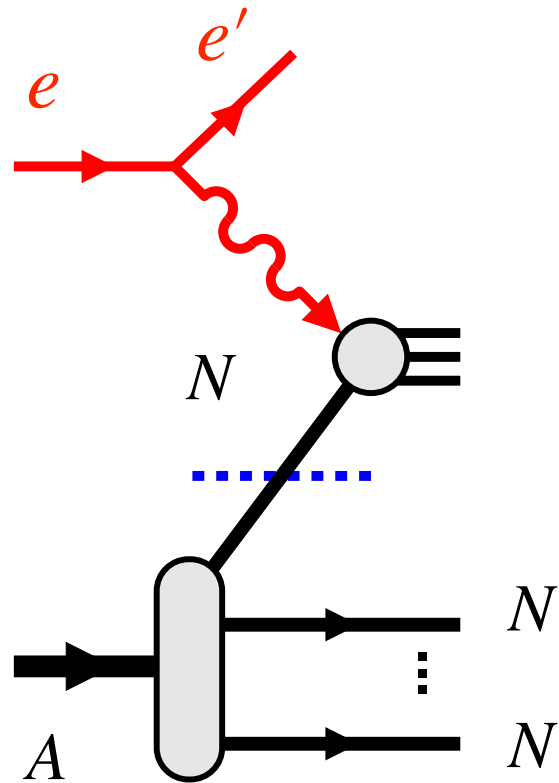


# From nuclear structure to high-energy processes

C. Weiss (JLab), Summer School “Light ion physics in the EIC era”,  
Florida International University, 19-27 June 2025 [\[Webpage\]](#)



High-energy scattering on nuclei

How to justify/implement a composite description in terms of nucleons?

How to separate/combine structure of nucleus and nucleon?

How to account for nuclear interactions - non-nucleonic DoF?

→ Relativity

→ Light-front methods

## **Basic considerations**

- High-energy scattering on nuclei
- Challenges of composite description
- Quantum mechanics and relativity

## **Essential techniques**

- Non-covariant representation of interactions
- Light-front form of relativistic dynamics

## **High-energy scattering on nuclei**

- Energy nonconservation in intermediate states
- Need for light-front form
- Separating nucleus and nucleon structure

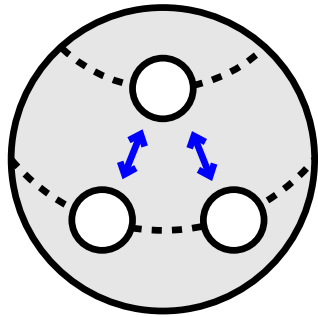
## **Inclusive eA scattering**

- Collinear frame
- Inclusive eD scattering in impulse approx.
- Structure functions
- Sum rules

## **Light-front nuclear structure**

- Dynamical equation
- Matching with nonrelativistic structure
- Rotationally symmetric representation in 2-body sector (k-vector)
- Deuteron in nonrelativistic approximation
- Spin degrees of freedom

## Low-energy structure and processes

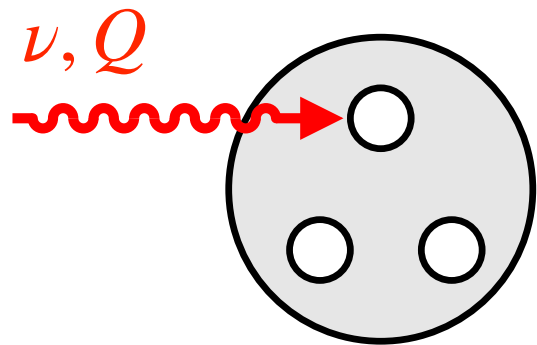


Nucleus described in nucleon DoF: Motion, interactions

Other hadrons ( $\pi$ , vectors,  $\Delta$ , ...) “integrated out”  $\rightarrow NN$  interactions

Current operators describe low-energy processes  $Q \sim k_{\text{bind}} \sim \text{few } 10 \text{ MeV}$

## High-energy processes



Scattering processes with energy/momentum transfer  $\nu, Q \gg 1 \text{ GeV}$ :  
Various probes, final states

How to obtain composite description in terms of nucleons?  
Use nucleon-level process as input, combine with nuclear structure?

Nucleon motion?

Nucleon interactions? Non-nucleonic DoF?

This lecture: Use hadronic picture. Consider general high-energy process and focus on combining nuclear and nucleon structure. Connection with QCD (factorization, partonic structure) later.

Quantum mechanics

×

Relativity

Superposition of configurations,  
wave function

Transitions to intermediate states  
lifetime  $\Delta t \sim 1/\Delta E$

⋮

Scattering kinematics

Boost invariance,  
light-front form of dynamics

Hadron creation/annihilation  
in intermediate states

⋮

Consequential application of these concepts can lead to surprising conclusions

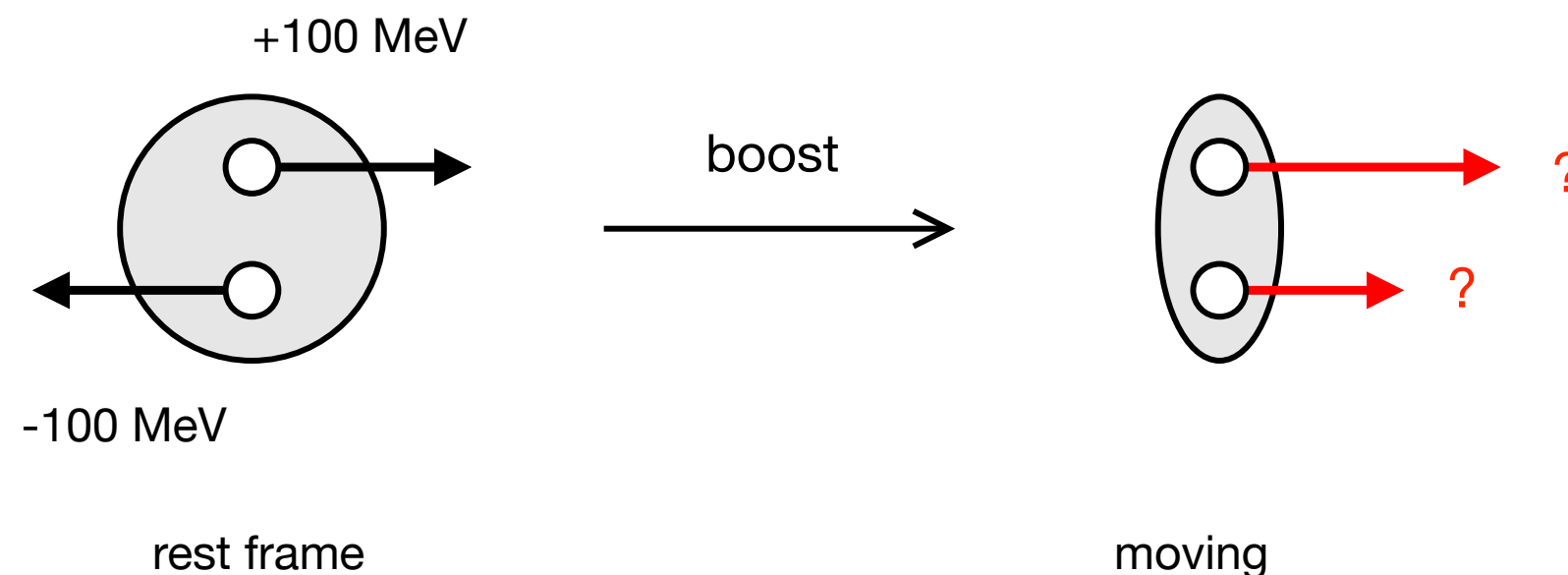
You know the basic concepts, but may not have seen them applied in this combination

Most/all conclusions can be understood and communicated in simple form

Naive expectation: Motion of nucleons in nucleus with momenta  $\sim$  few 10 MeV has little effect on high-energy scattering processes at  $\gg 1$  GeV

Simple example shows that this is not so!

Example: Nucleon momentum distribution in fast-moving deuteron nucleus



Deuteron moving with  $E_D = 200$  GeV

Consider nucleon configuration with z-momentum  $p_{1,2} = \pm 100$  MeV in rest frame

What are the nucleon momenta in the moving deuteron?

Exercise: Perform boost of nucleon configuration in deuteron

$$\begin{pmatrix} E \\ p \end{pmatrix}_{\text{moving}} = \begin{pmatrix} \frac{1}{\sqrt{1-v^2}} & \frac{v}{\sqrt{1-v^2}} \\ \frac{v}{\sqrt{1-v^2}} & \frac{1}{\sqrt{1-v^2}} \end{pmatrix} \begin{pmatrix} E \\ p \end{pmatrix}_{\text{rest}}$$

Lorentz boost

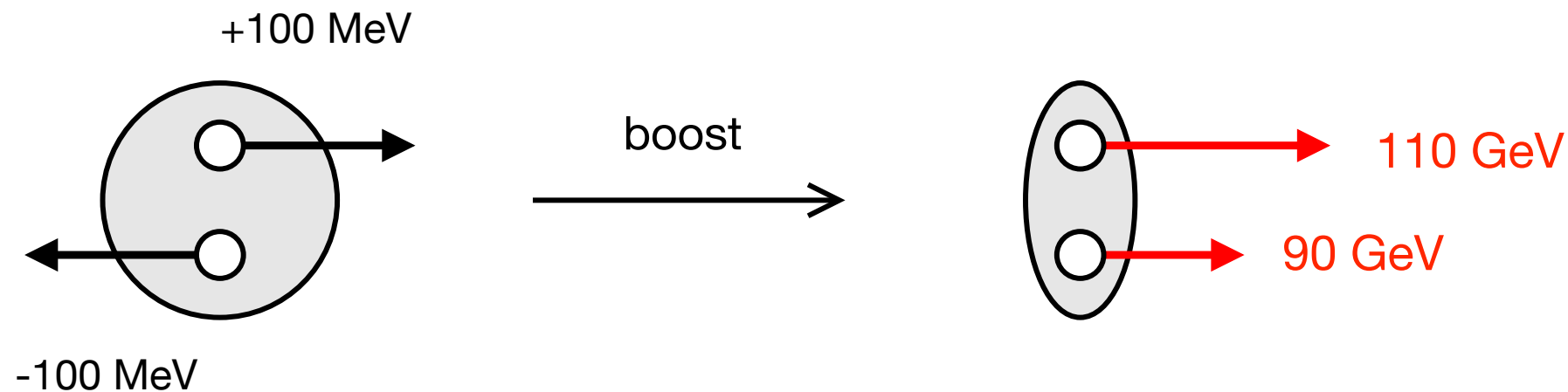
Applies to deuteron as a whole and to individual nucleons

Deuteron:  $(E_D)_{\text{moving}} = \frac{1}{\sqrt{1-v^2}} (E_D)_{\text{rest}} = \frac{1}{\sqrt{1-v^2}} M_D$

$$\frac{1}{\sqrt{1-v^2}} = \frac{(E_D)_{\text{moving}}}{M_D} = \frac{200 \text{ GeV}}{2 \text{ GeV}} = 100 \quad \frac{v}{\sqrt{1-v^2}} \approx \frac{1}{\sqrt{1-v^2}} \quad (v \approx 1)$$

Nucleon 1, 2:  $(E_{1,2})_{\text{rest}} \approx 1 \text{ GeV} \quad (p_{1,2})_{\text{rest}} = \pm 0.1 \text{ GeV}$

$$(p_{1,2})_{\text{moving}} = 100 \times (1 \text{ GeV} \pm 0.1 \text{ GeV}) = \begin{cases} 110 \text{ GeV} \\ 90 \text{ GeV} \end{cases} \quad \text{Large momentum difference!}$$



Boost conserves nucleon light-cone momentum fractions

$$\frac{(E + p^z)_{1,2}}{(E + p^z)_D} = \text{invariant}$$

Internal motion of nucleons with  $\sim$  few 10 MeV momenta has large effect on momentum distribution in nucleus moving with  $\gg 1$  GeV momentum

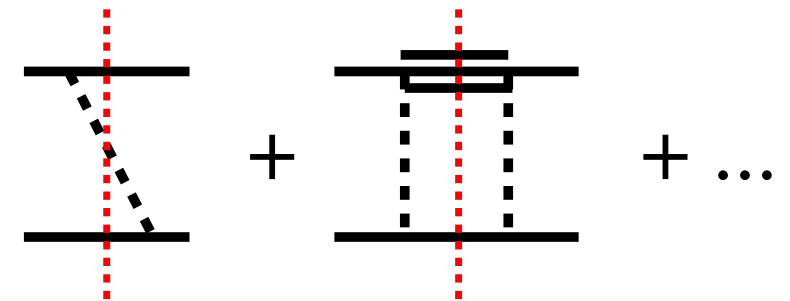
→ large effect on high-energy scattering processes on nucleus (in any reference frame)

Need boost-invariant description of structure of fast-moving nucleus: Light-front wave function

Naive expectation: Interactions of nucleons in nucleus have little effect on high-energy scattering processes at  $\gg 1$  GeV

Simple arguments show that this is not necessarily so!

Nucleon interactions involve intermediate states with additional hadrons: Mesons ( $\pi$ ,  $\sigma$ , vector,...), baryon resonances ( $\Delta$ , ...), high-mass states



In low-energy structure and reactions  $q \sim k_{\text{bind}}$ , the high-mass intermediate states can be “integrated out”: EFT approach

High-energy reactions  $\omega \gg M_{\text{hadron}}$  can sample intermediate states up to the scale  $\omega$ : Cannot a priori assume that they are suppressed!

“Wave function” in relativistic context: Particle number not fixed, depends on scale of probe

Need to organize dynamics such that truncation of nuclear structure to nucleon constituents becomes possible, and non-nucleonic DoF can be accounted for as corrections

Possible in light-front form of dynamics ( $\rightarrow$  will be seen later)



Interactions: Non-covariant representation

Form of relativistic dynamics: Instant form  $\rightarrow$  light-front form

In describing high-energy scattering on nuclei we use the non-covariant representation of interactions: Wave function, configurations, intermediate states...

Here: Introduce/review basics using example from low-energy interactions [→ Lectures Pastore, Gnech](#)

$$\langle N(p'_2)N(p'_1) | \hat{T} | N(p_2)N(p_1) \rangle$$

NN scattering amplitude at nuclear energies

S-matrix element, gives differential cross section

Transition between asymptotic states

$$p'_2 + p'_1 = p_2 + p_1$$

4-momentum conservation

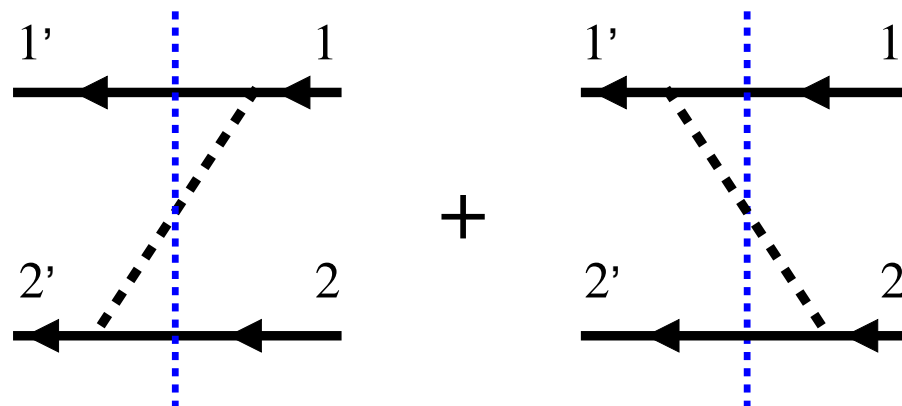
$$\mathbf{p}'_2 + \mathbf{p}'_1 = \mathbf{p}_2 + \mathbf{p}_1$$

Implies 3-momentum and energy conservation

$$E'_2 + E'_1 = E_2 + E_1$$

Consider transition through 1-pion exchange interaction. Apply non-covariant perturbation theory:

$$\hat{H} = \hat{H}_0 + \hat{H}_{\text{int}} \qquad \hat{T} = \hat{H}_{\text{int}} + \hat{H}_{\text{int}} \frac{1}{E - \hat{H}_0} \hat{H}_{\text{int}} + \dots$$

$$\langle N'_2 N'_1 | \hat{T} | N_2 N_1 \rangle =$$


$$+ \frac{\langle N'_2 | \hat{H}_{\text{int}} | \pi N_2 \rangle \langle \pi N'_1 | \hat{H}_{\text{int}} | N_1 \rangle}{(E_\pi + E'_1 + E_2) - (E_1 + E_2)} \quad \frac{\langle N'_1 | \hat{H}_{\text{int}} | \pi N_1 \rangle \langle \pi N'_2 | \hat{H}_{\text{int}} | N_2 \rangle}{(E_\pi + E'_2 + E_1) - (E_1 + E_2)}$$

Interactions cause transition to intermediate states

Interactions conserve 3-momentum:  $\sum \mathbf{p} \text{ (interm)} = \sum \mathbf{p} \text{ (initial)} = \sum \mathbf{p} \text{ (final)}$

All particles are on their mass shell, also in intermediate states:  $p_{1,2}^2 = m^2$ ,  $E_{1,2} = \sqrt{|\mathbf{p}_{1,2}|^2 + m^2}$ ,  $p_\pi^2 = M_\pi^2$ ,  $E_\pi = \sqrt{|\mathbf{p}_\pi|^2 + M_\pi^2}$

Energy not conserved in intermediate states:  $\sum E \text{ (interm)} \neq \sum E \text{ (initial)} = \sum E \text{ (final)}$

Transition amplitude  $\propto$  energy denominator  $1/\Delta E$

## Properties of non-covariant representation

Particles always on mass shell, even in intermediate states

3-momentum always conserved, even in intermediate states

Energy not conserved in intermediate states, only between asymptotic states

→ 4-momentum not conserved in intermediate states!

## Reasons for use

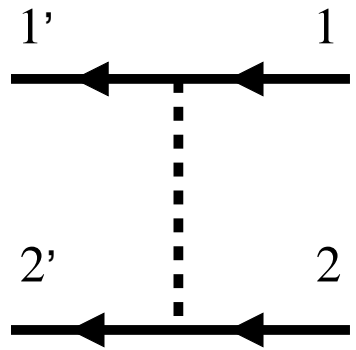
Intermediate states with well-defined particle content - configurations, constituents

Interpretation of processes as transitions

Concept of wave function defined in non-covariant representation

$$\langle N_1, N_2, \dots, N_N | A \rangle \quad \sum_i^N \mathbf{p}_i = \mathbf{p}_A \quad \sum_i^N E_i \neq E_A$$

Appropriate for high-energy scattering on composite systems

$$\langle N'_2 N'_1 | \hat{T} | N_2 N_1 \rangle =$$


$$p_\pi = p_1 - p'_1 = p'_2 - p_2 \quad \text{pion 4-momentum}$$

(Feynman diagram)

$$\frac{\text{couplings}}{p_\pi^2 - M_\pi^2}$$

Interactions conserve 4-momentum

Intermediate particles are off mass shell:  $p_\pi^2 \neq M_\pi^2$  (“virtual particles”)

Used in point-particle field theories (QCD, EFTs): Off-shell behavior of Green functions well-defined

Equivalence of non-covariant and covariant representations can be demonstrated in simple field theories

Some uses with composite systems are possible, but require additional considerations

In treatment of high-energy scattering on nuclei we use the non-covariant representation of interactions

Depends on choice of time  $\leftrightarrow$  energy and coordinate  $\leftrightarrow$  momentum variables

Introduce/review forms of relativistic dynamics: Instant form, light-front form

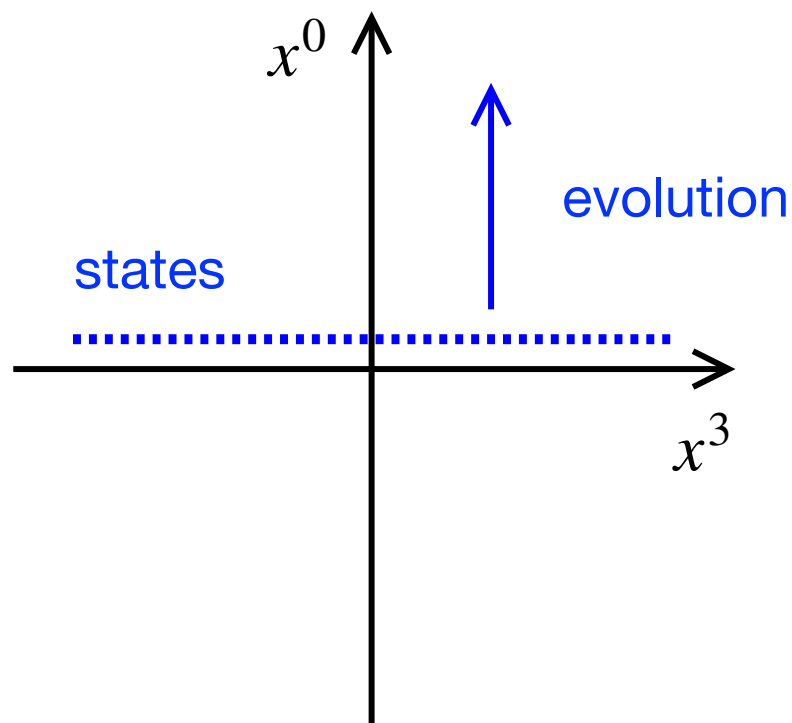
$x^\mu = (x^0, x^1, x^2, x^3)$  4-dim spacetime

$x^0 = t$  time,  $\mathbf{x} \equiv (x^1, x^2, x^3)$  space usual choice of variables

States of system defined at fixed  $x^0$

Evolution in time  $x^0$  described by hamiltonian  $P^0$

Translational invariance in 3-coordinate  $\mathbf{x}$  ensures conservation of 3-momentum  $\mathbf{P}$



## Free particle

$$p^\mu = (E, \mathbf{p}) \quad p^2 = p^\mu p_\mu = E^2 - |\mathbf{p}|^2 = m^2 \quad E = +\sqrt{|\mathbf{p}|^2 + m^2} \quad \text{Energy-momentum relation}$$

$|\mathbf{p}\rangle$  Particle states labeled by 3-momentum.  
Sometimes write  $|p\rangle$ , but independent variable is always 3-momentum

$$\langle \mathbf{p}' | \mathbf{p} \rangle = 2p^0 (2\pi)^3 \delta^{(3)}(\mathbf{p}' - \mathbf{p})$$

Normalization of states  
Factor  $p^0$  included for relativistic covariance

$$\int d\Gamma_p \equiv \int \frac{d^4 p}{(2\pi)^4} 2\pi \delta(p^2 - m^2) \theta(p^0) = \int \frac{d^3 p}{(2\pi)^3 2E(\mathbf{p})}$$

Invariant phase space element



## Bound state

$$\langle N(\mathbf{p}_1)N(\mathbf{p}_2) \dots N(\mathbf{p}_A) | A(\mathbf{P}) \rangle = 2E_A (2\pi)^3 \delta^{(3)}\left(\sum_i^A \mathbf{p}_i - \mathbf{P}\right) \Psi(\{\mathbf{p}_i\} | \mathbf{P})$$

Expand state in products of free-particle states

$$2E_A \int d\Gamma_1 \dots \int d\Gamma_A (2\pi)^3 \delta^{(3)}\left(\sum_i^A \mathbf{p}_i - \mathbf{P}\right) \Psi^*(\{\mathbf{p}_i\} | \mathbf{P}) \Psi(\{\mathbf{p}_i\} | \mathbf{P}) = 1$$

Normalization of wave function

$$\langle A(\mathbf{P}') | A(\mathbf{P}) \rangle = 2E_A (2\pi)^3 \delta^{(3)}(\mathbf{P}' - \mathbf{P})$$

Normalization of state (CM motion)

Wave function describes expansion of bound state in free-particle states

Here: Deal with wave function as abstract object, independent of dynamical equation.  
Wave functions for specific interactions can be obtained as solution of dynamical equation.

Spin/isospin quantum numbers: Later

Useful to review: Concepts and formulas can be extended to light-front quantization

## Light-cone components

$$a^{\pm} \equiv a^0 \pm a^3 \quad \mathbf{a}_T \equiv (a^1, a^2)$$

light-cone components of 4-vector  $a^{\mu}$

$$ab = \frac{a^+b^- + a^-b^+}{2} - \mathbf{a}_T \cdot \mathbf{b}_T$$

$$a^2 = a^+a^- - |\mathbf{a}_T|^2 \quad \text{scalar product and square}$$

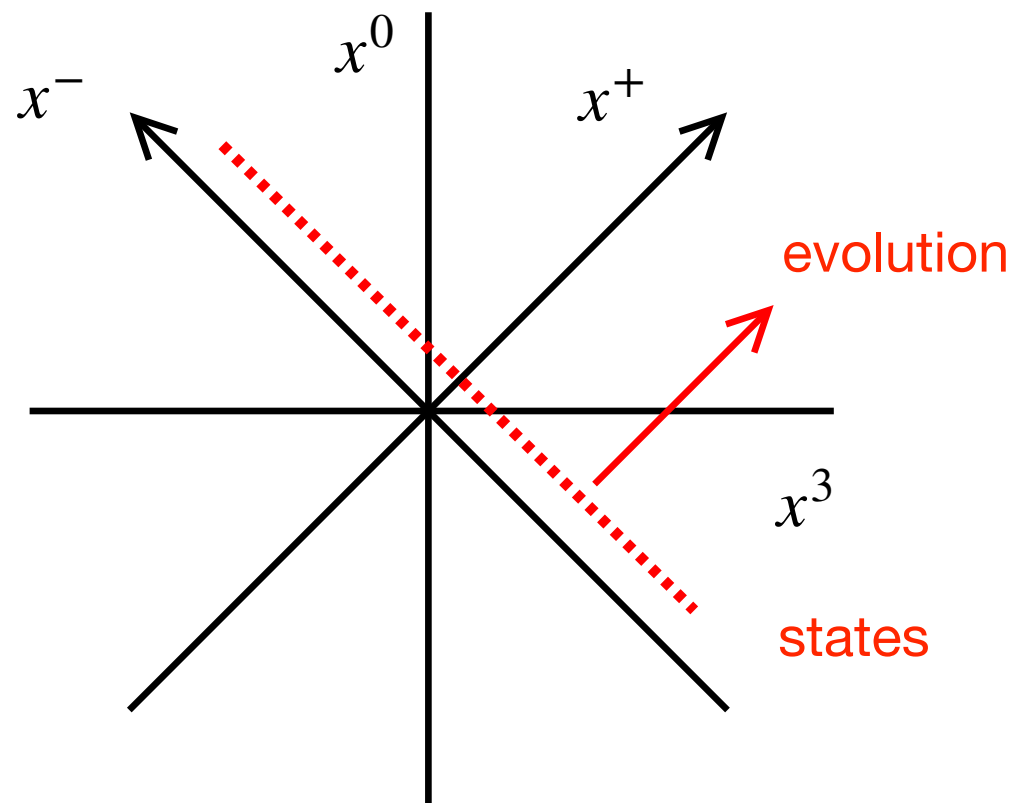
## Light-front form of dynamics

$$x^+ = x^0 + x^3 = 0 \quad \text{hypersurface tangential to light-cone (3D plane)}$$

Wave front of light wave traveling in -3 direction  
(= surface of constant phase)

Define states of system at light-front time  $x^+ = 0$

Evolution in  $x^+$  described by hamiltonian  $P^-$



Light-front dynamics has many interesting formal properties: Representation of Poincare group, constrained dynamics, ...

Here: Interested in applications to high-energy scattering on nuclei processes.  
Take practical attitude. Start with basic features, learn about other features as needed

## Boosts in light-front form

$$p^+ \rightarrow e^\eta p^+$$

Longitudinal boost (3-direction)

$$p^- \rightarrow e^{-\eta} p^-$$

Light-cone components diagonalize boost, transform multiplicatively

$\eta$  rapidity = hyperbolic angle,  $v = \tanh \eta$

$$\alpha = \frac{p^+}{p_{\text{ref}}^+}$$

Light-cone fractions boost-invariant

Simple technique for performing boosts of kinematic variables:  
Compute fraction  $\alpha$  in “old” frame, take  $p_{\text{ref}}^+$  in “new” frame, obtain  $p^+$  in new frame

[Exercise: Perform boost of nucleon configuration in deuteron using light-front variables](#)

Boost-invariant momentum variables for wave functions: Light-front wave functions  $\rightarrow$  following

## Free particle

$p^+, \mathbf{p}_T$  momentum  $p^-$  energy

$$p^2 = p^+ p^- - |\mathbf{p}_T|^2 = m^2 \quad p^- = \frac{m^2 + |\mathbf{p}_T|^2}{p^+} \quad \text{energy } p^- \text{ fixed by mass shell condition}$$

$$p^+ > 0 \quad \text{for physical particle because} \quad p^+ = p^0 + p^3 = \sqrt{m^2 + |\mathbf{p}_T|^2 + (p^3)^2} + p^3 > 0$$

$$p^- > 0 \quad \text{regardless of sign of } p^3$$

$|p^+, \mathbf{p}_T\rangle$  free particle state

$$\langle p'^+, \mathbf{p}'_T | p^+, \mathbf{p}_T \rangle = 2p^+ (2\pi)^3 \delta(p'^+ - p^+) \delta^{(2)}(\mathbf{p}'_T - \mathbf{p}_T) \quad \text{normalization of states}$$

$$\int d\Gamma_p = \int_0^\infty \frac{dp^+}{(2\pi) 2p^+} \int \frac{d^2 p_T}{(2\pi)^2} \quad \text{invariant phase space element}$$

## Bound state

$$\langle N(p_1^+, \mathbf{p}_{1T}) \dots N(p_A^+, \mathbf{p}_{AT}) | A(P^+, \mathbf{P}_T) \rangle = (2\pi)^3 2P^+ \delta\left(\sum_i^A p_i^+ - P^+\right) \delta^{(2)}\left(\sum_i^A \mathbf{p}_{iT} - \mathbf{P}_T\right) \Psi(\{p_i^+, \mathbf{p}_{iT}\} | P^+, \mathbf{P}_T)$$

Nucleon light-cone momenta satisfy

$$\sum_i^A p_i^+ = P^+, \quad \sum_i^A \mathbf{p}_{iT} = \mathbf{P}_T$$

Boost invariance (longitudinal): Wave function depends only on light-cone fractions

$$\alpha_i \equiv \frac{A p_i^+}{P_A^+} \quad (i = 1, \dots, A) \quad \sum_{i=1}^A \alpha_i = A$$

$$\Psi \equiv \Psi(\{\alpha_i, \mathbf{p}_{iT}\} | \mathbf{P}_T) \quad \text{independent of } P^+$$

In many applications we can use nucleus rest frame  $\mathbf{P}_T = 0$

## Deuteron

Two nucleons: 1,2 or p, n  $\alpha_1 + \alpha_2 = 2,$   $\mathbf{p}_{1T} + \mathbf{p}_{2T} = \mathbf{P}_T$

Wave function effectively depends on variables of one nucleon:  $\Psi(\alpha_1, \mathbf{p}_{1T} | \mathbf{P}_T)$

Normalization: 
$$\int \frac{d\alpha_1}{\alpha_1(2 - \alpha_1)} \int \frac{d^2 p_{1T}}{(2\pi)^2} \Psi^*(\alpha_1, \mathbf{p}_{1T} | \mathbf{P}_T) \Psi(\alpha_1, \mathbf{p}_{1T} | \mathbf{P}_T) = 1$$

Spin/isospin quantum numbers, dynamical equation, connection with non-relativistic WF: Later

## Spin degrees of freedom

Light-front helicity states: Later

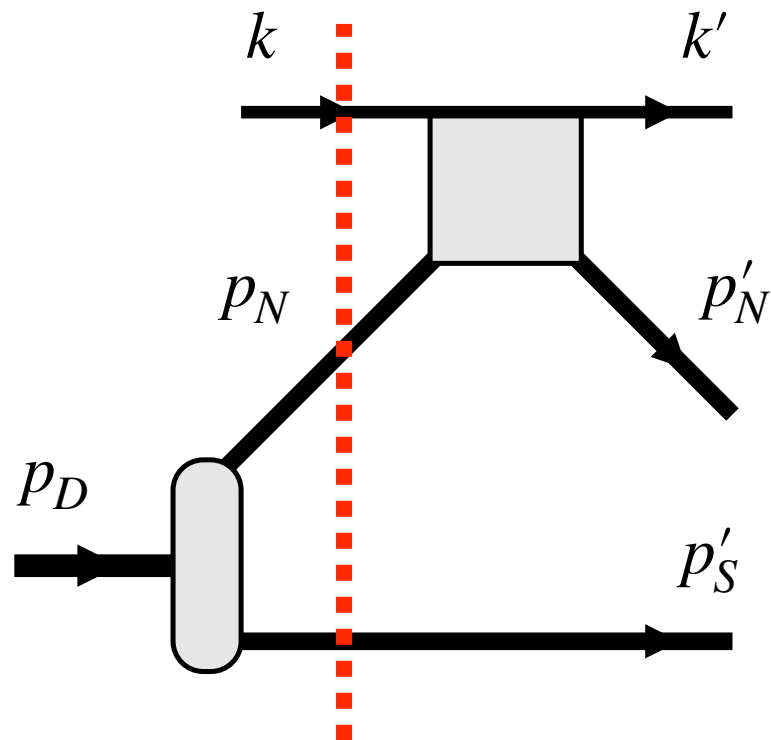
→ [Lecture Cosyn](#)

Study scattering processes at multi-GeV energy/momentum transfers

Exhibit effect of energy non-conservation in intermediate states of scattering amplitude

Compare equal-time and light-front form of dynamics

Arguments based on: Frankfurt, Strikman, Phys. Rept. 76, 215 (1981) [\[INSPIRE\]](#)



High-energy electron-deuteron quasi-elastic scattering

$N$  active nucleon,  $S$  spectator nucleon

$$e(k) + D(p_D) \rightarrow e'(k') + N'(p'_N) + S'(p'_S)$$

$$k + p_D = k' + p'_N + p'_S$$

4-momentum conserved in overall process (asymptotic states)

Active nucleon momentum  $p_N$  in intermediate state determined by rules of noncovariant interactions

$D \rightarrow N + S$  matrix element preserves 3-momentum (wave function)

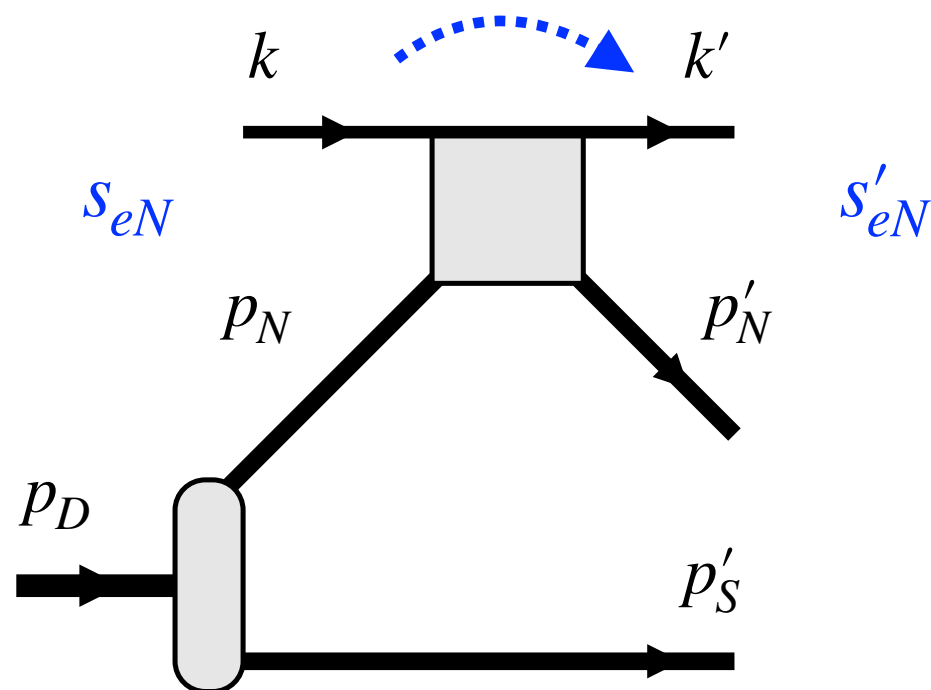
$p_N^2 = m^2$  mass shell condition fixes nucleon energy

$$p_N \neq p_D - p_S \quad \leadsto \quad p_N \neq k - k' + p'_N$$

$$k + p_N \neq k' + p'_N$$

4-momentum not conserved in electron-nucleon subprocess





Quantify effect of 4-momentum non-conservation in electron-nucleon subprocess

$$s_{eN} = (k + p_N)^2$$

Invariant energy before and after  $eN$  interaction

$$s'_{eN} = (k' + p'_N)^2$$

$$s'_{eN} = (k' + p'_N)^2 = (k + p_D - p_S)^2 \quad \text{using external 4-momentum conservation}$$

Compute difference of subprocess invariant energies

$$\begin{aligned} s'_{eN} - s_{eN} &= (k + p_D - p_S)^2 - (k + p_N)^2 \\ &= \underbrace{2k \cdot (p_D - p_S - p_N)}_{\text{involves projectile 4-momentum } k, \text{ large!}} + \underbrace{(p_D - p_S)^2 - p_N^2}_{\text{related to deuteron binding energy, small}} \end{aligned}$$

involves projectile  
4-momentum  $k$ , large!

related to deuteron  
binding energy, small

Use deuteron rest frame:  $p_D = (M_D, \mathbf{0})$ ,  $k = (\omega, -\omega \mathbf{e}_3)$  initial electron in -3 direction  
energy  $\omega \gtrsim 1 \text{ GeV}$  (or  $\gg$ )

## Instant form dynamics

$(p_D - p_S - p_N)^0 \neq 0$  non-conservation in 0-component (conventional energy)

$$p_D^0 = M_D \quad p_S^0 \approx m + \frac{|\mathbf{p}_S|^2}{2m} \quad p_N^0 \approx m + \frac{|\mathbf{p}_N|^2}{2m} \quad \mathbf{p}_N = -\mathbf{p}_S$$

$$|\mathbf{p}_{S,N}| \sim \text{few } 100 \text{ MeV}$$

$$(p_D - p_S - p_N)^0 = \frac{|\mathbf{p}_S|^2}{m} + (M_D - 2m) = \text{kinetic} + \text{binding energy}$$

$$s'_{eN} - s_{eN} = 2k^0(p_D - p_S - p_N)^0 \approx 2\omega \frac{|\mathbf{p}_S|^2}{m} \quad \text{grows with incident energy!}$$

Electron-nucleon subprocess amplitude far “off energy shell” in limit of high-energy scattering

Cannot be connected with “on energy shell” amplitude measured in free eN scattering

No composite picture of high-energy scattering

Use again deuteron rest frame

$$k^+ = 0 \quad k^- = 2\omega \quad \text{large} \quad \text{light-front components of projectile 4-momentum}$$

$$(p_D - p_S - p_N)^- \neq 0 \quad \text{non-conservation in minus component (LF energy)}$$

$$\begin{aligned} s'_{eN} - s_{eN} &= 2k \cdot (p_D - p_S - p_N) \\ &= \underbrace{k^+ \cdot (p_D - p_S - p_N)^-}_{0} + \underbrace{k^- \cdot (p_D - p_S - p_N)^+}_{0} = 0 + \text{terms independent of energy } \omega \end{aligned}$$

Use of light-front dynamics removes the term  $\propto \omega$  in  $s'_{eN} - s_{eN}$

Energy offshellness of eN subprocess amplitude remains finite in high-energy limit

Subprocess amplitude can be connected with “on energy shell” amplitude measured in eN scattering

Composite picture of high-energy scattering: Compute nuclear high-energy scattering amplitude from on-shell nucleon amplitudes and nuclear structure

Light-front dynamics “aligns” the time/energy axis for nuclear dynamics with the direction of the high-energy process, in such a way that the energy nonconservation in intermediate states does not produce large effects

Light-front dynamics is the only scheme that avoids “large” energy offshellness in the nucleon subprocess amplitude and permits a composite description of high-energy scattering. Its use is necessary, not optional, for a composite description.

In low-energy processes, there is no need to use light-front dynamics

Energy nonconservation in intermediate states is a necessary consequence of interactions and nuclear binding ( $\rightarrow$  wave function). Its manifestations in high energy scattering are physical effects, not technical artifacts.

Electroproduction and deep-inelastic scattering: Light-front direction usually aligned with momentum transfer 4-vector  $q$ . Conclusions re light-front dynamics remain the same as in example here.

Result for high-energy electron-deuteron scattering amplitude (general form)

$$\mathcal{M}_{eD \rightarrow e'N'S'}(k, p_D) = \underbrace{\int \frac{d\alpha_N}{\alpha_N} \int d^2p_{NT} \text{Flux}(\alpha_N) \Psi(\alpha_N, \mathbf{p}_{NT}) \mathcal{M}_{eN \rightarrow e'N'}(k, p_N)}_{\int d\Gamma_N} + \text{finite corrections from interactions, non-nucleonic DoF}$$

$\Psi_D$  light-front wave function of deuteron, NN component

$\mathcal{M}_{eN \rightarrow e'N'}$  electron-nucleon scattering amplitude on energy shell, as measured in eN scattering

Separation of nucleus and nucleon structure

Finite corrections from interactions, configurations with non-nucleonic DoF

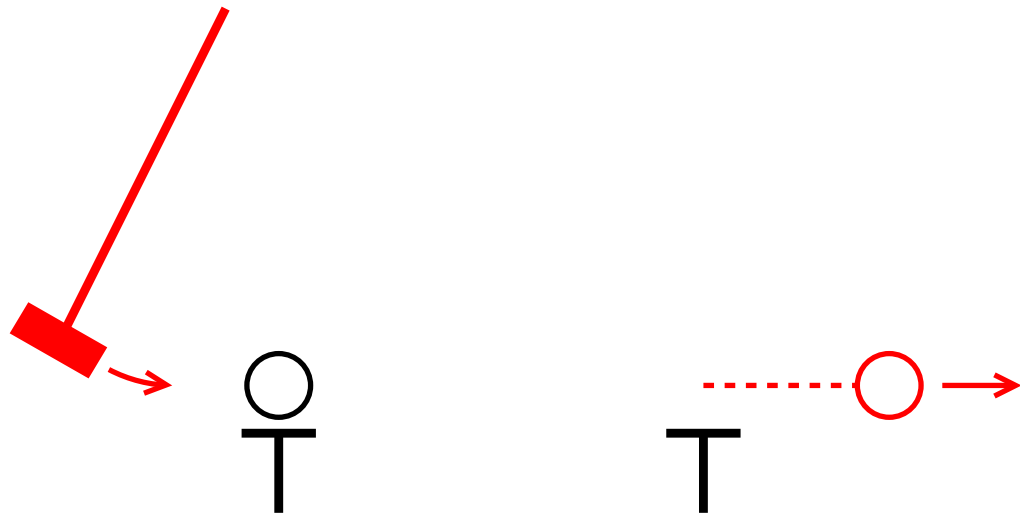
Cross section: Square amplitude, include flux factor

Teeing up a golf ball

Golf club = high energy process

Golf ball = nucleon

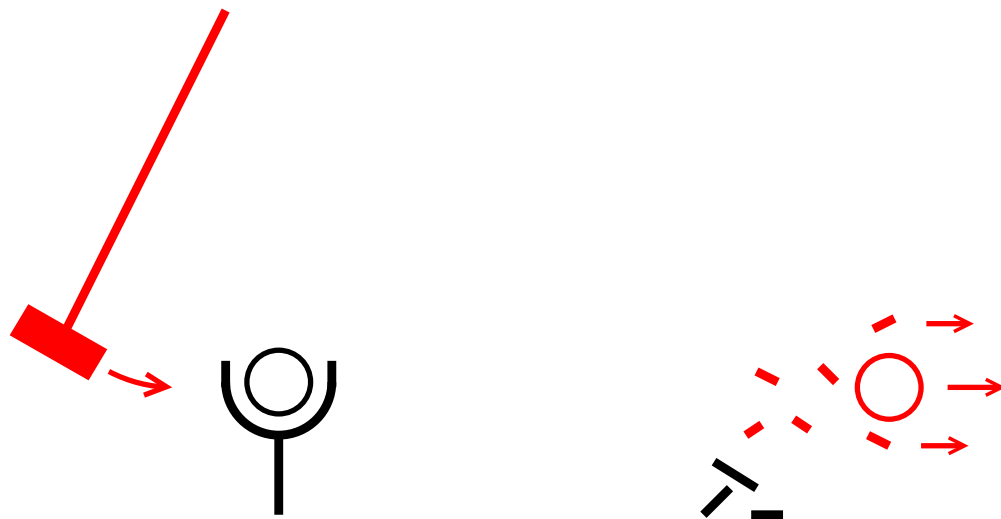
Tee = low-energy nuclear structure



Light-front quantization

Low-energy structure aligned with direction of high-energy process

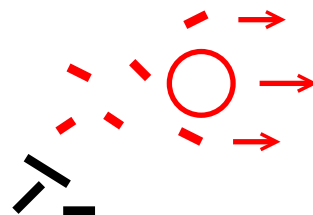
Clean separation of scales

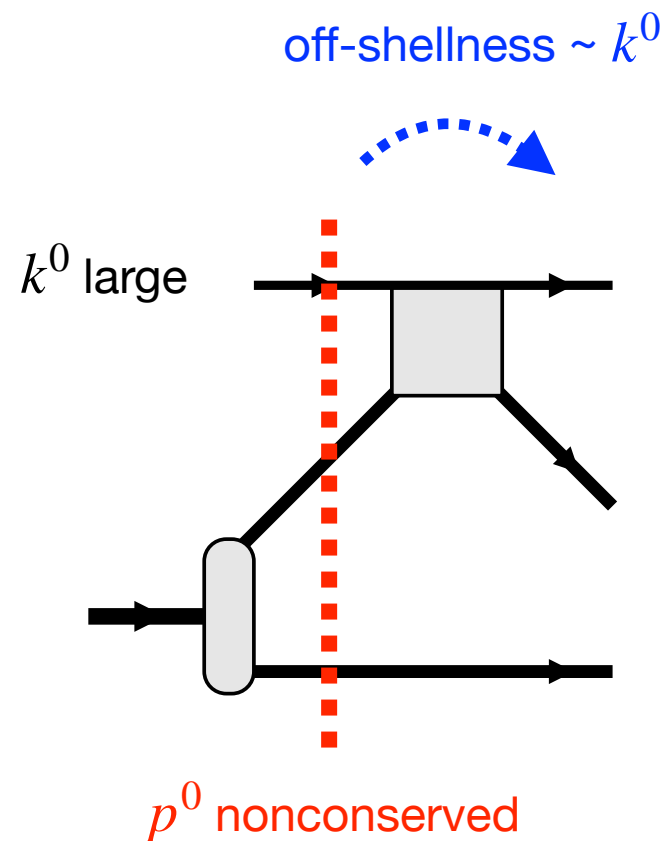


Other quantization schemes

Low-energy structure not aligned with direction of high-energy process

Low-energy structure produces effects of the order of the high collision energy

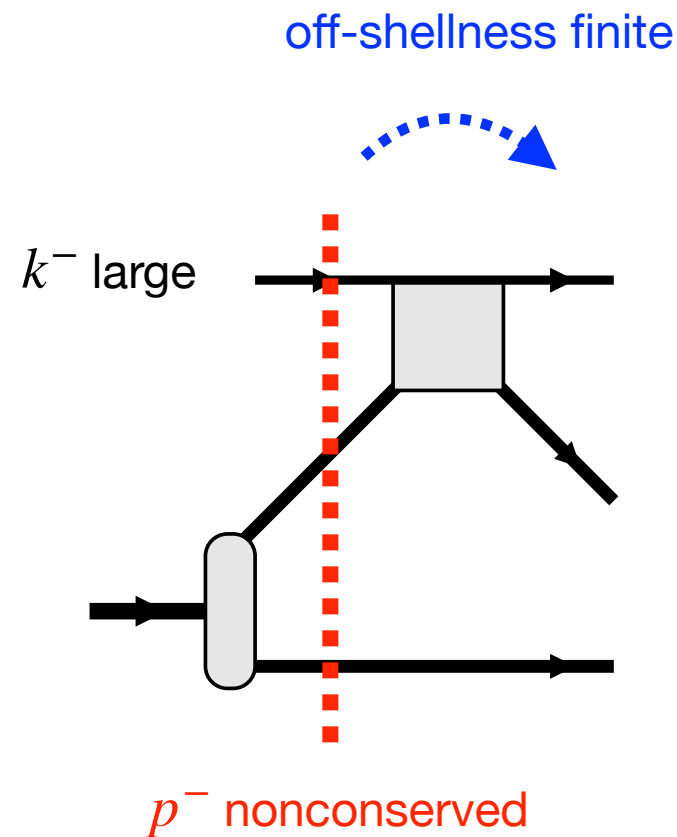




Instant form

Energy-offshellness of subprocesses  
amplitude grows  $\sim k^0$

Subprocesses amplitude essentially  
different from free nucleon amplitude



Light-front form

Energy-offshellness of subprocesses  
amplitude remains finite

Subprocesses amplitude close to  
free nucleon amplitude

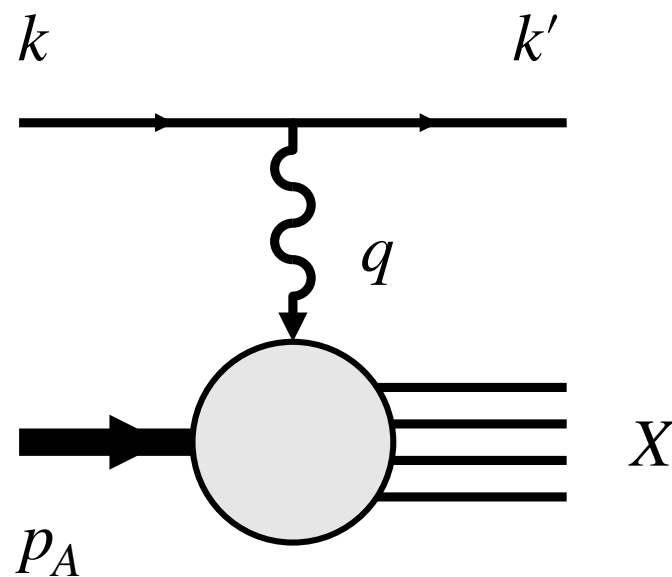
Composite picture of high-energy scattering

Use specific form of EM interaction: electron current x nuclear current

Compute cross section: Hadronic tensor, structure functions

Use reference frame aligned with momentum transfer vector  $q$





$$e(k) + A(p_A) \rightarrow e'(k') + X$$

$$q^\mu = k^\mu - k'^\mu$$

4-momentum transfer,  
includes energy and momentum transfer

$$q^2 < 0$$

Invariant variables describing nuclear transition

$$-q^2 \equiv Q^2 > 0$$

invariant momentum transfer

$$(q + p_A)^2 \equiv W^2 = M_X^2$$

mass of hadronic final state

Scaling variables

$$x_A \equiv \frac{-q^2}{2qp_A} = \frac{Q^2}{W^2 - M_A^2 + Q^2}$$

$$0 < x_A < 1$$

standard definition

$$x \equiv \frac{-q^2}{2qp_A/A} = A \cdot x_A$$

$$0 < x < A$$

alt definition using  
 $p_A/A$  = nominal nucleon momentum

$$\mathcal{M}_{eA \rightarrow e'h} = \frac{e^2}{q^2} \langle e(k') | J^\mu | e(k) \rangle \langle h | J_\mu | A(p_A) \rangle \quad \text{amplitude from one-photon exchange}$$

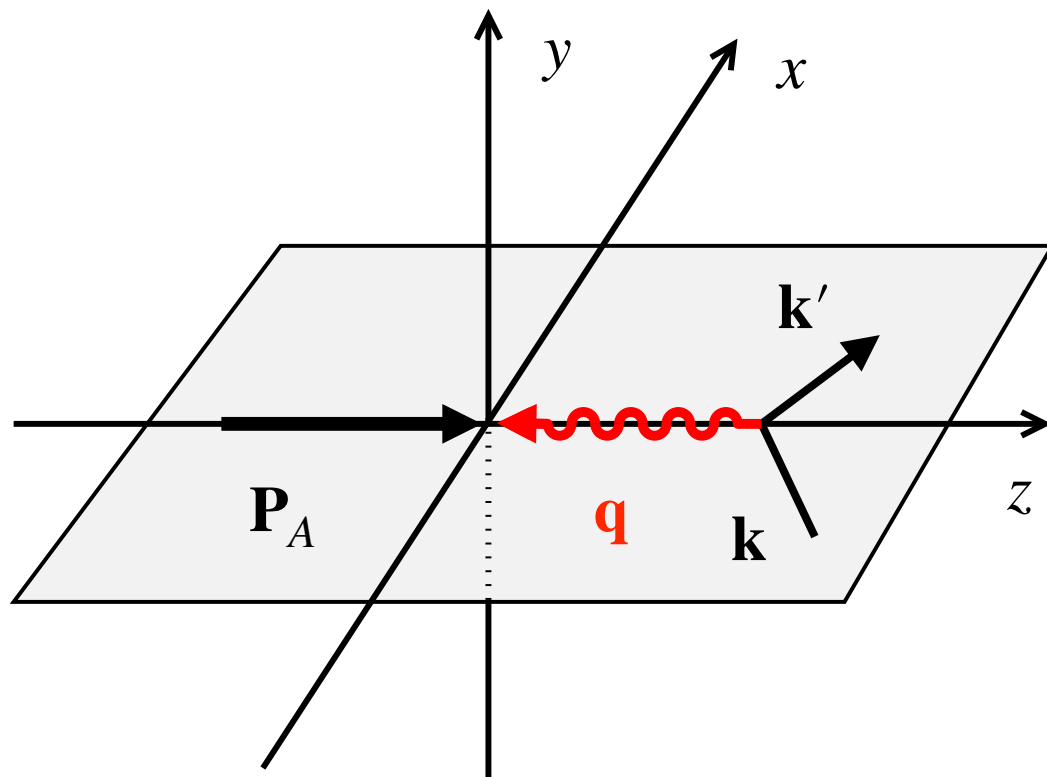
$$\begin{aligned} \frac{d\sigma}{dx dQ^2} &= \text{Flux} \times \sum_{\text{spins}} \sum_h \mathcal{M}^* \mathcal{M} \quad \text{sum over hadrons } h \text{ includes phase space integral} \\ &= \text{Flux} \times \frac{e^4}{(q^2)^2} \sum_{\text{spins}} \underbrace{\langle e(k') | J^\mu | e(k) \rangle \langle e(k) | J^\nu | e(k') \rangle}_{L^{\mu\nu} \text{ leptonic tensor}} \times \sum_h \underbrace{\langle A(p_A) | J_\mu | h \rangle \langle h | J_\nu | A(p_A) \rangle}_{W_A^{\mu\nu} \text{ hadronic tensor}} \end{aligned}$$

$$W_A^{\mu\nu} = e_L^{\mu\nu} F_L + e_T^{\mu\nu} F_T$$

$$e_{L,T}^{\mu\nu} \quad \text{tensors formed from 4-momenta } q, p_A \text{ — geometry}$$

$$F_{L,T} \equiv F_{L,T}(x, Q^2) \quad \text{invariant structure functions — dynamics}$$

$$\text{alt. def: } F_{1,2}$$



For analysis and structure calculations  
use reference frame where

$\mathbf{P}_A, \mathbf{q}$  along z-axis,  $\mathbf{q}$  in -z direction

$\mathbf{k}, \mathbf{k}'$  in xz plane

Light-front components of kinematic 4-vectors

$$\mathbf{P}_{AT} = 0$$

$P_A^+$  arbitrary - can be changed by boost

$$P_A^- = M_A^2 / P_A^+$$

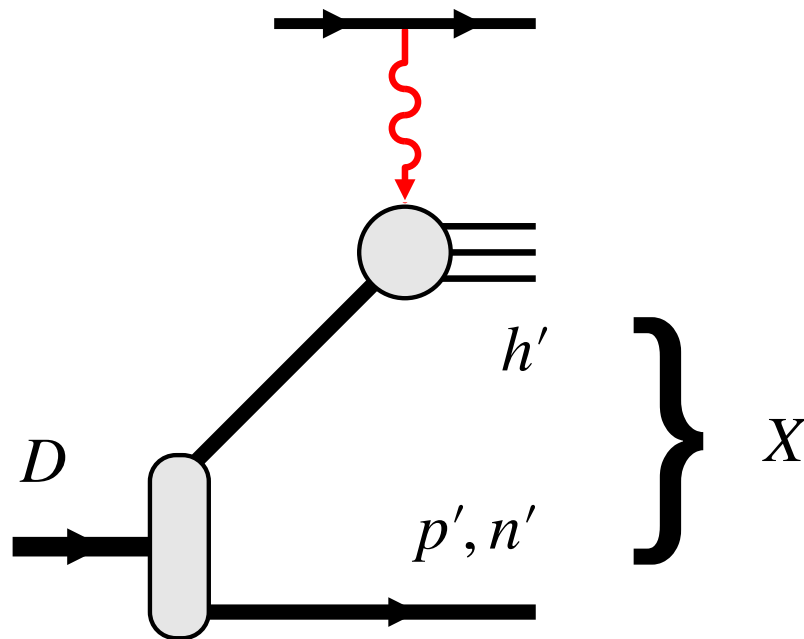
$$\mathbf{q}_T = 0$$

$q^+, q^-$  determined by invariant kinematic variables

$$Q^2 = -q^2 = -q^+q^- \quad Q^2/x_A = 2qP_A = q^+P_A^- + q^-P_A^+$$

$$q^+ = -\xi_A P_A^+ \quad \xi_A = \frac{2x_A}{1 + \sqrt{1 + 4x_A^2 M_A^2 / Q^2}} = x_A + \mathcal{O}(x_A^2 M_A^2 / Q^2)$$

$$q^- = -Q^2 / P_A^+ \quad q^- \text{ large in DIS limit } Q^2 \rightarrow \infty, x_A \text{ fixed}$$



Compute inclusive eD cross section  
from eN cross section using light-front dynamics

$X = h' + p', n'$  final state in impulse approximation

Untagged scattering: Spectators summed/integrated over  
Tagged scattering: Spectator identified, momentum fixed

$$\langle h'p' | J^\mu | D \rangle$$

current matrix element for  $h' + p'$  final state

$$\int d\Gamma_p \int d\Gamma_n |pn\rangle \langle pn|$$

insert set of nucleon intermediate states

$$= \int d\Gamma_p \int d\Gamma_n \underbrace{\langle h'p' | J^\mu | pn \rangle}_{\langle h' | J^\mu | n \rangle \langle p' | p \rangle} \underbrace{\langle pn | D \rangle}_{\delta(\dots) \Psi_D}$$

current couples to neutron

$$\langle h' | J^\mu | n \rangle \langle p' | p \rangle \delta(\dots) \Psi_D$$

Take current matrix element times complex conjugate

Combine nucleon and deuteron factors

Perform phase space integrals over momenta of final proton  $p'$  and intermediate proton/neutron  $p, n$  using up delta functions

$$\begin{aligned}
 W_D^{\mu\nu} &= \int d\Gamma_{p'} \sum_{h'} \langle D | J^\mu | h' p' \rangle \langle h' p' | J^\nu | D \rangle + (p' \leftrightarrow n') \\
 &= \int_x^2 \frac{d\alpha_n}{\alpha_n} \int d^2 p_{nT} \underbrace{\frac{2 |\Psi_D(\alpha_n, \mathbf{p}_{nT})|^2}{\alpha_n (2 - \alpha_n)}}_{\frac{\rho_D(\alpha_n, \mathbf{p}_{nT})}{\alpha_n}} \sum_h \underbrace{\langle n(\alpha_n, \mathbf{p}_{nT}) | J^\mu | h' \rangle \langle h' | J^\nu | n(\alpha_n, \mathbf{p}_{nT}) \rangle}_{W_n^{\mu\nu}(p_n, \tilde{q})}
 \end{aligned}$$

neutron light-front  
momentum distribution  
in deuteron

neutron hadronic tensor

$q^-$  shifted by nonconservation  
in intermediate state - small effect

$$W_D^{\mu\nu}(p_D, q) = \int_x^2 \frac{d\alpha_n}{\alpha_n} \int d^2p_{nT} \frac{2\rho_D(\alpha_n, \mathbf{p}_{nT})}{\alpha_n} W_n^{\mu\nu}(p_n, \tilde{q}) + (n \leftrightarrow p)$$

Deuteron hadronic tensor expressed through neutron hadronic tensor and neutron light-front momentum distribution in deuteron

Integration limit on  $\alpha_n$  follow from condition that in electron-neutron subprocess  $q^+ + p_n^+ > 0$ , which implies  $\alpha_n > 2\xi_D \approx 2x_D = x$  (we use the simplified value in the DIS limit  $Q^2 \gg x_D^2 M_D^2$ )

Here: Untagged scattering, integration over active nucleon momentum, spectator momentum fixed by active nucleon momentum

For tagged scattering, write result as phase space integral over spectator nucleon momentum, obtain different flux factor. See

Light-front impulse approximation: Finite corrections from interactions, deuteron configurations beyond NN

$$\rho_N(\alpha_N) = \frac{|\Psi_D^*(\alpha_N, \mathbf{p}_{NT})|^2}{2 - \alpha_N}$$

LF momentum distribution of nucleons ( $N = p, n$ )  
in deuteron with  $\mathbf{P}_T = 0$

Spin: Averaged over deuteron spin, summed over  
nucleon spin. LF spin states discussed later

$$\int \frac{d\alpha_N}{\alpha_N} \int d^2p_{NT} \rho_N(\alpha_N) = 1$$

Total baryon number

$$\text{from } \int \frac{d\alpha_N}{\alpha_N(2 - \alpha_N)} \int d^2p_{NT} |\Psi_D|^2 = 1$$

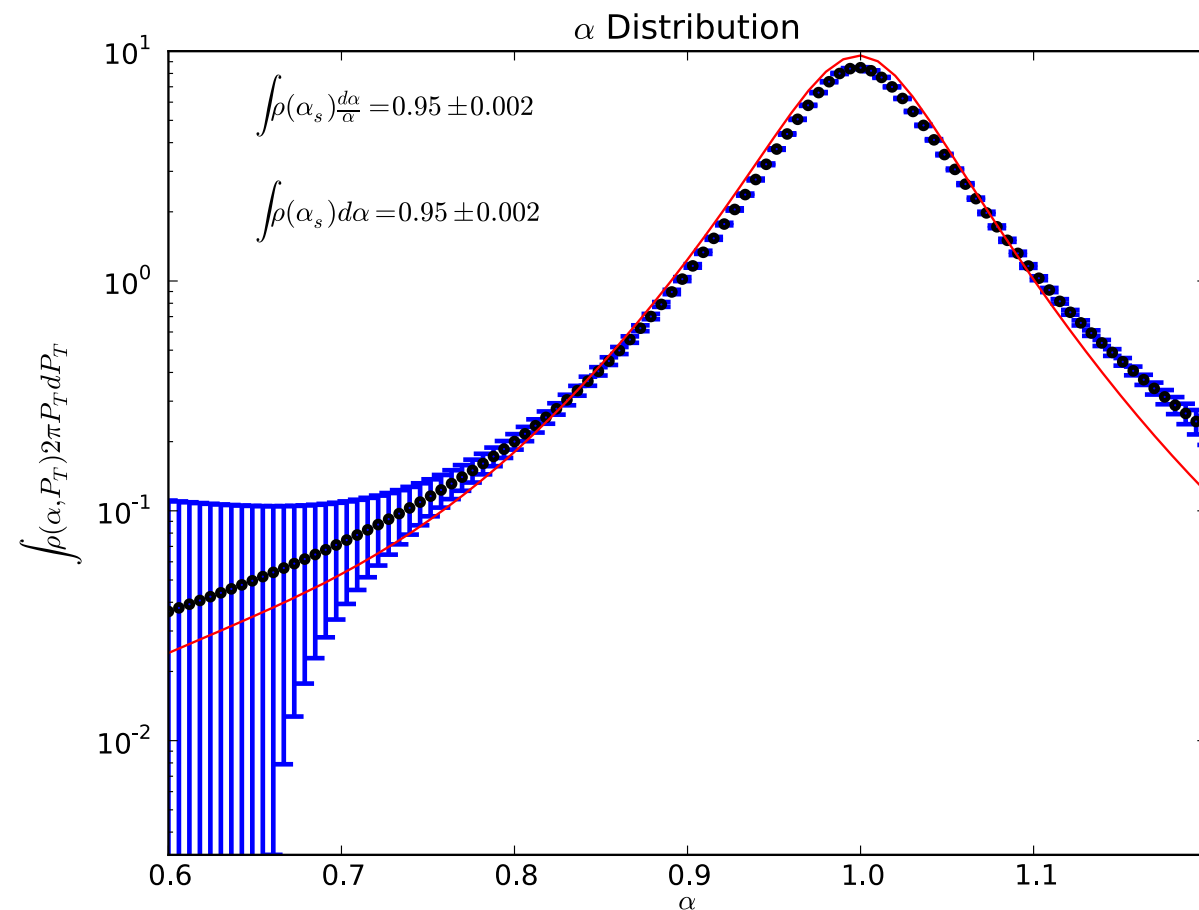
normalization of wave function

$$\int \frac{d\alpha_N}{\alpha_N} \int d^2p_{NT} \alpha_N \rho_N(\alpha_N) = 1$$

Total LF momentum

$$\text{from } \Psi_D(\alpha_N, \mathbf{p}_{NT}) = \Psi_D(2 - \alpha_N, -\mathbf{p}_{NT})$$

symmetry of wave function



LF momentum distribution of nucleons are “universal” (= independent of high-energy process)  
can be extracted from quasi-elastic scattering and other processes

Empirical LF momentum distributions

[Sargsian, Boeglin, Int.J.Mod.Phys.E 24 (2015) 03, 1530003]



$$W_D^{\mu\nu}(p_D, q) = \sum_N \int_x^2 \frac{d\alpha_N}{\alpha_N} \int d^2p_{NT} \frac{2\rho_D(\alpha_N, \mathbf{p}_{NT})}{\alpha_N} W_N^{\mu\nu}(p_N, \tilde{q})$$

LF impulse approximation  
result for hadronic tensor

$$W_D^{\mu\nu} = e_{LD}^{\mu\nu} F_{LD} + e_{TD}^{\mu\nu} F_{TD}$$

Deuteron tensor and structure functions (from  $p_D, q$ )

$$W_N^{\mu\nu} = e_{LN}^{\mu\nu} F_{LN} + e_{TN}^{\mu\nu} F_{TN}$$

Nucleon tensor and structure functions (from  $p_N, \tilde{q}$ )

Structure functions obtained by projection of result for hadronic tensor (e.g.  $W^{++}, W^{TT}$ )

$$F_{LD}(x, Q^2) = \sum_N \int_x^2 \frac{d\alpha_N}{\alpha_N} \int d^2p_{NT} \frac{2\rho_D(\alpha_N, \mathbf{p}_{NT})}{\alpha_N} F_{LN}(\tilde{x}, \tilde{Q}^2)$$

$L, T$  structure functions

$$F_{2D}(x, Q^2) = [\dots] \rho_D(\alpha_N, \mathbf{p}_{NT}) F_{2N}(\tilde{x}, \tilde{Q}^2)$$

Here  $F_2$  structure function

$$\tilde{x} \equiv \frac{x}{\alpha_N}$$

effective scaling variable in eN subprocess, includes motion of nucleon

$$\tilde{Q}^2$$

effective momentum transfer in eN subprocess,  $= Q^2$  in DIS limit

Momentum sum rule for  $F_2$  structure function (fixed  $Q^2$ )

$$\begin{aligned} \int_0^2 dx F_{2D}(x) &= \sum_N \int_0^2 dx \int_x^2 \frac{d\alpha_N}{\alpha_N} \int d^2 p_{NT} \rho_D(\alpha_N, \mathbf{p}_{NT}) F_{2N}\left(\frac{x}{\alpha_N}\right) && \text{Interchange orders of} \\ &&& \text{integration in } x \text{ and } \alpha_N \\ &= \sum_N \underbrace{\int_x^2 \frac{d\alpha_N}{\alpha_N} \int d^2 p_{NT} \alpha_N \rho_D(\alpha_N, \mathbf{p}_{NT})}_{= 1 \text{ by momentum sum rule for } \rho_D} \int_0^{\alpha_n} \frac{dx}{\alpha_n} F_{2N}\left(\frac{x}{\alpha_N}\right) = \sum_N \int_0^1 d\tilde{x} F_{2N}(\tilde{x}) \end{aligned}$$

Momentum sum rule for nucleon distribution  $\rho_D$  and for nucleon structure function  $F_{2N}$  ensure momentum sum rule for deuteron structure function  $F_{2D}$

Weak nuclear binding approximation

$$F_{2D}(x) = \sum_N \int_x^2 \frac{d\alpha_N}{\alpha_N} \int d^2p_{NT} \rho_D(\alpha_N, \mathbf{p}_{NT}) \underbrace{F_{2N}\left(\frac{x}{\alpha_N}\right)}_{\approx F_{2N}(x)} \approx \sum_N F_{2N}(x)$$

Nucleon momentum distribution peaked around  $\alpha_N = 1$

Integral dominated by region  $\alpha_N \approx 1$

Evaluate nucleon structure function under integral at  $\alpha_N = 1$  (peaking approximation)

Confirms naive expectation: Nuclear structure function  $F_{2A}$  becomes sum of nucleon structure functions  $\sum_N F_N$  in weak nuclear binding approximation

Note: Simple addition applies only to structure function  $F_2$ , not  $F_1$

Comments on impulse approximation for  $A > 2$

Nuclear remnant system has internal degrees of freedom, can absorb excitation energy

Impulse approximation includes summation over remnant variables differential in excitation energy:  
Spectral function = function( $\alpha_N, \mathbf{p}_{NT}; M_{A-1}$ )

For the deuteron the remnant system is the spectator proton/neutron and has no nuclear excitations:  
Spectral function coincides with momentum density

Light-front nuclear structure is low-energy structure in nucleon DoF,  
only presented “as seen” by a high-energy process:  $x^+ = \text{const}$ , boost-invariant

Need nuclear light-front wave functions in nucleon DoF

→ momentum densities, spectral functions, breakup/tagging cross sections, spin observables, ...

Two basic methods:

A) Construct effective NN interactions in LF dynamics and solve dynamical equation for nuclear LF wave function

B) Determine nuclear LF wave functions by matching with nonrelativistic nuclear wave functions

→ import results and experience in nonrelativistic nuclear physics

$$\hat{\mathcal{P}}^- \Psi_A(\dots | P) = P^- \Psi_A(\dots | P)$$

Hamiltonian  $\hat{\mathcal{P}}^-$  is one of Poincare generators, describes LF time evolution  $\partial/\partial x^+$

$$\hat{\mathcal{M}}^2 = \hat{\mathcal{P}}^+ \hat{\mathcal{P}}^- - \hat{\mathcal{P}}_T^2$$

$\hat{\mathcal{P}}^-$  is operator in nucleon LF momentum/spin vars, Eigenvalues are total LF energy of nucleus  $P^-$

$$\hat{\mathcal{M}}^2 \Psi_A(\dots | P) = M_A^2 \Psi_A(\dots | P)$$

Alt form: Eigenvalue eqn for invariant mass of bound state

CM and internal motion separated as in nonrel dynamics

Mass operator contains kinetic energy of nucleons and interactions.  
Possible form of interactions restricted by Poincare invariance

Also integral equation: Weinberg equation, analogue of Lippmann-Schwinger equation

[Applications to nuclei: Frankfurt, Strikman 1981/1993](#)

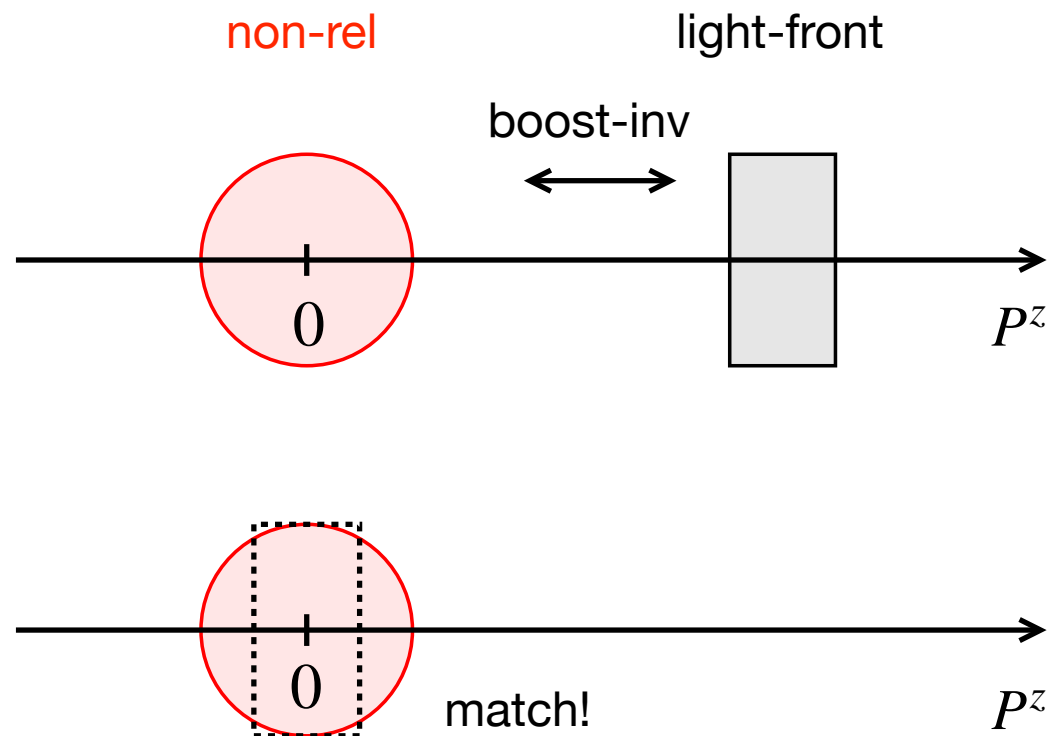
Technical issues in description of interacting systems:

Cluster separation, spin and partial waves, definition of potential, ...

[Specialized literature: Lev, Pace, Salme et al; Polyzou et al](#)

Effective NN interactions in LF dynamics?

[Miller, Cooke 2001](#)

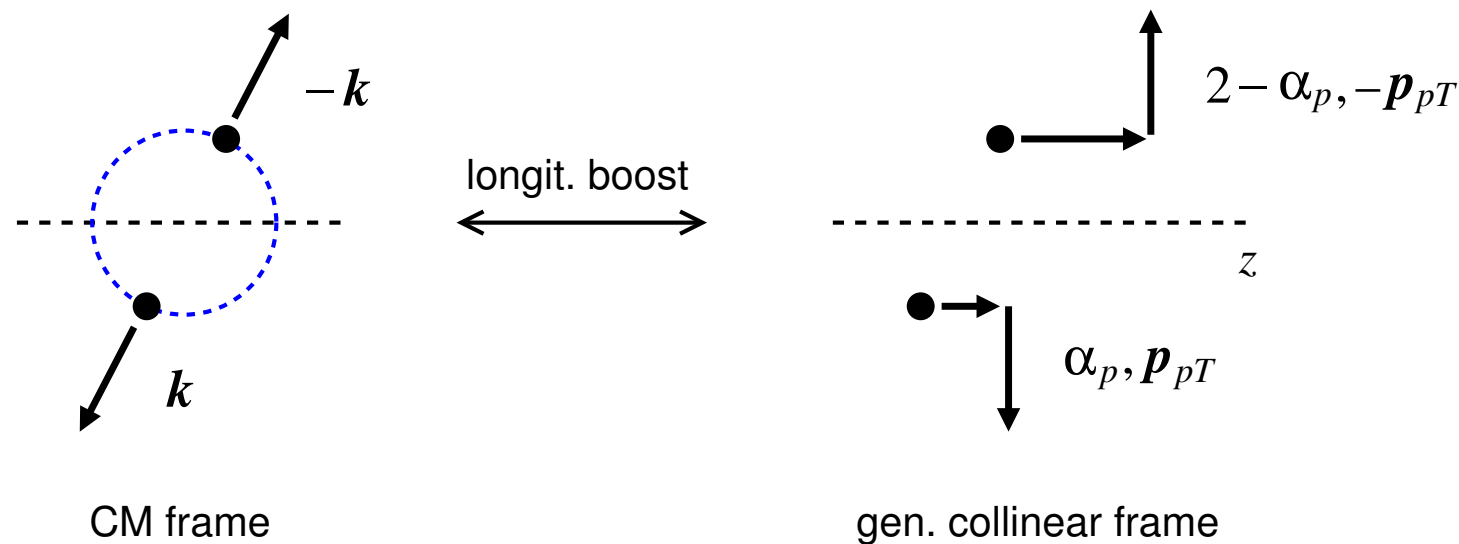


Light-front description: Boost invariant, axially symmetric

Non-relativistic description: Valid at momenta  $\sim$  few 100 MeV in nucleus rest frame  $P^z = 0$ , spherically symmetric

Take light-front wave function in/near nucleus rest frame and match with nonrel wave function

→ import structure (angular momentum conservation) and dynamics



Here:  $pn$  configuration in deuteron

Described by proton LF momentum variables  $\alpha_p, \mathbf{p}_{pT}$

Boost invariance: Consider the config in the CM frame where the ordinary nucleon 3-momenta are back-to-back: proton  $\mathbf{k}$ , neutron  $-\mathbf{k}$

Use 3-vector  $\mathbf{k}$  as variable!

$$\alpha_p = 1 + \frac{k^z}{E(k)}, \quad \mathbf{p}_{pT} = \mathbf{k}_T$$

$$E(k) \equiv \sqrt{|\mathbf{k}|^2 + m^2}$$

$$M_{pn}^2 = \frac{4(|\mathbf{p}_{pT}|^2 + m^2)}{\alpha_p(2 - \alpha_p)} = 4(|\mathbf{k}|^2 + m^2) = 4E^2$$

invariant mass = CM energy

→ LF dynamical equation becomes 3D rotationally symmetric

→ On-shell scattering amplitudes calculated with LF dynamics satisfy rotational invariance (“angular conditions”)

Generalization to 3N and beyond: Possible but much more complex

Lev 1993



$$\Psi_D(\alpha_N, \mathbf{p}_{NT}) = \sqrt{E(k)} \tilde{\Psi}_D(\mathbf{k})$$

Deuteron LF wave function in spherically symmetric variables

Here: No spin, S-wave only. Spin DoF later

$$\int \frac{d\alpha_p}{\alpha_p(2-\alpha_p)} \int d^2 p_{pT} |\Psi_D(\alpha_N, \mathbf{p}_{NT})|^2$$
$$= \int d^3 k |\tilde{\Psi}_D(\mathbf{k})|^2$$

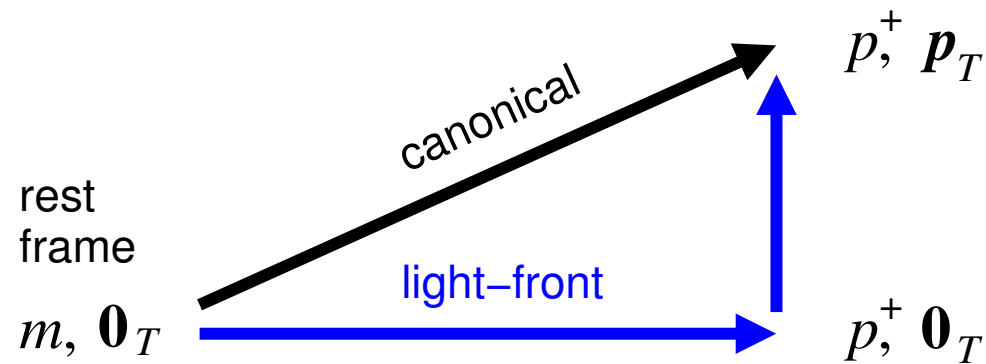
Normalization condition

$$\tilde{\Psi}_D(\mathbf{k}) \stackrel{\text{approx.}}{=} \Phi_D(\mathbf{k}) [\text{nonrel}]$$

Spherically symmetric deuteron LF wave function approximated by nonrelativistic wave function

Good approximation up to  $|\mathbf{k}| \sim 300\text{-}400$  MeV

Used in most theoretical/experimental applications of deuteron LF structure



## Light-front helicity states

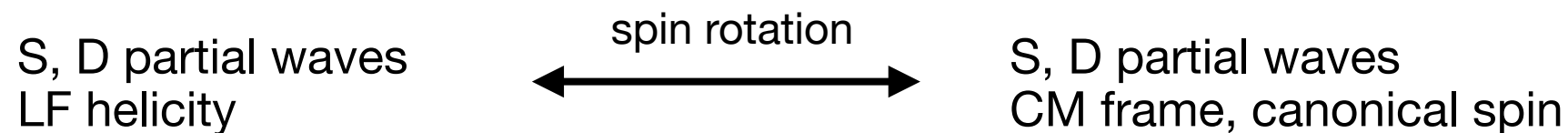
Obtained from rest-frame spin states by LF boosts: longitudinal + transverse

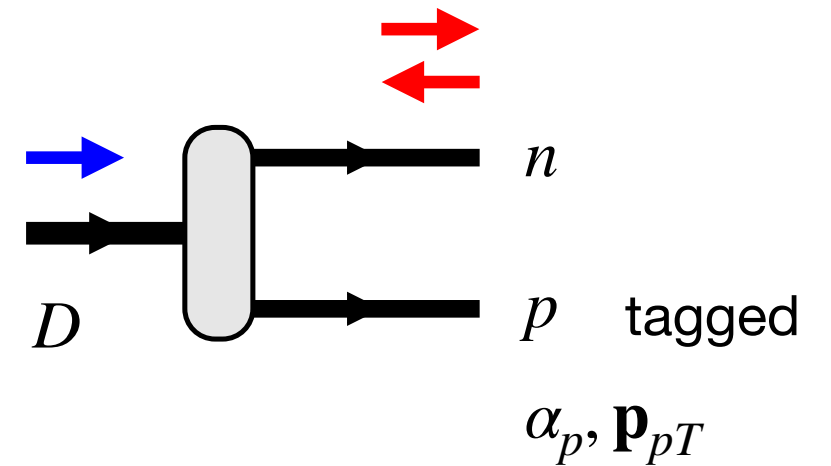
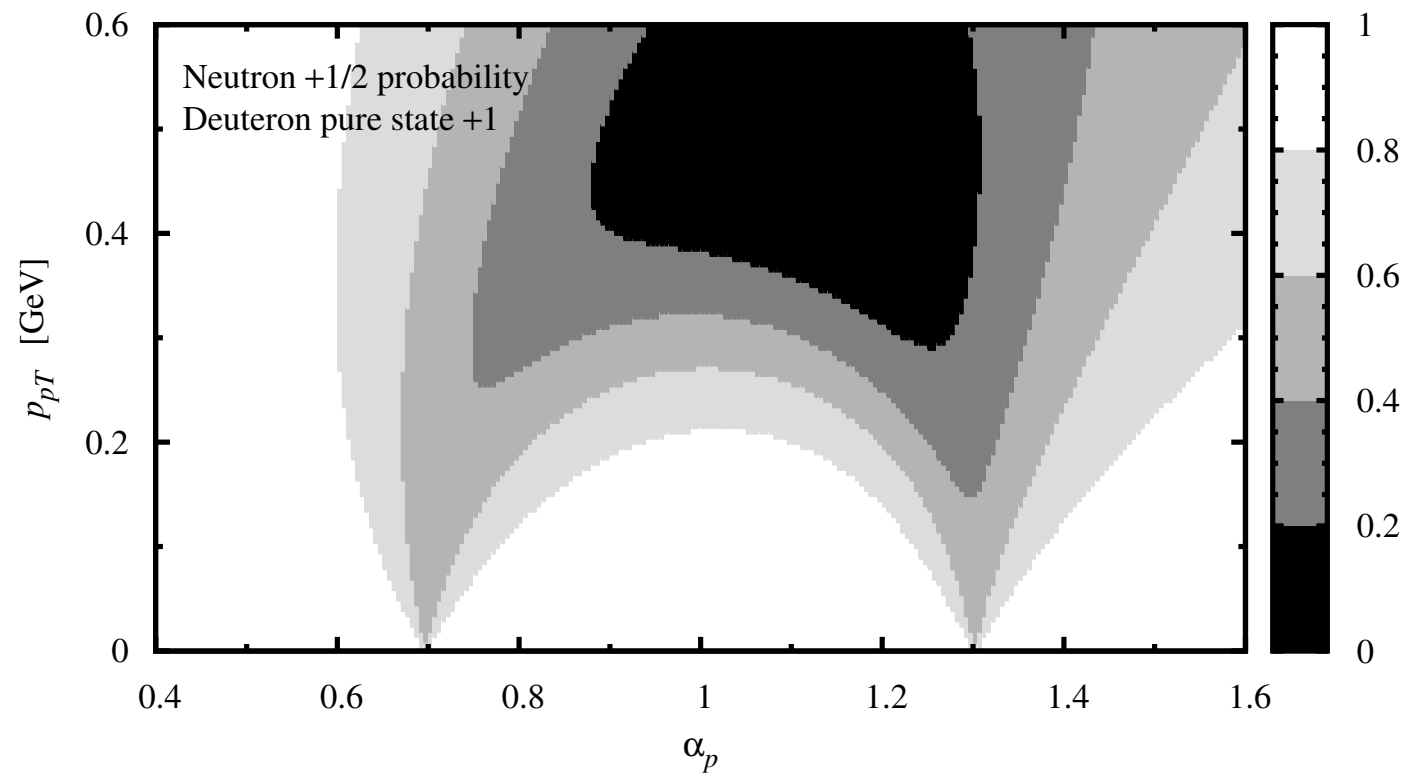
Simple transformation properties:  
Invariant under longitudinal boosts,  
transform kinematically under transverse boosts

Differ from canonical spin states by spin rotation  
(Melosh rotation)

Nucleon spin states and deuteron spin structure formulated as LF helicity states

Nonrelativistic approximation to deuteron wave function can be constructed including spin DoF





Example: Effective neutron polarization in spectator proton tagging

Deuteron polarized longitudinally (LF helicity +1)

What is probability that neutron is polarized along/opposite to deuteron spin (LF helicity +/- 1/2)?

Probability depends on spectator proton momentum  $\alpha_p, p_{pT}$ : Controls S/D wave ratio

Light-front dynamics is an essential tool in high-energy scattering from nuclei

- Provides boost-invariant description

- Keeps off-shell effects from nuclear binding finite in high-energy limit

- Enables composite description in terms of nucleus and nucleon structure

Inclusive eA scattering in light-front impulse approximation

- Nuclear structure functions obtained from nucleon LF momentum distribution and nucleon structure functions

- Approximation preserves baryon number and LF momentum sum rules

Light-front nuclear structure

- Low-energy nuclear structure “as seen” by high-energy scattering process

- Can be inferred by matching with nonrelativistic nuclear structure

- Deuteron: Rich structure, spin and orbital motion entangled, observables in spectator tagging

- $A > 2$  nuclei: Rich structure, many processes. Needs studies and calculations.  
Needs expertise of low-energy nuclear structure community

## Final-state interactions

Interaction of hadrons in final state of high-energy process with spectators.  
Important for tagging/breakup measurements

## Initial-state interactions and non-nucleonic degrees of freedom

High-energy processes involving multiple nucleons, hadrons in NN interactions

## QCD factorization and partonic structure

Methods developed here can be applied to compute nuclear partonic structure  
in terms of nucleon structure

## Small-x physics and nuclear shadowing

Methods developed here can be applied to nuclear shadowing in inclusive  
and exclusive small-x scattering on light nuclei

## Exclusive processes

Applications to exclusive scattering processes, e.g. deep-virtual Compton scattering and meson  
production on light nuclei, in quasi-elastic or coherent scattering

# **Supplemental material**