

# Aspects of the Chiral and Partonic Structure of the Nucleon

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The 11th workshop of the APS Topical Group on  
Hadronic Physics

# Acknowledgments

- PhD advisor and postdoc advisors

Dieter Drechsel	Hans Pirner
Hans-Günter Dosch	Pierre Guichon
Jean-Marc Laget	Piet Mulders
Klaus Goeke	

- Senior colleagues from our community

- Postdocs

Jian Zhou	Koichi Kanazawa
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- PhD students at University of Bochum (de facto (co)supervisor)

Marc Schlegel	Simone Arnold (née Menzel)
Stephan Meißner	Tobias Teckentrup

- PhD students at Temple University

Daniel Pitonyak	John Koempel
Shohini Bhattacharya	Christopher Cocuzza
Ignacio Castelli	Yorgo Sawaya

- Funding agencies

National Science Foundation	Department of Energy
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- Widening the horizon

**SOLI DEO GLORIA**

# Outline

- **Generalized Polarizabilities of the Nucleon**

AM, Drechsel, ZPA356 (1996) 351; ZPA 359 (1997) 165

Drechsel, Knöchlein, Korchin, AM, Scherer, PRC55 (1997) 424; PRC57 (1998) 941; PRC58 (1998) 1751

- **Universality of TMD Fragmentation Functions**

AM, PLB549 (2002) 139 / Collins, AM, PRL93 (2004) 252001

- **Transverse Single-Spin Asymmetries**

AM, Pitonyak, PLB723 (2013) 365 / Kanazawa, Koike, AM, Pitonyak, PRD89 (2014) 111501(R)

- **Generalized TMDs and Wigner Functions**

Meißner, AM, Schlegel, Goeke, JHEP 0808 (2008) 038 / Meißner, AM, Schlegel, JHEP 0908 (2009) 056

- **Decomposition of the Proton Mass**

Rodini, AM, Pasquini, JHEP 09 (2020) 067 / AM, Pasquini, Rodini, PRD102 (2020) 114042

Lorcé, AM, Pasquini, Rodini, JHEP 11 (2021) 121

- **Transversity Distributions and Tensor Charges of the Proton** (→ talks by Pitonyak, Cocuzza)

Pitonyak, Cocuzza, AM, Prokudin, Sato, PRL132 (2024) 011902; arXiv:2502.15817

Cocuzza, AM, Pitonyak, Prokudin, Sato, Seidl, PRL132 (2024) 091901 ; PRD109 (2024) 034024

# Generalized Polarizabilities of the Nucleon

## 1. Introduction

- Electric ( $\bar{\alpha}$ ) and magnetic ( $\bar{\beta}$ ) polarizabilities in classical EM

$$\vec{D}_{\text{ind}} = \bar{\alpha} \vec{E}_{\text{ext}} \quad \vec{M}_{\text{ind}} = \bar{\beta} \vec{B}_{\text{ext}}$$

- Calculation in quantum mechanics → excitation spectrum matters

$$\bar{\alpha} = 2 \sum_{n \neq 0} \frac{|\langle n | \hat{D}_z | 0 \rangle|^2}{E_n - E_0}$$

- Polarizabilities of the proton in real Compton scattering,  $\gamma p \rightarrow \gamma p$

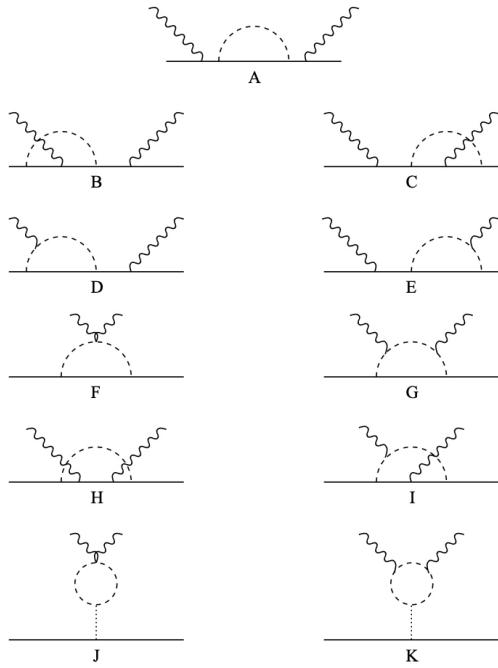
$$T^{\text{RCS}} = T_B^{\text{RCS}}(e, M, \kappa) + T_{NB}^{\text{RCS}}$$

$$T_{NB}^{\text{RCS}} = \alpha \omega \omega' \vec{\varepsilon} \cdot \vec{\varepsilon}'^* + \beta (\vec{\varepsilon} \times \vec{q}) \cdot (\vec{\varepsilon}'^* \times \vec{q}') + \mathcal{O}(\omega^3)$$

- PDG values → proton is stiff

$$\alpha_p = (11.2 \pm 0.4) \times 10^{-4} \text{ fm}^3 \quad \beta_p = (2.5 \pm 0.4) \times 10^{-4} \text{ fm}^3$$

## 2. Polarizabilities in the Linear Sigma Model (LSM)



- non-resonant  $\pi N$  excited states important (described by chiral dynamics)
- LSM incorporates chiral symmetry (like ChPT)
- consider LSM for  $m_\sigma \rightarrow \infty$
- results depend on  $\mu = m_\pi/M$

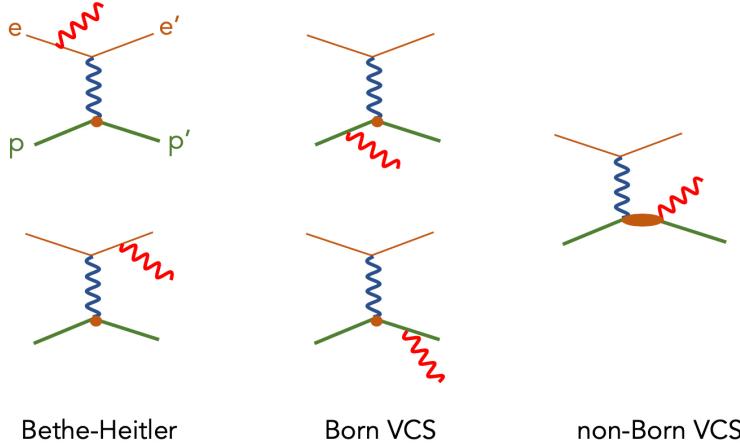
- Results

$$\alpha_p^{\text{LSM}} = \frac{e^2 g_{\pi N}^2}{192\pi^3 M^3} \left[ \frac{5\pi}{2\mu} + 18 \ln \mu + \frac{33}{2} + \mathcal{O}(\mu) \right] = 12 \times 10^{-4} \text{ fm}^3 + \dots$$

$$\beta_p^{\text{LSM}} = \frac{e^2 g_{\pi N}^2}{192\pi^3 M^3} \left[ \frac{\pi}{4\mu} + 18 \ln \mu + \frac{63}{2} + \mathcal{O}(\mu) \right] = 1.2 \times 10^{-4} \text{ fm}^3 + \dots$$

- result first obtained in “relativistic ChPT” (Bernard, Kaiser, Meißner, 1991)
- leading term matches result of HBChPT at  $\mathcal{O}(p^3)$

### 3. Generalized Polarizabilities (GPs) in Virtual Compton Scattering (VCS)



- separation on  $T^{\text{VCS}}$  into  $T_B^{\text{VCS}}$  and  $T_{NB}^{\text{VCS}}$
- parametrization of  $T_{NB}^{\text{VCS}}$  in terms of 10 GPs (Guichon, Liu, Thomas, 1995)
- generalizing  $\alpha$  and  $\beta$

$$\alpha(\bar{q}) = -\frac{e^2}{4\pi} \sqrt{\frac{3}{2}} P^{(01,01)0}(\bar{q})$$

$$\beta(\bar{q}) = -\frac{e^2}{4\pi} \sqrt{\frac{3}{8}} P^{(11,11)0}(\bar{q})$$

- 4 relations between 10 GPs → only 6 independent GPs
  - examples

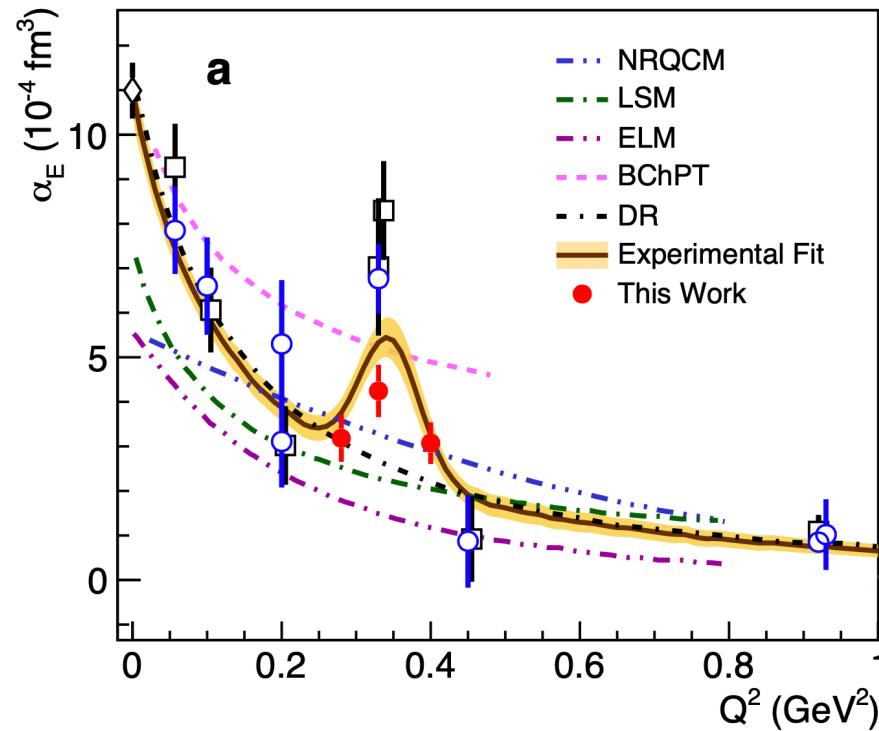
$$0 = \sqrt{\frac{3}{2}} P^{(01,01)0}(\bar{q}) + \sqrt{\frac{3}{8}} P^{(11,11)0}(\bar{q}) + \frac{3\bar{q}^2}{2\omega_0} \hat{P}^{(01,1)0}(\bar{q})$$

$$0 = P^{(11,11)1}(\bar{q}) + \sqrt{\frac{3}{2}} \omega_0 P^{(11,02)1}(\bar{q}) + \frac{5}{2} \bar{q}^2 \hat{P}^{(11,2)1}(\bar{q})$$

- relations obtained in LSM and model-independent way

#### 4. (Generalized) Polarizabilities is Active Field of Research

- Example: unexpected behavior of  $\alpha(\bar{q})$  (Li et al, 2022)



# Universality of TMD Fragmentation Functions

## 1. Overview of TMD Quark Distributions $F(x, k_\perp)$

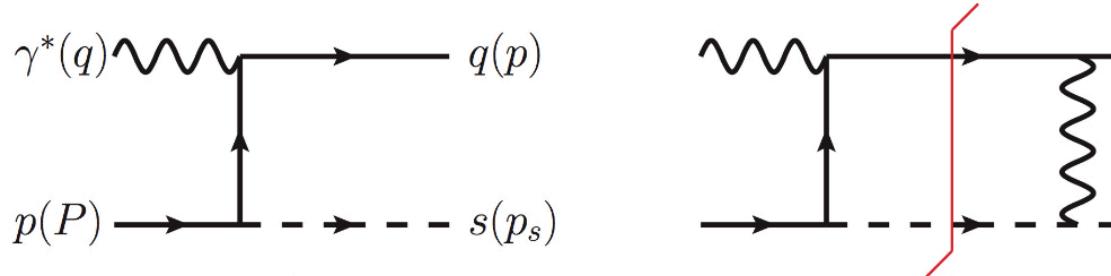
		Quark Polarization		
		U	L	T
Nucleon Polarization	U	$f_1 = \text{circle with dot}$		$h_1^\perp = \text{circle with dot} - \text{circle with dot}$
	L		$g_1 = \text{two circles connected by dashed line with arrows}$	$h_{1L}^\perp = \text{two circles connected by dashed line with arrows}$
	T	$f_{1T}^\perp = \text{two circles with arrows pointing up and down}$	$g_{1T}^\perp = \text{two circles with arrows pointing up and down}$	$h_1 = \text{circle with dot} - \text{circle with dot}$ $h_{1T}^\perp = \text{two circles with arrows pointing up and down}$

- Sivers function  $f_{1T}^\perp$  and Boer-Mulders function  $h_1^\perp$  can give rise to transverse single-spin asymmetries (Sivers, 1989, 1990 / Boer, Mulders, 1997)
- $f_{1T}^\perp$  and  $h_1^\perp$  were believed to vanish (applying parity and time-reversal) (Collins, 1992)

## 2. The BHS Transverse Single-Spin Asymmetry (SSA)

(Brodsky, Hwang, Schmidt, 2002)

- Consider semi-inclusive DIS (SIDIS) in diquark spectator model



- Focus on transverse SSA

$$A_T^y = \frac{e_1^2}{8\pi} \frac{2(Mx + m_q)p_\perp^x}{(Mx + m_q)^2 + p_\perp^2} \frac{p_\perp^2 + \widetilde{M}^2}{p_\perp^2} \ln \frac{p_\perp^2 + \widetilde{M}^2}{\widetilde{M}^2} \neq 0$$

$$\widetilde{M}^2 = x(1-x) \left( -M^2 + \frac{m_q^2}{x} + \frac{m_s^2}{1-x} \right)$$

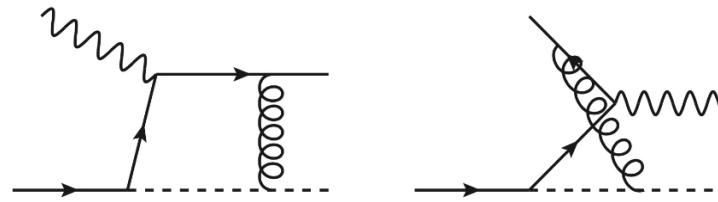
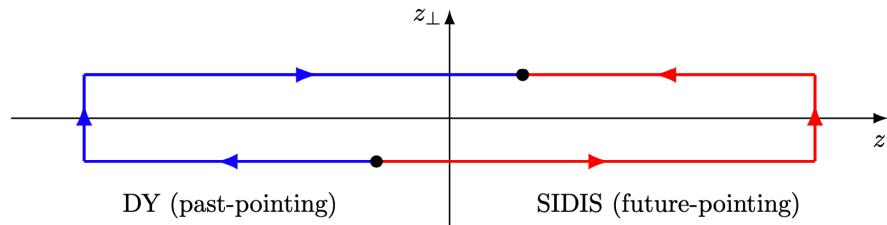
- nonzero result, not suppressed in Bjorken limit
- SSA arises from interference of tree-level diagram and imaginary part of one-loop diagram
- does this effect break factorization ?

### 3. Analysis/Interpretation by Collins and some of the Aftermath (Collins, 2002)

- Factorization in terms of nonzero Sivers function  $f_{1T}^\perp$
- Gauge link in TMD operator definition is crucial (generated by gluon exchange)

$$\int \frac{dz^- d^2 \vec{z}_\perp}{2 (2\pi)^3} e^{ik \cdot z} \langle P, S | \bar{\psi}^q(-\frac{z}{2}) \Gamma \mathcal{W}_{\text{TMD}}[-\frac{z}{2}, \frac{z}{2}] \psi^q(\frac{z}{2}) | P, S \rangle \Big|_{z^+ = 0}$$

- Non-trivial universality: SIDIS vs Drell-Yan



$$f_{1T}^\perp|_{\text{DY}} = - f_{1T}^\perp|_{\text{SIDIS}} \quad h_1^\perp|_{\text{DY}} = - h_1^\perp|_{\text{SIDIS}}$$

- Developments gave tremendous boost to studies of transverse SSAs and TMD field
- Sign change of  $f_{1T}^\perp$  confirmed experimentally (STAR, 2015 / COMPASS, 2019 / ...)

#### 4. Are TMD Fragmentation Functions (FFs) Universal ?

- Compare fragmentation in electron-positron annihilation and SIDIS
  - a priori, future-pointing Wilson line vs past-pointing Wilson line
  - unlike for TMD-PDFs, parity and time-reversal cannot be used to relate two cases
- Spectator model calculation (BHS for fragmentation)



- important cancellation for electron-positron annihilation

$$A_T^{q\bar{q}}|_{e^+e^-} = - A_T^{\bar{q}s}|_{e^+e^-}$$

- contribution from third cut agrees with SIDIS result → universality of TMD-FFs

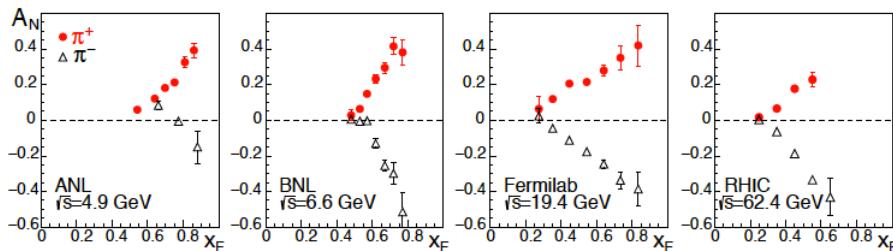
$$A_T^{qg}|_{\text{SIDIS}} = + A_T^{qg}|_{e^+e^-} \rightarrow D_{1T}^\perp|_{\text{SIDIS}} = D_{1T}^\perp|_{e^+e^-} \quad H_1^\perp|_{\text{SIDIS}} = H_1^\perp|_{e^+e^-}$$

- Universality of TMD-FFs (direction of Wilson line does not matter) (i) due to kinematics, (ii) holds beyond one-loop model calculations, (iii) heavily used

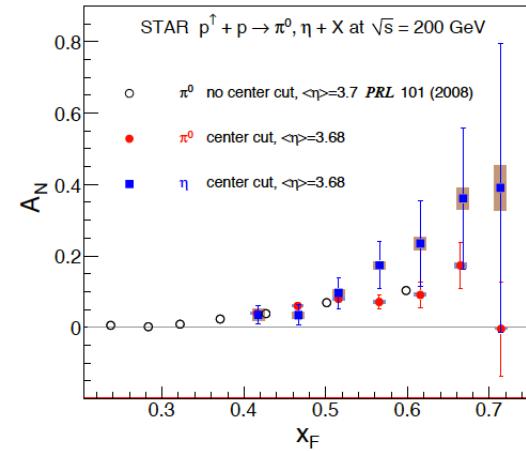
# Transverse Single-Spin Asymmetries

## 1. Sample Data for Single-Spin Asymmetries (SSAs) in $p^\uparrow p \rightarrow \pi X$

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$



(from Aidala, Bass, Hasch, Mallot, 2012)



STAR, 2012  $\sqrt{s} = 200$  GeV

- General features
  - very striking effects at large  $x_F$
  - $A_N$  survives at large  $\sqrt{s}$
  - $A_N$  is twist-3 observable, cannot be explained in collinear parton model  
(Kane, Pumplin, Repko, 1978 / Efremov, Teryaev, 1983)
  - data on transverse SSAs represented 40-year old puzzle

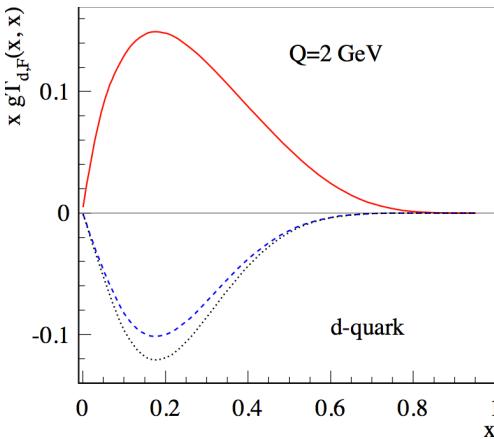
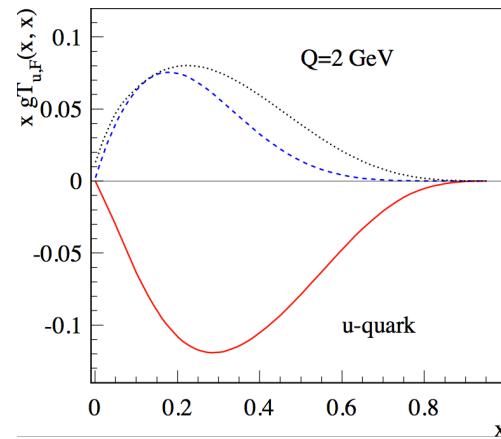
## 2. Transverse Spin Puzzle

- Collinear twist-3 factorization for  $p^\uparrow p \rightarrow \pi X$  in full glory

(Efremov, Teryaev, 1983, 1984 / Qiu, Sterman, 1991, 1998 / Koike et al, 2000, ... / ...)

$$\begin{aligned}
 d\sigma^\uparrow &= H \otimes f_{a/A(3)} \otimes f_{b/B(2)} \otimes D_{C/c(2)} \rightarrow \text{Sivers-type} \\
 &+ H' \otimes f_{a/A(2)} \otimes f_{b/B(3)} \otimes D_{C/c(2)} \rightarrow \text{Boer-Mulders-type} \\
 &+ H'' \otimes f_{a/A(2)} \otimes f_{b/B(2)} \otimes D_{C/c(3)} \rightarrow \text{"Collins-type"}
 \end{aligned}$$

- Focus on Sivers-type contribution (Kang, Qiu, Vogelsang, Yuan, 2011)
  - relation between pp and SIDIS due to  $T_F \leftrightarrow f_{1T}^\perp$
  - sign mismatch



- \* which of the signs for  $T_F$  is correct ?
- \* Boer-Mulders type contribution small (Koike, Kanazawa, 2000)
- \* large  $A_N$  in  $p^\uparrow p \rightarrow H X$  caused by the "Collins-type" contribution ?

### 3. Twist-3 Fragmentation Contribution: Analytical Result

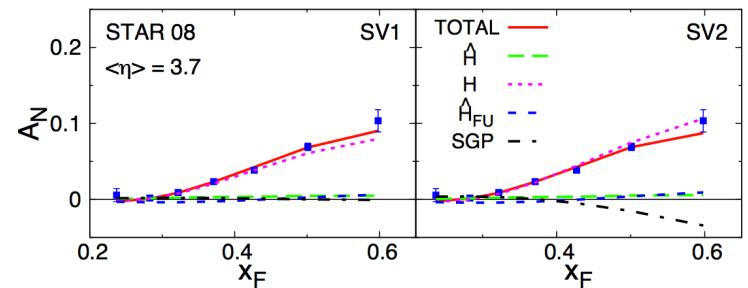
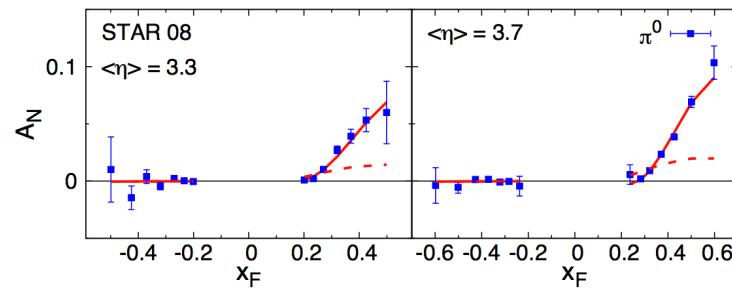
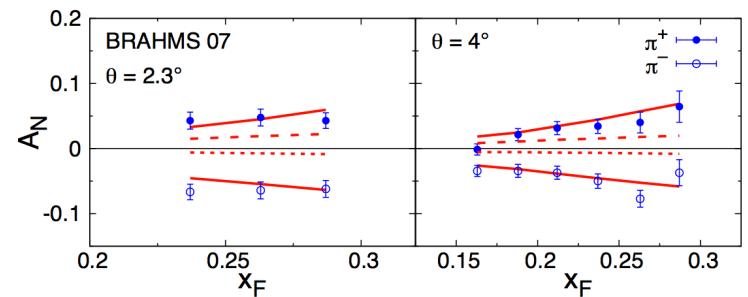
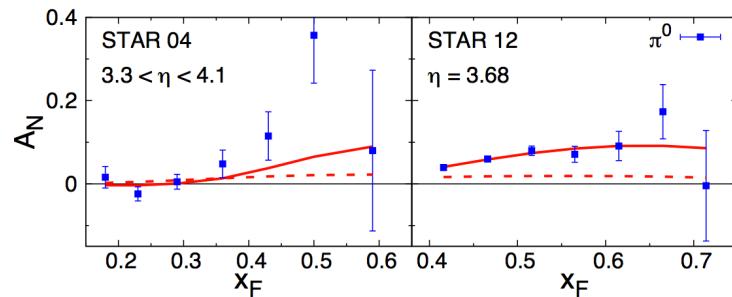
- General structure of result

$$\begin{aligned}
 \frac{P_h^0 d\sigma(\vec{S}_\perp)}{d^3 \vec{P}_h} = & -\frac{2\alpha_s^2 M_h}{S} \epsilon_{\perp,\alpha\beta} S_\perp^\alpha P_{h\perp}^\beta \\
 & \times \sum_i \sum_{a,b,c} \int_{z_{min}}^1 \frac{dz}{z^3} \int_{x'_{min}}^1 \frac{dx'}{x'} \frac{1}{x} \frac{1}{x'S + T/z} \frac{1}{-x'\hat{t} - x\hat{u}} h_1^a(x) f_1^b(x') \\
 & \times \left\{ \left[ \hat{H}^c(z) - z \frac{d\hat{H}^c(z)}{dz} \right] S_{\hat{H}}^i + \frac{1}{z} H^c(z) S_H^i \right. \\
 & \left. + 2z^2 \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{c,\Im}(z, z_1) \frac{1}{\xi} S_{\hat{H}_{FU}}^i \right\}
 \end{aligned}$$

- $\hat{H}$  related to Collins function  $H_1^\perp$
- $\hat{H}$ ,  $H$ ,  $\hat{H}_{FU}^{\Im}$  related, but in twist-3 approach dynamics of fragmentation contribution to  $A_N$  goes beyond Collins effect
- many Feynman diagrams
- derivative term for  $\hat{H}$  was computed first (Kang, Yuan, Zhou, 2010)  
→ does not necessarily dominate

#### 4. Numerical Description of Transverse SSAs

- Input for transversity  $h_1$ , Collins function  $H_1^\perp(\hat{H})$ , and Sivers function  $f_{1T}^\perp$  from  $A_{\text{SIDIS}}^{\text{Siv}}$ ,  $A_{\text{SIDIS}}^{\text{Col}}$ ,  $A_{e^+e^-}^{\cos(2\phi)}$  (Anselmino et al, 2008, 2013)
- Sample results

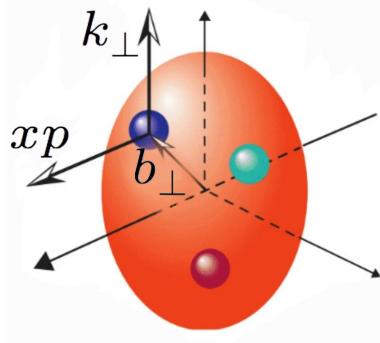


- Main outcome: simultaneous description of  $A_N$ , and  $A_{\text{SIDIS}}^{\text{Siv}}$ ,  $A_{\text{SIDIS}}^{\text{Col}}$ ,  $A_{e^+e^-}^{\cos(2\phi)}$
- More recent (numerical) results available  
(Cammarota, Gamberg, Kang, Miller, Pitonyak, Prokudin, Rogers, Sato, 2020 / ...)

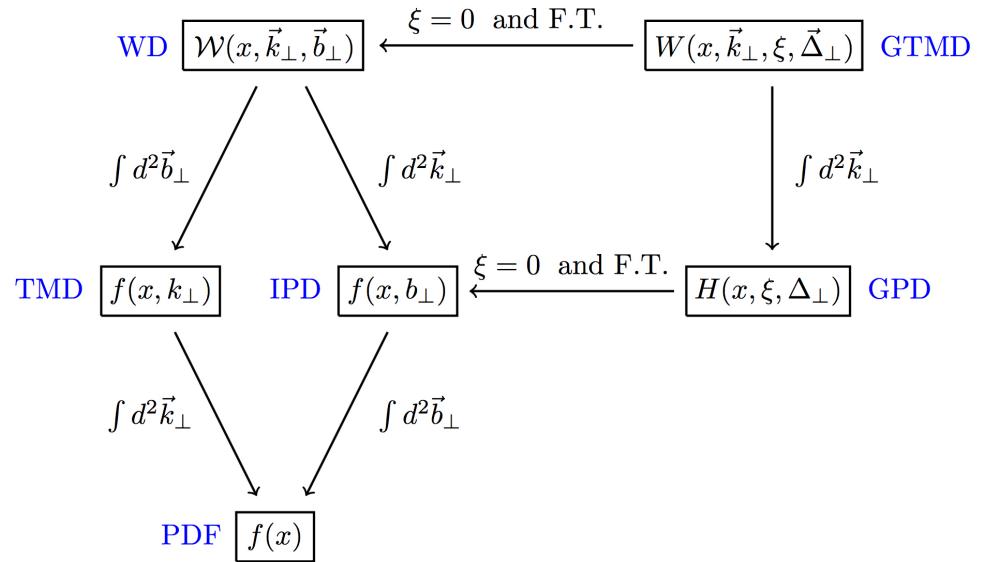
# Generalized TMDs and Wigner Functions

## 1. Big Picture

Information about  $x$ ,  $\vec{k}_\perp$ ,  $\vec{b}_\perp$



Overview of partonic functions



- Some features of generalized TMDs (GTMDs)
  - like TMDs, they depend on  $\vec{k}_\perp$
  - like GPDs, they depend on  $\xi$ ,  $\vec{\Delta}_\perp$
  - Fourier transform gives Wigner functions (5D quasi-probability distributions)
  - GTMDs/Wigner functions describe most general one-parton structure of hadrons

## 2. Wigner functions in non-relativistic QM (Wigner, 1932)

- calculable from wave function (1D)

$$\begin{aligned}\mathcal{W}(x, k) &= \int \frac{dx'}{2\pi} e^{-ikx'} \psi^* \left( x - \frac{x'}{2} \right) \psi \left( x + \frac{x'}{2} \right) \\ &= \int \frac{dk'}{2\pi} e^{+ik'x} \tilde{\psi}^* \left( k - \frac{k'}{2} \right) \tilde{\psi} \left( k + \frac{k'}{2} \right)\end{aligned}$$

- relation to densities and observables

$$\begin{aligned}|\psi(x)|^2 &= \int dk \mathcal{W}(x, k) & |\tilde{\psi}(k)|^2 &= \int dx \mathcal{W}(x, k) \\ \langle O(x, k) \rangle &= \int dx dk O(x, k) \mathcal{W}(x, k)\end{aligned}$$

## 3. Partonic Wigner functions $\mathcal{W}(x, \vec{k}_\perp, \vec{b}_\perp)$

- first highlighted about two decades ago (Belitsky, Ji, Yuan, 2003)
- significant progress in the field since
- partonic Wigner functions open up unique opportunities (e.g., spin-orbit correlations)

#### 4. Orbital Angular Momentum of Partons

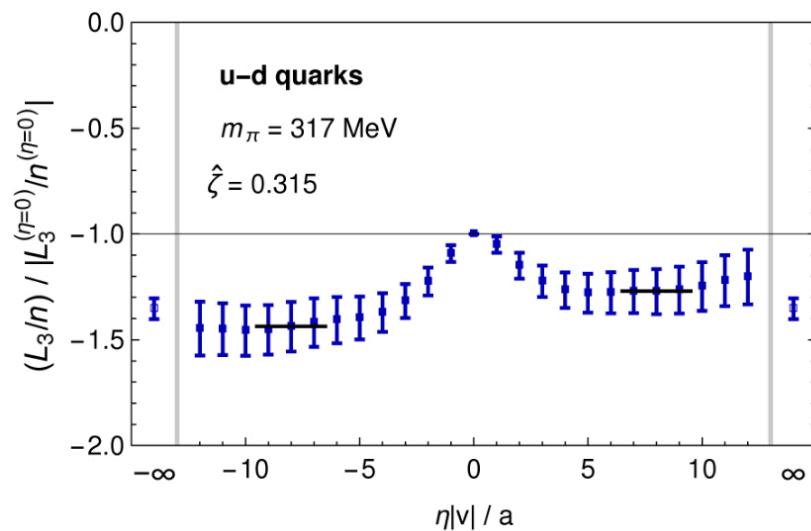
- Jaffe-Manohar spin sum rule (Jaffe, Manohar, 1989)

$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + L_{\text{JM}}^q + L_{\text{JM}}^g$$

- parton OAM in longitudinally polarized nucleon (Lorcé, Pasquini, 2011 / Hatta, 2011)

$$L_z^{q/g} = \int dx d^2\vec{k}_\perp d^2\vec{b}_\perp (\vec{b}_\perp \times \vec{k}_\perp)_z \mathcal{W}_L^{q/g \text{ [unp]}}(x, \vec{k}_\perp, \vec{b}_\perp)$$

- exploratory calculation of  $L_{\text{JM}}^{u-d}$  in lattice QCD (Engelhardt et al, 2017, 2019)



- figure shows  $L_{\text{JM}}^{u-d} / |L_{\text{JM}}^{u-d}|$  (for large  $\eta|v|/a$ )
- considerable numerical difference btw  $L_{\text{JM}}^{u-d}$  and  $L_{\text{Ji}}^{u-d}$

- developments in this area are milestone in spin physics

## 5. Parameterization of GTMD Correlator

- Correlator definition

$$W^{q[\Gamma]} = \int \frac{dz^- d^2\vec{z}_\perp}{2(2\pi)^3} e^{ik\cdot z} \langle p' | \bar{\psi}^q(-\frac{z}{2}) \Gamma \mathcal{W}_{\text{TMD}}[-\frac{z}{2}, \frac{z}{2}] \psi^q(\frac{z}{2}) | p \rangle \Big|_{z^+=0}$$

- Examples

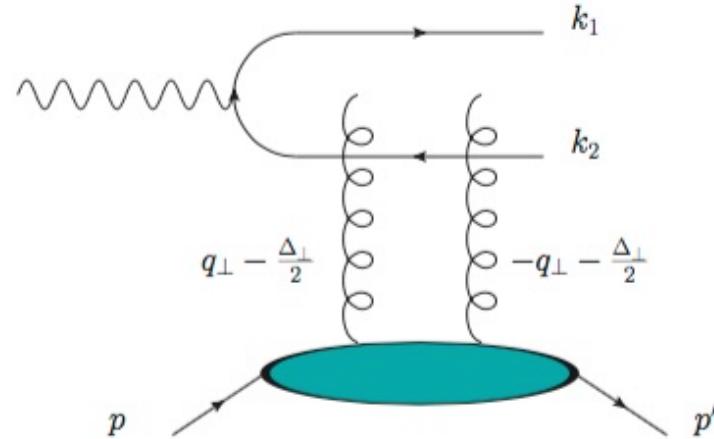
$$W^{[\gamma^+]} = \frac{1}{2M} \bar{u}(p') \left[ F_{1,1} + \frac{i\sigma^{i+} k_\perp^i}{P^+} F_{1,2} + \frac{i\sigma^{i+} \Delta_\perp^i}{P^+} F_{1,3} + \frac{i\sigma^{ij} k_\perp^i \Delta_\perp^j}{M^2} F_{1,4} \right] u(p)$$

$$\begin{aligned} W^{[\gamma^+ \gamma_5]} = \frac{1}{2M} \bar{u}(p') & \left[ -\frac{i\varepsilon_\perp^{ij} k_\perp^i \Delta_\perp^j}{M^2} G_{1,1} + \frac{i\sigma^{i+} \gamma_5 k_\perp^i}{P^+} G_{1,2} + \frac{i\sigma^{i+} \gamma_5 \Delta_\perp^i}{P^+} G_{1,3} \right. \\ & \left. + i\sigma^{+-} \gamma_5 G_{1,4} \right] u(p) \end{aligned}$$

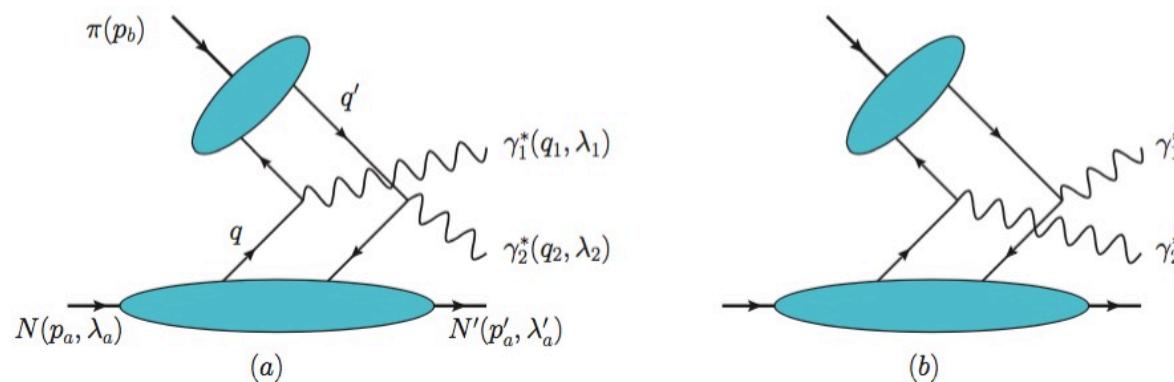
- Main challenge: include all possible structures and avoid redundant structures
- 16 leading-twist GTMDs for quarks
- 16 leading-twist GTMDs for gluons (Lorcé, Pasquini, 2013)
- GTMDs have real and imaginary part

## 6. Observables for GTMDs

- Gluon GTMDs: Diffractive exclusive dijet production in  $\ell N / \ell A$   
(Hatta, Xiao, Yuan, 2016 / Altinoluk, Armesto, Beuf, Rezaeian, 2015 / ...)



- Quark GTMDs: Exclusive double Drell-Yan process:  $\pi N \rightarrow (\ell_1^- \ell_1^+) (\ell_2^- \ell_2^+) N'$



- Various more recent works on observables for GTMDs

# Decomposition of the Proton Mass

## 1. Energy Momentum Tensor and Hadron Mass

- Interpretation of EMT

$$T^{\mu\nu} = \begin{bmatrix} \text{Energy density} & \text{Momentum density} \\ T^{00} & T^{01} \quad T^{02} \quad T^{03} \\ T^{10} & T^{11} \quad T^{12} \quad T^{13} \\ T^{20} & T^{21} \quad T^{22} \quad T^{23} \\ T^{30} & T^{31} \quad T^{32} \quad T^{33} \end{bmatrix}$$

(courtesy, C. Lorcé)

The diagram illustrates the decomposition of the Energy-Momentum Tensor  $T^{\mu\nu}$  into various components. The tensor is represented as a 4x4 matrix:

$T^{00}$	$T^{01}$	$T^{02}$	$T^{03}$
$T^{10}$	$T^{11}$	$T^{12}$	$T^{13}$
$T^{20}$	$T^{21}$	$T^{22}$	$T^{23}$
$T^{30}$	$T^{31}$	$T^{32}$	$T^{33}$

Annotations explain the components:

- Energy density:**  $T^{00}$  (red box).
- Momentum density:**  $T^{01}, T^{02}, T^{03}$  (yellow box).
- Energy flux:**  $T^{10}, T^{20}, T^{30}$  (yellow box).
- Momentum flux:**  $T^{11}, T^{12}, T^{13}, T^{21}, T^{22}, T^{23}, T^{31}, T^{32}$  (blue box).
- Shear stress:**  $T^{12}, T^{13}, T^{21}, T^{23}$  (green shaded area).
- Normal stress (pressure):**  $T^{11}, T^{22}, T^{33}$  (blue shaded area).

- Symmetric (gauge invariant) EMT in QCD

$$T^{\mu\nu} = T_q^{\mu\nu} + T_g^{\mu\nu}$$

$$T_q^{\mu\nu} = \frac{i}{4} \bar{\psi} \gamma^{\{\mu} \overleftrightarrow{D}^{\nu\}} \psi \quad \left( \gamma^{\{\mu} \overleftrightarrow{D}^{\nu\}} = \gamma^\mu \overleftrightarrow{D}^\nu + \gamma^\nu \overleftrightarrow{D}^\mu \right)$$

$$T_g^{\mu\nu} = -F^{\mu\alpha} F_\alpha^\nu + \frac{g^{\mu\nu}}{4} F^2$$

- total EMT not renormalized, but  $T_i^{\mu\nu}$  require renormalization

- Trace (anomaly) of EMT in QCD

(Collins, Duncan, Joglekar, 1977 / Nielsen, 1977 / ...)

$$T_{\mu}^{\mu} = \underbrace{(m\bar{\psi}\psi)_R}_{\text{classical trace}} + \underbrace{\gamma_m(m\bar{\psi}\psi)_R + \frac{\beta}{2g}(F^2)_R}_{\text{trace anomaly}}$$

- $T_{\mu}^{\mu}$ , classical trace (quark mass term), and trace anomaly are UV-finite

- Quark and gluon contribution to EMT trace (Hatta, Rajan, Tanaka, 2018 / Tanaka 2018)

$$T_{\mu}^{\mu} = (T_{q,R})^{\mu}_{\mu} + (T_{g,R})^{\mu}_{\mu}$$

$$(T_{q,R})^{\mu}_{\mu} = (1 + y)(m\bar{\psi}\psi)_R + x(F^2)_R$$

$$(T_{g,R})^{\mu}_{\mu} = (\gamma_m - y)(m\bar{\psi}\psi)_R + \left(\frac{\beta}{2g} - x\right)(F^2)_R$$

$x$  and  $y$  related to finite parts of renormalization constants → scheme dependence

- Different scheme choices

- MS scheme /  $\overline{\text{MS}}$  scheme (Hatta, Rajan, Tanaka, 2018 / Tanaka 2018)
- D1 scheme:  $x = 0, y = \gamma_m$
- D2 scheme:  $x = y = 0$

D-type schemes look natural

- Forward matrix element of total EMT (for spin-0 and spin- $\frac{1}{2}$ )

$$\langle T^{\mu\nu} \rangle \equiv \langle P | T^{\mu\nu} | P \rangle = 2P^\mu P^\nu$$

- Relation to proton mass ( $n = \frac{1}{2M}$ , depends on normalization of state)

$$M = n \langle T_{\mu}^{\mu} \rangle = n \langle T^{00} \rangle|_{P=0} = \left. \frac{\langle H_{\text{QCD}} \rangle}{\langle P | P \rangle} \right|_{P=0} \quad \left( \int d^3 \vec{x} T^{00} = H_{\text{QCD}} \right)$$

- Forward matrix element of  $T_{i,R}^{\mu\nu}$  (Ji, 1996)

$$\langle T_{i,R}^{\mu\nu} \rangle = 2P^\mu P^\nu A_i(0) + 2M^2 g^{\mu\nu} \bar{C}_i(0)$$

- $A_i(0)$ ,  $\bar{C}_i(0)$  are gravitational FFs at  $t = 0$
- conservation of (full) EMT implies

$$A_q(0) + A_g(0) = 1 \quad \bar{C}_q(0) + \bar{C}_g(0) = 0$$

- in forward limit, matrix elements of EMT fully determined by two numbers only

## 2. Different Decompositions of the Proton Mass

- 2-term decomposition of  $T_{\mu}^{\mu}$  into quark and gluon contributions  
(Hatta, Rajan, Tanaka, JHEP 12 (2018) 008 / Tanaka, JHEP 01 (2019) 120)

$$M = n \left( \langle (T_{q,R})^{\mu}_{\mu} \rangle + \langle (T_{g,R})^{\mu}_{\mu} \rangle \right)$$

recall operators

$$(T_{q,R})^{\mu}_{\mu} = (1 + \textcolor{red}{y})(m\bar{\psi}\psi)_R + \textcolor{red}{x}(F^2)_R$$

$$(T_{g,R})^{\mu}_{\mu} = (\gamma_m - \textcolor{red}{y})(m\bar{\psi}\psi)_R + \left(\frac{\beta}{2g} - \textcolor{red}{x}\right)(F^2)_R$$

- 2-term decomposition of  $T^{00}$  into total quark and gluon contributions  
(Lorcé, EPJC 78, 120 (2018))

$$M = n \left( \langle T_{q,R}^{00} \rangle + \langle T_{g,R}^{00} \rangle \right)$$

- 3-term decomposition of  $T^{00}$

$$M = n \left( \langle \mathcal{H}_q \rangle + \langle \mathcal{H}_m \rangle + \langle \mathcal{H}_g \rangle \right)$$

$$\mathcal{H}_q = (\psi^\dagger i\mathbf{D} \cdot \boldsymbol{\alpha} \psi)_R \quad \text{quark kinetic plus potential energy}$$

$$\mathcal{H}_m = (m\bar{\psi}\psi)_R \quad \text{quark mass term}$$

$$\mathcal{H}_g = \frac{1}{2}(E^2 + B^2)_R \quad \text{gluon energy}$$

- 4-term decomposition of  $T^{00}$  (Ji, PRL 74, 1071 (1995) and PRD 52, 271 (1995))

$$M = n \left( \langle \mathcal{H}_{q[\text{Ji}]} \rangle + \langle \mathcal{H}_m \rangle + \langle \mathcal{H}_{g[\text{Ji}]} \rangle + \langle \mathcal{H}_a \rangle \right)$$

$$\mathcal{H}_{q[\text{Ji}]} = (\psi^\dagger i\mathbf{D} \cdot \boldsymbol{\alpha} \psi)_{R[\text{Ji}]} \quad \text{(quark kinetic plus potential energy)}_{[\text{Ji}]}$$

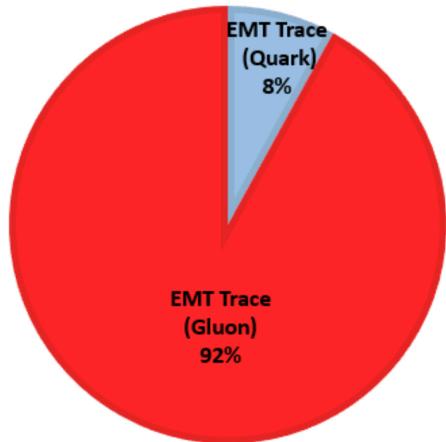
$$\mathcal{H}_m = (m\bar{\psi}\psi)_R \quad \text{quark mass term}$$

$$\mathcal{H}_{g[\text{Ji}]} = \frac{1}{2}(E^2 + B^2)_{R[\text{Ji}]} \quad \text{(gluon energy)}_{[\text{Ji}]}$$

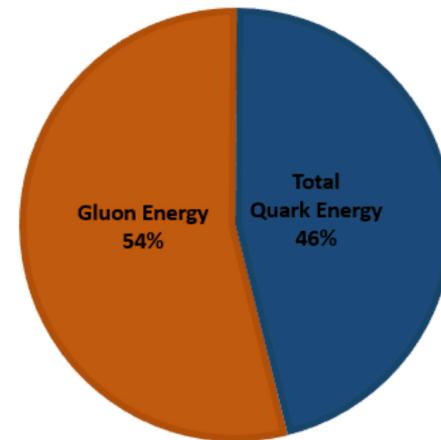
$$\mathcal{H}_a = \frac{1}{4} \left( \gamma_m (m\bar{\psi}\psi)_R + \frac{\beta}{2g} (F^2)_R \right) \quad \text{anomaly contribution}$$

### 3. Numerical Results (for D2 Scheme only)

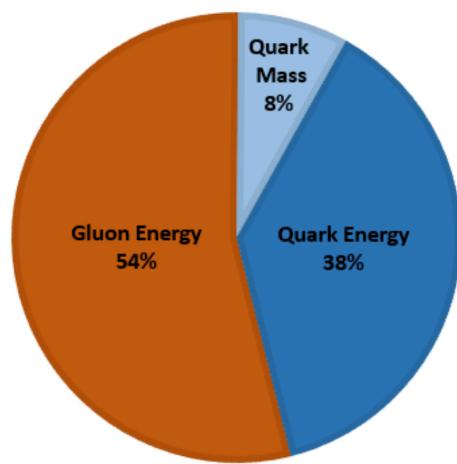
2 terms  $T_{\mu}^{\mu}$



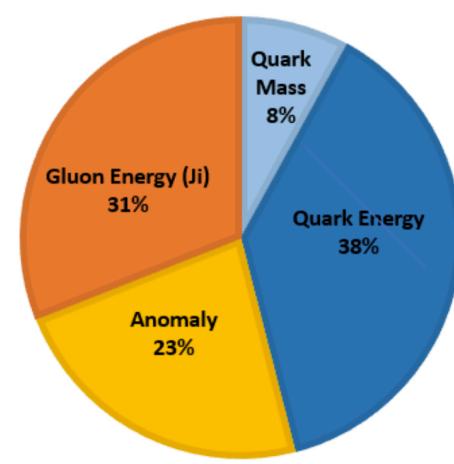
2 terms  $T^{00}$



3 terms  $T^{00}$



4 terms  $T^{00}$



- Quark mass contribution in QCD (much) larger than frequently quoted 1-2%
- We already know numerics of the mass decompositions relatively well

# Transversity Distributions and Tensor Charges of the Proton

## 1. Definition of Dihadron Fragmentation Functions (DiFFs)

- Single-Hadron FFs as number densities

$$D_1^{h/q}(z, \vec{P}_{h\perp}) = \frac{1}{4z} \int \frac{d\xi^+ d^2\vec{\xi}_T}{(2\pi)^3} e^{ik\cdot\xi} \text{Tr} \left[ \langle 0 | \psi_q(\xi) | h, X \rangle \langle h, X | \bar{\psi}_q(0) | 0 \rangle \gamma^- \right]_{\xi^- = 0}$$

- leading-order cross section for  $e^- e^+ \rightarrow hX$

$$\frac{d\sigma}{dz} = \sum_{q,\bar{q}} \hat{\sigma}^q D_1^{h/q}(z) \quad \text{with } \hat{\sigma}^q = \hat{\sigma}(e^- e^+ \rightarrow \gamma^{(*)} \rightarrow q\bar{q}) = \frac{4\pi e_q^2 \alpha_{\text{em}}^2 N_c}{3Q^2}$$

- Dihadron FFs as number densities

$$\frac{1}{64\pi^3 z_1 z_2} \int \frac{d\xi^+ d^2\vec{\xi}_T}{(2\pi)^3} e^{ik\cdot\xi} \text{Tr} \left[ \langle 0 | \psi_q(\xi) | h_1, h_2, X \rangle \langle h_1, h_2, X | \bar{\psi}_q(0) | 0 \rangle \gamma^- \right]_{\xi^- = 0}$$

$$= D_1^{h_1 h_2 / q}(z_1, z_2, \vec{P}_{1\perp}, \vec{P}_{2\perp})$$

- leading-order cross section for  $e^- e^+ \rightarrow (h_1 h_2)X$  (example)

$$\frac{d\sigma}{dz dM_h} = \sum_{q,\bar{q}} \hat{\sigma}^q D_1^{h_1 h_2 / q}(z, M_h) \quad \text{with } \hat{\sigma}^q = \frac{4\pi e_q^2 \alpha_{\text{em}}^2 N_c}{3Q^2}$$

## 2. Simultaneous Extraction of DiFFs and Transversity PDFs

- Main observables/input for DiFFs and transversity PDFs
  - unpolarized cross section in  $e^- e^+ \rightarrow (h_1 h_2) X$  (data from Belle)

$$\frac{d\sigma}{dz dM_h} = \frac{4\pi\alpha_{\text{em}}^2 N_c}{3Q^2} \sum_{q,\bar{q}} e_q^2 D_1^q(z, M_h)$$

- Artru-Collins asymmetry in  $e^- e^+ \rightarrow (h_1 h_2)(\bar{h}_1 \bar{h}_2) X$  (data from Belle)

$$A^{e^- e^+} = \frac{\sin^2 \theta \sum_{q,\bar{q}} e_q^2 H_1^{\triangleleft,q}(z, M_h) H_1^{\triangleleft,\bar{q}}(\bar{z}, \bar{M}_h)}{(1 + \cos^2 \theta) \sum_{q,\bar{q}} e_q^2 D_1^q(z, M_h) D_1^{\bar{q}}(\bar{z}, \bar{M}_h)}$$

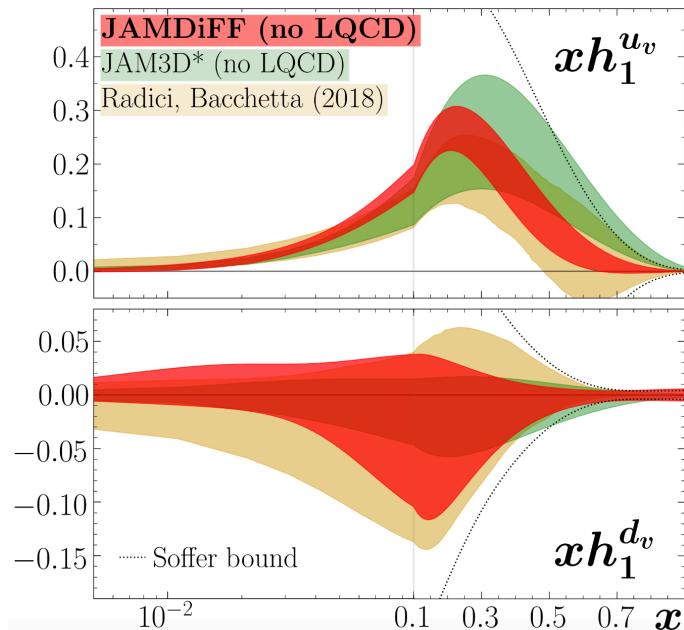
- transverse SSA in SIDIS (data from HERMES and COMPASS)

$$A_{UT}^{\text{SIDIS}} = c(y) \frac{\sum_{q,\bar{q}} e_q^2 h_1^q(x) H_1^{\triangleleft,q}(z, M_h)}{\sum_{q,\bar{q}} e_q^2 f_1^q(x) D_1^q(z, M_h)}$$

- transverse SSA in  $pp$  collisions (data from STAR)

$$A_{UT}^{pp} = \frac{\mathcal{H}(M_h, P_{hT}, \eta)}{\mathcal{D}(M_h, P_{hT}, \eta)}$$

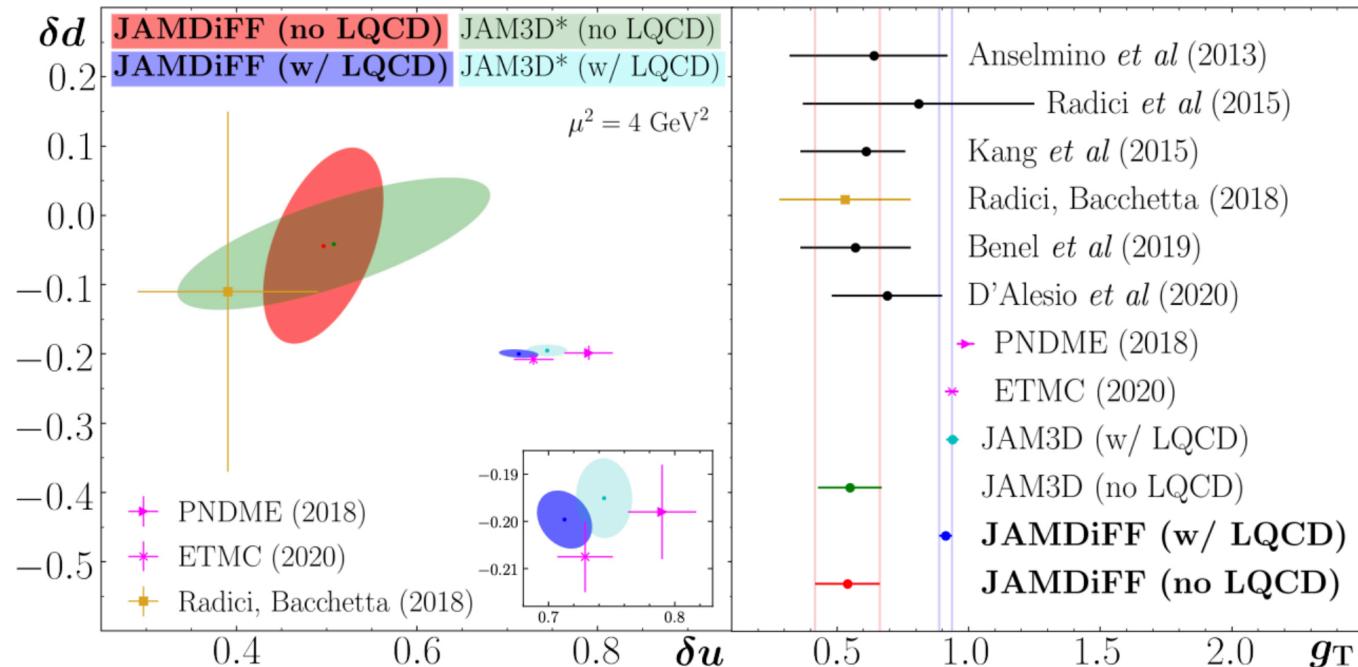
- Extracted transversity PDFs



- fit of  $h_1^{u_v}$ ,  $h_1^{d_v}$ ,  $h_1^{\bar{u}} = -h_1^{\bar{d}}$   
large- $N_c$  constraint for antiquarks (Pobylitsa, 2003)
- Soffer bound (Soffer, 1995)
$$h_1^q(x) \leq \frac{1}{2} |f_1^q(x) + g_1^q(x)|$$
- small- $x$  constraint (Kovchegov, Sievert, 2019)
$$h_1^q \xrightarrow{x \rightarrow 0} x^{\alpha_q} \quad \alpha_q \approx 0.17 \pm 0.085$$
- JAM3D\* = JAM3D-22 (no LQCD)
  - + antiquarks with  $h_1^{\bar{u}} = -h_1^{\bar{d}}$
  - + small- $x$  constraint
- agreement between all three analyses within errors

- Tensor charges (no LQCD vs w/ LQCD)

$$\delta q(\mu) = \int_0^1 dx (h_1^q(x, \mu) - h_1^{\bar{q}}(x, \mu)) \quad g_T = \delta u - \delta d$$



Overall finding: universal nature of all available information on  $h_1^q$  —  
 (1) data for di-hadron production, (2) data for single-hadron production,  
 (3) LQCD results for tensor charge, (4) Soffer bound, (5) small- $x$  constraint