

# Coherent Phenomena in Tagged DIS on Deuteron

**Vadim Guzey**



Petersburg Nuclear Physics Institute (PNPI),  
National Research Center “Kurchatov Institute”,  
Gatchina, Russia



## Outline

- Nuclear shadowing in inclusive DIS on deuteron
- Nuclear shadowing in tagged DIS on deuteron
- Polarized inclusive DIS on D
- Directions for future work

**Workshop “High Energy Nuclear Physics with Spectator Tagging”,  
Old Dominion University, March 9-11, 2015**

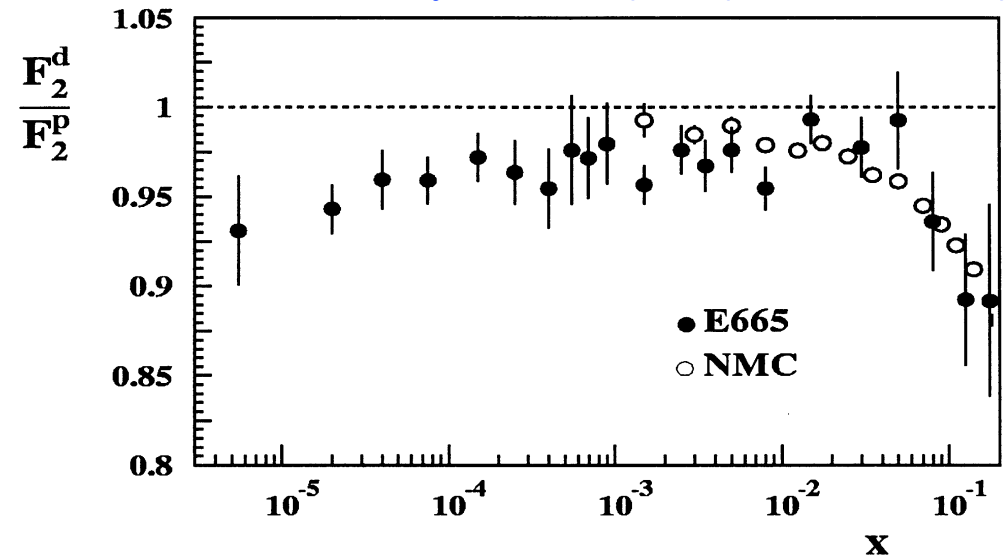
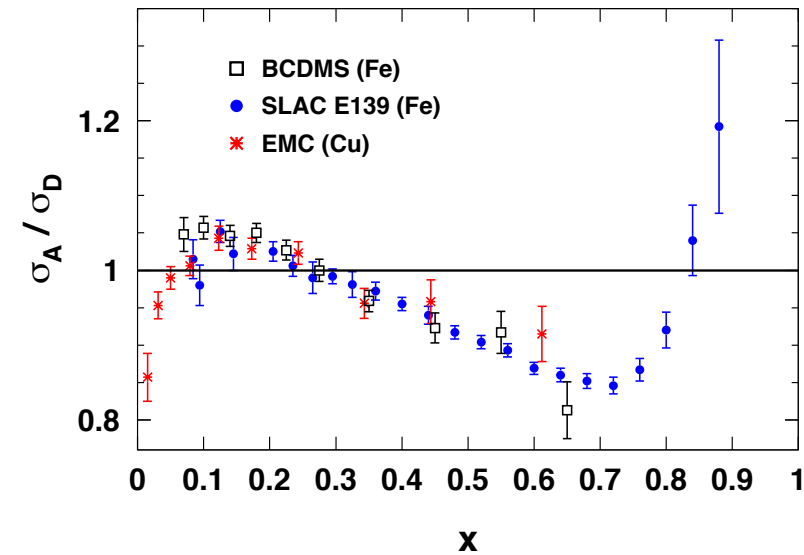
# Nuclear shadowing: experiment

Nuclear shadowing is a high-energy (small  $x$ ) coherent nuclear effect that  $\sigma_A < A \sigma_N$ .

For heavy nuclei, the shadowing suppression is as large as 20%.

For deuterium, shadowing is 1-2% effect.

Reviews by Arneodo (1994); Piller, Weise (2000)



In extraction of nuclear parton distribution functions (PDFs), some groups ignore *all* nuclear effects in deuterium (EPS09, DSSZ), some include them (HKN07, nCTEQ).

Even 1-2% shadowing matters for the extraction of  $F_2^p - F_2^n$  from deuterium data because  $F_2^p - F_2^n$  is small at small  $x$   $\rightarrow$  implications for global fits for proton PDFs.

# Nuclear shadowing: theory

- At small  $x$ , a high-energy probe interacts *coherently (simultaneously)* with all nucleons of the nucleus target.
- Nuclear shadowing is a result of destructive interference among the amplitudes for the interaction with 1, 2, 3, etc. nucleons of the target.
- Total pion-deuteron cross section:

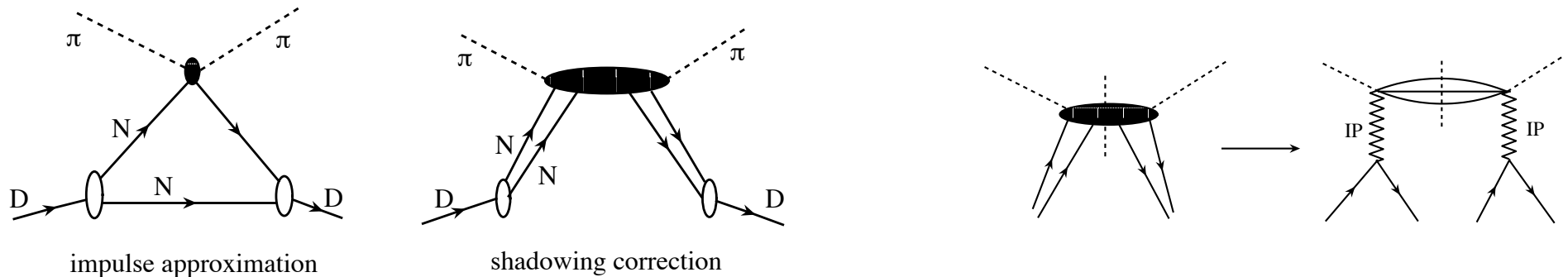


Figure 2: Graphs for pion-deuteron scattering.

The shadowing term can be expressed in terms of pion-proton diffractive cross section

$$\sigma_{\text{tot}}^{\pi D} = 2\sigma_{\text{tot}}^{\pi N} - 2 \int d\vec{k}^2 \rho_D(4\vec{k}^2) \frac{d\sigma_{\text{diff}}^{\pi N}(k)}{d\vec{k}^2}$$

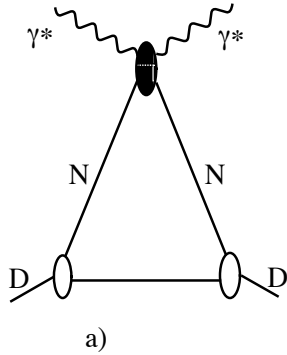
Glauber (1955);  
Gribov (1969)

Deuteron form factor

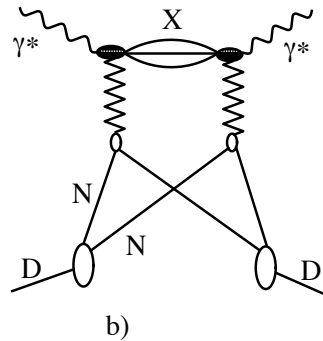
Pion-proton diffractive cs

# Nuclear shadowing in unpolarized inclusive eD DIS

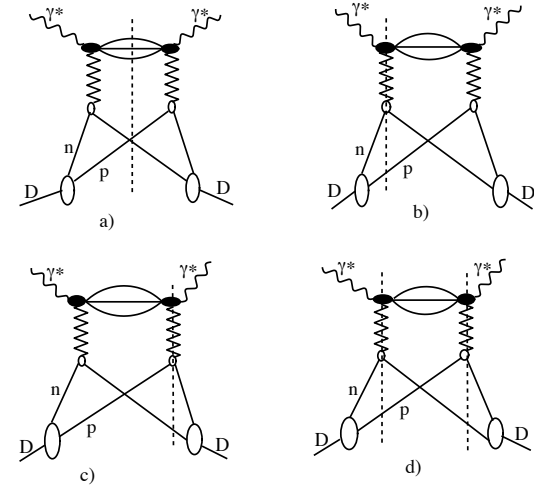
- Forward Compton scattering amplitude:



Impulse approx.



Shadowing correction



Imaginary part of shadowing is given by diffractive cut due to AGK cutting rules

Abramowski, Gribov, Kancheli (1973)

- Calculation using Gribov-Glauber theory or direct evaluation of Feynman graphs in the virtual nucleon approximation (VNA):

$$F_{2D}(x, Q^2) = F_{2p}(x, Q^2) + F_{2n}(x, Q^2) - 2 \frac{1 - \eta^2}{1 + \eta^2} B_{\text{diff}} \int_x^{0.1} dx_{\text{IP}} dk_t^2 F_2^{D(3)}(\beta, Q^2, x_{\text{IP}}) e^{-B_{\text{diff}} k_t^2} \rho_D(4k_t^2 + 4(x_{\text{IP}} m_N)^2)$$

Frankfurt, VG, Strikman (2012)

$B_{\text{diff}} \approx 6 \text{ GeV}^{-2} \pm 15\%$  (HERA)

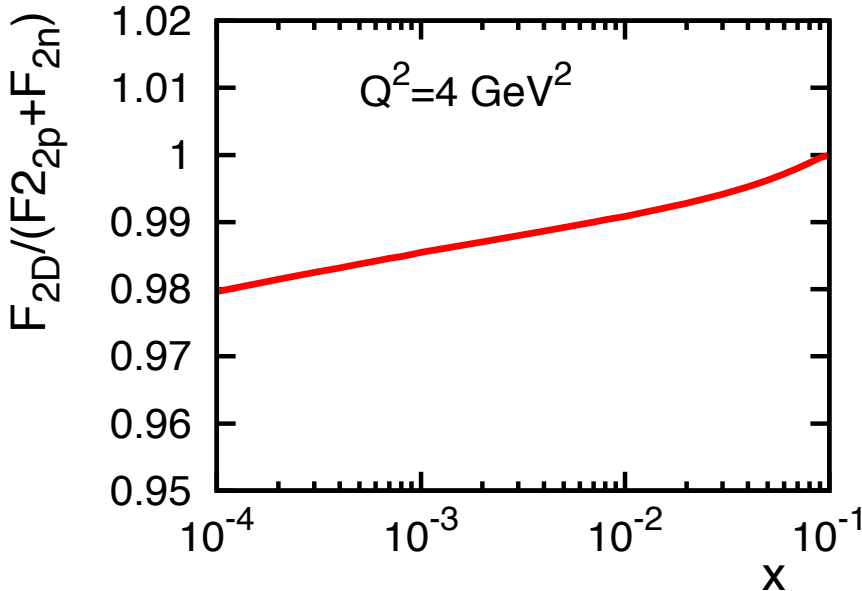
$\eta = \text{Re}/\text{Im} \approx 0.17$

Leading-twist proton diffractive structure function, measured at HERA

deuteron FF from wave function

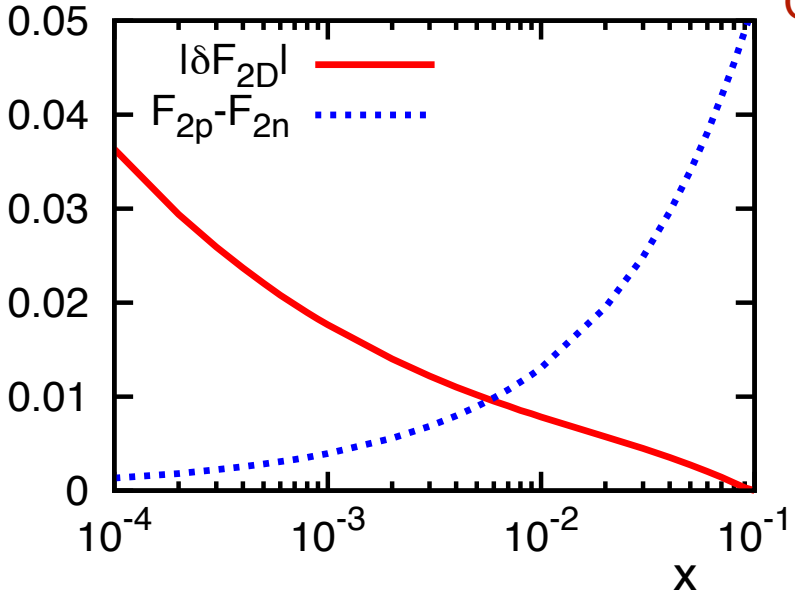
# Nuclear shadowing in unpolarized inclusive eD DIS (2)

Frankfurt, VG, Strikman (2012)



1-2% leading-twist shadowing

Q<sup>2</sup>=4 GeV<sup>2</sup>



shadowing compatible to F<sub>2p</sub>-F<sub>2n</sub>

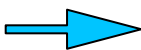
Agrees with earlier calculations using VMD+Pomeron exchange model

Melnitchouk, Thomas (1993); Piller, Niesler, Weise (1997)

- Even 1-2% shadowing is important for the extraction of  $\Delta = F_{2p} - F_{2n}$ :

$$F_{2D}(x) = F_{2p}(x) + F_{2n}(x) - \delta F_{2D}(x) \equiv 2F_{2p}(x) - \Delta - \delta F_{2D}(x)$$

$$F_{2D}(x) = F_{2p}(x) + F_{2n}^0(x) = 2F_{2p}(x) - \Delta^0,$$

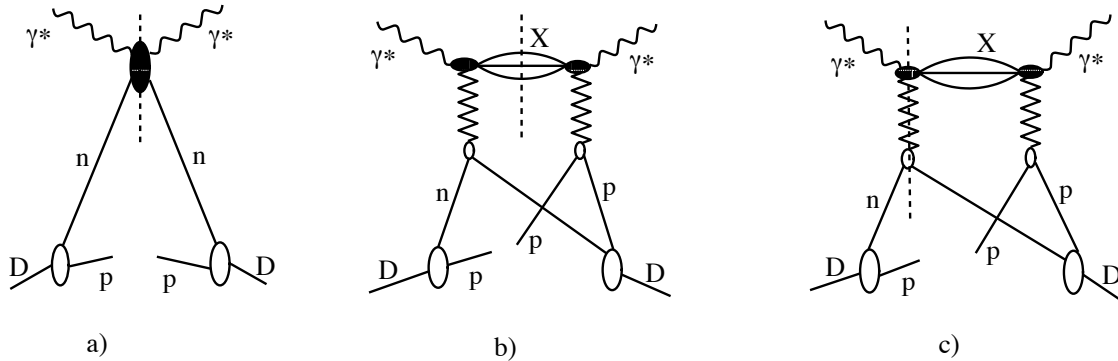


$$\Delta - \Delta^0 = \delta F_{2D}(x)$$

shadowing effect for  $\Delta$  is 2x larger than for  $F_{2D}/2F_{2N}$

# Nuclear shadowing in unpolarized tagged eD DIS

- Forward Compton scattering amplitude for tagged DIS on D (proton detected):



Frankfurt, VG, Strikman, 2003 and 2006

Impulse approx. =  $F_{2n}(x)\rho_D(p, p)$

Shadowing correction

- Direct calculation, same framework as in the inclusive case:

≈ 50% enhancement due to AGK rules (only partial cancellation)

$$F_{2D}(x, Q^2, \vec{p}) = F_{2D}^{\text{IA}}(x, Q^2, \vec{p}) - \frac{3 - \eta^2}{1 + \eta^2} \int_x^{0.1} dx_{\text{IP}} \frac{d^2 \vec{k}_t}{\pi} F_2^{D(4)}(\beta, Q^2, x_{\text{IP}}, t) \times \left[ u(\vec{p})u(\vec{p} + \vec{k}) + w(\vec{p})w(\vec{p} + \vec{k}) \left( \frac{3}{2} \frac{(\vec{p} \cdot (\vec{p} + \vec{k}))^2}{p^2(p + k)^2} - \frac{1}{2} \right) \right],$$

**Nuclear shadowing is larger in the tagged DIS than in the inclusive case due to:**

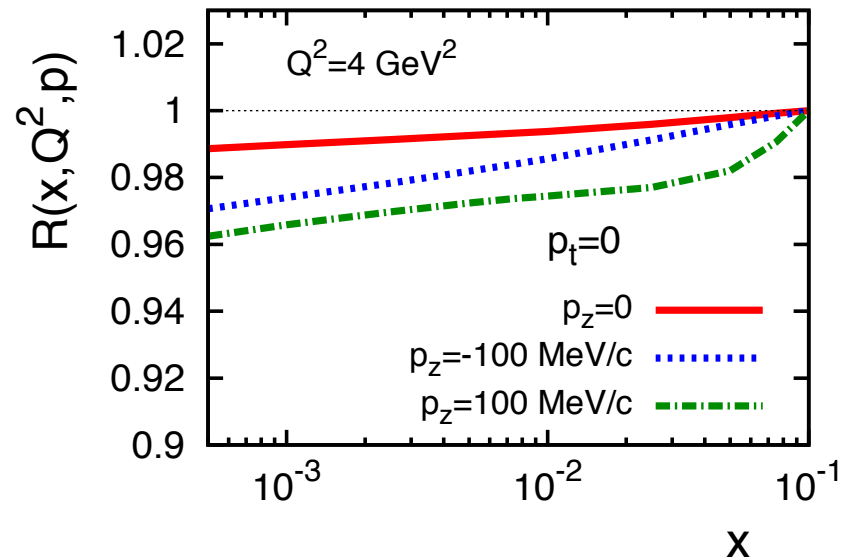
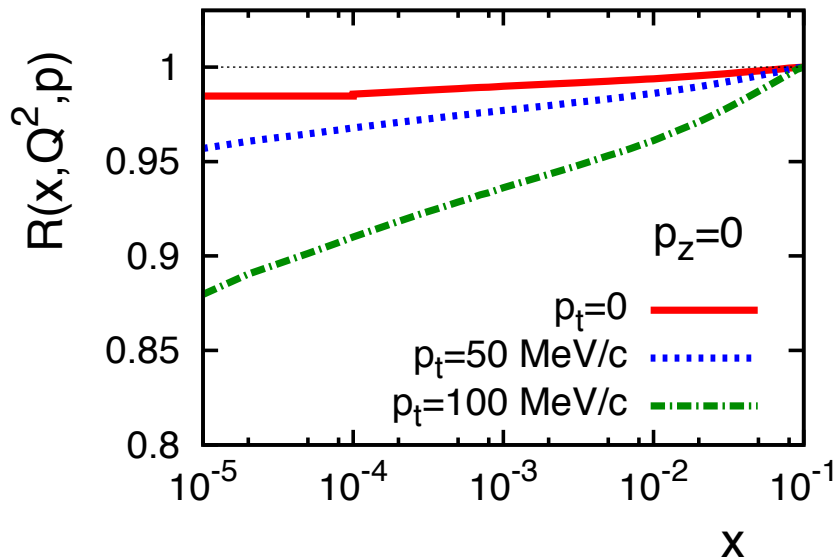
- AGK enhancement
- IA drops with spectator momentum faster than the shadowing term

# Nuclear shadowing in unpolarized tagged eD DIS (2)

Example of calculations for  $R(x, Q^2, \vec{p}) = \frac{F_{2D}(x, Q^2, \vec{p})}{F_{2D}^{\text{IA}}(x, Q^2, \vec{p})}$

$Q^2=4 \text{ GeV}^2$

Frankfurt, VG, Strikman (2003)



- Nuclear shadowing increases with an increase of spectator momentum:
  - larger  $p_t$  correspond to smaller transverse distance between p and n  $\rightarrow$  more shadowing
  - no symmetry along z; forward-moving spectator corresponds to larger shadowing
- Two strategies of extraction  $F_{2n}(x)$ :
  - select small p and neglect shadowing correction
  - measure proton spectrum as function of p  $\rightarrow$  determine/verify the shadowing correction  $\rightarrow$  correct data for the shadowing effect

# Nuclear shadowing in polarized eD DIS

- By analogy with unpolarized case, shadowing correction to deuteron spin structure function  $g_1^D(x)$ :

Frankfurt, VG, Strikman (2003)

$$g_1^D(x, Q^2) = \left(1 - \frac{3}{2}P_D\right) (g_1^p(x, Q^2) + g_1^n(x, Q^2)) - 2 \frac{1 - \eta^2}{1 + \eta^2} \int_x^{x_0} dx_{\mathbb{P}} dq_t^2 \Delta F^{D(4)}(\beta, Q^2, x_{\mathbb{P}}, t) \rho_D^{11}(4q_t^2 + 4(x_{\mathbb{P}}m_N)^2)$$

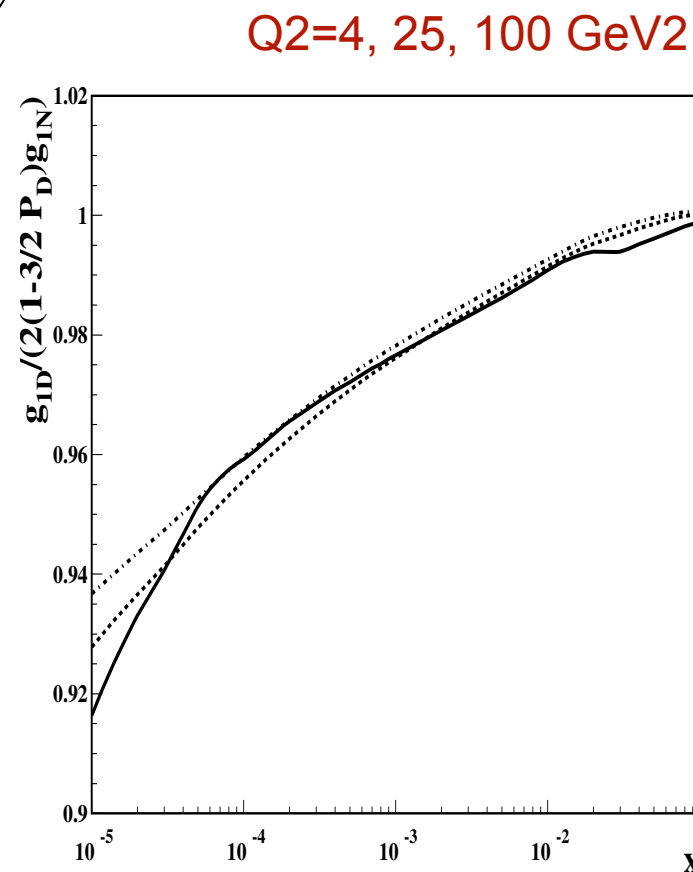
unknown polarized proton diffractive structure function. Assumption:

$$\frac{\Delta F^{D(4)}}{g_1^N} = 2 \frac{F_2^{D(4)}}{F_2^N}$$

longitudinally-polarized deuteron form factor

- Shadowing correction to  $g_1^D(x)$  is a few % effect → negligible since  $g_1^p(x) \approx -g_1^n(x)$  at small  $x$

Agrees with earlier calculations by Edelmann, Piller, Weise (1998)





# Nuclear shadowing in polarized eD DIS (2)

- In eD DIS with unpolarized beam and polarized target, shadowing correction gives rise to  $T_{20}(x)$  asymmetry:

$$T_{20} = \frac{\sigma^+ - \sigma^0}{\frac{1}{2}(\sigma^+ + \sigma^0)}$$

$\sigma^+$  and  $\sigma^0$  are  $\gamma$ -D cross sections,  
+ and 0 are deuteron helicity

Frankfurt, VG, Strikman (2003)

$$T_{20}(x, Q^2) = \frac{2}{F_2^D(x, Q^2)} \frac{1 - \eta^2}{1 + \eta^2}$$

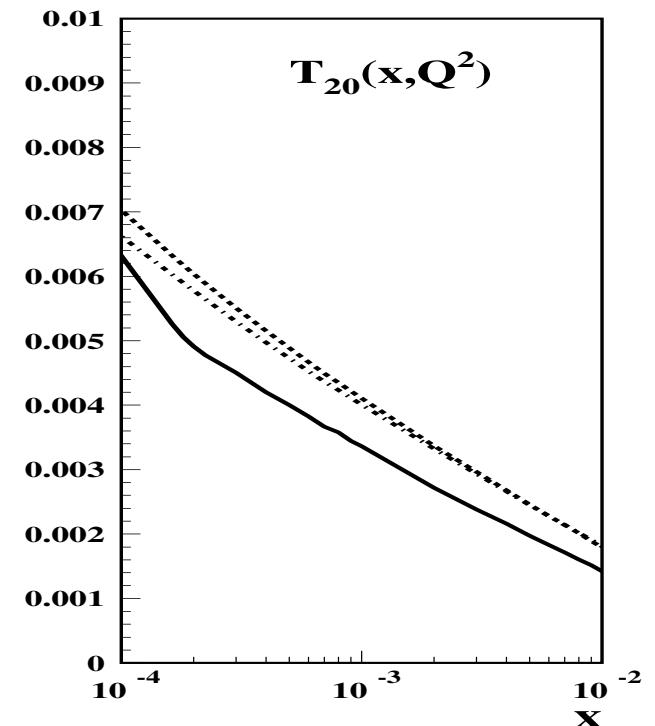
$$\times \int_x^{x_0} dx_{\mathbb{P}} dq_t^2 F_2^{D(4)}(\beta, Q^2, x_{\mathbb{P}}, t) \rho_D^{20}(4q_t^2 + 4(x_{\mathbb{P}}m_N)^2)$$

proton diffractive  
structure function

polarized deuteron  
form factor

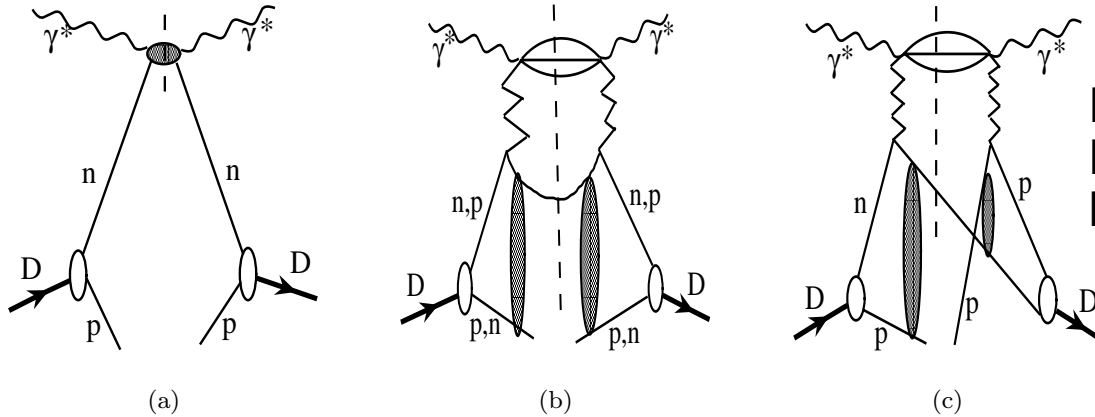
- Nuclear shadowing gives rise to  $\approx 1\%$   $T_{20}(x)$

Agrees with earlier calculations by  
Edelmann, Piller, Weise (1998)



# Directions for future work:

- Final state interactions (FSI) for unpolarized tagged eD DIS:



Estimates using non-relativistic S-wave NN potential made [Frankfurt, VG, Strikman \(2006\)](#) but more theoretical work is required.

- Application of this formalism to polarized tagged eD DIS.
- Coherent diffraction eD DIS:
  - inclusive diffraction: **direct implementation of leading twist nuclear shadowing**
  - VM production, DVCS: **requires theoretical work**

# Conclusions:

- In inclusive unpolarized DIS, nuclear shadowing is a 1-2% effect, which is nevertheless important for the extraction of  $F_2^p(x)$ - $F_2^n(x)$  from  $F_2^D(x)$ .
- In inclusive polarized DIS, the shadowing corrections is larger, but is small correction for extraction of  $g_1^n(x)$  from  $g_1^D(x)$ . However, gives rise to 1%  $T_{20}(x)$ .
- In tagged DIS, the shadowing correction is enhanced by the AGK combinatoric factor and has slower dependence on the spectator momentum than impulse approximation.
- Two strategies of extraction of  $F_2^n(x)$ : (i) measurement at small  $p$ , where shadowing is small, and (ii) measurement in a wide range of  $p$  to determine shadowing and correct the data.
- This conclusion is affected by FSI which need to be estimated.
- Leading twist nuclear shadowing formalism can be straightforwardly applied to coherent diffraction in eD DIS.
- Exclusive vector meson production and DVCS is an important direction, but requires additional theoretical work.

# **Additional Slides**

# Deuteron form factors used in this talk

Frankfurt, VG, Strikman (2003)

- Unpolarized deuteron form factor:  $\rho_D(4q_t^2 + 4(x_{\mathbb{P}}m_N)^2)$ 

$$= \int d^3p \left[ u(p)u(p+q) + w(p)w(p+q) \left( \frac{3}{2} \frac{(\mathbf{p} \cdot (\mathbf{p} + \mathbf{q}))}{p^2(p+q)^2} - \frac{1}{2} \right) \right]$$

- Longitudinally-polarized deuteron form factor:

$$\begin{aligned} \rho_D^{11}(4q_t^2 + 4(x_{\mathbb{P}}m_N)^2) &= \int d^3p \left[ u(p)u(p+q) + \frac{u(p)w(p+q)}{\sqrt{2}} \left( \frac{3}{2} \frac{(p_z + q_z)^2}{(p+q)^2} - \frac{1}{2} \right) \right. \\ &\quad + \frac{u(p+q)w(p)}{\sqrt{2}} \left( \frac{3}{2} \frac{p_z^2}{p^2} - \frac{1}{2} \right) \\ &\quad + w(p)w(p+q) \left( \frac{9}{2} \frac{(\mathbf{p}_t \cdot (\mathbf{p}_t + \mathbf{q}_t))(\mathbf{p} \cdot (\mathbf{p} + \mathbf{q}))}{p^2(p+q)^2} \right. \\ &\quad \left. \left. + \frac{3}{4} \frac{p_z^2}{p^2} + \frac{3}{4} \frac{(p_z + q_z)^2}{(p+q)^2} - 1 \right) \right]. \end{aligned} \quad (6)$$

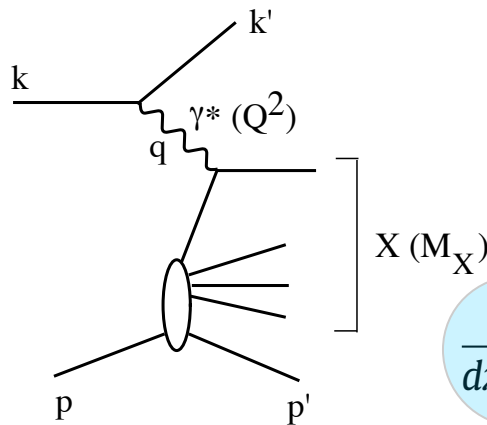
- Polarized deuteron form factor for T20(x):

$$\begin{aligned} \rho_D^{20}(4q_t^2 + 4(x_{\mathbb{P}}m_N)^2) &= \frac{3}{2} \int d^3p \left[ \frac{u(p)w(p+q)}{\sqrt{2}} \left( 1 - \frac{3(p_z + q_z)^2}{(p+q)^2} \right) \right. \\ &\quad + \frac{u(p+q)w(p)}{\sqrt{2}} \left( 1 - \frac{3p_z^2}{p^2} \right) \\ &\quad + w(p)w(p+q) \left( 1 - \frac{3}{2} \left[ \frac{(p_z + q_z)^2}{(p+q)^2} + \frac{p_z^2}{p^2} \right. \right. \\ &\quad \left. \left. + \frac{(\mathbf{p} \cdot (\mathbf{p} + \mathbf{q}))(\mathbf{p} \cdot (\mathbf{p} + \mathbf{q}) - 3p_z(p_z + q_z))}{p^2(p+q)^2} \right] \right) \right] \end{aligned}$$

# Diffraction in ep DIS at HERA

- One of main HERA results is the discovery of large fraction of diffractive events ( $\sim 10\%$ )  
 $\rightarrow$  **diffraction is a leading twist phenomenon** (H1 and ZEUS, 1994-2006)

$$e + p \rightarrow e' + X + p'$$



$$t = (p' - p)^2,$$

$$x_{\mathbb{P}} = \frac{q \cdot (p - p')}{q \cdot p} \approx \frac{M_X^2 + Q^2}{W^2 + Q^2},$$

$$\beta = \frac{Q^2}{2q \cdot (p - p')} = \frac{x}{x_{\mathbb{P}}} \approx \frac{Q^2}{Q^2 + M_X^2}$$

$$\frac{d^4 \sigma_{ep}^D}{dx_{\mathbb{P}} dt dx dQ^2} = \frac{2\pi \alpha^2}{x Q^4} \left[ (1 + (1 - y)^2) F_2^{D(4)}(x, Q^2, x_{\mathbb{P}}, t) - y^2 F_L^{D(4)}(x, Q^2, x_{\mathbb{P}}, t) \right]$$

- Collinear factorization (Collins '97)  $\rightarrow$  **diffractive parton distributions**

$$F_2^{D(4)}(x, Q^2, x_{\mathbb{P}}, t) = \beta \sum_{j=q, \bar{q}, g} \int_{\beta}^1 \frac{dy}{y} C_j \left( \frac{\beta}{y}, Q^2 \right) f_j^{D(4)}(y, Q^2, x_{\mathbb{P}}, t)$$

- Measurement of the t-dependence of diffractive cross section:  **$B_{\text{diff}} = 6 \text{ GeV}^{-2} \pm 15\%$**

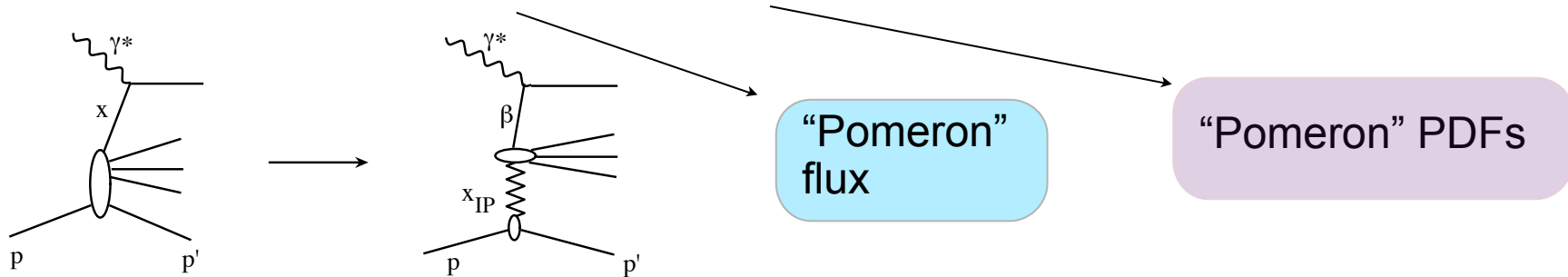
$$F_2^{D(4)}(x, Q^2, x_{\mathbb{P}}, t) = e^{B_{\text{diff}}(t - t_{\text{min}})} F_2^{D(4)}(x, Q^2, x_{\mathbb{P}}, t_{\text{min}})$$

$$F_2^{D(3)}(x, Q^2, x_{\mathbb{P}}) = \int_{-1 \text{ GeV}^2}^{t_{\text{min}}} dt F_2^{D(4)}(x, Q^2, x_{\mathbb{P}}, t)$$

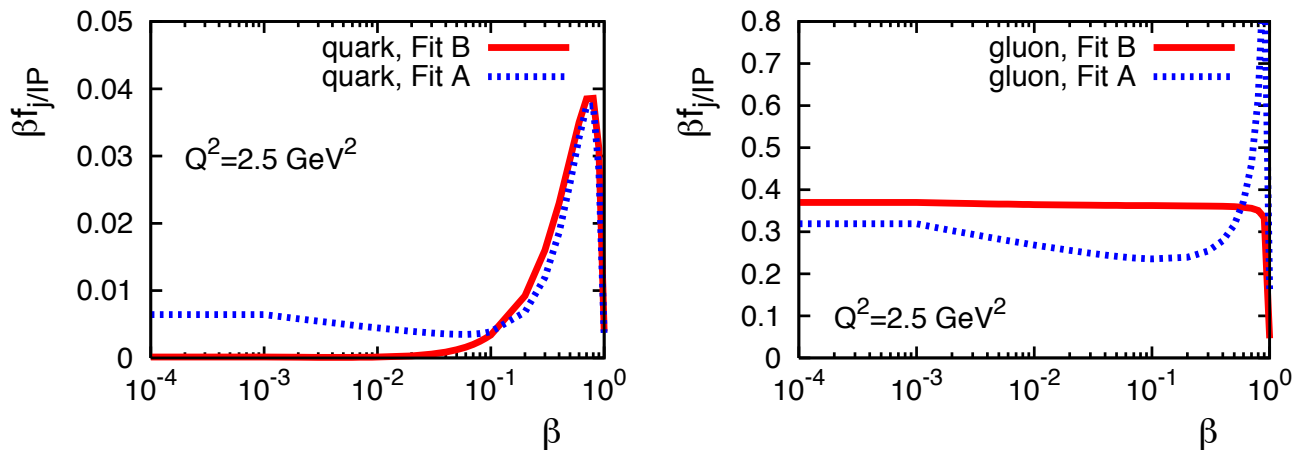
# Diffraction in ep DIS at HERA (2)

- It is convenient to use (supported by data):

$$f_j^{D(3)}(\beta, Q^2, x_P) = f_{IP/p}(x_P) f_{j/IP}(\beta, Q^2) + n_R f_{IR/p}(x_P) f_{j/IR}(\beta, Q^2)$$



- H1 and ZEUS determined “Pomeron” PDFs:



- Necessary information for numerical prections.**  
Important that  $g_P \gg q_P$ .