Current and Target Fragmentation Region Correlations in SIDIS

Harut Avakian (JLab)



Physics Opportunities at an Electron-Ion Collider XI

- JLab and EIC: Complementarity and Synergy in the valence region
- Understanding of physics backgrounds → need for multidimensional measurements critical for JLab and beyond
- Understanding the exclusive rho from identification and observables to SDMEs
- Understanding the Diffractive rho, impact on DIS/SIDIS and the need for MC
- Summary





Polarized Leptoproduction



Jefferson Lab

H. Avakian, POETIC, Feb 25

2

Structure functions and depolarization factors





Hadron production in TFR



Asymmetries in epX are generated by unpolarized quarks in the longitudinally polarized target (RGC) F_{UL} or longitudinally polarized quarks in the unpolarized target (RGA) F_{LU} (consistent with each other)

Note: F_{LU} for Nitrogen practically the same as for proton \rightarrow no medium modification



Azimuthal modulations in B2B production







B2B correlations with longitudinally polarized target



- Target SSA can be measured in the full Q² range, combining different facilities
- Advantages: Higher Lumi for JLab, no kinematical suppression at high Q² for EIC
- JLab24 will be crucial to bridge the studies of FFs between JLab12 and EIC in the valence region

Jefferson Lab



Beam SSAs as a tool to separate regions and contributions



F. Benmokhtar & Duquesne U.



Major difference only for protons at small t!

With beams in polarized SIDIS typically always polarized, beam SSA can serve as a tool to separate

- 1) kinematical regions (CFR/TFR)
- 2) dynamical contributions
- 3) cut on M_{χ} eliminate exclusive VMs





SIDIS as THE theory describes it



1)factorization is broken? 2)<u>unaccounted terms may contribute</u> (assumptions are not good in certain kinematics,...)

Data has it all!!! Dealing with unaccounted terms:

- Theory accounts for them (ex. VMs)
 - Experiment measures and excludes them!!! (ex.VMs)





Excluding the "diffractive" rho from SIDIS

Depending on how we exclude the exclusive rho we can have several versions of experimental samples of inclusive hadrons, each with their own bias:

1) Standard SIDIS (eN \rightarrow ehX, h= π ,K,..) within the full accessible kinematics, corrected for acceptance and RC, measured in the multidimensional space

 $\rightarrow e\pi X$ biased with respect to theory by presence of contributions from diffractive rho, contributing to ~20% of counts, in low P_T, with contributions to SSA ~10 times higher

2) Standard SIDIS ($eN \rightarrow e\pi X$) within the full accessible kinematics, corrected for acceptance and RC, measured in the multidimensional space, with subtracted in multi-D bins for rho0 contributions ("rho-subtracted SIDIS")

→requires measurements of pions from diffractive rho in multidimensional space, means detailed studies of SDMEs of rhos, requiring good precisions and huge statistics, develop MC (ex. HEPGEN) also for all polarization observables, extensive validation needed, little known RC

 SIDIS subsamples (eN→epπX, eN→eππX) within the full accessible kinematics, allowing clear eliminiation of rho0 contributions using cuts on missing masses of epX or eππX ("rho-free SIDIS")

 \rightarrow biased by the presence of additional hadron in TFR (epX) or CFR (eppX), may need a new phenomenology

requires measurements of dependence on M_X to understand the bias,

Theory should be able to evaluate the bias from the presence of an additional hadron





"diffractive" VMs: rapidity gap







Measured x-section: DDIS vs DIS









- Guarantying the "exclusivity" requires good resolutions (get worse at higher energies)
- Subtraction procedure relays on normalization, based on exclusive limit of LUND-MC
- All distributions have tails, indicating the RC may not be negligible
- Extraction of SDMEs, will require validation in the multi-D space (significant samples)



Exclusive ρ contributions to π : $\textbf{P}_{\text{T}}\text{-dependence}$



COMPASS \rightarrow "Positive trend" also reproduced when additional proton in TFR detected (red)

- The same sign and size of π+ and π- SSA indicates the rho0 may not be properly subtracted(require detailed MC studies, which require proper SDMEs)
- While VM contributions are ~20% in multiplicities in SSA they can be >100%
- Detection of the target proton introduces much smaller bias on the inclusive charged pion SSA, than the exclusive rho contributions





Longitudinally polarized quarks in B2B SIDIS



Possible theory formalisms:

- Formalism based on fracture functions (Anselmino, Barone, Kotzinian (back-to-back, b2b, hadron production, DSIDIS)
- Semi-exclusive processes, involving GPDs/GTMDs on proton side (TFR) and FFs on pion side (CFR) Yuan and Guo
- Differences in A_{LL}, due to different weights on PDFs can provide additional info on impact of possible ingredients
- Measurements of A_{LL} for ρ^0 indicate very small values, and can be one of the reasons for higher A_{LL} with protons with a M_X cuts above 1.5 GeV (excluding exclusive ρ^0)
- Higher A_{LL} will change the phenomenology used last 40 years in DIS and SIDIS studies!!!

ep→e'pπX

 \mathcal{M}



Detection of proton allows elimination of exclusive rho!





Studies of ρ^0 impact with longitudinally polarized NH₃ target



- Require the angle of negative pions is within a degree from calculated from e',p,π+ assuming exclusive e',p,π+π- event.
- Measurements of A_{LL} for ρ^0 indicate very small values (with ~10-20% bck, likely negative ~ -2-10%), and can be one of the reasons for higher A_{LL} with protons with a M_X cuts above 1.35 GeV (excluding exclusive ρ^0)

Request to theory \rightarrow evaluate the impact on g₁(x,k_T) with all A_{LL}s increasing 10-20%



Need clear separation of hydrogen from NH_3 and diffractive exclusive ρOs from exclusive $\pi\text{+}\pi\text{-}$





"diffractive rho0s" in SIDIS multiplicities



The "diffractive" rho will bias extractions of TMDs, unless properly subtracted in multidimensional space of SIDIS measurements.





SUMMARY

Studies of QCD dynamics with controlled systematics involving Semi-Inclusive DIS, requires <u>multidimensional measurements of cross sections/multiplicities/asymmetries</u> as a function of all involved kinematical variables (including P_T and ϕ).

- For interpretation of the SIDIS data it is critical to <u>separate contributions from different</u> <u>structure functions</u>, as well as <u>separation of different production mechanisms in a</u> <u>given structure function (including VMs)</u>
- <u>New SSA observable</u>, which is not suppressed at higher energies, providing access to polarized quarks, evaluated for EIC.
- The diffractive VM contributions, violate the factorized picture of SIDIS based on the dominance of the leading twist contributions, and the "rho free SIDIS" may help to address the challenges of phenomenology (cross checking "rho-subtracted SIDIS")
- Need a generator to describe the exclusive rho in the accessible kinematic phase space accounting for all possible combinations of polarizations of beam, target and the final rho (Diehl: arXiv:0704.1565)

Combine efforts of SIDIS communities in understanding the diffractive ρ and sort out the impact on diffractive DIS and possible impact on PDFs, helicity PDF, in particular







support slides





Exclusive dihadrons from CLAS12







\textbf{A}_{LL} studies of exclusive $~\rho^{\text{0}}$: HERMES



Accounting of ρ^0 will change the phenomenology of helicity distributions





Exclusive ρ contributions to π : $\textbf{P}_{T}\text{-dependence}$



COMPASS \rightarrow "Positive trend" also reproduced when additional proton in TFR detected (red)

- The same sign and size of π + and π SSA indicates the rho0 may not be properly subtracted(require detailed MC studies, which require proper SDMEs)
- While VM contributions are ~20% in multiplicities in SSA they can be >100%

Need proper MC for rho for all polarization states \rightarrow



"The greatest enemy of knowledge is not ignorance, it is the illusion of knowledge." — Daniel J. Boorstin



H. Avakian, LNF, Dec 13



Radiative effects: impact on missing mass



Energy loss of final state particles creates a shoulder (mainly e- for CLAS12)





The ratio of radiative cross (σ_{RC}) section to Born (σ_{B}) in SIDIS



- The radiative effects in SIDIS may be very significant and measurements in multidimensional space at different facilities will be crucial for understanding the systematics in evolution studies.
- Most sensitive to RC will be all kind of azimuthal modulations sensitive to cosines





Addressing PAC/theory comments



CLAS12 measurements indicate the 2hadron exclusive sample is dominated by "diffractive rho0"produced at very small *t*

∆E(GeV)

-0.1 -0.075 -0.05 -0.025 -0 0.025 0.05 0.075 0.1

JLab provides possibility of detailed studies of those rhos, <u>crucial</u> for interpretation in terms of TMDs of SIDIS data in general, and for EIC in particular. Estimated ~20% contributions from rho to charged pion SIDIS, consistent with ~10% of diffractive DIS in inclusive DIS

indication: most longitudinally polarized ρ^0 note: higher the Q² lower is ϵ

Studies of exclusive processes require high resolution and multidimensional measurements !!!





Beam SSAs as a tool to separate regions and contributions







Studies of ρ^0 impact with longitudinally polarized target



• Measurements of A_{LL} for ρ^0 indicate very small values (most likely negative with ~10-15% bck), and can be one of the reasons for higher A_{LL} with protons with a M_X cuts above 1.5 GeV (excluding exclusive ρ^0) significant suppression of DSA ~rho mass





Separating the "diffractive" kinematics



+preliminary data on $ep \rightarrow e'p\phi$ (A_{LU}=-0.084+/-0.038)

 Beam SSA can be used to separate dynamical contributions

- Comparison with exclusive ρ+ and other non-diffractive channels clearly indicates the kinematics where the "diffractive rho0" shows up (increases at higher energies)
- Comparison with other exclusive states, including the ρ^0 at higher t, indicate the contributions from quark exchange mechanisms negligible in large z kinematics





At higher energies (COMPASS/HERMES) no major effect were observed, as high resolution and multidimensional measurements are critical !!!





Understanding exclusive rhos and SDME validations

 $W^{U}(\Phi, \phi, \cos\Theta)$ $= \frac{3}{8\pi^2} \left| \frac{1}{2} (1 - r_{00}^{04}) + \frac{1}{2} (3r_{00}^{04} - 1) \cos^2 \Theta \right|^2$ $-\sqrt{2}\text{Re}\{r_{10}^{04}\}\sin 2\Theta\cos\phi - r_{1-1}^{04}\sin^2\Theta\cos 2\phi$ $- \epsilon \cos 2\Phi \left(r_{11}^1 \sin^2 \Theta + r_{00}^1 \cos^2 \Theta \right)$ $-\sqrt{2}\operatorname{Re}\{r_{10}^1\}\sin 2\Theta\cos\phi-r_{1-1}^1\sin^2\Theta\cos 2\phi\right)$ $-\epsilon \sin 2\Phi \left(\sqrt{2} \operatorname{Im}\{r_{10}^2\} \sin 2\Theta \sin \phi\right)$ $+\text{Im}\{r_{1-1}^2\}\sin^2\Theta\sin 2\phi$ + $\sqrt{2\epsilon(1+\epsilon)}\cos\Phi\left(r_{11}^5\sin^2\Theta+r_{00}^5\cos^2\Theta\right)$ $-\sqrt{2}\operatorname{Re}\{r_{10}^5\}\sin 2\Theta\cos\phi-r_{1-1}^5\sin^2\Theta\cos 2\phi\right)$ + $\sqrt{2\epsilon(1+\epsilon)}\sin\Phi\left(\sqrt{2}\operatorname{Im}\{r_{10}^6\}\sin 2\Theta\sin\phi\right)$ $+\mathrm{Im}\{r_{1-1}^6\}\sin^2\Theta\sin 2\phi\right)$

https://arxiv.org/pdf/2210.16932 • ρ^0 COMPASS, • ρ^0 HERMES A: $\gamma_L^* \rightarrow \rho_L^0$, $\gamma_T^* \rightarrow \rho_T^0$ r_{00}^{04} r_{1-1}^{1} $Im r_{1.1}^2$ B: Interference $\gamma_L^{*} \rightarrow \rho_L^{0} \& \gamma_T^{*} \rightarrow \rho_T^{0}$ Re r⁵10 $Im r_{10}^{6}$ $Im r_{10}^{7}$ Re r⁸₁₀ Re r⁰⁴₁₀ C: $\gamma_T^* \rightarrow \rho_1^0$ Re r¹₁₀ $\text{Im } r_{10}^2$ $r_{00}^5 r_{00}^1 r_{00}^1$ $\operatorname{Im} r_{10}^3$ r_{00}^8 r_{11}^5 r_{1-1}^5 D: $\gamma_L^* \rightarrow \rho_T^0$ $\operatorname{Im} r_1^6$ $\operatorname{Im} r_{1.1}^7$ r_{11}^8 r_{1-1}^8 r_{1-1}^{04} E: $\gamma_T^* \rightarrow \rho_T^0$ Im r -0.3 -0.20.2 0.4 0.5 -0.4 -0.10 0.1 0.3 0.6 SDME value

The SDMEs from HERMES and COMPASS extracted at different <x> and <Q²> seem to be consistent.

Fig. 12: Comparison of the 23 SDMEs for exclusive ρ^0 leptoproduction on the proton extracted in the entire kinematic regions of the HERMES and COMPASS experiments. For HERMES the average kinematic values are $\langle Q^2 \rangle = 1.96$ (GeV/c)², $\langle W \rangle = 4.8$ GeV/c², $\langle |t'| \rangle = 0.13$, while those for COMPASS are $\langle Q^2 \rangle = 2.40$ (GeV/c)², $\langle W \rangle = 9.9$ GeV/c², $\langle p_T^2 \rangle = 0.18$ (GeV/c)². Inner error bars represent statistical uncertainties and outer ones statistical and systematic uncertainties added in quadrature. Unpolarised (polarised) SDMEs are displayed in unshaded (shaded) areas.





Understanding exclusive rhos and SDME validations



Since the decay angle is correlated with the polarization of the rho, then r_{11}^8 and r_{11}^5 will be responsible for transverse rho (no Cahn?)

Fig. 12: Comparison of the 23 SDMEs for exclusive ρ^0 leptoproduction on the proton extracted in the entire kinematic regions of the HERMES and COMPASS experiments. For HERMES the average kinematic values are $\langle Q^2 \rangle = 1.96$ (GeV/c)², $\langle W \rangle = 4.8$ GeV/c², $\langle |t'| \rangle = 0.13$, while those for COMPASS are $\langle Q^2 \rangle = 2.40$ (GeV/c)², $\langle W \rangle = 9.9$ GeV/c², $\langle p_T^2 \rangle = 0.18$ (GeV/c)². Inner error bars represent statistical uncertainties and outer ones statistical and systematic uncertainties added in quadrature. Unpolarised (polarised) SDMEs are displayed in unshaded (shaded) areas.





Understanding exclusive rhos and SDME validations



Fig. 12: Comparison of the 23 SDMEs for exclusive ρ^0 leptoproduction on the proton extracted in the entire kinematic regions of the HERMES and COMPASS experiments. For HERMES the average kinematic values are $\langle Q^2 \rangle = 1.96 (\text{GeV}/c)^2$, $\langle W \rangle = 4.8 \text{ GeV}/c^2$, $\langle |t'| \rangle = 0.13$, while those for COMPASS are $\langle Q^2 \rangle = 2.40 (\text{GeV}/c)^2$, $\langle W \rangle = 9.9 \text{ GeV}/c^2$, $\langle P_T^2 \rangle = 0.18 (\text{GeV}/c)^2$. Inner error bars represent statistical uncertainties and outer ones statistical and systematic uncertainties added in quadrature. Unpolarised (polarised) SDMEs are displayed in unshaded (shaded) areas.





Exclusive ρ^{0} : extending the Q²with JLab22



- Wider in t the range of integration, less will be relative fraction from asymmetric decays
- Range in Q² increases significantly allowing detailed studies at beyond 10 GeV²

Jefferson Lab





rhos dominate, at large t the contribution from transverse photons going to longitudinal rho becomes more significant



0.6 0.8 1.0

-04 -02 00 02 04











JLab/GlueX, S. Adhikari et al: https://arxiv.org/pdf/2305.09047



ΓIC, Feb 25





Measurements of multiplicities, single-spin and double spin dependent observables of forward "current" dihadrons with separation of exclusive and semi-inclusive fractions

Measurements of multiplicities, single-spin and double spin dependent observables of back-to-back hadron "current" and baryon "target" with separation of exclusive and semi-inclusive fractions

Comparison of the SDMEs extracted in photoproduction with SDMEs for electroproduction at low Q², to see if we can efficiently use part of their t-dependences.

Development of exclusive VM MC including beam and target polarizations, longitudinal and transverse (need for preparation of the proposal for clas12) describing the photoproduction, and electroproduction data on exclusive rho in the full kinematical range of x,t,Q^2

Comparison of SIDIS observables for "rho-subtracted" and "rho-free" samples



Multiplicities of hadrons in SIDIS



Perturbative contributions underestimate the multiplicities by an order of magnitude for all accessible kinematics at COMPASS

Jefferson Lab





SIDIS of ehX: q_T -crisis in TMD theory

Perturbative approach: TMD region = where the log divergence of the fixed-order calculation dominates (resummation is required)

Significant fraction of polarized SIDIS data is currently considered by phenomenology to be outside of the TMD region What data input exactly drives down the nonperturbative part?



How far in P_T or $q_T = P_T/z$, extends the TMD region





q_T-crisis or misinterpretation



at higher Q² the slope in P_T changes, why? Higher the Q² lower the ε \rightarrow less diffractive rho at higher Q² filling the low P_T in pion SIDIS. **New procedure:** Fit from P_{Tmin} up P_{T} min can be lower at higher Q^2 , as the contributions from diffractive rho decreases with Q^2

Challenging for theory to explain the correlation of P_T and Q need experimental subtraction of rhos (proton detection will help)





Nucleon structure & TMDs at leading twist

$$\frac{d\sigma}{dx \, dy \, d\psi \, dz \, d\phi_h \, dP_{h_\perp}^2} =$$

$$\frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \varepsilon \cos(2\phi_h) F_{UL}^{\cos 2\phi_h} + \lambda_\varepsilon \sqrt{2\varepsilon(1-\varepsilon)} \sin(2\phi_h) + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right]$$

$$+ S_{\parallel} \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_h F_{UT,T}^{\sin \phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right]$$

$$+ S_{\parallel} \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos \phi_h F_{LT}^{\cos \phi_h} \right]$$

$$+ \left| S_{\perp} \right| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right]$$

$$+ \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)}$$

$$+ \sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_S F_{UT}^{\sin \phi_h} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S)$$

$$+ \left| S_{\perp} \right| \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{UT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{UT}^{\cos(\phi_h - \phi_S)} \right]$$

$$+ \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{UT}^{\cos(\phi_h - \phi_S)}$$

Jefferson Lab

H. Avakian, JLab, Sep 10



Addressing PAC/theory comments

What exactly are identified so far sources of "factorization breakdown" in SIDIS and where is the evidence that "few GeV" matters? K(x)

1) Longitudinal photon

- For a given X&Q² the contribution from longitudinal photon increases at higher energies (ex. at EIC 5 times bigger at Q²~10, x~0.3 than at JLab)
- JLab studies of impact of longitudinal photons <u>critical</u> for interpretation of polarized SIDIS, including EIC data

$$\frac{d\sigma}{dx \, dy \, d\psi \, dz \, d\phi_h \, dP_{h\perp}^2} = , Q^2, y) [F_{UU,L} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1+\epsilon)} F_{UU}^{\cos} \dots]$$







Longitudinal Beam SSA in CFR/TFR





