Measurements of the Cosφ and Cos2φ Moments of the Unpolarized SIDIS π+ Cross-section at CLAS12

Motivation

- Semi-Inclusive Deep Inelastic Scattering (SIDIS) experiments allow us to address questions about the 3D structure of nucleons
- Azimuthal modulations in unpolarized SIDIS cross-section for charged pion electroproduction can give access to the Cahn and Boer-Mulders effects
	- o **Boer-Mulders Effect:** Sensitive to the correlation between the quark's transverse momentum and intrinsic transverse spin in an unpolarized nucleon
	- o **Cahn Effect:** Sensitive to the transverse motion of quarks inside the nucleon
- A non-zero Boer-Mulders requires quark orbital angular momentum contributions to the proton spin (aspect of the proton missing spin puzzle)

SIDIS Cross-Section and Boer-Mulders

The lepton-hadron Unpolarized SIDIS Cross-Section:

The Boer-Mulders and Cahn effects are present in the Structure Functions:

Reaction Studied: ep \rightarrow eπ⁺(X)

Particle ID (PID):

- **Electron ID:** Based on Electromagnetic Calorimeter (PCAL) and Cherenkov Counters (HTCC)
- **Hadron (π+) ID:** Based on Time-Of-Flight Counters (TOF) and the correlation of velocity (ß) and momentum

Analysis Cuts:

• **SIDIS Cuts**:

 \circ W > 2 GeV

 O^2 > 2 GeV²

• **Other Analysis Cuts:**

- o p_{π+} Cut: 1.25 GeV < p_{π+} < 5 GeV
- \circ θ-angle Cut: 5° < θ_{particle} < 35°
- \circ y < 0.75 (minimize other background processes)
- \circ $x_F > 0$ (minimize contributions from target fragmentations)
- \circ Missing Mass Cut: M_x > 1.5 GeV (limit on exclusive events)
- 4 O Fiducial Cuts (e.g., accounts for bad channels present in data)
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Using Data from RG-A Fall 2018

10.6 GeV Polarized Beam Unpolarized Liquid Hydrogen Target Inbending Forward Tracking Only

Analysis Procedure

Experimental extraction of cross-section

- $N = Bin$ Yields
- N_0 = Life-time corrected incident electron flux
- BC = factor which evolves bin-averaged differential cross-section

SIDIS MC are generated with LEPTO event generator

Requires Monte Carlo (MC) Simulation

Multidimensional Analysis Procedures

Multidimensional Kinematic Binning (5 Dimensions)

Multidimensional Analysis Procedures

Multidimensional Kinematic Binning (5 Dimensions)

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Acceptance Corrections and Bin Migration Study

Acceptance Matrix: A_(*i*, *j*) describes both Acceptance (including geometric acceptance and detector efficiency) and Bin Migration

• $A_{(i, j)} =$ Number of Events Generated in bin j but Reconstructed in bin i Total Number of Events Generated in the jth bin

Acceptance Unfolding: $Y_i = A_{(i, j)}X_j + \beta_i \Leftrightarrow X_j = A_{(i, j)}^{-1}(Y_i - \beta_i)$

where:

- \circ Y_i = Number of events experimentally measured in the *i*-th bin
- \circ X_i = Number of acceptance-corrected events in the *j*-th bin
- \circ β_i = Number of events from outside the signal region measured in the *i*-th bin

1D Unfolding

Using the Multidimensional Kinematic Bin from prior example

3D Unfolding

Using z-P_T-φ_h Multidimensional Bins

5D Unfolding – Iteration Test

Using Q²-y-z-P_T-φ_h Multidimensional Bins

Migrations from Outside Kinematic Regions

Lines drawn here show Missing Mass Cuts in different Q2-y bins

Missing Mass Migration Contributions (Per Q2-y Bin)

Ratio of Missing Mass Bin Migrations to Total MC Events Q^2 -y Bin 14 \blacksquare

3000

2000

1000

 -0.3 0.4 0.5 0.6 0.7

y (lepton energy loss fract

 0.2

Average Contribution to MC statistics from **Missing Mass Migrations** per z-P_T bin in this Q^2 -y region is **3.29%**

Particle Misidentification

True PID of the MC Events Reconstructed as Electrons/Pions

Meant to model remaining particle misidentification not caught by PID cuts

"Unidentified" Particles are those that had a reconstructed particle that could not be matched to a generated particle within the matching criteria used

Integrating over z-P_T: misidentification rate ranges from 1.5-2.5% (depending on Q^2 -y bin), the average is ~1.8%

(About 58% of this is from Unidentified Particles on average)

The misidentification rate within individual $z-P_T$ Bins ranges from 0.8-6.5%

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Sector Dependence of φh Distributions

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Issue: Some bins seem to have additional modulations AFTER Acceptance Corrections not explained by the $Cos(\phi)$ and $Cos(2\phi)$ moments

• The 6 peak structure is related to the forward detector sectors

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Sector Dependence of φh Distributions

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PASS 1

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Issue: Some bins seem to have additional modulations AFTER Acceptance Corrections not explained by the $Cos(\phi)$ and $Cos(2\phi)$ moments

- The 6 peak structure is related to the forward detector sectors
- Plots show the φ_h distributions separated **based on which sector the π+ pion is detected**
- Additional Requirement: Electron in Sector 1
- This suggests that the effect is related to mismatching in sector acceptance between Data and Monte Carlo

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Sector Dependence of φh Distributions – Pass 2 Comparison

Issue: Some bins seem to have additional modulations AFTER Acceptance Corrections not explained by the $Cos(\phi)$ and $Cos(2\phi)$ moments

- The 6 peak structure is related to the forward detector sectors
- Plots show the φ_h distributions separated **based on which sector the π+ pion is detected**
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• **Also present in Pass 2**

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Refinement of Fiducial Cuts

Electron Hit Position Comparison

Shows the Percent Difference between the Normalized Data and Reconstructed MC For Electrons in the Particle Calorimeter

The highlighted regions show where the percent difference between Data and MC exceeds 100%

Red Lines show new (preliminary) fiducial cuts to be added

Impact of New Fiducial Cuts – Integrated z-P_T Bin

Impact of New Fiducial Cuts – Individual z-P_T Bin

 \leftarrow Much better agreement between the lab angles of both particles

> Cut starts to reduce (some) of the additional modulations for a smoother distribution of Φ_h

Some sector dependence remains despite the new cuts

On average, the particle sector makes a noticeable difference about 50% of the time* (i.e., the measurements of each sector do not agree with the measurement taken without sector dependence within the errors shown)

A sector dependences does seem to exist, but further investigation is still required

*Some of these discrepancies can be blamed on individual fits failing due to even worse acceptances that have not yet been individually addressed

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The shaded regions show the ranges of sector dependence on these measurements Further refinements to limit this dependence are ongoing

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Monte Carlo Smearing

- Momentum Smearing Corrections are designed to match the resolution effects between MC and Experimental data
- Uses exclusive reactions to compare the widths of distributions from the exclusive reactions in both data sets
	- The primary reaction used for the electron and π^* pion is $ep \rightarrow e' \pi^* (N)$
	- Follows a similar process as was used for developing Momentum Corrections for the experimental data
		- i.e., use momentum conservation calculations to derive a ∆P value between the predicted and measured momentums of a particle based on the kinematics of the other measured particle
		- Momentum smearing is focused on correcting the widths of the distributions instead of the peaks
	- Smearing functions are based on $\Delta P/P$ vs θ plots

Data and Monte Carlo Comparison (Smearing)

Data and Monte Carlo Comparison (Smearing)

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Smearing for the **Electron Form of Smearing Function:**

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 $P_{Smeared} = P_{REC} + gRandom \rightarrow Gauss(0, P_{REC} * \sigma(\theta) * SF)$

- $\sigma(\theta)$ is the difference in the widths of ∆P/P for the Unsmeared MC and Data plots
- *SF* is a constant factor that provides more control over the function's strength

Difference between widths of Smeared MC and Data

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Cosine Moments as Functions of z

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Cosine Moments as Functions of z

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Summary

- Analysis switched to Pass 2 Data
- Finalizing tests for applying the Multidimensional (5D) Acceptance Corrections for the simultaneous unfolding of Q^2 , y, z, P_T, and Φ_h variables
	- Addressed migrations from outside the kinematic region
- Momentum Smearing Corrections applied to the Monte Carlo
- Began evaluation of systematic uncertainties related to sector dependence
- New Fiducial Cuts Added
	- Still optimizing

- Further Investigations regarding Sector Dependences
- Working on adding more Pass 2 simulations
- Working on including Radiative Corrections in this analysis
- Ongoing Investigations of Vector Meson Contributions

Questions?

Acknowledgments and Thanks

- Contributions made by other members of the CLAS Collaboration and researchers at Argonne National Lab
- This work is supported by the U.S. Department of Energy, Office of Science, Office of Nuclear Physics under contract number DE-AC02-06CH11357

Backup Slides

Data Collection

• CLAS12 detector in Hall B at Jefferson Lab

- o Upgrade from the CLAS detector
- o Enabled the higher energy and statistics for our experiments, not previously accessible
- Data from the Fall 2018 RG-A experiment
	- o Used a 10.6 GeV polarized electron beam and unpolarized liquid hydrogen target
- Data presented uses forward tracking only

Particle ID (PID):

- **Electron ID:** Based on Electromagnetic Calorimeter (PCAL) and Cherenkov Counters (HTCC)
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	- \circ θ-angle Cut: 5° < θ_{particle} < 35°

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- \circ θ-angle Cut: 5° < θ_{particle} < 35°
- \circ y < 0.75 (minimize other background processes)
- $x_F > 0$ (minimize contributions from target fragmentations)
- \circ Missing Mass Cut: M_x > 1.5 GeV (limits contributions from exclusive events)

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- o Fiducial Cuts (e.g., accounts for bad channels present in data)

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Data and Monte Carlo Comparison

Event Selection (Full PIDE)

The RG-A Analysis Overview and Procedures note common particle identification scheme

(See: https://clas12-docdb.jlab.org/DocDB/0009/000949/001/RGA_Analysis_0

Electron PID Criteria:

- > 2 photoelectrons detected in the HTCC $p > 1$
- > 0.07 GeV energy deposited in the PCAL **Refin**
- Sector dependent sampling fraction cut
- "Diagonal cut" for electrons above 4.5 GeV (HTCC threshold)
- $y < 0.75$, not strictly an "electron cut", but sets the min electron energy approximately > 2.4 GeV

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Background (ß) Vector – Particle Mis-Identification (as functions of φh)

ß Vector – All Contributions (Per Q2-y Bin)

Q2-y Bin 5: Events from Generated Missing Mass Cuts make up about 0.87% of the 'Background' shown below

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Q2-y Bin 14: Events from Generated Missing Mass Cuts make up about 18.8% of the 'Background' shown below

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PASS 2

Example of (5D) Unfolding Procedure

Using the Flattened Q²-y-z-P_τ-φ_h Multidimensional Bins

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Comparisons of 1D and 3D Unfolding Procedure

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Using the Multidimensional Kinematic Bin from the prior example for this comparison

Bin-by-bin Acceptance Correction gives the exact same results

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SVD Unfolding has not been able to work so far with the Multidimensional Unfolding procedures

Comparisons of 1D and 3D Unfolding Procedure

Using the Multidimensional Kinematic Bin from the prior example for this comparison

Bayesian Unfolding gives similar results

Cosine Moments as Functions of z – Pass 1

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Cosine Moments as Functions of z – Pass 1

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Cosine Moments as Functions of z - with Pass 2

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Cosine Moments as Functions of z - with Pass 2

Modulated Unfolding Closure Tests

• Modulated the MC distributions using the formula:

 $Weight = 1 + B \cos(\phi_h) + C \cos(2\phi_h)$

- Gives the weight for each MC event based on generated Φ_h
- Parameter values currently being used in this image:
	- $B = -0.05$
	- $C = 0.025$ *(Same for every z-P_T bin)*

- Modulated MC REC is then unfolded using the un-modulated response matrix (in 1D and Multi-Dim examples) and compared with 'MC TRUE'
	- MC TRUE is the modulated MC GEN distribution
	- Also performed a closure test of unfolding the un-modulated MC REC distribution with the un-modulated response matrix to ensure the method was applied properly

Modulated Unfolding Closure Tests

The parameters used for weighing modulations below are:

B = -0.5 and C = 0.025

Results show that an **unmodulated** Simulation can correct distributions **with modulations**

Other Unfolding Closure Tests

Other closure tests being used to check that Unfolding is done properly:

- Replace the experimental data with the reconstructed Monte Carlo (no modulations)
	- o Should return the generated (i.e., MC TRUE) distribution

Momentum Corrections from Exclusive Events

- Momentum corrections are developed for the RG-A data being used in this analysis
- Designed to correct for kinematic-dependent reconstruction issues in the experimental data using well-understood reactions
- Use exclusive reactions to correct the particles' momentum as sector-dependent functions of the particles' measured azimuthal angle (φ_{lab}) and momentum
	- The primary reaction used for the electron and π^+ pion is $ep \rightarrow e' \pi^+ (N)$
	- Elastic scattering process also used to help correct the electron momentum
- Developed from momentum 4-vector conservation to calculate the ideal momentum of a particle from exclusive reactions based on the kinematics of the other particle(s)
	- Correction is taken by plotting the difference between this calculation and the measured momentum as functions of the measured momentum and ϕ_{lab}

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Momentum Corrections from Exclusive Events

These plots show Missing Mass vs. particle momentum in 3 φ bins for all 6 sectors of the detector before/after momentum corrections – **Corrections are quadratic functions of φ and momentum**

Data and Monte Carlo Comparison (Smearing)

Form of Smearing Function:

 $P_{Smeared} = P_{REC} + gRandom \rightarrow Gauss(0, P_{REC} * \sigma(\theta) * SF)$ Where $\sigma(\theta)$ is the difference in the widths of $\Delta P/P$ for the Unsmeared MC and Data plots *SF* is an additional constant smearing factor that gives me more control over the function

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A sector dependences does seem to exist, but further investigation is still required

*Some of these discrepancies can be blamed on individual fits failing due to even worse acceptances that have not yet been individually addressed

More on Boer-Mulders…

- **P** is the momentum of the proton
- k_T is the transverse momentum of the quark
- $s₁$ is the transverse spin of the quark

If the Boer-Mulders term is non-zero, then there is a net transverse quark polarization inside of unpolarized protons

Link to more Image

https://userweb.jlab.org/~richcap/Interactive_Webpage_SIDIS_richcap/In

Pass 2 Condition

- Momentum/Energy Loss Corrections in Pass 2 have been implemented
- Monte Carlo statistics are still low (using test sample)
	- o Planning to run more files soon
	- o Also hope to run using RADGEN to start including radiative effects
	- \circ Working side-by-side with Pass 1 in the meantime for better MC statistics

Pass 2 Comparisons - Acceptances

Cos(φh) Moment as Functions of z - Pass 2 Comparison

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Cos(2φh) Moment as Functions of z - Pass 2 Comparison

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Showing the Cos(φ) and Cos(2φ) Moments as functions of the particle sector

These plots show those differences in Pass 1 and Pass 2 for when the Electron (left plots) or π^+ pion (right plots) are restricted to being detected in a single sector

Images are grouped on the left and right based on Pass version of the data being used

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Sector Correlations with Cos(φ) Measurements – Pass 1 and 2

