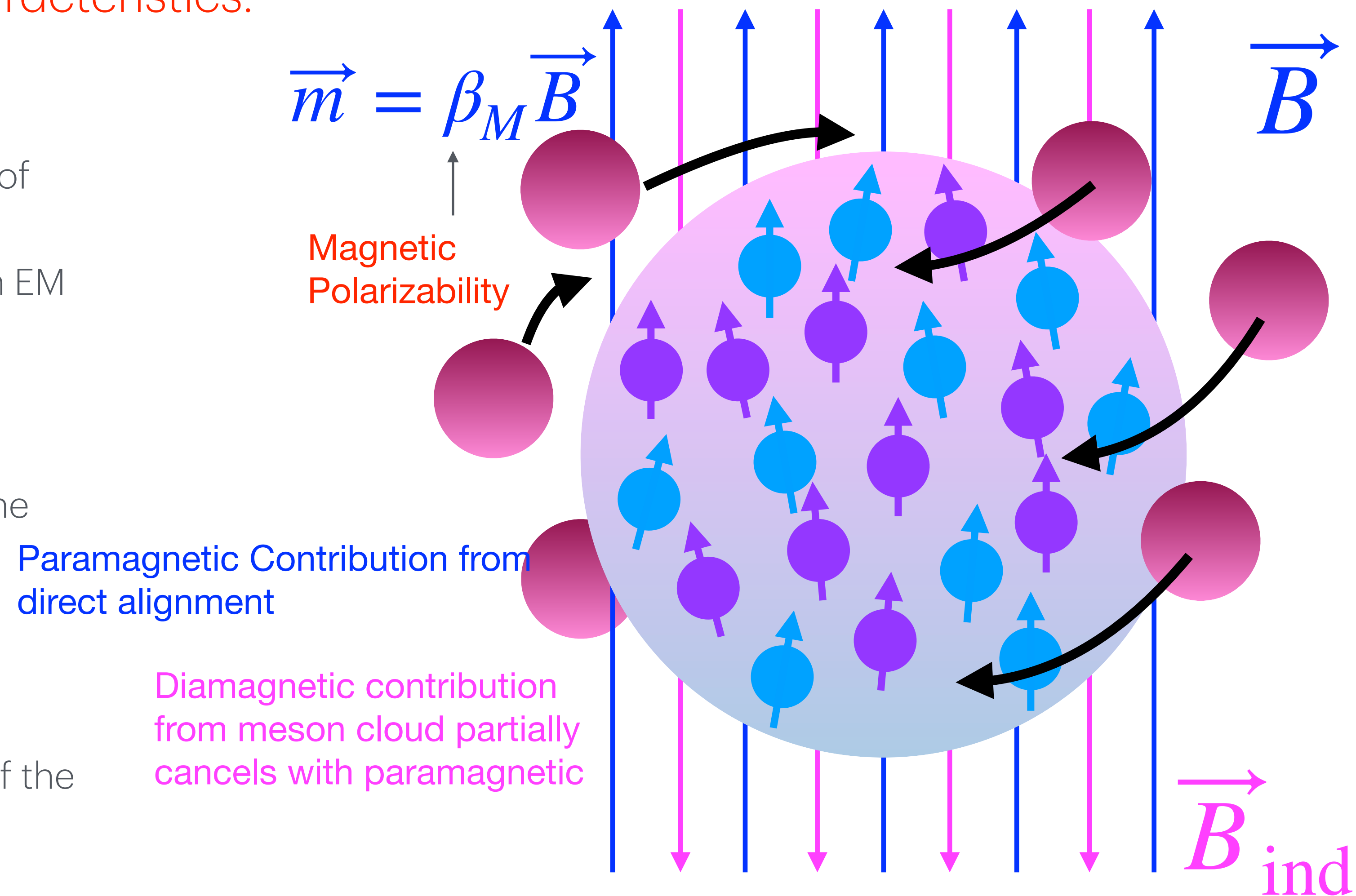
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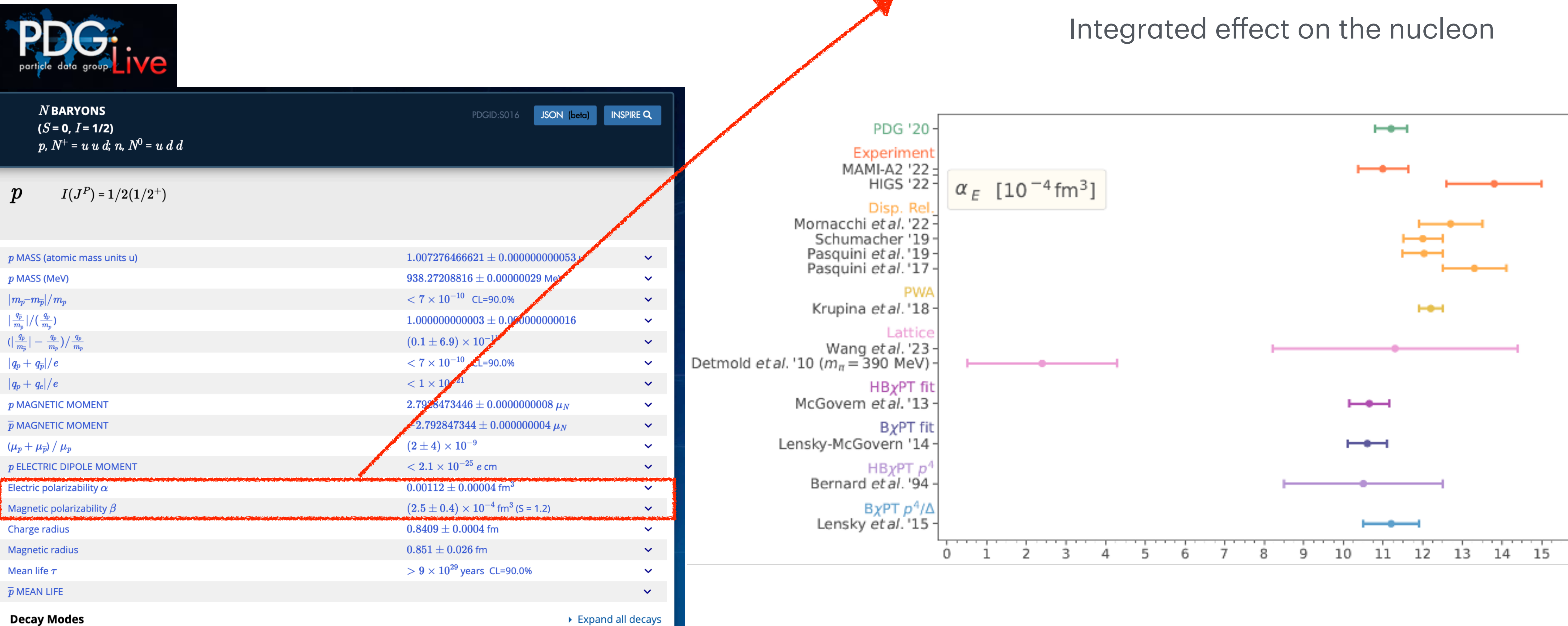
Static Polarizabilities

Listed in the PDG as a fundamental property:

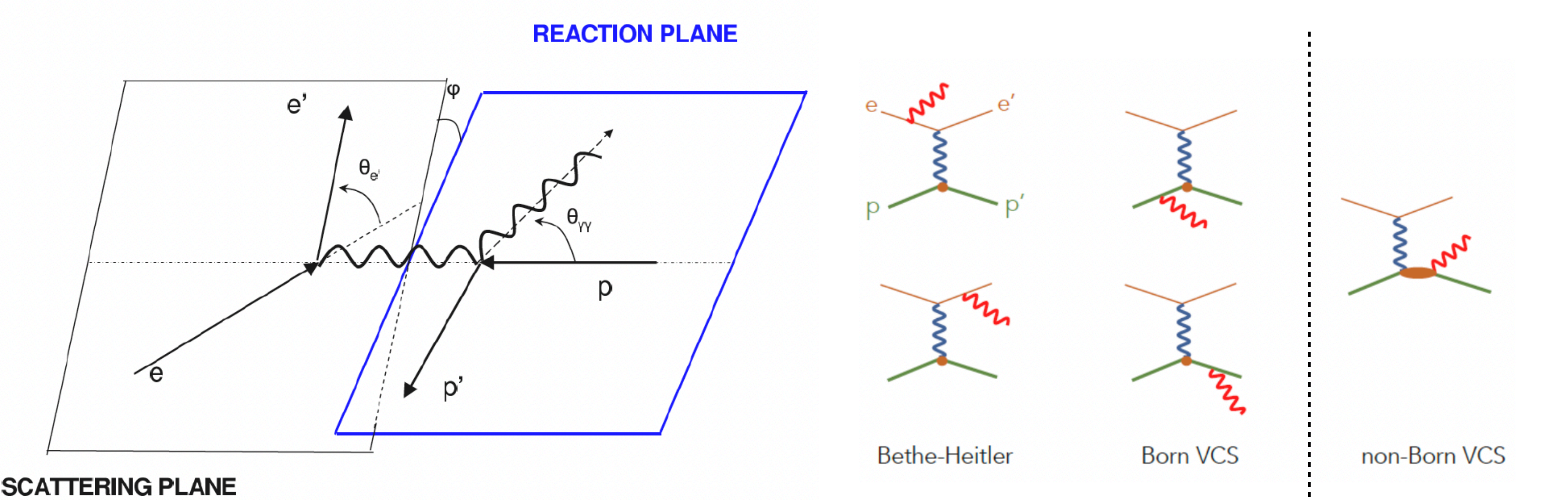
$$\alpha = (11.2 \pm 0.4) \times 10^{-4} \text{ fm}^3$$

$$\beta = (2.5 \pm 0.4) \times 10^{-4} \text{ fm}^3$$

Integrated effect on the nucleon



Virtual Compton Scattering



Unpolarized

Cross section is five-fold differential: $\frac{d^5\sigma}{d\Omega_{e'}dE_{e'}d\theta_{\gamma^*\gamma}d\phi}$

DR

valid below & above
Pion threshold

Dispersive integrals
for Non Born amplitudes

Spin GPs are fixed

Scalar GPs have
an unconstrained part

Fit to the experimental
cross sections at each Q^2

scalar GPs α_E and β_M

LEX

valid only below
Pion threshold

$$d^5\sigma = d^5\sigma^{BH+Born} + q'_{cm} \cdot \phi \cdot \Psi_0 + \mathcal{O}(q'^2_{cm})$$

$$\Psi_0 = v_1 \cdot \left(P_{LL} - \frac{1}{\epsilon} P_{TT}\right) + v_2 \cdot P_{LT}$$

Subtract the spin part

$$P_{TT} = [P_{TT \text{ spin}}]$$

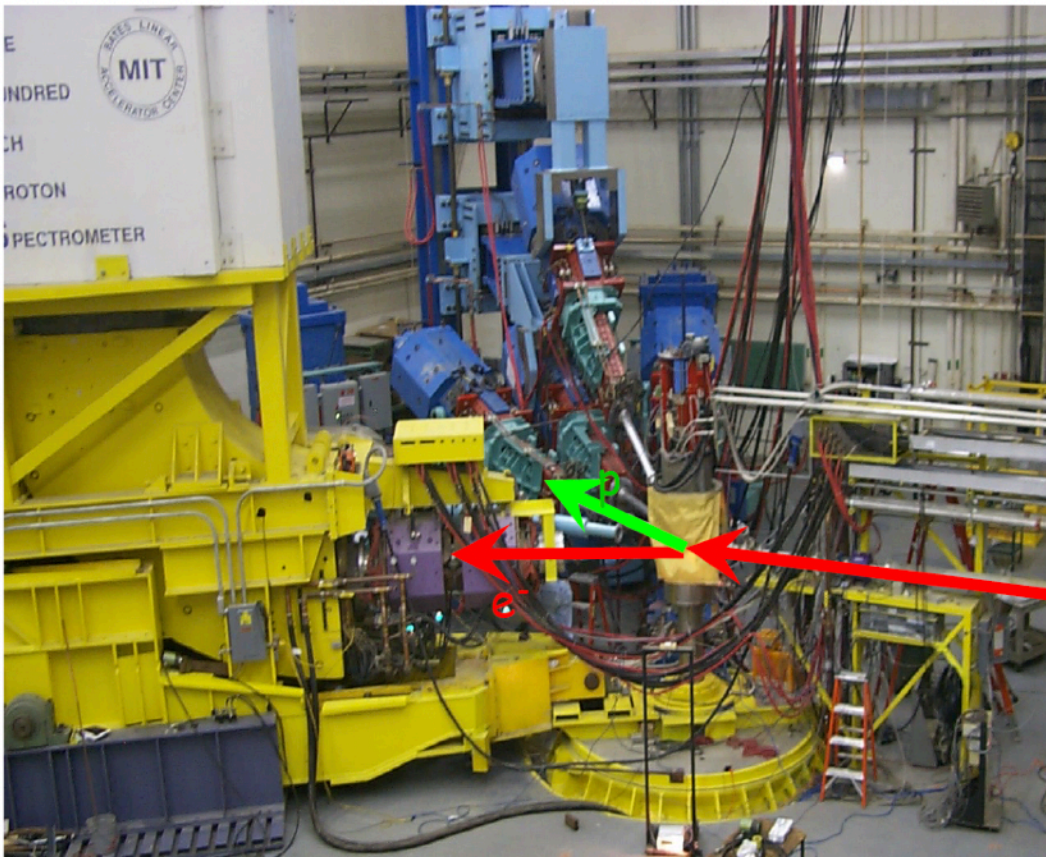
$$P_{LT} = -\frac{2M}{\alpha_{em}} \sqrt{\frac{q'^2_{cm}}{Q^2}} \cdot G_E^p(Q^2) \cdot \beta_M(Q^2) + [P_{LT \text{ spin}}]$$

utilize DR

- Traditionally, there are two methods to extract the polarizabilities:

- The **DR** (dispersion relations) method:
 - Available above and below the pion threshold
 - The scalar polarizabilities enter as free parameters to be fit.
- The **LEX** (Low energy expansion) method
 - Valid only below the pion threshold

MIT-Bates @ $Q^2=0.06 \text{ GeV}^2$

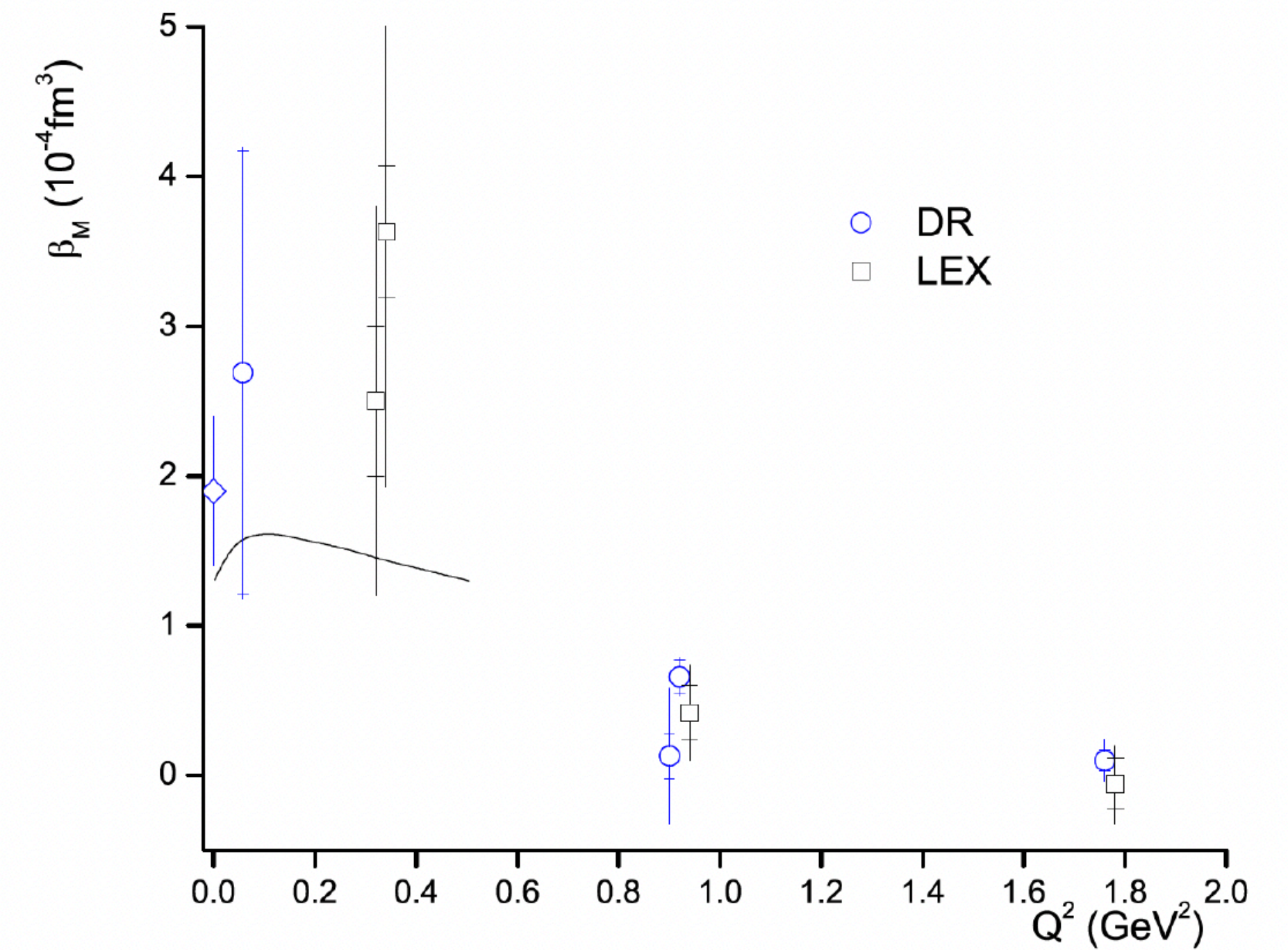
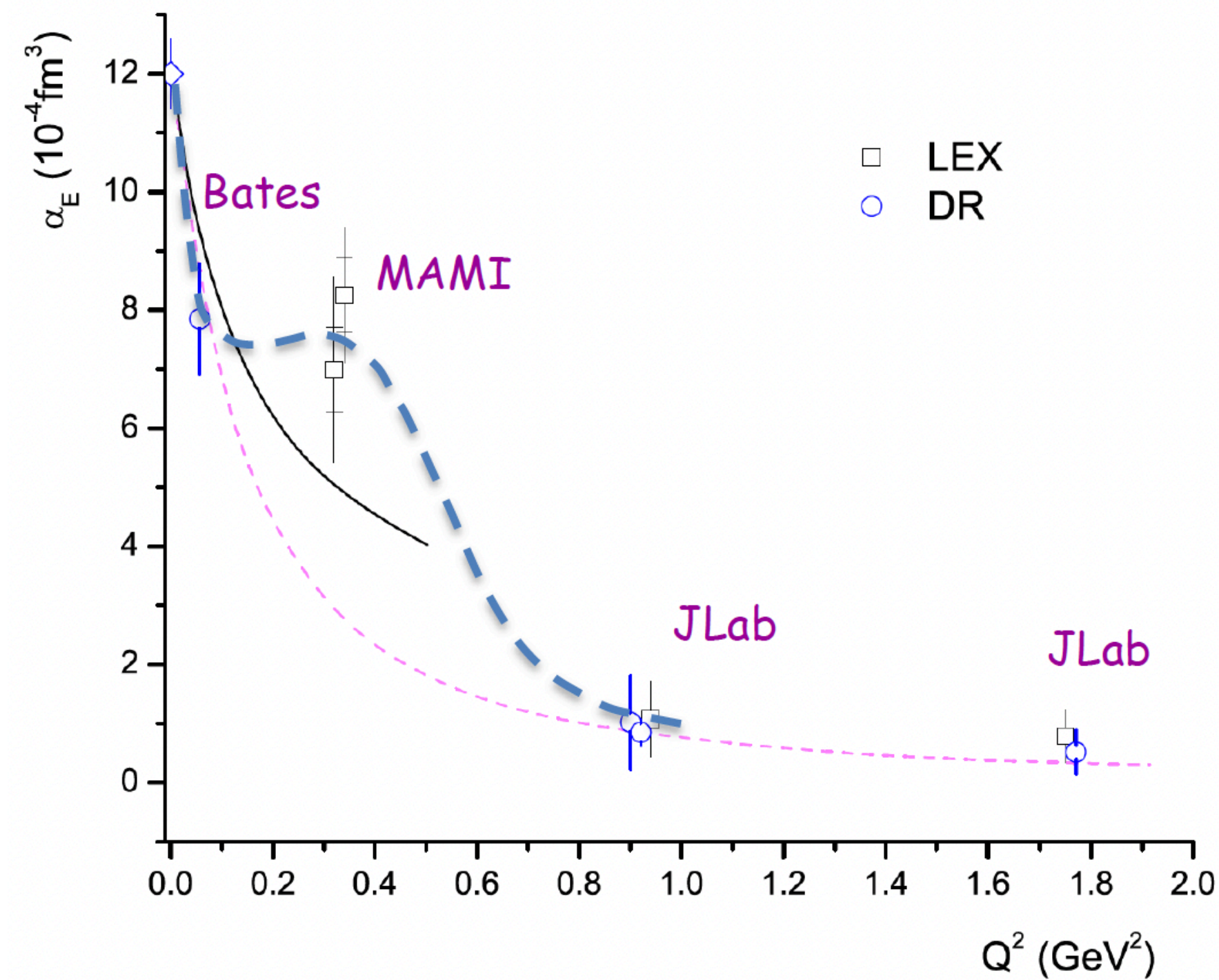
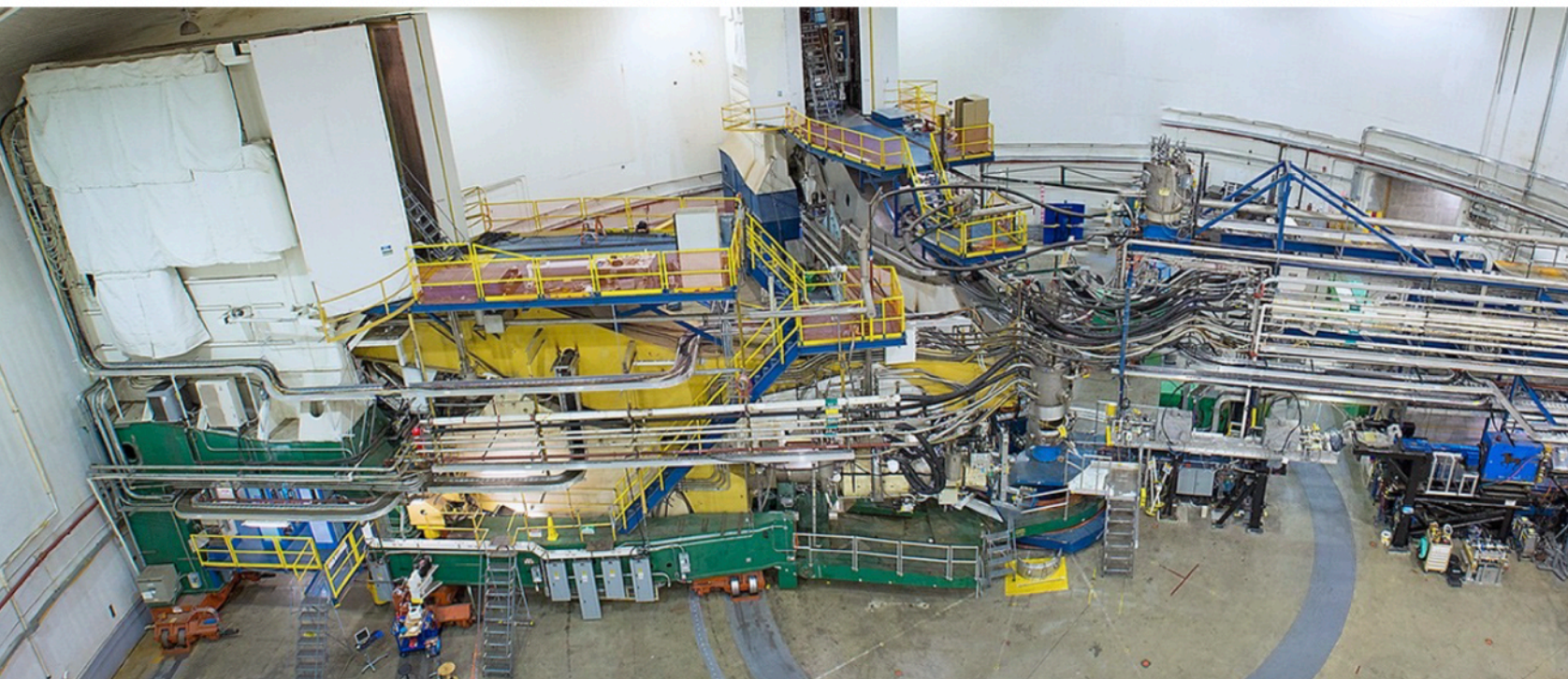


Early Experiments

MAMI-A1 @ $Q^2=0.33 \text{ GeV}^2$

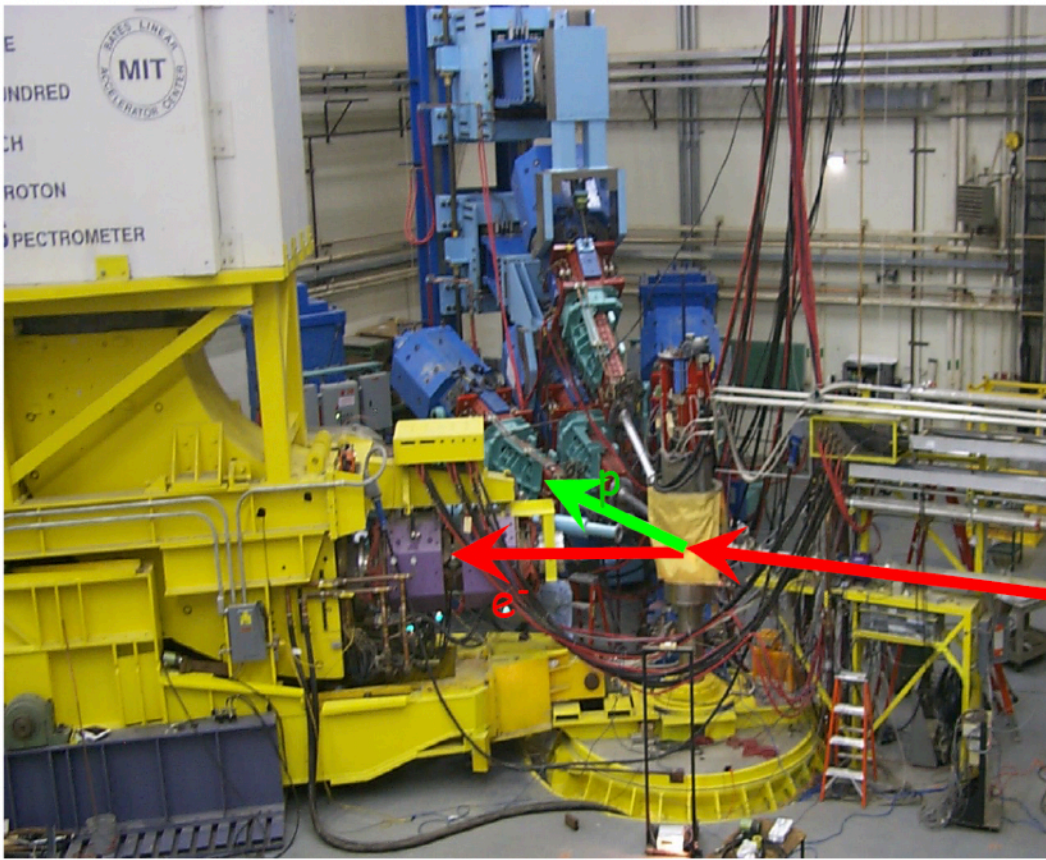


Jlab-Hall A @ $Q^2=0.9 \text{ \& } 1.8 \text{ GeV}^2$



Initial investigations showed that the proton generally increases in stiffness as Q^2 increases.

MIT-Bates @ $Q^2=0.06 \text{ GeV}^2$

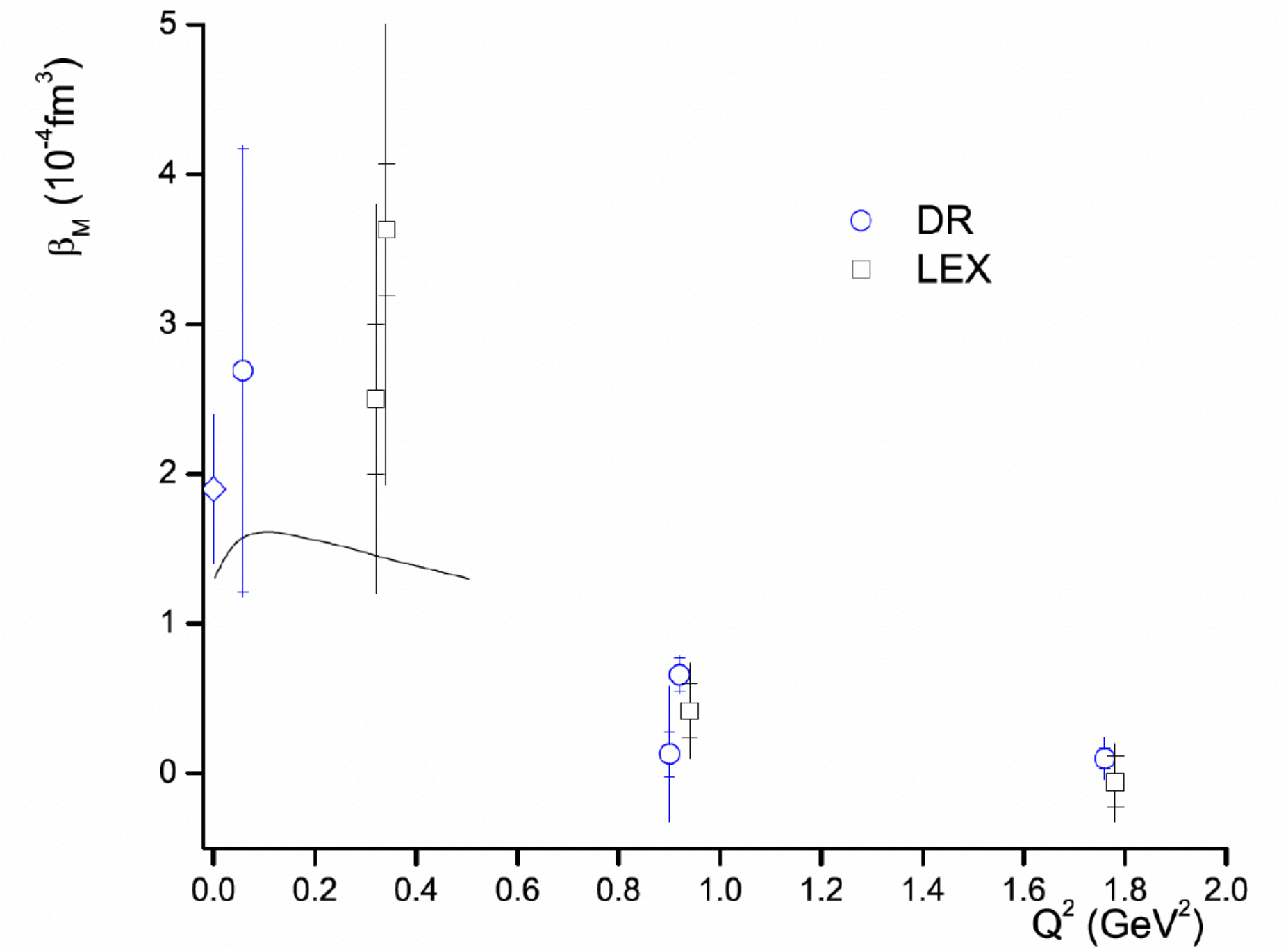
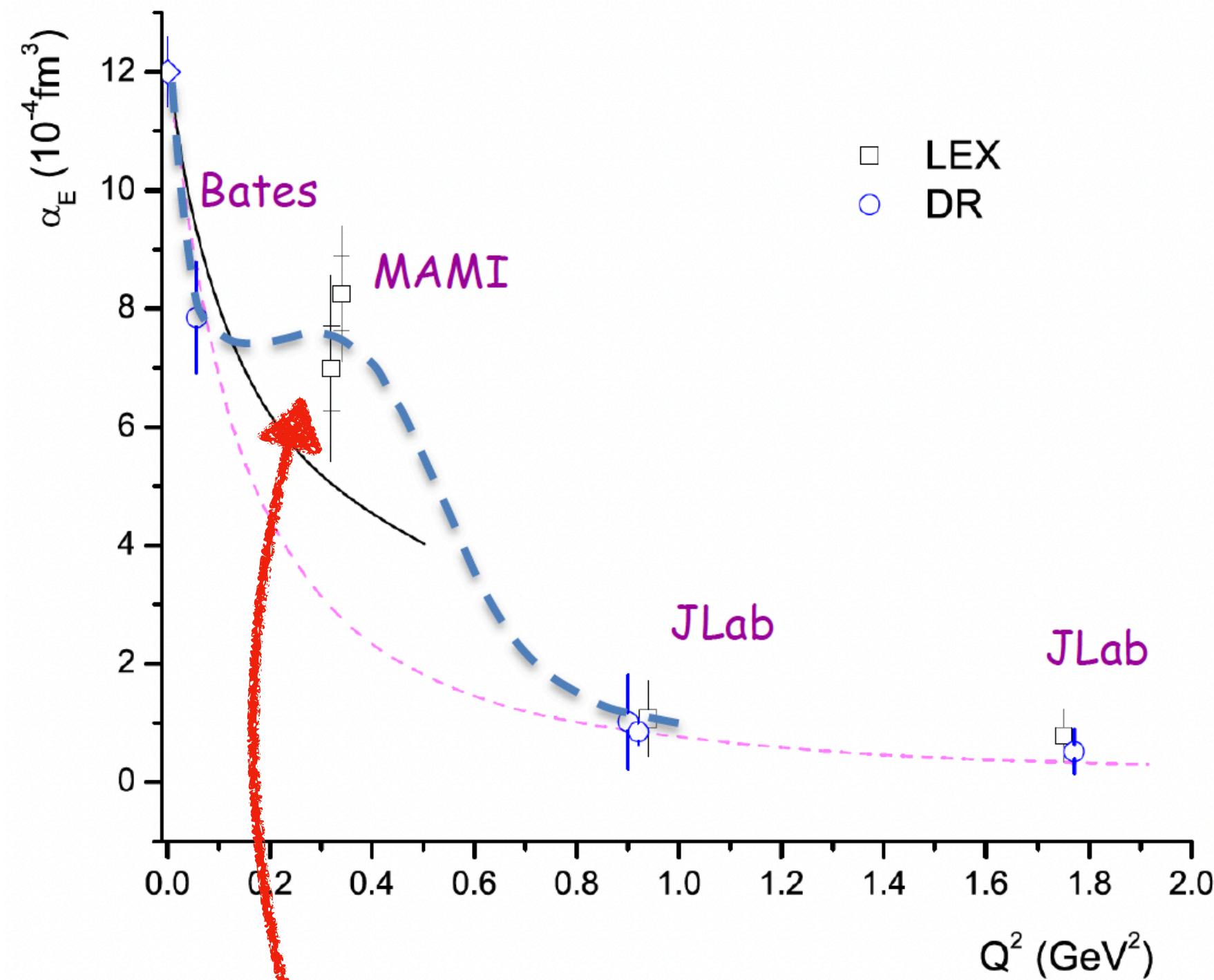
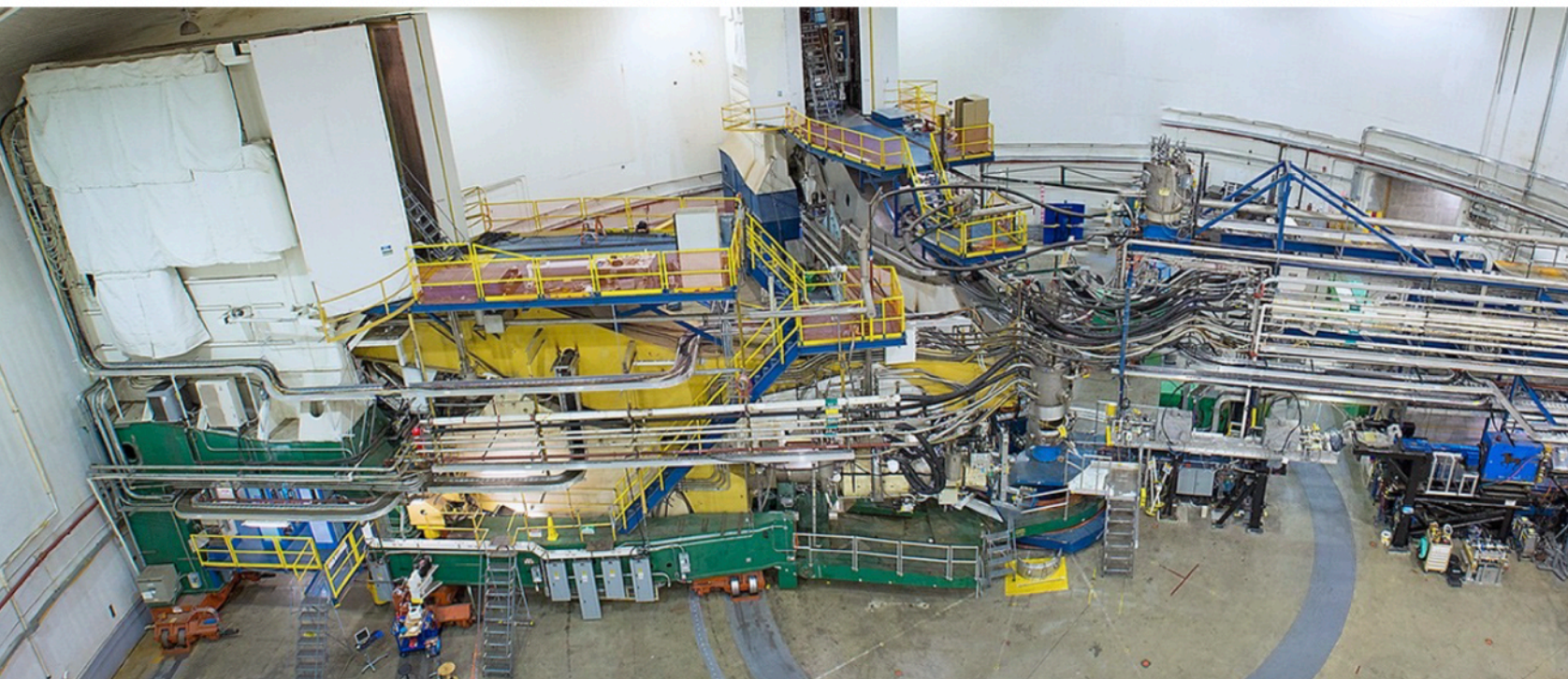


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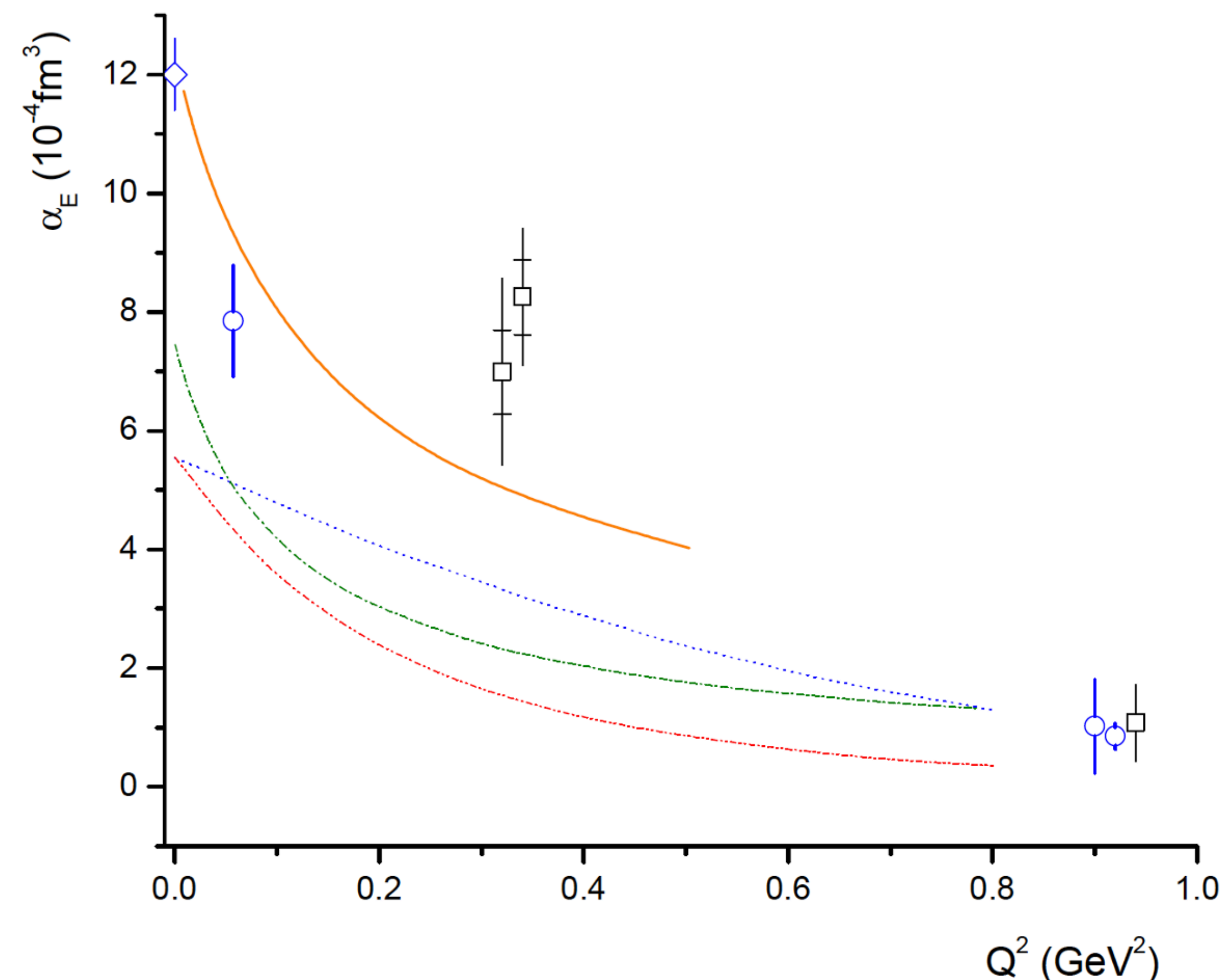
Initial investigations showed that the proton generally increases in stiffness as Q^2 increases.

Early $Q^2 = 0.33 \text{ GeV}^2$ measurement at MAMI seemed to buck the trend of a monotonic decrease. A second measurement at MAMI had similar results.

Phys. Rev. Lett 85, 708 (2000)

Eur. Phys. J. A37, 1-8 (2008)

Theoretical Predictions



HBChPT

NRQCM

Effective Lagrangian Model

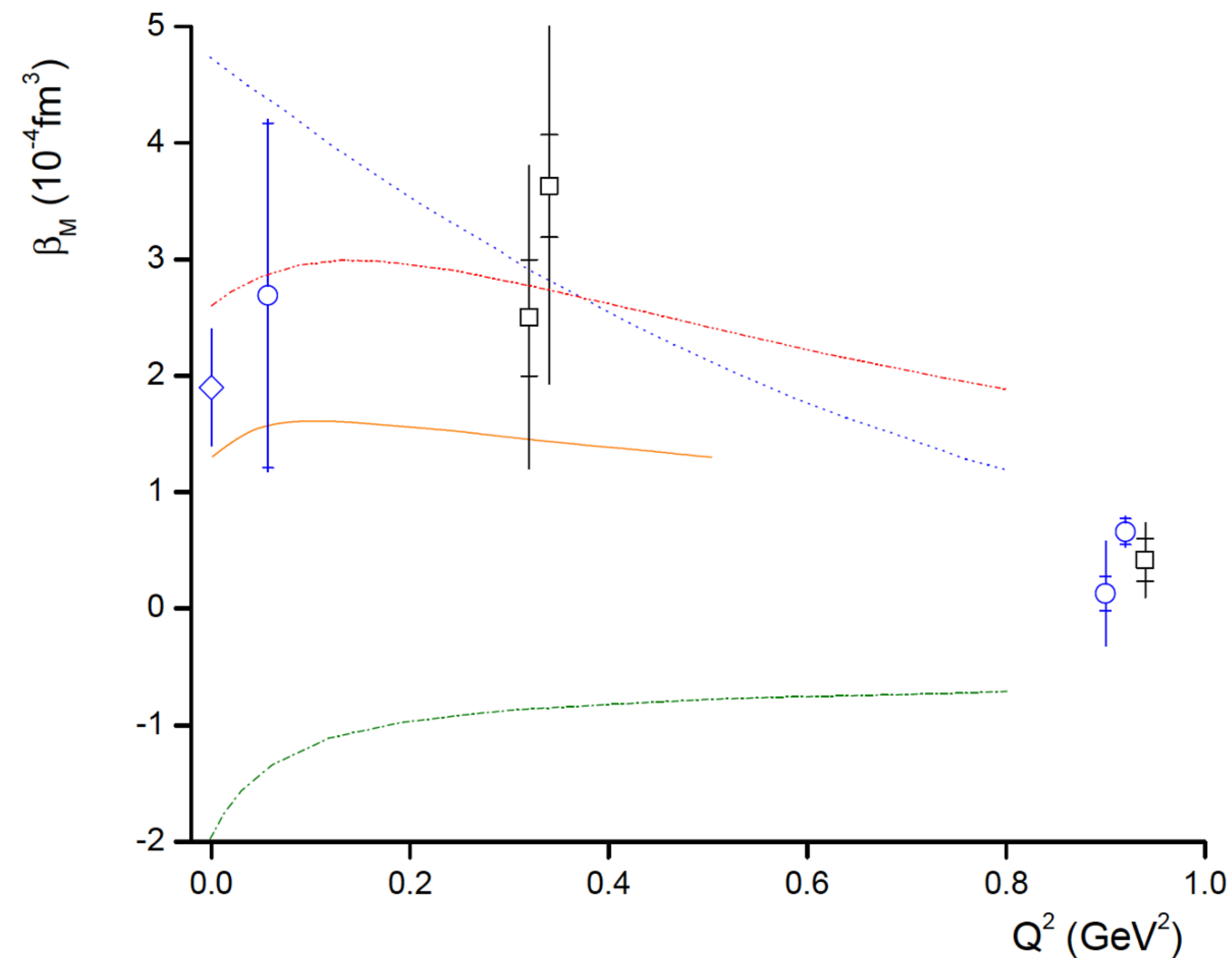
Linear Sigma Model

T.R. Hemmert et al

B. Pasquini et al

A. Yu. Korchin and O. Scholten

A. Metz and D. Drechsel



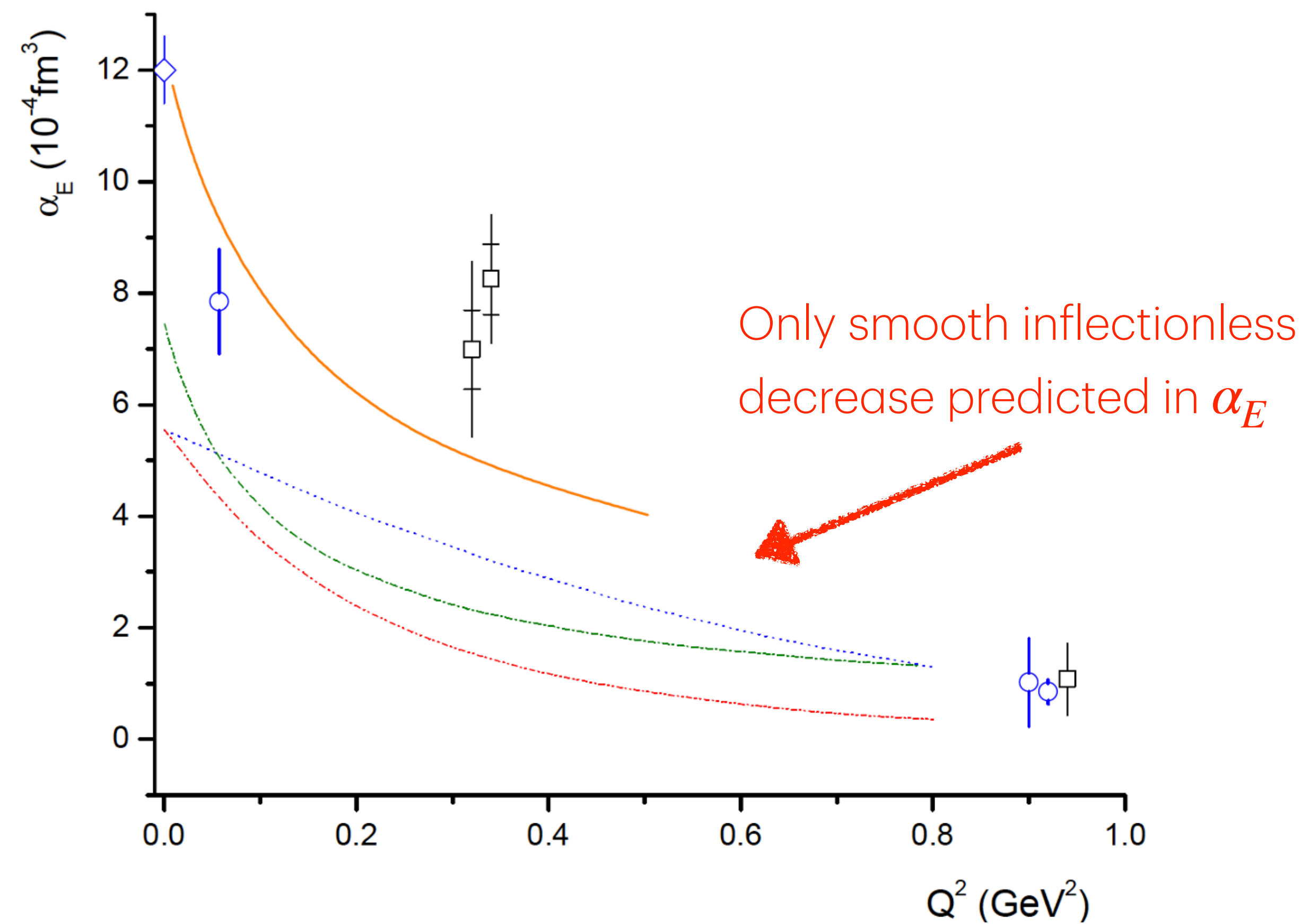
Phys. Rev. D 62, 014013 (2000)

Phys. Rev. C 63, 025205 (2001)

Phys. Rev. C 58, 1098 (1998)

Z. Phys. A 356, 351 (1996)

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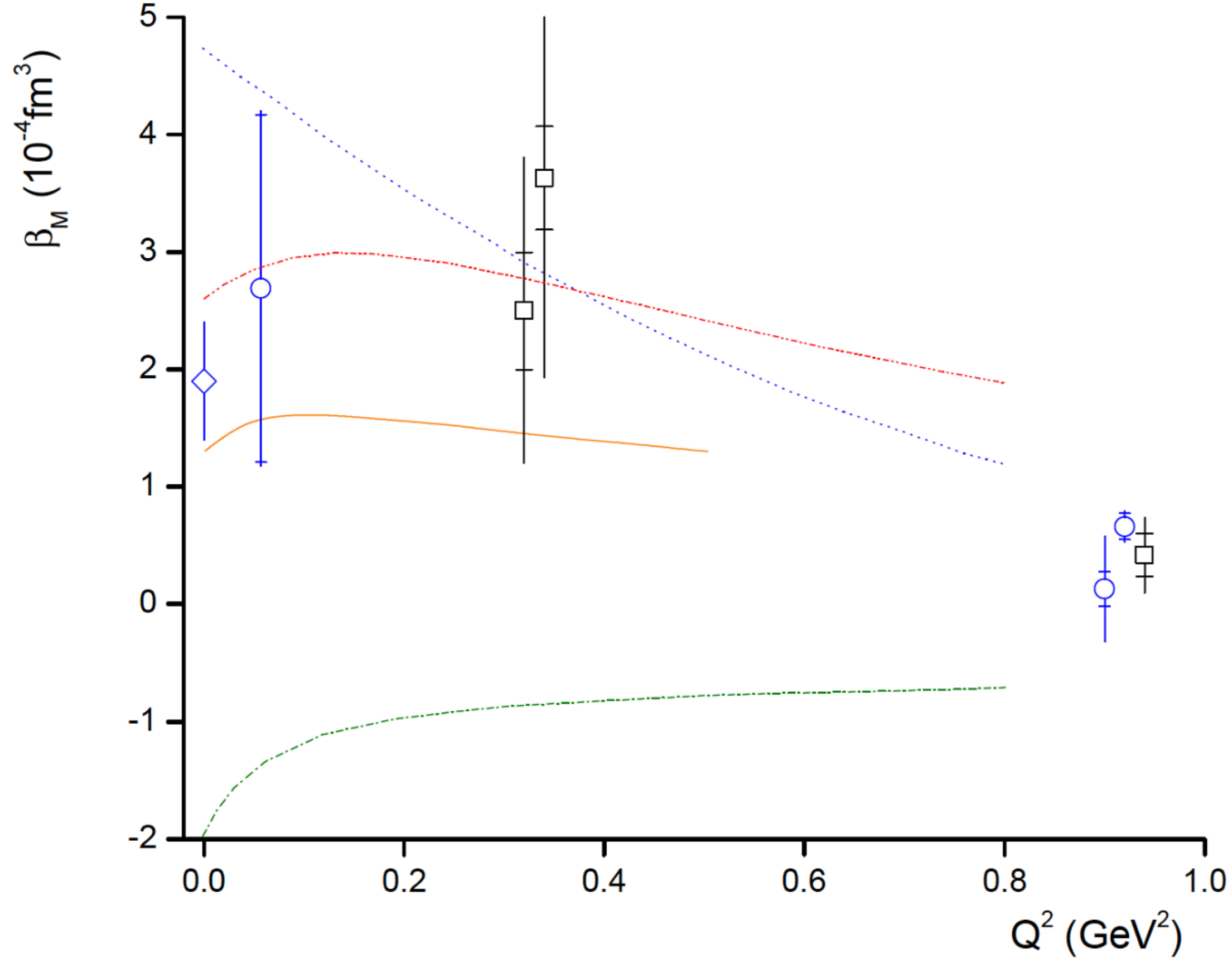
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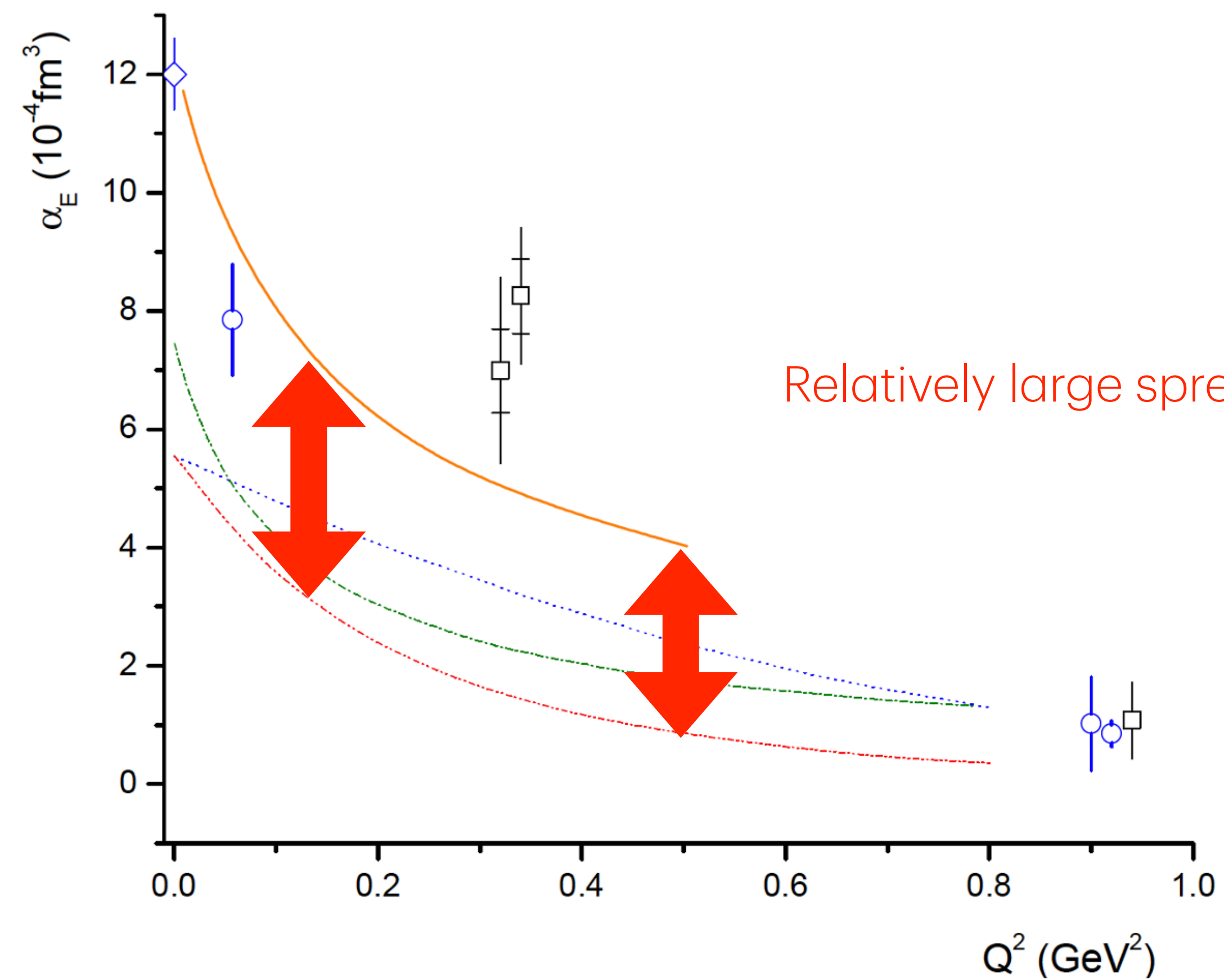
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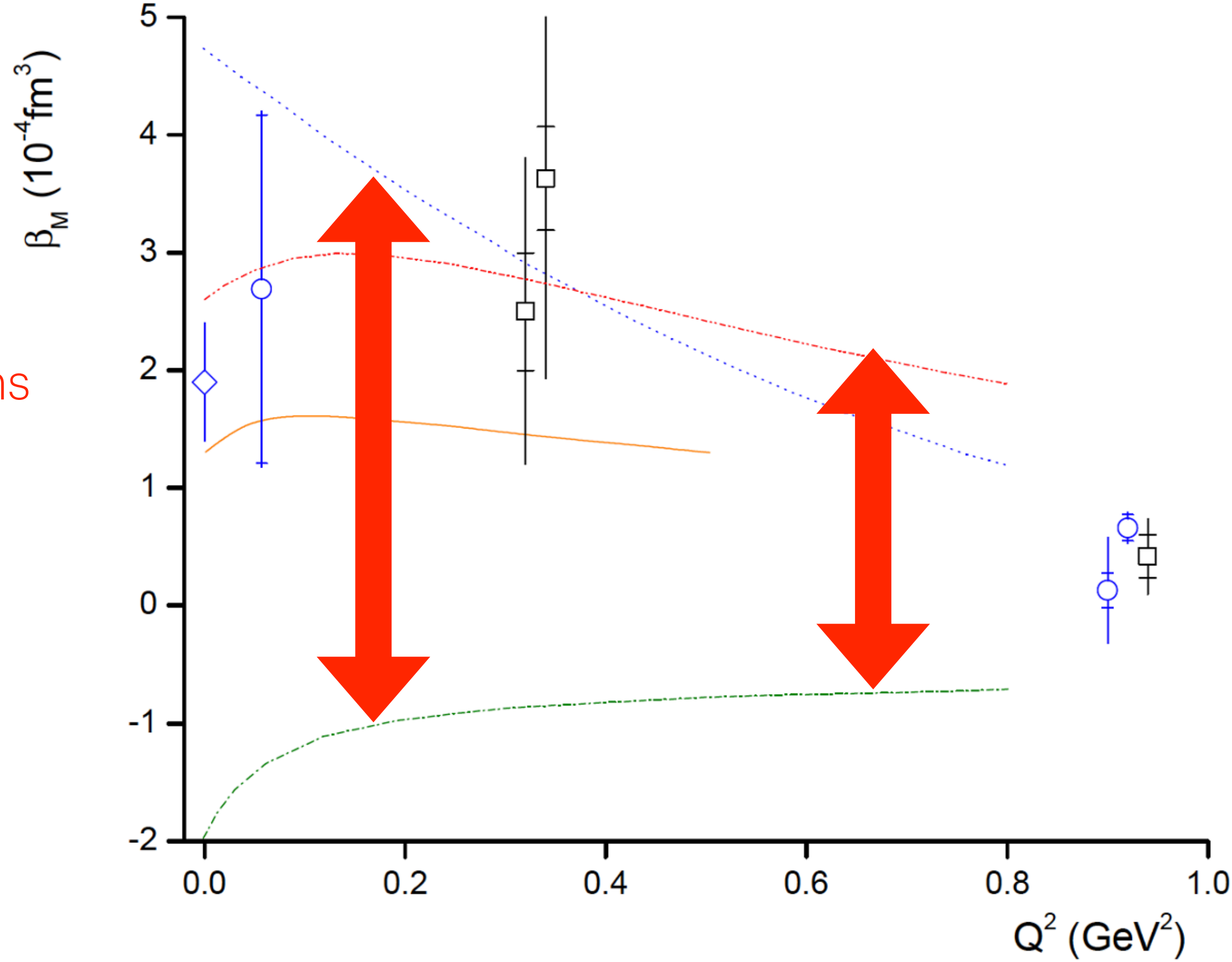
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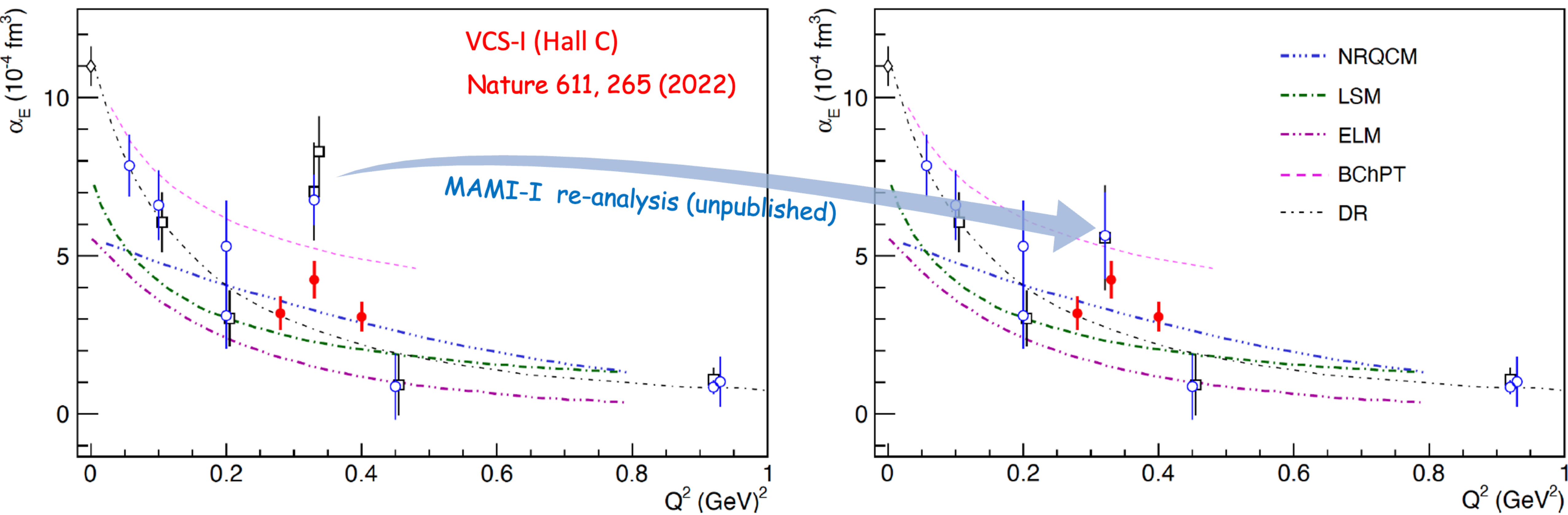
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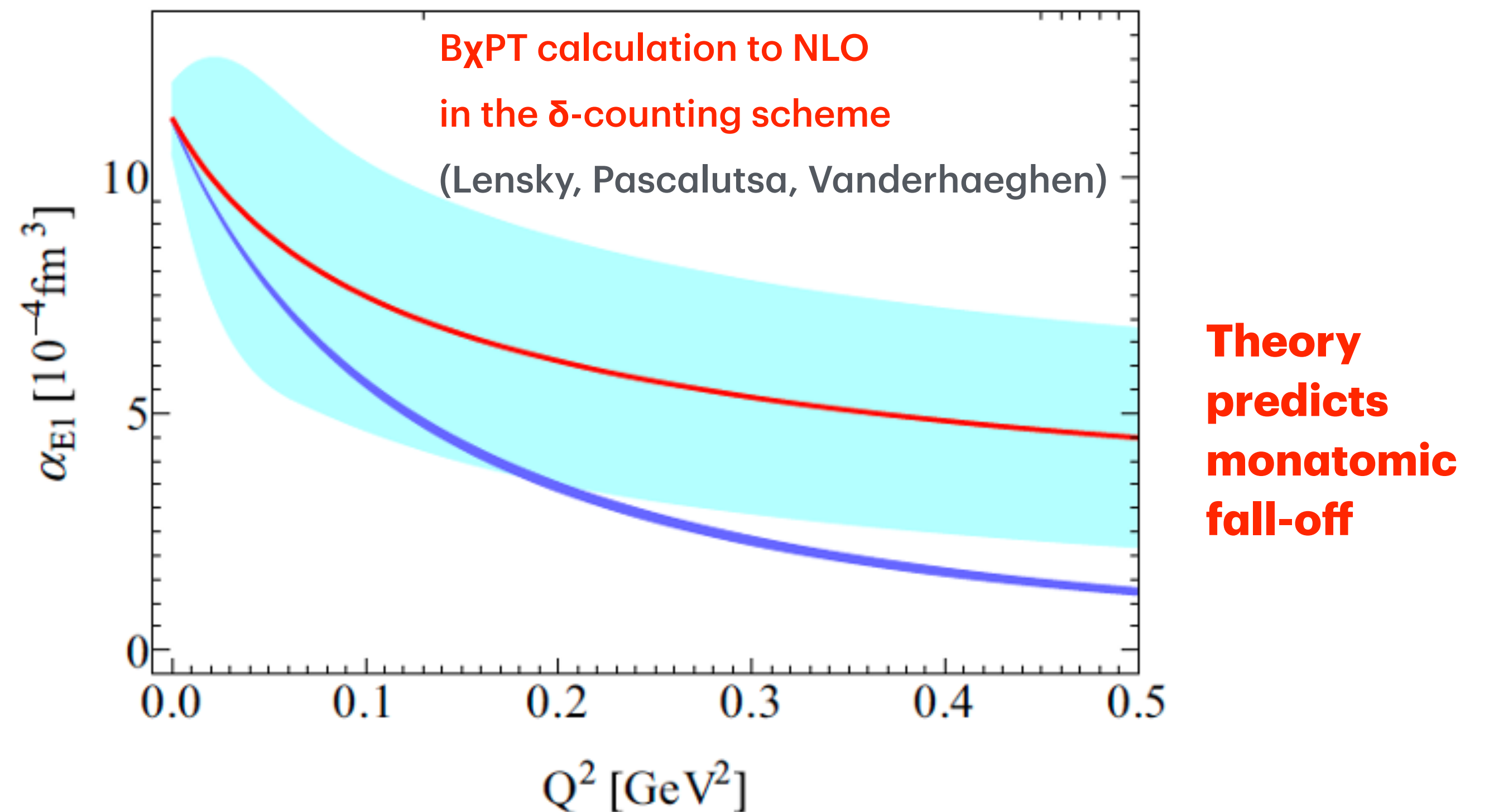
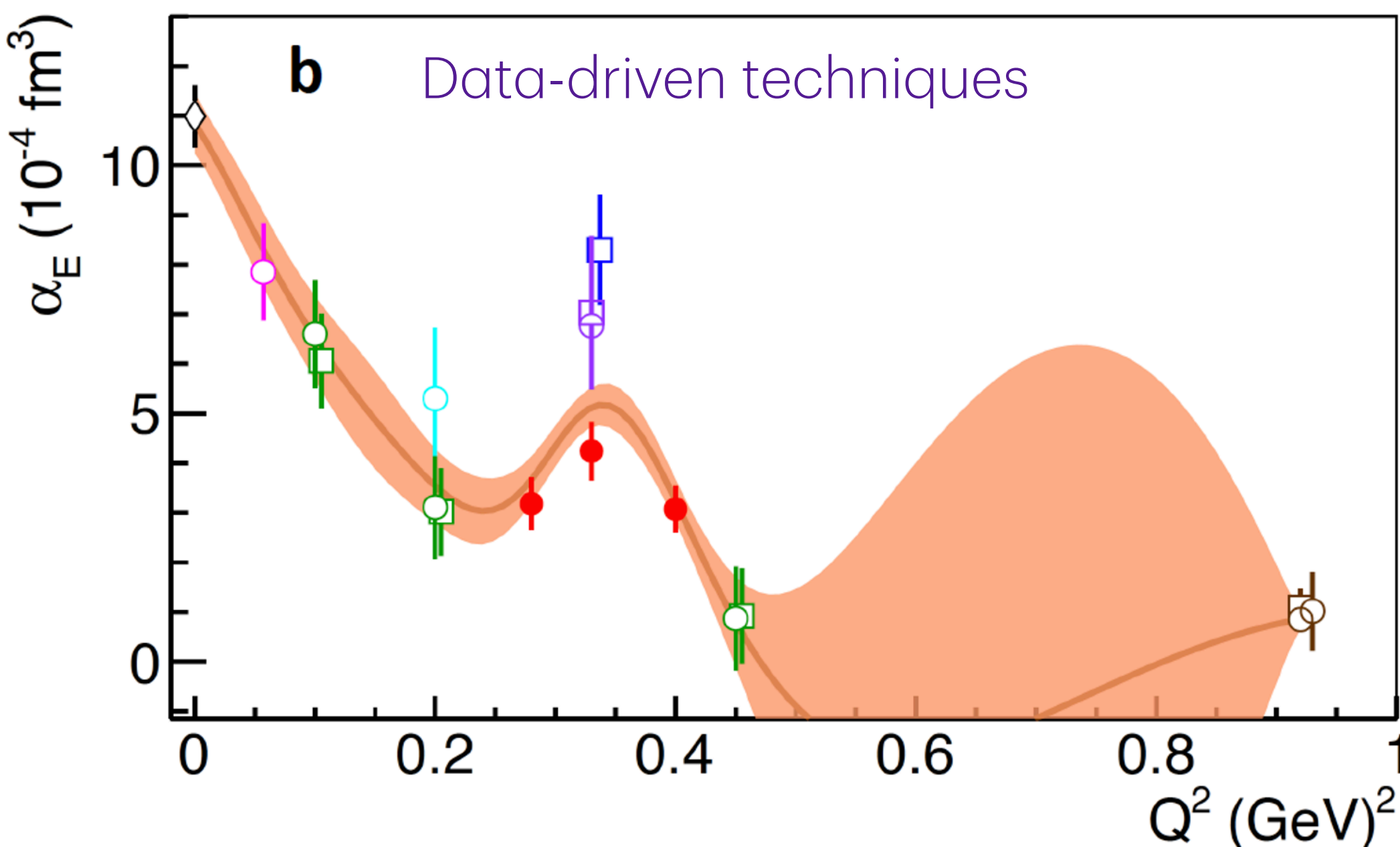
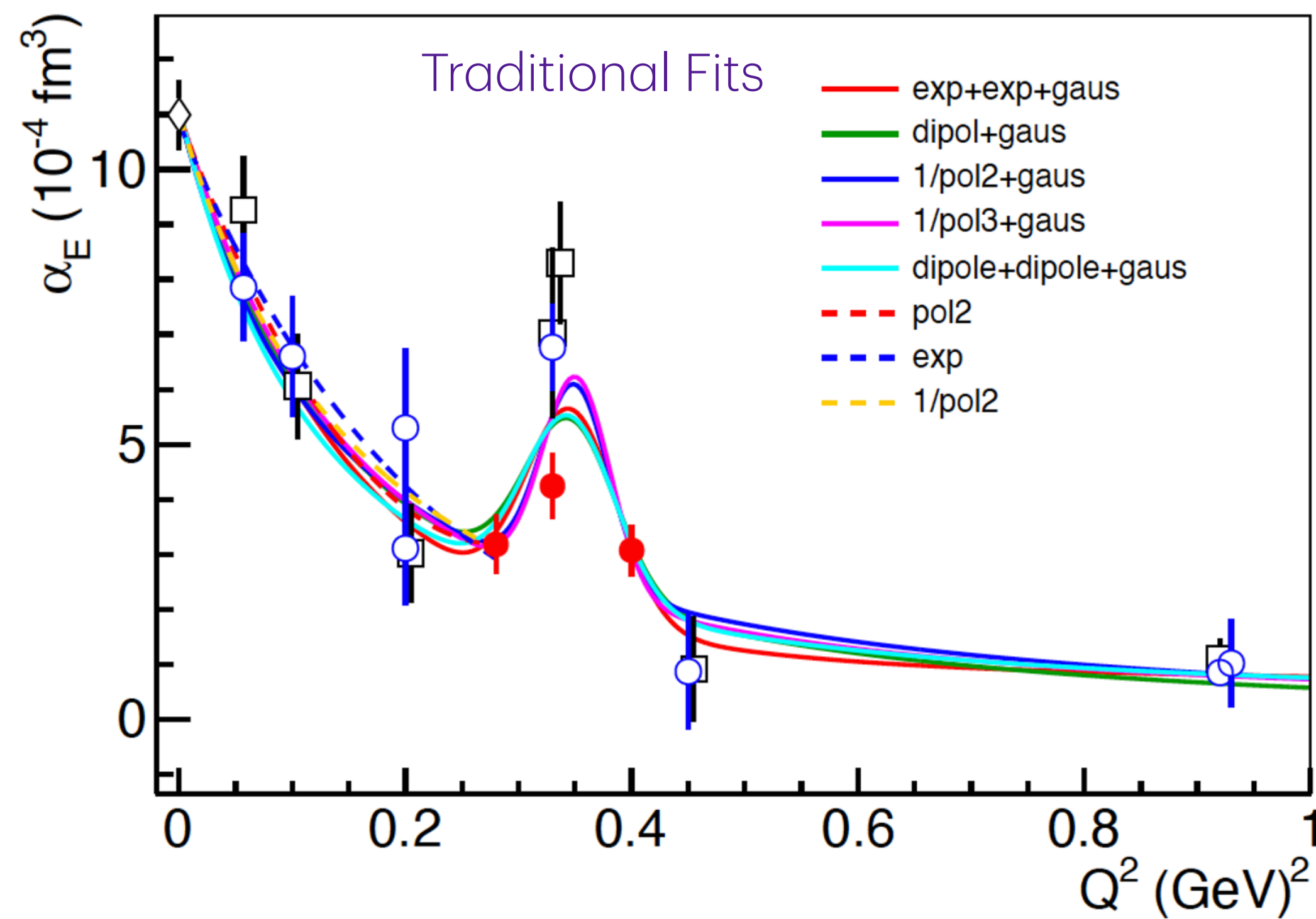
Z. Phys. A 356, 351 (1996)

Recent Experiments



Current Landscape and Questions

- Electric Polarizability:
 - **Is the observed structure coincidental?**
 - **If so:** More precise measurements will help inform theory.
 - **If not:** Strong tension exists in the world data. Additional measurements can help pinpoint possible sources of tension

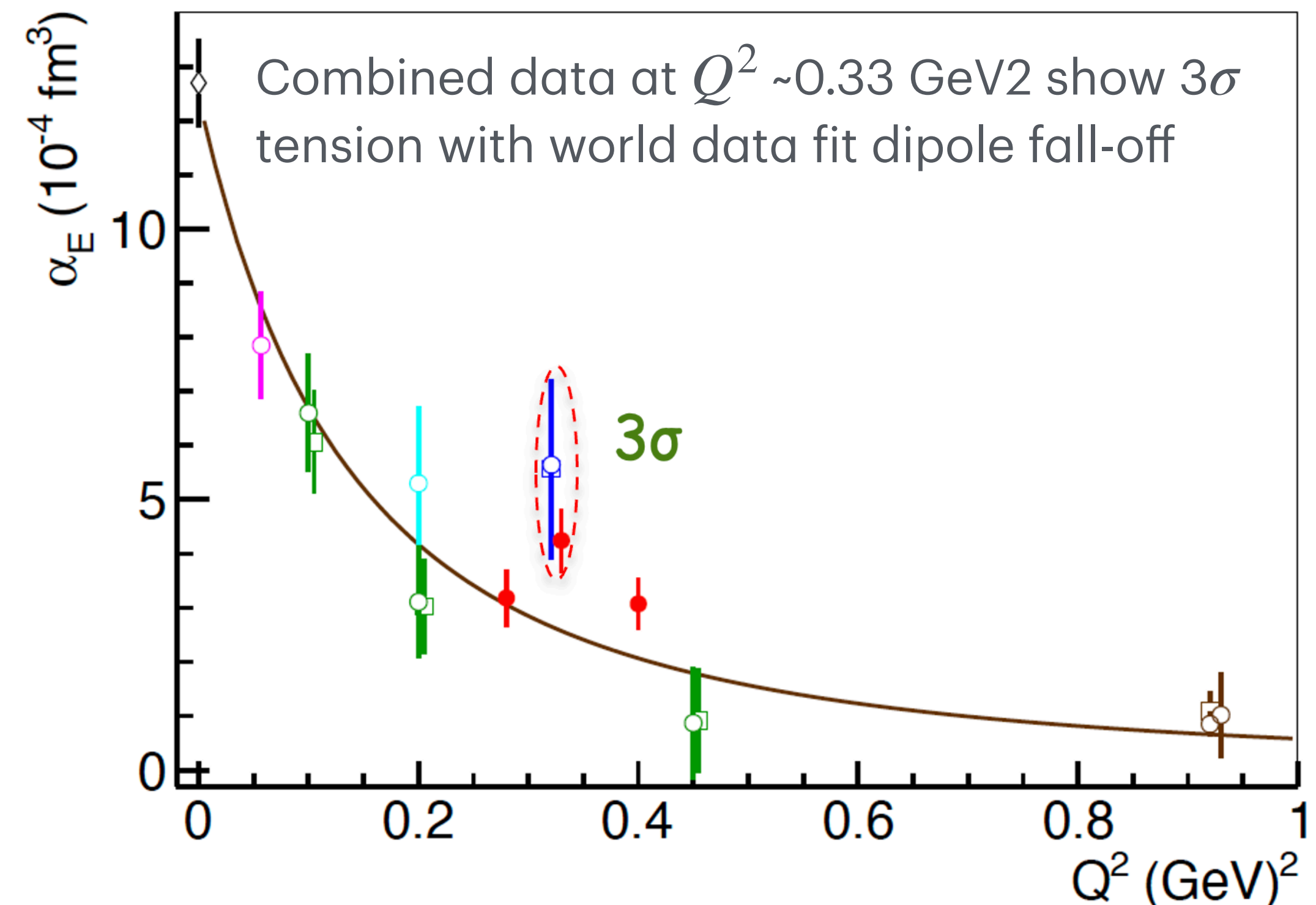
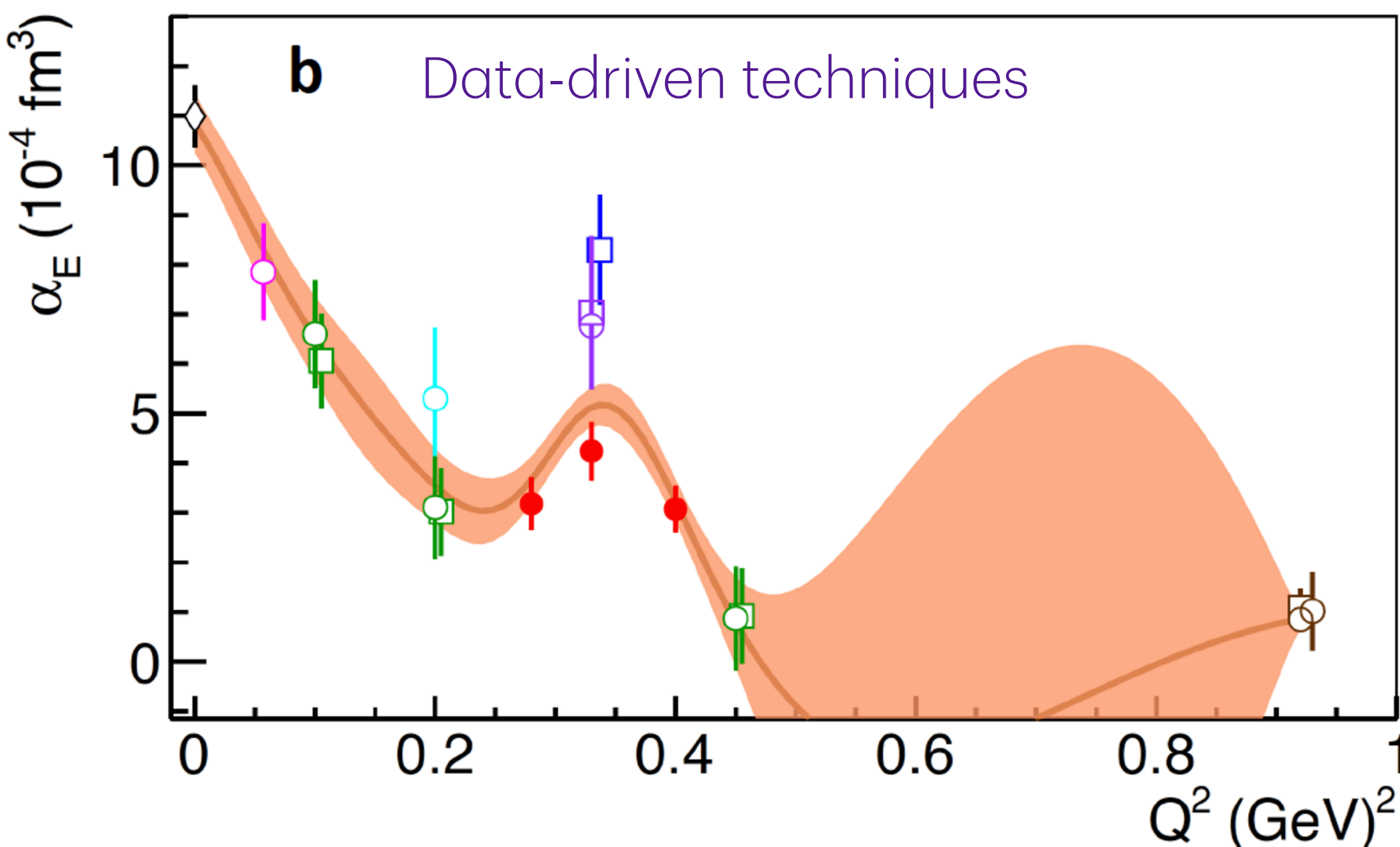
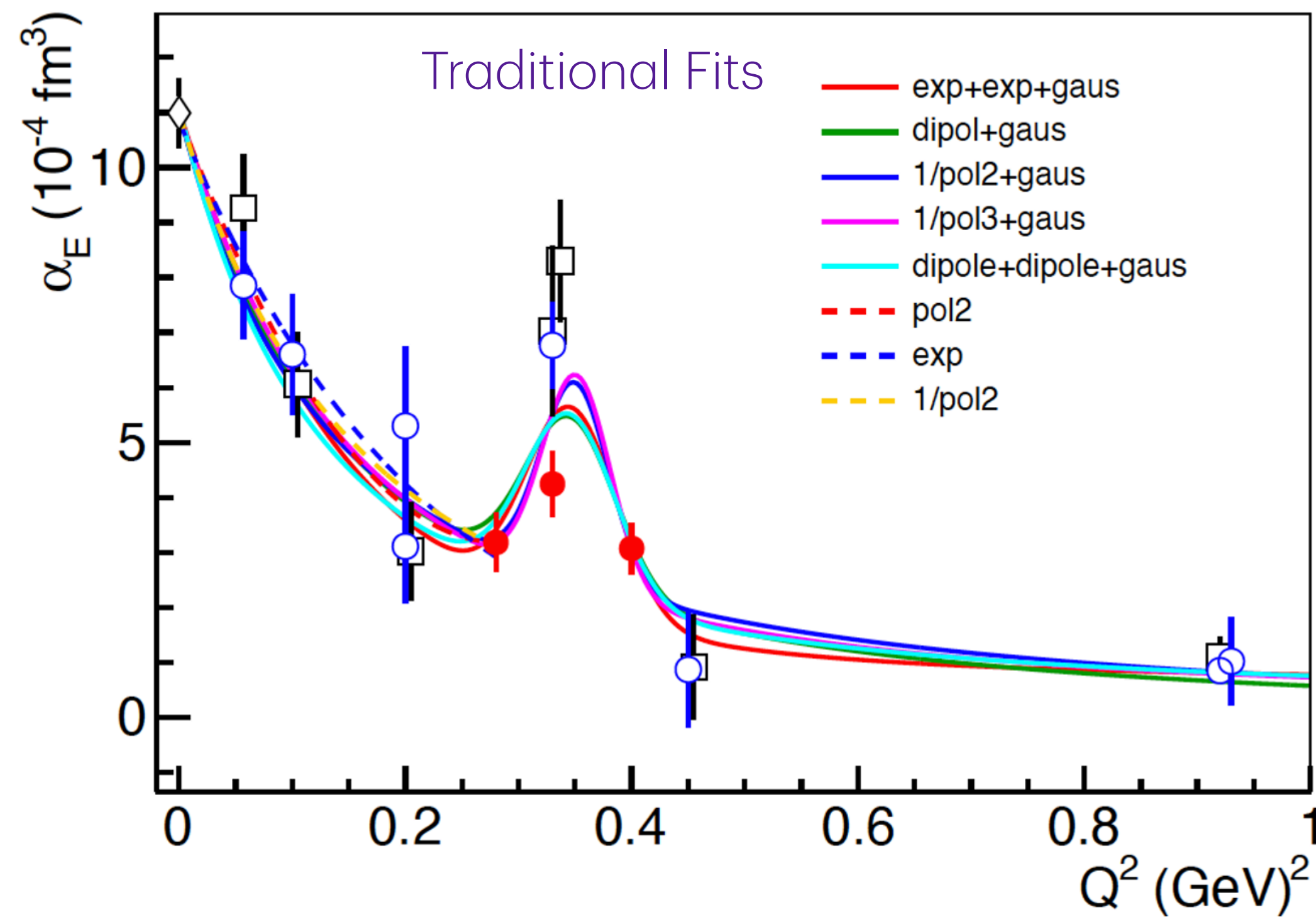


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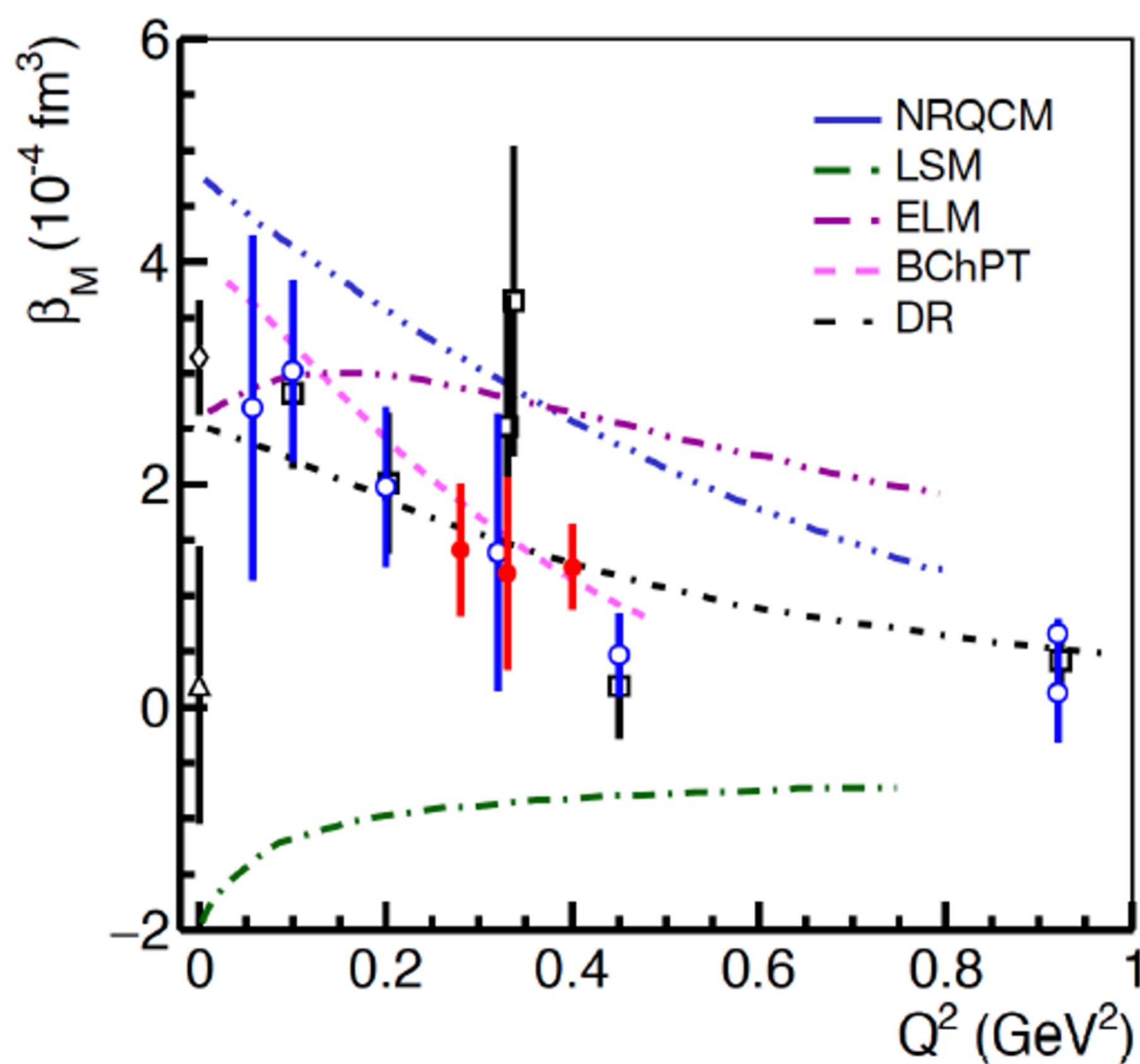


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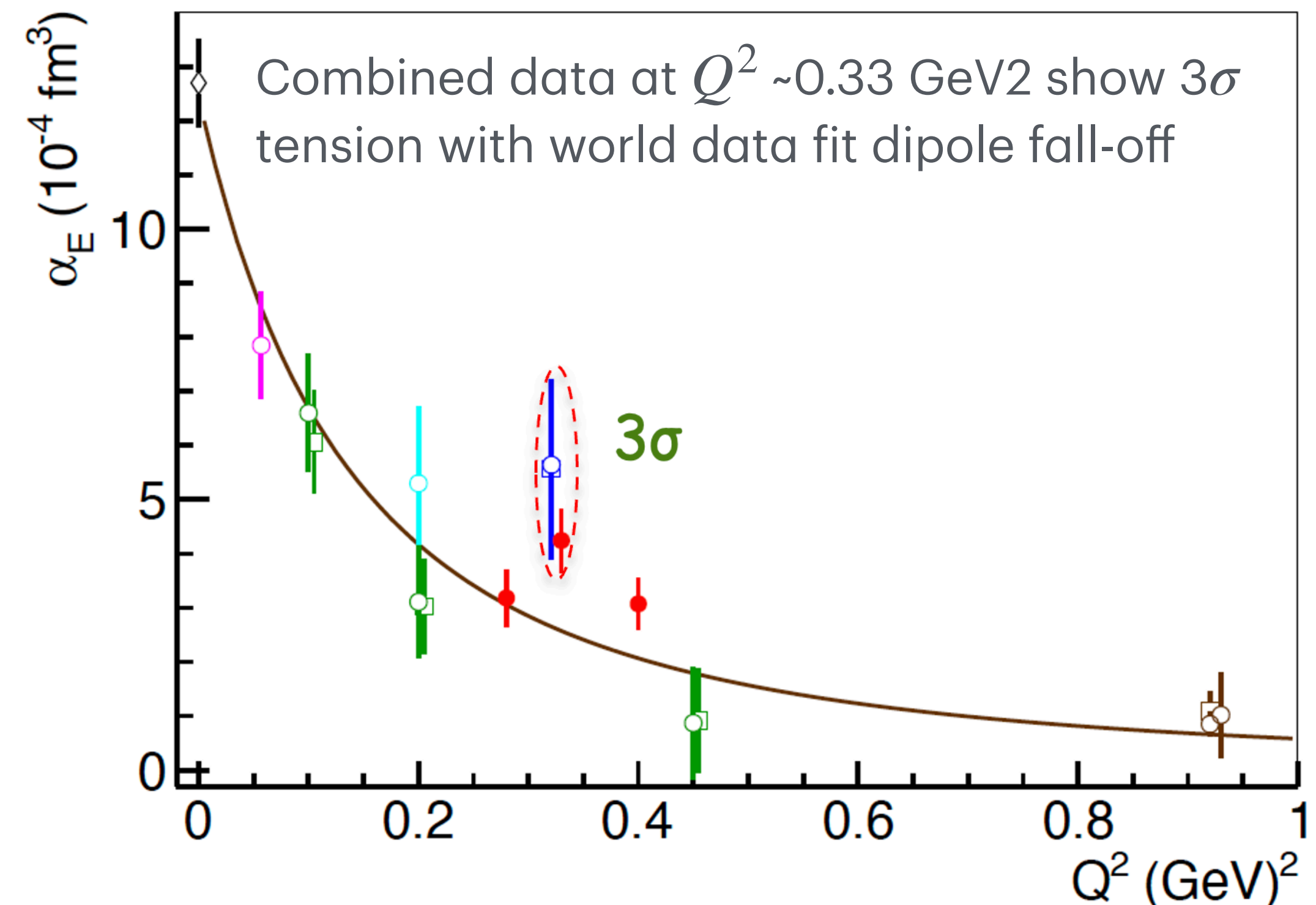
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- Magnetic Polarizability

- Large uncertainties and discrepancies exist in the world data.
 - High precision data is needed to disentangle diamagnetic and paramagnetic contributions in the nucleon.

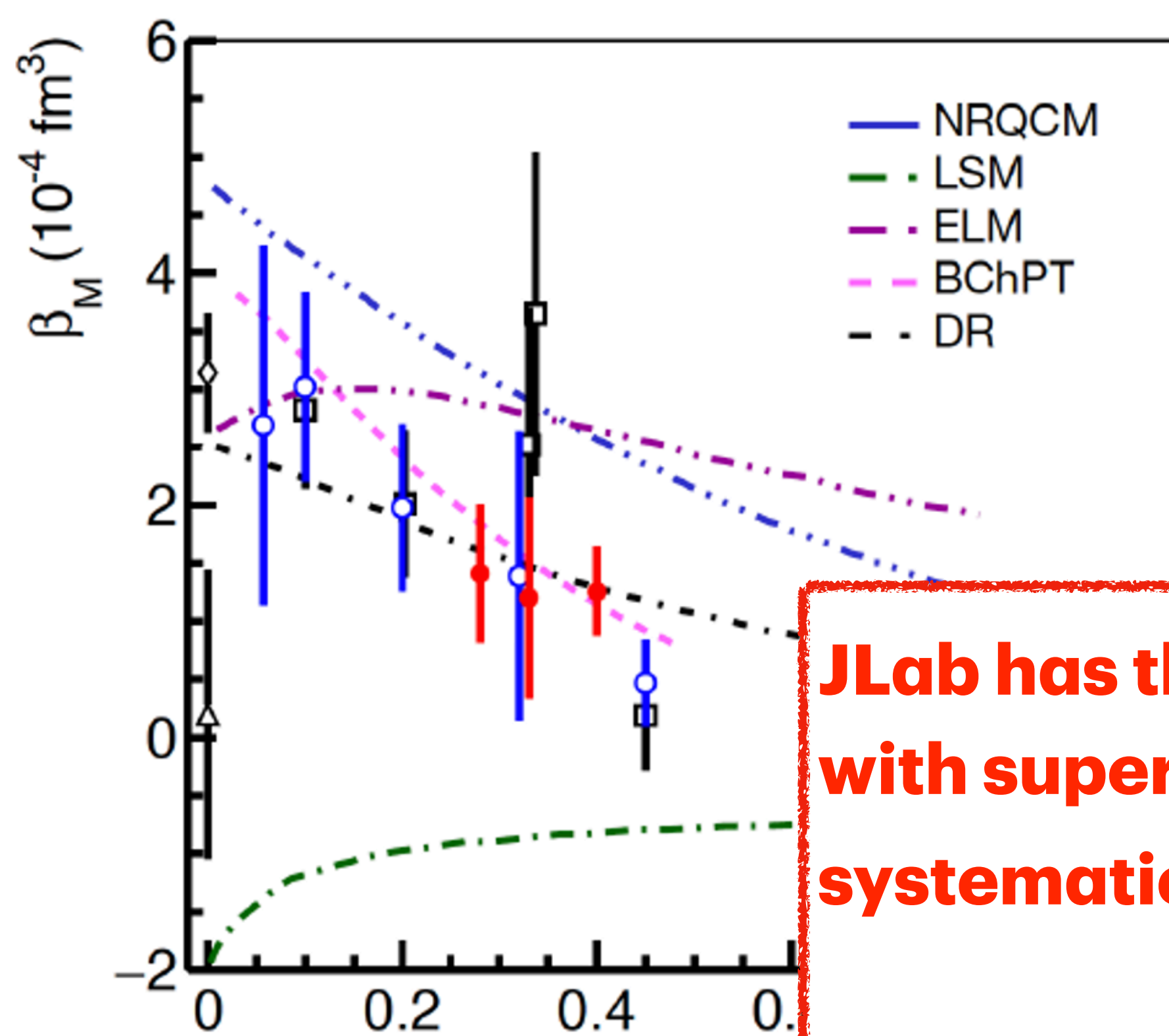


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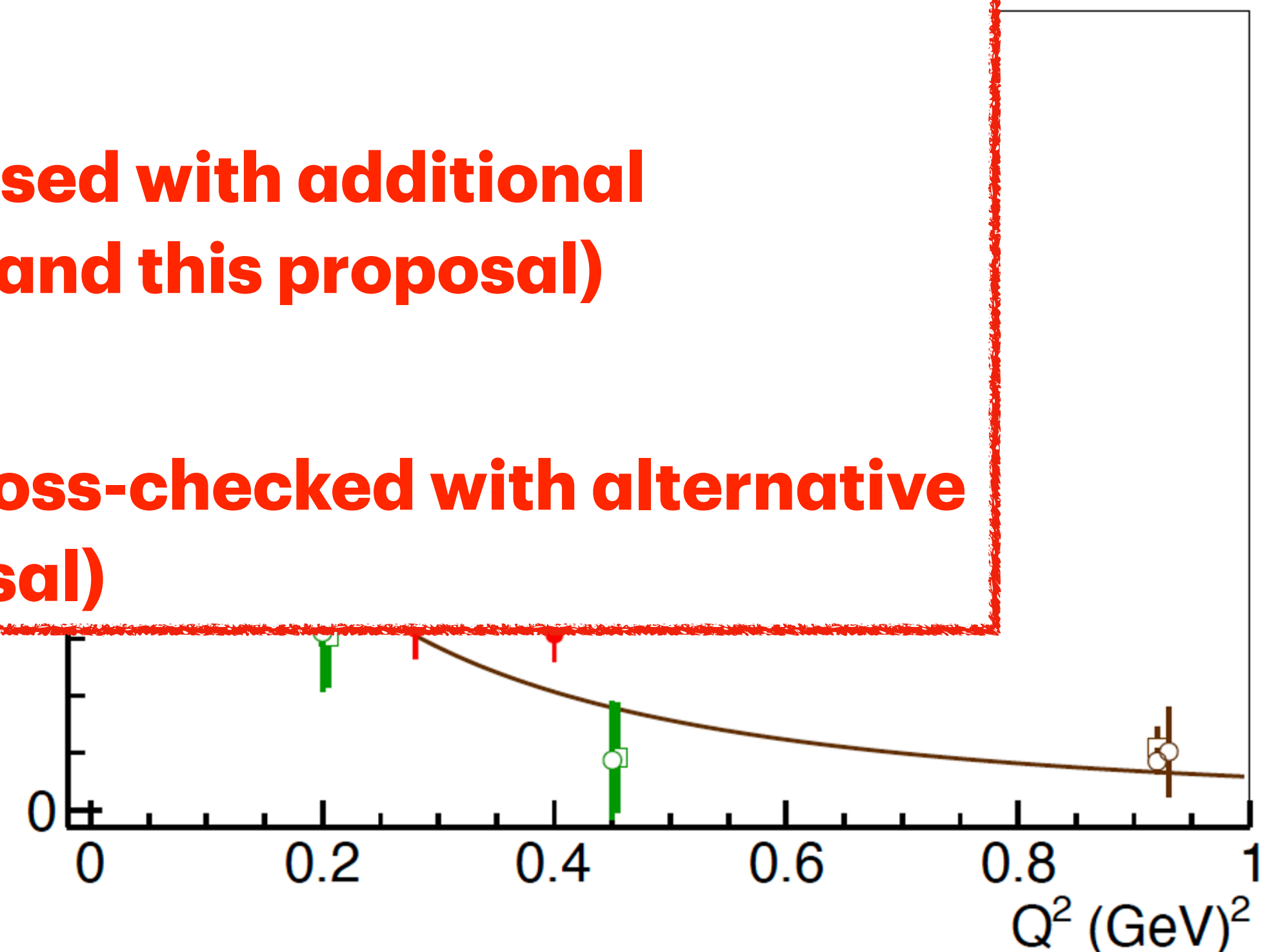
JLab has the unique capability to measure α_E and β_M with superb precision and with consistent systematics across Q^2

Precision can be increased with additional measurements (VCS-II and this proposal)

Methodology can be cross-checked with alternative extractions (this proposal)

- Magnetic Polarizability

- Large uncertainties are seen in the world data.
 - High precision data is needed to disentangle diamagnetic and paramagnetic contributions in the nucleon.



A new path to measure GPs: Induced asymmetries

One can set-up and measure beam-charge or beam-spin asymmetries as an independent path to extracting the generalized polarizabilities.

Virtual Compton scattering at low energies with a positron beam

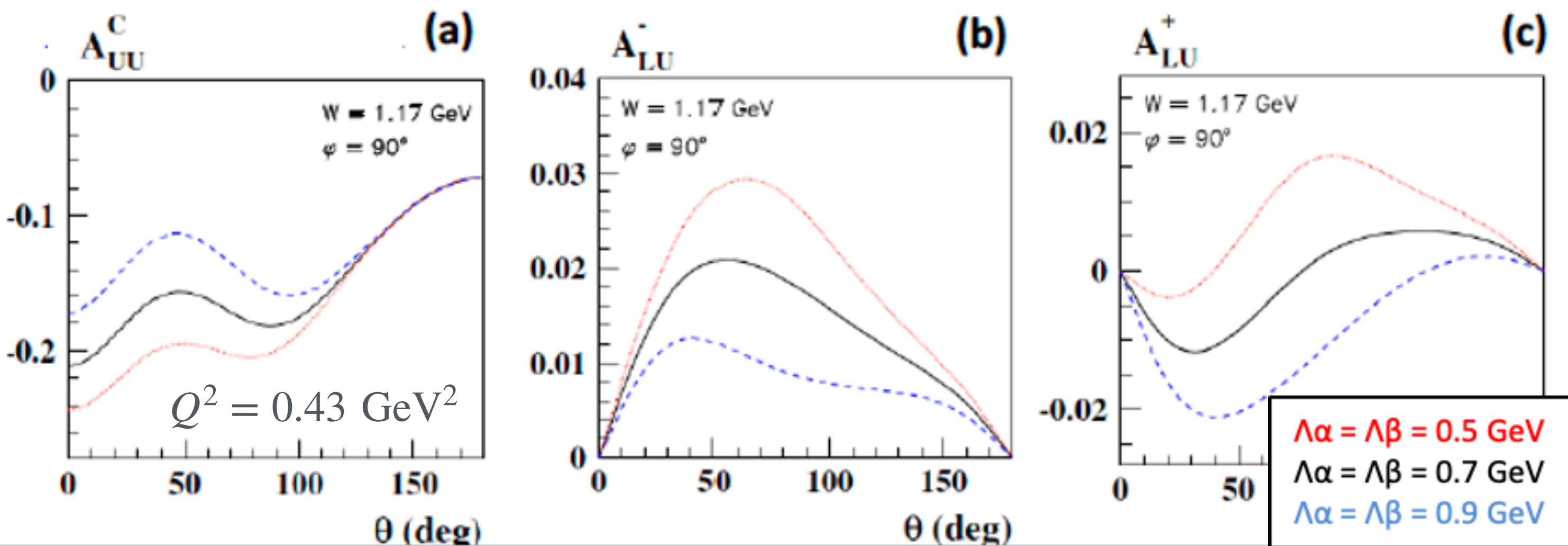
Barbara Pasquini^{a,1,2}, Marc Vanderhaeghen^{b,3}

¹Dipartimento di Fisica, Università degli Studi di Pavia, 27100 Pavia, Italy

²Istituto Nazionale di Fisica Nucleare, Sezione di Pavia, 27100 Pavia, Italy

³Institut für Kernphysik and PRISMA⁺ Cluster of Excellence, Johannes Gutenberg Universität, D-55099 Mainz, Germany

Eur. Phys. J. A 57 (2021) 11, 316



(a): The beam-charge asymmetry as a function of the photon scattering angle at $Q^2 = 0.43$ GeV².

(b) & (c): The electron and positron beam-spin asymmetry as a function of the photon scattering angle for out-of-plane kinematics.

This proposal:

Beam-Spin Asymmetry (BSA):

$$A_{LU}^e = \frac{d\sigma_+^e - d\sigma_-^e}{d\sigma_+^e + d\sigma_-^e}$$

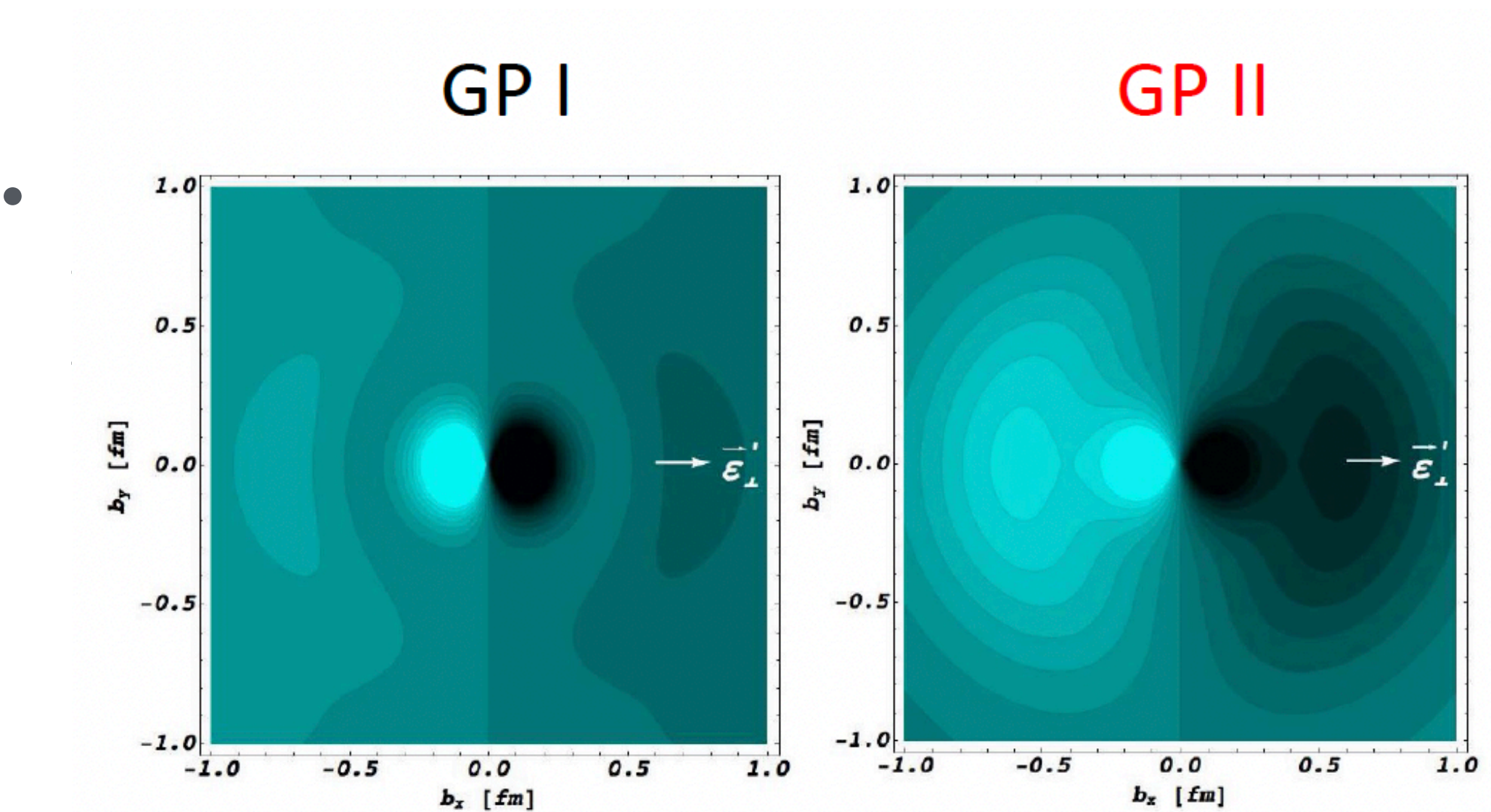
Future proposal (positron-beam):

Beam-Charge Asymmetry (BCA):

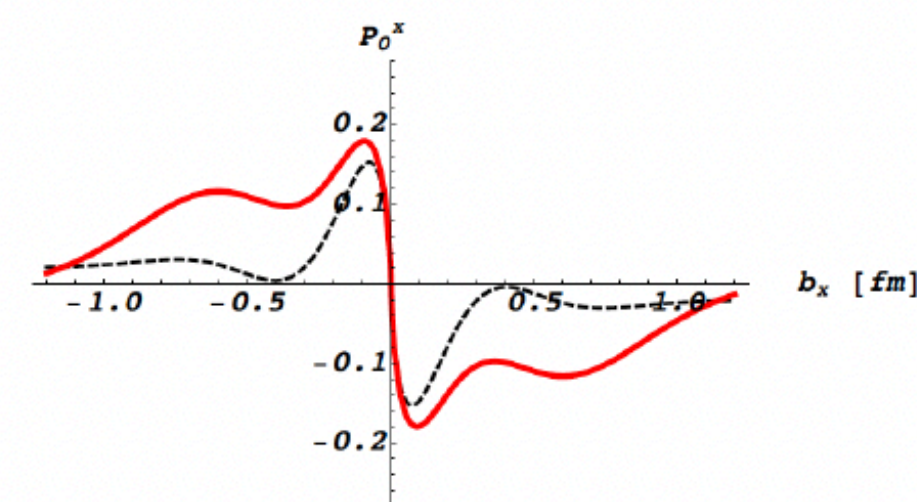
$$A_{UU}^C = \frac{(d\sigma_+^+ + d\sigma_-^+) - (d\sigma_+^- + d\sigma_-^-)}{d\sigma_+^+ + d\sigma_-^+ + d\sigma_+^- + d\sigma_-^-}$$

Extensions: Spatial Dependence of induced polarizations

Light-front quark charge densities \rightarrow Deformation under EM field

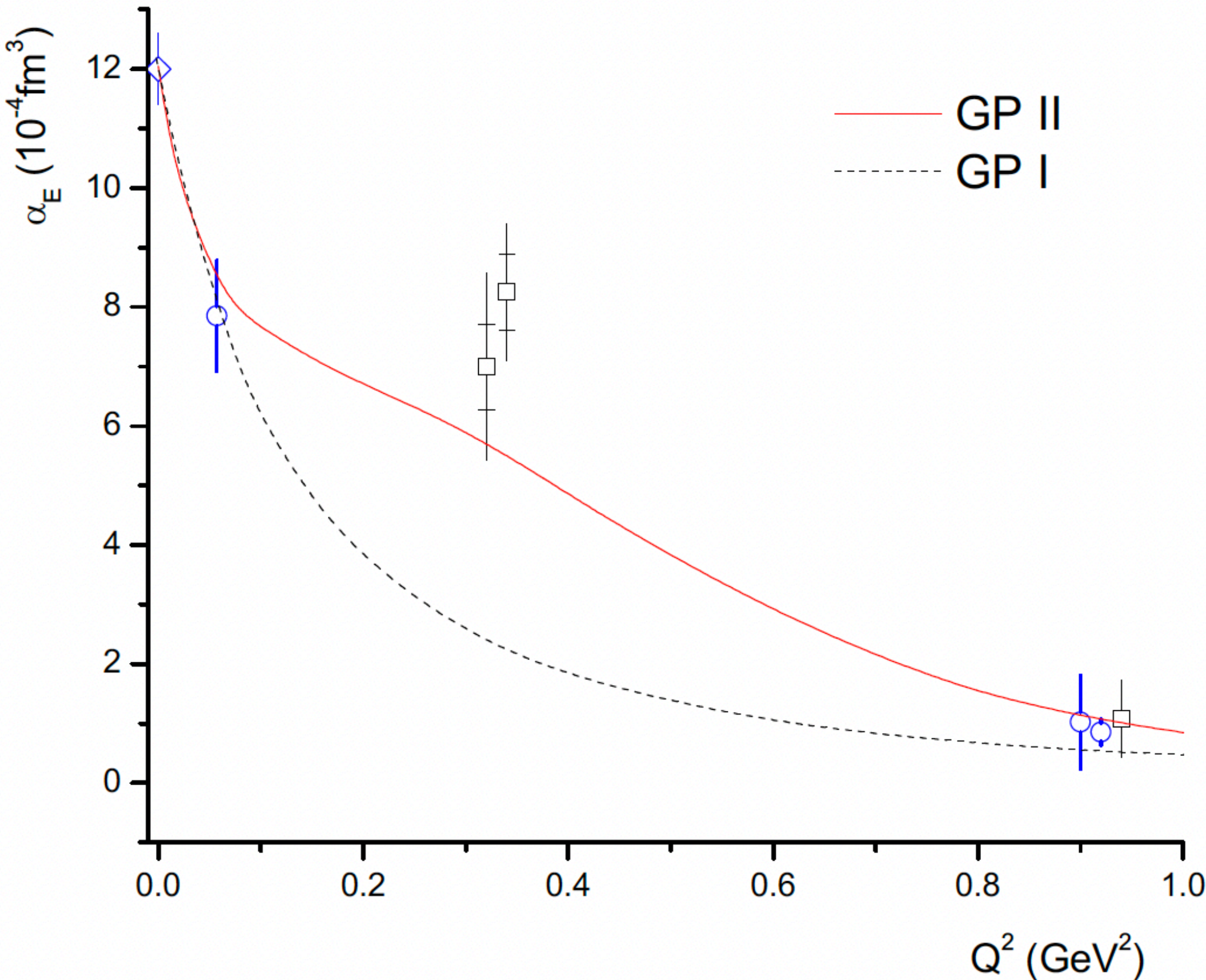


Light (dark) regions \rightarrow largest (smaller) values
(photon polarization along x-axis, as indicated)



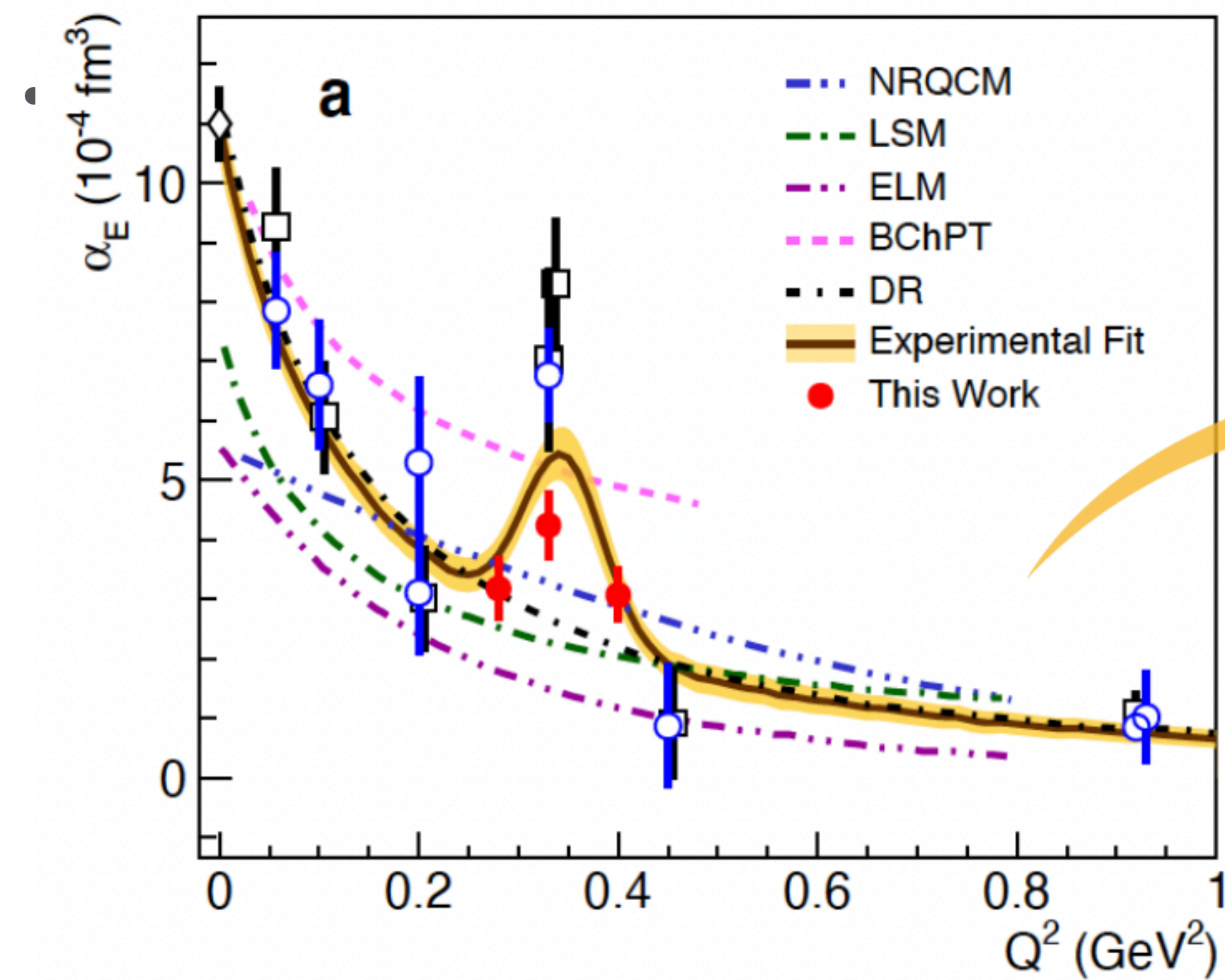
Induced polarization
along $b_y=0$

Phys. Rev. Lett. 104, 112001 (2010)
M. Gorchtein, C. Lorce, B. Pasquini, M. Vanderhaeghen

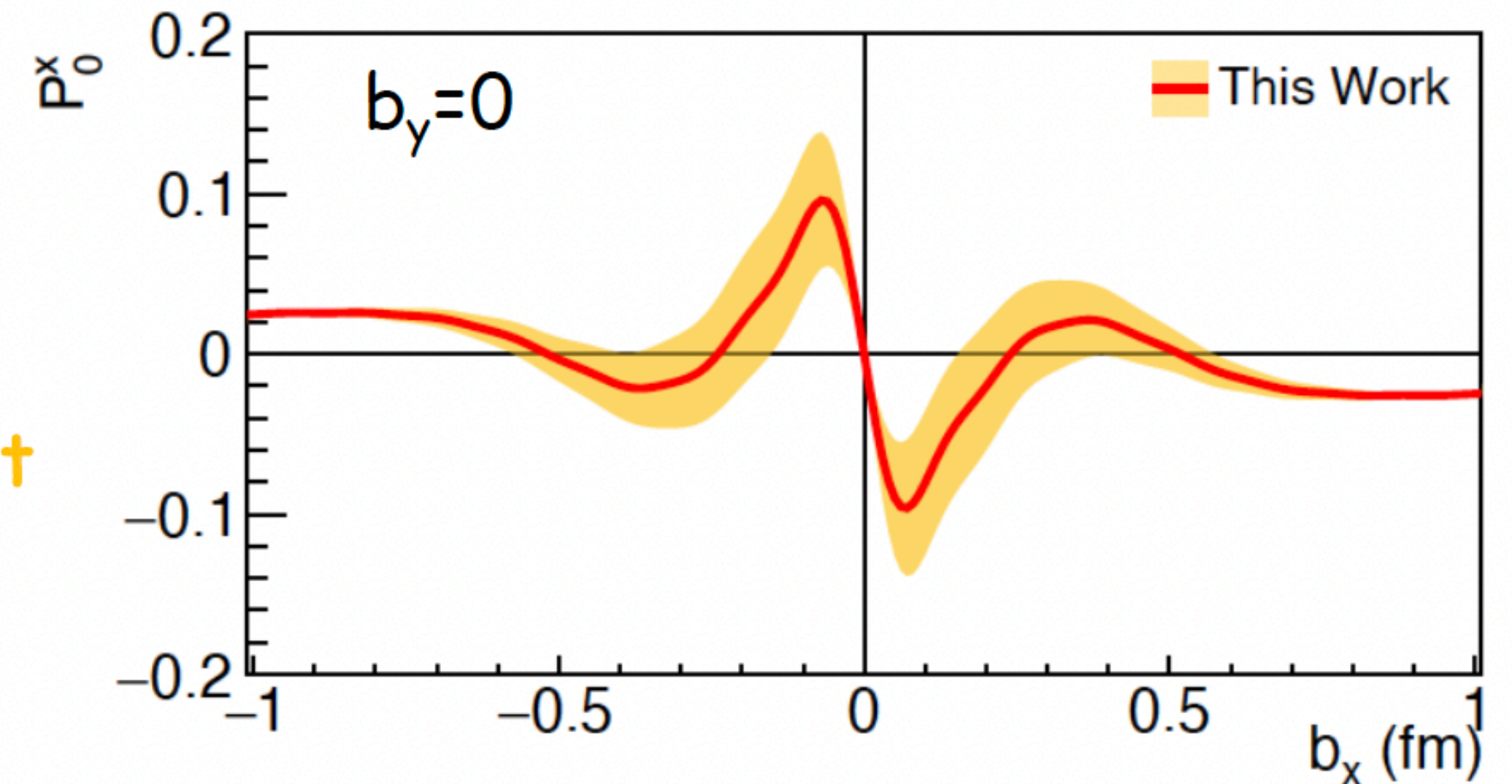


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Experimental Fit

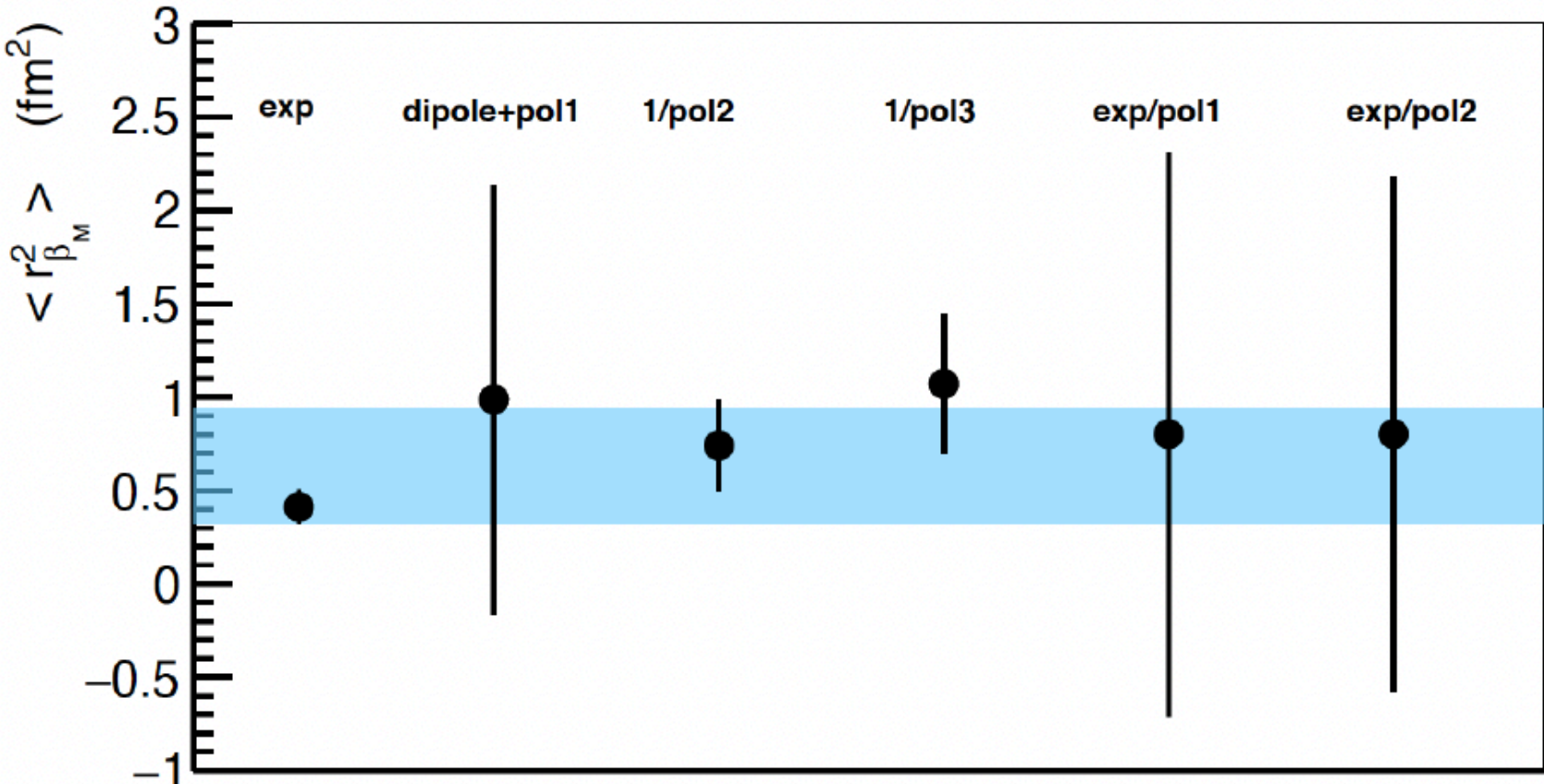
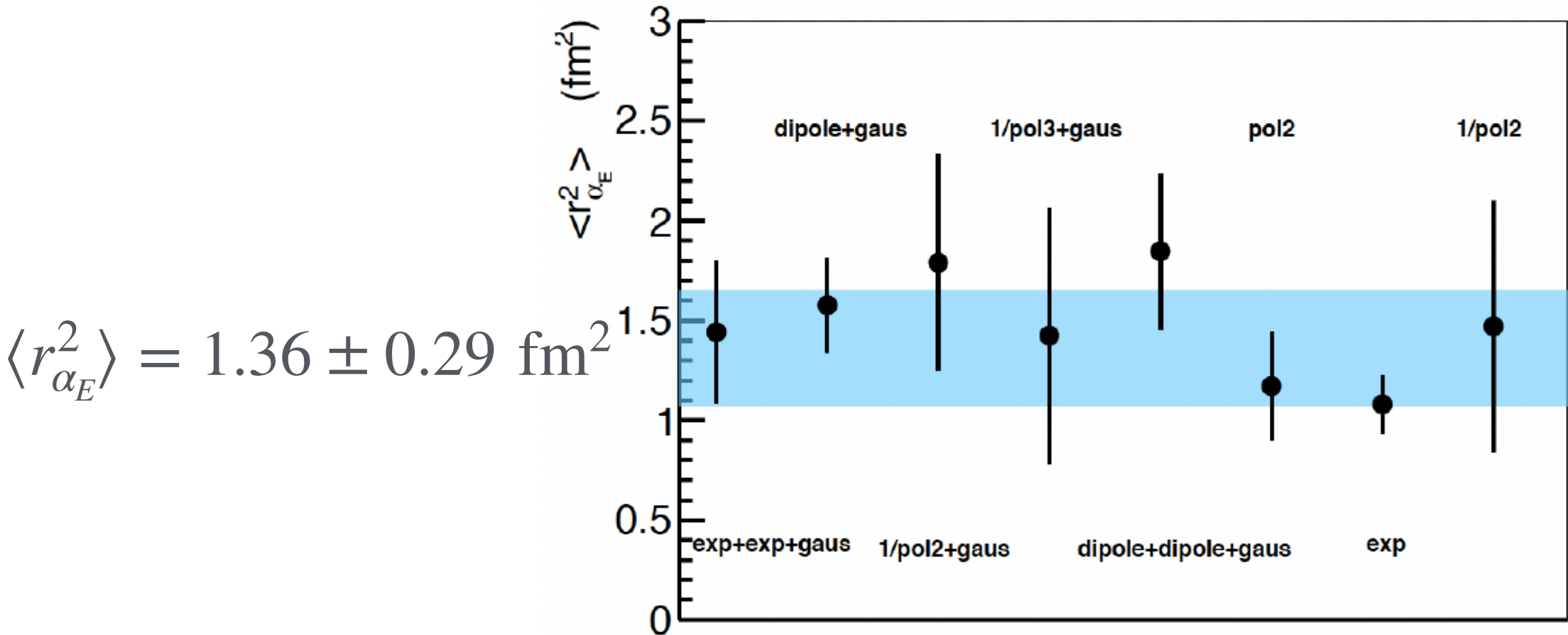
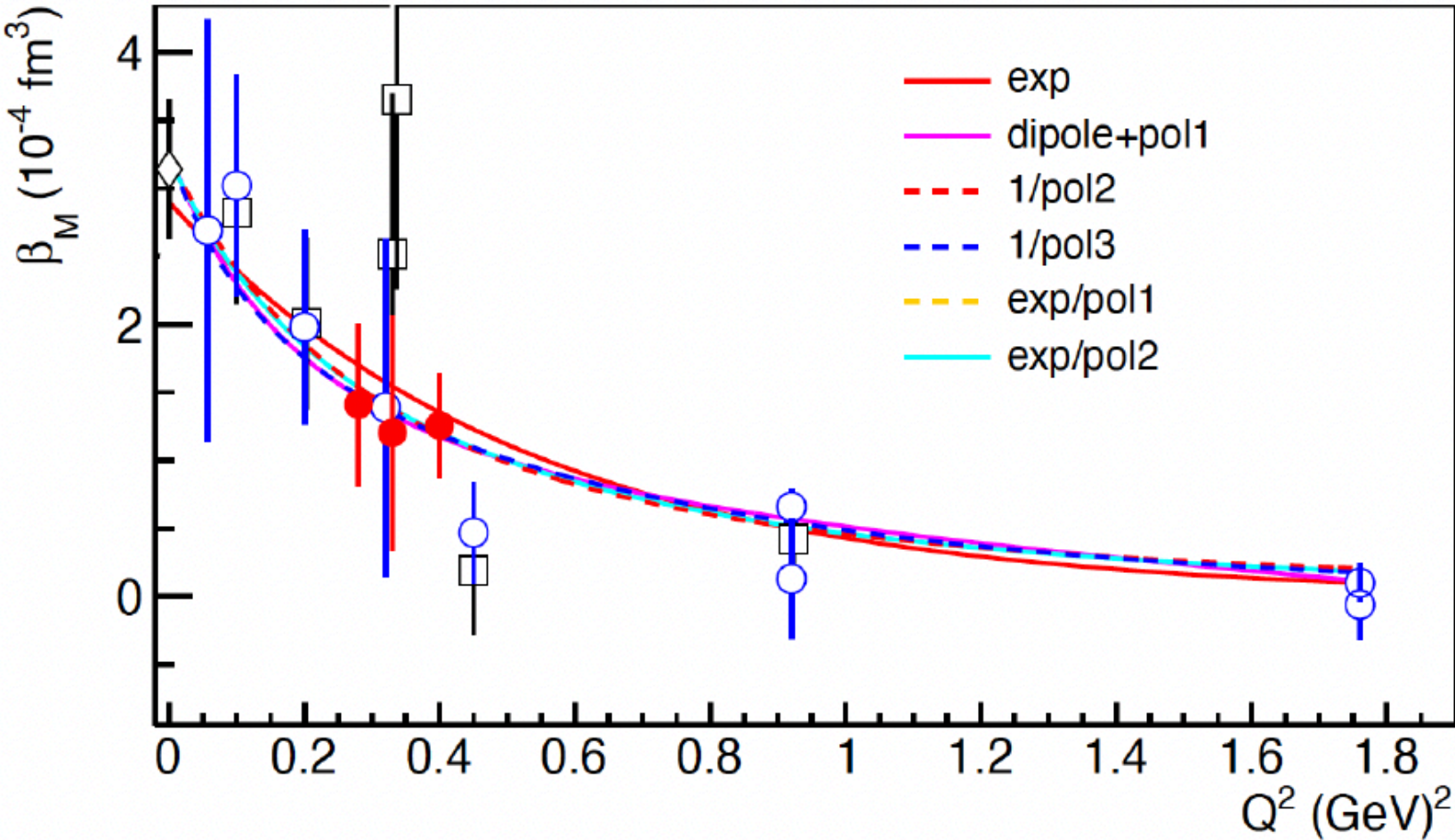
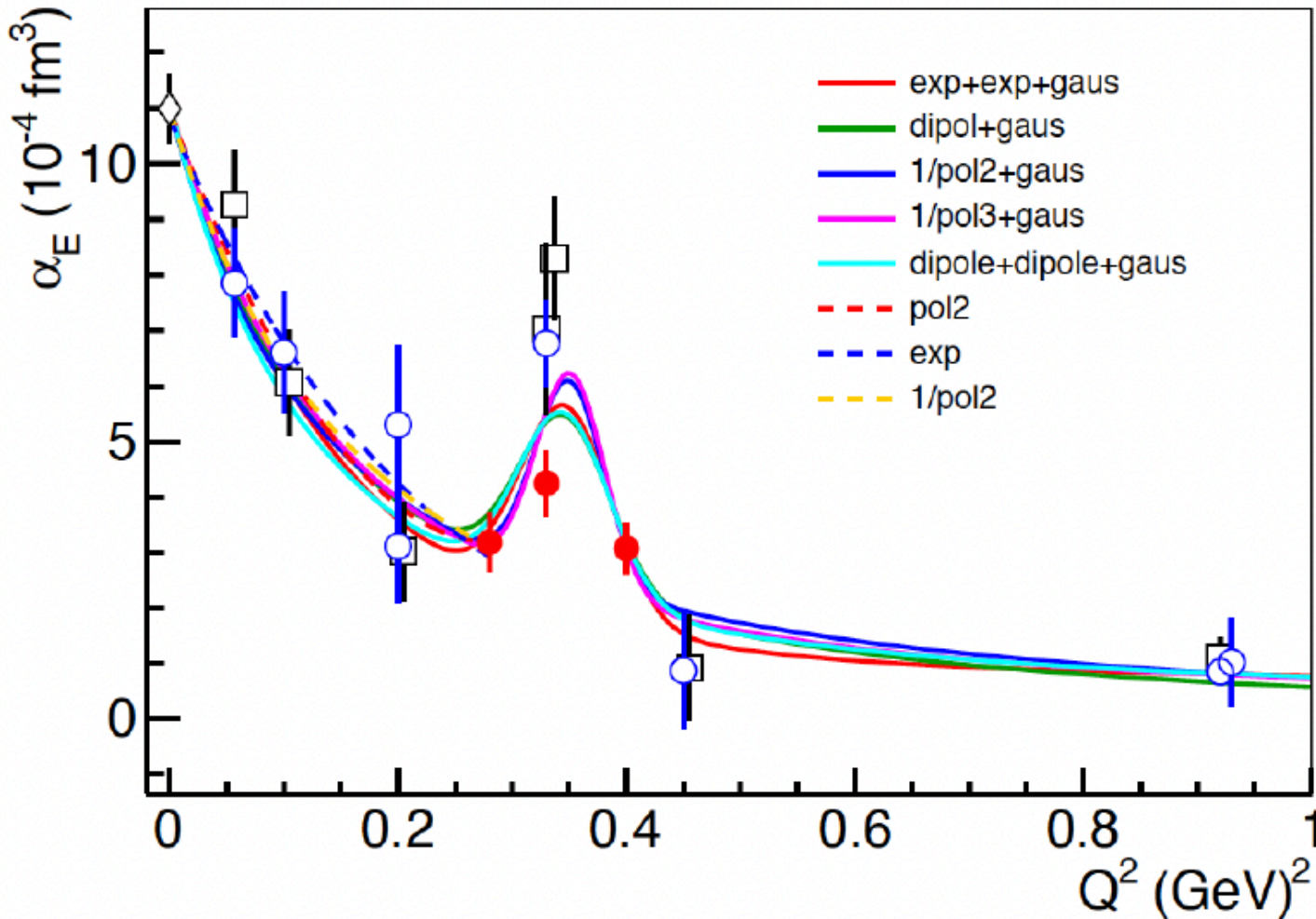


x - y defines the transverse plane with the z -axis being the direction of the fast-moving proton

Extensions: Polarizability Radii

$$\langle r_{\alpha_E}^2 \rangle = \frac{-6}{\alpha_E(0)} \cdot \frac{d}{dQ^2} \alpha_E(Q^2) \Big|_{Q^2=0}$$

$$\langle r_{\beta_M}^2 \rangle = \frac{-6}{\beta_M(0)} \cdot \frac{d}{dQ^2} \beta_M(Q^2) \Big|_{Q^2=0}$$

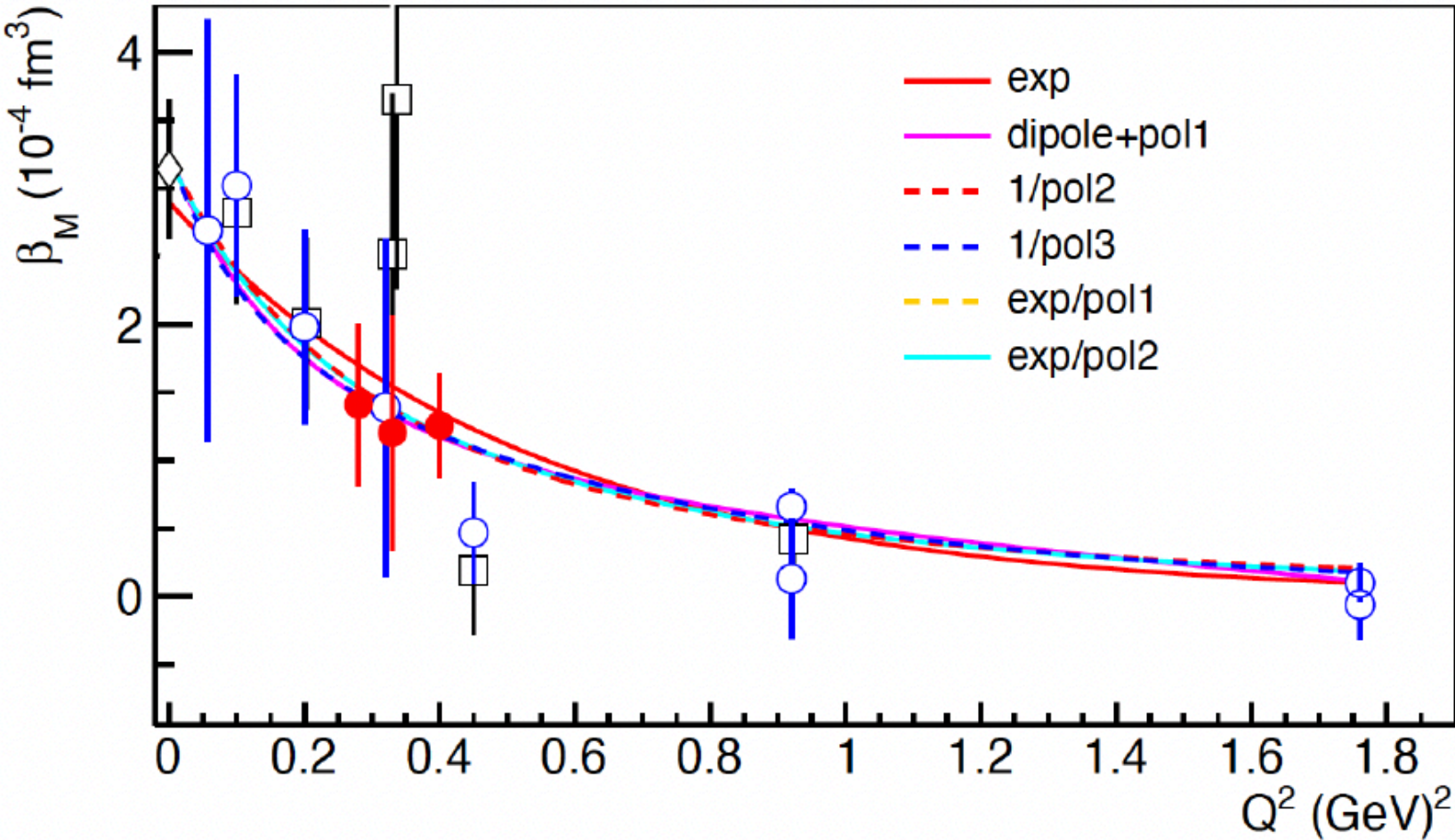
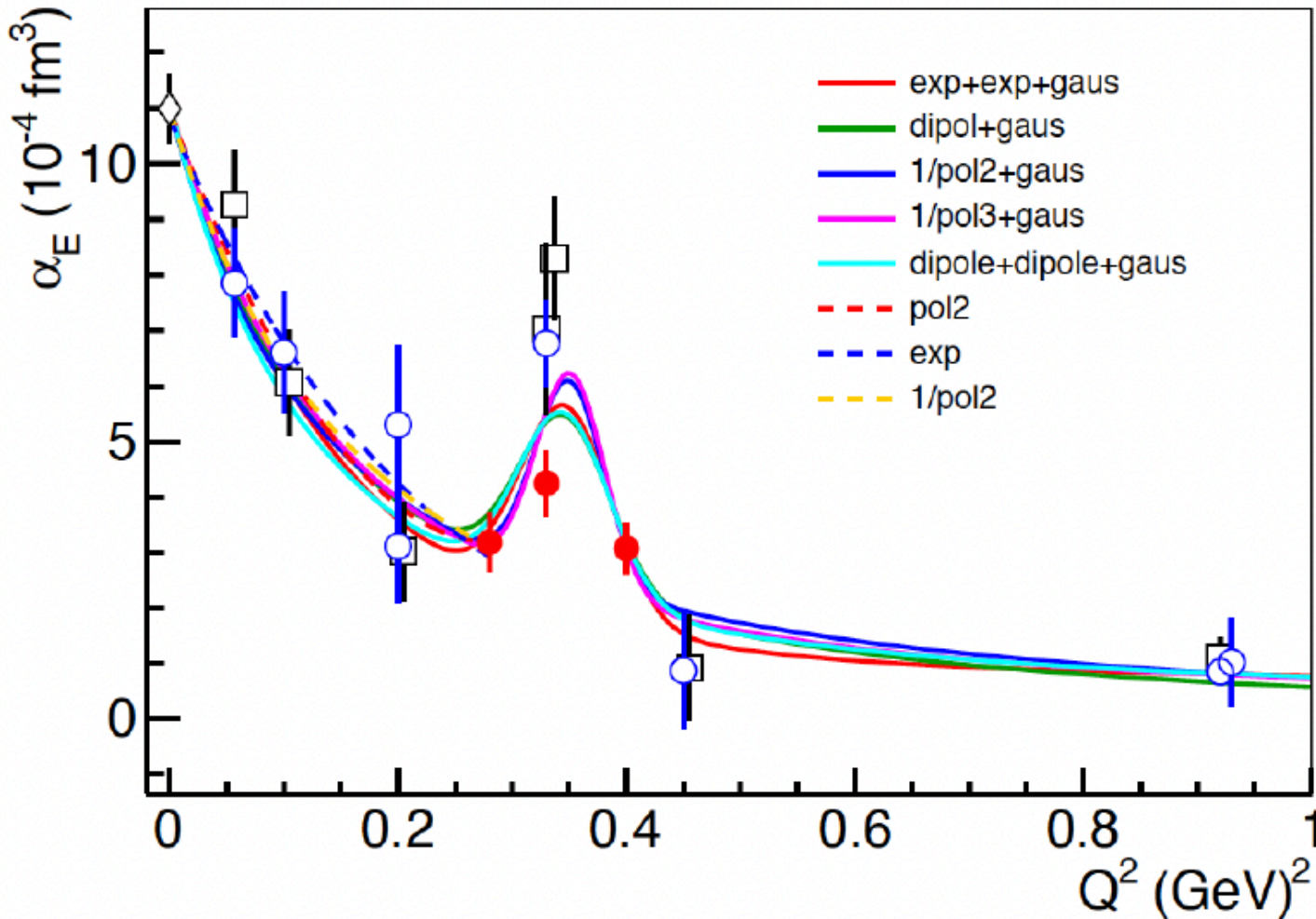


$$\langle r_{\beta_M}^2 \rangle = 0.63 \pm 0.31 \text{ fm}^2$$

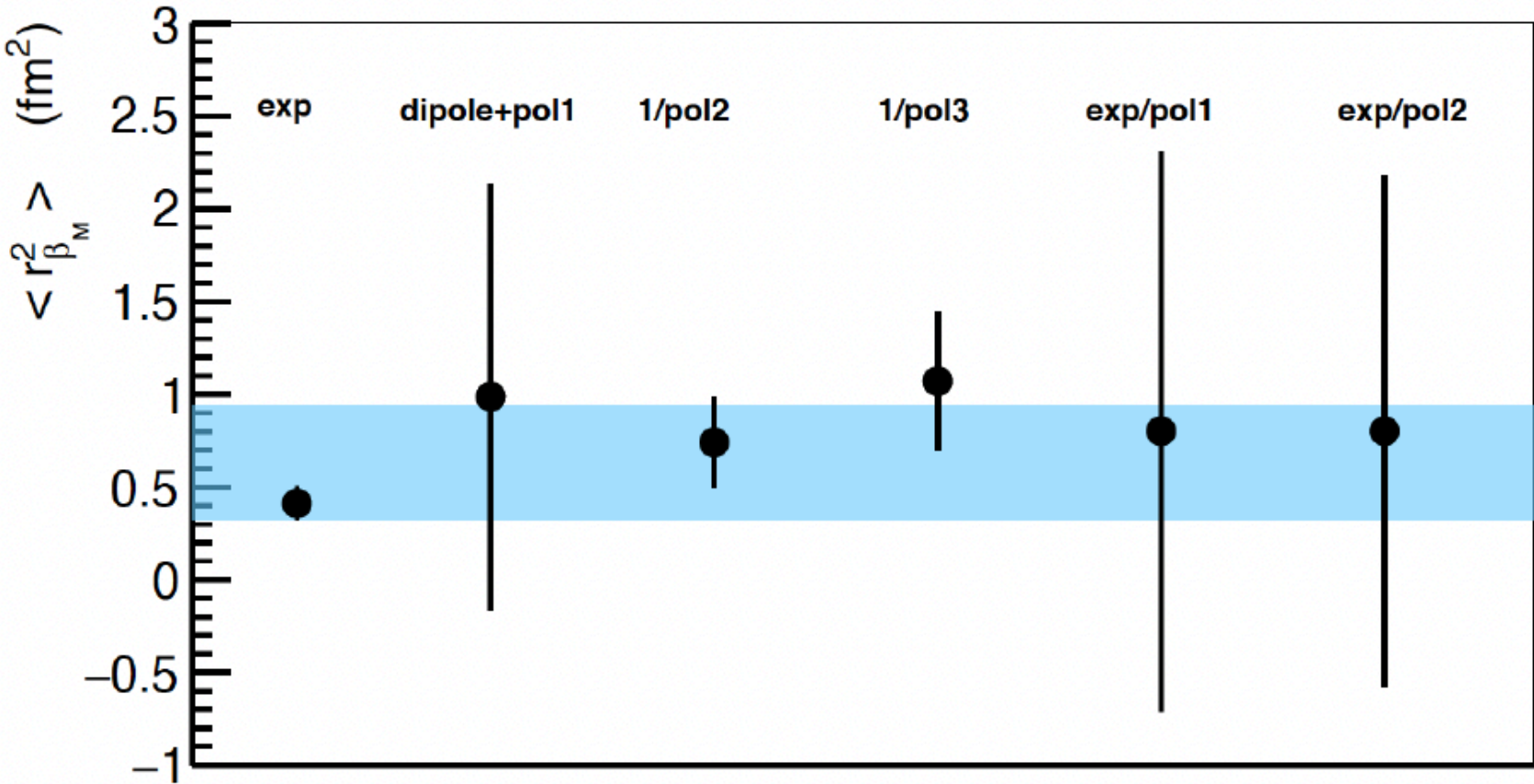
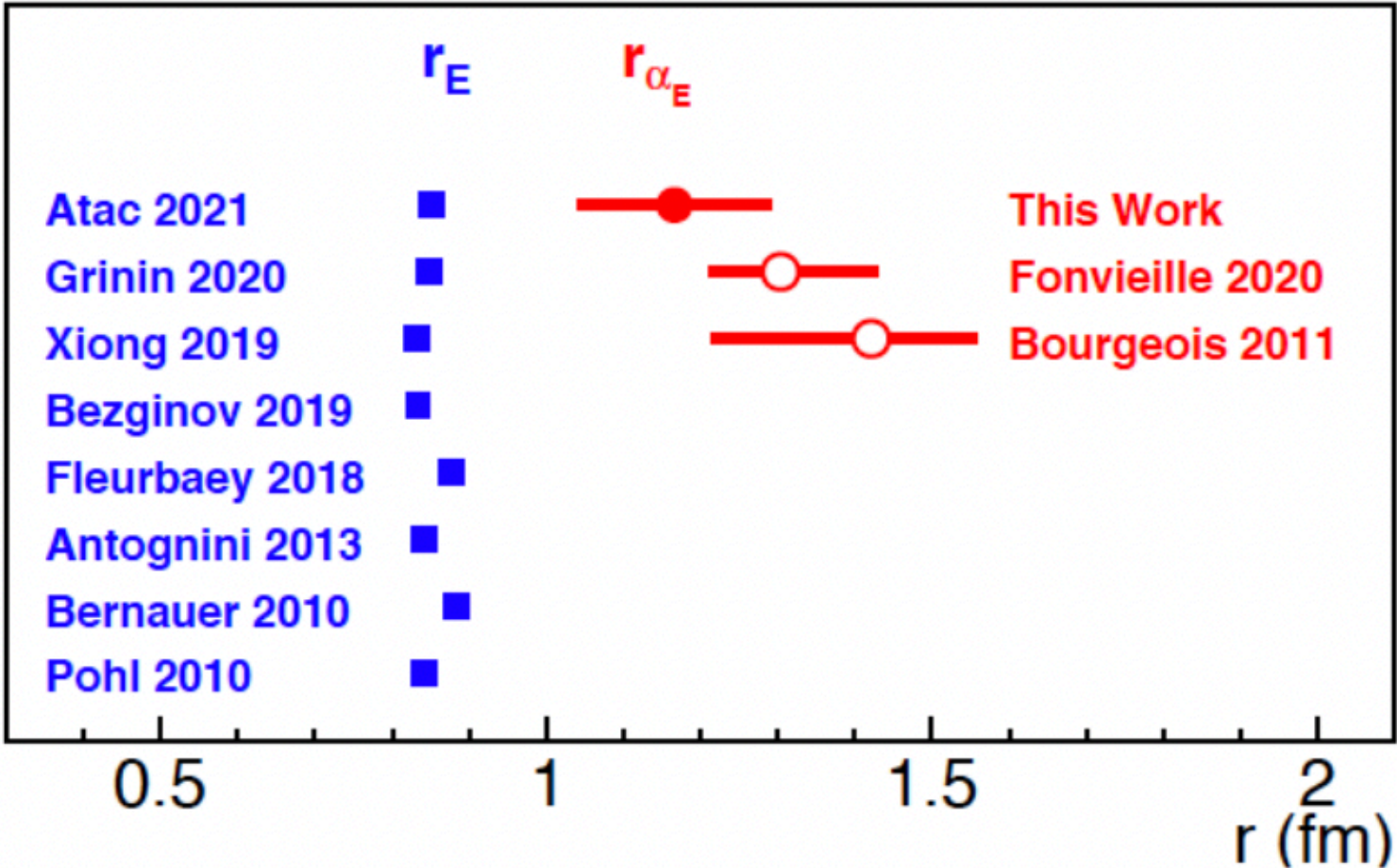
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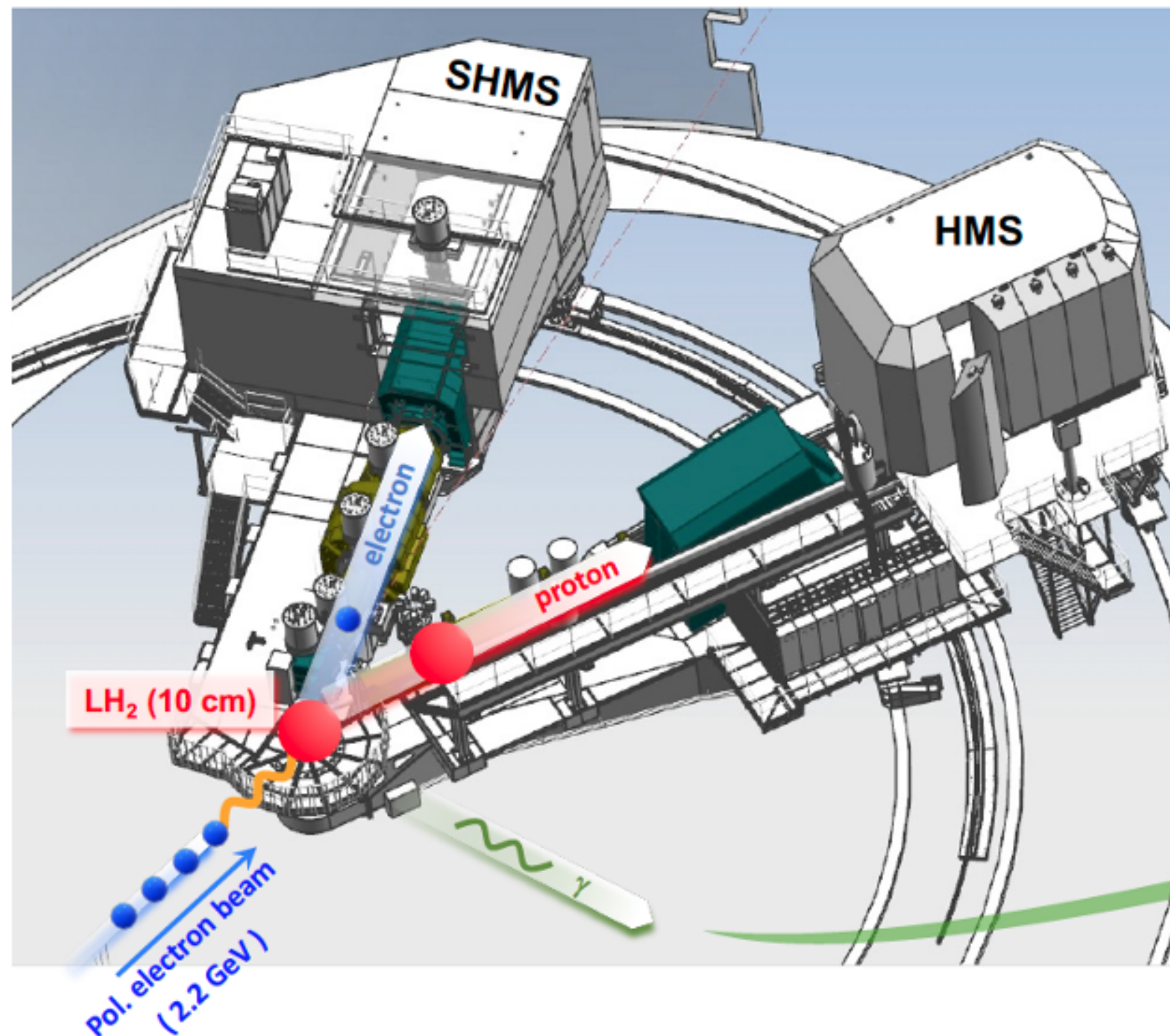


$$\langle r_{\alpha_E}^2 \rangle = 1.36 \pm 0.29 \text{ fm}^2$$

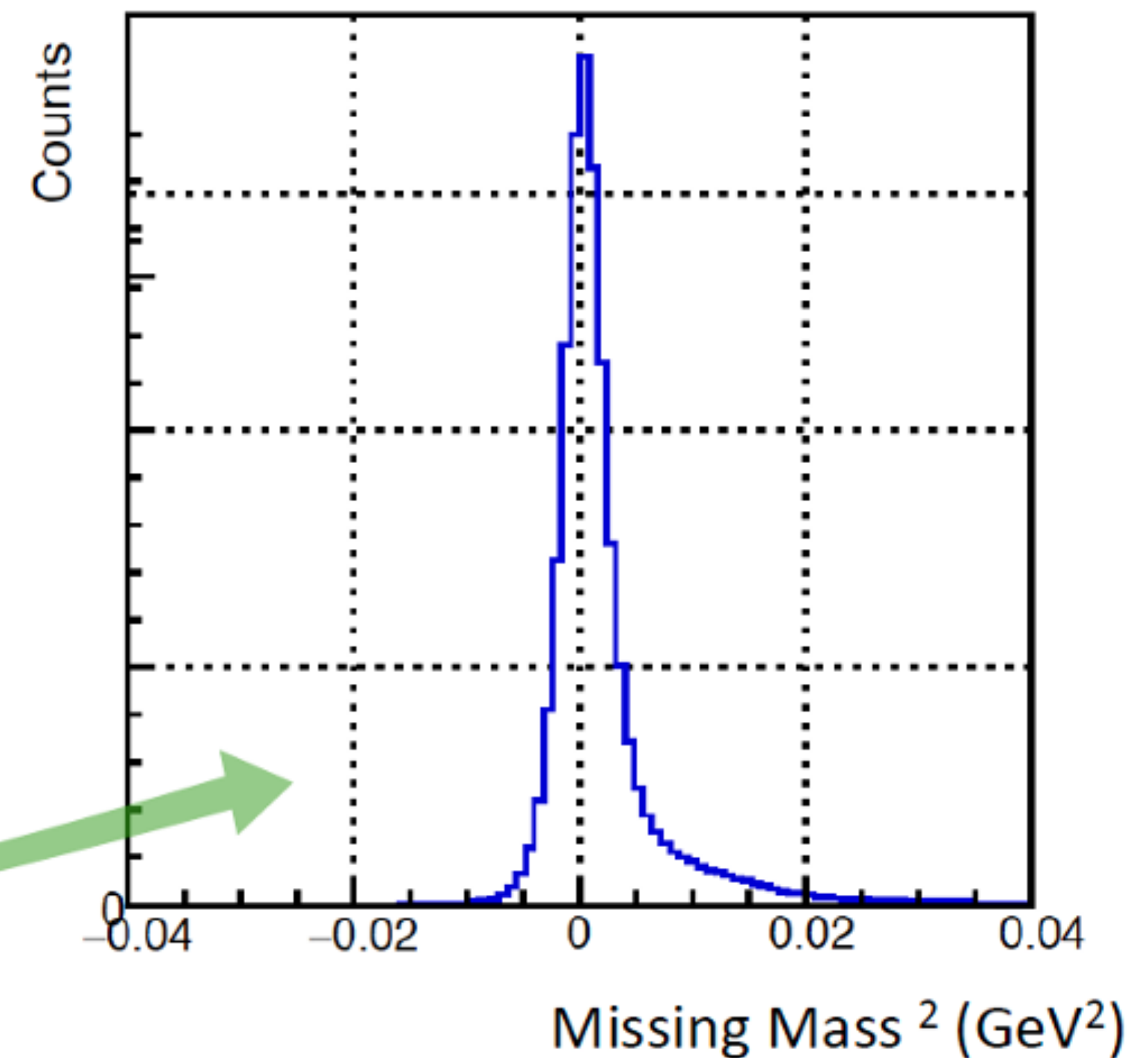


$$\langle r_{\beta_M}^2 \rangle = 0.63 \pm 0.31 \text{ fm}^2$$

Experimental Setup



Effectively, the experiment uses the same experimental setup as in the VCS-II with the addition of a polarized electron beam



$$A_{LU}^e = \frac{d\sigma_+^e - d\sigma_-^e}{d\sigma_+^e + d\sigma_-^e}$$

Hall C: SHMS, HMS (in standard configuration)

E=2.2 GeV I=70μA 85% polarization

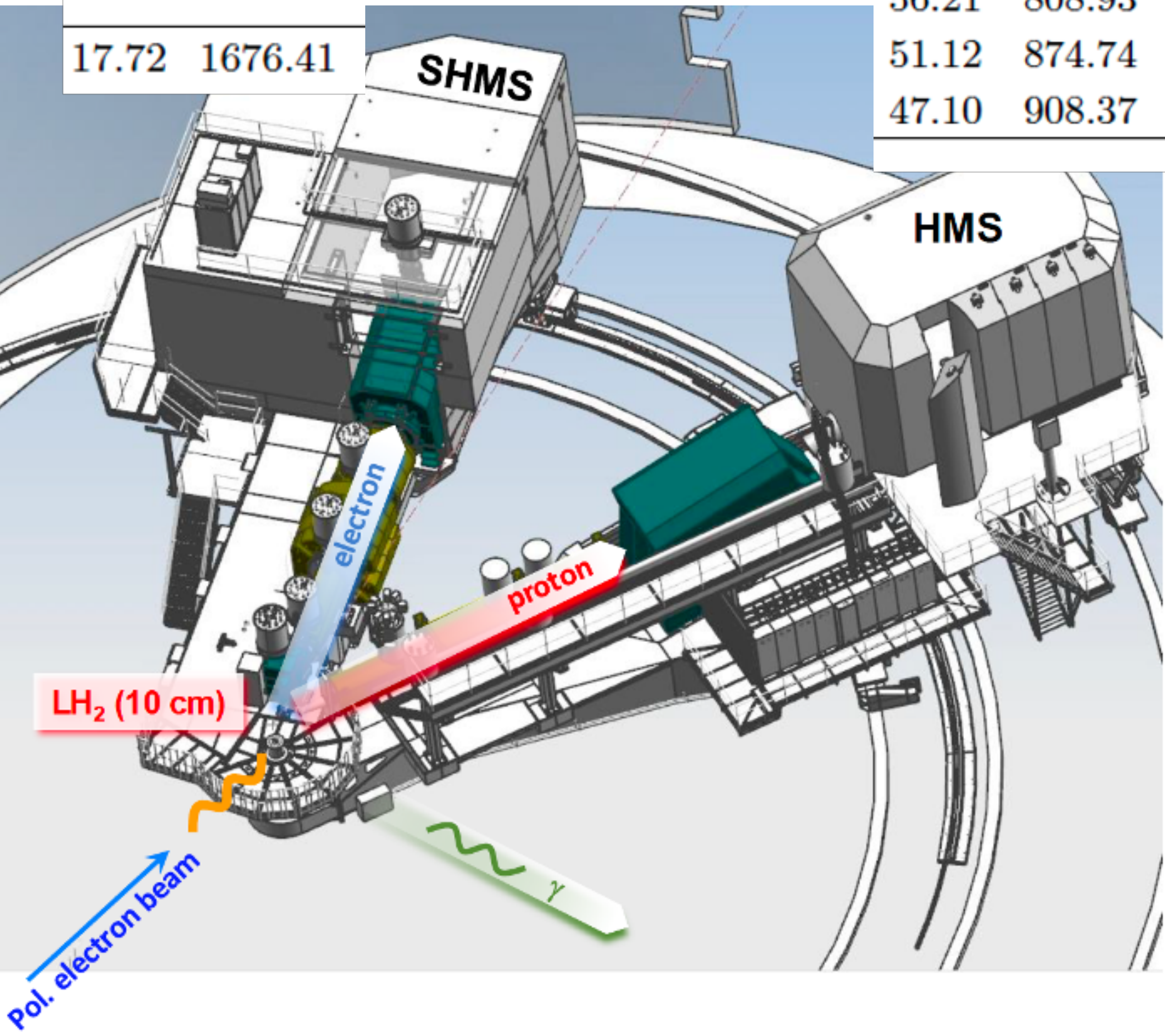
LH2 10 cm

Kinematic settings:

θ_e°	P'_e (MeV/c)
17.72	1676.41

SHMS singles: 250 kHz
HMS singles: 50-80 kHz

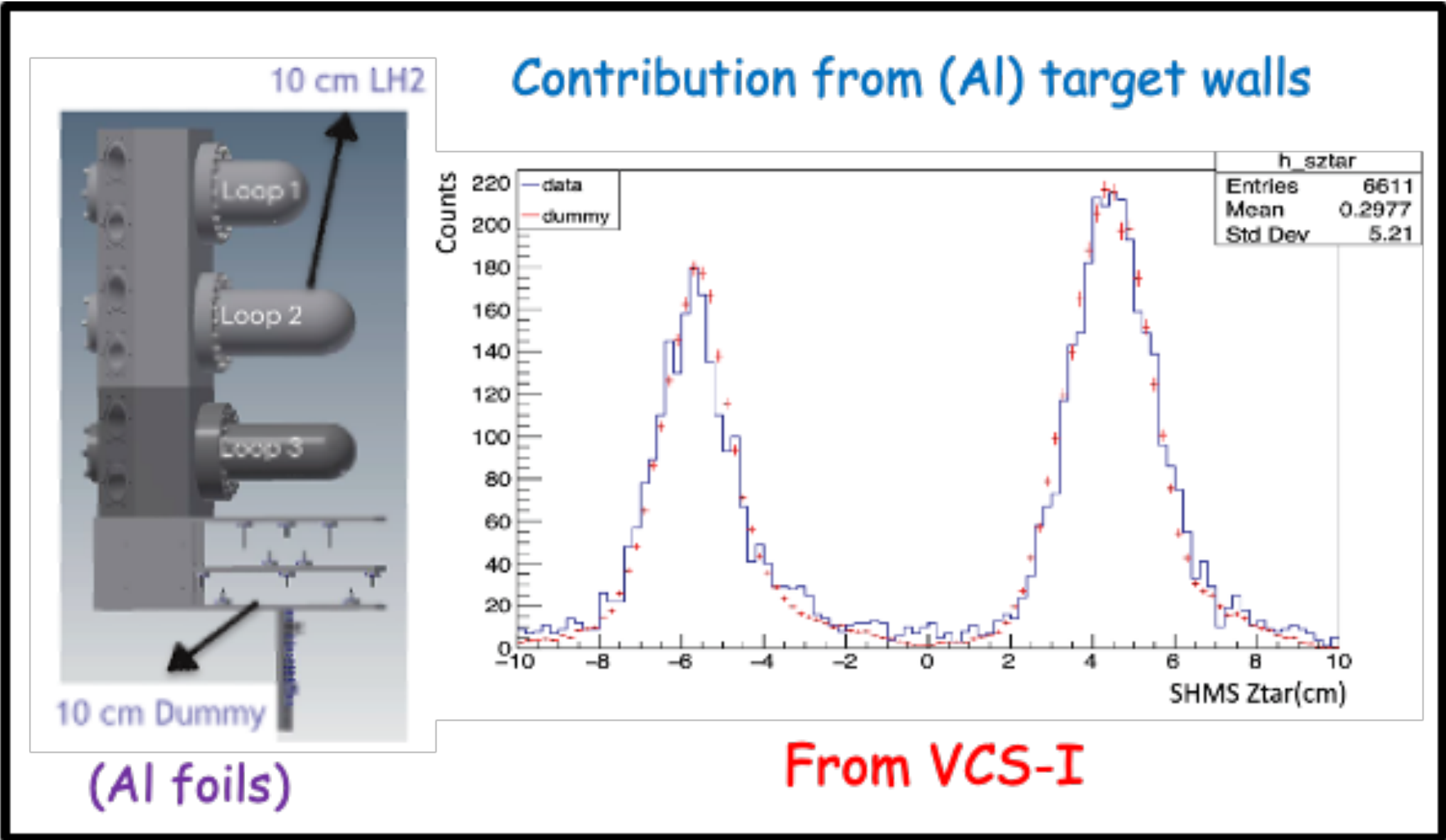
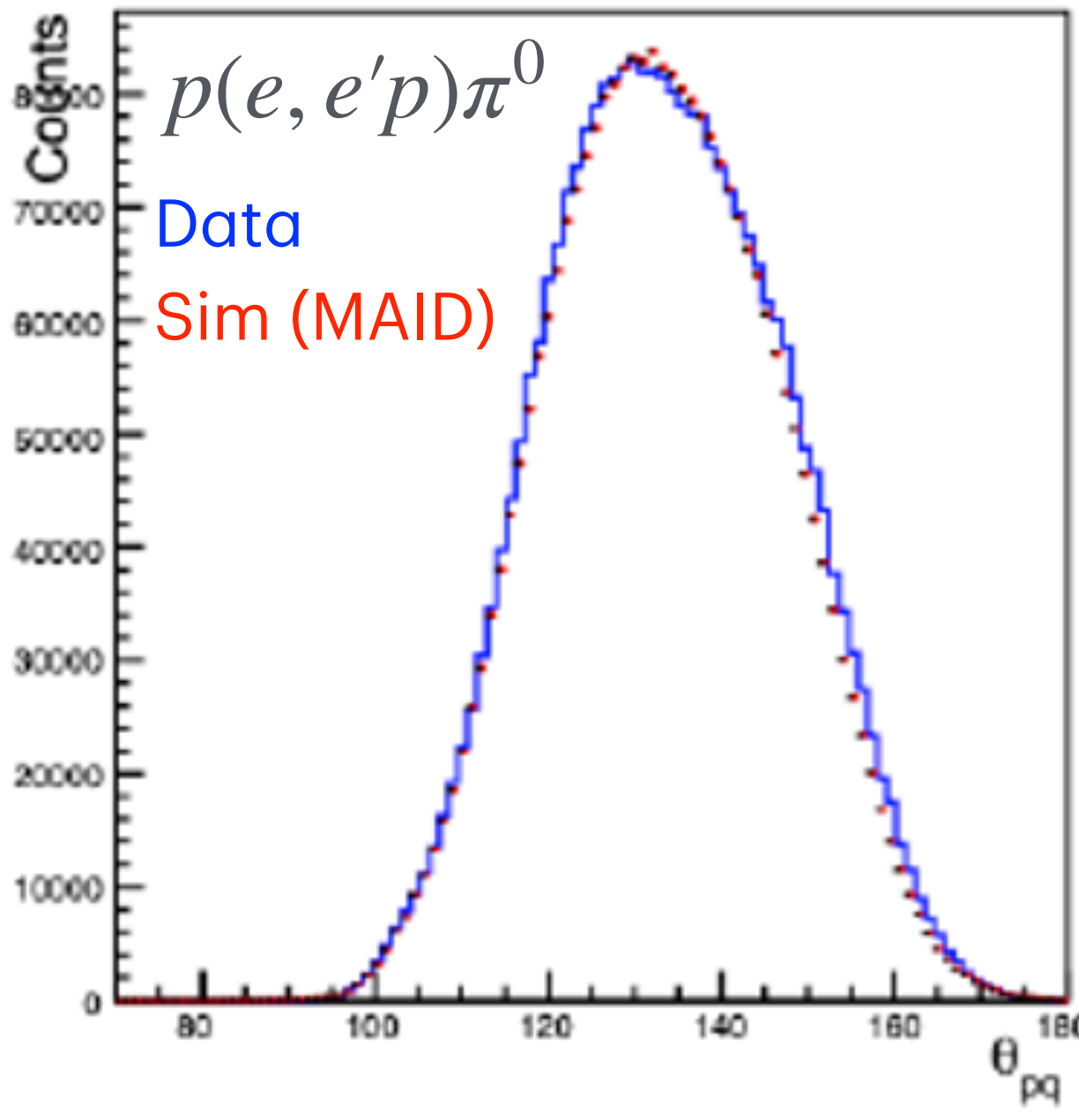
θ_p°	P'_p (MeV/c)
60.71	723.69
56.21	808.93
51.12	874.74
47.10	908.37



Experience running, extracting, and publishing with similar setups in Hall-C

Settings are within normal operating conditions

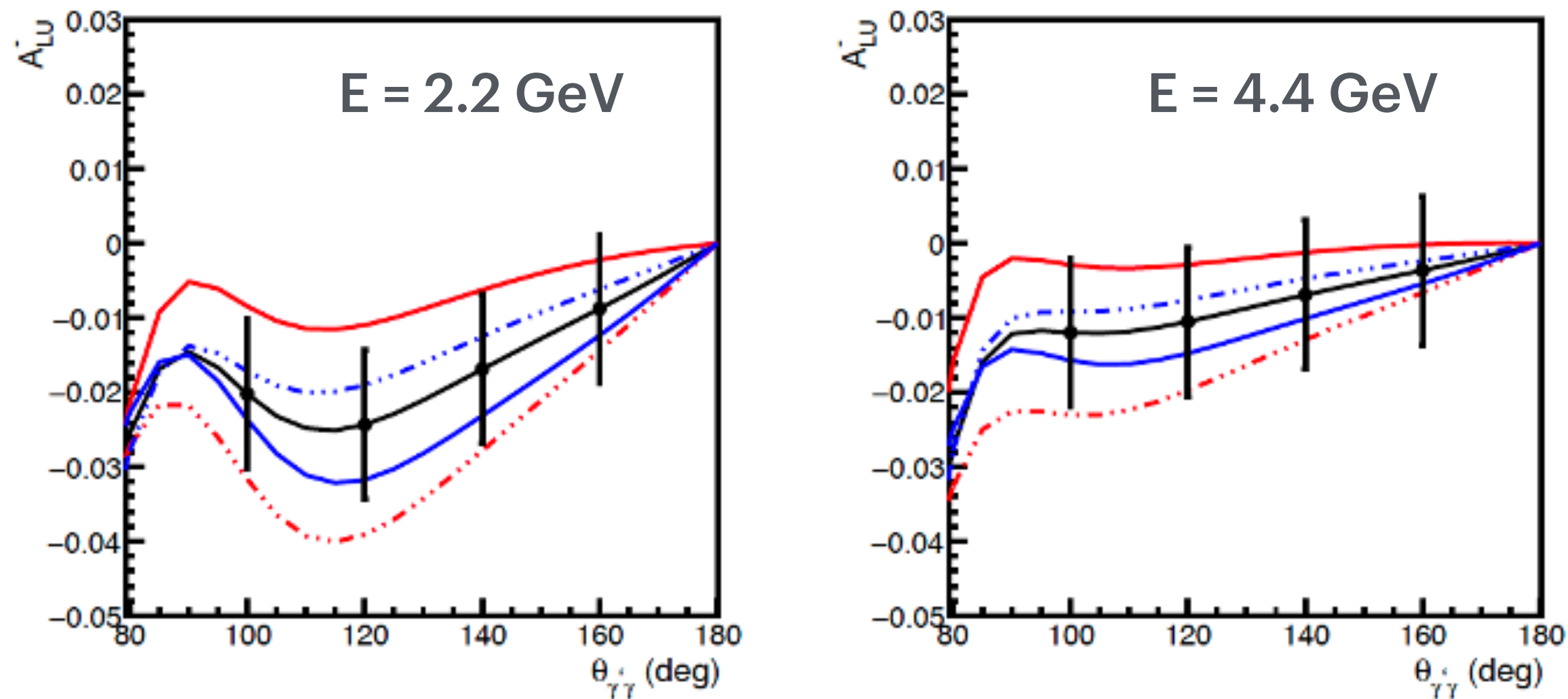
Singles rates are low



Studies to optimize the experiment kinematics

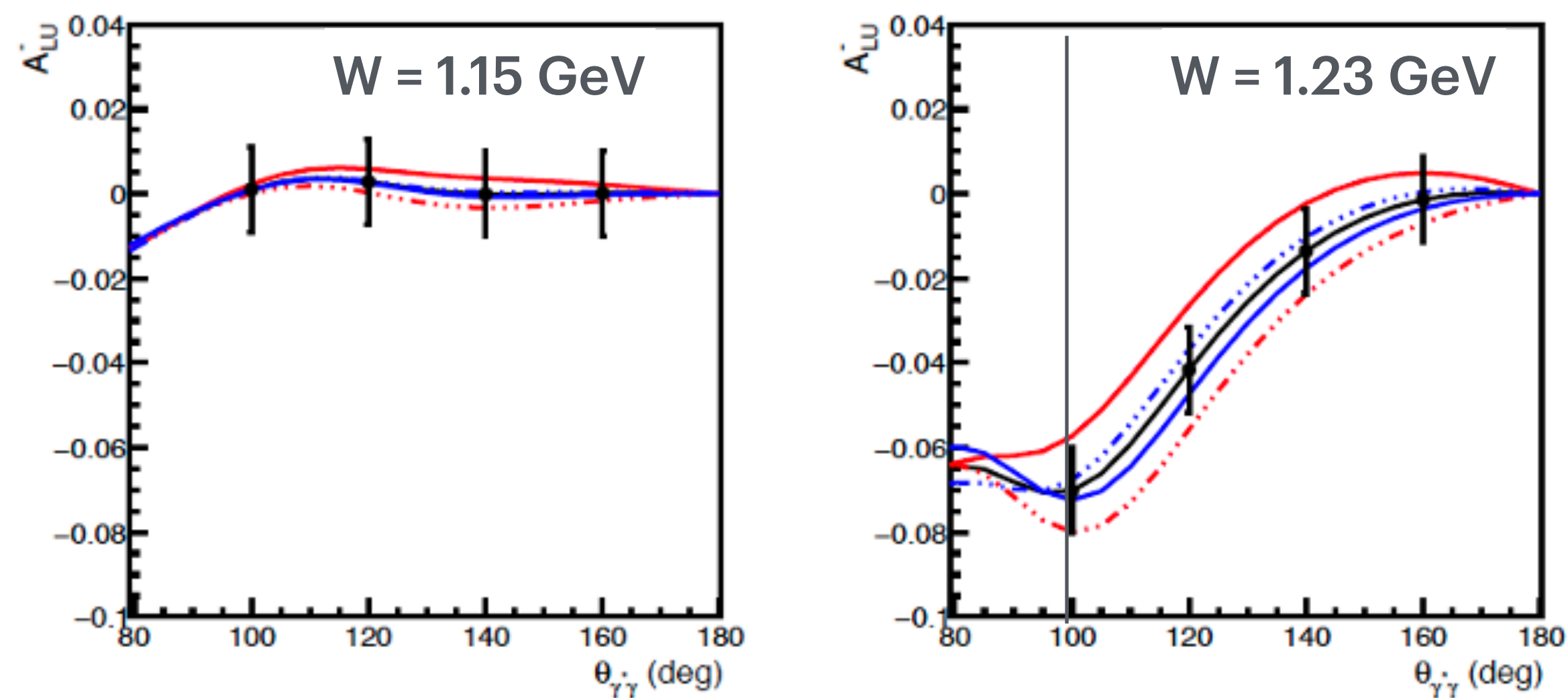
This proposal focuses on extracting one Q^2 point with high precision $\longrightarrow Q^2 = 0.35 \text{ GeV}^2$

BSA Beam Energy Study



Better sensitivity at 2.2 GeV

BSA center-of-mass Study

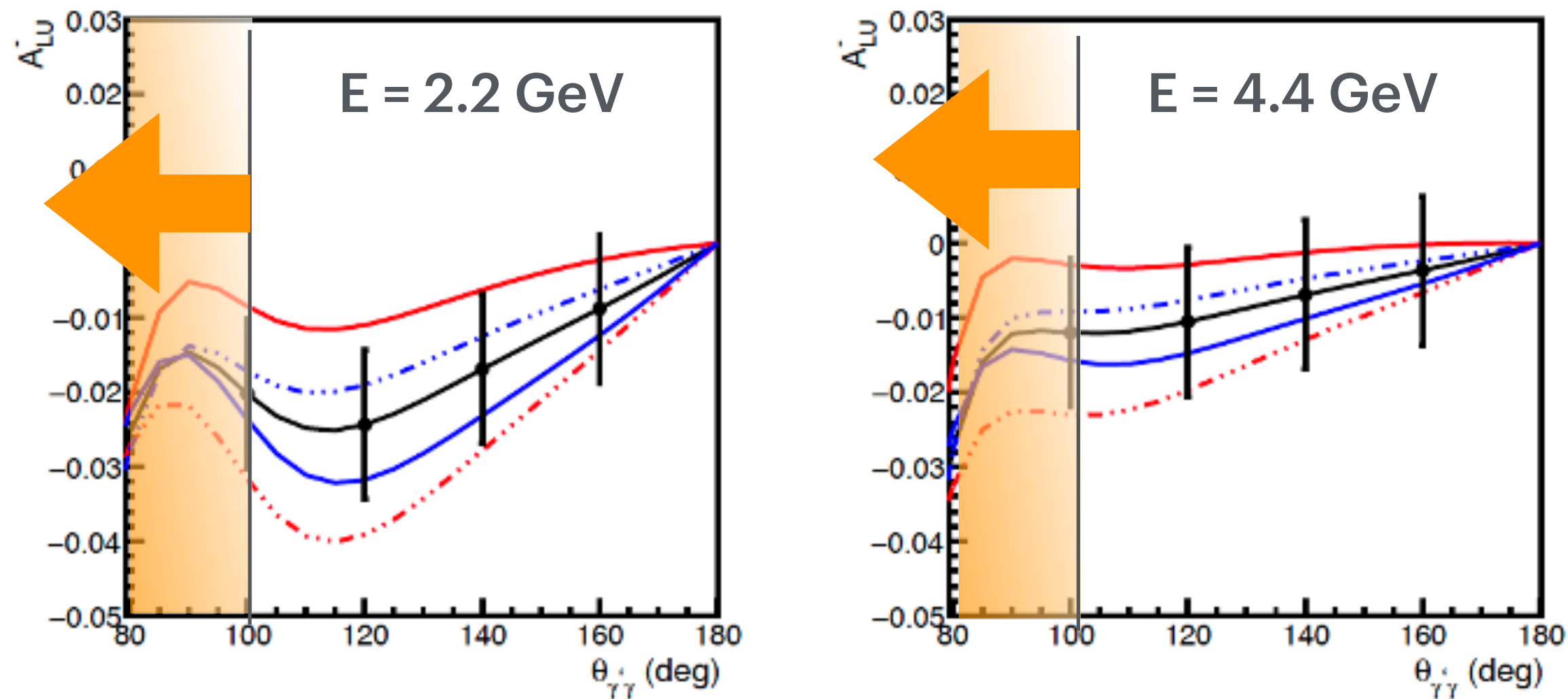


Sensitivity grows near pole-mass of $\Delta(1230)$ resonance

Studies to optimize the experiment kinematics

This proposal focuses on extracting one Q^2 point with high precision $\longrightarrow Q^2 = 0.35 \text{ GeV}^2$

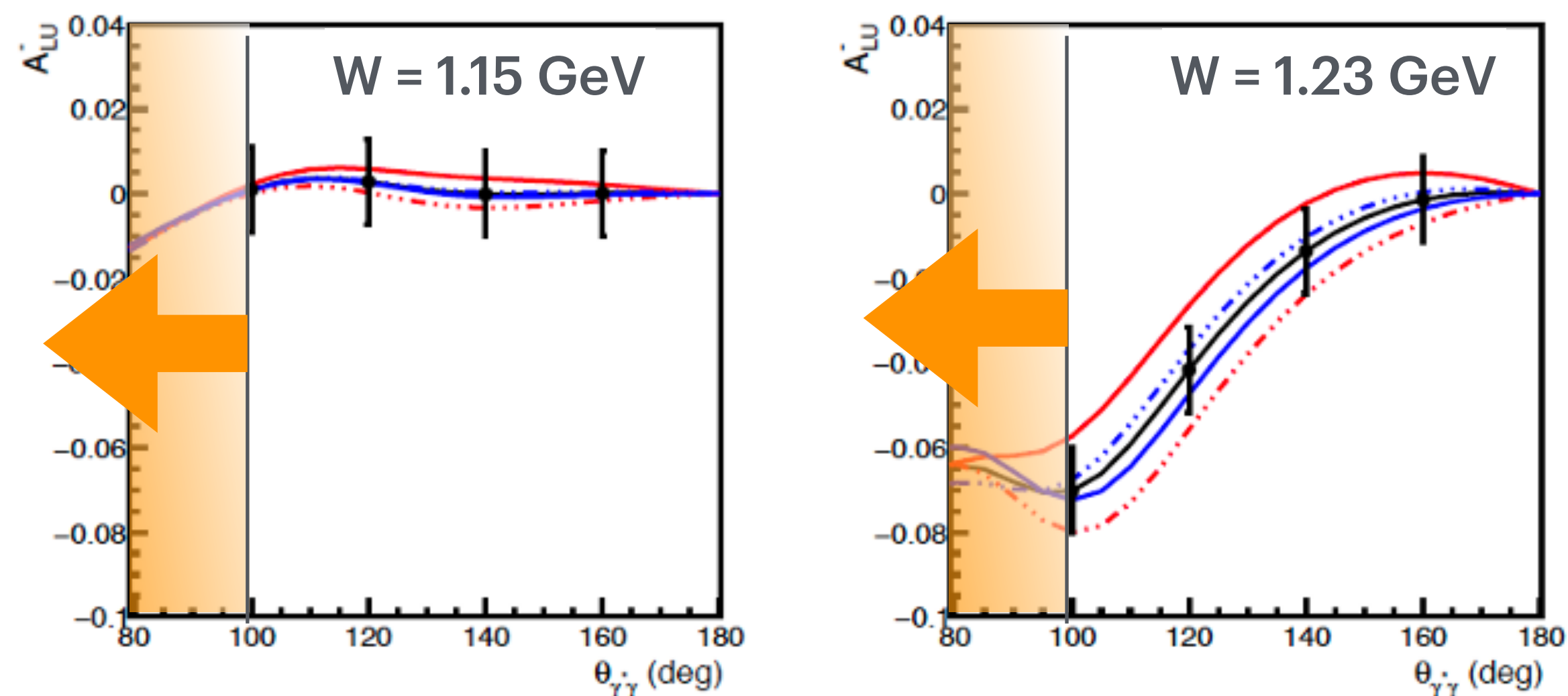
BSA Beam Energy Study



Better sensitivity at 2.2 GeV

Decreased sensitivity: $\theta_{\gamma^*\gamma} < 100 \text{ deg}$

BSA center-of-mass Study



Sensitivity grows near pole-mass of $\Delta(1230)$ resonance

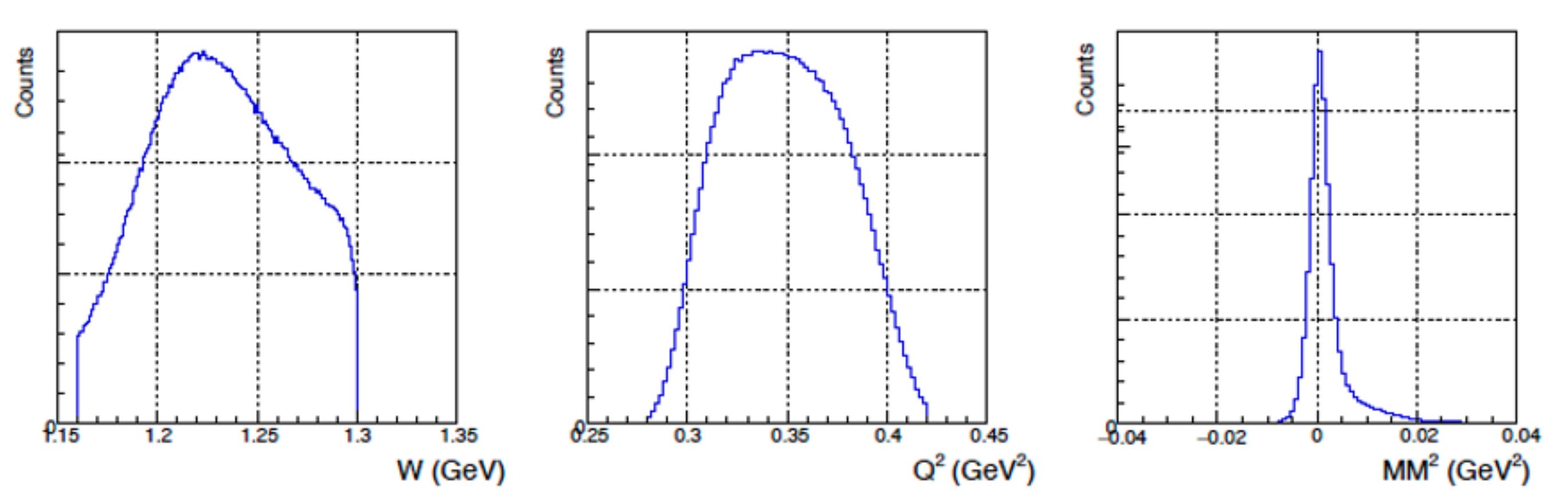
Simulation Studies

Simulation weighted with the DR model for VCS (Pasquini et al)

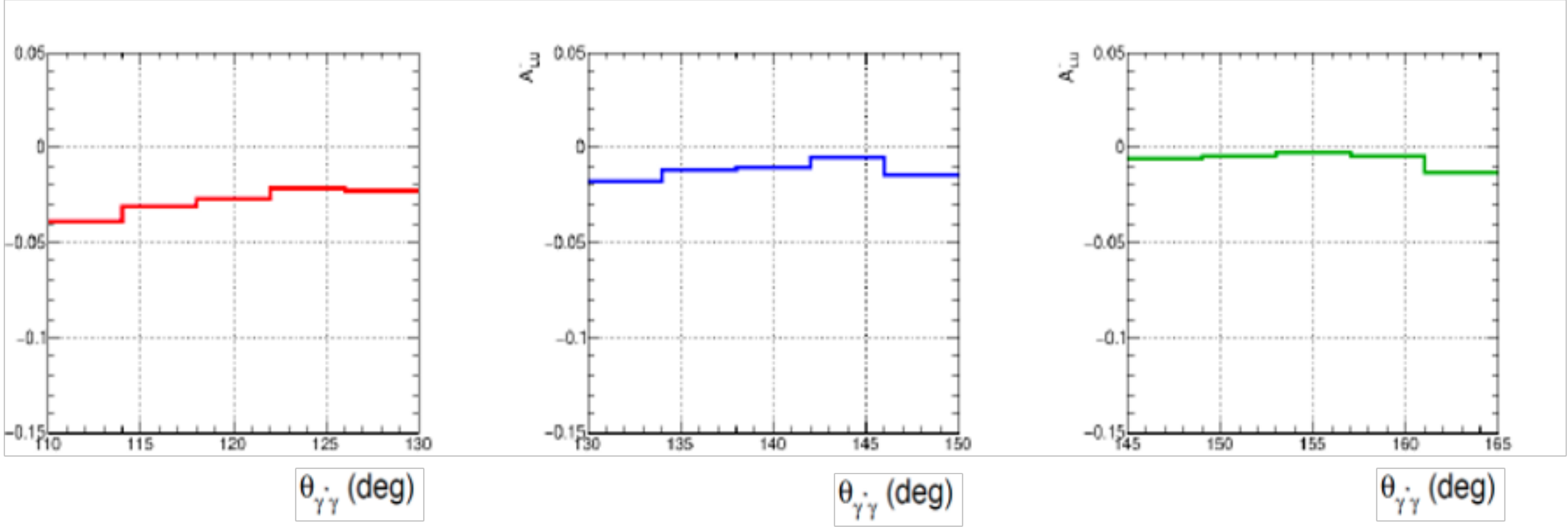
Systematics:

Acceptance / bin migration	5%
Bin centering	6% - 8%
Radiative effects	2% - 3%
Beam polarization	3%
Background subtraction	1%
Target wall	1%
Pion contamination	1%

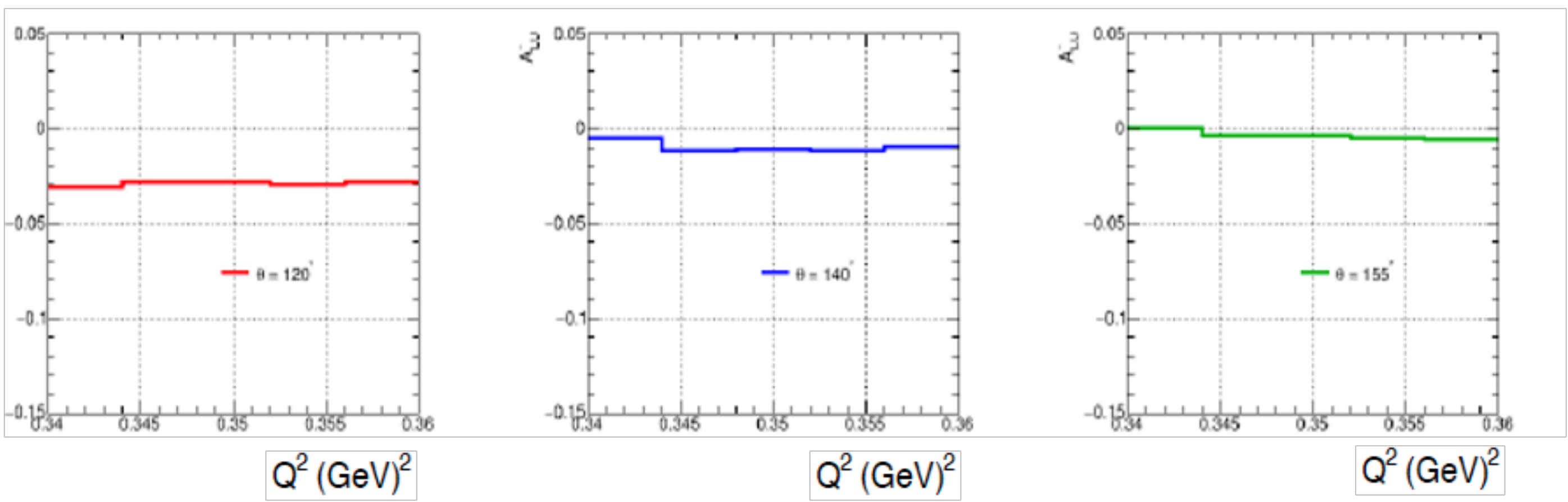
System. uncertainties ~ 50% compared to the statistical



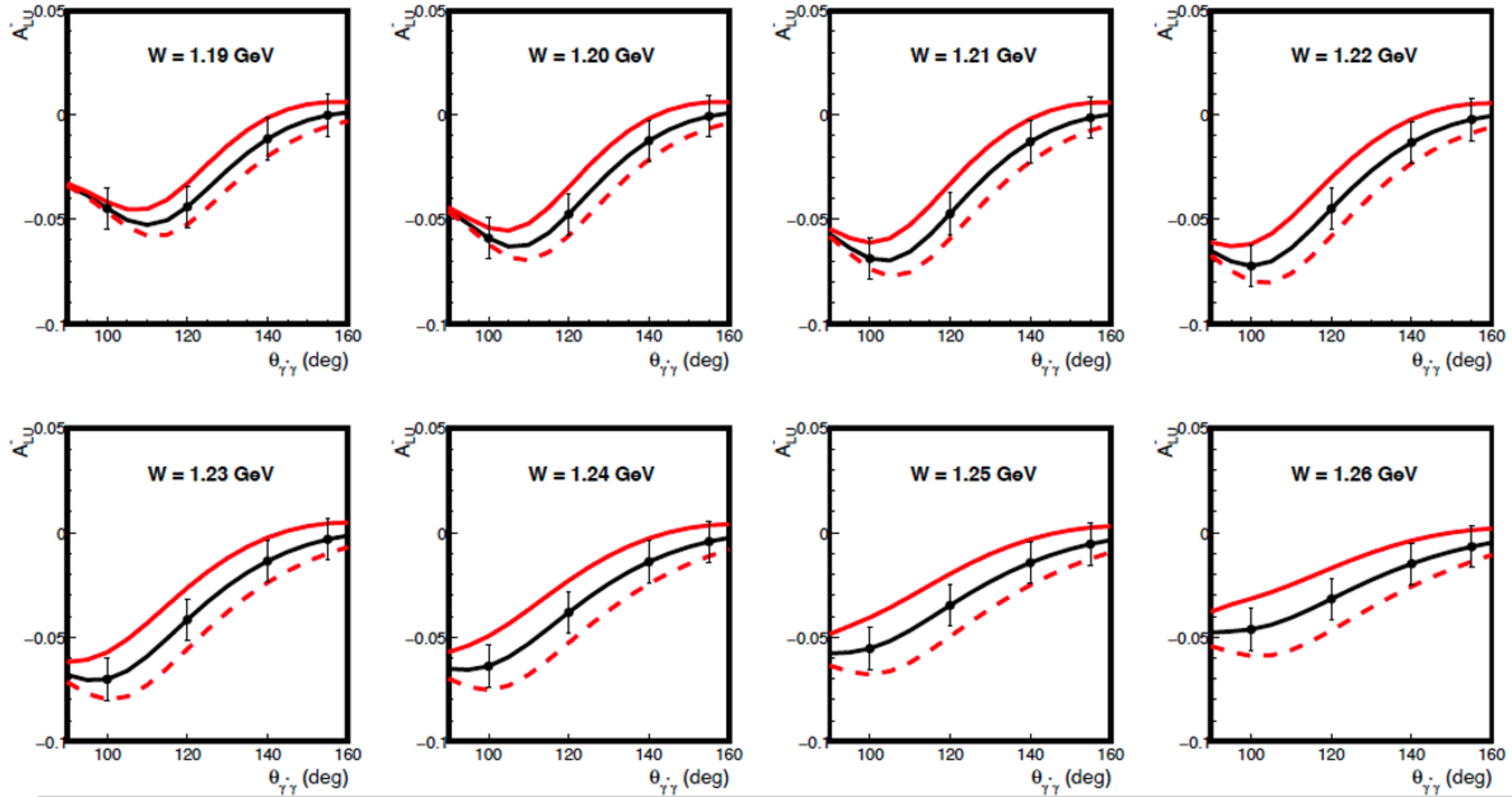
\bar{A}_{LU}



\bar{A}_{LU}



Projected BSA measurements



Projected beam spin asymmetry measurements. The sensitivity to the electric GP is shown for Λ_α from 0.5 to 0.9.

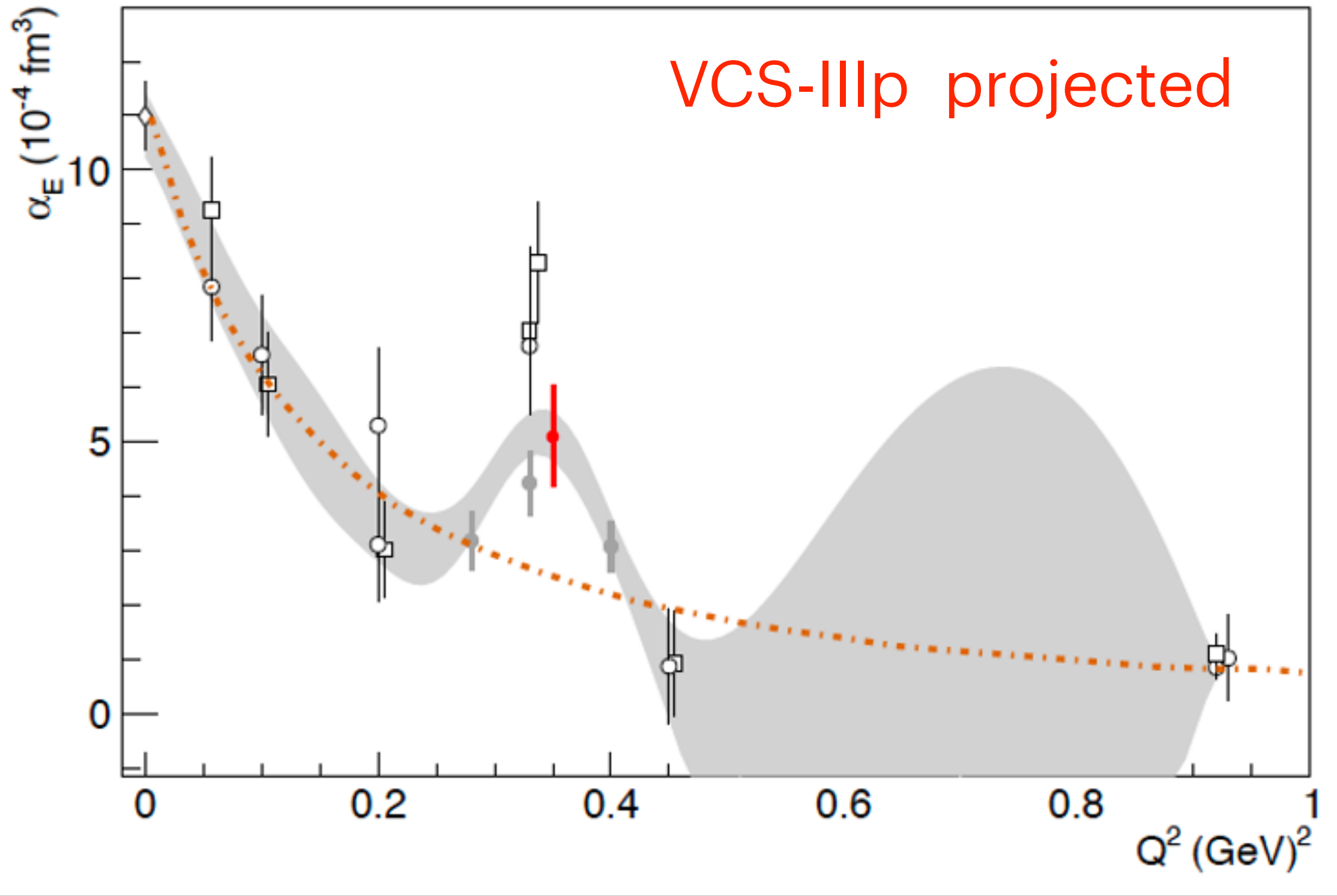
GPs extracted through a DR fit to the BSA measurements.

Λ_α & Λ_β fitted by an χ^2 minimization which compares the DR asymmetries to the measured ones and the GPs are determined

Experiment Kinematics & Projected Measurement

Beam Energy (GeV)	Beam Current (uA)	Beam Requirements	Target	θ_e°	P_e' (MeV/c)	θ_p°	P_p' (MeV/c)	Beamtime (days)	
2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	60.71	723.69	2	
2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	56.21	808.93	6	
2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	51.12	874.74	6	
2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	47.10	908.37	6	
Total Time								20	+ 0.5 (dummy & check outs)

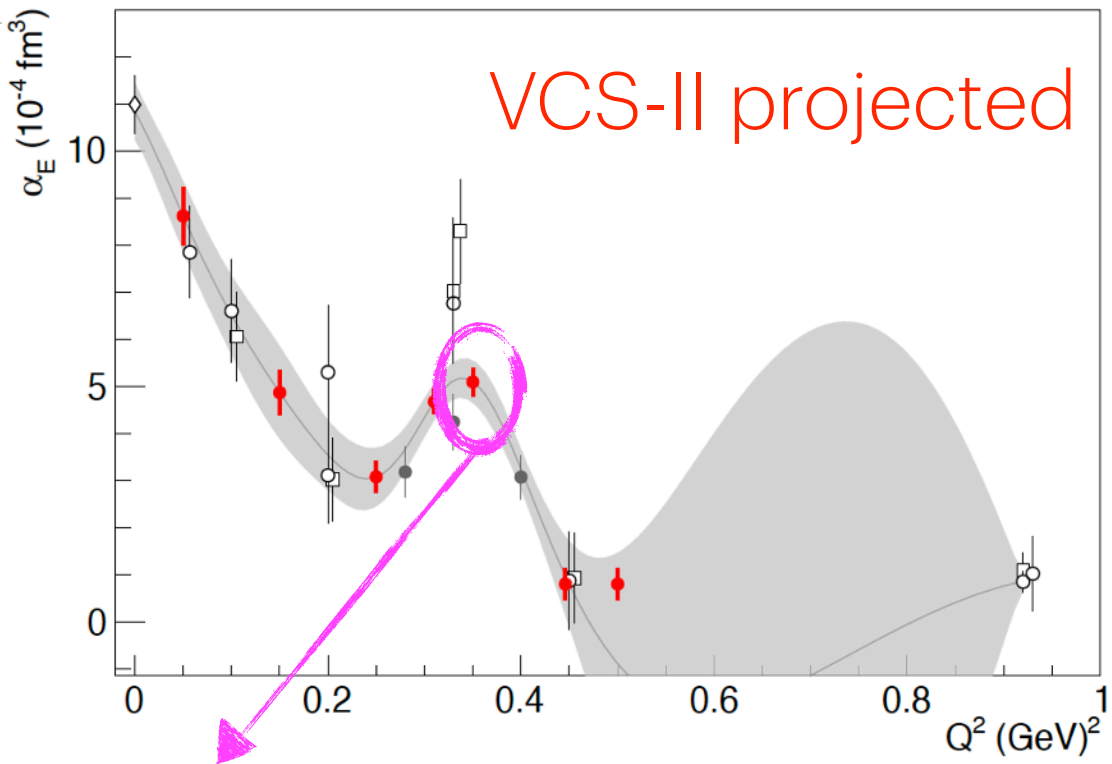
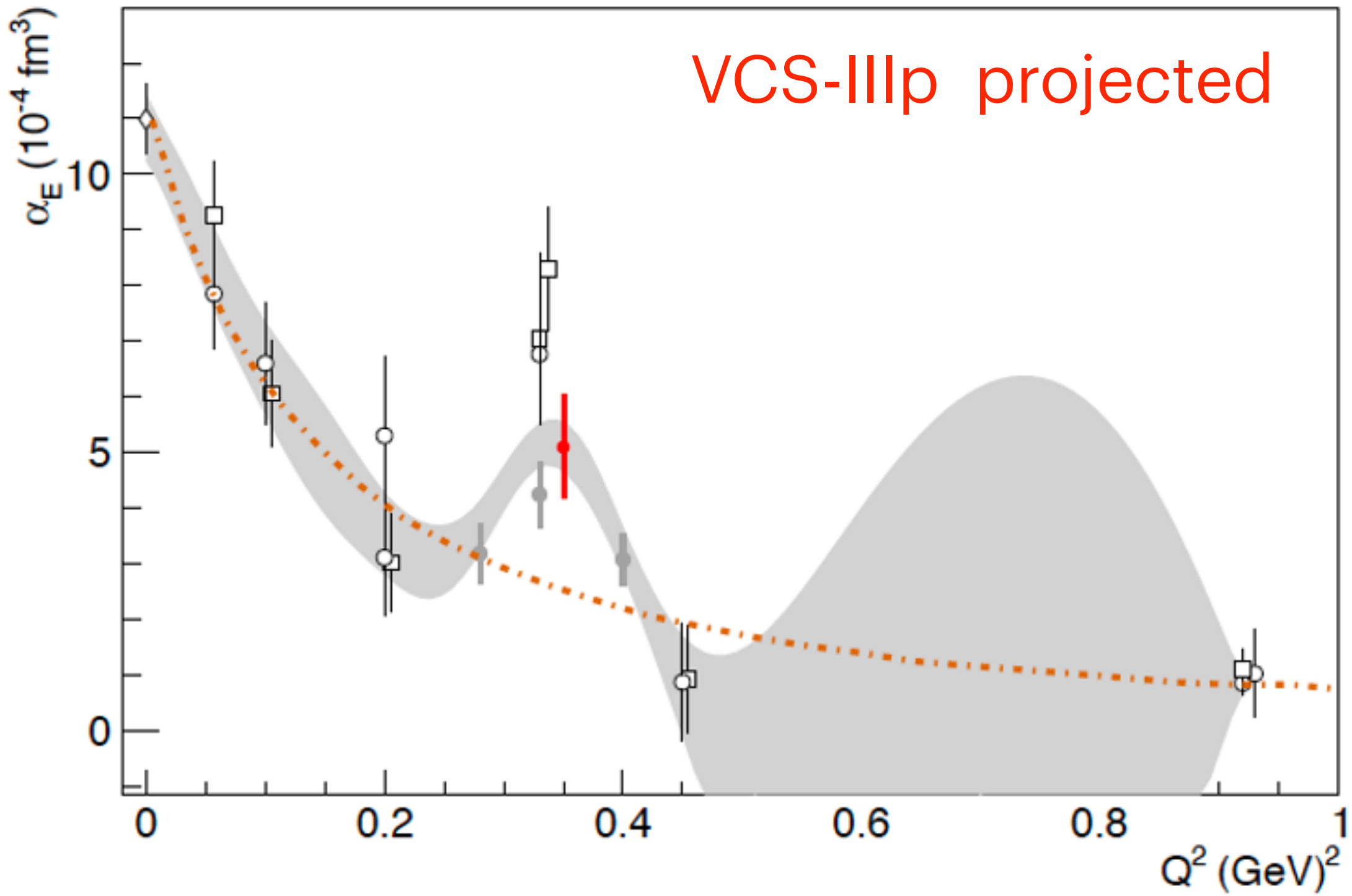
Total beam time : 20.5 days



Experiment Kinematics & Projected Measurement

Beam Energy (GeV)	Beam Current (uA)	Beam Requirements	Target	θ_e°	P_e' (MeV/c)	θ_p°	P_p' (MeV/c)	Beamtime (days)
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2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	47.10	908.37	6
Total Time								20

(With-out VCS-II)
Total beam time : 20.5 days
+ 0.5 (dummy & check outs)



If combined with E12-23-001 (VCS-II)
4 days can be commonly shared

Total beam time (with VCS-II) : 16.5 days

Experiment Kinematics & Projected Measurement

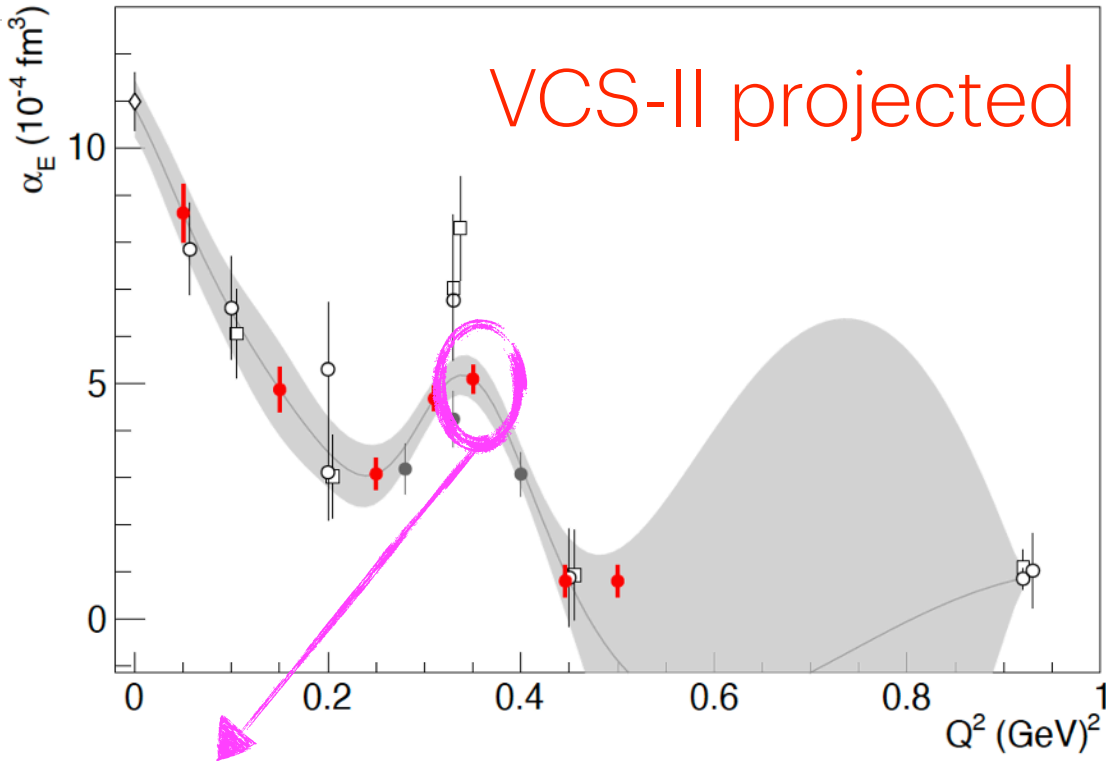
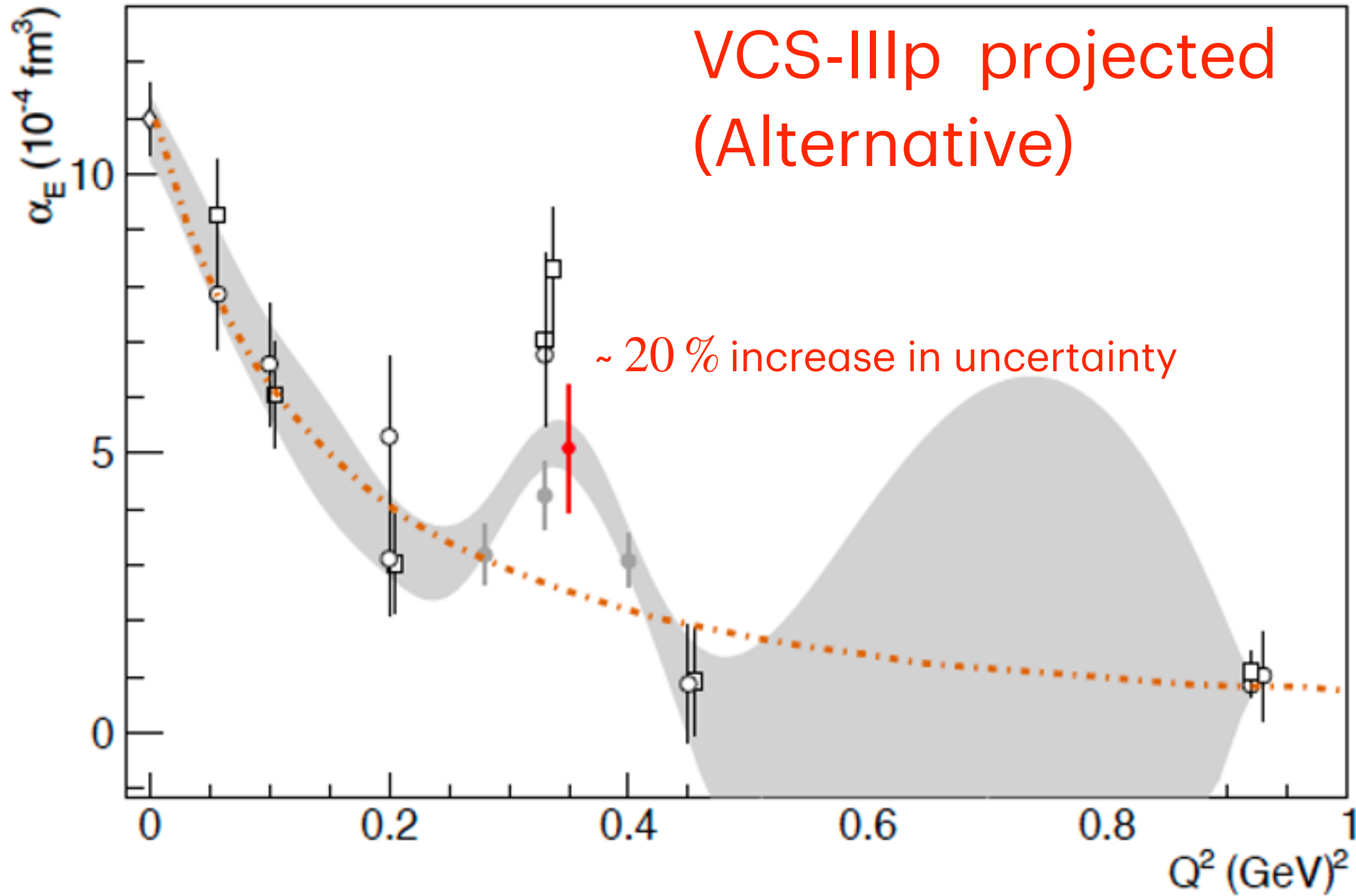
Beam Energy (GeV)	Beam Current (uA)	Beam Requirements	Target	θ_e°	P_e' (MeV/c)	θ_p°	P_p' (MeV/c)	Beamtime (days)
2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	60.71	723.69	(1.5)
2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	56.21	808.93	(3.5)
2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	51.12	874.74	(3.5)
2.2	70	Polarized	LH2 (10cm)	17.72	1676.41	47.10	908.37	(4)

Total Time

(12.5) + 0.5 (dummy & check outs)

(With-out VCS-II)

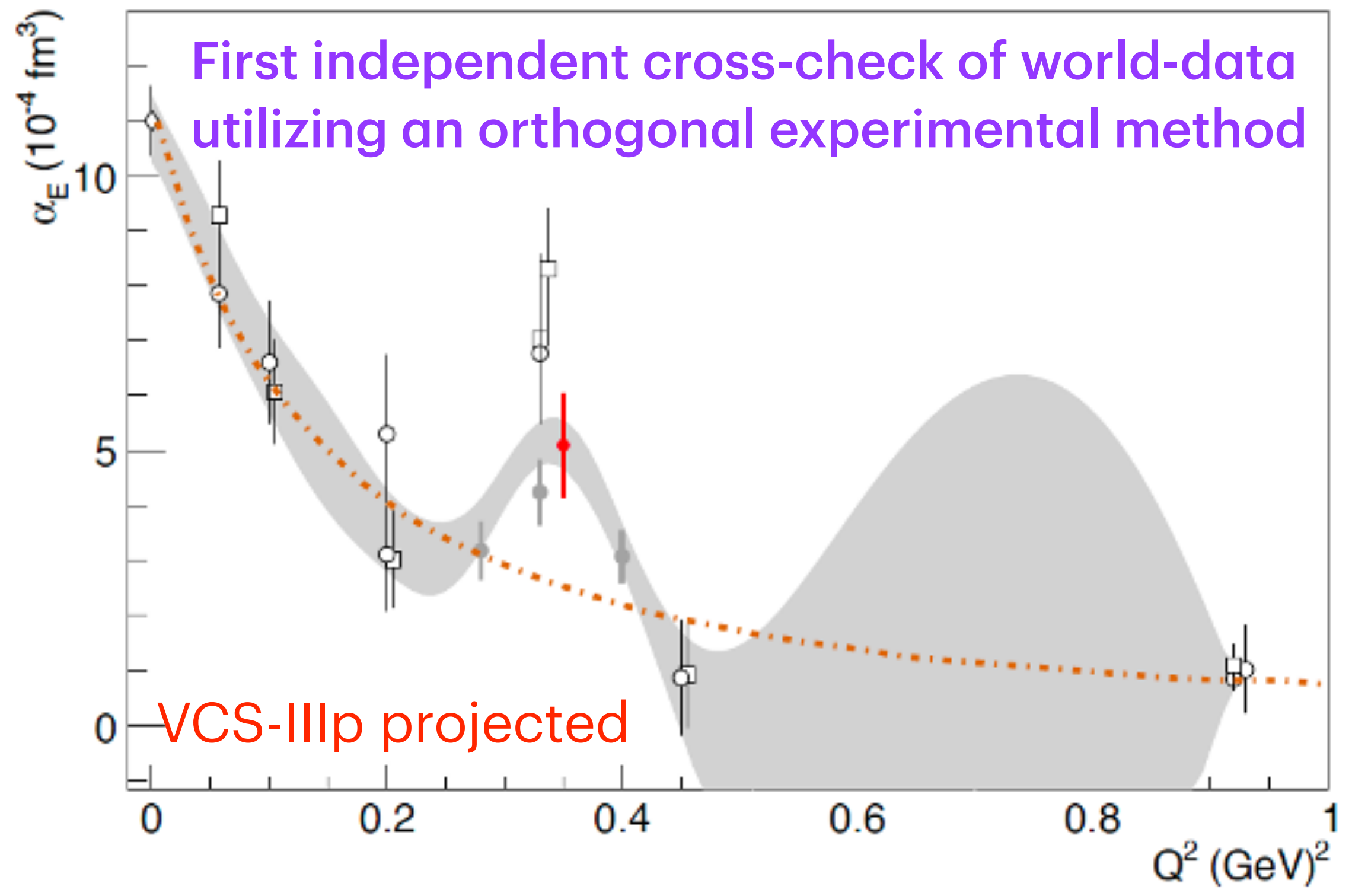
Total beam time : ~~20.5~~ days
13



If combined with E12-23-001 (VCS-II)
4 days can be commonly shared

Total beam time (Alt. w/ VCS-II) : 9 days

VCS-IIIb Primary Experiment Goals

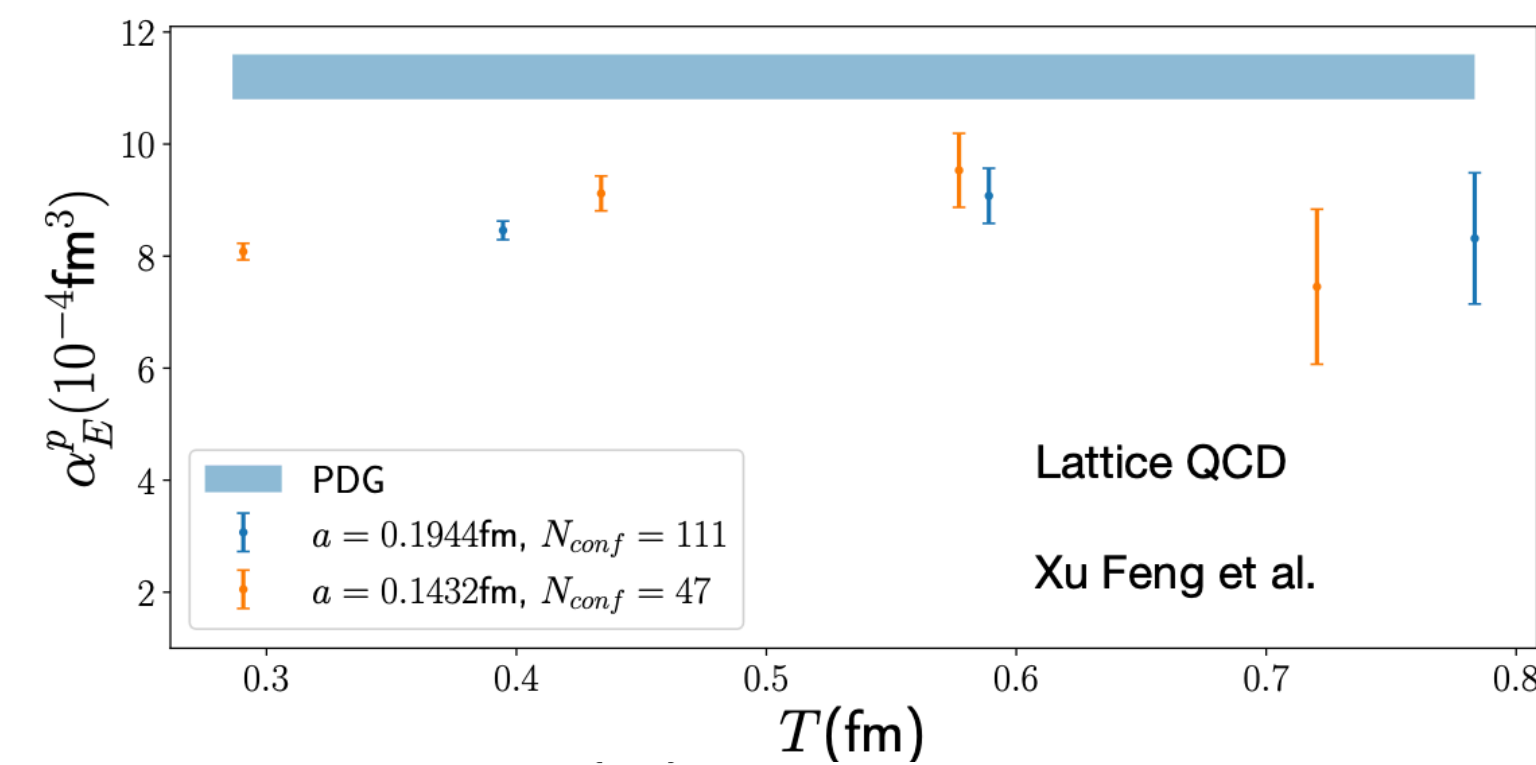
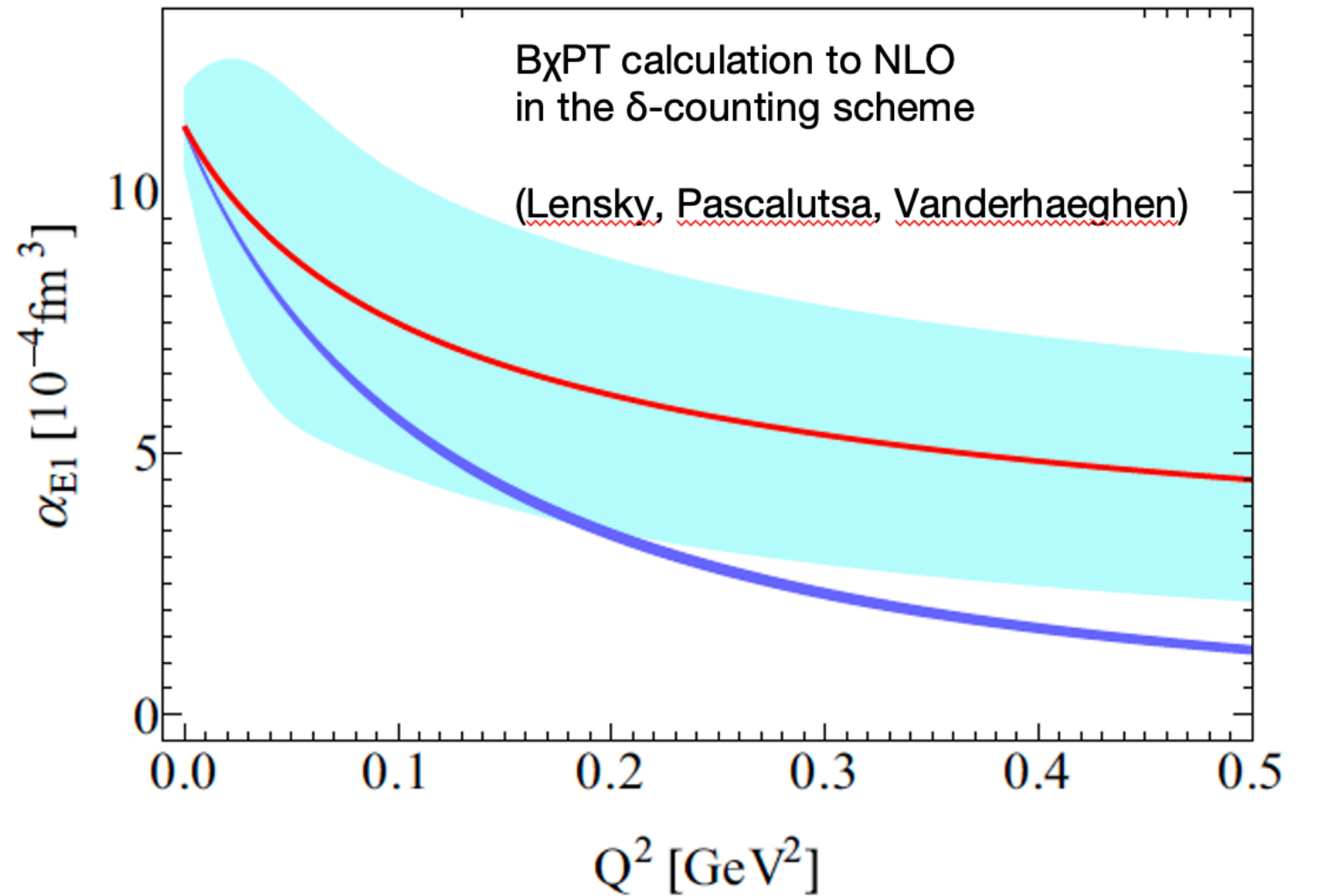


High precision benchmark data

χ PT

LQCD

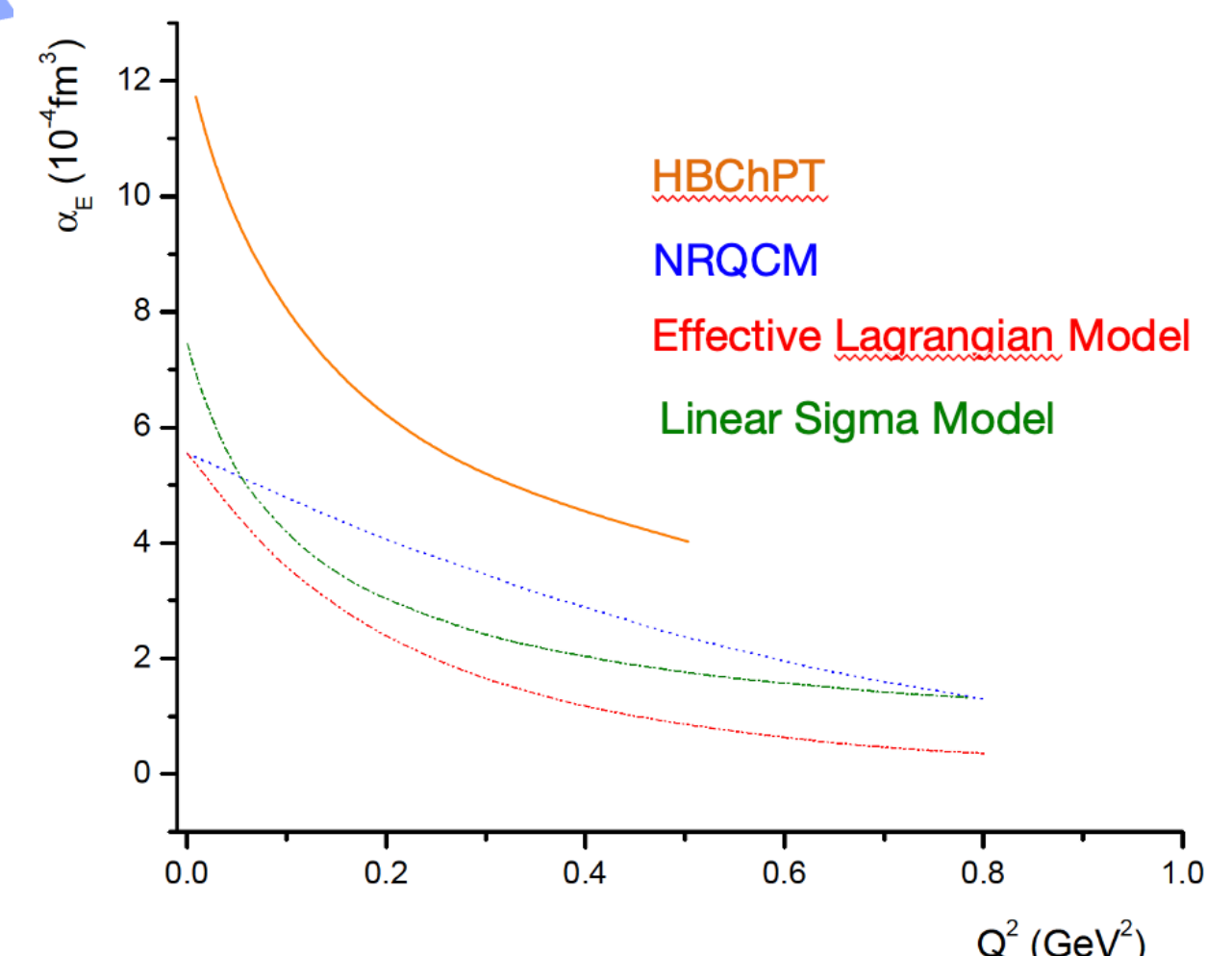
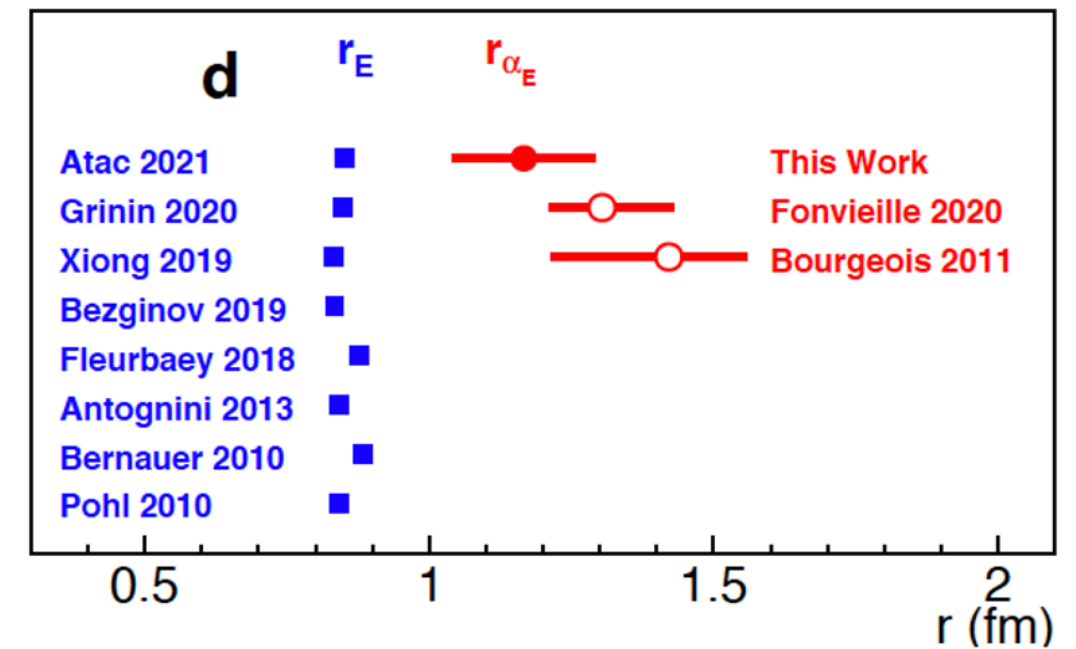
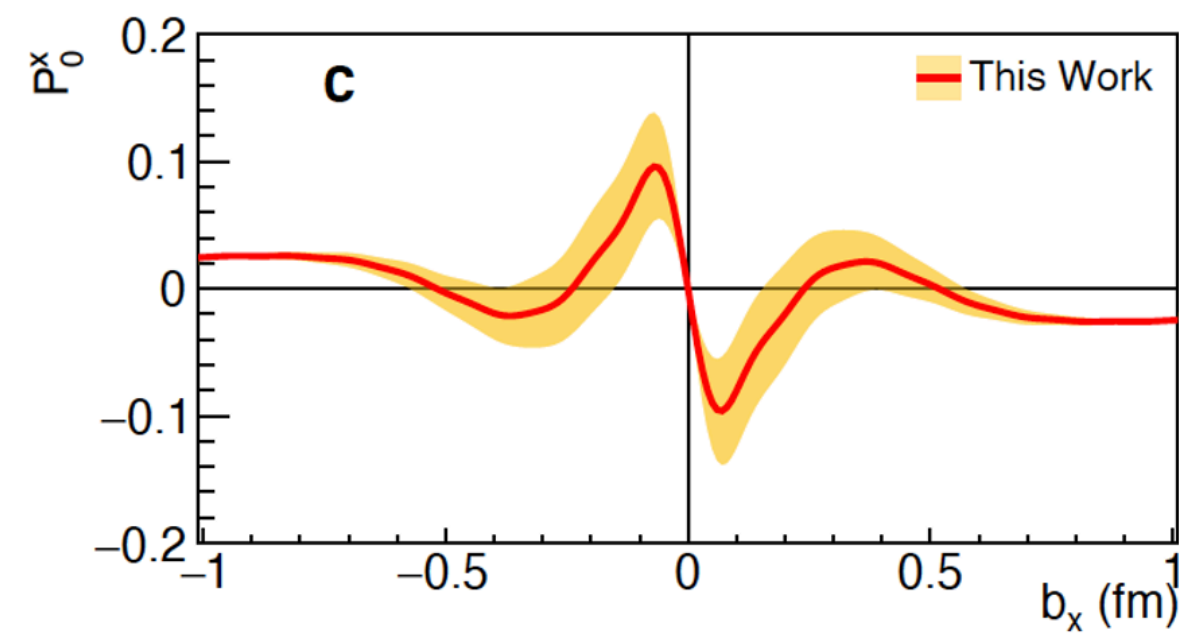
theoretical models



Tomography:

Induced polarization in the proton

Polarizability radii
 $\langle r_{aE} \rangle$, $\langle r_{\beta M} \rangle$



Summary

- **Focus on the study of fundamental proton properties**
 - Gain insight to the response of the proton constituents to an external EM field, to the interplay of the polarizability mechanisms & to the tomography of the polarizability effects in the proton
- **First measurement of the polarizabilities using a new experimental method**
 - Unique independent cross check to the world data
 - Employing alternative experimental methods is instrumental in the scientific process, frequently revealing surprises and new knowledge (e.g. proton EM form factors, proton charge radius)
 - Particularly valuable in addressing the theoretical challenges with the electric GP
- **The proposal complements an ongoing experimental program at Jlab with a unique & novel approach**
- **The experiment uses a standard experimental setup in Hall C that is already employed for the unpolarized VCS measurements and is well tested and understood**
 - $E=2.2$ GeV, $I=70\mu\text{A}$, 85% polarization, LH2 10 cm
 - $\theta_{\text{SHMS}} \sim 18$ deg, $p_{\text{SHMS}} \sim 1.7$ GeV, $\theta_{\text{HMS}} \sim 47$ to 60 deg, $p_{\text{HMS}} \sim 0.7$ to 0.9 GeV
- **The collaboration is well prepared & ready, considering the upcoming VCS-II experiment**
 - Matching the kinematics of the two experiments reduces the beam-time request by 4 days