Light Ion Physics in EIC era: From nuclear structure to high-energy processes

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Nuclear Structure and Short-Range Correlations Experimental approach using Electron Probe

Dien Nguyen University of Tennessee





Lectures format

□ Cover the basics level for PhD students > Little formalism, more conception

> Including Basic Experimental information

> Experimental Results and their interpretations

G Style

Informal, encouraging the interaction

Interrupt any time for questions

Many slides are adapted from my collaborators. Special Thanks to Prof. L. Weinstein, Dr. Holly Szumila-Vance, Prof. O. Hen, Prof. D. Day, Prof. A. Schmidt, Dr. F. Hauenstein, Dr. Arun and More

Lectures Outlines:

Lecture 1:

Dien Nguyen

- Overview of an electron scattering probe
 - Elastic scattering
 - Quasi-elastic scattering
 - Deep inelastic scattering
 - Introduction to short range correlations

Lecture 2:

Jackson Pybus

Lecture 3:

Jackson Pybus

Lecture 4:

Jackson Pybus

Short Range correlations (SRCs) status
 Experimental results
 Short range correlation properties

SRC factorization
 GCF: contact formalism
 Nuclear ground state

SRC beyond electron probe
 Hadron probe

- Photon probe
- Future direction

Systems

Nucleus as a system

• Collection of bound protons and neutrons

Nucleons as a system

Collection of bound quarks



QUARK

GLUON

UP-TYPE QUARK

Interactions

Nucleon-nucleon interaction Arises from quark interactions

Nucleon in-nuclear medium effects Affecting quark distributions ⁿ



<u>Goal</u>: Study the internal structure (and dynamics) of complex objects



Goal: Study the internal structure (and dynamics) of complex objects

Means:

- Using high energy electron scattering off nuclear target
- Detecting final states particles
- Using detected particle's information to infer the nuclear structure



Scientific Method



Determine Internal Structure with Scattering

Hypotheses





...



...

Scientific Method



<u>Goal:</u> Study the internal structure (and dynamics) of complex objects <u>Means:</u> Using high energy electron scattering

Reaction determined by kinematic variables:

• $Q^2 = -q^2$

•
$$x_B = Q^2 / (2m_p v)$$

Interaction-Scale Dynamics

• $\omega(v) = E - E'$ Energy transfer





<u>Goal</u>: Study the internal structure (and dynamics) of complex objects <u>Means</u>: Using high energy electron scattering

100s eV – 100s keV: Material structure





<u>Goal:</u> Study the internal structure (and dynamics) of complex objects <u>Means:</u> Using high energy electron scattering



It's all photons!

• An electron interacts with a nucleus by exchanging a single virtual photon.



Scattered e⁻ <u>Incident e⁻</u> Virtual photon Virtual photon: Momentum q > energy v $Q^2 = -q_{\mu}q^{\mu} = |\mathbf{q}|^2 - v^2 > 0$ Virtual photon "has mass"!

Real photon: Momentum q = energy v Mass = Q^2 = $|\mathbf{q}|^2 - v^2 = 0$

Energy vs length

Select spatial resolution and excitation energy independently

 \boldsymbol{Q}

- Photon energy v determines excitation energy
- Photon momentum q determines spatial resolution: $\lambda \approx \frac{\hbar}{-1}$

 $\lambda \gg r_p$ Very low electron energies, scattering is equivalent to that from a "point-like" spin-less object

 $\lambda \sim r_p$ Low electron energies (0.2-1 GeV/c), scattering is equivalent to that from extended charged object

 $\lambda < r_p$ High electron energies (1 GeV/c +), scattering from constituent quarks and resolve sub-structure

 $\lambda \ll r_p$

Very high electron energies, proton appears to be a sea of quarks and gluons

Why use electrons?

Probe structure understood (point particles)

- Electromagnetic interaction understood (QED)
- Interaction is weak ($\alpha = 1/137$)
 - Theory works: First Born approx / one photon exchange
 - Probe interacts only once
 - Study the entire nuclear volume

Drawback: Cross sections are small Electrons radiate

e'
$$A'$$

 $Q = (\vec{q}, w)$ A

Worldwide effort



Jefferson Lab

Virginia, USA

- 1-11 GeV Electron beam
- Polarized beams and targets (spin study)
- □ 4 experimental halls



Jefferson Lab

From Hesienburg's Uncertainty Principle:

 $\Delta x \Delta p \ge \hbar/2 \sim 0.2 \text{ GeV fm}$

Proton is ~1 fm in size (10⁻¹⁵m) => To "see" 0.02 fm one needs approx. 10 GeV momentum





4 experimental Halls

Hall A



Figure 3.22: High Momentum Spectrometer (HMS) detector stack.

Hall C

4 experimental Halls

Hall B



Large acceptance detector

Hall D



How experimentalist study the reactions



(e, e'P): Single knock out proton: Detect e' and knock-out proton (e, e'NN): Two knockout nucleons: Detect e' and two knock-out nucleon

Generic (e,e') at fixed momentum transfer



Elastic scattering:

nucleon initial and final state the same



Deep inelastic scattering:

nucleon state has changed, creates new particles



Generic (e,e') at fixed momentum transfer



Different reactions teach us different things



Quasi-elastic: electron scatters elastically off an almost free nucleon.



What can we learn?

1. Elastic

- structure of the nucleon/nucleus
 - Form factors, charge distributions

2. Quasi-elastic (QE)

- Shell structure
 - Momentum distributions
 - Occupancies
- Short Range Correlated nucleon pairs

3. Deep Inelastic Scattering (DIS)

- The EMC Effect and Nucleon modification
- Quark Hadronization in nuclei







Quick Overview: Elastic



Elastic scattering

- Charge distribution
- Form Factors



Elastic Electron Scattering: form factor



Fermi's Golden Rule $\frac{d\sigma}{dO} = \frac{2\pi}{\hbar} |M_{fi}|^2 D_f$ M_{fi}: scattering amplitude D_f : density of the final states (or phase factor) $M_{fi} = \int \Psi_f^* V(\mathbf{x}) \Psi_i d^3 \mathbf{x}$ $= \int e^{-k_f \cdot x} V(x) e^{-k_f \cdot x} d^3 x$ $= \int e^{iq \cdot x} V(x) d^3 x$

Plane wave approximation for incoming and outgoing electrons Born approximation (interact only once)

Elastic Electron Scattering: form factor



Form Factor and Charge Distribution Using Coulomb potential from a charge distribution, $\rho(x)$, $V(\mathbf{x}) = -\frac{Ze^2}{4\pi\epsilon_o} \int \frac{\rho(\mathbf{x}')}{|\mathbf{x}-\mathbf{x}'|} d^3 \mathbf{x}'$ $M_{fi} = -\frac{Ze^2}{4\pi\epsilon_0} \left[e^{iq\cdot x} \left[\frac{\rho(x')}{|x-x'|} d^3 x' d^3 x \right] \right]$ $= -\frac{Ze^2}{4\pi\epsilon_0} \left[e^{iqR} \left[\left[\frac{e^{iq\cdot x'}\rho(x')}{|R|} d^3x' \right] d^3R \right] \right]$ $= -\frac{Ze^2}{4\pi\epsilon_0} \left[\frac{e^{iq\kappa}}{R} d^3 R \left[e^{iq\cdot x'} \rho(x') d^3 x' \right] \right]$ $F(q) = \int e^{iq \cdot x'} \rho(x') d^3 x'$

Charge form factor F(q) is the Fourier transform of the charge distribution $\rho(x)$

Elastic scattering: Form factors

Mott cross section:

- Scattering from point-like object
- Target recoil neglected
- Scattered particle relativistic (E>> m_e)

$$\left(\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right)_{\mathrm{Mott}} \rightarrow \frac{\alpha^2}{4E^2 \sin^4 \theta/2} \cos^2 \frac{\theta}{2} |F(\vec{q}^2)|^2$$
Mott XS FF

$$F(\vec{q}^2) = \int \rho(\vec{r}) e^{i\vec{q}.\vec{r}} \mathrm{d}^3\vec{r}$$



Elastic scattering: Form factors





Robert Hofstadter, Nobel prize in physics 1961

For his pioneering studies of electron scattering in atomic nuclei and for his thereby achieved discovery concerning the structure of nucleons

Proton is not a point-like particle but has finite size!

Form factors \rightarrow charge distributions

Elastic (e,e') Scattering Cross-section \Rightarrow Charge distributions



Charge Distribution, r_{CH}(r), is a Fourier Transform of the Charge Form Factor, F(q) $\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_{mott} |F(q)|^2$



Proton recoiling and finite size

Elastic scattering (relativistic) from a point-like Dirac proton:



But the proton is not point-like!

The finite size of the proton accounted for by 2 form factors:

- Charge distribution described by $G_E(q^2)$
- Magnetic moment distribution described by $G_M(q^2)$

Rosenbluth Formula:

$$\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \left(\frac{G_E^2 + \tau G_M^2}{(1+\tau)} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right)$$

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Descriptions of the proton

Recall, the Mott XS:

$$\boldsymbol{\sigma}_{\boldsymbol{M}} = \frac{\alpha^2 \cos^2\left(\frac{\theta_e}{2}\right)}{4E^2 \sin^4\left(\frac{\theta_e}{2}\right)}$$

 $\begin{aligned} \frac{d\sigma}{d\Omega} &= \sigma_{M} \underbrace{\frac{E'}{E}}_{E} \left\{ \left[F_{1}^{2}(Q^{2}) + \frac{Q^{2}}{4M^{2}} \kappa^{2} F_{2}^{2}(Q^{2}) \right] + \frac{Q^{2}}{2M^{2}} [F_{1}(Q^{2}) + \kappa F_{2}(Q^{2})]^{2} \tan^{2} \frac{\theta}{2} \right\} \\ &= \sigma_{M} \underbrace{\frac{E'}{E}}_{E} \left[\frac{G_{E}^{2}(Q^{2}) + \tau G_{M}^{2}(Q^{2})}{1 + \tau} + 2\tau \tan^{2} \frac{\theta}{2} G_{M}^{2}(Q^{2}) \right] \\ &= \sigma_{M} \underbrace{\frac{E'}{E}}_{E} \left[\frac{Q^{4}}{\vec{q}^{4}} R_{L}(Q^{2}) + \left(\frac{Q^{2}}{2\vec{q}^{2}} + \tan^{2} \frac{\theta}{2} \right) R_{T}(Q^{2}) \right] \end{aligned}$



Measuring the form factors

We can rewrite the cross section as:

$$\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = \left(\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right)_0 \left(\frac{G_E^2 + \tau G_M^2}{(1+\tau)} + 2\tau G_M^2 \tan^2\frac{\theta}{2}\right)$$

Where we have the Mott cross section including the proton recoil as:

$$\left(\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right)_0 = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \cos^2 \frac{\theta}{2}$$

Experimentally, we can study the angular dependence of the cross section at fixed Q^2



Rosenbluth separation, technique: note the sensitivity is to the squares of the FFs

Charge Radius of the Proton using e-p elastic scattering

- For the proton we make use of the fact that as Q^2 goes to zero the charge radius is proportional to the slope of G_{E}

$$G_E(Q^2) = 1 + \sum_{n \ge 1} \frac{(-1)^n}{(2n+1)!} \left\langle r^{2n} \right\rangle Q^{2n}$$
$$r_p \equiv \left(-6 \left. \frac{dG_E(Q^2)}{dQ^2} \right|_{Q^2 = 0} \right)^{1/2}$$

This definition of r_P has been shown to be consistent with the radius extracted from the muonic hydrogen data.

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Gerald A. Miller, Phys. Rev. C 99 (2019) 035202.
Determining the proton charge radius



While the proton radius definition is the same whether done on muonic hydrogen or elastic electron-proton scattering, there is a historical division amongst the results.

- Atomic Hydrogen Lamb Shift (~ 0.88 fm)
- Muonic Hydrogen Lamb Shift (~ 0.84 fm)
- Elastic electron scattering!



Form factors: polarization measurement

Longitudinally polarized beam and measuring the polarization transferred to the recoiling nucleon



Polarization transfer: $\vec{e}N \rightarrow e\vec{N}$ or spin-target asymmetry: $\vec{e}\vec{N} \rightarrow eN_{,}$ $P_t = -hP_e\sqrt{\frac{2\epsilon(1-\epsilon)}{\tau}}\frac{G_EG_M}{G_M^2 + \frac{\epsilon}{\tau}G_E^2},$ $P_\ell = hP_e\sqrt{1-\epsilon^2}\frac{G_M^2}{G_M^2 + \frac{\epsilon}{\tau}G_E^2},$ $\frac{G_E}{G_M} = -\frac{P_t}{P_\ell}\sqrt{\frac{\tau(1+\epsilon)}{2\epsilon}} = -\frac{P_t}{P_\ell}\frac{E_e + E'_e}{2M}\tan\left(\frac{\theta_e}{2}\right)$

Enhanced sensitivity to the ratio -> increased sensitivity to G_E for large Q^2 and G_M for small Q^2

Form factor ratio



Large discrepancy between Rosenbluth-extracted data and polarization transfer measurements!

Two photon exchange correction neglected in Rosenbluth data is significant to the radiative corrections.

Elastic scattering summary

- We can measure things like the charge and magnetic moment distributions of the nucleons.
- These are described in terms of form factors (a Fourier transformation of the distributions).
- We can use form factors to extract the radius.
- This tells us about the structure of nucleons and nuclei.
- Nucleons are not point-like!

Quick overview: Quasi-elastic scattering



- Momentum distributions
- Occupancies
- Short Range Correlated nucleon pairs

Effective descriptions of the nucleus



The nucleus as a Fermi gas



Initial nucleon energy: $KE_i = p_i^2 / 2m_p$ Final nucleon energy: $KE_f = p_f^2 / 2m_p = (\vec{q} + \vec{p}_i)^2 / 2m_p$ Energy transfer: $v = KE_f - KE_i = \frac{\vec{q}^2}{2m_p} + \frac{\vec{q} \cdot \vec{p}_i}{m_p}$ We can expect: peak centroid of $v = q^2/2m_p + \varepsilon$ peak width is $2qp_{\text{fermi}}/m_p$ Total peak cross section would be $Z\sigma_{ep} + N\sigma_{en}$

Good approximation of the cross section, but not descriptive of structure.

Early 1970s quasielastic data



Quasielastic peak



Inelastic scattering begins to dominate at $Q^2 \gg 1 \text{ GeV}^2$

Independent particle shell model



$$H = \underbrace{\left[T + V_M\right]}_{\text{IPSM}} + \underbrace{\left[V_{2-body} + V_{3-body} + \dots - V_M\right]}_{\text{neglected in IPSM}}.$$

Assumptions:

□ Nucleon moves in a mean-field created by surrounding nucleons

□ No interaction at a short distance

Nucleons fill up distinct energy level defined by quantum numbers, highest energy level is called Fermi-energy, corresponding to Fermimomentum

Independent particle shell model $H = \begin{bmatrix} T + V_M \end{bmatrix} + \begin{bmatrix} V_{2-body} + V_{3-body} + \dots - V_M \end{bmatrix}.$ neglected in IPSM IPSM Z(E)Z(k) $E_F =$ occupied occupied empty empty F $E_{\rm F}$ k_F k

Pauli's principle:

Forbids nucleon scattering to occupied shell: Suppressed the nucleon interaction

- Ground state energies
- Excitation Spectrum
- Spins
- Parities

Momentum distribution:



(e,e'p) Plane Wave Impulse Approximation (PWIA)

- 1. Only one nucleon absorbs the virtual photon
- 2. That nucleon does not interact further
- 3. That nucleon is detected

• Missing energy,
$$E_m = v - T_{pf} - T_{A-1}$$

• Missing momentum, $p_m = q - p_f$

PWIA implies: $p_i = -p_m$, $|E| = E_m$

Cross-section factorization

$$\sigma = K \sigma_{ep} S(|\vec{P_i}|, E_i)$$





The missing energy spectrum shows shells occupancy



L. Lapikas, Nuclear Phys. A553, 297c (1993)



L. Lapikas, Nuclear Phys. A553, 297c (1993)



Nucleon went missing??



L. Lapikas, Nuclear Phys. A553, 297c (1993)

Nucleons went missing?



Some strength was detected in the shell above the fermi edge which is predicted to be empty In IPSM



□Long range correlations can not account for the spectroscopic factor difference

□Short Range Correlations (SRCs) is possible solution

Welcome to SRCs

What are Short Range Correlations (SRCs) ?

Nucleon pairs that are close together in the nucleus





high *relative* and lower *c.m.* momentum compared to k_F

More on this in next lectures

NN interaction at short distance



Need to put these to test

Probability to find two nucleons with relative momentum q.



Large model dependence at small-r/high-k



Need to put these to test

Why light nuclei?

3-Body system:

- Exactly calculatable
- Test & benchmark theory



Why Tritium?

> Proton in ³He = Neutron in ³H

Constraint reaction mechanism



High Q²: PWIA factorized approximation

Cross-section (Observation) (e,e'p)
$$\frac{d^{6}\sigma}{d\omega dE_{p}d\Omega_{e}d\Omega_{p}} = K\sigma_{ep}S(|\vec{p_{i}}|, E_{i})$$





Non-QE mechanisms contribution



□ Non-QE mechanisms can be minimized using selected kinematic region

Minimizing non-QE mechanisms



Compare to different theory calculation







Cracow:

Faddeevformulation-based calculations

Continuum interaction between two spectator nucleons (FSI₂₃)

<u>CK + CC1:</u>

³He spectral function of C. Cio degli Atti and L. P. Kaptari and electron off-shell nucleon cross-section

Including FSI₂₃

M. Sargian (FSI):

FSI calculation based on generalized Eikonal approximation

Does not include FSI23

Exp/PWIA: Cracow and CK+CC1 For ³H



Exp/PWIA: Cracow and CK+CC1 For ³He



Isoscalar Sum good better agreement



What we have learned in A= 3:

 \Box ³H has better agreement to calculation than ³He

Data/PWIA ~ 20% at high Pmiss

Theory describes ${}^{3}H+{}^{3}He$ data within 10% up to Pmiss = 500 MeV/c



Crucial benchmark for few-body nuclear theory and essential test of theoretical calculation

A=3 nuclei: Ideal systems to test theory calculations



(e.e'p) data from Hall A JLab





See Ronen's talk

Quasi-elastic Summary

Measures shell structure directly

□ Provide information on nucleon momentum distribution

□ Nucleon went missing, provide the hint to SRCs

□ Measurement on light nuclei benchmark theory

calculation up to high momentum 500 MeV

Overview: Deep Inelastic Scattering (DIS)



Structure Functions

EMC Effect





- Interacting nucleon destroyed
- Interaction with <u>Parton</u> (quark) inside the nucleon

 $\Box \text{ Cross-section depends on Nucleon structure function F_2}$ $\frac{d^2\sigma}{d\Omega dE'} = \sigma_A = \frac{4\alpha^2 E'^2}{Q^4} \left[2\frac{F_1}{M} \sin^2\left(\frac{\theta}{2}\right) + \frac{F_2}{V} \cos^2\left(\frac{\theta}{2}\right) \right] \approx K(E, \theta, E') F_2(x)$
Partonic Structure





What F₂ can tell us about the nucleon $F_2(x,Q^2) = \sum_i e_i^2 \cdot x \cdot f_i(x)$





What F₂ can tell us about the nucleon $F_2(x,Q^2) = \sum e_i^2 \cdot x \cdot f_i(x)$ Three bound 0.6 valance quarks 0.5 0.4 $F_2(x)$ 0.3 0.2 0.1 0

0.8

1

0.2

0

0.4

0.6

Momentum fraction x

7 6

What F₂ can tell us about the nucleon $F_2(x,Q^2) = \sum_i e_i^2 \cdot x \cdot f_i(x)$





Decade of measurement gives us Proton's F_2 and PDFs





What is F₂ for a nucleus A

$$F_2^A = Z F_2^P + N F_2^N ??$$

Questions:

- 1. Do quarks move differently in Nuclei?
- 2. Does the nuclear environment affect quark?

Quark and Nuclei are scale-separated



The scale of GeV

The scale of MeV

Naive expectation :

Bound nucleon = Free nucleon

(Except some small Fermi motion correction)

Partonic – Nucleonic Interplay



<u>**Question:**</u> What is the <u>simplest</u> example of nuclear interaction affecting partonic properties?



Question: What is the <u>simplest</u> example of nuclear interaction affecting partonic properties?

Answer:

The nuclear interaction that binds the deuteron also makes the neutron stable.

- Simplest nuclear system = Deuteron,
- Free neutron is unstable: decays in ~ 10 minuets,
- Bound in the Deuteron, a neutron can live forever!

The nuclear environment affects quarks!



The EMC effect!



□ Size of EMC effect is characterized by the slope

The frequency of SRC pairs correlates with the strength of the EMC Effect.



Weinstein et al., PRL 106, 052301 (2011) Hen et al., PRC 85, 047301 (2012) Arrington et al., PRC 68, 065204 (2012) More on this in next lectures

Deep inelastic scattering summary

- DIS is scattering from a quasi-free quark.
- Structure functions contain the quark momentum density information.
- Bridging between nucleon and parton regiem
- EMC Effect:
 - Bound nucleon # free nucleon
 - Many models try to explain the data.
 - Many experiments try to understand the problem.
 - Hint in correlation EMC vs SRC



Summary of the physics

• Nuclear strong interaction that binds nuclei is the residual from the strong interaction between quarks!



- Elastic scattering:
 - Form factors describe the nuclear and nucleon structure in terms of charge and magnetic moment
- Quasielastic scattering:
 - Shell structure, momentum distributions, correlations
- Deep inelastic scattering:
 - Quark-parton picture, structure functions describe quark momentum distributions