

Hadron Spectroscopy: Introduction and a little bit of history

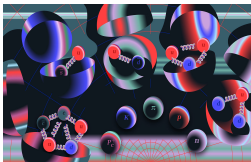
Volker Credé

Florida State University Tallahassee, Florida

HUGS 2024

Jefferson Lab, Newport News

06/10/2024



Once upon a time ...



I KNOW WHAT
IT MEANS!

Democritus
(c. 460 - c. 370 BC)

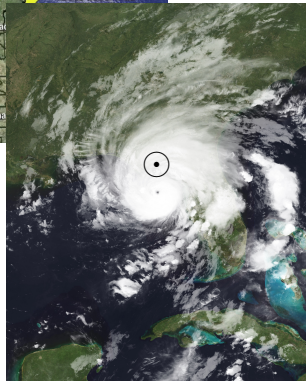


... the big scientific questions
were solved in the bathtub.

Introduction



Volker Crede, crede@fsu.edu
Prof. at Florida State U.
Tallahassee, Florida

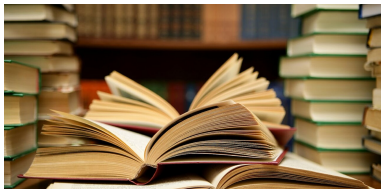


- Ph.D. at University of Bonn, Germany
- Postdoc at Bonn and Cornell University
- Collaboration Experience
 - Crystal Barrel at CERN, CLEO-c at Cornell, CLAS, GlueX at JLab, CBELSA/TAPS at ELSA
- Member of Particle Data Group (PDG)

Literature and References

Talks are not considered a review of the field but personal lectures, partly based on:

- [The Review of Particle Physics \(2024\)](#)
Particle Data Group, to be published in Phys. Rev. D **110**, 030001 (2024)
- [50 Years of Quantum Chromodynamics](#)
Eur. Phys. J. C **83**, 1125 (2023)
- [Progress towards understanding baryon resonances](#)
Rept. Prog. Phys. **76**, 076301 (2013)
- [A review of the open charm and open bottom systems](#)
Rept. Prog. Phys. **80**, no. 7, 076201 (2017)
Rept. Prog. Phys. **86**, no. 2, 026201 (2023)
- [The Experimental Status of Glueballs](#)
Prog. Part. Nucl. Phys. **63**, 74-116 (2009)
- [Baryon Spectroscopy](#)
Rev. Mod. Phys. **82**, 1095-1153 (2010)



Outline of the Lectures

Quantum Chromodynamics (QCD) is (likely) the correct theory of strong interactions:

- However, it is still fairly untested at low energies.
- Spectroscopy is a powerful tool to scrutinize ideas.
 - What are the effective degree of freedom?
 - What are the effective forces?
 - Why are quarks confined?

Lecture 1: Introduction to hadrons, quarks and gluons, spectroscopy

Lecture 2: Light baryons

Lecture 3: Hyperons and heavy (charmed and bottom) baryons

Lecture 4: (Exotic) mesons and glueballs

Lecture 5: Outlook

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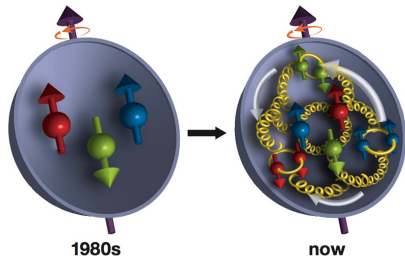
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Lecture 4: (Exotic) mesons and glueballs

Lecture 5: Outlook

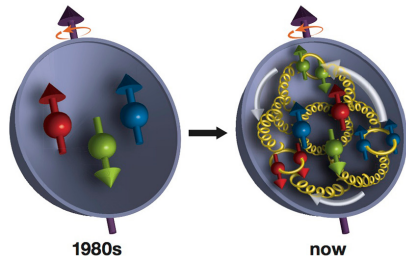
Outline

- 1 Introduction
 - Some History ...
 - Deep Inelastic Scattering
 - Discovery of Baryons: Infant Quark Model
- 2 Quantum Chromodynamics
 - Color States
 - Strong-Coupling QCD
- 3 Open Questions



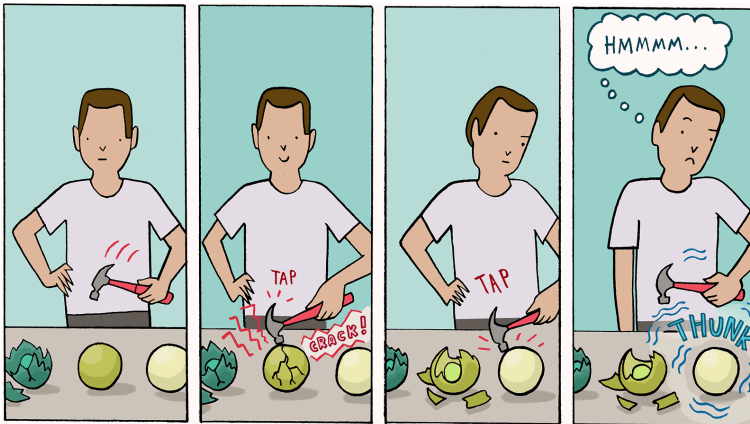
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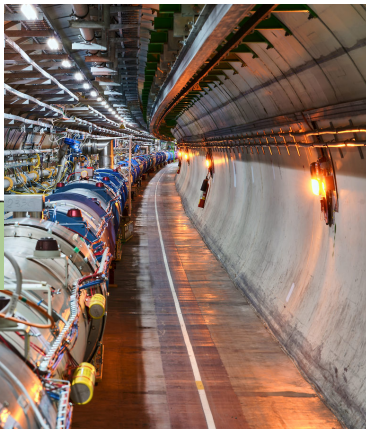
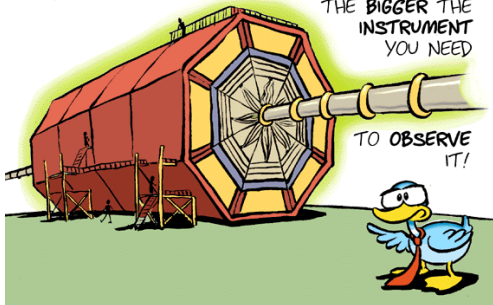
The Particle Physicist

How do we find out what something is made of?

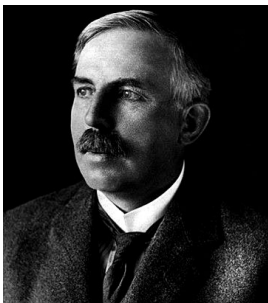


The Microscope

THE **SMALLER** THE THING YOU WANT TO OBSERVE,
THE **BIGGER** THE
INSTRUMENT
YOU NEED



Early Scattering Experiments



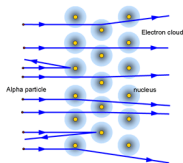
Ernest Rutherford
(30 August 1871 - 19 October 1937)

Landmark series of scattering experiments
(1908 –)

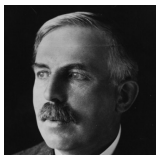
Structure of the Atom paper (1911)

Rutherford expected the relatively massive α particles would pass freely through the plum-pudding atom.

A small number of α particles were actually deflected through very large angles.



Particle Zoo



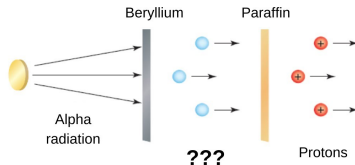
Name “proton” given to H nucleus by Rutherford in 1920

He had discovered earlier that proton was a candidate to be a fundamental particle & building block of nitrogen, and all other heavier atomic nuclei.

1932 Neutron



Chadwick's Experiment



Particle Zoo



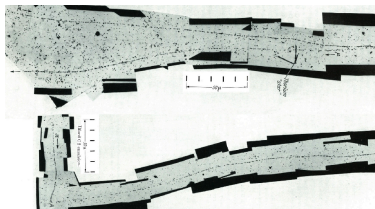
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1947 First mesons: π^+ , π^- , K^+ , K^-

First cosmic pions



Particle Zoo



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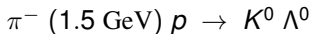
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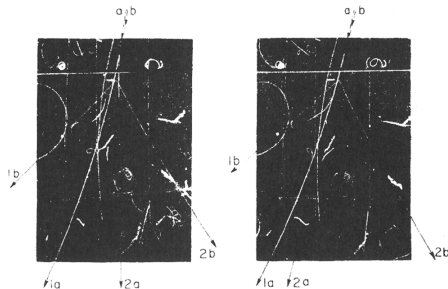
1951 Strange baryons: Λ with $|uds\rangle$

Confirmed at BNL in 1953:

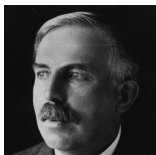


1953 “Double V” events at BNL →

Era of cosmic-ray physics



Particle Zoo



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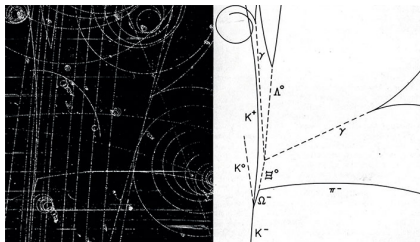
1951 Strange baryons: Λ with $|uds\rangle$

1964 Predicted: Ω^- with $|sss\rangle$

→ Eightfold Way

Gell-Mann-Okubo mass formula:

$$M_{\Sigma^*} - M_{\Delta} = M_{\Xi^*} - M_{\Sigma^*} = M_{\Omega} - M_{\Xi^*}$$



Particle Zoo



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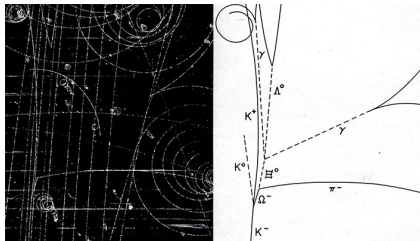
1964 Ω^- with $|sss\rangle$

1964 Quark model

1968 Discovery of “partons” at SLAC

after 1970:

Quantum Chromodynamics



Development of Electroweak Theory in the 1960s

The new theory makes some remarkable predictions:

- Heavy neutral vector boson Z^0
(+ weak reactions arising from the exchange)
- Existence of charmed quark (discovered in 1974)



Samuel Ting

$$pBe \rightarrow J (\rightarrow e^+ e^-) X$$

at Brookhaven AGS
proton synchrotron

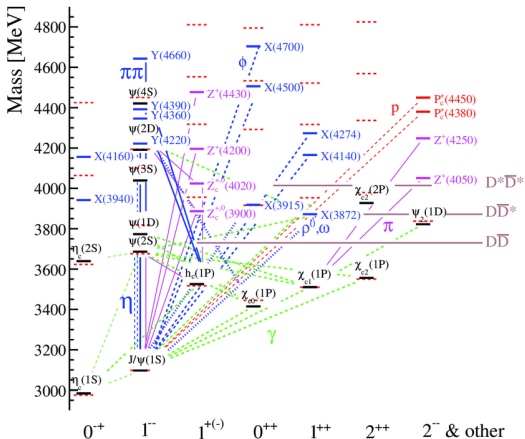
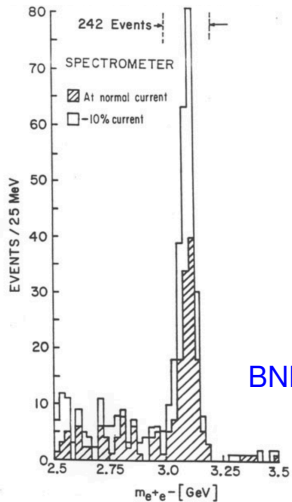


Burton Richter

$$e^+ e^- \rightarrow \psi(1S) \rightarrow e^+ e^-$$

at SLAC SPEAR collider

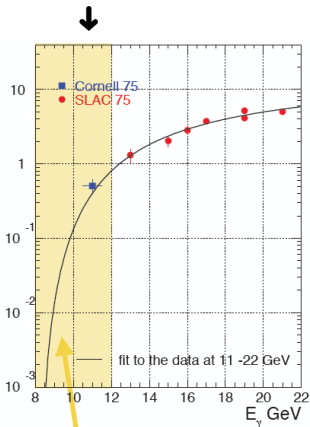
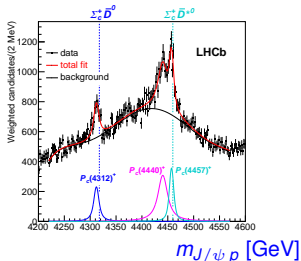
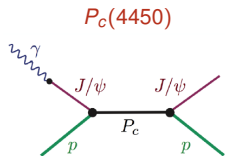
Charmonium Spectroscopy



J/ψ Photoproduction Near Threshold

Photoproduction of J/ψ (near threshold) provides clean laboratory to study $c\bar{c}$:

- Probes gluon distribution in proton
- Sensitive to multi-quark correlations
- Intriguing possibility of five-quark interaction

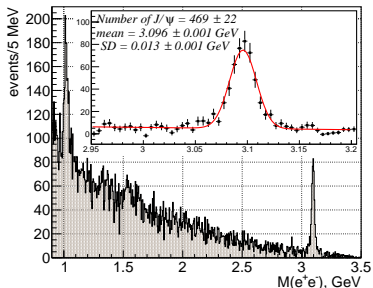


GlueX energy range

R. Aaij *et al.*, PRL **122**, 222001 (2019)

Observation of J/ψ at GlueX

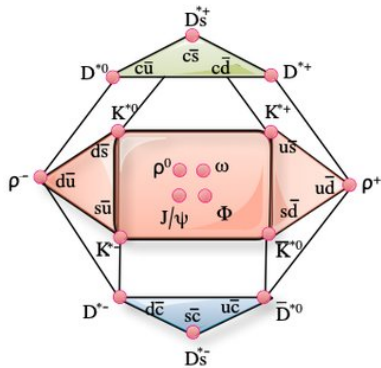
A. Ali *et al.* [GlueX Collaboration], Phys. Rev. Lett. **123**, no.7, 072001 (2019)



First observation of J/ψ at Jefferson Lab in $\gamma p \rightarrow p J/\psi \rightarrow p e^+ e^-$

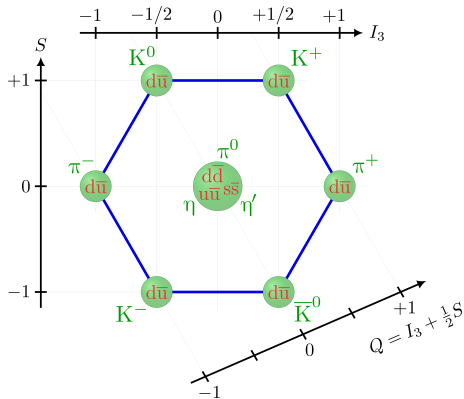
- First detailed look at cross section near threshold.

SU(4) Multiplet Structure of Mesons

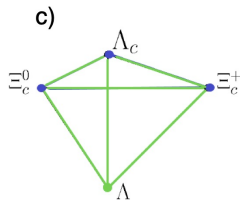
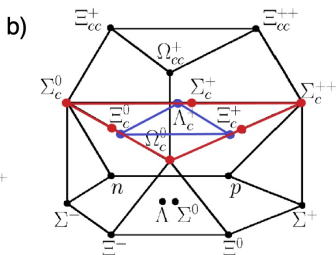
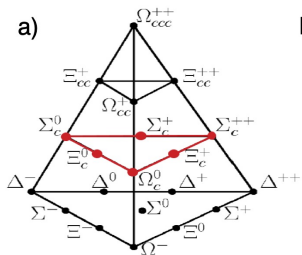


Multiplet structure for flavor SU(3):

$$3 \otimes 3 = 8 \oplus 1$$



SU(4) Multiplet Structure of Baryons



Multiplet structure for flavor SU(3):

$$3 \otimes 3 \otimes 3 = 10_S \oplus 8_M \oplus 8_M \oplus 1_A$$

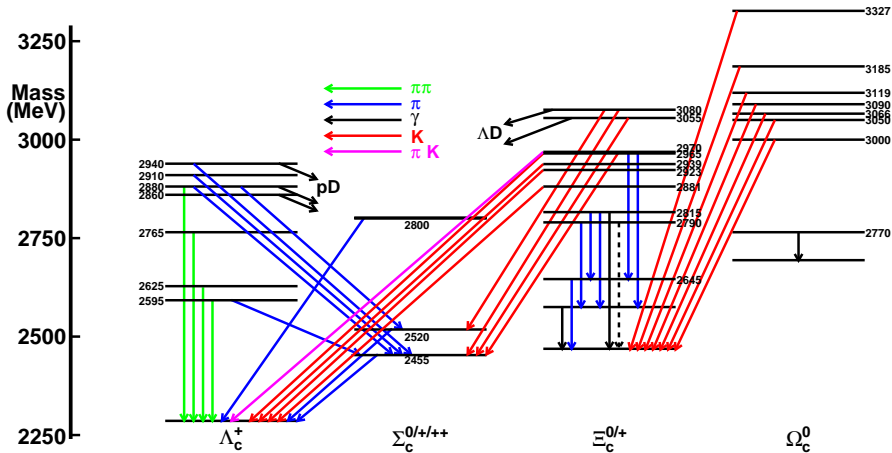
Multiplet structure for flavor SU(4):

$$4 \otimes 4 \otimes 4 = 20_S \oplus 20_M \oplus 20_M \oplus 4_A$$



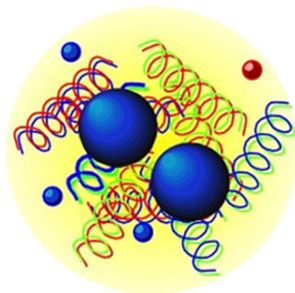
Hyperon

Charmed Baryons

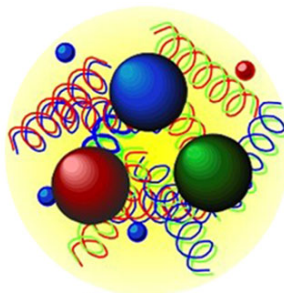


Exotic Hadrons: Glueballs, Hybrids, Tetraquarks, etc.

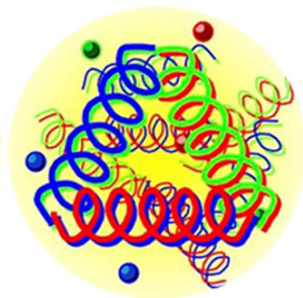
Despite decades of intensive searches, there is no compelling evidence for the existence of glueballs.



meson



baryon



glueball?

BESIII announcement: $X(2370)$ with $J^{PC} = 0^{-+}$ from $J/\psi \rightarrow \gamma K_S K_S \eta'$

Phys. Rev. Lett. **132**, 181901 - Published 2 May 2024

Why Hadron Spectroscopy?

Spectroscopy: Powerful tool to study internal dynamics of a composite system.

- Balmer formula → Hydrogen atom
- Magic numbers → Tensor forces in nuclear physics
- Observation of $\Omega |sss\rangle$ baryon → Triumph of $SU(3)$
- No 'ionized' proton → Confinement
- Study of $c\bar{c}$ and $b\bar{b}$ families → One-gluon exchange plus linear confinement

Baryons have $N_f = N_c$:

- True non-abelian system → Test of QCD-inspired ideas
- Rich dynamics of three-body system → Insights beyond mesons
- Truly complicated → Intellectually and experimentally challenging

Why Hadron Spectroscopy?

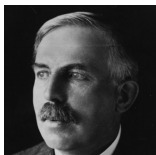
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Particle Zoo and Quark Model



Name “proton” given to H nucleus by Rutherford in 1920

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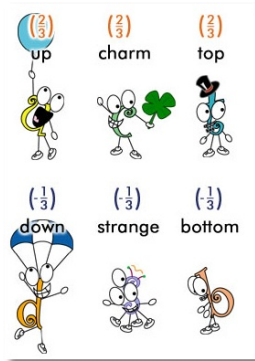
1964 Ω^- with $|sss\rangle$

1964 Quark model

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after 1970:

Quantum Chromodynamics



The Quark Families

How do we know about quarks?

UK

How do we know about quarks?

Option 1: Travel to England and visit the Lake District ...



DIS

How do we know about quarks?

Option 2: Deep Inelastic Scattering (DIS)

1960s First evidence that nucleons have a substructure of point-like charged constituents.
(Friedman, Kendall, Taylor)



Jerome I. Friedman Henry W. Kendall Richard E. Taylor

“[] for their pioneering investigations concerning deep inelastic scattering of electrons on protons and bound neutrons, which were of essential importance for the development of the quark model in particle physics.”

Nobel Prize 1990

Quark Model

- 1 New particles (aka “hadrons”) are bound states of three families of fundamental particles (aka “quarks”).
- 2 Evidence for quarks in e^- and ν + proton scattering experiments
 - Point-like constituents in nucleon.
 - Spin-1/2
 - Fractional charges: $-\frac{1}{3}e$ and $\frac{2}{3}e$
(comparison between e^- and ν scattering)
Simplest picture: Three quarks in a nucleon.

→ Standard Model of Particle Physics

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Simplest picture: Three quarks in a nucleon.

→ Standard Model of Particle Physics

- ① Two spin- $\frac{1}{2}$ families of fermions (leptons, quarks)
- ② One spin-1 family of bosons + spin-0 Higgs

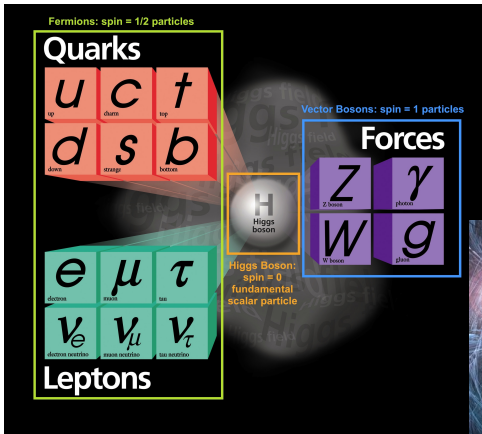
Charge

$$+\frac{2}{3}$$

$$-\frac{1}{3}$$

$$-1$$

$$0$$



Forces: electromagnetic (γ), strong (g), weak (W^\pm, Z^0), gravitational (graviton) interaction - not part of SM

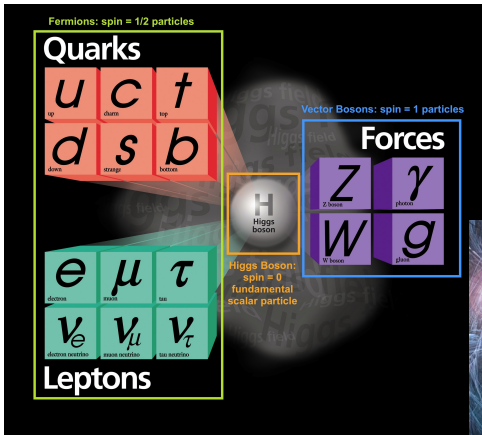
Charge

$$+\frac{2}{3}$$

$$-\frac{1}{3}$$

$$-1$$

$$0$$



Quarks and their Quantum Numbers

Light Flavors

Heavy Flavors

Classification	<i>d</i>	<i>u</i>	<i>s</i>	<i>c</i>	<i>b</i>	<i>t</i>
Charge	$-1/3$	$2/3$	$-1/3$	$2/3$	$-1/3$	$2/3$
Isospin <i>I</i>	$1/2$	$1/2$	0	0	0	0
Strangeness <i>s</i>	0	0	-1	0	0	0
Charm <i>c</i>	0	0	0	$+1$	0	0
Beauty (bottom) <i>b</i>	0	0	0	0	-1	0
Truth (top) <i>t</i>	0	0	0	0	0	$+1$
Current mass	4.7	2.2	96 MeV	1.3	≈ 4	≈ 175 GeV

Discovery of Baryons

Early 1950s – Discovery of Δ baryon with isospin $I = 3/2$:

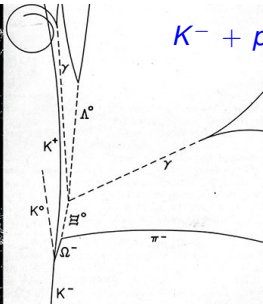
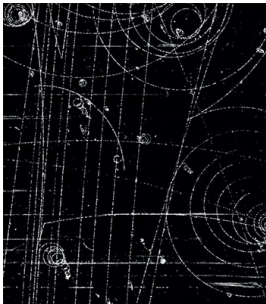
$$\Delta^{++}|uuu\rangle, \quad \Delta^+|uud\rangle, \quad \Delta^0|udd\rangle, \quad \Delta^-|ddd\rangle$$

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$$\Delta^{++}|uuu\rangle, \quad \Delta^+|uud\rangle, \quad \Delta^0|udd\rangle, \quad \Delta^-|ddd\rangle$$

1964 – Discovery of Ω^- baryon $|sss\rangle$ with $S = -3$ & $I = 0$.



$$K^- + p \rightarrow \Omega^- + K^+ + K^0$$

Discovery of Baryons

$$\text{Baryons with spin } \frac{3}{2} \left\{ \begin{array}{l} \Omega^- \quad I = 0 \\ \Delta \quad I = \frac{3}{2} \end{array} \right.$$

What is the problem?

Discovery of Baryons

$$\text{Baryons with spin } \frac{3}{2} \quad \left\{ \begin{array}{l} \Omega^- \quad I = 0 \\ \Delta \quad I = \frac{3}{2} \end{array} \right.$$

What is the problem?

In the simple quark model: Spins all aligned, $|\Delta^{++}\rangle = |u^\uparrow u^\uparrow u^\uparrow\rangle$.

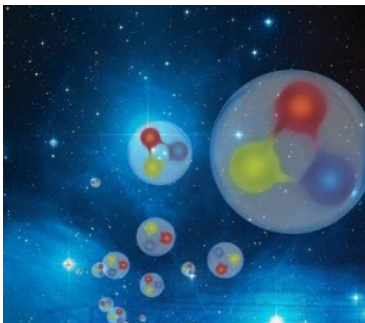
- All quark flavors the same.
- Symmetric wave function
 - Violates the Pauli exclusion principle.

System of identical fermions must have an antisymmetric wave function, i. e. must be antisymmetric under the exchange of any two particles.

Solution: Color Charge

A new degree of freedom (strong charge):

- Any quark (and antiquark) can exist in three different color (anticolor) states.
- Dogma: Only “color singlets” exist in nature.



→ Color Confinement!!
(No free quarks)

QCD is invariant under
rotations in color space:

$SU(3)_c$ symmetry

Color Charges

Two “color charges:” Color Isospin and Color Hypercharge
(strong interaction analogues to the electric charge)

Quarks	I_3^c	Y^c	Antiquarks	I_3^c	Y^c
r	1/2	1/3	\bar{r}	-1/2	-1/3
g	-1/2	1/3	\bar{g}	1/2	-1/3
b	0	-2/3	\bar{b}	0	2/3

By assuming that hadrons are color singlets, we are requiring:

$$Y^c = I_3^c = 0$$

Color Charges

By assuming that hadrons are color singlets, we are requiring:

$$Y^c = I_3^c = 0$$

1. Mesons: $\frac{1}{\sqrt{3}} (r\bar{r} + g\bar{g} + b\bar{b})$

2. Baryons (antisymmetric under color exchange):

$$\frac{1}{\sqrt{6}} (r_1 g_2 b_3 + g_1 b_2 r_3 + b_1 r_2 g_3 - r_1 b_2 g_3 - b_1 g_2 r_3 - g_1 r_2 b_3)$$

Remember: $\Omega^- | sss \rangle$

→ Pauli principle applied to:

$$\Psi |qqq\rangle = |\text{color}\rangle_A \cdot |\text{space, spin, flavor}\rangle_S$$

Color Charges

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1. Mesons: $\frac{1}{\sqrt{3}} (r\bar{r} + g\bar{g} + b\bar{b})$

2. Baryons (antisymmetric under color exchange):

$$\frac{1}{\sqrt{6}} (r_1 g_2 b_3 + g_1 b_2 r_3 + b_1 r_2 g_3 - r_1 b_2 g_3 - b_1 g_2 r_3 - g_1 r_2 b_3)$$

Remember: $\Omega^- | sss \rangle$

→ Pauli principle applied to:

$$\Psi |qqq\rangle = |\text{color}\rangle_A \cdot |\text{space, spin, flavor}\rangle_S$$

Color Charges

Photons couple to electric charges and gluons couple to color charges.

Flavor independence of strong interactions

→ All quark flavors – u, d, s, c, b, t – have identical strong interactions (consequence of quarks existing in the same three color states).

Isospin symmetry:

Almost equal masses of proton and neutron.

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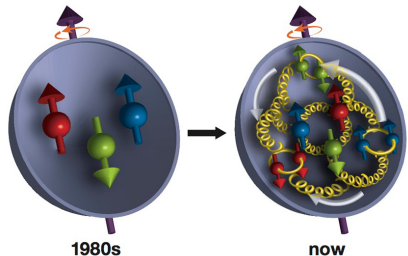
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 - Some History ...
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 - Discovery of Baryons: Infant Quark Model
- 2 Quantum Chromodynamics
 - Color States
 - Strong-Coupling QCD
- 3 Open Questions



Quantum Chromodynamics

Consider spin states obtained from two spin- $\frac{1}{2}$ particles.

The four spin combinations, $\uparrow\uparrow$ $\uparrow\downarrow$ $\downarrow\uparrow$ $\downarrow\downarrow$, give four eigenstates of

\hat{S}^2 & \hat{S}_z : $2 \otimes 2 = 1 \oplus 3$

$$\begin{aligned} S = 1 & \begin{cases} \uparrow_1 \uparrow_2 & S_z = 1 \\ \frac{1}{\sqrt{2}} (\uparrow_1 \downarrow_2 + \uparrow_2 \downarrow_1) & S_z = 0 \\ \downarrow_1 \downarrow_2 & S_z = -1 \end{cases} \\ S = 0 & \begin{cases} \frac{1}{\sqrt{2}} (\uparrow_1 \downarrow_2 - \uparrow_2 \downarrow_1) & S_z = 0 \end{cases} \end{aligned}$$

The singlet state is “spinless.”

→ Invariant under SU(3) spin transformations.

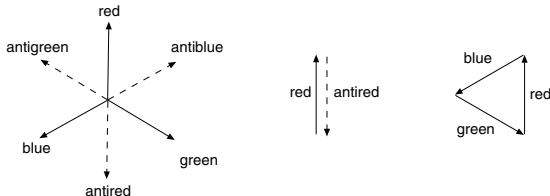
Quantum Chromodynamics

In the same way, “Color Singlets” are “colorless”!!

- $3 \otimes \bar{3} = 8 \oplus 1$
- They have zero color quantum numbers: $Y^c = I_3^c = 0$.
- Invariant under SU(3) color transformations.

Consider color wave function for $q\bar{q}$ (mesons):

Combination of color with anticolor (similar to combining flavor with antiflavor).



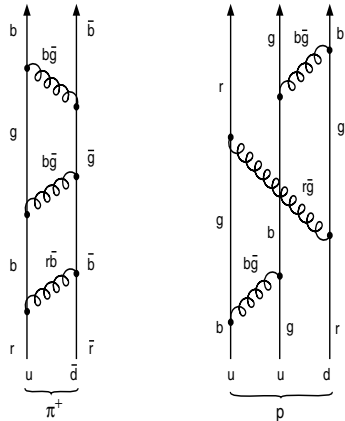
Examples: Proton and Pion

The π^+ meson has three possible color combinations:

$$|\pi^+\rangle = \begin{cases} |u_r \bar{d}_{\bar{r}}\rangle \\ |u_b \bar{d}_{\bar{b}}\rangle \\ |u_g \bar{d}_{\bar{g}}\rangle \end{cases}$$

To obtain a color neutral baryon, each quark must have a different color:

$$|p\rangle = \begin{cases} |u_b u_r d_g\rangle \\ |u_r u_g d_b\rangle \\ \dots \end{cases}$$



Color

Question:

How do we know that quarks exist in just three color states?

Color

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How do we know that quarks exist in just three color states?

Define R ratio:

$$R \equiv \frac{e^+ e^- \rightarrow \text{hadrons}}{e^+ e^- \rightarrow \mu^+ \mu^-} \quad (\text{almost energy independent})$$

Color

Cross section for electron-positron to quark-antiquark pairs:

$$\sigma(e^+ e^- \rightarrow q_f \bar{q}_f) = N_c e_f^2 \sigma(e^+ e^- \rightarrow \mu^+ \mu^-)$$

- 1 Rate for producing quark pairs ($f = u, d, \dots$) proportional to N_c .
- 2 Proportional also to e_f^2 (first-order electromagnetic process)
→ Identical mechanism for μ pairs.
- 3 More precisely:

$$\begin{aligned} R &= R_0 \equiv N_c (e_u^2 + e_d^2 + e_s^2 + e_c^2 + e_b^2) \\ &= \frac{11}{9} N_c \approx 3.7 \quad (\text{for } N_c = 3) \end{aligned}$$

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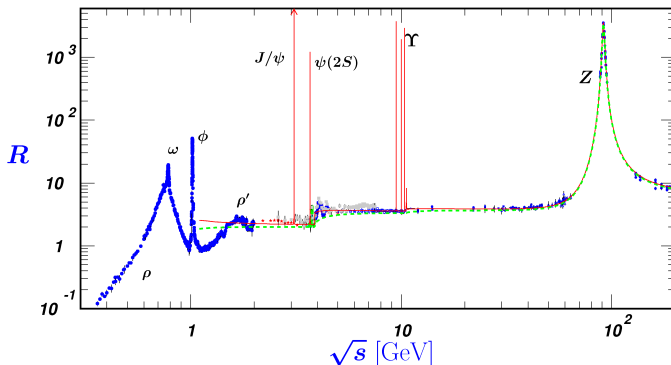
$$R = R_0 \equiv N_c (e_u^2 + e_d^2 + e_s^2 + e_c^2 + e_b^2)$$

$$R = R_0 \left(1 + \frac{\alpha_s}{\pi} \right) \quad (\text{some corrections})$$

Color

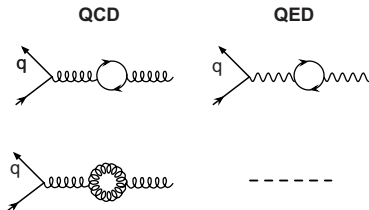
Data are in excellent agreement with theoretical predictions for number of colors $N_c = 3$.

→ Quarks exist in just three colors!!



Gluons

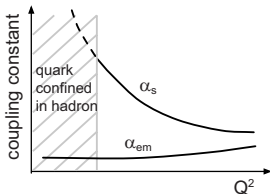
Note: Gluon-gluon interactions have no analogue in QED:



Gluon self-coupling leads to exotic states called *glueballs*.

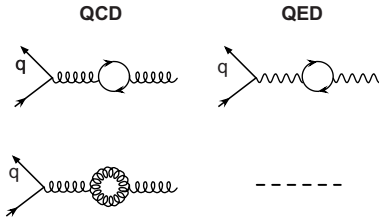
Properties of QCD:

- Color confinement
- Asymptotic freedom: strong interaction becomes weaker at short distances; 1973 → 2004 Nobel Prize



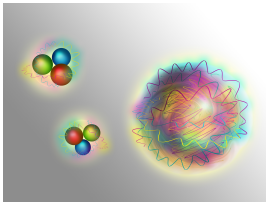
Gluons

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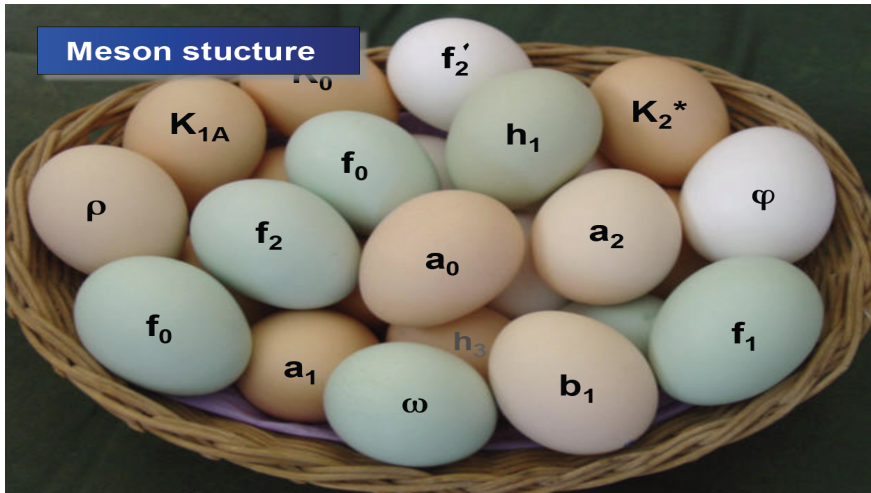


“vacuum polarization effects”

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Other Surprises?



Other Surprises?



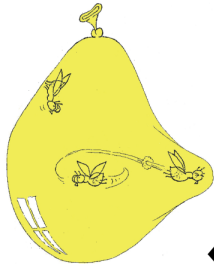
GlueX Collaboration



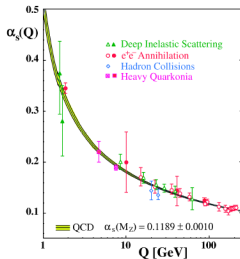
Strong-Coupling QCD

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{q} (i\gamma_\mu D^\mu - m_q) q - \frac{1}{4} F^{\mu\nu} F_{\mu\nu}$$

QCD = Theory of strong force
 Strong processes at larger distances and at small (soft) momentum transfers belong to realm of non-perturbative QCD.



Confinement & Strong QCD
 “Hadrons”

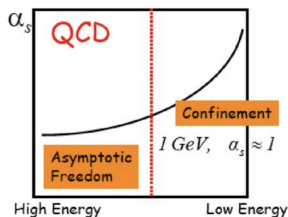
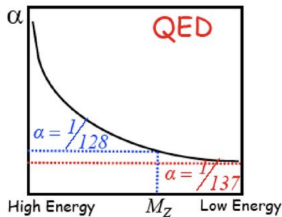


Asymptotic Freedom

Non-Perturbative QCD

In the Standard Model of particle physics, strong interactions are described by QCD, a local gauge theory with quarks and gluons as elementary degrees of freedom:

- Interaction governed by strong fine-structure constant α_s (dependent on four-momentum transfer Q of a given strong process).
- As α_s decreases with increasing Q , perturbation theory can be applied in high-energy reactions.



Non-Perturbative QCD

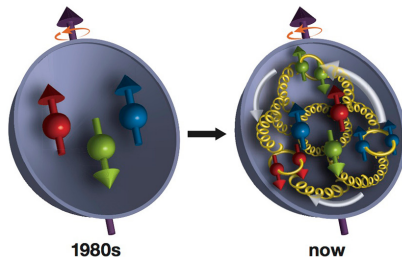
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- As α_s decreases with increasing Q , perturbation theory can be applied in high-energy reactions.
- At momentum scales given by typical hadron masses, perturbative QCD breaks down due to rapid increase of α_s .
 - This is the realm of 'strong QCD'. Not only α_s changes but also the relevant degrees of freedom change from *current* to *constituent quarks*, instantons, and vacuum condensates.

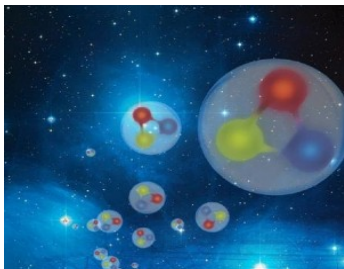
To understand the transition to strong QCD is one of the most challenging intellectual problems.

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Non-Perturbative QCD



How does QCD give rise to excited hadrons?

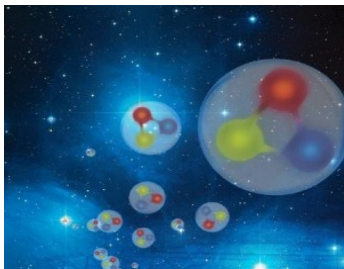
- 1 What is the origin of confinement?
- 2 How are confinement and chiral symmetry breaking connected?
- 3 What role do gluonic excitations play in the spectroscopy of light mesons, and can they help explain quark confinement?

Baryons: What are the fundamental degrees of freedom inside a nucleon? Constituent quarks? How do the degrees change with varying quark masses?

Mesons: What are the properties of the predicted states beyond simple quark-antiquark systems (hybrid mesons, glueballs, tetraquarks, ...)?

→ **Gluonic Excitations provide a measurement of the excited QCD potential.**
Hybrid baryons are also possible ...

Non-Perturbative QCD



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Answers to these questions will not be the direct result of some experiments.

- Models need to link observables to these fundamental questions.
- Significant observables:
 - Excitation spectra and electromagnetic couplings
 - Response of hadronic properties to a dense nuclear environment