Nuclear Partons at Large x: The EMC Effect

Light Ion Physics in the EIC Era

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Describing the Strong Force

1935 – Hideki Yukawa proposed a theory of the strong force between nucleons involving exchange of (as yet) unknown particles \rightarrow pions

N I

$$V(r) = \frac{-g^2}{4\pi} \frac{1}{r} e^{-\mu r}$$

Modern descriptions of interactions between nucleons are still based on this fundamental idea, but with many refinements

- Multiple pion exchange
- Include other exchange particles
- 3-body forces



Example: Argonne v18 Potential



 Starting from this "effective" model of interactions between protons and neutrons – one can build up any nucleus we want → (only limited by computing power)



Strong Interactions – QCD

- These days, we know the fundamental degrees of freedom are quarks and gluons
- Interactions between quarks and gluons, are governed by Quantum Chromodynamics
- Nucleon-nucleon interactions governed by the part of the quark-gluon interactions that "spill over outside the nucleon
- Unlike gravity and electromagnetism, interactions get stronger as particles get further apart → confinement
- 3 important points about QCD
 - It cannot be solved "exactly"
 - It can be solved approximately but only at very large energies (small distances)
 - It *can* also be solved numerically with a lot of computing power (Lattice QCD)



Meson exchange vs. QCD

Why is the effective theory of the interactions between nucleons (meson exchange) so successful?

 \rightarrow Likely related to fundamental quantum mechanics \rightarrow physics phenomena can be described by multiple, *complete* basis states



In many situations one basis is more convenient than the other \rightarrow Is there such a situation for quarks in nuclei?



CEBAF's Original Mission Statement

- Key Mission and Principal Focus (1987):
 - The study of the largely unexplored transition between the nucleonmeson and the quark-gluon descriptions of nuclear matter.

The Role of Quarks in Nuclear Physics

- We can describe nuclei, for the most part, just using protons, neutrons, and other exchange particles: does there come a point at which we must describe in terms of quarks and gluons?
 - If not, why not?







Related Topics

- Do individual nucleons change their size, shape, and quark structure in the nuclear medium?
- How do quarks and gluons come together to determine the structure of the proton?
 - What is the distribution of charge and magnetism in the nucleon?
 - How is the spin of the proton built up from quarks and gluons?
- What are the properties of the strong force ("QCD") in the regime where quarks are confined?



Deep Inelastic Scattering

Cross section for inclusive lepton (electron) scattering:



$$\frac{d\sigma}{d\Omega dE'} = \frac{\alpha^2}{Q^4} \frac{E}{E'} L_{\mu\nu} W^{\mu\nu}$$

$$\frac{d\sigma}{d\Omega dE'} = \frac{4\alpha^2 (E')^2}{Q^4} \left[W_2(v,Q^2) \cos^2 \frac{\theta}{2} + 2W_1(v,Q^2) \sin^2 \frac{\theta}{2} \right]$$

In the limit of large Q², structure functions scale

 $MW_1(\nu, Q^2) \to F_1(x)$ $\nu W_1(\nu, Q^2) \to F_2(x)$





F₂ and Parton Distributions

• F_2 interpreted in the quark-parton model as the charge-weighted sum over quark distributions

$$F_2(x) = \sum e_i^2 x q_i(x)$$

- At finite Q^2 , F_2 not Q^2 independent \rightarrow scaling violations can be predicted in pQCD
- At fixed x, scaling can be tested via logarithmic derivative of F_2 w.r.t. to Q^2

$$\frac{d\ln(F_2)}{d\ln(Q^2)} = \text{constant}$$

• In addition, corrections due to the finite mass of the nucleon lead to further scaling violations \rightarrow these can be partially accounted for by examining data in terms of Nachtmann variable, ξ

$$\xi = \frac{2x}{1 + \sqrt{1 + \frac{4M^2 x^2}{Q^2}}}$$



F₂ and Parton Distributions

*F*₂ interpreted in the quarkparton model as the chargeweighted sum over quark distributions

$$F_2(x) = \sum_i e_i^2 x q_i(x)$$
 $q_i(x) = u(x), d(x), s(x) ...$

 Quark structure of nucleon inferred from DIS on proton and neutron (deuteron) + complementary reactions





Nuclear Structure Functions

Since nuclear binding energies are small (~MeV) compared to typical DIS energies (~GeV), the naïve expectation was that nuclear effects in DIS would be small

No nuclear effects
$$R = \frac{F_2^A}{ZF_2^p + (A-Z)F_2^n}$$

From the beginning the above expression was known to be **too simple** \rightarrow difference in the "Fermi momentum" for heavy nuclei leads to rise at large x



Figure from Bickerstaff and Thomas, J. Phys. G 15, 1523 (1989) Calculation: Bodek and Ritchie PRD 23, 1070 (1981)



Discovery of the EMC Effect

- First published measurement of nuclear dependence of *F*₂ by the European Muon Collaboration in 1983
- Observed 2 mysterious effects
 - Significant enhancement at small x \rightarrow Nuclear Pions! (my thesis)
 - Depletion at large $x \rightarrow$ the "EMC Effect"
- Enhancement at *x<0.1* later went away



Aubert et al, Phys. Lett. B123, 275 (1983)



Confirmation of the EMC Effect

SLAC re-analysis of old solid target data used for measurements of cryotarget wall backgrounds

→Effect for x>0.3confirmed →No large excess at very low x



Bodek et al, PRL 50, 1431 (1983) and PRL 51, 534 (1983)



Subsequent Measurements



A program of dedicated measurements quickly followed

The resulting data is remarkably consistent over a large range of beam energies and measurement techniques



EMC Effect Measurements

Since the original discovery in 1983, numerous measurements to explore properties of EMC Effect

→ Wide range of beam energies: 5 GeV electrons to 490 GeV muons

Laboratory/collabor ation	Beam	Energy (GeV)	Targets	Year
SLAC E139	е	8-24.5	D , ⁴ He, Be, C, Ca, Fe, Ag, Au	1994,1984
SLAC E140	е	3.75-19.5	D , Fe, Au	1992,1990
CERN NMC	μ	90	⁶ Li, ¹² C, ⁴⁰ Ca	1992
	μ	200	D , ⁴He, C, Ca	1991, 1995
	μ	200	Be, <mark>C</mark> , Al, Ca, Fe, Sn, Pb	1996
CERN BCDMS	μ	200	D , Fe	1987
	μ	280	D , N, Fe	1985
CERN EMC	μ	100-280	D, Cu	1993
	μ	280	D , C, Ca	1988
	μ	100-280	D , C, Cu, Sn	1988
	μ	280	H, D , Fe	1987
	μ	100-280	D , Fe	1983
FNAL E665	μ	490	D, Xe	1992
	μ	490	D, Xe	1992
DESY HERMES	е	27	D , ³ He, N, Kr	2000, 2003
Jefferson Lab	е	6	D , ³ He, ⁴ He, Be, C, Cu, Au	2009, 2021
	е	6	D , C, Cu, Au	2004 (thesis)
	е	5	D , C, Al, Fe, Pb	2019
	е	11	D , Be, ¹⁰ B, ¹¹ B, C	2022



Measuring the EMC Effect: Muons vs. Electrons

Muon beam experiments (EMC, NMC, BCDMS, FNAL E665)

→Energy scale ~ 100-500 GeV
 →Secondary beams, relatively low intensity
 →Beam energy determined event by event
 →Large acceptance devices required

Electron beam experiments (SLAC, HERMES, JLAB)

→Energy scale 6-25 GeV
→Well defined beam energy, narrow dE
→Intense beams → higher statistics
→Small acceptance devices often (but not always) used



New Muon Collaboration

NMC SPECTROMETER (TOP VIEW)

NMC: next generation experiment at CERN, building on EMC

Large acceptance spectrometer with large array of tracking chambers



BMS	Beam momentum station	
V1, V1, 5, V3, V2, 1, V2	Veto counters	
BHA,BHB	Beam hodoscopes	
POA-E, PV1-2, P1-3, P4A-5C	Proportional chambers	
FSM	Forward spectrometer magnet	
W1-2,W4A-5B,W6-7	Drift chambers	T NOT TO SCALE
H1H,H1V,H3V,H3H,H4,H5	Large angle trigger hodoscopes	
H1,H3,H4'	Small angle trigger hodoscopes	
H2	madron calorimeter	
	lron absorbers	
		012345X(m)



P. Amaudruz et al: Nucl Phys. B 371 (1992) 3-31

New Muon Collaboration

Target designed to minimize systematic uncertainties \rightarrow excellent vertex resolution so several targets could be in beam simultaneously



M. Arneodo et al: Nucl. Phys. B 441 (1995) 12-30

Order 10⁷ muons/s: 3 m long cryotargets \rightarrow Luminosity~ 10³² cm⁻² s⁻¹

Normalization uncertainties for $\sigma(A)/\sigma(D) \rightarrow 0.4\%$ $\sigma(A)/\sigma(C) \rightarrow 0.2\%$



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Jefferson Lab – Hall C

High current electron beam requires high power cryogenic targets

→ Knowledge of the absolute target density sometimes challenging due to target boiling effects



Order 5 10¹⁴ electrons/s: 4-10 cm long cryotargets \rightarrow Luminosity~ 10³⁸ cm⁻² s⁻¹

Normalization uncertainties for $\sigma(A)/\sigma(D) \rightarrow 1-2\%$



Nuclear dependence of structure functions

Experimentally, we measure cross sections (and the ratios of cross sections)

$$\frac{d\sigma}{d\Omega dE'} = \frac{4\alpha^2 (E')^2}{Q^4 v} \bigg[F_2(v,Q^2) \cos^2 \frac{\theta}{2} + \frac{2}{Mv} F_1(v,Q^2) \sin^2 \frac{\theta}{2} \bigg] \qquad F_2(x) = \sum_i e_i^2 x q_i(x)$$

$$R = \frac{\sigma_L}{\sigma_T} = \frac{F_2}{2xF_1} \bigg(1 + 4\frac{M^2 x^2}{Q^2} \bigg) - 1 \qquad \epsilon = \bigg[1 + 2 \bigg(1 + \frac{Q^2}{4M^2 x^2} \bigg) \tan^2 \frac{\theta}{2} \bigg]^{-1}$$

$$\frac{\sigma_A}{\sigma_D} = \frac{F_2^A (1 + \epsilon R_A) (1 + R_D)}{F_2^D (1 + R_A) (1 + \epsilon R_D)} \qquad \text{In the limit } R_A = R_D \text{ or } \epsilon = 1 \qquad \sigma_A / \sigma_D = F_2^A / F_2^D$$

Experiments almost always display cross section ratios, σ_A/σ_D

 \rightarrow Often these ratios are labeled or called F_2^A/F_2^D

→ Sometimes there is an additional uncertainty estimated to account for the $\sigma \rightarrow F_2$ translation. Sometimes there is not.



Isoscalar Corrections

In the case of nuclei where N \neq Z, need to remove the "trivial" change in nuclear cross section due to $\sigma_n \neq \sigma_p$

→ Different experiments often use slightly different parameterizations/estimates for this correction



Properties of the EMC Effect



1. Universal x-dependence

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x Dependence



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x Dependence



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Properties of the EMC Effect



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1. Universal x-dependence

2. Little Q² dependence*

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Q² Dependence of the EMC Effect





Gomez et al, Phys. Rev. D 49, 4348 (1994)

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Q² Dependence of the EMC Effect

Carbon

Copper/Iron





(*) Q² Dependence of Sn/C



NMC measured non-zero Q^2 dependence in Sn/C ratio at small x

→ This result is in some tension with other NMC C/D and HERMES Kr/D results

Arneodo et al, Nucl. Phys. B 481, 23 (1996)



Properties of the EMC Effect



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1. Universal x-dependence

2. Little Q² dependence*

3. EMC effect increases with A → Anti-shadowing region shows little nuclear dependence

A-Dependence of EMC Effect



NMC: Arneodo et al, Nucl. Phys. B 481, 3 (1996)



A-Dependence of EMC Effect



<*r*²>=RMS electron scattering radius

SLAC E139: Gomez et al, PRD 49, 4348 (1992)



Explaining the EMC Effect

- Plethora of models attempting to explain the EMC Effect
- "Conventional" nuclear physics not sufficient
 - Fermi motion dominates at large x, but minimal impact elsewhere
 - Binding effects small, nuclear pions ruled out by other measurements (Drell-Yan)
- Other models require more "exotic" effects
 - Dynamical rescaling
 - Multiquark clusters \rightarrow 6, 9, 12 ... quark configurations
- Newer models involve direct coupling of meson fields to quarks
- More recently, models connecting the EMC Effect to "Short Range Correlations" under investigation



Binding and Nuclear Pions

- Start with a "realistic" description of nucleons in the nucleus
 - → Use a spectral function rather than simple Fermi gas
- Start with convolution picture
 - → Allow virtual photon to scatter from quarks in pions in the nucleus
- Requires ad-hoc prescription for off-shell behavior of structure functions



Fair agreement is achieved at large x – including nuclear pions improves agreement at lower x

$$F_2^A(x) = \int_x^1 dy f_N(y) F_2^N(x/y) + \int_x^1 dy f_\pi(y) F_2^\pi(x/y)$$



Multiquark Clusters

Multiquark cluster model assumes that, *in nuclei*, quarks may combine into clusters that include more than 3 quarks

Nuclear structure function is a convolution over contribution from nucleons (F_2^N) and contribution from 6 quark clusters (F_2^6)







$$F_2^A(x) = \int_x^1 dy f_N(y) F_2^N(x/y) + \int_x^1 dy f_6(y) F_2^6(x/y)$$



Quark-Meson Coupling Model

Quark-meson coupling model describes nuclei in terms of quark interactions with (scalar and vector) meson fields

→Guichon, Stone, Thomas, PPNP 100, 262-297, 2018

Calculations using NJL model (similar properties as QMC) give good description of unpolarized EMC Effect → Also predict EMC effect for polarized nucleons



Cloët, Bentz, and Thomas, PRL 95, 052302 (2005)



Isolating the Physics of Interest

"Conventional" nuclear effects play non-trivial role in DIS target ratios

Kulagin and Petti performed calculations including these effects to attempt to isolate the interesting effects

Calculations included:

- Fermi motion and binding w/realistic nucleon distributions (FMB) → large x
- 2. Nuclear pion excess (PI) \rightarrow antishadowing
- 3. Coherent nuclear processes (NS) \rightarrow low x
- 4. Off-shell correction to structure function (OS)



Nucl.Phys.A 765 (2006) 126-187



Isolating the Physics of Interest

The interesting physics is encoded in the off-shell correction to the F_2 structure function

$$\delta f_2(x) = C_N(x - x_1)(x - x_0)(h - x)$$

 C_N = normalization parameter $0 < x_1 < x_0 < 1$ h > 1

Surprisingly, a single set of parameters works pretty well for most nuclei

Successful parametrization and interesting approach, but this does *not* provide fundamental information about the origins of the EMC effect





40+ Years of the EMC Effect

- No clear consensus on origin of EMC Effect
 - Conventional nuclear physics contributions can be handled with more precision, but still cannot explain whole effect
 - Testing models challenging only a few observables (Inclusive DIS and Drell-Yan)
- Settling the question of the origin of the EMC effect requires new information
 - New ways of looking at existing data
 - Explore effect for wider range of nuclei (different A, n/p ratios..)
 - New experimental avenues beyond inclusive DIS cross sections are likely required
- Information from JLab has helped provide some direction, but more information needed!



EMC Effect Measurements at Large x

SLAC E139 provided the most extensive and precise data set for x>0.2

Measured σ_A / σ_D for A=4 to 197 \rightarrow ⁴He, ⁹Be, C, ²⁷Al, ⁴⁰Ca, ⁵⁶Fe, ¹⁰⁸Ag, and ¹⁹⁷Au

 \rightarrow Best determination of the *A* dependence

 \rightarrow Verified that the *x* dependence was roughly constant

Building on the SLAC data

- \rightarrow Higher precision data for ⁴He
- \rightarrow Addition of ³He
- \rightarrow Precision data at large x





X_{Bj}

Nuclear Dependence of the EMC Effect

SLAC E139 studied the nuclear dependence of the EMC Effect at fixed *x*

Results consistent with →Simple logarithmic A dependence →Average nuclear density*

*uniform sphere with radius R_e , $R_e^2 = 5/3 < r^2 > \rightarrow$ charge radius of nucleus

Many models of the EMC effect either implicitly or explicitly assume the size of the EMC effect scales with average nuclear density

 \rightarrow Constraining form of nuclear dependence can confirm or rule out this assumption

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Exploring the EMC Effect at Jefferson Lab

High intensity electron beam and improved target technology provides excellent opportunity for studying EMC Effect

- → Originally, max beam energy = 6 GeV, limited kinematic reach
- → Upgrade to 12 GeV provided wider access to the DIS region

Unexpected results from the 6 GeV program have motivated a significant number of experiments at 12 GeV





JLab E03103 and the Nuclear Dependence of the EMC Effect



New definition of "size" of the EMC effect

→Slope of line fit from x=0.35 to 0.7

Assumes shape is universal for all nuclei

→Normalization uncertainties a much smaller relative contribution



JLab (Hall C) Measurements on Light Nuclei (6 GeV)

JLab E03103 goal: More information on nuclear dependence \rightarrow emphasis on light nuclei: ³He, ⁴He, Be, C

→ New definition of size of EMC effect: |dR/dx| for 0.35<x<0.7</p>

 \rightarrow ³He, ⁴He, C, EMC effect scales well with density

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Scaled nuclear density = $(A-1)/A < \rho >$ \rightarrow remove contribution from struck nucleon



from ab initio few-body calculations
→ [S.C. Pieper and R.B. Wiringa, Ann. Rev.
Nucl. Part. Sci 51, 53 (2001)]

JLab (Hall C) Measurements on Light Nuclei (6 GeV)

JLab E03103 goal: More information on nuclear dependence \rightarrow emphasis on light nuclei: ³He, ⁴He, Be, C

→ New definition of size of EMC effect: |dR/dx| for 0.35<x<0.7</p>

→ 3 He, 4 He, C, EMC effect scales well with density – *Be does not!*

Jefferson Lab

Scaled nuclear density = $(A-1)/A < \rho >$ \rightarrow remove contribution from struck nucleon



from ab initio few-body calculations
→ [S.C. Pieper and R.B. Wiringa, Ann. Rev.
Nucl. Part. Sci 51, 53 (2001)]

Improved Precision via New Observable



Key to observation of "local density" dependence is *modified definition of size of EMC Effect**

- → Nuclear dependence of EMC effect typically examined at fixed x
 → Use of *dR/dx* greatly reduced
 - sensitivity to normalization uncertainties

EMC effect ~ 10% deviation from 1.0 Normalization uncertainties ~ 1-2%

*Full disclosure: I was initially skeptical of this approach



EMC Effect and Local Nuclear Density

⁹Be has low average density

→ Large component of structure is $2\alpha + n$ → Most nucleons in tight, α -like configurations

EMC effect driven by *local* rather than *average* nuclear density







Can this "local density" picture be tied to other observables?



Local Density → Short Range Correlations

What drives high "local" density in the nucleus?

In simple models of the nucleus (Fermi gas), all nucleons experience basically the same local environment

Fermi gas, or other mean field models *incomplete*

(e,e'p) data for knockout of protons with momenta lower than "Fermi" momentum indicates significant missing strength





Local Density → Short-Range Correlations

What drives high "local" density in the nucleus?



Tensor interaction and short-range repulsive core lead to high momentum tail in nuclear wave function \rightarrow correlated nucleons



Measuring Short Range Correlations



→ At x>1, we can access higher momentum components, if we go to large enough Q^2 High momentum nucleons in the nucleus can be accessed using quasielastic scattering

 \rightarrow At quasi-elastic peak (x=1), all parts of the nucleon momentum distribution contribute



Figure courtesy N. Fomin, after Frankfurt, Sargsian, and Strikman, Int.J.Mod.Phys. A23 (2008) 2991-3055



Measuring Short-Range Correlations

- High momentum nucleons in the nucleus can be accessed using quasi-elastic scattering
- To measure the (relative) probability of finding a correlated pair, ratios of heavy to light nuclei are taken at x>1 → QE scattering
- If high momentum nucleons in nuclei come from correlated pairs, ratio of A/D should show a plateau





Short Range Correlations – np Dominance



Conclusion: High momentum nucleons are dominated by *np* pairs SRCs can be studied in more detail via triple-coincidence reactions \rightarrow Electron knocks out high momentum proton from carbon nucleus \rightarrow "Partner" backward-going proton or neutron also detected





Nuclear Dependence of SRCs



→ Relative probability to find SRC shows similar dependence on nuclear density as EMC effect



JLab also data on A/D ratios at x>1 at 6 GeV

 a_2 ratios for:

→Additional nuclei (Cu, Be, Au)

→Higher precision for targets with already existing ratios

Nuclear Dependence of SRCs and the EMC Effect



→ Relative probability to find SRC shows similar dependence on nuclear density as EMC effect!



EMC Effect and Short-Range Correlations

Weinstein *et al* first observed linear correlation between size of EMC effect and Short-Range Correlation "plateau" using EMC and older SRC data

Correlation <u>strengthened</u> with addition of JLab 6 GeV SRC (beryllium) data



This result provides a *quantitative* test of level of correlation between the two effects, but does not provide a microscopic explanation for EMC Effect



Summary: Part 1

- Discovery of the EMC Effect was perhaps the first indication of the relevance of quark degrees of freedom in the nucleus
- Significant experimental and theoretical effort over the last 40+ years
 Still so consensus on origin!
- "Conventional" nuclear effects (Fermi motion, binding, nuclear pions) are insufficient to explain the EMC Effect
- Observation of correlation with SRCs has generated a lot of excitement
 - Are there testable predictions/observables in this picture?

