Nucleon structure in the extreme valence region

11th workshop of the APS Topical Group on Hadronic Physics



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Overview

- Collinear valence structure of the nucleon
 - Test of our understanding of bound-state QCD
- Unpolarized structure function of the neutron
 - Present landscape
 - BONuS12 experiment at Jefferson Lab
- Spin structure at high *x*
 - Present landscape
 - Recent and planned experiments
- Future Facilities what more can we do?
 - JLab at 20+ GeV?
 - EIC
- Conclusions

Collinear Structure functions

- Important for understand origin of mass and spin of hadrons
- Important as limiting cases and constraints for TMDs, GPDs etc.
- Large x: Stringent tests of pQCD, Lattice QCD, DS approach, and phenomenological models
 - NN…LO + DGLAP *)
 - Input for novel and mature PDF extractions
 - Test of higher twist and target mass effects, resummation
 - Quark-hadron duality
- Important input for collider physics
- Input for investigations of modifications of quark distributions in nuclei

*) E.g.,
$$g_1^{p}(x, Q^2) = \frac{1}{2} \sum_{q} e_q^2 \Delta q_v(x, Q^2) \otimes \left(1 + \frac{\alpha_s(Q^2)}{2\pi} \Delta C_q^{(1)} + \left(\frac{\alpha_s(Q^2)}{2\pi}\right)^2 \Delta C_{ns}^{(2)}\right) + e_q^2 (\Delta q_s + \Delta \overline{q_s})(x, Q^2) \otimes \left(1 + \frac{\alpha_s(Q^2)}{2\pi} \Delta C_q^{(1)} + \left(\frac{\alpha_s(Q^2)}{2\pi}\right)^2 \Delta C_s^{(2)}\right) + \frac{2}{9} \left(\frac{\alpha_s(Q^2)}{2\pi} \Delta C_g^{(1)} + \left(\frac{\alpha_s(Q^2)}{2\pi}\right)^2 \Delta C_g^{(2)}\right) \otimes \Delta g(x, Q^2)$$



$$q(x;Q^2), \langle h \times H \rangle q(x;Q^2)$$

"1-D" Parton Distributions (PDFs) (integrated over all transverse variables)

MIRJALILI and TEHRANI PHYSICAL REVIEW D **105**, 074023 (2022)

Valence Region: Structure Functions for $x \rightarrow 1$

- Dominated by up and down valence quarks => quantum numbers of the nucleon
- Important for higher power xⁿ moments => Mellin Moments, LQCD
- Related to high-Q², moderate *x* through DGLAP => relevant for LHC Physics
- MANY predictions based on models, pQCD, DS equation and Lattice QCD *): SU(6)-symmetric proton wave function in the "naïve" quark model:

$$|p\uparrow\rangle = \frac{1}{\sqrt{18}} (3u\uparrow [ud]_{S=0} + u\uparrow [ud]_{S=1} - \sqrt{2}u\downarrow [ud]_{S=1} - \sqrt{2}d\uparrow [uu]_{S=1} - 2d\downarrow [uu]_{S=1})$$

In this model: d/u = 1/2, $\Delta u/u = 2/3$, $\Delta d/d = -1/3$ for all x

Hyperfine structure effect in QM: S=1 suppressed => d/u = 0, $\Delta u/u = 1$, $\Delta d/d = -1/3$ for $x \rightarrow 1$

pQCD: helicity conservation (q[↑]↑p) => d/u -> 2/(9+1) = 1/5, $\Delta u/u$ -> 1, $\Delta d/d$ -> 1 for $x \rightarrow 1$

Other approaches: Dyson-Schwinger Equation, statistical models, pQCD + orbital angular momentum, AdS (Light-front holographic QCD)

^{*)} Moments, quasi-PDFs, pseudo-PDFs

High-x PDFs: Input for Collider experiments Ex.: High-Precision Measurement of the W Boson Mass with the CDF II Detector Ashutosh Kotwal, Duke University Jefferson Lab Users Meeting June 14, 2022

Parton Distribution Functions

- Affect W boson kinematic line-shapes through acceptance cuts
- We use NNPDF3.1 as the default NNLO PDFs
- Use ensemble of 25 'uncertainty' PDFs => 3.9 MeV
- Central values from NNLO PDF sets CT18, MMHT2014 and NNPDF3.1 agree within 2.1 MeV of their midpoint
- As an additional check, central values from NLO PDF sets ABMP16, CJ15, MMHT2014 and NNPDF3.1 agree within 3 MeV of their midpoint



Science

Supplementary Materials for

High-precision measurement of the W boson mass with the CDF II detector 96. S. Tkach

CDF Collaboration

Corresponding author: A. V. Kotwal, ashutosh.kotwal@duke.edu

Science 376, 170 (2022) DOI: 10.1126/science.abk1781



CDF Collaboration et al., Science 376, 170-176 (2022)

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D. Watts, X. Wei, L. B. Weinstein, M. H. Wood, L. Zana, I. Zonta, Measurement of the structure function of the nearly free neutron using spectator tagging in inelastic ${}^{2}\text{H}(e,e'p_{s})X$ scattering with CLAS. *Phys. Rev. C* **89**, 045206 (2014).

Unpolarized PDFs



Unpolarized PDFs – high x



Issues affecting extraction of d/u

 $\delta f(x) = \sum a_{off}^{(n)} x^n$

Higher twist/target mass correction



Nuclear binding correction

O Polynomial model



M. Cerruti, A. Accardi et al.

Alekhin, Kulagin, Petti, PRD 96 (2017) Alekhin, Kulagin, Petti, PRD 105 (2022) Alekhin, Kulagin, Petti, PRD 107 (2023)



$$q_N(x, Q^2, p^2) = q_N^{\text{free}}(x, Q^2) \left[1 + \frac{p^2 - M^2}{M^2} \delta f(x) \right]$$

Constrain power of CJ dataset only up to x = 0.6

BONuS12: $p_{S} < 0.1 \text{ GeV/c} =>$

Multiplier < 0.027

JLab@12 GeV d/u- the full program



BONuS12 with CLAS12 (Run Group F in 2020)



BONuS12 Radial Time Projection Chamber



BONuS12 Radial Time Projection Chamber



BONuS12 Kinematics



Data vs. MC : D(e,e')X

- Improved RTPC implementation in GEMC.
- Generator: An extension version from previous Bonus experiment
- that accommodates the higher beam energy.

Inclusive e⁻ kinematics



Data vs. MC: $D(e,e'p_s)X$



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BONuS12 Near-Final Results



D. Biswas et al., arXive hep-ex 2409.15236

BONuS12 Near-Final Results



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SSFs: Recent theoretical predictions



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Existing Spin Structure Functions at high x





Parno et al., Phy Let B DOI: 10.1016/j.physletb.2015.03.067 X. Zheng et al., PRL 92, 012004 (2004); PRC 70, 065207 (2004)



Present Status on polarized PDFs NNDPFpol1.1+RHIC W data analysis



arXiv:1702.05077v1 [hep-ph] 16 Feb 2017

arXiv:1410.7290v2 [hep-ph] 23 Jan 2015

Present Status on polarized PDFs

 Newest JAM analysis including RHIC and COMPASS data





FIG. 6. Expectations values for spin-dependent Δu^+ , Δd^+ , Δs^+ , and Δg PDFs at $Q^2 = 10 \text{ GeV}^2$ fitted under various theory assumptions according to the SU(2) (yellow 1σ bands), SU(3) (blue 1σ bands) and SU(3)+positivity (red 1σ bands) scenarios, as well as with the SU(2) scenario but filtered to ensure A_{LL} positivity at large x (dashed lines).

arXiv:2202.03372v1 [hep-ph] 7 Feb 2022

arXiv:2201.02075v1 [hep-ph] 6 Jan 2022

A_{1n} in Jefferson Lab's Hall C

E12-06-110 in Hall C: 1/12/2020 – 3/13/2020; 10.4 GeV polarized electrons on polarized ³He

William Henry 2022 Jefferson Lab Users Organization Annual Meeting



RG-C with CLAS12

- □ Measure DIS inclusive spin structure functions (A₁, g₁) of the proton and deuteron. □ Include tagging with π , K SIDIS to extract flavor-separated Δq
- Measure spin- and transverse momentum-dependent (TMD) PDFs, back-to-back hadrons, forward dihadrons,... (SIDIS).
- Deeply Virtual Compton Scattering (DVCS) to access Generalized Parton Distributions (GPDs) - Measure target single and beam/target double spin asymmetries in proton and neutron DVCS.
- Scheduled from June 2022 through March 2023 (240 Calendar Days) collected data for about 2/3 of this
- 10.6 GeV, 10 nA polarized electrons on 3 g/cm² polarized $NH_3 / ND_3 (L = 10^{35})$
- Dynamic Nuclear Polarization at 1 K, 5 T with 140 GHz µwave on irradiated ammonia

Polarized target "APOLLO"



Longitudinally Polarized Target for CLAS12



UNIVERSITY®







More on "APolLo"









ND3 PbPt (Summer 2022)



Preliminary Data from CLAS12 RG-C - DIS

Proton

W > 2; Q² > 1



Preliminary Data from CLAS12 RG-C - DIS

Proton

W > 2; Q² > 1



Preliminary Data from CLAS12 RG-C - DIS

Proton

W > 2; Q² > 1



Future: JLab at 20+ GeV?



Alex Bogacz J-FUTURE Workshop Jefferson Lab / Messina University

22-24 GeV CEBAF FFA Energy Upgrade

- Halve distance to x = 1, higher Q²: Definite determination of asymptotic limit... *)
- ...AND to $x = 0 \Rightarrow$ Study "valence" sea quarks (pion cloud)
- Increase Q^2 range for all x -> DGLAP => Study "valence" gluon helicity
- Even for same x, Q^2 : higher energy -> higher rates -> better statistics
- (Super)Rosenbluth expand range in ε for fixed x, $Q^2 => R$, g_2 , A_2
- Extend flavor tagging with SIDIS to higher x, Q^2 :
- Issues: Still need to deal with nuclear uncertainties. •

^{*)} Higher Q²: Suppress higher twist, study logarithmic resummation







Expected reduction of present SSF uncertainties from EIC





- Missing piece to solve the proton spin puzzle: Low-x extrapolation, gluon contribution
- Include weak IA for flavor separation
- Extend spectator tagging to all nucleon momenta in the nuclear rest frame => Extrapolate to the free nucleon pole

SUMMARY: COMPLETING THE COLLINEAR PICTURE

Enormous Progress on understanding Collinear PDFs fueled by large new data sets and sophisticated phenomenology. Still, some questions remain:

- > d/u, $\Delta u/u$ and $\Delta d/d$ at highest x?
- Nuclear effects on nucleon structure
- > Understanding the sea Δs , \overline{u} \overline{d} , $\Delta \overline{u}$ $\Delta \overline{d}$ JLab, FNAL, RHIC, AMBER, LHC
- Axial and Tensor charges of the nucleon COMPASS, JLab
- Gluon helicity distribution at large x AND at small x?
 What is the integral \(\Delta\G\)?
 Total contribution of parton helicity to proton spin?
 JLab + DGLAP, RHIC, COMPASS
- What happens at really small x << 0.01?</p>



Conclusions

- Structure functions in the valence region remain of high theoretical interest and provide crucial input to precision collider experiments
- Jefferson Lab at 12 GeV is starting to have significant impact on our understanding of this region
- Jefferson Lab at 22 GeV can expand the coverage in x from 0.8 to 0.9 and more than double the range in Q², thereby minimizing the extrapolation to x -> 1.
- Jefferson Lab and EIC together cover the entire kinematic region necessary to complete the "spin puzzle".
- Essential ingredient: Extract neutron (polarized) structure functions from measurements on nuclei (d, ³He) => we must understand the EMC effect in detail.

Backup Slides

More on Nuclear Corrections



HAGUE, ARRINGTON, LI, AND SANTIESTEBAN

FIG. 1. The nuclear effects, $F_{24}/(ZF_{2p} + NF_{2n})$, from the KP model [11] for ²H (solid line), ³He (dashed), ³H (dotted), and the correction \mathcal{R}_{ch} (dash-dotted). The corrections to the h/t extraction are smaller than for the d/p case, and the larger effects and rapid x dependence occur at larger x values.



FIG. 3. $F_{2\alpha}/F_{2p}$ from this analysis, compared to the original extraction [9]. The error bars include statistical and point-to-point systematic uncertainties. The model uncertainty is the impact of the 1σ uncertainty on \mathcal{R}_{kr} and the normalization band shows the correlated shift associated with the 1.25% normalization uncertainty in $F_{2\alpha}/F_{2r}$.



FIG. 2. Selected calculations of \mathcal{R}_{de} from Ref. [7] alongside the KP model [10,11] that was used by the MARATHON experiment. Also shown is the average of all plotted models with a 1σ -rms band with a dash-dotted line. Models that include effects beyond smearing and off-shell effects are shown with dashed lines. The legend is ordered by descending values of the model evaluated at x = 0.6. The blue band shows the uncertainty from the KP model used in Ref. [9].



FIG. 4. Comparison of this work (circles) and F_{2n}/F_{2p} extractions from d/p measurements with (top band) and without (bottom band) the inclusion of off-shell effects [5].





- a) Marathon points have normalization uncertainty up 0.02.
- b) Last Marathon point gets significant contributions from smeared SF in the resonance and even elastic region, as well as much lower x
- c) In general, Marathon data are all Fermi-smeared (Contributions from $x^* = x/(1 \pm 0.15)$.)
- d) Nuclear Modifications are partially additive; no reason to assume that they affect p and n the same (isospin-dependence).

Predicted Data from CLAS12 - DIS

Proton

W > 2; Q² > 1



EMC effect

- Fundamental question: How does nuclear binding modify the high-x structure function of the nucleon?
- Relevant for the extraction of neutron structure functions from experimental data on d, ³He, ³H
- Many models: mean field, Short range correlations (SRC), Light-Front Holography (LFHQCD), ...

C. Cocuzza et al., PHYSICAL REVIEW LETTERS 127, 242001 (2021)



FIG. 3. Ratio of off-shell to on-shell PDFs $\delta q/q$ (left) and the difference between proton valence quarks in ³He and ³H normalized to the sum, Δ_3^q (right), for valence *u* (red bands) and *d* (blue bands) quarks, at $Q^2 = 10 \text{ GeV}^2$.



Polarized EMC effect

(Approved Experiment RG-G with CLAS12 at Jefferson Lab)

- A large number of experiments is studying modifications of bound nucleon structure function F₂ on a wide range of nuclei – data average over ALL nucleons!
- Unique test of EMC models: Measure modification of **polarized** structure function g_{1p} on a single valence nucleon!





 $\Delta \sigma$ Ratio \propto [N⁺-N⁻](⁷Li) / [N⁺-N⁻](p)

NNM = Shell model prediction (p 87% pol.) SNM = Standard Nuclear Model (convolution w/out change in medium; equiv. to SRC model) QMC = Mean Field (Quark-Meson Coupling) MSS (rescaling/modified sea scheme) S/AS = Shadowing/Antishadowing (Guzey/Strikman) CQS = Chiral Quark Soliton (Smith/Miller)



Inclusive lepton scattering

Parton model: DIS can access $F_1(x) = \frac{1}{2} \mathring{\partial} e_i^2 q_i(x)$ (and $F_2(x) \gg 2xF_1(x)$)

$$h = \pm 1$$

$$q(x;Q^2), \langle h \times H \rangle q(x;Q^2)$$

"1-D" Parton Distributions (PDFs) (integrated over many variables)

$$F_{1}(x) = \frac{1}{2} \bigoplus_{i}^{i} e_{i}^{2} \Box q_{i}(x) \text{ (and } F_{2}(x) \gg 2xF_{1}(x))$$

$$g_{1}(x) = \frac{1}{2} \sum_{i}^{i} e_{i}^{2} \Box q_{i}(x) \text{ (and } g_{2}(x) \approx -g_{1}(x) + \int_{x}^{i} \frac{g_{1}(y)}{y} dy$$

Callan-Gross

Wandzura-

Wilczek

At finite Q²: pQCD evolution ($q(x, Q^2), \Delta q(x, Q^2) \Rightarrow$ DGLAP equations), and gluon radiation

$$g_1(x,Q^2)_{pQCD} = \frac{1}{2} \sum_{q}^{N_f} e_q^2 \left[(\Delta q + \Delta q) \otimes (1 + \frac{\alpha_s(Q^2)}{2\pi} \partial C_q) + \frac{\alpha_s(Q^2)}{2\pi} \Delta G \otimes \frac{\partial C_G}{N_f} \right]$$

 \Rightarrow access to gluons. $\partial C_q, \partial C_G - Wilson$ coefficient functions

SIDIS: Tag the flavor of the struck quark with the leading FS hadron \Rightarrow separate $q_i(x, Q^2)$, $\Delta q_i(x, Q^2)$

Fixed target kinematics: $Q^2 \gg M^2 \Rightarrow$ target mass effects, higher twist contributions and resonance excitations

- Non-zero $R = \frac{F_2}{2xF_1} \overset{\text{@}}{\leftarrow} \frac{4M^2x^2}{Q^2} + 1 \overset{\text{"o}}{\leftarrow} -1, \ g_2^{HT}(x) = g_2(x) g_2^{WW}(x)$
- Further Q²-dependence (power series in $\frac{1}{Q^n}$)
- Ultra-low Q²: χPT, EFT,...

Duality in Spin Structure Functions



Projected JLab@12 GeV d/u Extractions



PDFs from SEMI-inclusive RG-C data



Only K⁻ production on D is uniquely sensitive to Δs (Δu and Δd largely cancel)





Kinematic Reach with CLAS12 Credit: H. Avakian

