Nucleon Polarizabilities

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2024 JLUO Annual Meeting

June 2024



Outline

Introduction to the VCS and GPs

Status

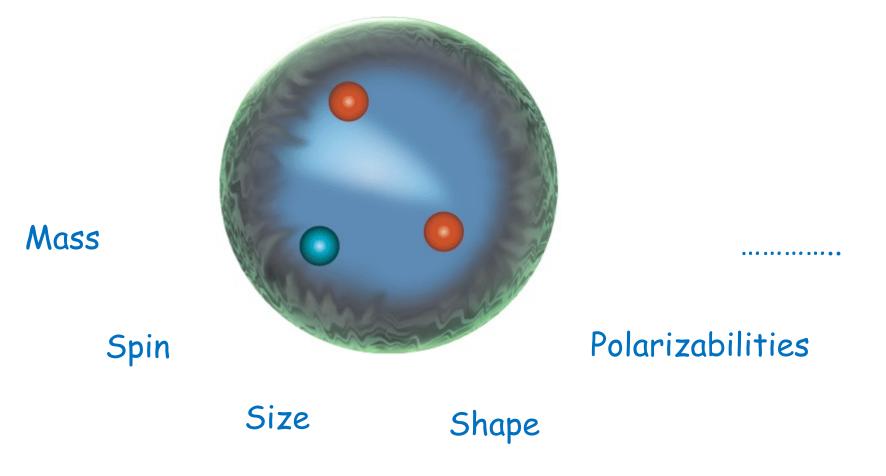
Results from recent experiments / Jlab & MAMI

Spatial information & polarizability radii

Prospects

Our mission: Explain how the proton emerges from the dynamics of the quark & gluon constituents

How to accomplish: Measure precisely and understand the emergence of the fundamental properties of the proton's bound state



Proton Polarizablities

Fundamental structure constants (such as mass, size, shape, ...)

Response of the nucleon to external EM field

Sensitive to the full excitation spectrum

Accessed experimentally through Compton Scattering

RCS: static polarizabilities \rightarrow net effect on the nucleon

Virtual Compton Scattering:

Virtuality of photon gives access to the GPs: $\alpha_F(Q^2)$ & $\beta_M(Q^2)$ + spin GPs

- spatial distribution of the polarization densities
- electric & magnetic polarizability radii

Fourier transform of densities of electric charges and magnetization of a nucleon deformed by an applied EM field

PDG

Baryon Summary Table

$$N$$
 BARYONS $(S = 0, I = 1/2)$

 $p, N^+ = uud; \quad n, N^0 = udd$

p $I(J^P) = \frac{1}{2}(\frac{1}{2}^+)$

> Mass $m = 1.00727646681 \pm 0.000000000009 \,\mathrm{u}$ Mass $m = 938.272046 \pm 0.000021$ MeV [a] $\left|m_p - m_{\overline{p}}\right|/m_p < 7 imes 10^{-10}$, CL = 90% [b] $\left|\frac{q_{\overline{p}}^{r}}{m_{\overline{n}}}\right|/\left(\frac{q_{p}^{r}}{m_{o}}\right) = 0.99999999991 \pm 0.0000000000099$

 $|q_p + q_{\overline{p}}|/e < 7 \times 10^{-10}$, CL = 90% [b]

 $|q_p + q_e|/e < 1 \times 10^{-21} [c]$

Magnetic moment $\mu = 2.792847356 \pm 0.000000023 \,\mu_N$

 $(\mu_D + \mu_{\overline{D}}) / \mu_D = (0 \pm 5) \times 10^{-6}$

Electric dipole moment $d < 0.54 \times 10^{-23}$ ecm

Electric polarizability $\alpha = (11.2 \pm 0.4) \times 10^{-4} \text{ fm}^3$ Magnetic polarizability $\beta = (2.5 \pm 0.4) \times 10^{-4} \text{ fm}^3$ (S = 1.2)

Charge radius, μp Lamb shift = 0.84087 \pm 0.00039 fm [d] Charge radius, ep CODATA value = 0.8775 \pm 0.0051 fm [d]

Magnetic radius $= 0.777 \pm 0.016$ fm

Mean life $\tau > 2.1 \times 10^{29}$ years, CL = 90% [e] (p \rightarrow invisible

Mean life $au > 10^{31}$ to 10^{33} years $^{[e]}$ (mode dependent)

Proton Polarizablities

Fundamental structure constants (such as mass, size, shape, ...)

PDG '20 Experiment MAMI-A2 '22 HIGS '22 $\alpha_F [10^{-4} \, \text{fm}^3]$ Response of the nucleon to external EM field Wang et al. '23 Sensitive to the full excitation spectrum Petmold et al. '10 Accessed experimentally through Compton Scoperhard et al. 194 BχPT p^4/Δ Lensky et al. '15

RCS: static polarizabilities \rightarrow net effect on the nucleon

Virtual Compton Scattering:

Virtuality of photon gives access to the GPs: $\alpha_F(Q^2)$ & $\beta_M(Q^2)$ + spin GPs

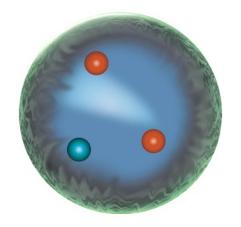
- spatial distribution of the polarization densities
- electric & magnetic polarizability radii

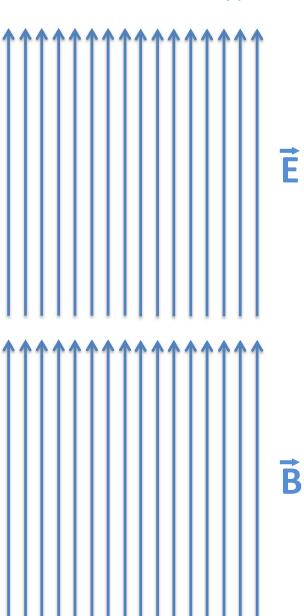
Fourier transform of densities of electric charges and magnetization of a nucleon deformed by an applied EM field

Scalar Polarizablities

Response of internal structure to an applied EM field

Interaction of the EM field with the internal structure of the nucleon

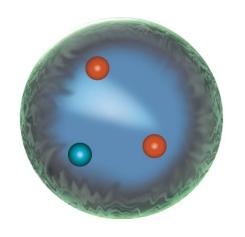


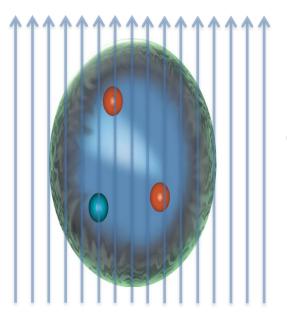


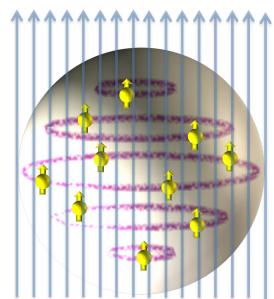
Scalar Polarizablities

Response of internal structure to an applied EM field

Interaction of the EM field with the internal structure of the nucleon







"stretchability"

 $\vec{d}_{E \text{ induced}} \sim \vec{\alpha} \vec{E}$

External field deforms the charge distribution

"alignability"

 $\vec{d}_{M \text{ induced}} \sim \vec{\beta} \vec{B}$

 $\beta_{para} > 0$

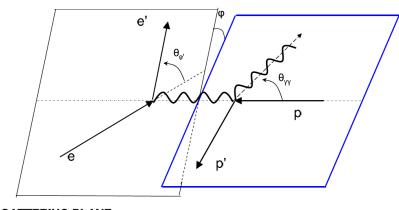
 $\beta_{diam} < 0$

Paramagnetic: proton spin aligns with the external magnetic field

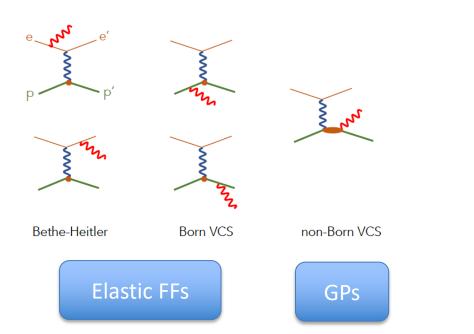
Diamagnetic: π -cloud induction produces field counter to the external perturbation

Virtual Compton Scattering

REACTION PLANE



SCATTERING PLANE



Virtual Compton Scattering

DR

valid below & above Pion threshold



Dispersive integrals

Spin GPs are fixed

Scalar GPs have an unconstrained part

Fit to the experimental cross sections at each Q²



valid only below Pion threshold



$$d^5\sigma = d^5\sigma^{BH+Born} + q'_{cm} \cdot \phi \cdot \Psi_0 + \mathcal{O}(q'^2_{cm})$$

$$d^{5}\sigma = d^{5}\sigma^{BH+Born} + q'_{cm} \cdot \phi \cdot \Psi_{0} + \mathcal{O}(q'^{2}_{cm})$$

$$\Psi_{0} = v_{1} \cdot (P_{LL} - \frac{1}{\epsilon}P_{TT}) + v_{2} \cdot P_{LT}$$



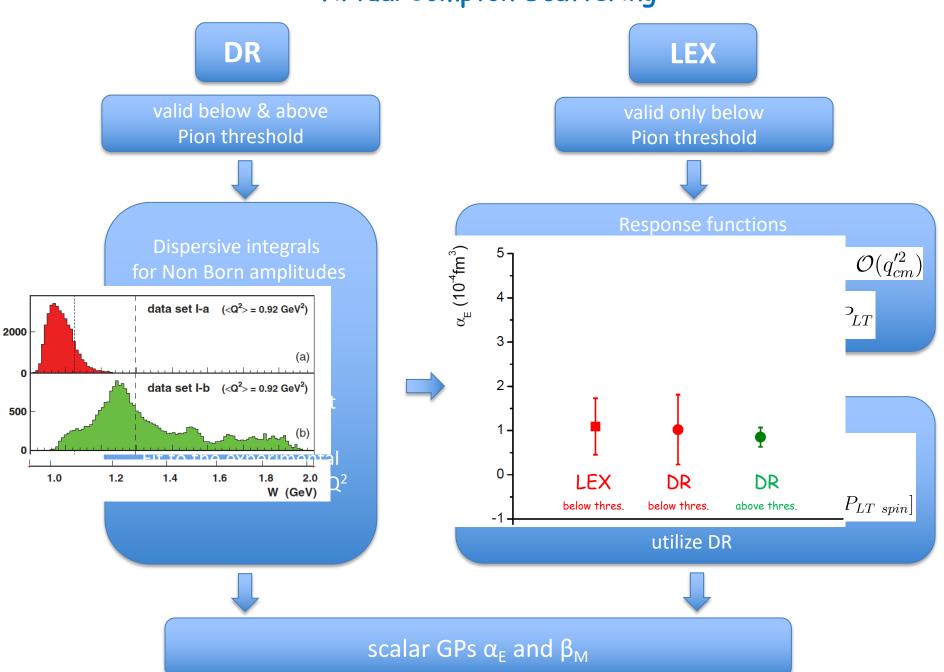
$$P_{TT} = [P_{TT \ spin}]$$

$$P_{LT} = -\frac{2M}{\alpha_{em}} \sqrt{\frac{q_{cm}^2}{Q^2}} \cdot G_E^p(Q^2) \cdot \beta_M(Q^2) + [P_{LT \ spin}]$$

utilize DR

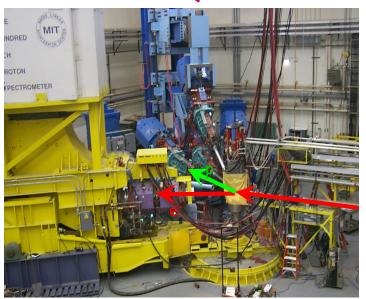


Virtual Compton Scattering

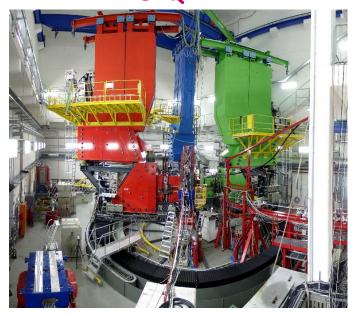


Early Experiments

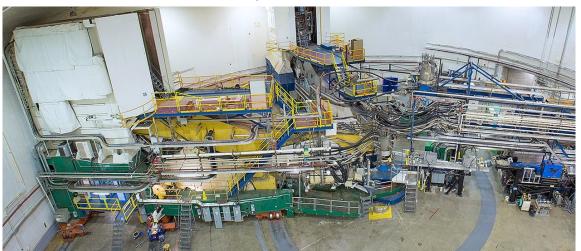
MIT-Bates @ Q²=0.06 GeV²



MAMI-A1 @ Q²=0.33 GeV²

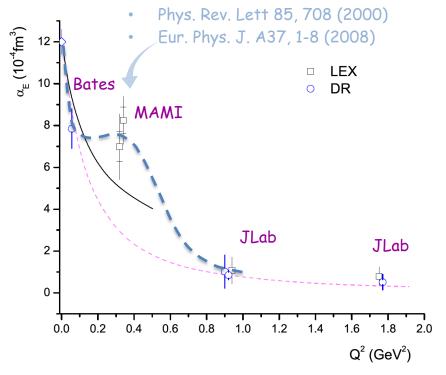


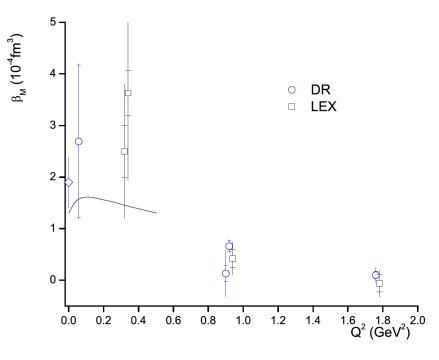
Jlab-Hall A @ Q2=0.9 & 1.8 GeV2



Early Experiments

 $Q^2 = 0.33 (GeV/c)^2$ measured twice at MAMI:

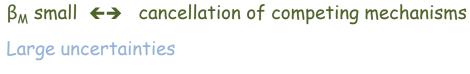




 $a_E \approx 10^{-3} V_N$ (stiffness / relativistic character)

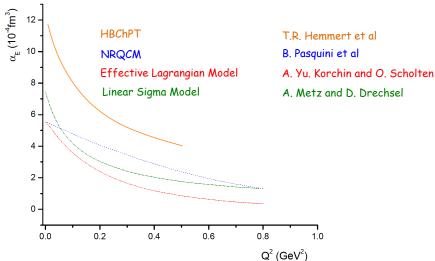
Data: non-trivial Q^2 dependence of a_E (?)

Theory: monotonic fall-off



Higher precision measurements needed

→ Quantify balance between dia/para-magnetism



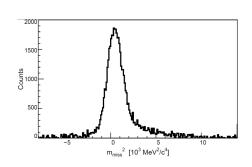
Recent Experiments

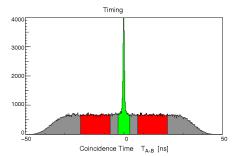
Recent Measurements: MAMI

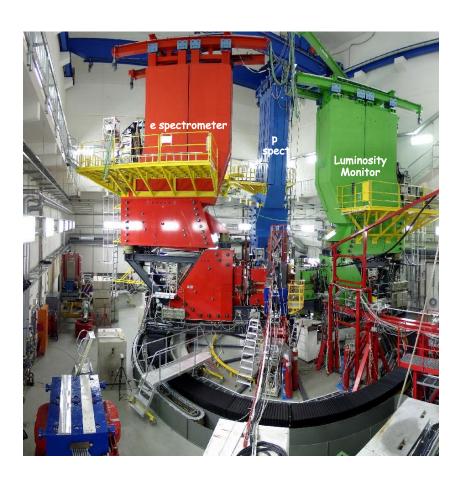
MAMI A1/1-09 (vcsq2) below threshold

MAMI A1/3-12 (vcsdelta) above threshold

Both experiments utilized the A1 setup at MAMI







A1/1-09 @ MAMI

Several improvements were implemented compared to the early MAMI experiments.

e.g. for LEX the higher order terms have to be kept small / under control

$$d^5\sigma = d^5\sigma^{BH+Born} + q'_{cm} \cdot \phi \cdot \Psi_0 + \mathcal{O}(q'^2_{cm})$$

Refined analysis procedure / phase space masking to keep these terms smaller than $\sim 2\%-3\%$ level

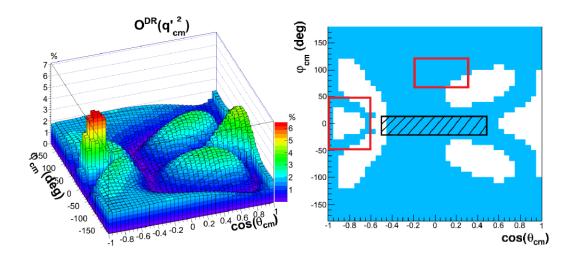
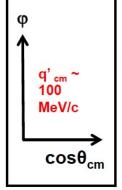
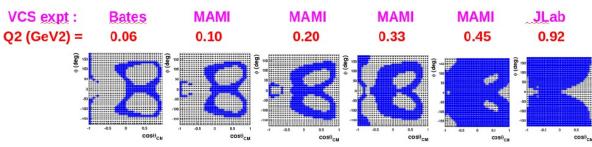


Figure 3.13: (Left) behavior of $\mathcal{O}^{DR}(q'_{cm}^2)$ in the $(cos(\theta_{cm}), \varphi_{cm})$ -plane at $q'_{cm} = 87.5 \ MeV/c$ and (right) two-dimensional representation of the angular region where $\mathcal{O}^{DR}(q'_{cm}^2) < 2\%$ (blue), the red squares correspond to the two areas of interest to perform the GP extraction.

Figure from PhD thesis of L. Correa, Mainz / Cl. Ferrand

Blue bins = where the higher-order estimator is < 3% (LEX truncation « valid »)





New « vcsq2 » data:

- OOP kinematics (to access the blue region)
- -LEX Fit done with bin selection at $Q^2 = 0.1$ and 0.2 GeV^2 .
- was found not necessary at $Q^2 = 0.45 \text{ GeV}^2$.





In-plane

8.5 deg OOP

A1/1-09 @ MAMI

~ 1.0 GeV beam

 $Q^2 = 0.1 (GeV/c)^2$, 0.2 $(GeV/c)^2$, and 0.45 $(GeV/c)^2$

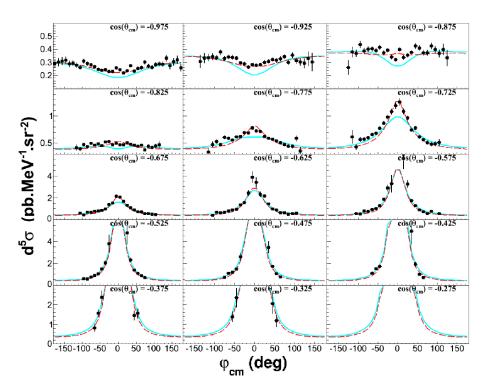


Figure 5.8: Setting INP: measured $ep \to ep\gamma$ cross section at fixed $q'_{cm} = 112.5~MeV/c$ with respect to φ_{cm} for all the $cos(\theta_{cm})$ -bins. The curves follow the convention of figure 5.6.

Figure from PhD thesis of L. Correa, Mainz / Cl. Ferrand

Polarizability ---

GP effect typically 5% - 15% of the cross section

Polarizability fits:

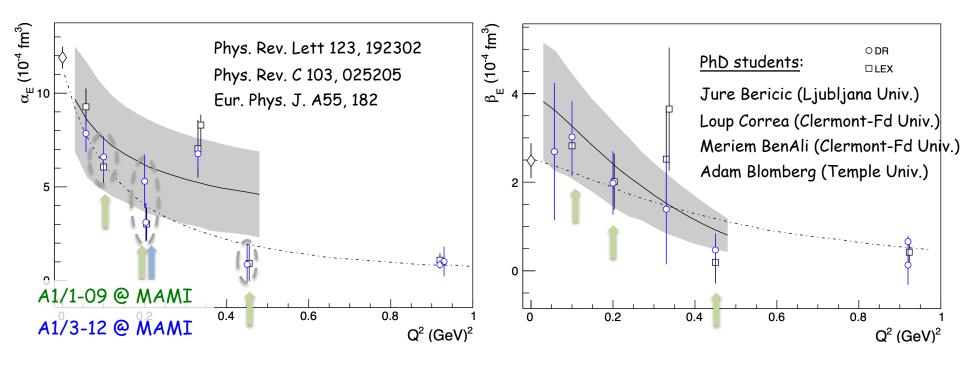
DR fit:

DR calculation includes full dependency in q'cm

LEX fit:

truncated in q'cm. Suppress contribution from higher order terms

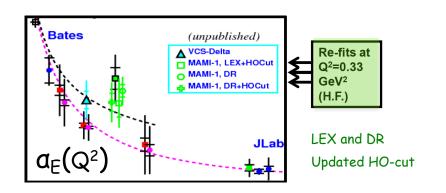
MAMI Results

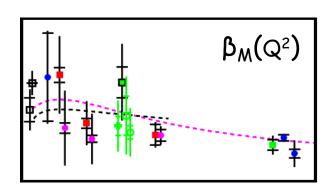


Revisiting the Q²=0.33 GeV² data

Analysis revisited (unpublished):

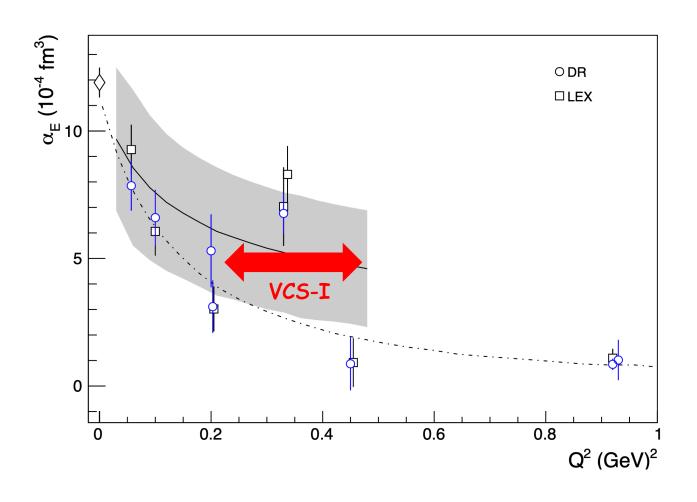


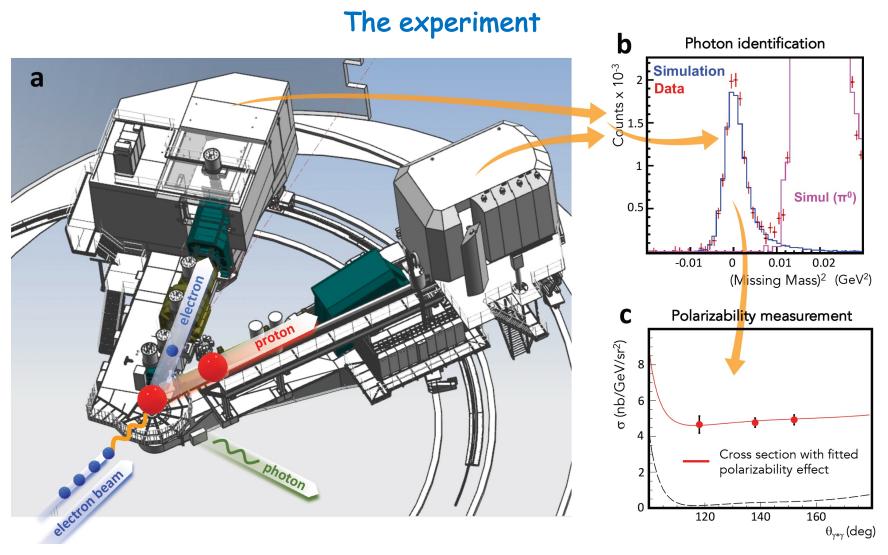




Jlab: VCS-I Experiment (E12-15-001) in Hall C

High precision measurements targeting explicitly the kinematics of interest for α_{E}





Hall C: SHMS, HMS 4.56 GeV

20 μΑ

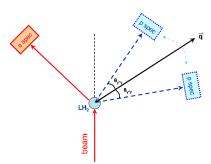
Liquid hydrogen 10 cm

cross sections & azimuthal asymmetries

$$A_{(\phi_{\gamma^*\gamma}=0,\pi)} = \frac{\sigma_{\phi_{\gamma^*\gamma}=0} - \sigma_{\phi_{\gamma^*\gamma}=180}}{\sigma_{\phi_{\gamma^*\gamma}=0} + \sigma_{\phi_{\gamma^*\gamma}=180}}$$

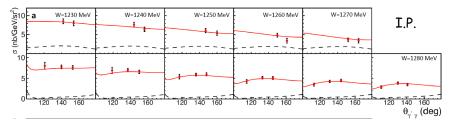
sensitivity to GPs

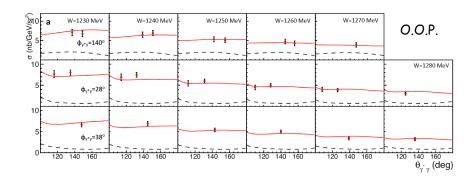
suppression of systematic asymmetries



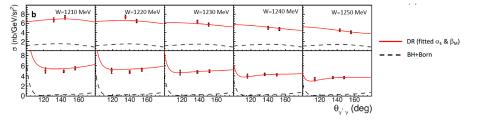
VCS-I results: cross sections

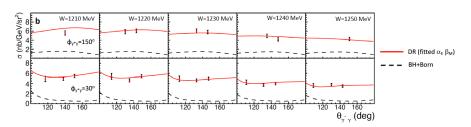
Q2=0.27 GeV2



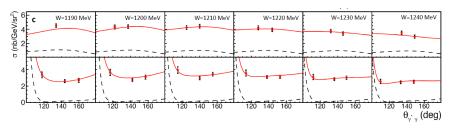


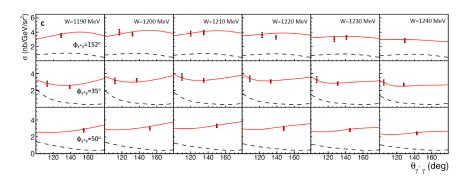
Q2=0.33 GeV2





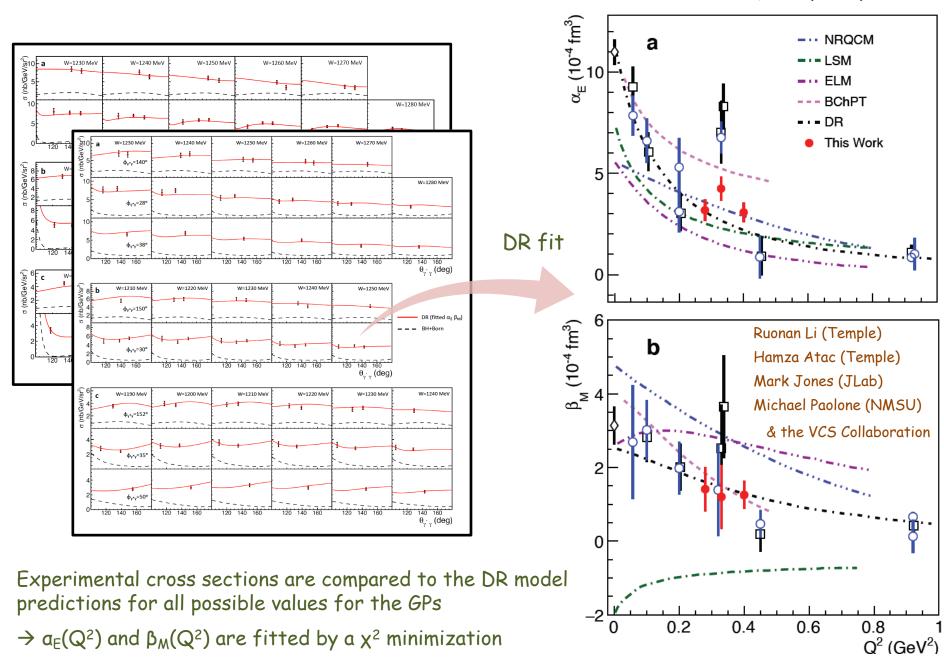
Q2=0.40 GeV2





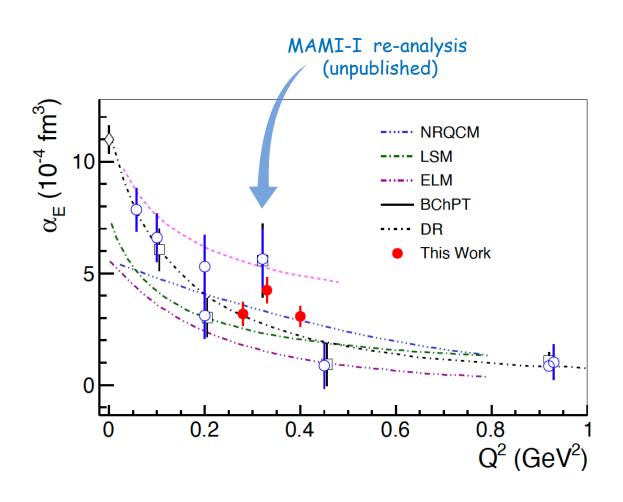
VCS-I results: GPs

Nature 611, 265 (2022)

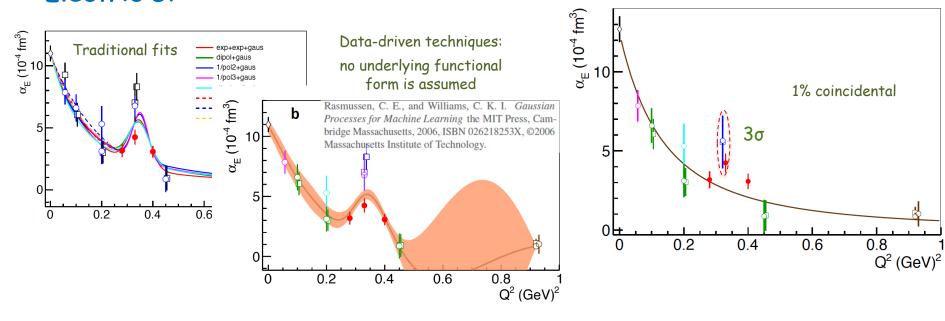


Electric GP

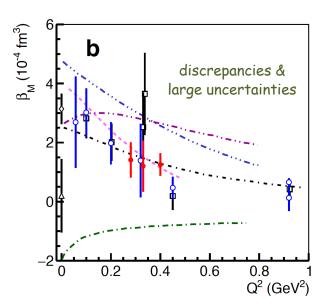
Is there a non-trivial structure vs Q^2 ?



Electric GP



Magnetic GP



Is the observed a_F structure coincidental or not?

If true: Measure the shape precisely \rightarrow input to theory

If not: We are able to show it with more measurements

Strong tension between world data (?)

Something we do not yet understand well? Underestimated uncertainties? ...

Magnetic GP: Large uncertainties & discrepancies
Precision and consistent systematics are needed to
disentangle para/dia-magnetism in the proton

Theory: BXPT

BxPT calculation to NLO in the δ -counting scheme DR calculation D. Drechsel, B. Pasquini, M. Vanderhaeghen, Phys. Rep. 378,99 (2003)

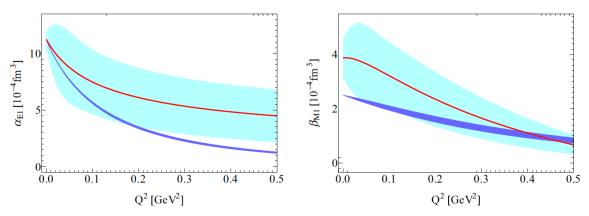
Eur. Phys. J. C (2017) 77:119 DOI 10.1140/epjc/s10052-017-4652-9 THE EUROPEAN PHYSICAL JOURNAL C

Regular Article - Theoretical Physics

Generalized polarizabilities of the nucleon in baryon chiral perturbation theory

Vadim Lensky^{1,2,3,a}, Vladimir Pascalutsa¹, Marc Vanderhaeghen¹

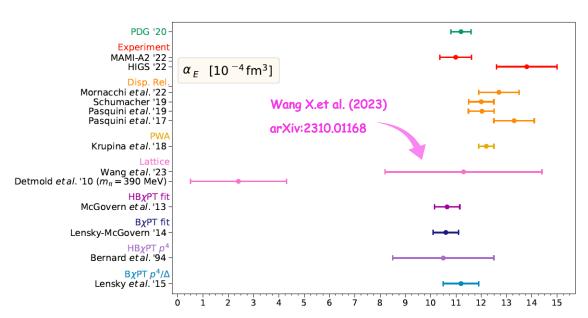
- ¹ Institut für Kernphysik, Cluster of Excellence PRISMA, Johannes Gutenberg Universität Mainz, 55128 Mainz, Germany
- ² Institute for Theoretical and Experimental Physics, Moscow 117218, Russia
- ³ National Research Nuclear University MEPhI (Moscow Engineering Physics Institute), Moscow 115409, Russia



Theory: Lattice QCD

Lattice QCD results for the static polarizabilities

Next step: Lattice QCD calculations for the GPs



Analysis of the resonance contributions to the low-energy behavior of $a_E(Q^2) + \beta_M(Q^2)$ within holographic QCD

Nucleon electric and magnetic polarizabilities in Holographic QCD

Federico Castellania,b

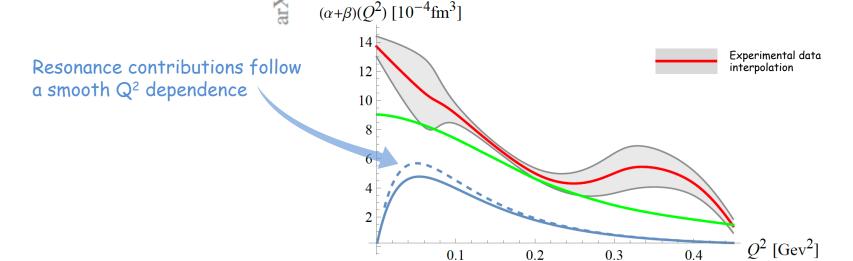
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Via G. Sansone 1, I-50019 Sesto Fiorentino (Firenze), Italy.

^bDipartimento di Fisica e Astronomia, Universitá di Firenze, Via G. Sansone 1, I-50019 Sesto Fiorentino (Firenze), Italy.

E-mail: federico.castellani@unifi.it

ABSTRACT: Novel experimental results for the proton generalized electric polarizability, suggest an unexpected deviation from current theoretical predictions at low momentum transfer squared Q^2 . Motivated by this puzzle, we analyze the resonance contributions to the sum of the generalized electric and magnetic nucleon polarizabilities $\alpha_E(Q^2)$ and $\beta_M(Q^2)$, within the Holographic QCD model by Witten, Sakai, and Sugimoto (WSS). In particular, we account for the contributions from the first low-lying nucleon resonances with spin 1/2 and 3/2 and both parities. After having extrapolated the WSS model parameters to fit experimental data on baryonic observables, our findings suggest that the resonance contributions alone do not solve the above-mentioned puzzle. Moreover, at least for the proton case, where data are available, our results are in qualitative agreement with resonance contributions extracted from experimental nucleon-resonance helicity amplitudes.



Spatial dependence of induced polarizations

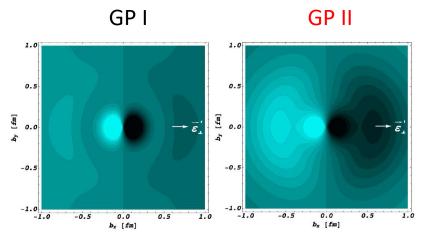
Nucleon form factor data → light-front quark charge densities

Formalism extended to the deformation of these quark densities when applying an external e.m. field:

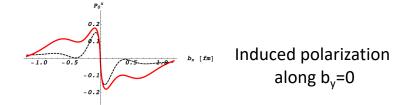
GPs → spatial deformation of charge & magnetization densities under an applied e.m. field

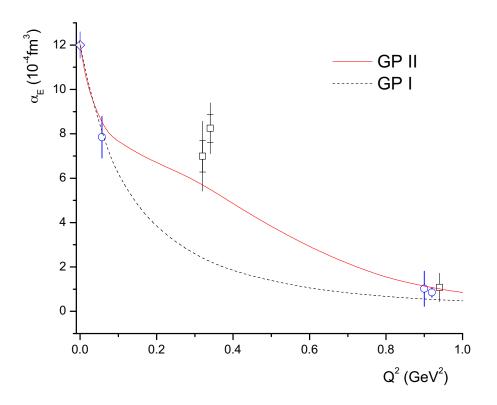
Induced polarization in a proton when submitted to an e.m. field

Phys. Rev. Lett. 104, 112001 (2010) M. Gorchtein, C. Lorce, B. Pasquini, M. Vanderhaeghen



Light (dark) regions → largest (smaller) values (photon polarization along x-axis, as indicated)





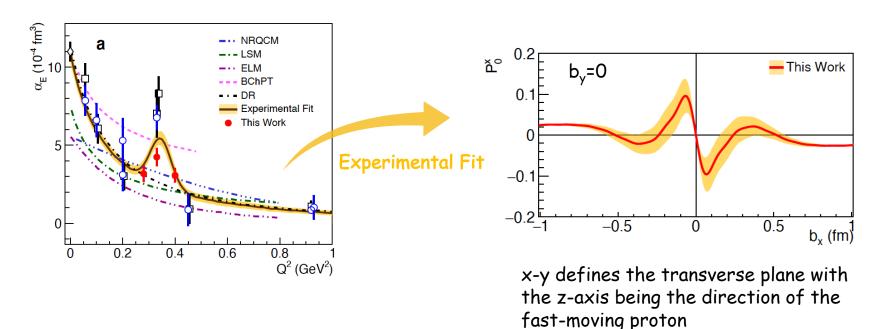
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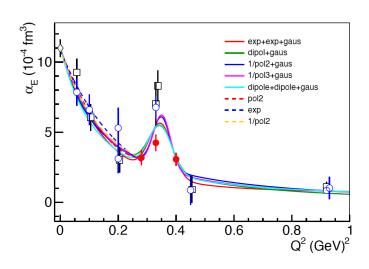
Induced polarization in a proton when submitted to an e.m. field

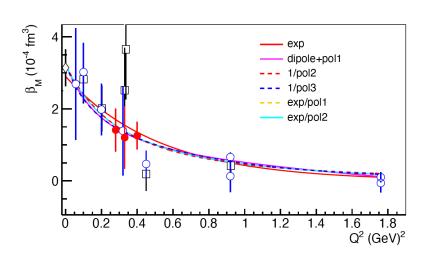


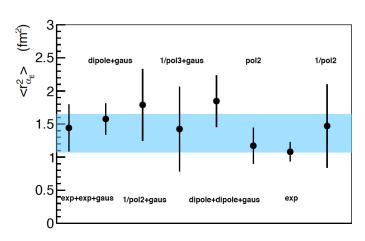
$$\langle r_{\alpha_E}^2 \rangle = \frac{-6}{\alpha_E(0)} \cdot \frac{d}{dQ^2} \alpha_E(Q^2) \bigg|_{Q^2 = 0} \qquad \qquad \langle r_{\beta_M}^2 \rangle = \frac{-6}{\beta_M(0)} \cdot \frac{d}{dQ^2} \beta_M(Q^2) \bigg|_{Q^2 = 0}$$

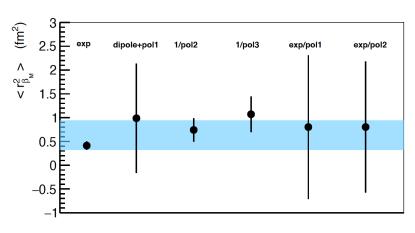
$$\langle r_{\alpha_E}^2 \rangle = \frac{-6}{\alpha_E(0)} \cdot \frac{d}{dQ^2} \alpha_E(Q^2) \bigg|_{Q^2=0}$$

$$\langle r_{\beta_M}^2 \rangle = \frac{-6}{\beta_M(0)} \cdot \frac{d}{dQ^2} \beta_M(Q^2) \bigg|_{Q^2=0}$$





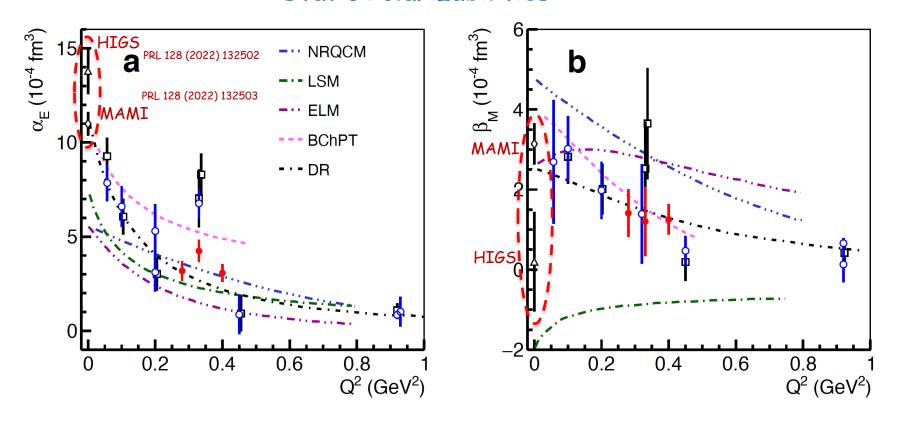




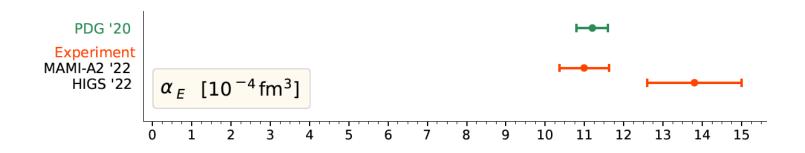
$$\langle r_{\alpha_E}^2 \rangle = 1.36 \pm 0.29 \text{ fm}^2$$

$$\langle r_{\beta_M}^2 \rangle = 0.63 \pm 0.31 \text{ fm}^2$$

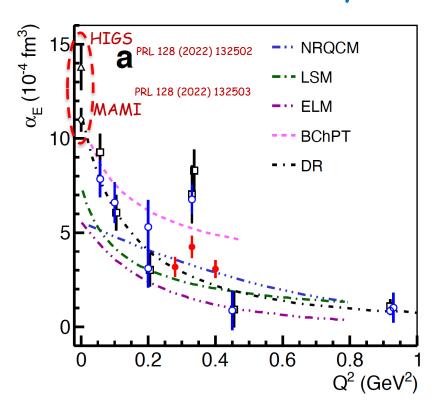
Static Polarizabilities

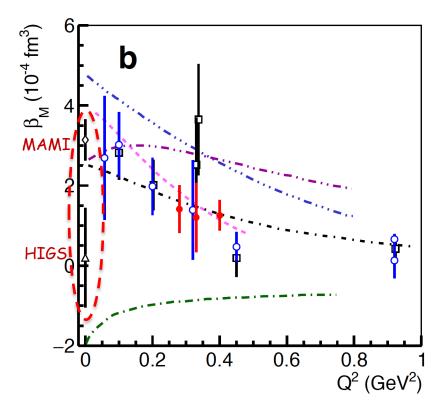


Recent measurements exhibit tension \rightarrow affects the pol. radius extraction



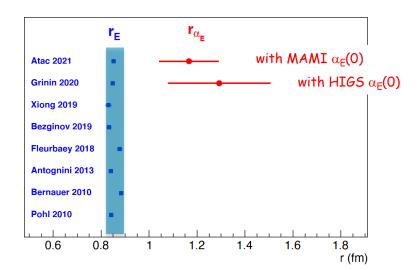
Polarizability radii - Static Polarizabilities





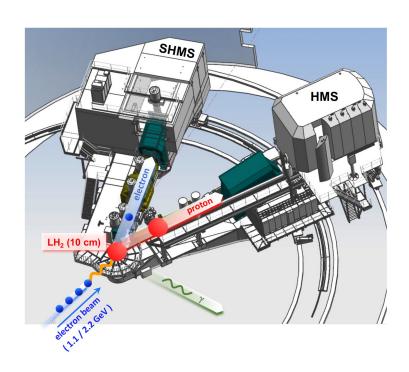
$$\langle r_{\alpha_E}^2 \rangle = 1.36 \pm 0.29 \; fm^2$$

$$\langle r_{\alpha_E}^2 \rangle = 1.67 \pm 0.50 \ fm^2$$



Moving Forward

VCS-II (E12-23-001) @ JLab



Extend Q² range & targeted measurements to fully exploit the sensitivity to the EM GPs

Production $(E_o = 1.1 \text{ GeV})$:

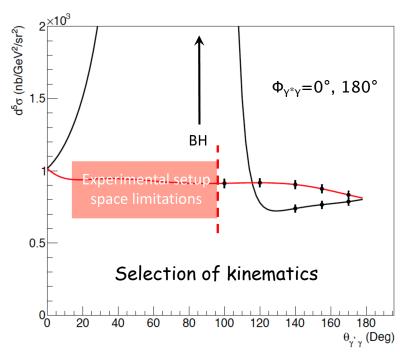
6 days

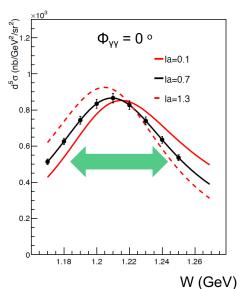
Production ($E_o = 2.2 \, GeV$):

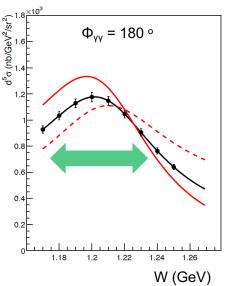
53 days

Studies (optics/dummy/calibrations): 3 days

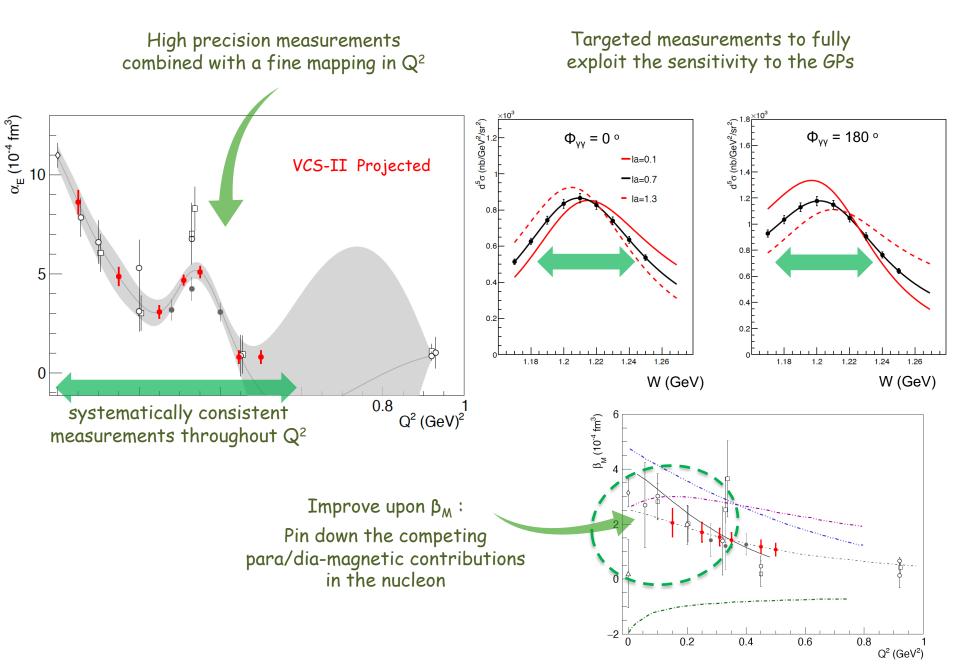
Total: 62 days







VCS-II Projected Measurements



Can we measure with a different method?

Yes: positrons and/or beam spin asymmetries

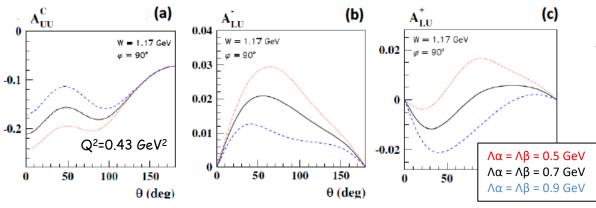
Positrons allow for an <u>independent path</u> to access experimentally the GPs

Eur. Phys. J. A 57 (2021) 11, 316

Virtual Compton scattering at low energies with a positron beam

Barbara Pasquinia,1,2, Marc Vanderhaeghenb,3

³Institut für Kernphysik and PRISMA+ Cluster of Excellence, Johannes Gutenberg Universität, D-55099 Mainz, Germany



- (a): The beam-charge asymmetry as a function of the photon scattering angle at Q2 = 0.43 GeV 2.
- (b) & (c): The electron and positron beam-spin asymmetry as a function of the photon scattering angle for out-of-plane kinematics.

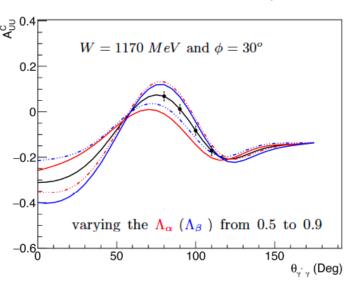
Unpolarized beam charge asymmetry (BCA):
$$A_{UU}^C = \frac{(d\sigma_+^+ + d\sigma_-^+) - (d\sigma_+^- + d\sigma_-^-)}{d\sigma_+^+ + d\sigma_-^+ + d\sigma_-^-}$$

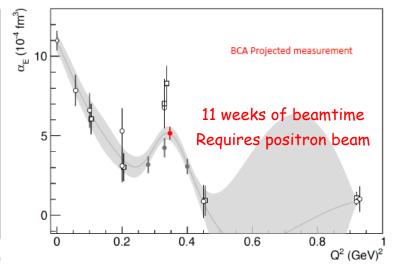
Lepton beam spin asymmetry (BSA):
$$A_{LU}^e = \frac{d\sigma_+^e - d\sigma_-^e}{d\sigma_+^e + d\sigma_-^e}$$

¹Dipartimento di Fisica, Università degli Studi di Pavia, 27100 Pavia, Italy

²Istituto Nazionale di Fisica Nucleare, Sezione di Pavia, 27100 Pavia, Italy

BCA (electrons & positrons)



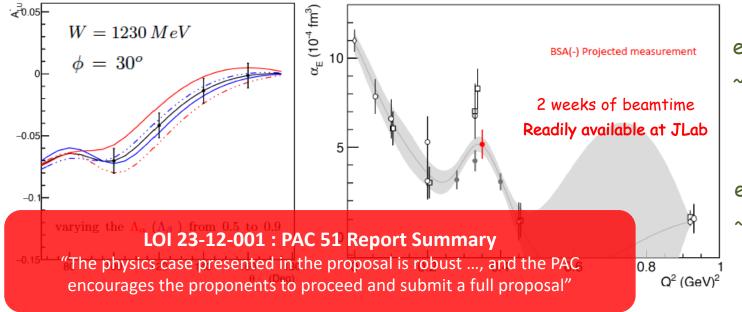


Hall C (SHMS / HMS)

 e^- : ~ 1 week @ 50 μ A and

 e^+ : ~ 10 weeks @ 5 μA

BSA (electrons or positrons)



- e⁻ (pol. 85% @ 70 μA)
- ~ 2 weeks of beamtime

or

- e⁺ (pol. 60% @ 50 nA)
- ~ 3 orders of magnitude more beamtime

Summary

JLab: leading the efforts of the VCS program, past/ present / future

Fundamental proton properties

Insight to spatial deformation of the nucleon densities under an applied EM field, interplay of para/dia-magnetism in the proton, polarizability radii, ...

```
Electric GP: \begin{cases} &\text{possibility for a non-trivial (non-monotonic) behavior in } a_E(Q^2) \\ &\text{(albeit with a smaller magnitude than originally suggested)} \\ &\text{or} \\ &\text{at minimum: strong tension between world data} \end{cases}
```

Experiment is ahead of theory

Stringent constraints to theoretical predictions
High precision benchmark data for upcoming LQCD calculations

Future experimental goals

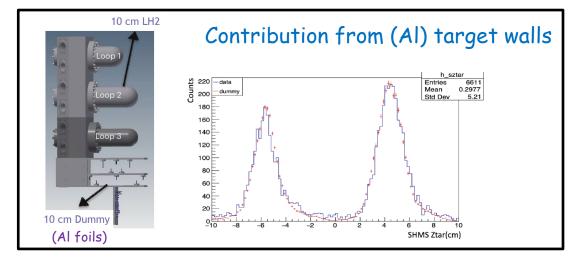
Improve β_M

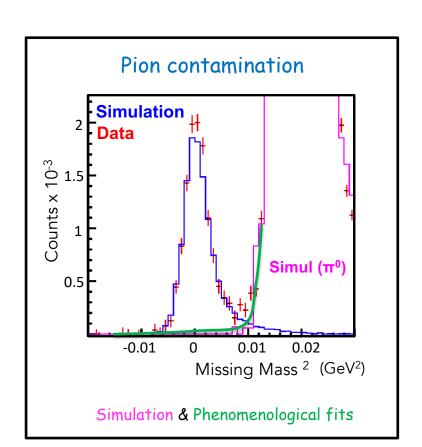
Identify the shape of the a_E structure (if it exists) pin it down with precision - important input for the theory

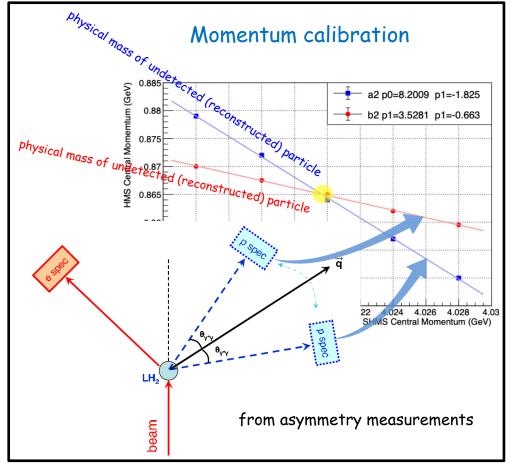
Conduct independent cross-check
Measure via a different channel (BS asymmetries & positrons)

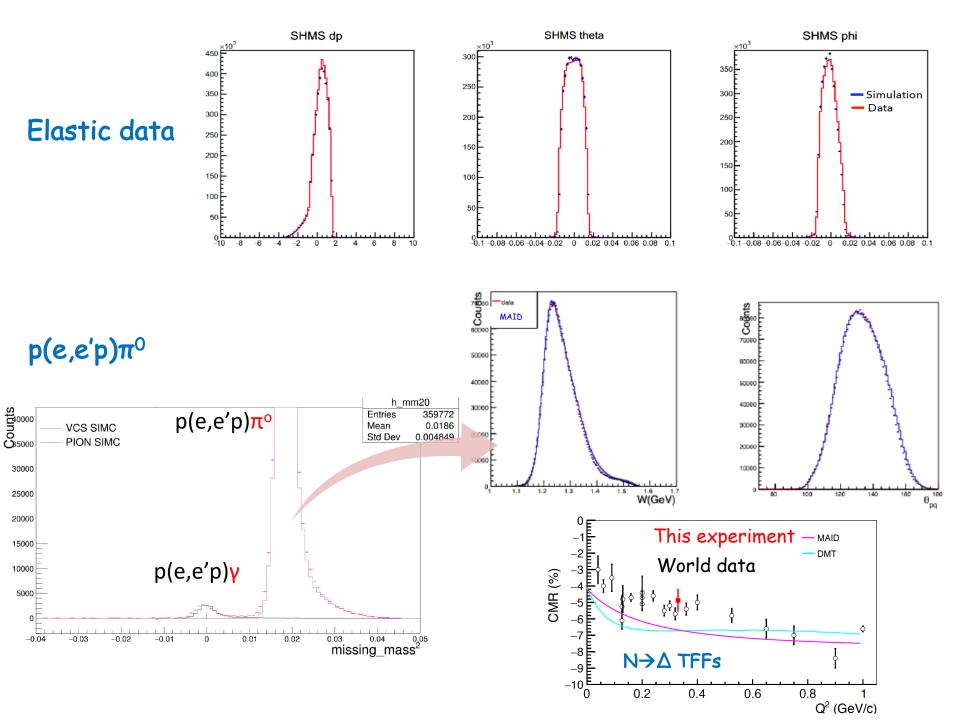
Thank you!

Back up







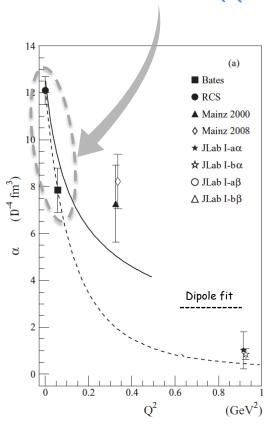


$$\langle r_{\alpha_E}^2 \rangle = \frac{-6}{\alpha_E(0)} \cdot \frac{d}{dQ^2} \alpha_E(Q^2) \bigg|_{Q^2=0}$$

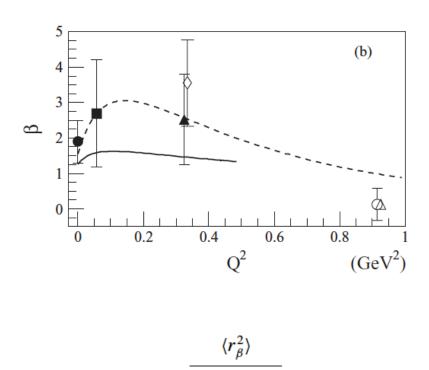
$$\langle r_{\beta_M}^2 \rangle = \frac{-6}{\beta_M(0)} \cdot \frac{d}{dQ^2} \beta_M(Q^2) \bigg|_{Q^2=0}$$

First extraction made possible with the MIT-Bates measurement ($Q^2=0.06~GeV^2$)

PRL **97,** 212001 (2006) PHYSICAL REVIEW C **84**, 035206 (2011)

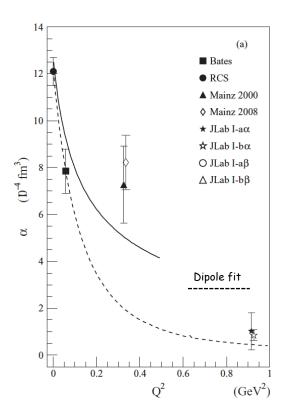


$$\langle r_{\alpha}^2 \rangle = 2.16 \pm 0.31 \text{ fm}^2$$

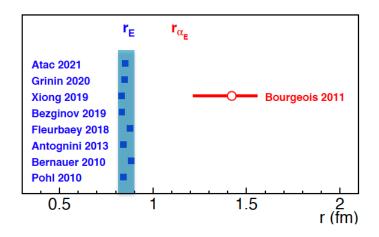


$$\langle r_{\alpha_E}^2 \rangle = \frac{-6}{\alpha_E(0)} \cdot \frac{d}{dQ^2} \alpha_E(Q^2) \bigg|_{Q^2=0}$$

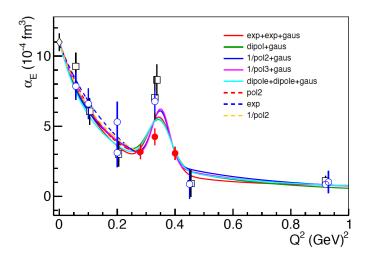
First extraction made possible with the MIT-Bates measurement (Q²=0.06 GeV²)



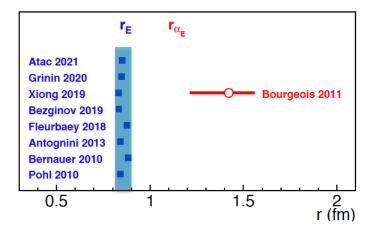
$$\langle r_{\alpha}^2 \rangle = 2.16 \pm 0.31 \text{ fm}^2$$



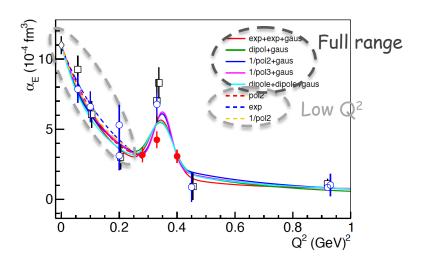
$$\langle r_{\alpha_E}^2 \rangle = \frac{-6}{\alpha_E(0)} \cdot \frac{d}{dQ^2} \alpha_E(Q^2) \bigg|_{Q^2=0}$$

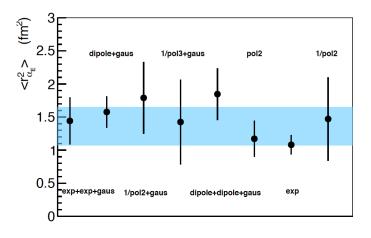


Since then: more data and more comprehensive treatment of the radius extraction



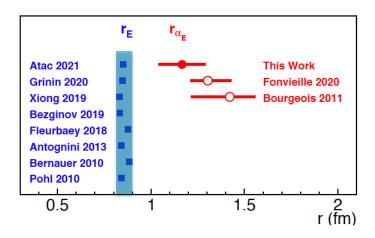
$$\langle r_{\alpha_E}^2 \rangle = \frac{-6}{\alpha_E(0)} \cdot \frac{d}{dQ^2} \alpha_E(Q^2) \bigg|_{Q^2=0}$$



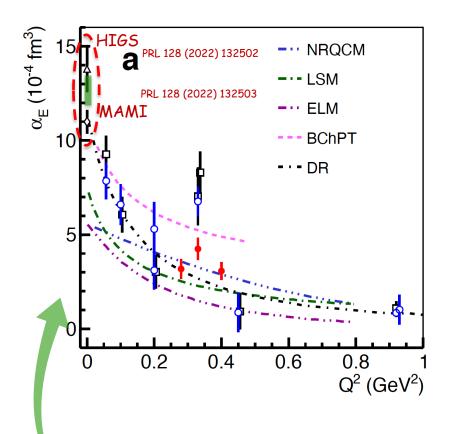


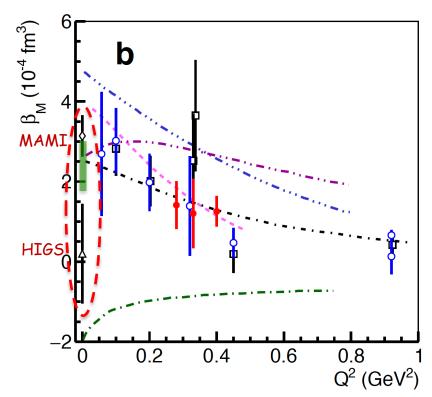
$$\langle r_{\alpha_E}^2 \rangle = 1.36 \pm 0.29 \text{ fm}^2$$

Since then: more data and more comprehensive treatment of the radius extraction



Static Polarizabilities





PHYSICAL REVIEW LETTERS 129, 102501 (2022)

First Concurrent Extraction of the Leading-Order Scalar and Spin Proton Polarizabilities

E. Mornacchi, 1.* S. Rodini, 2. B. Pasquini, 3.4 and P. Pedroni, 4. Institut für Kernphysik, Johannes Gutenberg-Universität Mainz, D-55099 Mainz, Germany 2. Institut für Theoretische Physik, Universität Regensburg, D-93040 Regensburg, Germany 3. Dipartimento di Fisica, Università degli Studi di Pavia, 1-27100 Pavia, Italy 4. INFN Sezione di Pavia, 1-27100 Pavia, Italy

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We performed the first simultaneous extraction of the six leading-order proton polarizabilities. We reached this milestone thanks to both new high-quality experimental data and an innovative bootstrap-based fitting method. These new results provide a self-consistent and fundamental benchmark for all future theoretical and experimental polarizability estimates.

$$\begin{split} \alpha_{E1} &= [12.7 \pm 0.8 (\text{fit}) \pm 0.1 (\text{model})] \times 10^{-4} \text{ fm}^3, \\ \beta_{M1} &= [2.4 \pm 0.6 (\text{fit}) \pm 0.1 (\text{model})] \times 10^{-4} \text{ fm}^3, \\ \gamma_{E1E1} &= [-3.0 \pm 0.6 (\text{fit}) \pm 0.4 (\text{model})] \times 10^{-4} \text{ fm}^4, \\ \gamma_{M1M1} &= [3.7 \pm 0.5 (\text{fit}) \pm 0.1 (\text{model})] \times 10^{-4} \text{ fm}^4, \\ \gamma_{E1M2} &= [-1.2 \pm 1.0 (\text{fit}) \pm 0.3 (\text{model})] \times 10^{-4} \text{ fm}^4, \\ \gamma_{M1E2} &= [2.0 \pm 0.7 (\text{fit}) \pm 0.4 (\text{model})] \times 10^{-4} \text{ fm}^4, \end{split}$$