# Analysis of Deployment Challenges for Machine Learning Signal Processing Algorithms

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#### **Industrial Accelerators**

Security and Defense

Directed single effects testing

Medical

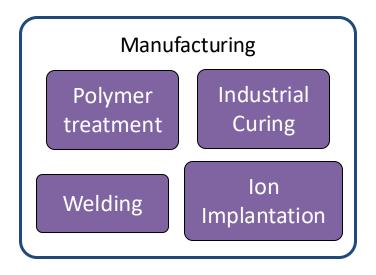
Proton Therapy

X-ray therapy

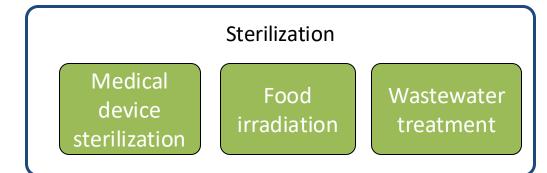
Imaging

X-ray Gamma-ray sources

electron microscopy



- Legacy systems lack complexity, automation is straightforward
  - Single RF structure controlled with a PLL or similar
  - loose beam tolerances
- Next generation of industrial systems are increasing in complexity
  - Synchronization of multiple structures for higher energy applications
  - Tighter tolerances on output beams for emerging applications



E-beam therapy

## **Opportunities for Industrial Accelerators**

- Focus areas for improving controls
  - Improvement of feedback systems for beam stabilization
  - Automation of startup routines (calibrations and synchronization)
  - Improvement of signal quality for RF systems



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Autoencoders for Noise Reduction in RF Signals



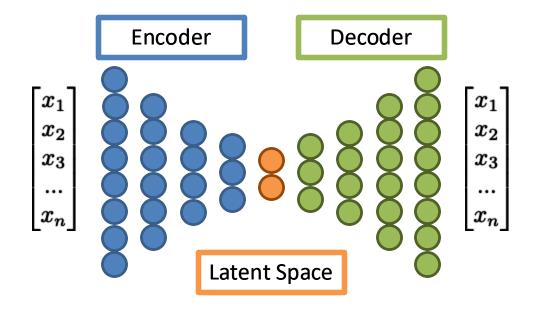
#### What is an Autoencoder?

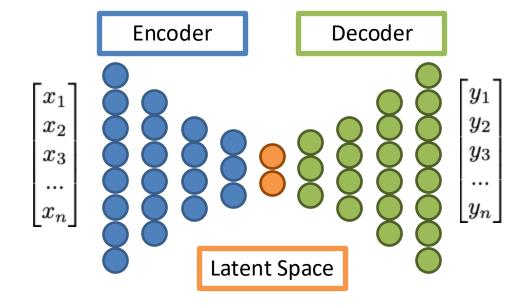
#### Autoencoder

- Type of neural network
- Transforms data into a latent space and performs a reconstruction
- Inputs and Outputs are the same: i.e. it is an identity transformation for a given dataset

#### Encoder-Decoder network

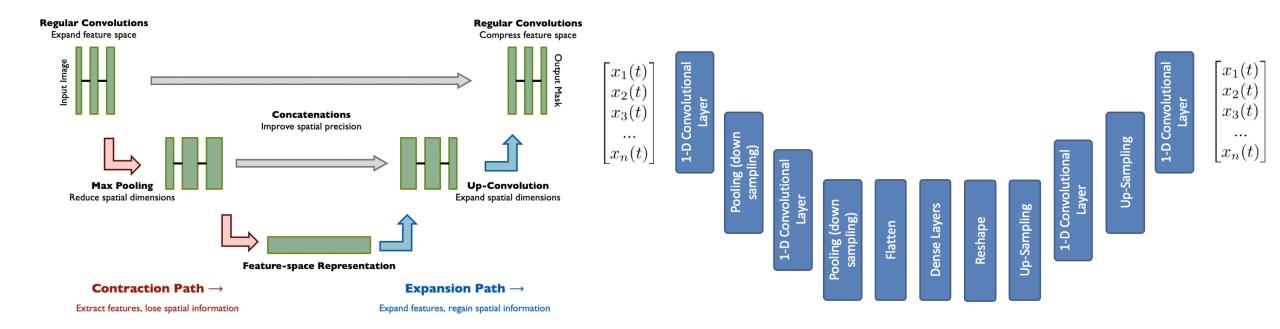
 Transforms data into a latent space which is mapped to an output space





#### **Convolutional Autoencoders**

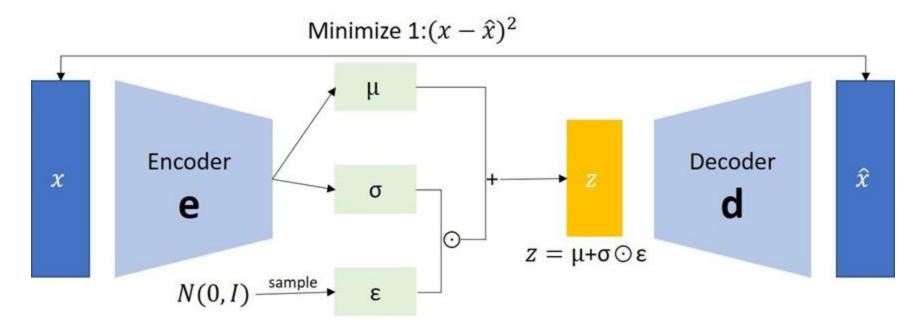
- Neural network that converts I-D sequence into a latent-space
  - Filters learn translation invariant features much like an image based U-net
  - Pooling layers for downsampling
  - Signal is upsampled and filtered to reconstruct the original signal
  - Deconvolutional layers can also be used





## **Variational Autoencoders**

- Variational autoencoders enforce smoothness condition in the latent space
- Dimensionality reduction removes complexity of noise
- Tests done using simulated BPM data
- Logically extended to RF data
- Could implement the autoencoder on a FPGA for near-real-time noise reduction





# **Cavity simulator**

- Based on an equivalent RLC circuit model
  - · Transmitted voltage differential equation:

$$\frac{d}{dt}\begin{bmatrix} \operatorname{Re}(V_t) \\ \operatorname{Im}(V_t) \end{bmatrix} = \begin{bmatrix} -\omega_{1/2} & -\Delta\omega \\ \Delta\omega & -\omega_{1/2} \end{bmatrix} \begin{bmatrix} \operatorname{Re}(V_t) \\ \operatorname{Im}(V_t) \end{bmatrix} + \frac{R_L\omega_{1/2}}{m} \begin{bmatrix} \operatorname{Re}(I_{fwd}) \\ \operatorname{Im}(I_{fwd}) \end{bmatrix}$$

Reflected voltage computed from transmitted:

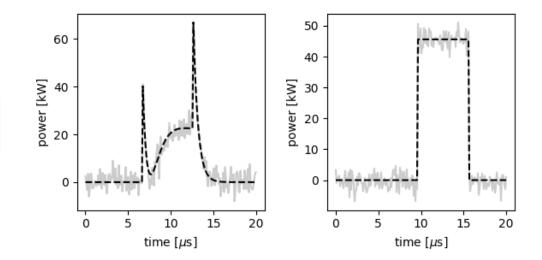
$$V_r = \frac{1}{m}V_t - \frac{Z_0}{2}I_{fwd}$$

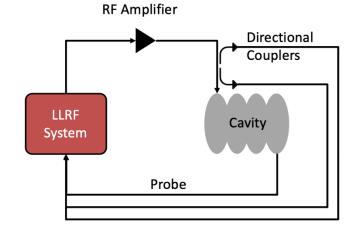
 $V_t$ : transmitted voltage  $R_L$ : loaded "shunt" resistance

 $V_r$ : reflected voltage m: cavity/waveguide coupling ratio

 $\omega_{1/2}$ : half band-width  $I_{fwd}$ : forward current

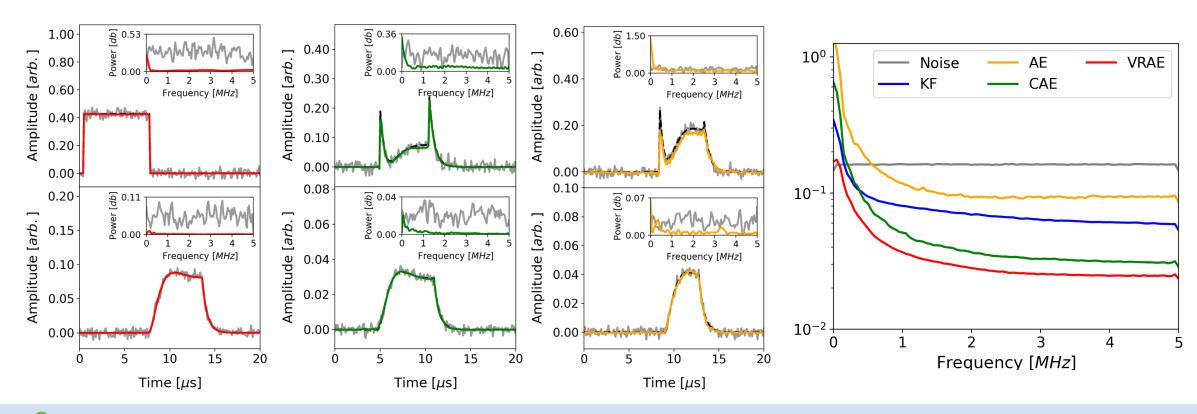
 $\Delta\omega$ : frequency detuning  $Z_0$ : reference impedance





## **ML Based Noise Reduction**

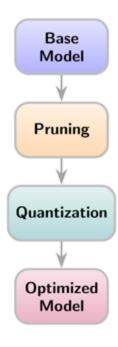
- Studying the efficacy of autoencoders for noise reduction in RF signals
  - Initial studies focused on amplitude data
  - · Compared feed forward, convolutional, and variational architectures with conventional Kalman filtering





## **Assessment of FPGA Deployment Pipeline**

- Targeting use in a real time pulsed feedback system
  - Model pruning 75% / 80% / 87.5% reduction in weights
  - Quantization int8 / 12-bit / 16-bit / 16x8 quantization schemes
  - Optimization of resource usage test deployment on chosen architecture



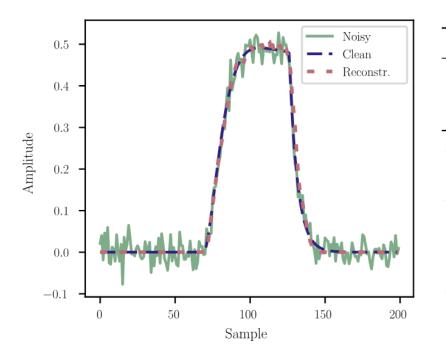


## **Assessment of FPGA Deployment Pipeline**

- Pruning and quantization show some reduction in performance on bulk figures of merit
  - Right: Residual noise power spectra for pruned and quantized models

Sparsity (%)

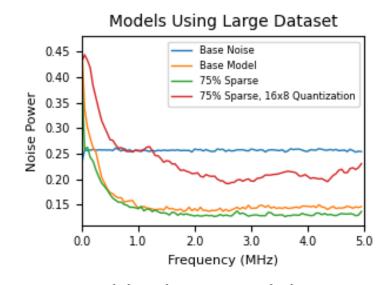
Data down sampling required for the models to fit on the FPGA

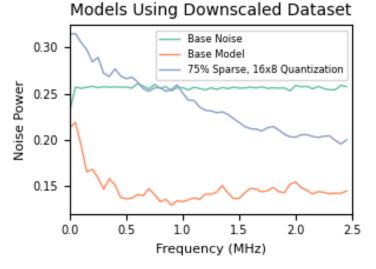


- F		
75	0.000175	707000
80	0.000178	714000
87.5	0.000208	775000
Quantization	MSE Valu	ie IMSE (W $\cdot$ Hz)
Scheme		
int8	0.00120	1790000
12-bit	0.00122	1730000
16-bit	0.00121	1780000
16x8	0.000544	1160000

IMSE  $(W \cdot Hz)$ 

MSE Value

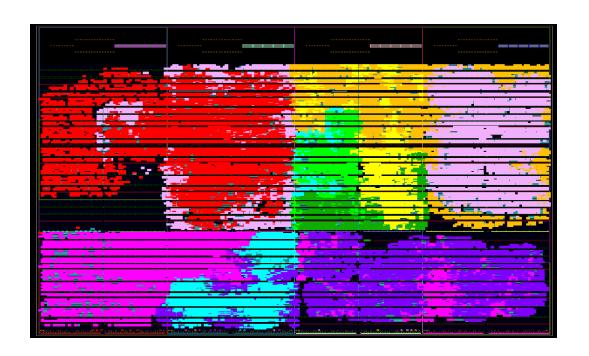






## **Assessment of FPGA Deployment Pipeline**

- Targeting use in a real time pulsed feedback system
  - Left: FPGA floorplan for the hls4ml 'Resource' strategy for an Int16xInt8 network, with each layer colored. From input to output of network: red, orange, yellow, green, blue, dark purple, light purple, brown.

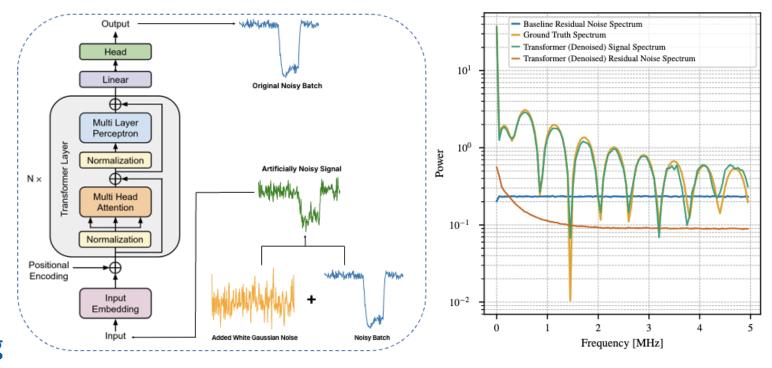


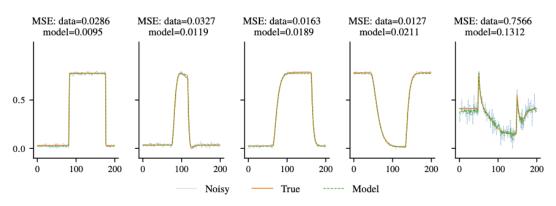
Resource usage for 16x8 model as synthesized by Vitis HLS 2024.2 and hls4ml

	Latency	Resource	ZCU104
Latency (Cycles)	1042	10004	
Look-Up Tables	245503	106357	274,080
Flip-Flops	238734	108378	548,160
DSP48E Slices	106	9	728
BRAM (36Kb)	0	23.50	440

## Model based noise removal from RF signals

- Many studies using different architectures
  - CNNs autoencoders
  - Vanilla autoencoders
  - Kalman filters
  - Variational autoencoders
- Difficulty when transferring to I/Q data
- Moved to transformer models and modified training schema
- Variance in test data optimistic for implementation in pulse-topulse feedback

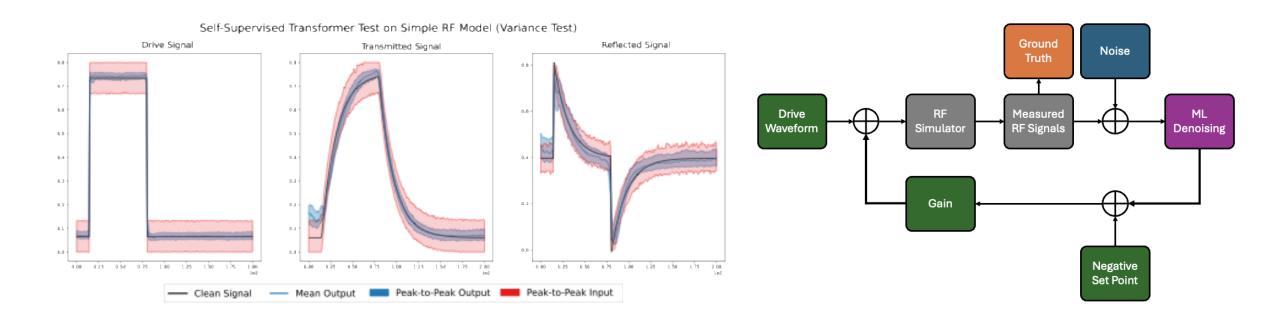






#### **Evaluation of Feedback Performance**

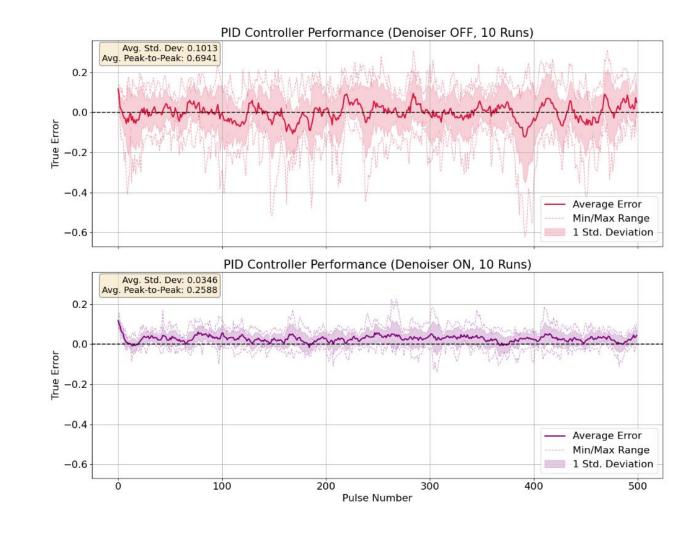
- Transformer model tested on simplified RF model example is outside the training distribution
  - 100 waveforms were sent to the transformer with different noise signatures
  - Red: peak-to-peak of the input signal
  - Blue: peak-to-peak of the transformer output
- PID feedback used to regulate the RF pulse under slow drift





## **Conclusions**

- Industrial accelerators have a large landscape of applications
  - growing demand for industrial systems
  - complexity of industrial accelerators is increasing
  - automation is critical when operating outside the laboratory environment
- Developing ML tools for automation
  - Initial studies focused on noise reduction
  - Various ML methods show promise for this application
  - Deployment path is taking shape
  - Simulated use in feedback systems shows promise ~65% reduction in error (both peakto-peak and standard deviation)





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