Exploring QCD at the Electron-Ion Collider

C. Weiss (JLab), HUGS 2024 Lectures, JLab, 30-31 May 2024



Jefferson Lab

This lecture



Focus on understanding/explaining hadrons and nuclei as emergent phenomena of QCD Use parton picture to view hadron as many-body system — particle content, measurable properties Develop physical picture — formal derivations can be provided

Plan

Concepts

QCD as dynamical system Parton picture of hadron structure Factorization and parton densities

High-energy electron scattering

Kinematics and cross sections

Energy and luminosity

Fixed-target vs. colliding-beam

EIC physics I: Nucleon structure

Sea quark and gluon polarization

Orbital angular momentum

Transverse spatial distributions

Neutron structure from D/3He

EIC physics II: QCD in nuclei

Nuclear interactions and partonic structure

Nuclear gluon density

Gluon shadowing at small x

Gluon saturation

EIC physics III: Hadronization

Fragmentation functions

Hadronization in nuclear medium

[Accelerator and detector]

Organization

EIC User Group, ePIC Collaboration, Project

Timeline

QCD: Dynamical system

 $A_{\mu}(x), \quad \psi, \bar{\psi}(x)$ gauge and matter fields modes e^{-ikx} particles – gluons, quarks/antiquarks modes coupled by gauge interaction quantum motion involves radiation, particle creation/annihilation

essentially relativistic: momenta $k \sim$ few 100 MeV \gg quark masses \sim few MeV

"particles" and "radiation" cannot be separated

QCD: Scale dependence

mmX

Modes depend on resolution scale, "how much radiation is included"

Effective coupling scale-dependent

Example: Interaction of static sources

$$V_{Q\bar{Q}}(r) = \frac{4\pi \alpha_{\rm eff}(r)}{r}$$
 + gauge-dep.

Asymptotic freedom: Effective coupling decreases at short distances

Perturbative calculations generally applicable at short distances (typically $\lesssim 0.2$ fm)





Xuuu



QCD: Larger distances



← 0.3 fm

Leinweber 2003: "Cooled" lattice QCD configurations

Nonperturbative vacuum fluctuations of gauge fields - tunneling, topology

Condensate of quark-antiquark pairs - chiral symmetry breaking

Dynamical mass generation: Effective degrees of freedom \leftrightarrow e.g. constituent quark picture

Hadron formation at distances ~ 1 fm

Rich spectrum of meson and baryon excitations

Hadron structure: Correlation functions



time \rightarrow

Correlation functions of color-singlet operators with meson/baryon quantum numbers

 $\langle 0 \mid T J(x) J(0) \mid 0 \rangle$

in vacuum state

Imaginary time $t \rightarrow i\tau$: Statistical mechanics Lattice simulations, analytic methods

Hadron spectrum m_h , structure $\langle h \mid \mathcal{O} \mid h \rangle$

→ Lecture Huey-Wen Lin

No concept of particle content: Cannot separate modes "belonging to hadron" from vacuum fluctuations

No notion of hadron wave function: Not a closed system

Hadron structure: Parton picture



Momentum $P \to \infty$ ($\gg \mu_{vac}$)

Separate modes: $k_{\parallel} = xP, \ x > 0$ "hadron" $k_{\parallel} \lesssim \mu_{vac}$ "vacuum"

Hadron becomes closed system: Described by wave function

Wave function has components with different particle number: $|N\rangle = |qqq\bar{q}\rangle + |qqq\bar{q}q\rangle + |qqqg\rangle + \dots$ (schematically)

Many-body system in particle degrees of freedom

In QCD this picture emerges after factorization and renormalization: Transverse momentum cutoff $k_T \leq \mu$, scale dependence \rightarrow later

Hadron structure: Many-body system



Components of wave function

Few particles with large x = O(1) fractional momentum

Many particles with small $x \ll 1$

Measurable properties

Particle number densities, incl. spin/flavor dependence

Transverse spatial distributions

Transverse orbital motion, spin-orbit correlations

Particle correlations

connected by QCD interactions



Hadron structure: Quark/gluon number densities



Basic particle (Qntentoton)ucleon in QCD!

u_v

0.2

0.3

0.4

0.3

uv

Factorization: Separation of scales



Scattering process at momentum transfer $Q^2 \gg$ hadronic scale

Separate scales:

 $k_T^2 \sim Q^2$

hard scattering process

radiation

 $k_T^2 \sim \mu^2$ hadron structure

Types of final states

| inclusive | $e + N \rightarrow e' + X$ |
|----------------|---------------------------------|
| semi-inclusive | $e + N \rightarrow e' + h + X'$ |
| exclusive | $e + N \rightarrow e' + M + N'$ |

 Σ all radiation radiation restricted radiation only internal, emitted + absorbed

Hadron structure described by particle densities = reduction of "wave functions"

$$f(x,\mu) = \langle N(P) \mid a^{\dagger}a (k_{\parallel} = xP, k_T < \mu) \mid N(P) \rangle_{P \to \infty}$$

$$\rightarrow \int \frac{d\lambda}{2\pi} e^{i\lambda x(Pn)} \langle N(P) | \bar{\psi}(\lambda n) \dots \psi(0)_{\mu} | N(P) \rangle_{\text{any } P}$$

Number density of quarks in fast-moving nucleon state

Correlation function of quark fields at light-like separation λn^{μ} , $n^{\mu}n_{\mu} = 0$ \rightarrow Lecture Nobuo Sato

Properties

Rigorously defined: Matrix elements of 2nd quantized QCD operator, renormalized at scale μ , scale dependence described by evolution eqs

Process-independent, universal: Same distribution can appear in multiple processes as directed by factorization

Sum rules: $\int dx [f - \overline{f}](x, \mu) = \text{global charges}$

Computable: Distributions can be computed using lattice QCD, other non-perturbative methods

Extensions: Spin-dependent distributions, transverse-momentum dependent distributions TMD, generalized parton distributions GPD with $P \neq P'$

Principal tools for characterizing hadron structure in QCD



Summary

Fast-moving hadron state ($P \gg \mu_{\rm VAC}$) decouples from vacuum fluctuations, becomes "closed system" described by wave function

Hadron state has components with variable particle number, connected by QCD interactions

Rigorous definition of parton densities can be provided in the context of factorization of high-momentum transfer processes: Second-quantized QCD operator, renormalization

Think of hadron as many-body system with physical characteristics: Particle content, spatial size, orbital motion, correlations...

Electron scattering: Kinematic variables



Particles described by 4-momenta $p_e = (E_e, \mathbf{p_e})$ etc.

 $q \equiv p_e - p_{e'} = (q^0, \mathbf{q})$ 4-momentum transfer

Inelastic scattering: Energy and momentum transfer independent

Relativistically invariant variables

$$Q^2 \equiv -q^2$$
 invariant momentum transfer
 $x_B \equiv \frac{Q^2}{2(p_N q)}$ Bjorken scaling variable



Probing nucleon structure

- $x \sim x_B$ selects momentum fraction
- 1/Q sets resolution scale

Direct connection of external kinematic variables with internal variables of parton picture

Electron scattering: Cross section

Inclusive scattering $e + N \rightarrow e' + X$

$$\frac{d\sigma}{dx_B dQ^2} = [Flux] \times \left[F_1(x_B, Q^2) + \dots\right]$$

Differential cross section (1-photon exchange) parametrized by invariant structure functions

$$F_{1}(x_{B}, Q^{2}) = \sum_{q=u,d,s} e_{q}^{2} \int dx \ C_{q}(x_{B}, x; Q^{2}/\mu^{2}) \left[q(x, \mu^{2}) + \bar{q}(x, \mu^{2}) \right] + e_{q}^{2} \int dx \ C_{g}(x_{B}, x; Q^{2}/\mu^{2}) \ g(x, \mu^{2})$$

Factorization: Structure function expressed through parton densities

Coefficients contains hard scattering and radiation effects (evolution)

Predict cross section from parton densities model Extract parton densities from measured cross section

Similar workflow in semi-inclusive scattering $e + N \rightarrow e' + h + X$, exclusive scattering $e + N \rightarrow e' + M + N'$

Electron scattering: Polarization

Inclusive scattering $\overrightarrow{e} + \overrightarrow{N} \rightarrow e' + X$, beam and target polarized

$$\frac{d\sigma^{\uparrow\uparrow} - d\sigma^{\downarrow\uparrow}}{dx_B dQ^2} = [\text{Flux'}] \times [g_1(x_B, Q^2) + \dots]$$

Spin difference of differential cross sections parametrized by spin structure functions

$$g_1(x_B, Q^2) = \sum_{q=u,d,s} e_q^2 \int dx \ C'_q(x_B, x; Q^2/\mu^2) \ \left[\Delta q(x, \mu^2) + \Delta \bar{q}(x, \mu^2) \right] + e_q^2 \int dx \ C'_g(x_B, x; Q^2/\mu^2) \ \Delta g(x, \mu^2)$$

Factorization: Structure function expressed through polarized parton densities

$$\frac{d\sigma^{\uparrow\uparrow} - d\sigma^{\downarrow\uparrow}}{d\sigma^{\uparrow\uparrow} + d\sigma^{\downarrow\uparrow}} = \frac{\mathsf{Flux'}}{\mathsf{Flux}} \times \frac{g_1(x_B, Q^2)}{F_1(x_B, Q^2) + \dots}$$

Alt. observable: Spin asymmetry of cross section, experimentally simpler than absolute cross section

Similar workflow in polarized semi-inclusive and exclusive scattering

Electron scattering: Kinematic range



 $s = (p_e + p_N)^2$ $= (E_e + E_p)^2_{CM}$

electron-nucleon invariant

energies of particles in CM frame

Kinematic range

 $Q^2 < x_B(s - m^2)$

kinematic limit

Experimental limitations at low Q^2 and large x_B — resolution

Large *s* needed to access small x_B , high Q^2



Electron scattering: Setups





e

Beam on fixed target

High luminosity from density of protons/nuclei in target Polarized target technology

CM energy grows as $s = 2E_e m_p + m_p^2$

Colliding beams

CM energy grows as product $s \sim 4E_e E_p$

Energy-efficient: Beams in storage rings can collide multiple times

Clean: No target material, no scattering from atomic electrons, no dilution by other nuclei

Detection: Final-state particles can have large angles depending on energies; far-forward detection

Achieving high luminosity much more challenging: Beam quality (cooling), focusing, collision geometry

Integrated design needed: Interaction region, detector

Electron scattering: Luminosity



Hadron structure: Many-body system



Measurable properties

Particle number densities, incl. spin/flavor dependence

Transverse spatial distributions

Transverse orbital motion, spin-orbit correlations

Particle correlations

change with resolution scale μ

Summary

Electron scattering probes partonic structure differentially in $x \sim x_B$ (momentum fraction) and $\mu^2 \sim Q^2$ (resolution scale)

JLab 12 GeV and EIC are complementary: JLab 12 GeV: $x \gtrsim 0.1$, valence quarks, highest luminosity EIC: $x \lesssim 0.1$, sea quarks and gluons, scale dependence

Luminosity critical for many applications

EIC: Sea quark polarization



How are sea quarks polarized?

Nonperturbative interactions connecting valence and sea quarks?

Mesonic degrees of freedom?

Semi-inclusive scattering

Detect π, K from fragmentation

Determine charge/flavor of active quark Fixed-target: HERMES, COMPASS, JLab12 GeV

EIC measurements

High energy ensures independent fragmentation of active quark

Accurate extraction of sea quark polarization



EIC Yellow Report 2022

EIC: Gluon polarization





How are gluons polarized?

Nonperturbative interactions creating "physical" gluon modes?

Gluon spin contribution to nucleon spin? Orbital angular momentum in nucleon?

Gluon polarization from ep scattering

 Q^2 dependence of spin structure function $g_1(x, Q^2)$ from QCD evolution

Heavy quark pair production $c\bar{c}$

Alt: Polarized pp scattering at RHIC

EIC measurements

Wide range of x, Q^2 enables effective extraction from evolution

Accurate determination of Δg

EIC: Spin sum rule and orbital angular momentum



Nucleon spin sum rule

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma(\mu) + \Delta G(\mu) + L_q + L_g$$
$$\Delta\Sigma(\mu) = \sum_{q=u,d,s} \int_0^1 dx \ [\Delta q + \Delta \bar{q}](x,\mu)$$
$$\Delta G(\mu) = \int_0^1 dx \ \Delta g(x,\mu)$$



Nucleon spin composed of quark + gluon spins and orbital angular momentum

Determination of gluon spin by EIC measurements provide constraint on orbital angular momentum

Direct demonstration of orbital AM?

Semi-inclusive transverse single-spin asymmetries

Exclusive processes probing GPDs

Nucleon structure at $x \rightarrow 1$: PDFs, form factors JLab12 GeV + beyond

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EIC: Spin-orbit interactions



Spin-orbit interactions in QCD

Azimuthal asymmetry $\propto e_L \cdot (S_T \times p_{hT})$ in semi-inclusive hadron production on transversely polarized proton

Requires orbital angular momentum L > 0and QCD final-state interaction

What nonperturbative dynamics is at work?



EIC measurements

Extraction of azimuthally dependent quark distribution from semi-inclusive scattering

Wide x, Q^2 coverage allows for test of reaction mechanism, QCD evolution studies

EIC: Transverse spatial distributions





How are partons distributed in transverse space?

Defines "size" and "shape" of nucleon in QCD

Transverse spatial distributions change with x, nucleon polarization, quark/gluon spin

Exclusive process $e + N \rightarrow e' + (meson, \gamma) + N$

High Q^2 production process takes place in interaction with single quark/gluon

Nucleon form factor for quarks/gluons with longitudinal momentum fraction x — generalized parton distribution

Transverse spatial distribution of quarks/ gluons as Fourier transform $\Delta_T \rightarrow \mathbf{b}_T$

Channels sensitive to quarks and gluons: $J/\psi, \Upsilon$: Gluons ρ^0, ϕ : Gluons + singlet quarks γ (DVCS): Quarks, gluons at NLO

EIC: Transverse spatial distribution of gluons





Exclusive J/ψ photo/electroproduction as clean probe of gluon GPD

Differential measurements in $x_B, Q^2, \Delta_T^2 \sim -t$

Spatial distribution broadens with decreasing *x*

- x > 0.2 valence-like gluons
- $x \leq 0.1$ gluons in pion cloud
- $x < 10^{-2}$ partonic diffusion

Test ideas about dynamics!



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EIC: Neutron structure with spectator tagging



Measurements on neutron essential for u - d flavor separation of quark distributions

Neutron available only in scattering on nuclei: Corrections from motion, binding, polarization

Cleanest method: Scattering on deuteron with detection of spectator proton

identifies events with active neutron

controls nuclear configuration during high-energy process

Free neutron structure from "on-shell extrapolation" in spectator momentum

Uses EIC far-forward detectors



EIC: Other hadron structure measurements

TMD evolution: Validating/testing theory of QCD radiation in TMD observables, transition from low to high p_{T}

Parton structure studies using QCD jets

Diffractive scattering on proton: Diffractive parton densities, quantum fluctuations of gluon density

Pion/kaon structure from peripheral scattering on nucleon

Electroweak charged-current scattering for charge/flavor separation of quarks

Many more "creative" applications...

Detailed information: EIC Yellow Report 2021 [INSPIRE]

Summary

EIC will answer basic questions nucleon/hadron partonic structure in region of sea quarks and gluons

Partonic structure probed in high-Q2 scattering processes directly connected with QCD effects/phenomena in perturbative and nonperturbative domains

Need for CM energy and luminosity evident in applications discussed here

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EIC physics II: QCD in nuclei

Nuclear interactions and partonic structure Nuclear gluon density Gluon shadowing at small x Gluon saturation

EIC physics III: Hadronization

Fragmentation functions Hadronization in nuclear medium

[Accelerator and detector]

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Timeline

Nuclei: Nucleon interactions and partonic structure 32

How do nucleon interactions and nuclear binding emerge from QCD? "Next step" after exploring nucleon structure

Concepts of partonic structure, factorization, etc. for nuclei defined in same way as for nucleon

Compare partonic structure of nuclei and free nucleons

 $f_A(x,\mu^2) \neq \sum_N f_N(x,\mu^2)$ (*N* = *p*, *n*) nuclear modifications from motion + binding

x defined as fraction of P_A/A = nominal nucleon momentum

Nucleon interactions expressed in nuclear modifications of partonic structure

What type of nucleon interactions causes modification at given *x*?

What distances are involved at given *x*?

Nuclei: Nucleon interactions and partonic structure 33

Longitudinal distances involved in parton density

$$f_A(x,\mu^2) = \int \frac{d\lambda}{2\pi} e^{i\lambda m_N x} \langle A | \bar{\psi}(\lambda n) \dots \psi(0)_{\mu} | A \rangle$$

parton density as correlation function in nucleus rest frame, $P_A^0 \approx A m_N$

 $\lambda \sim \frac{1}{xm_N}$ typical distances in correlation function at given *x*

| x = 0.5 | $\lambda=0.4~{ m fm}$ | < nucleon size |
|---------------|--------------------------|---------------------------|
| x = 0.1 | $\lambda = 2 \text{ fm}$ | ~ typical NN distance |
| $x = 10^{-2}$ | $\lambda=20~{ m fm}$ | \gg diameter of nucleus |



Nuclei: Nucleon interactions and partonic structure 34



Different types of nucleon interactions are expressed in the nuclear modifications of the parton densities in different ranges of *x*



EIC: Nuclear gluon densities





Nuclear gluon density can be determined from

 Q^2 dependence of inclusive eA cross section F_2 and F_L structure functions

Open heavy flavor production c, b: Direct probe, good theoretical control

c, b quarks identified through D, B mesons High charm production rates and reconstruction efficiency at EIC

Impact on nuclear gluons at $x \gtrsim 0.1$

- → Gluon EMC effect?
- → Gluon antishadowing?

EIC: Nuclear quark charge/flavor densities



How are the quark/antiquark and flavor densities in nucleus modified at $x \sim 0.1$?

Meson exchange in NN interaction: Enhancement of antiquarks?

Inclusive DIS cannot separate $q \leftrightarrow \bar{q}$

EIC: Semi-inclusive DIS on nuclei



Can separate $q \leftrightarrow \bar{q}$, constrain nuclear sea

High energy ensures independent fragmentation of active quark

Strategies for separating parton structure modifications from nuclear final-state interactions

Detector simulations planned

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EIC: Gluon shadowing at small x



Distance in correlator \gg size of nucleus

Gluons are "pulled" from different nucleons coherently at amplitude level

$$f_A(x) = f_1(x) + f_2(x) + f_{1-2}$$
 interference(x)

Interference term in gluon density^{*} Nuclear density \neq sum of nucleon densities

Interference term reduces nuclear gluon density compared to sum of nucleon densities

QM effect. Analog of classical "shadowing" - nucleon 2 hidden behind nucleon 1

Observed in heavy quarkonium production in γA collisions at LHC Can be tested and explored at EIC

*Interference made possible by diffractive amplitudes $N \rightarrow g + X' + N$. Shadowing effect closely connected to diffractive scattering.

EIC: Gluon shadowing in coherent processes



Coherent quarkonium production on heavy nuclei

Amplitude probes gluon density in nucleus at fixed impact parameter b

Differential cross section exhibits "diffraction pattern" determined by Fourier transform of amplitude squared

Shadowing effect in gluon density proportional to nuclear thickness correlated with impact parameter → expressed in diffraction pattern

Detection

Difficult measurement: Coherent events identified by vetoing nuclear breakup events (neutron emission)

Uses far-forward detection system: Zero-degree calorimeter for neutrons

EIC: Gluon shadowing in coherent processes



Alt. approach: Coherent quarkonium production on light nuclei (D, 3He, 4He)

Shadowing effect smaller, but expression in diffraction pattern better understood

Cross section without shadowing has well-established diffractive minimum

Shadowing will "fill" the minimum - large effect!

Detection

Active detection of recoiling nucleus using far-forward detectors

Recoiling nucleus is charged particle, detected with Roman Pot detectors



EIC: Gluon saturation at small x



New dynamical scale in wave function at small x

Gluon number grows through QCD radiation

Gluon density per transverse area becomes scale: $Q_S(x)$

Theory: Nonlinear QCD evolution BK, JIMWLK; classical fields "color-glass condensate"

Effect enhanced in nuclei, naively $Q_S \propto A^{1/3}$, but diminished by shadowing



Example: Nucleus/proton ratio of diffractive structure functions predicted by CGC. EIC Yellow Report



Saturation studies using exclusive, diffractive and inclusive processes



How do hadrons emerge from energetic QCD modes?

Basic process of "conversion of energy to matter"

What is role of QCD radiation, nonperturbative fields, chiral symmetry breaking?

As fundamental as hadron structure, but much less understood...

Fragmentation functions

Describe probability for energetic quark/antiquark/gluon to decay into certain hadron with energy fraction z plus unidentified colored remnant

Analogies with parton distributions in hadrons: Appear in factorization, universal

 $e^+e^- \rightarrow h + X$: Accurate measurements, but only $q + \bar{q}$, cannot separate q and \bar{q}

 $ep \rightarrow e' + h + X$ (semiinclusive DIS): Separate information on q and \bar{q} , test universality

EIC: Fragmentation functions





Quark/antiquark fragmentation functions from global analysis

EIC: Semi-inclusive pion and kaon production

Major improvement in flavor separation, "unfavored" fragmentation

EIC Yellow Report

EIC: Target fragmentation of nucleon





Target fragmentation: Hadronization of nucleon remnant when removing quark with given x

Provides information on configurations in nucleon partonic wave function: particle content, motion

New observables in fragmentation of polarized nucleon

New information from measurements of correlations between current and target fragmentation

Feasibility with EIC detectors being explored

EIC: Hadronization in nuclear medium







How does energetic color charge interact with hadronic matter? Energy loss, transverse momentum broadening Induced radiation, modified fragmentation Timescales for color neutralization, hadronization? Cold matter results as input to hot matter in heavy-ion

EIC measurements

Wide range of energies $\nu = 10-100$ GeV: Move hadronization in and out of nucleus

Wide range of momentum transfers Q^2 : Scale dependence of medium effects

Hadronization of heavy quarks: Clean probe, QCD predictions

High luminosity for multidimensional binning

DOE Project: Standard structure/process for construction of new facilities. Integrated project, managed/executed according to project management best practices (scope, cost, schedule, risk, critical decisions, reviews, etc.). Also integrates international contributions to EIC detector.

BNL and JLab: Host facility (BNL) and supporting efforts such as detector assembly, testing. Lab staff extensively involved in DOE project, and engaged in scientific and technical development. Labs also engage and connect with user community.

ePIC Collaboration: International collaboration designing/building EIC detector. Formed in 2022. 171 institutions, 25 countries, >500 members. [Webpage]

EIC User Group: International association of scientists promoting EIC in scientific, technical, and educational matters. >1400 members, 290 institutions, 38 countries. Includes theorists. Working groups, e.g. for EIC Theory and second detector. Holds meetings, provides online resources. [Webpage]

EIC: Project timeline



CD0: Approve mission need, site selection. December 2019 ✓

- CD1: Approve scope, analyze alternatives. June 2021 √
 - CD3A: Approve start of long-lead procurements. March 2024 🗸
- CD2: Approve preliminary design (maturity >60%), requires pre-TDR
- CD3: Approve final design (maturity >90%), requires TDR
- CD4: Project completion, expected ~2034

Progress depends on budget allocations, timeline may be revised

Measurements and analysis will be done by "next generation!"