

# **The EMC Effect in Spin Structure Functions**

**Jeopardy presentation for JLab Experiment  
E12-14-001**

Will Brooks, for the Collaboration

# Supporters of the Experiment

## CLAS12 Run Group G Jeopardy Update Document

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## Conditional Schedule 2026-27

SAD or scheduled Run Group	Setup / Status	Target	Beam Energy	Start Date	End Date	Scheduled Calendar Days	Remaining PAC Days Before Run	Scheduled PAC Days	Actual PAC Days from ABUs	Remaining PAC Days After Run
<b>Assuming ~ 100 PAC days in this period and successful Experiment Readiness Review in 2025</b>										
<b>RG-C</b>		long. pol. NH3/ND3	11	2026-27		80	40	40		0
<b>RG-G</b>		long. pol. 7LiD	11	2026-27		110	55	55		0
<b>SAD 2027</b>	reconfigure	change					<b>sum:</b>	<b>95</b>		

- The centerpiece, the **longitudinal polarized target**, has been constructed and used
- **RG-C** will have **to return** for 40 days to complete its approved 120 PAC day program
- Consecutive execution of RG-C and RG-G would minimize substantial overhead
- RG-G no longer requests a double target but will alternate between NH<sub>3</sub> and <sup>7</sup>LiD, so **no modifications to the polarized target will be necessary**
- For producing paramagnetic radicals needed for DNP, **irradiation** using 8 MeV beam from injector and a variable temperature **cryostat**, commissioning expected 2024-25
- **Well aligned** with the **Spin-Polarized Fusion Project** (new engineer, technician, ...)

# The EMC Effect in Spin Structure Functions

[https://www.jlab.org/exp\\_prog/proposals/14/PR12-14-001.pdf](https://www.jlab.org/exp_prog/proposals/14/PR12-14-001.pdf)

It has been known for more than 35 years that the **basic structure functions** of protons and neutrons are modified inside nuclei. This has been observed in many measurements over the decades, including recent experiments at JLab. However, ***no experiment has ever searched for this effect in the spin structure functions.***

Polarization observables can provide new and important insights into longstanding problems!

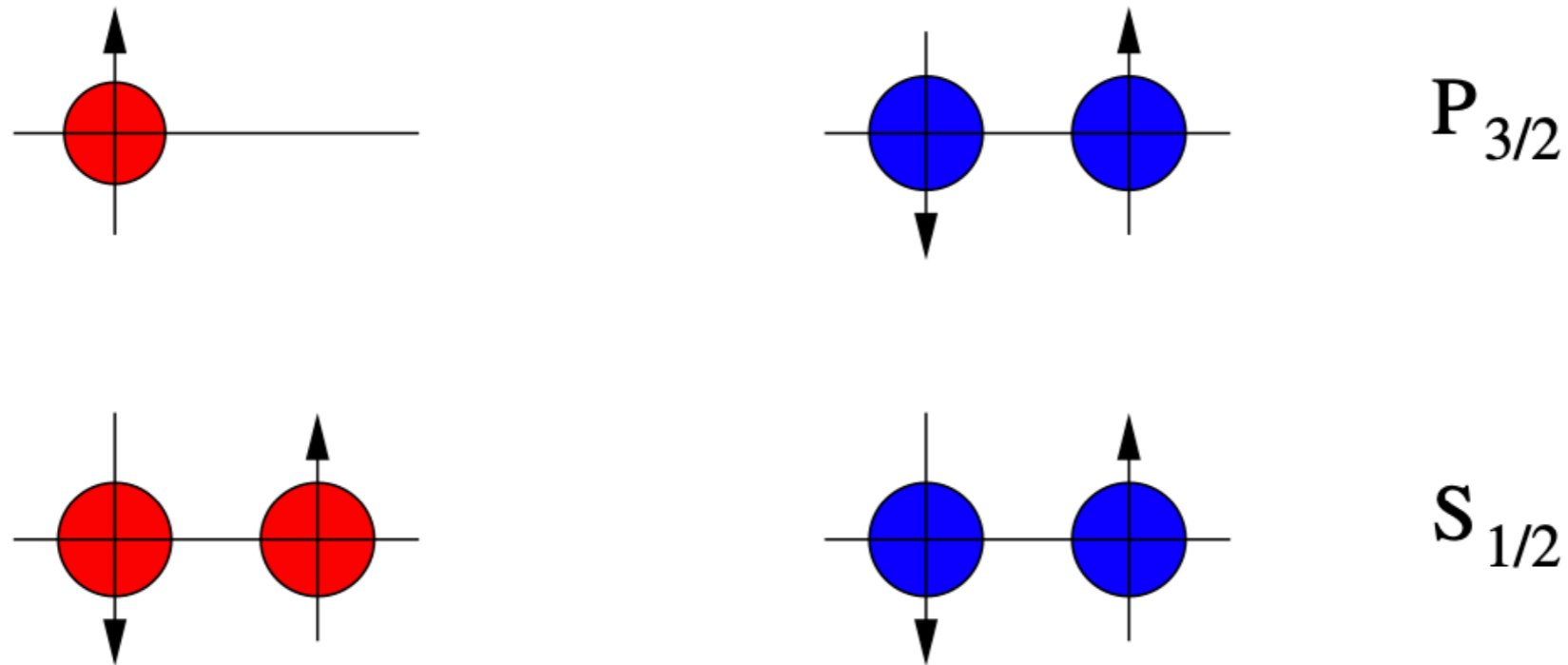
# The strategy

We chose  ${}^7\text{Li}$  because of its unique nuclear structure. In polarized  ${}^7\text{Li}$ , **one proton** carries **nearly all of the polarization**. Thus it is a **polarized proton embedded in a nuclear medium**.

We take advantage of 100% of existing polarized target infrastructure for CLAS12. No modifications of the equipment are needed.

The beam time can be scheduled to immediately follow Run Group C which uses that target infrastructure, so only one major installation would be needed. This point was also reinforced in the TAC report.

# Shell model picture of ${}^7\text{Li}$



86.6% of the  ${}^7\text{Li}$  nuclear polarization is carried by the unpaired proton.

This shell model result is confirmed by detailed Green Function Monte Carlo calculations.

# New developments since 2014

In 2011 it was proposed that the EMC effect might be induced by short-range correlated nucleons (**SRC**; Weinstein, Piassetzky, et al.)

Since 2014 there have been both theoretical and experimental advances intensifying the debate over this assertion, underscoring the **urgency** of this experiment.

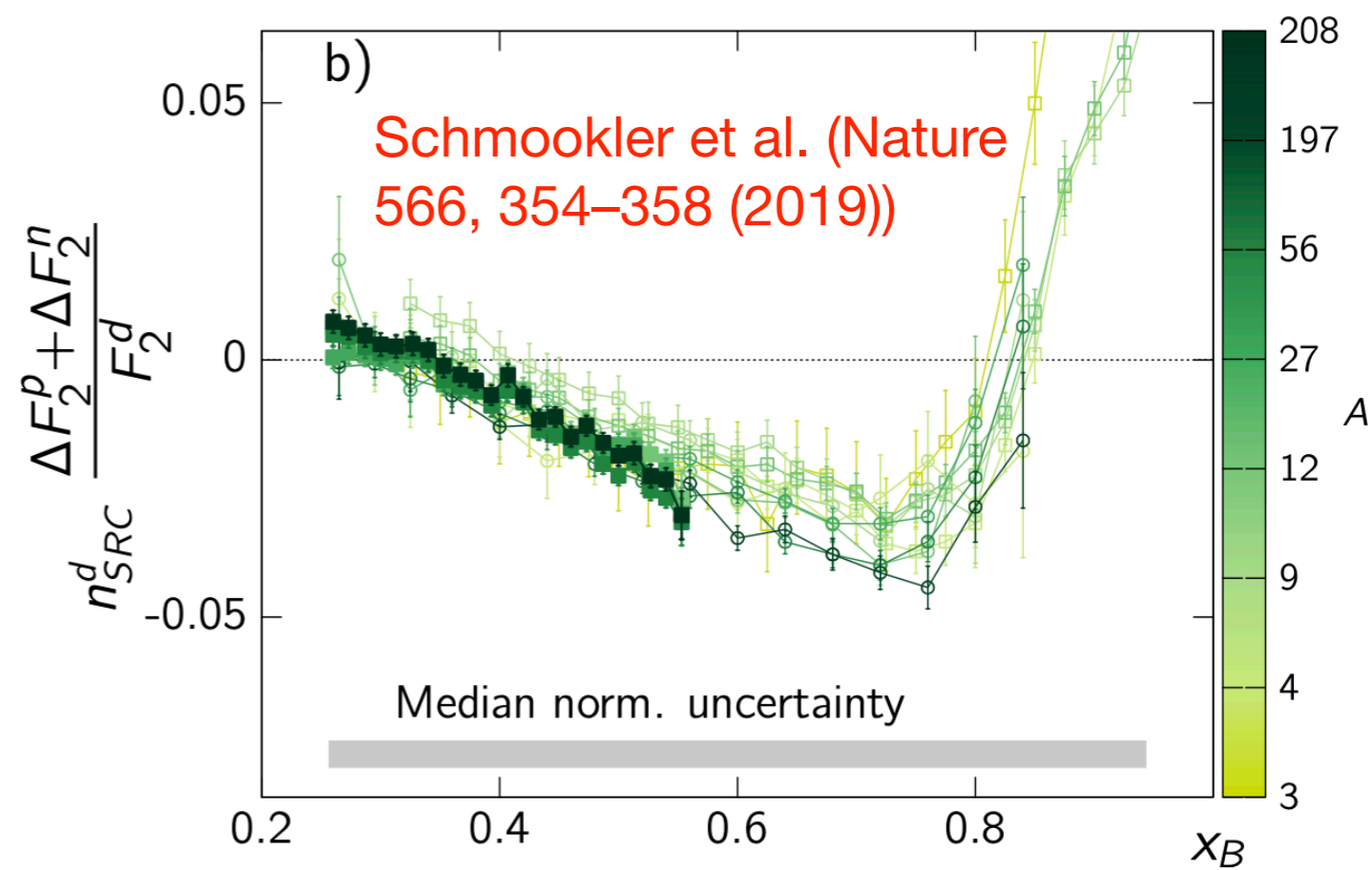
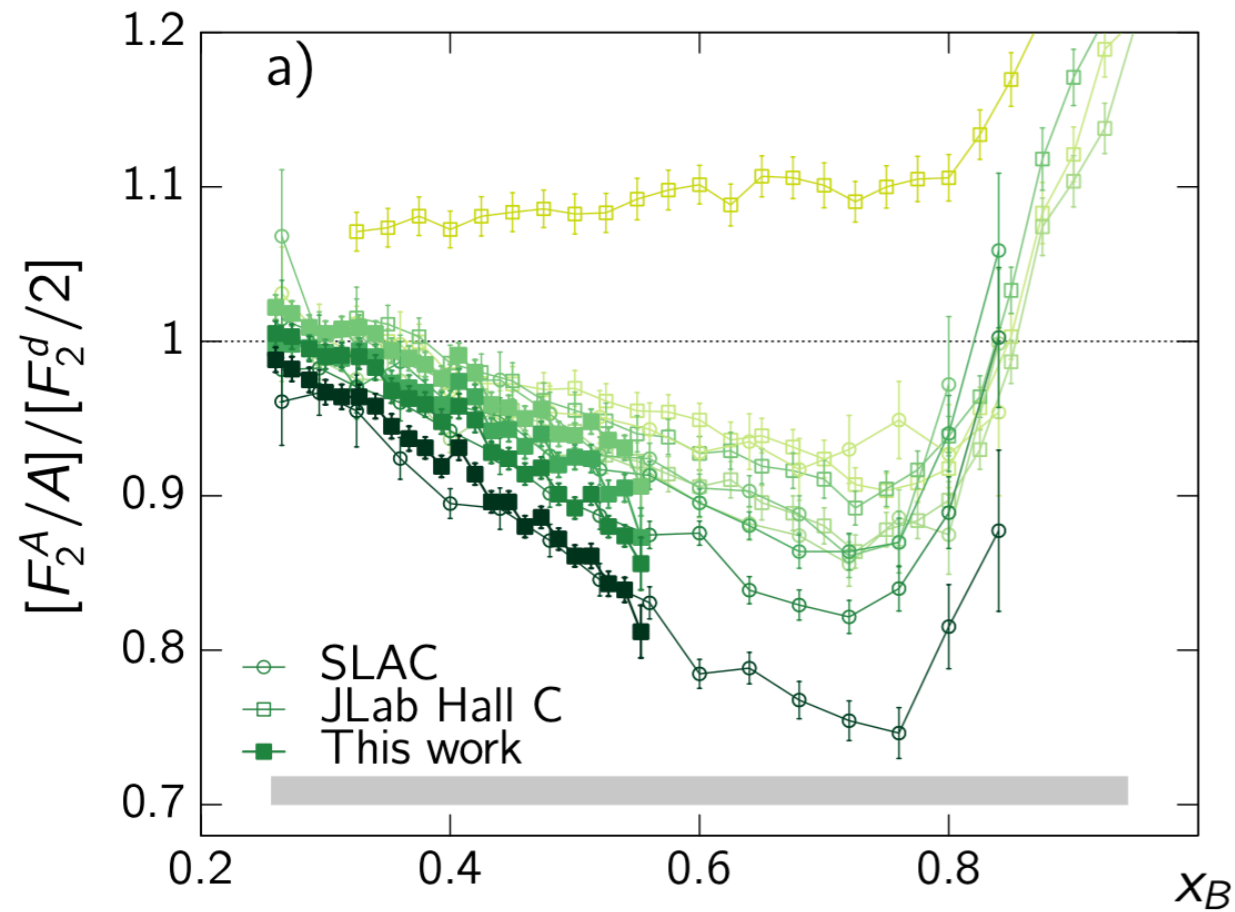
**Mean-field** based model calculations continue to consistently find **modified** spin structure functions.

Experiment-driven analyses found more evidence of the **EMC** ↔ **SRC** hypothesis; however, **disputed** by some experts.

**Important technical developments** in target technology



# New developments since 2014



Schmookler et al.: if assume EMC is caused **entirely** by **np-SRC**, can derive a **universal function** that describes EMC well for **all** nuclei. (Assumes  $F_2^{*p}$  and  $F_2^{*n}$  are universal.)

$$F_2^A = (Z - n_{SRC}^A)F_2^p + (N - n_{SRC}^A)F_2^n + n_{SRC}^A(F_2^{p*} + F_2^{n*})$$

$$= ZF_2^p + NF_2^n + n_{SRC}^A(\Delta F_2^p + \Delta F_2^n)$$

$$\Delta F_2^n \equiv F_2^{n*} - F_2^n$$

$$\Delta F_2^p \equiv F_2^{p*} - F_2^p$$



# Reflections on the origin of the EMC effect

1809.06622

Anthony W. Thomas

Asserts that SRC will significantly depolarize the participants.

Do short-range correlations cause the nuclear EMC effect in the deuteron?

X. G. Wang,<sup>1</sup> A. W. Thomas,<sup>1</sup> and W. Melnitchouk<sup>2</sup>

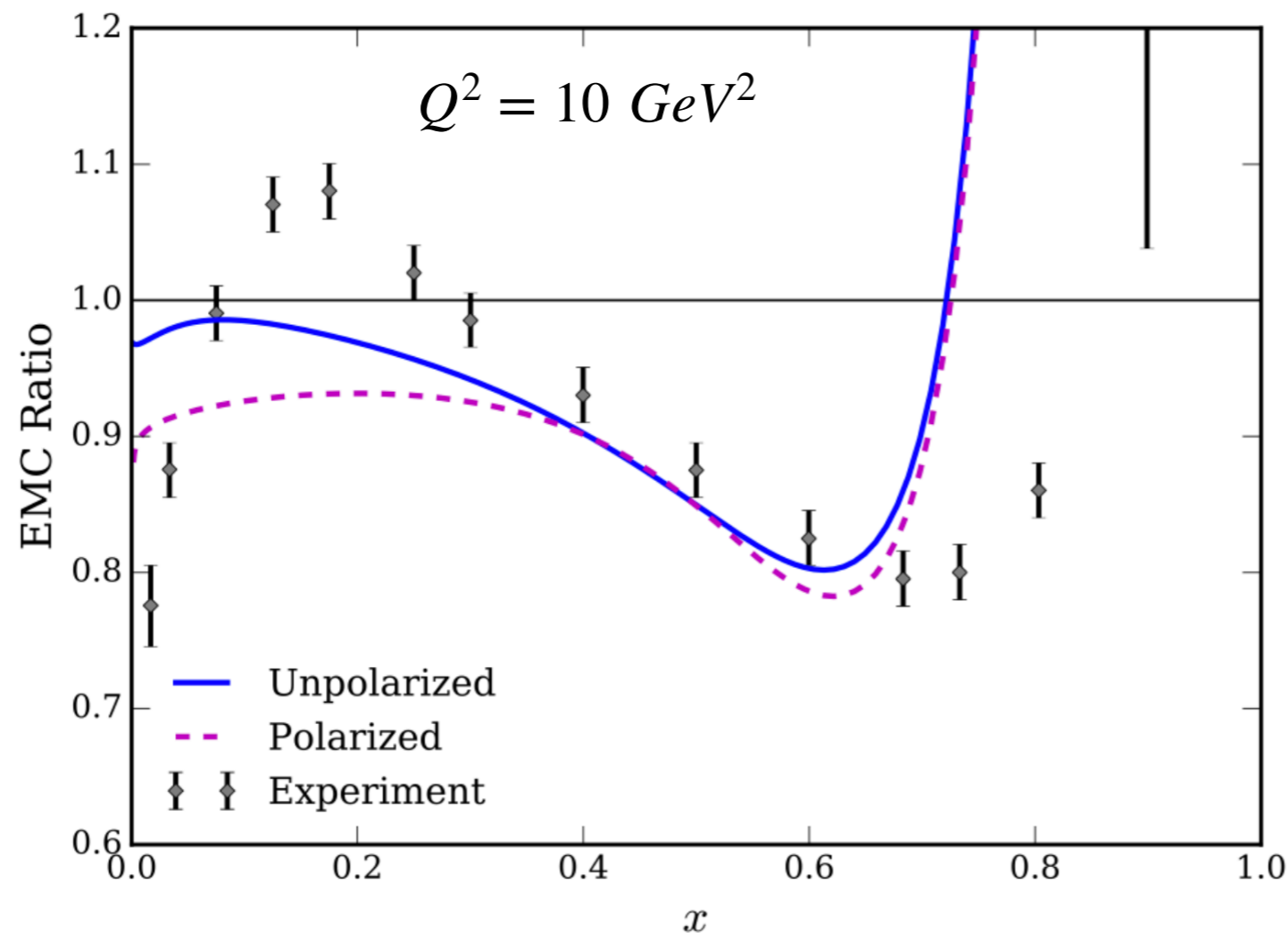
Test of three phenomenological models with nuclear binding, Fermi motion, and nucleon off-shell effects, can classify into **low momentum and high momentum components**. They found that high-momentum nucleons, such as those found in SRCs, were **not** the main source of the EMC effect in the models studied. 2004.03789

Short-Range Correlations and the Nuclear EMC Effect in Deuterium and Helium-3

E.P. Segarra,<sup>1</sup> J.R. Pybus,<sup>1</sup> F. Hauenstein,<sup>1,2</sup> D.W. Higinbotham,<sup>3</sup> G.A. Miller,<sup>4</sup>  
E. Piassetzky,<sup>5</sup> A. Schmidt,<sup>6</sup> M. Strikman,<sup>7</sup> L.B. Weinstein,<sup>2</sup> and O. Hen<sup>1,\*</sup>

June 2020 response in favor of EMC  $\Leftrightarrow$  SRC for A=2, 3 2006.10249

# Other developments since 2014



1806.00481 (2018)

**QMC model**

S. Tronchin,

H. H. Matevosyan

A. W. Thomas

“Short-Range Correlations and the EMC Effect in Effective Field Theory,” J.-W. Chen, W. Detmold, J. E. Lynn, and A. Schwenk, Phys. Rev. Lett. 119, 262502 (2017). 1607.03065 - correlation between EMC slope and SRC comes naturally from a scale separation in EFT. Focus is on light nuclei.

# Technical readiness of the experiment

The only new item needed is the target sample of LiD and a way to irradiate and test it.

Source of LiD powder: multiple vendors identified. It will be natural  ${}^7\text{Li}$  (95% isotopic purity) and  ${}^2\text{H}$  (98-99+%).

Press LiD powder into disks: Y12 facility (Oak Ridge) will do.

Target Group+Cryo+Accelerator developing an irradiation facility in CEBAF injector. Eight MeV electrons. Ready ~5/25.

Measure polarization in new JLab Target Development Lab.

Well aligned with the new Spin-Polarized Fusion Project which is very interested in polarized LiD!

# Theory TAC Report comments

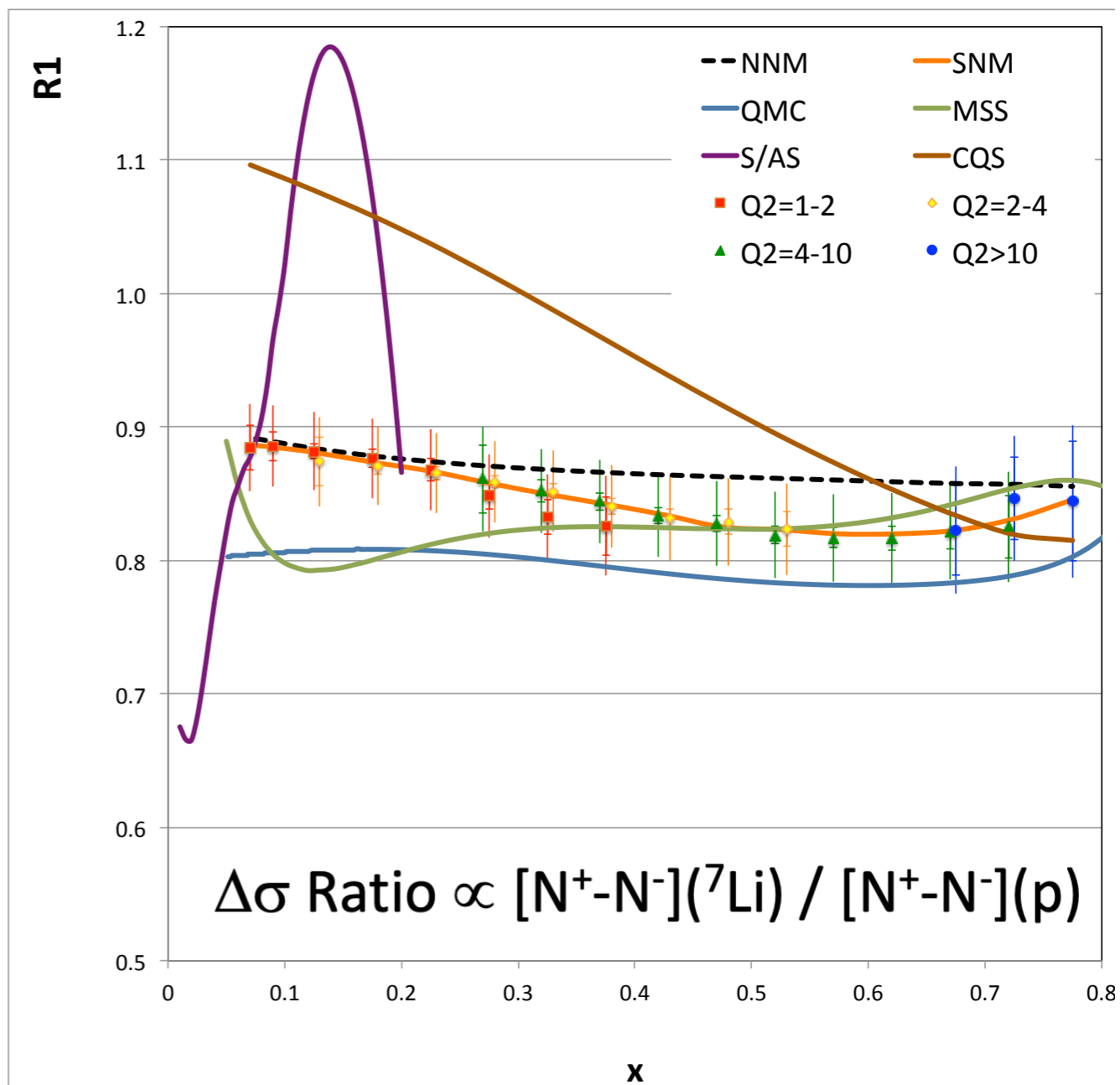
“New theoretical work and new QCD global analyses of nuclear Parton Distribution Functions published after the re-approval of this proposal in PAC 48 have only **increased** the **interest** and **importance** of this experiment.”

“...the results of this run group proposal can be expected to provide **important clues** into an effect that has **puzzled** the nuclear physics community for **nearly 40 years**, and that are not available only considering unpolarized targets.”

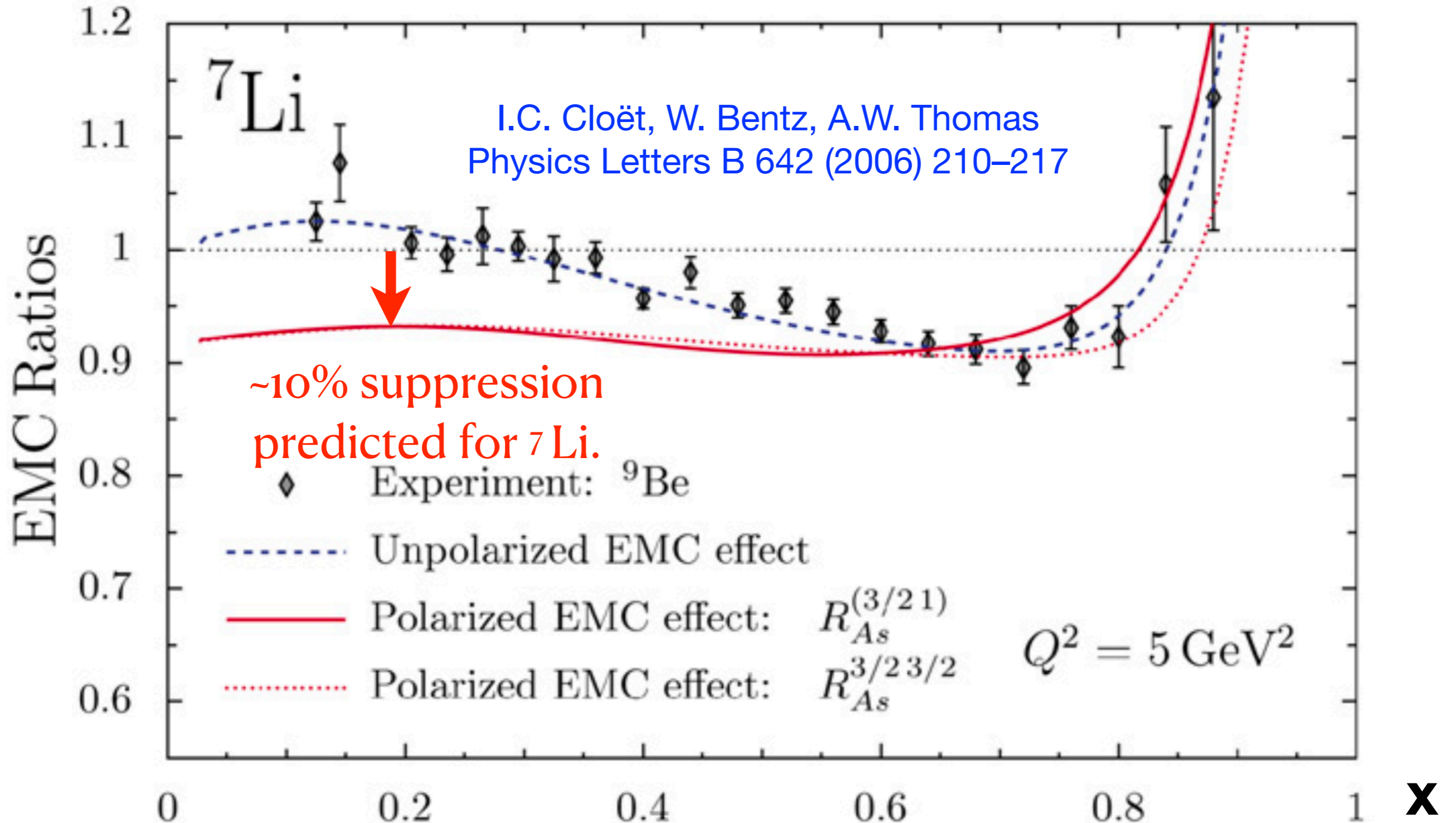
Read this document for more on theory ingredients:

[https://www.dropbox.com/s/](https://www.dropbox.com/s/dnwp7weufiskrc0/10pageWriteup.pdf?dl=0)

[dnwp7weufiskrc0/10pageWriteup.pdf?dl=0](https://www.dropbox.com/s/dnwp7weufiskrc0/10pageWriteup.pdf?dl=0)

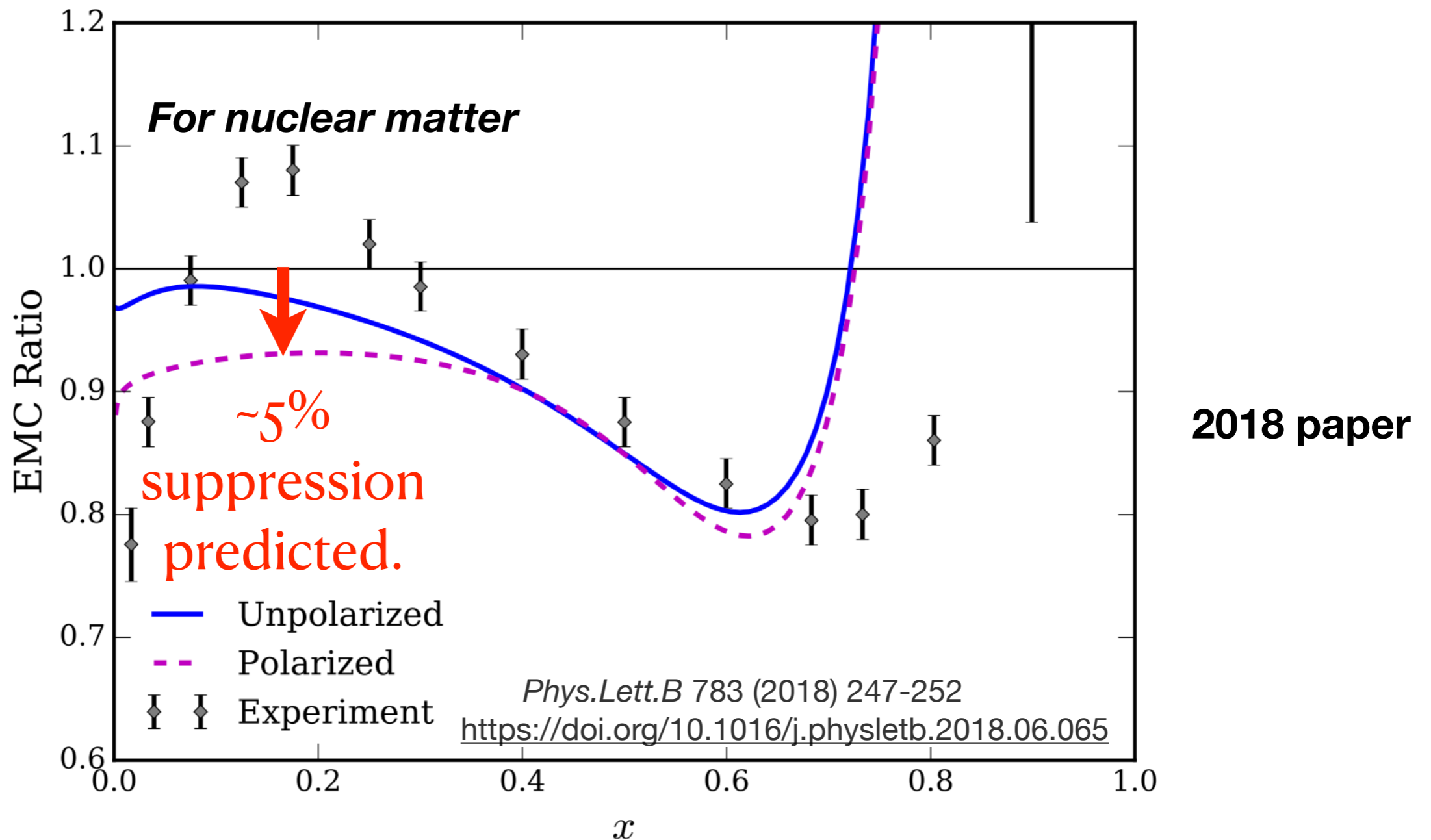


# Theory results in EMC and antishadowing regions



Quark Meson Coupling (QMC) model, which explicitly allows the quark degrees of freedom to respond self-consistently to the nuclear mean fields and leads naturally to changes in the internal structure of the bound nucleons. Free nucleon given by the covariant quark–diquark equations in a confining Nambu–Jona-Lasinio model.

# Theory results in EMC and antishadowing regions

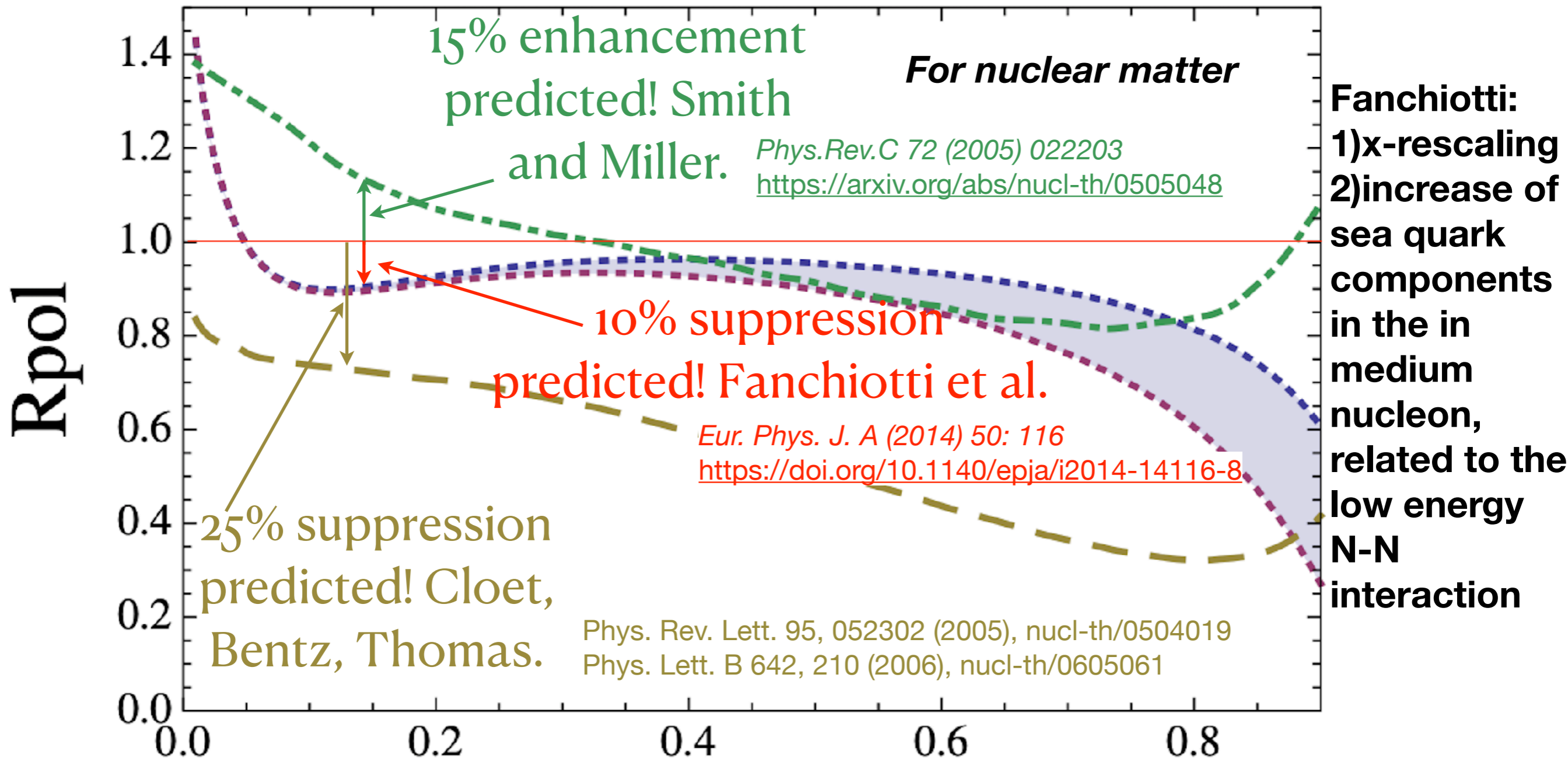


Unpolarized (blue solid line) and polarized (purple dashed line) EMC effect in the QMC model normalized to MIT bag model. The results are evolved to  $Q^2 = 10 \text{ GeV}^2$ .

Stephen Tronchin, Hrayr H. Matevosyan, Anthony W. Thomas



# Theory results in EMC and antishadowing regions



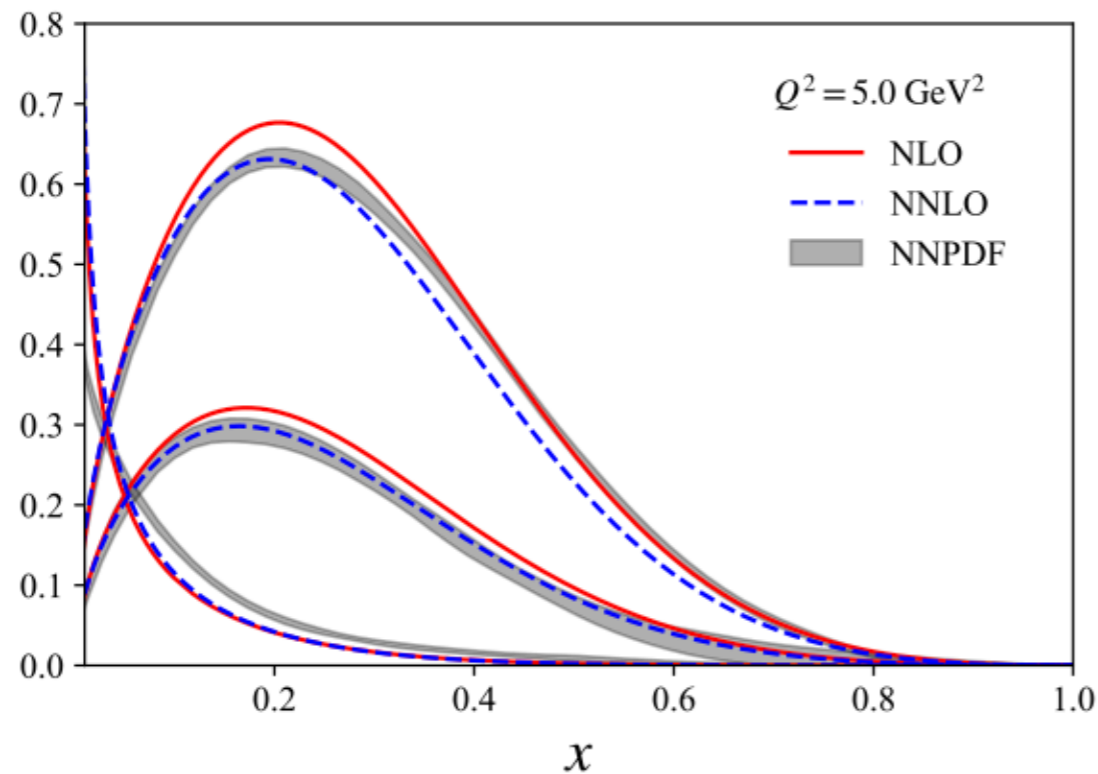
**Miller: Chiral quark-soliton model:  $X$  relativistic mean field approximation to baryons, includes antiquarks.**

**Cloët: Nambu-Jona-Lasinio binding in relativistic shell model, including mean scalar and vector fields that couple to the quarks in the nucleon**



**New: 2022 paper  
Includes gluons!**

**Free nucleon, unpolarized PDF**

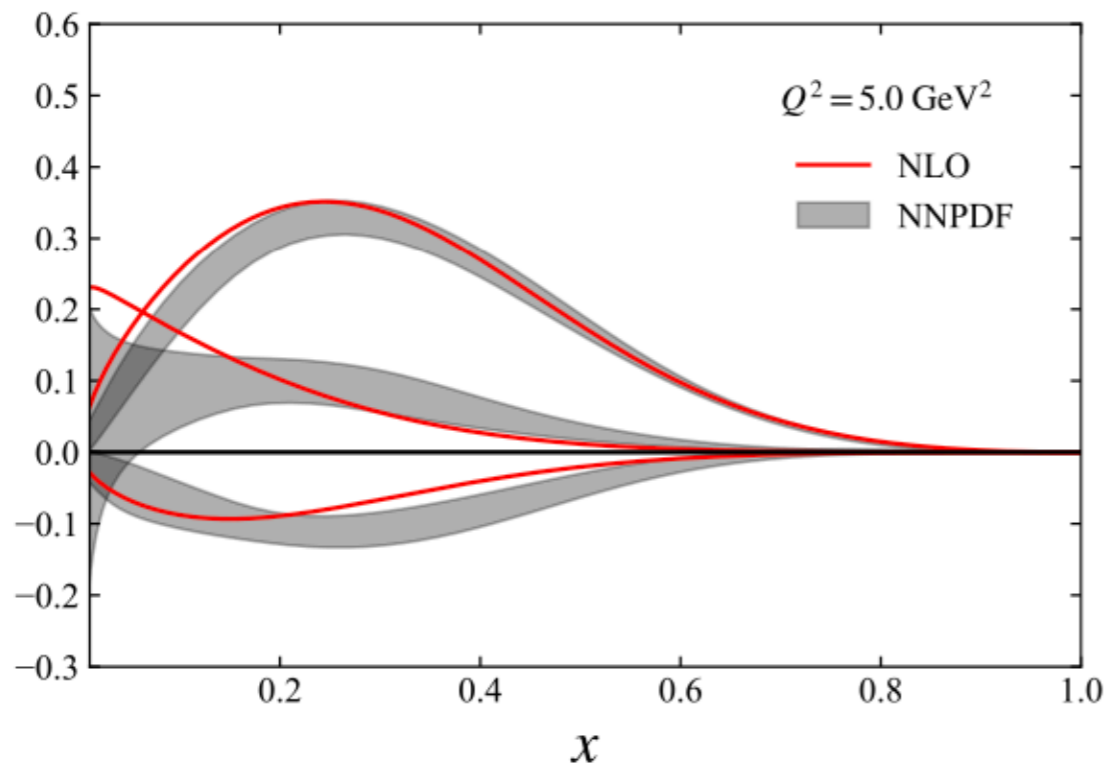


**Gluon EMC Effects in Nuclear Matter**  
**X G Wang, W Bentz, I C Cloët, A W Thomas**

<https://arxiv.org/abs/2109.03591>

**J. Phys. G: Nucl. Part. Phys. 49 (2022) 03LT01**

**Free nucleon, polarized PDF**



**Figure 1.** (Colour online) Results for the spin-independent (*upper*) and spin-dependent (*lower*) parton distributions of a free nucleon obtained by QCD evolution at both NLO and NNLO to the scale  $Q^2 = 5 \text{ GeV}^2$ . From top to bottom, the groups of lines represent  $xu_v$ ,  $xd_v$ ,  $xg/10$  in unpolarized case, and  $x\Delta u_v$ ,  $x\Delta g$ ,  $x\Delta d_v$  in polarized case. The phenomenological results of unpolarized and polarized PDFs are taken from NNPDF3.0 [51] and NNPDFpol1.1 [52], respectively.

# Definitions: unpolarized and polarized gluonic modifications

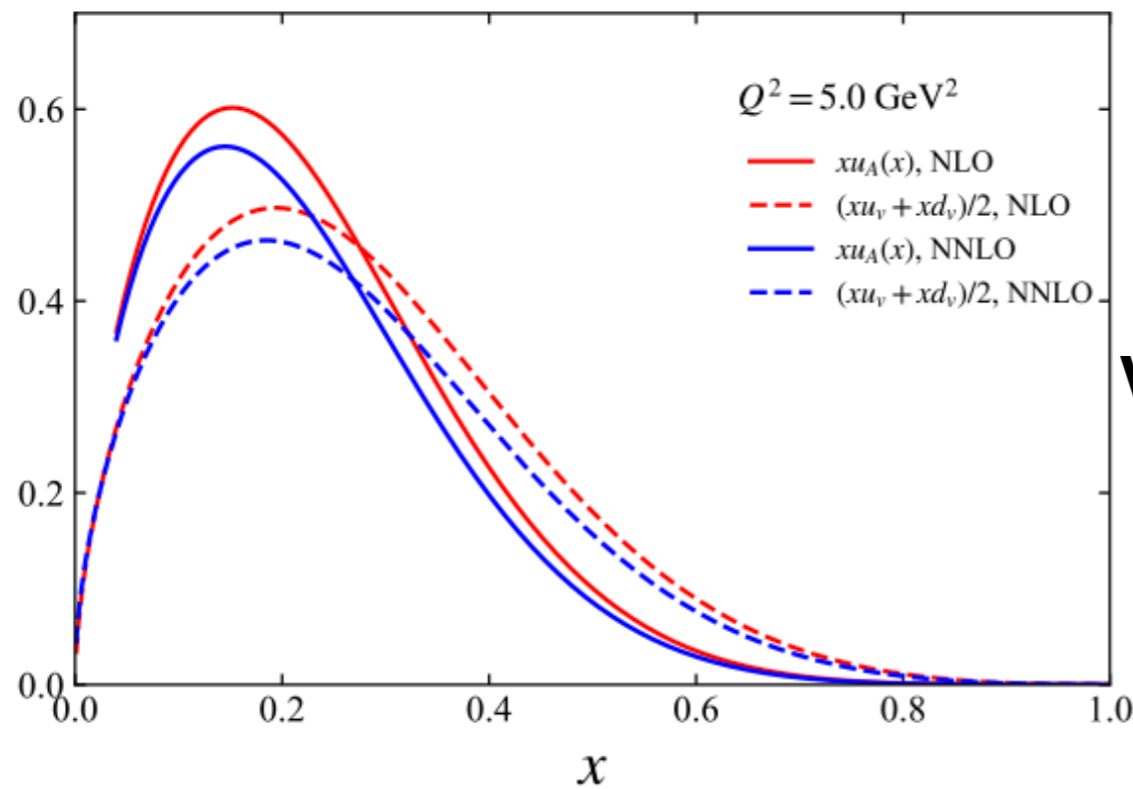
$$R_G = \frac{g_A(x)}{Z/A g_p(x) + N/A g_n(x)} \rightarrow \frac{g_A(x)}{g_p(x)},$$
$$\Delta R_G = \frac{\Delta g_A(x)}{P_p \Delta g_p(x) + P_n \Delta g_n(x)} \rightarrow \frac{\Delta g_A(x)}{\Delta g_p(x)},$$

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# ISOSPIN SYMMETRIC NUCLEAR MATTER

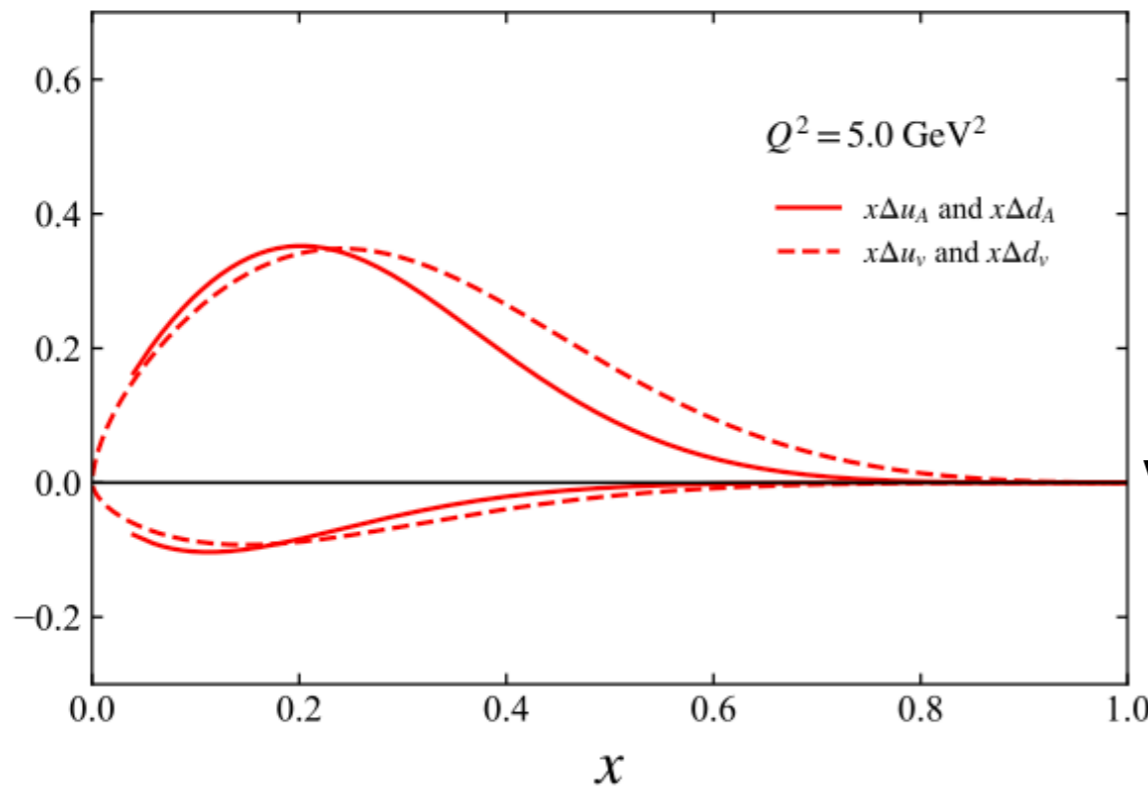


**Valence quark unpolarized PDF**

**Gluon EMC Effects in Nuclear Matter**  
**X G Wang, W Bentz, I C Cloët, A W Thomas**

<https://arxiv.org/abs/2109.03591>

*J. Phys. G: Nucl. Part. Phys.* **49** (2022) 03LT01

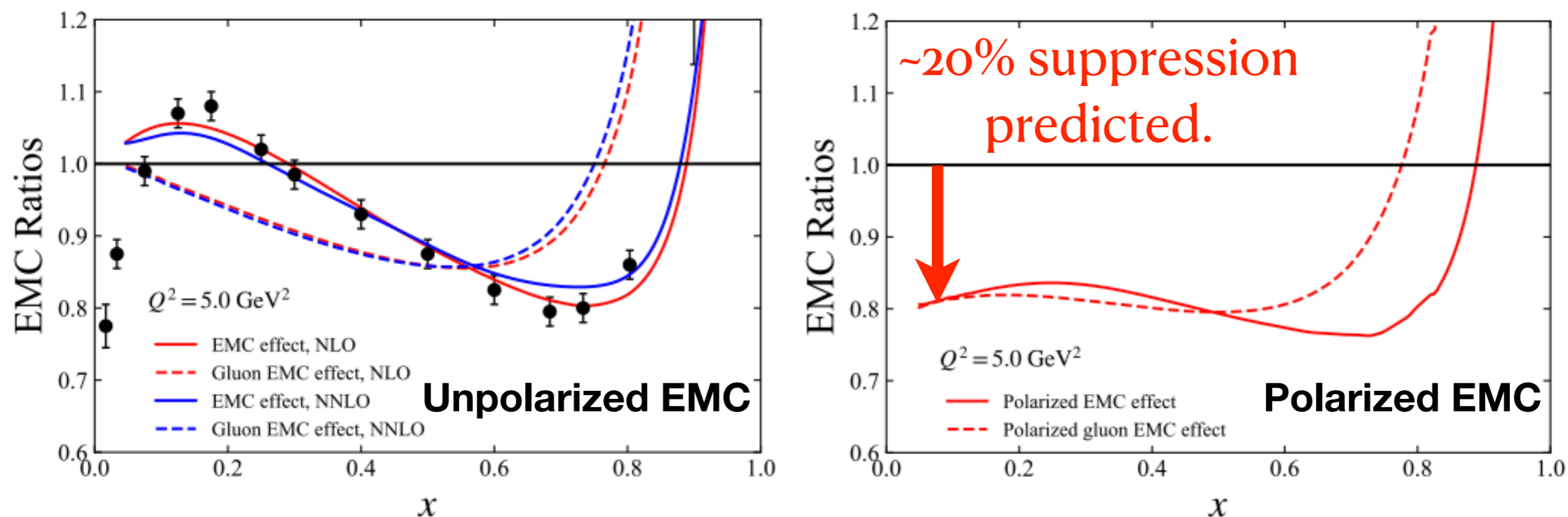


**Valence quark polarized PDF**

**Figure 2.** The solid lines represent the (per-nucleon) spin-independent valence quark PDF in isospin symmetric nuclear matter (*top*) and the spin-dependent valence  $u$  and  $d$  PDF of a single polarized proton embedded in isospin symmetric nuclear matter (*bottom*). These results are at the scale  $Q^2 = 5 \text{ GeV}^2$  and are compared with the free nucleon PDFs (dashed lines) at the same scale.

# Theory results in EMC and antishadowing regions, including gluon degrees of freedom

## ISOSPIN SYMMETRIC NUCLEAR MATTER



**Figure 3.** (Colour online) (*Left panel*) Unpolarized EMC ratios for the structure functions  $F_{2A}(x)/F_{2N}(x)$  (solid) and the unpolarized gluon distributions  $g_A(x)/g_p(x)$  (dashed). (*Right panel*) Polarized EMC ratios for the structure functions  $g_{1A}(x)/g_{1p}(x)$  (solid) and polarized gluon distributions  $\Delta g_A(x)/\Delta g_p(x)$  (dashed). The empirical data points are the unpolarized nuclear matter results for the EMC ratio from Ref. [53].

# Comments on Theory Predictions

- The predictions shown give quite varied results, from suppression to enhancement, from few percent to 25%
- The ingredients of the models vary rather widely too
- They typically start at high  $x$  and “work downwards”
- In the antishadowing region, **diffractive processes** will become important, and **interference effects** will arise
- These are not ingredients in the models just shown
- I will next show one that **does** have those ingredients. It starts at low  $x$  and “works upwards” to  $x=0.2$

# Glauber-Gribov Picture in DIS

- $\gamma^*$ ,  $W$ ,  $Z$  produces a colored  $q\bar{q}$  dipole pair
- Dipole can interact diffractively or inelastically on nucleons
- **Interference** of diffractive amplitudes from Pomeron exchange on upstream nucleons causes shadowing of  $\gamma^*$  interactions on the downstream nucleons. (Some theorists also include Odderons and Reggions, see reference below.)
- **Coherence length  $l_c$**  of the virtual photon allows interaction on **two nucleons** separated by a distance  $d$  - if  $l_c > d$ , constructive/ destructive **interference is possible**

$$\text{(Brodsky)} \quad \frac{1}{Mx_{Bj}} = \frac{2\nu}{Q^2} = l_c$$

$$\text{(Strikman)} \quad \frac{1}{2Mx_{Bj}} = \frac{\nu}{Q^2} = l_c$$

**( $x_{Bj}=0.1$  means  $l_c = 2.2$  fm)**

**( $x_{Bj}=0.1$  means  $l_c = 1.1$  fm)**

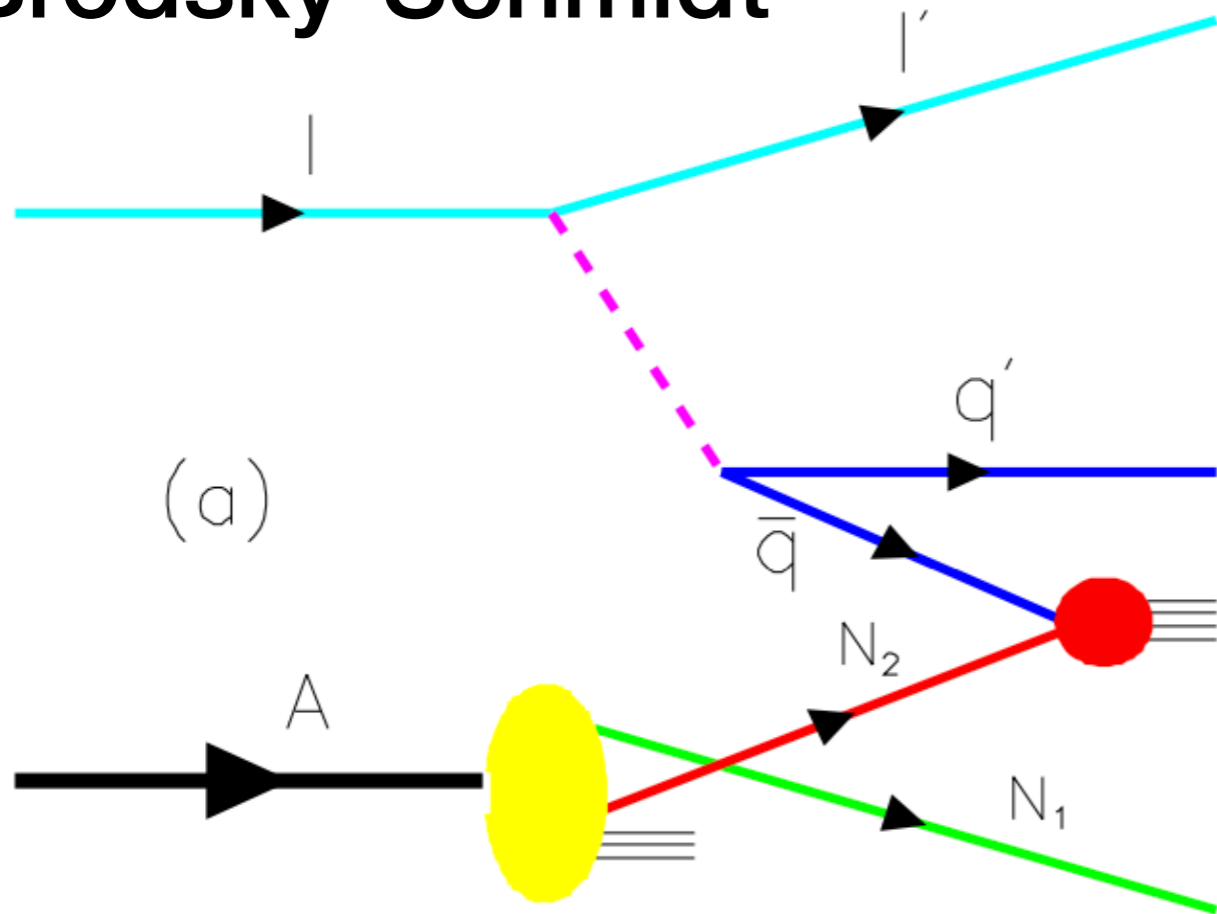
This is ~internucleon distance in a nucleus.

So coherent processes **can/will** happen below  $x=0.1-0.2$

<https://journals.aps.org/prd/abstract/10.1103/PhysRevD.70.116003>



# Brodsky-Schmidt

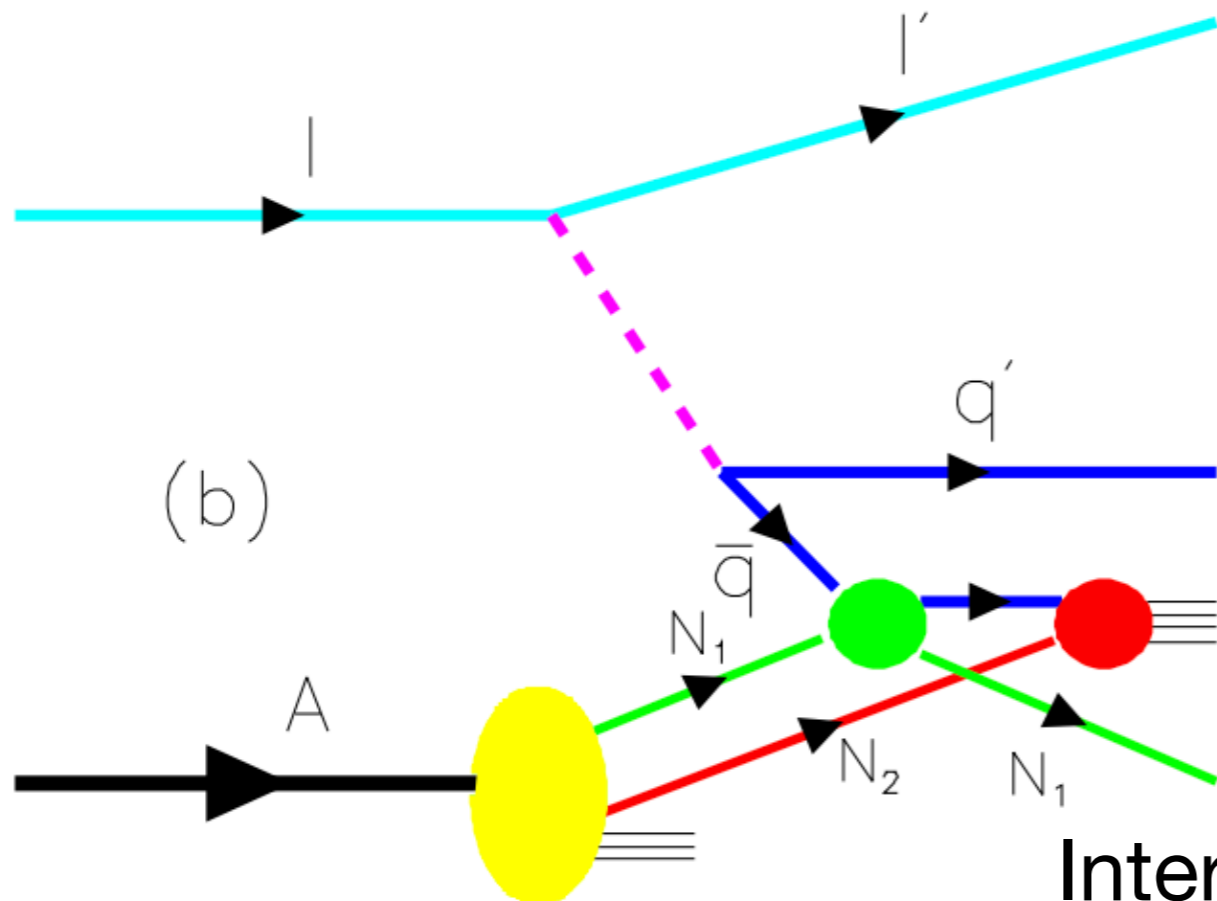


## Single-step process

Exchange boson  
fluctuates into  $q\bar{q}$  pair

The  $\bar{q}$  interacts strongly with  
nucleon  $N_2$  from the nucleus  $A$

Nucleon  $N_1$  is a spectator



## Two-step process

Exchange boson  
fluctuates into  $q\bar{q}$  pair

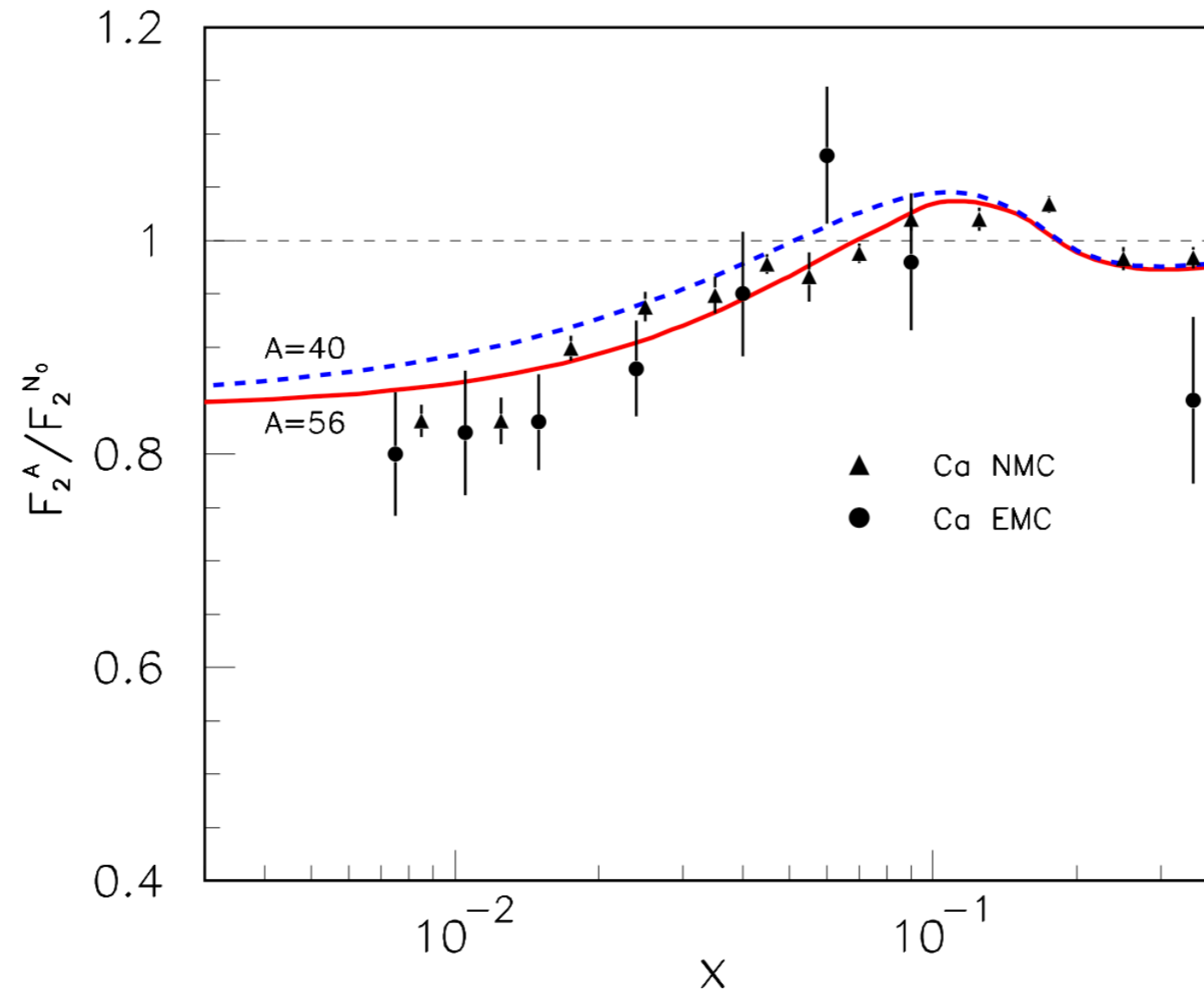
The  $\bar{q}$  interacts *softly* with nucleon  
 $N_1$  by pomeron exchange, then  
goes on to interact strongly with  $N_2$

Nucleon  $N_1$  emerges intact

Interference between the two processes!



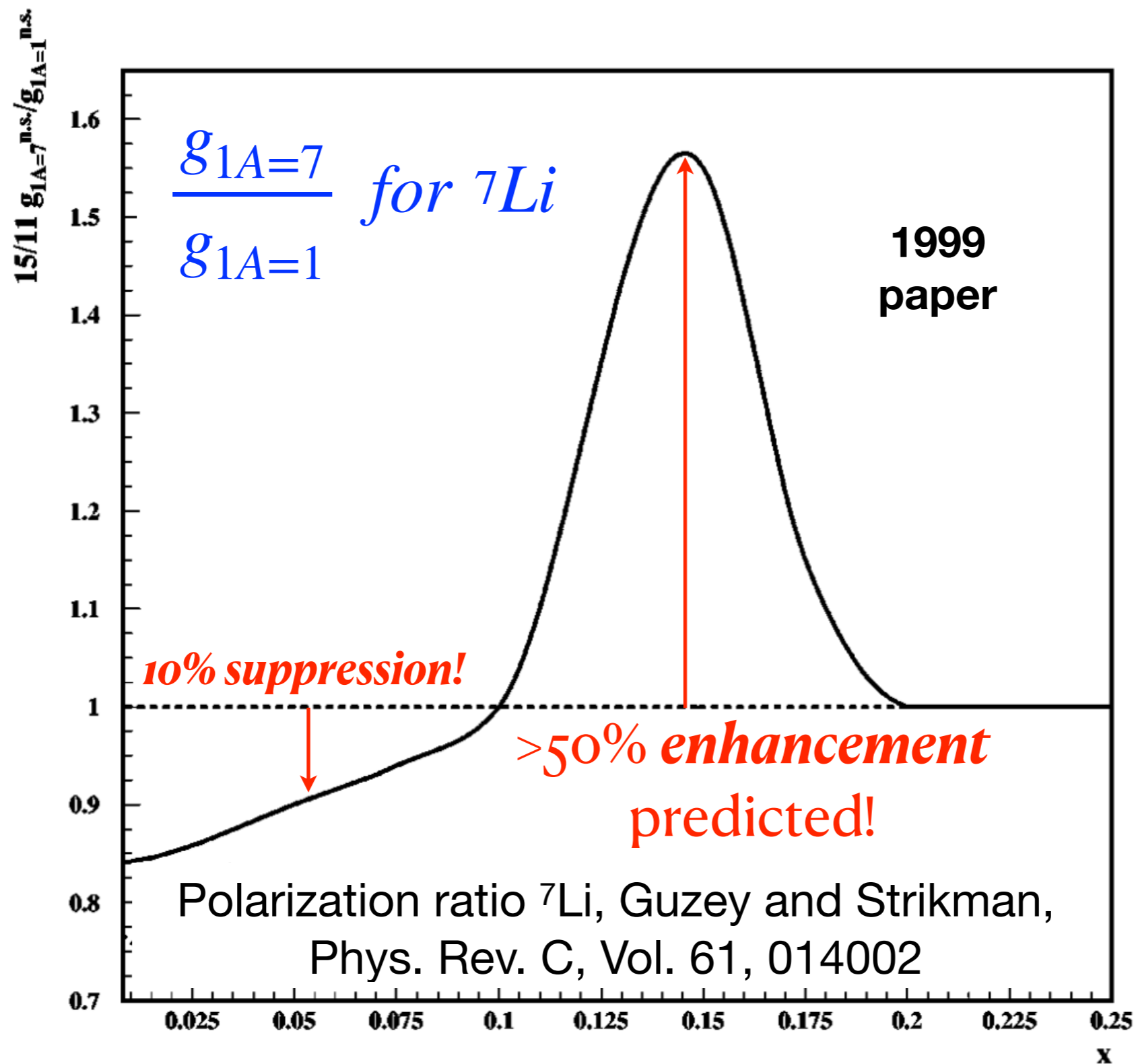
# Brodsky-Schmidt: Pomeron, Reggion, Odderon



- Introducing the Reggion and the Odderon creates the possibility of having **constructive** interference, producing **anti-shadowing**.
- No polarization prediction yet in this approach

<https://journals.aps.org/prd/abstract/10.1103/PhysRevD.70.116003>

# Theory results in the antishadowing region



This approach uses an extension of the Gribov theory of nuclear shadowing in DIS, while requiring the polarized Bjorken sum rule to remain satisfied.

# Conclusions

Many new developments since the experiment was approved in 2014. Clearly a **vigorous community** of scientists worldwide who are very interested in the related topics.

One of the main aims is to understand whether the EMC effect is a **mean-field** phenomenon or a **short-range correlation** phenomenon, or both. A polarization-based measurement will provide **completely new information** that will help to clarify this puzzle.

In the foreseeable future, JLab is the **only lab in the world** where this experiment can be done.

We request to receive the full 55 PAC days of beam time.