Measurements of the Cos ϕ and Cos2 ϕ Moments of the Unpolarized SIDIS π^+ Cross-section at CLAS12







Motivation

- Semi-Inclusive Deep Inelastic Scattering (SIDIS) experiments allow us to address questions about the 3D structure of nucleons
- Azimuthal modulations in unpolarized SIDIS cross-section for charged pion electroproduction can give access to the Cahn and Boer-Mulders effects
 - **Boer-Mulders Effect:** Sensitive to the correlation between the quark's transverse momentum and intrinsic transverse spin in an unpolarized nucleon
 - Cahn Effect: Sensitive to the transverse motion of quarks inside the nucleon
- A non-zero Boer-Mulders requires quark orbital angular momentum contributions to the proton spin (aspect of the proton missing spin puzzle)





SIDIS Cross-Section and Boer-Mulders

The lepton-hadron Unpolarized SIDIS Cross-Section:



The Boer-Mulders and Cahn effects are present in the Structure Functions:







Reaction Studied: $ep \rightarrow e\pi^+(X)$





Particle ID (PID):

- Electron ID: Based on Electromagnetic Calorimeter (PCAL) and Cherenkov Counters (HTCC)
- Hadron (π⁺) ID: Based on Time-Of-Flight Counters (TOF) and the correlation of velocity (ß) and momentum

Analysis Cuts:

• SIDIS Cuts:

• W > 2 GeV

 $\circ \quad Q^2 > 2 \text{ GeV}^2$

• Other Analysis Cuts:

- $\circ \quad p_{\pi^+} \, Cut: 1.25 \; GeV < p_{\pi^+} < 5 \; GeV$
- \circ θ-angle Cut: 5° < θ_{particle} < 35°
- y < 0.75 (minimize other background processes)
- \circ x_F > 0 (minimize contributions from target fragmentations)
- $\circ~$ Missing Mass Cut: M_x > 1.5 GeV (limit on exclusive events)
- Fiducial Cuts (e.g., accounts for bad channels present in data) UCONN | UNIVERSITY OF Argonne 4





Using Data from RG-A Fall 2018

10.6 GeV Polarized Beam Unpolarized Liquid Hydrogen Target Inbending Forward Tracking Only

Analysis Procedure

Experimental extraction of cross-section



- N = Bin Yields
- N₀ = Life-time corrected incident electron flux
- BC = factor which evolves bin-averaged differential cross-section

SIDIS MC are generated with LEPTO event generator





Multidimensional Analysis Procedures

Multidimensional Kinematic Binning (5 Dimensions)



Multidimensional Analysis Procedures

Multidimensional Kinematic Binning (5 Dimensions)

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Acceptance Corrections and Bin Migration Study

• <u>Acceptance Matrix</u>: A_(*i*, *j*) describes both Acceptance (including geometric acceptance and detector efficiency) and Bin Migration

• $A_{(i, j)} = \frac{\text{Number of Events Generated in bin } j \text{ but Reconstructed in bin } i}{\text{Total Number of Events Generated in the } j \text{th bin}}$

• Acceptance Unfolding: $Y_i = A_{(i,j)}X_j + \beta_i \Leftrightarrow X_j = A_{(i,j)}^{-1}(Y_i - \beta_i)$

where:

- Y_i = Number of events experimentally measured in the *i*-th bin
- \circ X_j = Number of acceptance-corrected events in the *j*-th bin
- \circ β_i = Number of events from outside the signal region measured in the *i*-th bin





1D Unfolding

Using the Multidimensional Kinematic Bin from prior example



3D Unfolding

Using $z-P_{T}-\phi_{h}$ Multidimensional Bins

Unfolded with Bayesian Method



5D Unfolding – Iteration Test

Using Q^2 -y-z- P_T - ϕ_h Multidimensional Bins



Migrations from Outside Kinematic Regions

Lines drawn here show Missing Mass Cuts in different Q²-y bins



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outside the borders of the signal region are removed with ß vector in the unfolding procedure

Missing Mass Migration Contributions (Per Q²-y Bin)



Ratio of Missing Mass Bin Migrations to Total MC Events Q²-y Bin 14



4000

3000

0.4

0.3

0.5

0.6

y (lepton energy loss fraction

0.7

Average Contribution to MC statistics from Missing Mass Migrations per $z-P_T$ bin in this Q²-y region is 3.29%



Particle Misidentification

True PID of the MC Events Reconstructed as Electrons/Pions



Meant to model remaining particle misidentification not caught by PID cuts

"Unidentified" Particles are those that had a reconstructed particle that could not be matched to a generated particle within the matching criteria used

Integrating over z-P_T: misidentification rate ranges from 1.5-2.5% (depending on Q²-y bin), the average is ~1.8%

(About 58% of this is from Unidentified Particles on average)

The misidentification rate within individual $z-P_T$ Bins ranges from 0.8-6.5%

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<u>Sector Dependence of ϕ_h Distributions</u>



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Issue: Some bins seem to have additional modulations AFTER Acceptance Corrections not explained by the $Cos(\phi)$ and $Cos(2\phi)$ moments

• The 6 peak structure is related to the forward detector sectors



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Sector Dependence of ϕ_h Distributions

PASS 1



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Issue: Some bins seem to have additional modulations AFTER Acceptance Corrections not explained by the Cos(φ) and Cos(2φ) moments

- The 6 peak structure is related to the forward detector sectors
- Plots show the ϕ_h distributions separated based on which sector the <u> π + pion</u> is detected
- Additional Requirement: Electron in Sector 1
- This suggests that the effect is related to mismatching in sector acceptance between Data and Monte Carlo

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<u>Sector Dependence of ϕ_h Distributions – Pass 2 Comparison</u>



Issue: Some bins seem to have additional modulations AFTER Acceptance Corrections not explained by the $Cos(\phi)$ and $Cos(2\phi)$ moments

- The 6 peak structure is related to the forward detector sectors
- Plots show the ϕ_h distributions separated based on which sector the π + pion is detected
- Additional Requirement: Electron in Sector 1
- This suggests that the effect is related to mismatching in sector acceptance between Data and Monte Carlo

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Also present in Pass 2

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Refinement of Fiducial Cuts



Shows the Percent Difference between the Normalized Data and Reconstructed MC For Electrons in the Particle Calorimeter

The highlighted regions show where the percent difference between Data and MC exceeds 100%

Red Lines show new (preliminary) fiducial cuts to be added





Impact of New Fiducial Cuts – Integrated z-P_T Bin



Impact of New Fiducial Cuts – Individual z-P_T Bin



 χ^2

20





 \leftarrow Much better agreement between the lab angles of both particles

Cut starts to reduce (some) of the additional modulations for a smoother distribution of ϕ_h





The shaded regions show the ranges of sector dependence on these measurements Further refinements to limit this dependence are ongoing







The shaded regions show the ranges of sector dependence on these measurements Further refinements to limit this dependence are ongoing





Monte Carlo Smearing

- Momentum Smearing Corrections are designed to match the resolution effects between MC and Experimental data
- Uses exclusive reactions to compare the widths of distributions from the exclusive reactions in both data sets
 - The primary reaction used for the electron and π^+ pion is $ep \rightarrow e'\pi^+(N)$
 - Follows a similar process as was used for developing Momentum Corrections for the experimental data
 - i.e., use momentum conservation calculations to derive a ΔP value between the predicted and measured momentums of a particle based on the kinematics of the other measured particle
 - Momentum smearing is focused on correcting the widths of the distributions instead of the peaks
 - Smearing functions are based on $\Delta P/P$ vs θ plots





Data and Monte Carlo Comparison (Smearing)

Form of Smearing Function: Smearing for the **π⁺ Pion** $P_{Smeared} = P_{REC} + gRandom \rightarrow Gaus(0, P_{REC} * \sigma(\theta) * SF)$ Plots of $\Delta P/P$ vs θ for Data, Unsmeared MC, and Smeared MC • $\sigma(\theta)$ is the difference in the widths of $\Delta P/P$ for the Smeared Monte Carlo Unsmeared MC and Data plots **Experimental Data (Corrected)** $\frac{\Delta P}{D}$ vs $\theta_{\pi^+ \text{ Pior}}$ - vs θ_+ Βi $-\frac{\Delta P}{D}$ vs $\theta_{\pi^+ Pion}$ Pass 2 Pass 2 • SF is a constant factor that provides more control over the function's strength Difference between widths of Smeared MC and Data σ of the $\Delta P/P_{\pi^* Pion}$ Plots (Data) $\Delta \sigma_{\text{Data-MC}}$ of ΔP Pass 2 Pass 2 0.01406 ± 0.000416 + 0.004861 0.04 3.817e-06 ± 1.212e-06 Shown with the peak positions and widths of the fitted distributions Office of Science Jefferson Lab C

PASS 2

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Data and Monte Carlo Comparison (Smearing)

Smearing for the **Electron**

Plots of $\Delta P/P$ vs θ for Data, Unsmeared MC, and Smeared MC Experimental Data (Corrected) -vs θ. Shown with the peak positions and widths of the fitted distributions

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Form of Smearing Function:

 $P_{Smeared} = P_{REC} + gRandom \rightarrow Gaus(0, P_{REC} * \sigma(\theta) * SF)$

- $\sigma(\theta)$ is the difference in the widths of $\Delta P/P$ for the Unsmeared MC and Data plots
- *SF* is a constant factor that provides more control over the function's strength

Difference between widths of Smeared MC and Data



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Cosine Moments as Functions of z



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Cosine Moments as Functions of z





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Summary

- Analysis switched to Pass 2 Data
- Finalizing tests for applying the Multidimensional (5D) Acceptance Corrections for the simultaneous unfolding of Q², y, z, P_T, and ϕ_h variables
 - Addressed migrations from outside the kinematic region
- Momentum Smearing Corrections applied to the Monte Carlo
- Began evaluation of systematic uncertainties related to sector dependence
- New Fiducial Cuts Added
 - Still optimizing







- Further Investigations regarding Sector Dependences
- Working on adding more Pass 2 simulations •
- Working on including Radiative Corrections in this analysis \bullet
- **Ongoing Investigations of Vector Meson Contributions**







Questions?

Acknowledgments and Thanks

- Contributions made by other members of the CLAS Collaboration and researchers at Argonne National Lab
- This work is supported by the U.S. Department of Energy, Office of Science, Office of Nuclear Physics under contract number DE-AC02-06CH11357





Backup Slides





Data Collection



CLAS12 Detector

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- CLAS12 detector in Hall B at Jefferson Lab
 - \circ $\,$ Upgrade from the CLAS detector $\,$
 - Enabled the higher energy and statistics for our experiments, not previously accessible
- Data from the Fall 2018 RG-A experiment
 - Used a 10.6 GeV polarized electron beam and unpolarized liquid hydrogen target
- Data presented uses forward tracking only



Particle ID (PID):

- **Electron ID:** Based on Electromagnetic Calorimeter (PCAL) and Cherenkov Counters (HTCC)
- Hadron (π^+) ID: Based on Time-Of-Flight Counters (TOF) and the correlation of velocity (β) and momentum



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π^+ Pion PID – ß vs p





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Particle ID (PID):

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Analysis Cuts:

- **SIDIS Cuts:**
 - W > 2 GeV
 - $Q^2 > 2 \text{ GeV}^2$ Ο
- **Other Analysis Cuts:**
 - $p_{\pi+}$ Cut: 1.25 GeV < $p_{\pi+}$ < 5 GeV
 - \circ θ-angle Cut: 5° < θ_{particle} < 35°



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- \circ θ-angle Cut: 5° < θ_{particle} < 35°
- y < 0.75 (minimize other background processes)
- \circ x_F > 0 (minimize contributions from target fragmentations)
- \circ Missing Mass Cut: M_x > 1.5 GeV (limits contributions from exclusive events)



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Particle ID (PID):

- **Electron ID:** Based on Electromagnetic Calorimeter (PCAL) and Cherenkov Counters (HTCC)
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 - W > 2 GeV
 - $Q^2 > 2 GeV^2$ Ο
- **Other Analysis Cuts:**
 - $p_{\pi+}$ Cut: 1.25 GeV < $p_{\pi+}$ < 5 GeV Ο

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- θ -angle Cut: 5° < $\theta_{particle}$ < 35° Ο
- y < 0.75 (minimize other background processes) Ο
- $x_F > 0$ (minimize contributions from target fragmentations) Ο
- Missing Mass Cut: $M_x > 1.5$ GeV (limits contributions from exclusive events) Ο
- Fiducial Cuts (e.g., accounts for bad channels present in data) Ο



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Data and Monte Carlo Comparison







Event Selection (Full PID)

The RG-A Analysis Overview and Procedures note goes into detail about the common particle identification scheme used for RG-A

(See: <u>https://clas12-docdb.jlab.org/DocDB/0009/000949/001/RGA_Analysis_Overview_and_Procedures-08172020.pdf</u>)

Electron PID Criteria:

- Detected in Forward Detector
- > 2 photoelectrons detected in the HTCC
- > 0.07 GeV energy deposited in the PCAL
- Sector dependent sampling fraction cut

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- "Diagonal cut" for electrons above 4.5 GeV (HTCC threshold)
- y < 0.75, not strictly an "electron cut", but sets the min electron energy approximately > 2.4 GeV

Pion PID Criteria:

- Detected in Forward Detector
- p > 1.25 GeV
- Refined chi2pid cuts





Background (B) Vector – Particle Mis-Identification (as functions of \phi_h)



<u>ß Vector – All Contributions (Per Q²-y Bin)</u>

Q²-y Bin 5: Events from Generated Missing Mass Cuts make up about 0.87% of the 'Background' shown below



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Q²-y Bin 14: Events from Generated Missing Mass Cuts make up about 18.8% of the 'Background' shown below



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PASS 2

Example of (5D) Unfolding Procedure

Using the Flattened Q^2 -y-z- P_T - ϕ_h Multidimensional Bins

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Response Matrix of 5D Kinematic Bins (Q $^{2}+y+z+P_{+}+\phi_{h}$) Pass 1 — All Q²-y-z-P₊ Bins — Total Number of Bins: <u>11816</u> Integral 7.538e+07 5D Kinematic Bins (Q 2 +y+z+P $_{T}$ + ϕ) GEN Bins 10⁶ 10000 10⁵ 8000 10⁴ 6000 10³ 4000 10² PRELIMINARY 2000 10 8000 6000 2000 4000 10000 5D Kinematic Bins (Q $^{2}+y+z+P_{T}+\phi_{h}$) REC Bins

Comparisons of 1D and 3D Unfolding Procedure

Using the Multidimensional Kinematic Bin from the prior example for this comparison



Bin-by-bin Acceptance Correction gives the exact same results

Distributions of

Bayesian

250

Parameter A 1.831e+04 ± 7.859e+01

Parameter C 0.0002148 ± 0.0001604

60.32 / 21

350

Φ.

 -0.1523 ± 0.0067

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300

 χ^2 / ndf

Parameter B

SVD Unfolding has not been able to work so far with the Multidimensional Unfolding procedures



Comparisons of 1D and 3D Unfolding Procedure

Using the Multidimensional Kinematic Bin from the prior example for this comparison



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Bin-by-bin Acceptance Correction gives the exact same results

Bayesian Unfolding gives similar results

Cosine Moments as Functions of z – Pass 1



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Cosine Moments as Functions of z – Pass 1



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Cosine Moments as Functions of z - with Pass 2



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Cosine Moments as Functions of z - with Pass 2



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Modulated Unfolding Closure Tests

• Modulated the MC distributions using the formula:

Weight = $1 + B \cos(\phi_h) + C \cos(2\phi_h)$

- Gives the weight for each MC event based on generated $\varphi_{\rm h}$
- Parameter values currently being used in this image:

(Same for every z- P_T bin)

- B = -0.05
- C = 0.025



- Modulated MC REC is then unfolded using the un-modulated response matrix (in 1D and Multi-Dim examples) and compared with 'MC TRUE'
 - MC TRUE is the modulated MC GEN distribution
 - Also performed a closure test of unfolding the un-modulated MC REC distribution with the un-modulated response matrix to ensure the method was applied properly





Modulated Unfolding Closure Tests

The parameters used for weighing modulations below are:

B = -0.5 and C = 0.025

Results show that an unmodulated Simulation can correct distributions with modulations



Other Unfolding Closure Tests

Other closure tests being used to check that Unfolding is done properly:

- Replace the experimental data with the reconstructed Monte Carlo (no modulations)
 - Should return the generated (i.e., MC TRUE) distribution



Momentum Corrections from Exclusive Events

- Momentum corrections are developed for the RG-A data being used in this analysis
- Designed to correct for kinematic-dependent reconstruction issues in the experimental data using well-understood reactions
- Use exclusive reactions to correct the particles' momentum as sector-dependent functions of the particles' measured azimuthal angle (ϕ_{lab}) and momentum
 - The primary reaction used for the electron and π^+ pion is $ep \rightarrow e'\pi^+(N)$
 - Elastic scattering process also used to help correct the electron momentum
- Developed from momentum 4-vector conservation to calculate the ideal momentum of a particle from exclusive reactions based on the kinematics of the other particle(s)
 - Correction is taken by plotting the difference between this calculation and the measured momentum as functions of the measured momentum and ϕ_{lab}

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Momentum Corrections from Exclusive Events

These plots show Missing Mass vs. particle momentum in 3 ϕ bins for all 6 sectors of the detector before/after momentum corrections – **Corrections are quadratic functions of \phi and momentum**



Data and Monte Carlo Comparison (Smearing)



Form of Smearing Function:

 $P_{Smeared} = P_{REC} + gRandom \rightarrow Gaus(0, P_{REC} * \sigma(\theta) * SF)$ Where $\sigma(\theta)$ is the difference in the widths of $\Delta P/P$ for the Unsmeared MC and Data plots SF is an additional constant smearing factor that gives me more control over the function



Data and Monte Carlo Comparison (Smearing)



Form of Smearing Function:

 $P_{Smeared} = P_{REC} + gRandom \rightarrow Gaus(0, P_{REC} * \sigma(\theta) * SF)$ Where $\sigma(\theta)$ is the difference in the widths of $\Delta P/P$ for the Unsmeared MC and Data plots SF is an additional constant smearing factor that gives me more control over the function





Some sector dependence remains despite the new cuts

On average, the particle sector makes a noticeable difference about 50% of the time* (i.e., the measurements of each sector do not agree with the measurement taken without sector dependence to within the errors shown)

A sector dependences does seem to exist, but further investigation is still required

*Some of these discrepancies can be blamed on individual fits failing due to even worse acceptances that have not yet been individually addressed



More on Boer-Mulders...





- **P** is the momentum of the proton
- \mathbf{k}_{T} is the transverse momentum of the quark
- **s**₁ is the transverse spin of the quark

If the Boer-Mulders term is non-zero, then there is a net transverse quark polarization inside of unpolarized protons







Link to more Images:

https://userweb.jlab.org/~richcap/Interactive_Webpage_SIDIS_richcap/Interactive_Unfolding_Page_Updated.html





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Pass 2 Condition

- Momentum/Energy Loss Corrections in Pass 2 have been implemented
- Monte Carlo statistics are still low (using test sample)
 - Planning to run more files soon
 - Also hope to run using RADGEN to start including radiative effects
 - Working side-by-side with Pass 1 in the meantime for better MC statistics





Pass 2 Comparisons - Acceptances



Cos(ϕ_h) Moment as Functions of z - Pass 2 Comparison



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Cos($2\phi_h$) Moment as Functions of z - Pass 2 Comparison



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Sector Correlations with $Cos(\phi)$ and $Cos(2\phi)$ Measurements



Showing the Cos(φ) and Cos(2φ) Moments as functions of the particle sector

These plots show those differences in Pass 1 and Pass 2 for when the Electron (left plots) or π^+ pion (right plots) are restricted to being detected in a single sector

Images are grouped on the left and right based on Pass version of the data being used

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<u>Sector Correlations with Cos(φ) Measurements – Pass 1 and 2</u>

