



UNIVERSIDAD TÉCNICA  
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 **Jefferson Lab**



# Analysis of nuclear effects in azimuthal asymmetries of $\pi^+$ off SIDIS processes with CLAS

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On behalf of the CLAS collaboration

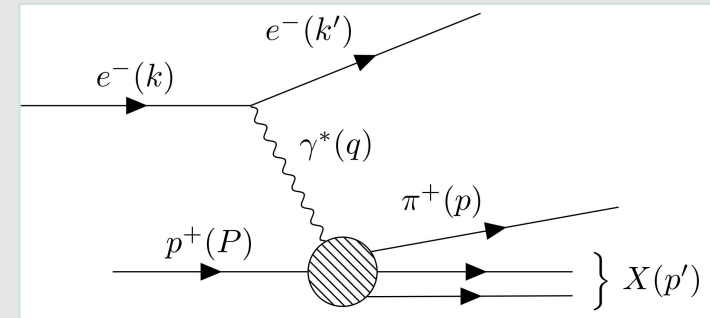
March 13<sup>th</sup>, 2024

# Overview

- Motivation
  - Semi Inclusive Deep Inelastic Scattering (SIDIS) and hadronization
  - Cross section and asymmetry terms
- Data, simulation, and acceptance
  - Closure test
- Azimuthal asymmetries
  - First asymmetry and ratios
  - Effect of same sector events
- Conclusions

# Motivation: SIDIS and hadronization

- Semi Inclusive Deep Inelastic Scattering (SIDIS) process involves a **lepton** ( $e^-$ ) and a **nucleon** in the production of **hadrons as final state particles** (we are mainly interested in  $\pi^+$ )
- The strong interaction involves two main properties that cannot be directly measured: *Asymptotic freedom* and *Color confinement*
- Question: **Do different nuclear media affect the internal interactions in nucleons?**
- **Is the usual semi-classical picture enough to describe processes inside nuclei?**

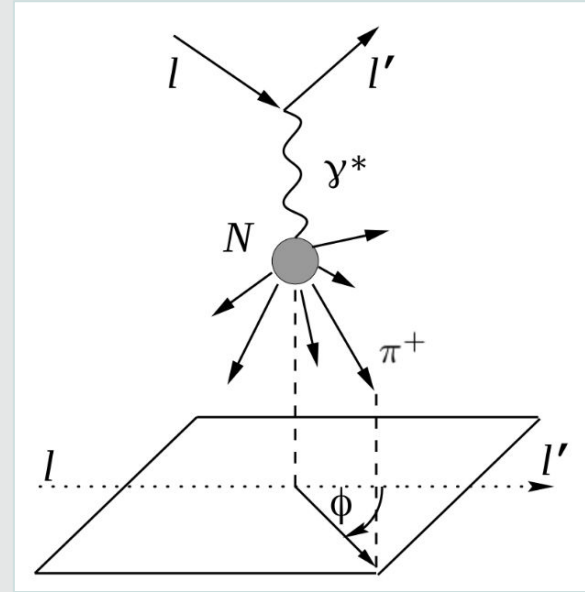


# Definition of variables

- An **unpolarized SIDIS process** can be fully described with 5 variables
- We commonly use two leptonic and three hadronic variables:
  - Only two of the usual leptonic variables are independent

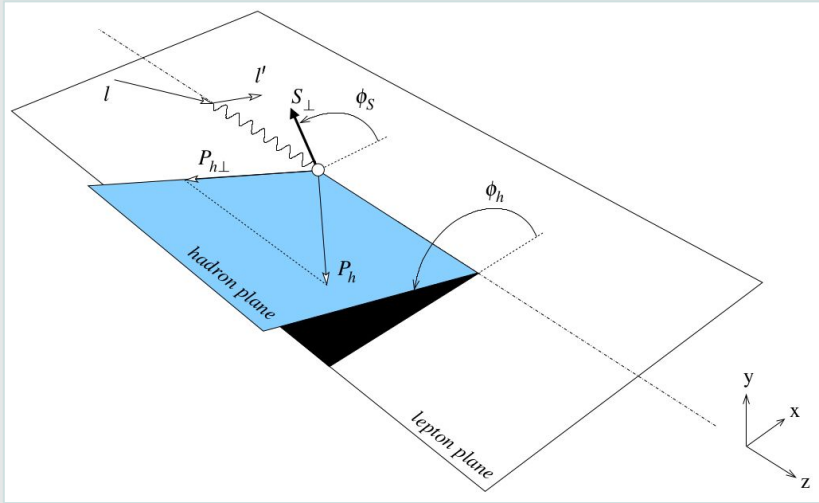
$$Q^2 = -q^2 = 4EE' \sin^2(\theta/2) \quad \nu = \frac{P \cdot q}{M} = E' - E$$

(Lab frame) (Lab frame)



# Definition of variables (continued)

- The three hadronic variables are:



$$Z_h = \frac{P_h \cdot p}{P_h \cdot q} = \frac{E_h}{\nu}$$

Interpreted as the energy transferred to the final hadron by the virtual photon (Lab frame)

$$P_t^2 = (P_{h\perp})^2$$

Transverse component of the momentum w.r.t. virtual photon

$$\phi_{PQ} = \phi_h$$

Azimuthal angle formed by the hadron and lepton planes defined by the detected hadron and scattered lepton

\* Image taken from: A. Bacchetta et al., Journal of High Energy Physics, vol. 2007, no. 02, p. 093, Feb. 2007. doi: 10.1088/1126-6708/2007/02/093.

# Cross section

$$\frac{d^6\sigma}{dx dy dz d\phi_S d\phi_h dP_t^2} = \frac{\alpha^2}{xQ^2} \frac{y}{2(1-\epsilon)} \times \left\{ F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1+\epsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} + \epsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\epsilon(1-\epsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} + S_{\parallel} \left[ \sqrt{2\epsilon(1+\epsilon)} \sin\phi_h F_{UU}^{\sin\phi_h} + \epsilon \sin(2\phi_h) F_{UU}^{\sin 2\phi_h} \right] \right.$$

$$\frac{d^5\sigma}{dQ^2 d\nu dZ_h dP_t^2 d\phi_{PQ}} \equiv A + B \cos(\phi_{PQ}) + C \cos(2\phi_{PQ}) \propto 1 + 2 \langle \cos\phi \rangle \cos(\phi_{PQ}) + 2 \langle \cos 2\phi \rangle \cos(2\phi_{PQ})^*$$

- Full cross section considers contributions from polarized beam and targets
  - These terms are removed in the unpolarized case
- The unpolarized cross section can be described by **three linearly independent terms**, in principle

$$\left. \begin{aligned} &+ \epsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \epsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \\ &+ \sqrt{2\epsilon(1+\epsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\epsilon(1+\epsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \end{aligned} \right] + |S_{\perp}| \lambda_e \left[ \sqrt{1-\epsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\epsilon(1-\epsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} \right]$$

\* Usual notation, described in eq. 9 of: H., Airapetian *et al.* HERMES Collaboration (2012), 'Azimuthal distributions of charged hadrons, pions, and kaons produced in deep-inelastic scattering off unpolarized protons and deuterons.' arXiv. <https://doi.org/10.1103/PhysRevD.87.012010>

# Asymmetry terms

- We will refer to the  $\langle \cos\phi \rangle$  term as ‘first asymmetry’
- Theoretically, this term has contributions from two main effects
  - Cahn effect: Due to the intrinsic transverse momentum of quarks inside nucleon
  - Boer-Mulders: Due to coupling of the internal spin of the quarks with the whole target
- The first asymmetry is expected to be negative and dominated by the Cahn term
- The second asymmetry would be smaller in comparison

$$F_{UU}^{\cos\phi_h} = \frac{2M}{Q} \mathcal{C} \left[ \overset{\text{Cahn effect}}{-\frac{(\hat{h}\cdot\vec{k}_T)}{M} f_1 D_1} - \overset{\text{Boer-Mulders term}}{\frac{(\hat{h}\cdot\vec{p}_\perp) k_T^2}{M^2 M_h} h_1^\perp H_1^\perp} + \dots \right]$$

$$F_{UU}^{\cos 2\phi_h} = \mathcal{C} \left[ \overset{\text{Boer-Mulders term}}{-\frac{2(\hat{h}\cdot\vec{k}_T)(\hat{h}\cdot\vec{p}_\perp) - \vec{k}_T\cdot\vec{p}_\perp}{M M_h} h_1^\perp H_1^\perp} \right]$$

\* A. Moretti et al., ‘TMD observables in unpolarised SIDIS at COMPASS’, 2021. arXiv:2107.10740 [hep-ex].

# Data taking

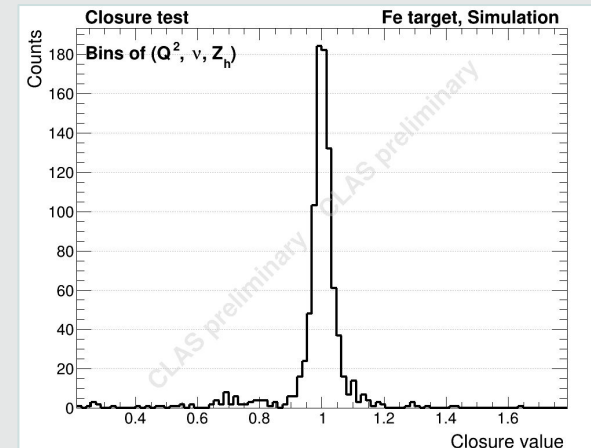
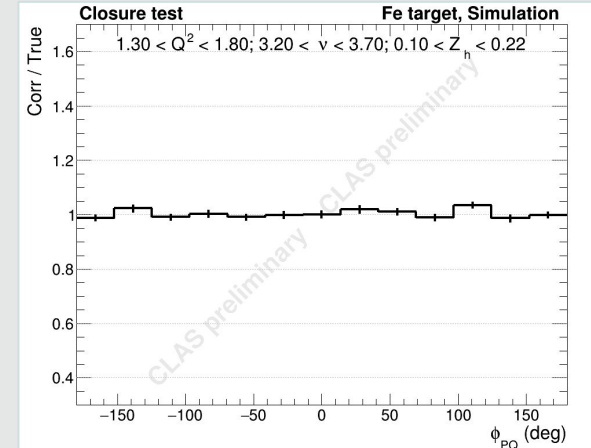
- Data was taken as part of the Eg2 group in Hall B with an unpolarized electron beam of 5.014 GeV in early 2004
- Solid targets of Carbon (C), Iron (Fe), and Lead (Pb) were tested
  - A liquid Deuterium (D) target was tested **simultaneously** with each solid target to reduce time dependent systematic effects
  - A double target system was developed to fulfill this requirement
  - The liquid target is placed before (upstream) the solid one and both are aligned along the beamline axis



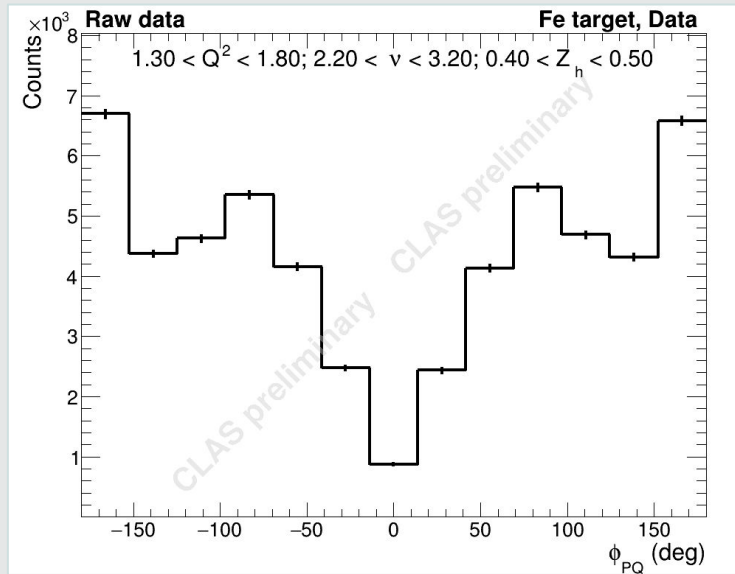


# Acceptance and Closure test

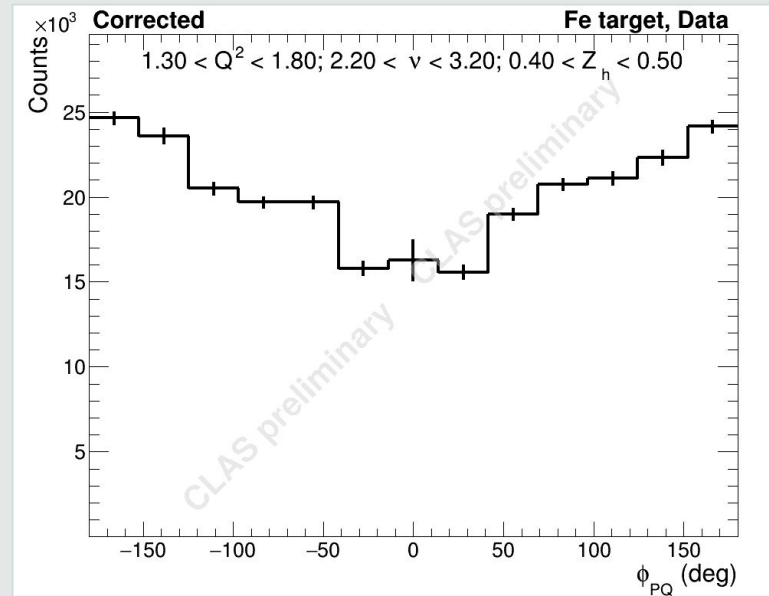
- Simulations made with Pythia 6.319 by H. Hakobyan
  - Detector and migration effects are removed by means of a **5-fold acceptance correction** implemented event by event to the data
- The closure test is introduced as a method to measure the *quality* of the acceptance correction
  - A ratio of 1 means a perfect correction!
  - $Z_h$  bins:  $0.98 \pm 0.12$
  - $P_t^2$  bins:  $0.99 \pm 0.07$



# Correcting data!

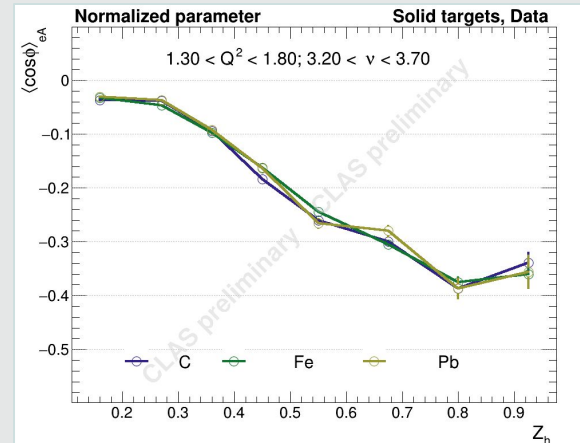
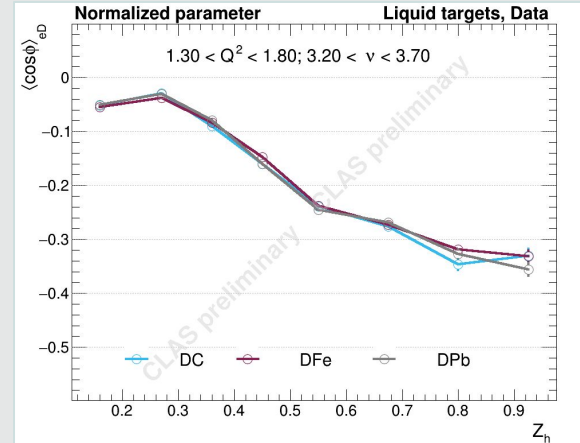


Applying  
Acceptance



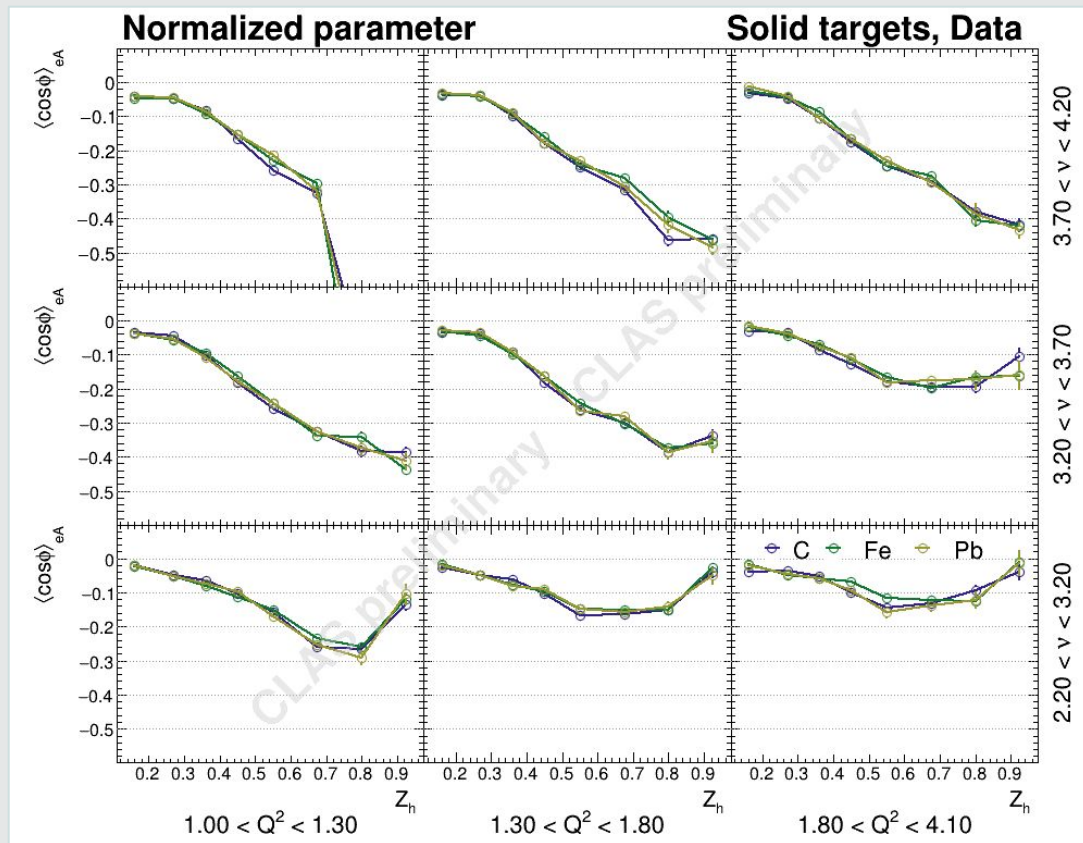
# First asymmetry: $Z_h$ dependence

- These next results are integrated in  $P_t^2$
- Deuterium should behave similarly with all solid targets, thus presenting a quality check
  - Most of the bins are within one sigma of uncertainty
- Similar trend is followed by all solid targets
  - Increase of more than four times (x4) at high  $Z_h$
  - No substantial differences with respect to deuterium(!)

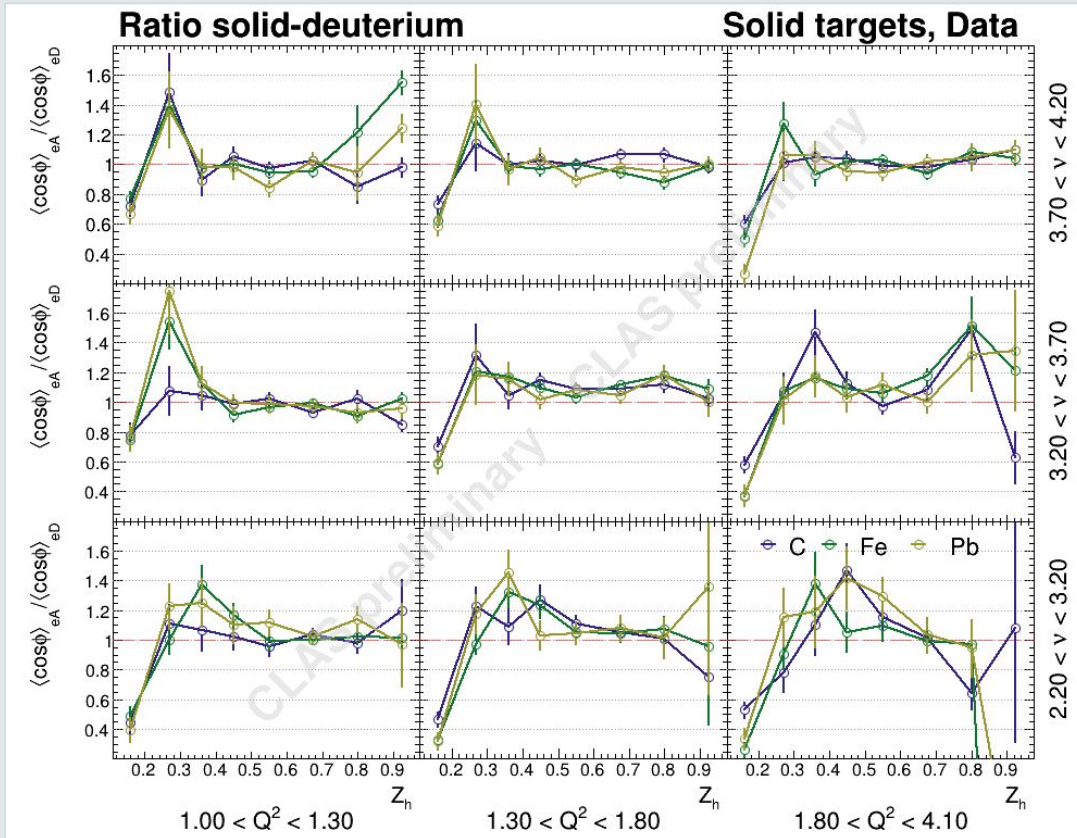


# First asymmetry: $Z_h$ dependence (all bins, solid)

- Some  $Z_h$  regions with small statistics have non-reliable results
- The effect is stronger mainly at high  $Z_h$ :
  - Accentuated at low virtuality ( $Q^2$ )
  - Increasing  $\nu$  also enhances the asymmetry



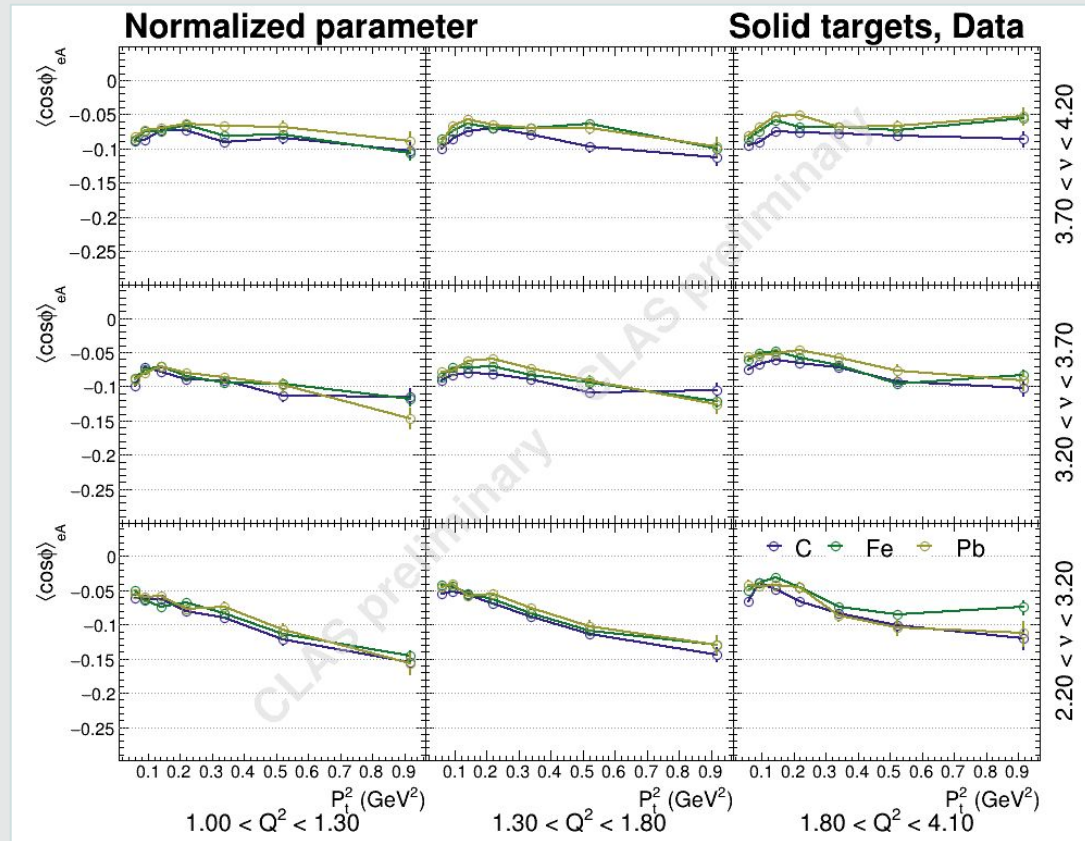
# Solid-Liquid ratio: $Z_h$ dependence



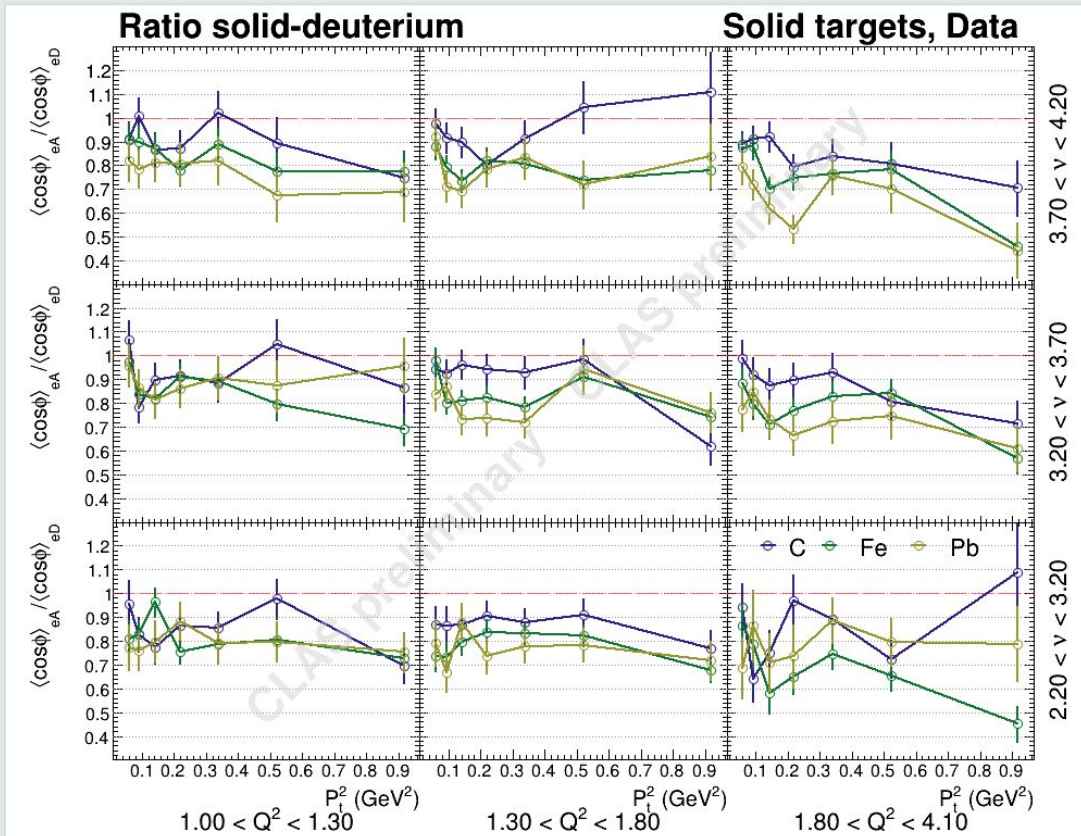
- No clear nuclear size hierarchy is observed
  - First overshoot looks systematic as it is present in every bin
  - Reduction in asymmetry is present previous to first overshoot
  - No conclusive effect at higher values

# First asymmetry: $P_t^2$ dependence (all bins, solid)

- Effect is always present
  - Stronger at high  $P_t^2$ , though the increment is three times at most
- Asymmetry is not particularly affected by  $Q^2$
- Low  $\nu$  values show a bigger impact at high  $P_t^2$



# Solid-Liquid ratio: $P_t^2$ dependence

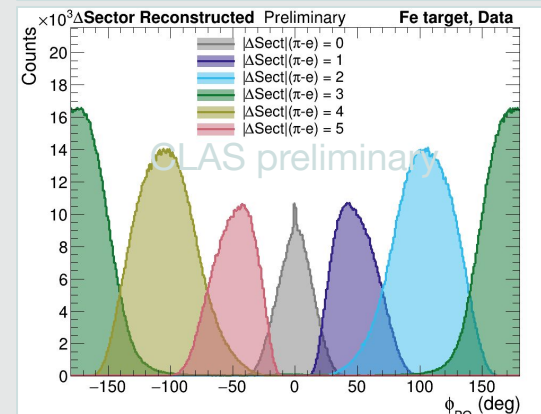
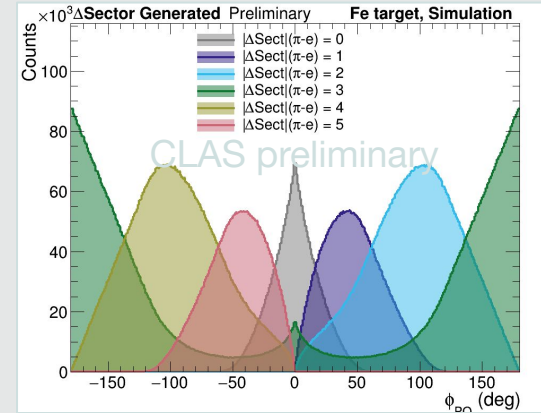


- Hints of a nuclear hierarchy
  - Higher reduction with bigger nuclear sizes (!)
  - Reductions up to 30% were observed



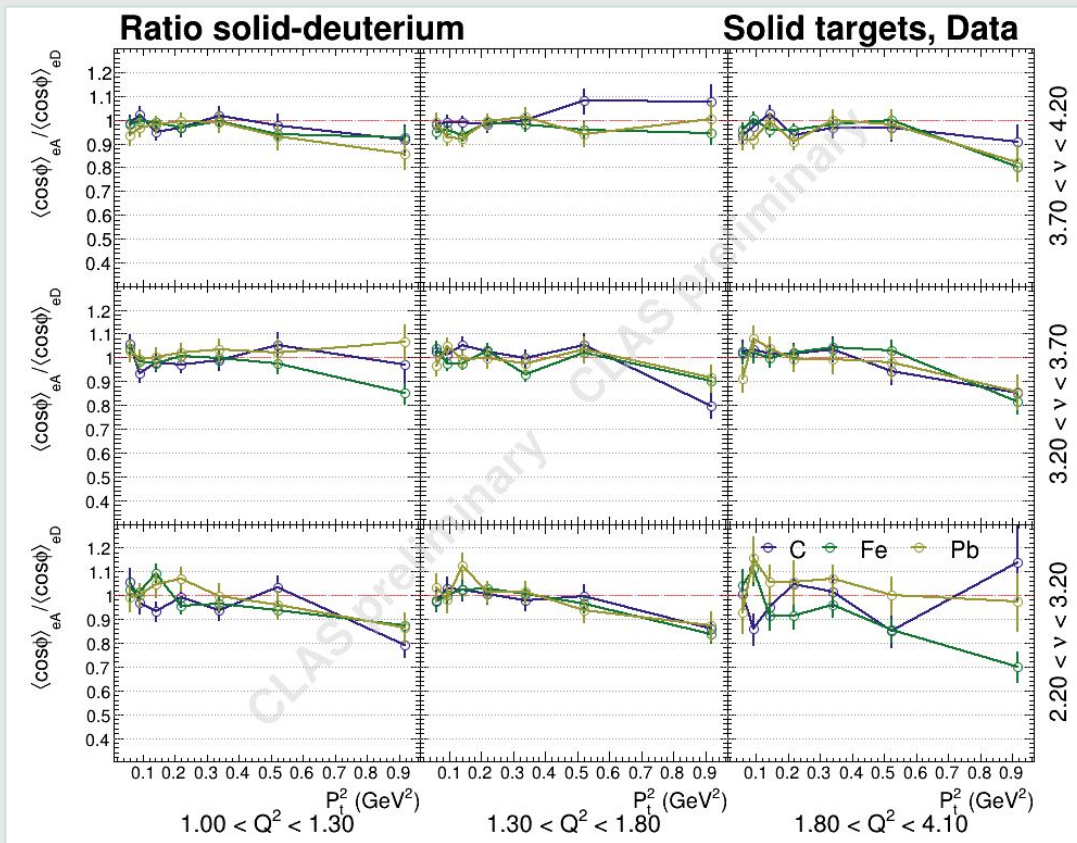
# Contributions of $\phi_{PQ}$ distribution

- By definition,  $\phi_{PQ}$  requires an electron and a pion correctly detected
  - This motivates the study on how the detection of different sectors impact on the whole distribution
  - Simulations show how the different peaks are introduced by hits in the same sector ( $|\Delta S| = 0$ ), neighbor sector ( $|\Delta S| = 1$ ), next to neighbor sectors ( $|\Delta S| = 2$ ), and so on
- Data has presented an anomalous peak in the region of  $\phi_{PQ} \sim 0^\circ \rightarrow$  How does the peak affect the results?





# Effect of same sector events



- In the extreme limit of having all same sector events removed, the suppression of the possible nuclear hierarchy is evident
  - Nuclear asymmetries are mainly visible in events with collimated products (!)

# Conclusions

- A 5-fold acceptance correction was implemented
  - Values were in line with previous studies of our group
  - A Closure test was successfully conducted
- No significant nuclear effects
  - Though hints of hierarchy were found, results are not conclusive → Further studies required
  - At best we can assert limits of the effect (in general, nuclear composition attenuates or maintains the asymmetry)
- First asymmetries are dominant in collimated events

The slide features a light gray background with teal geometric shapes in the corners. In the top-right corner, there are several overlapping triangles and squares in various shades of teal. In the bottom-left corner, there is a similar arrangement of overlapping teal shapes, including a large triangle and several smaller squares and triangles.

**Thanks for your attention!**

# Backup slides

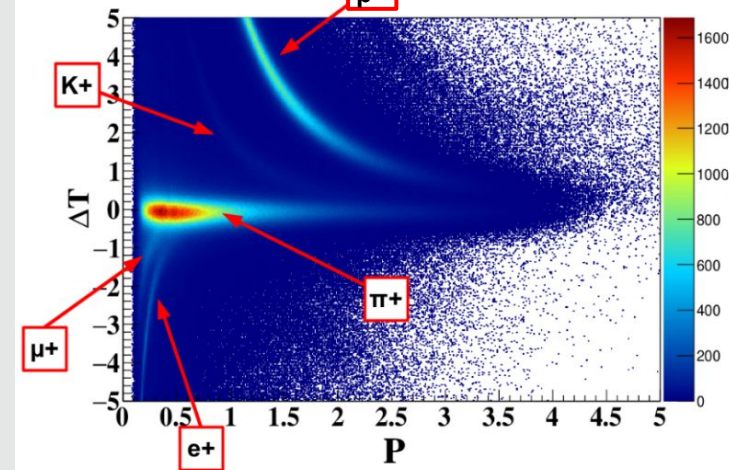
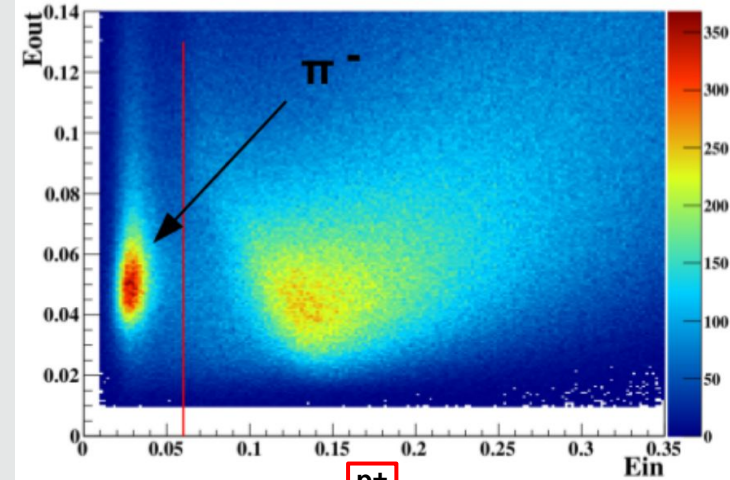
# Structure functions and factorization

- Begin with parton model (semi classical picture) to model hadronization
  - Partons (quarks and gluons) are point-like structures
  - Though it's a first approximation of the internal process, we can extend its validity by means of the factorization theorem
- A Structure Function is the most general way of accounting for the internal (unknown) structure of a nucleon
  - Partons can be extended to non-localized entities by introducing Parton Distribution Functions (PDF)
  - Extra terms, as a hard scattering part (pQCD) and Fragmentation Function (FF) are included and, in principle, independent one of the other

$$F(Q^2, x, Z_h) = \sum_i H_i(Q^2) f_i^N(x, Q^2) D_{i \rightarrow h}(Z_h, Q^2)$$

# Particle identification (pid)

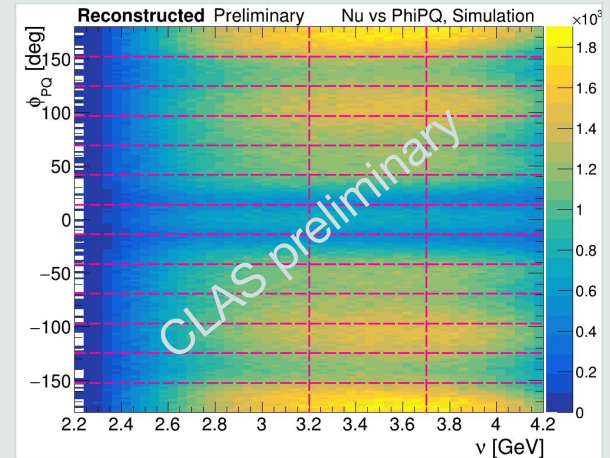
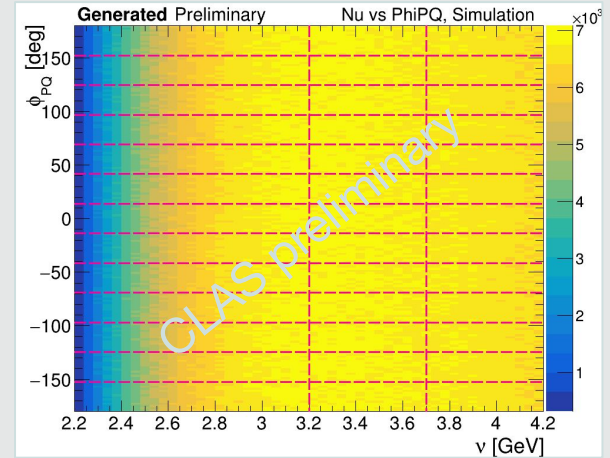
- Events are triggered by an electron addressed as the scattered lepton, requiring:
  - $Q^2 > 1$  GeV (DIS limit)
  - $W > 2$  GeV (avoid Delta resonances)
  - $y_b < 0.85$  (minimum sensitivity)
- Next, look for pid candidates:
  - $e^-$  with: DC and ECAL fiducial cuts, energy deposited and sampling ratio in ECAL, momentum reconstructed in DC, arrival time in TOF, signal in CC, etc...
  - If fails,  $\pi^-$  is the next candidate in the list: Arriving time in TOF, signal in CC, etc...
  - Same for their antiparticles ( $e^+ \rightarrow \pi^+$ )



# Simulations and Acceptance

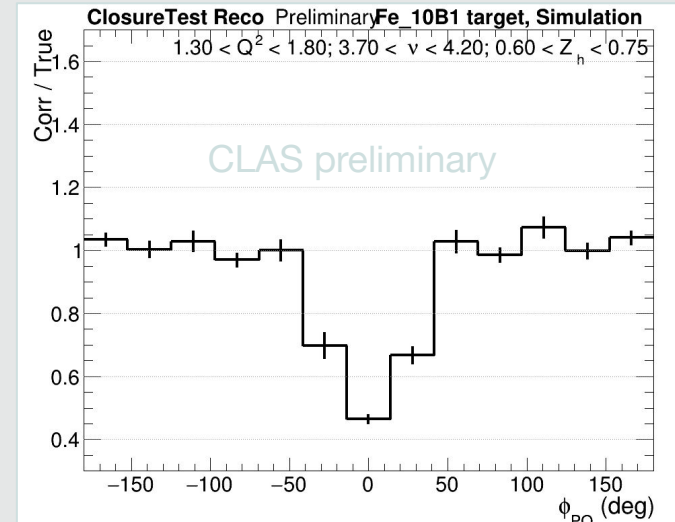
- A series of simulations of the process were made with Pythia 6.319 by H. Hakobyan
- Simulations model detector effects
  - These effects are removed by means of an **acceptance correction** to the data
  - 5-fold acceptance was implemented event by event

$$A(Q^2, \nu, Z_h, P_t^2, \phi_{PQ}) = \frac{N_{\text{reconstructed}}(Q^2, \nu, Z_h, P_t^2, \phi_{PQ})}{N_{\text{generated}}(Q^2, \nu, Z_h, P_t^2, \phi_{PQ})}$$



# Closure Test: Bad behaved bins

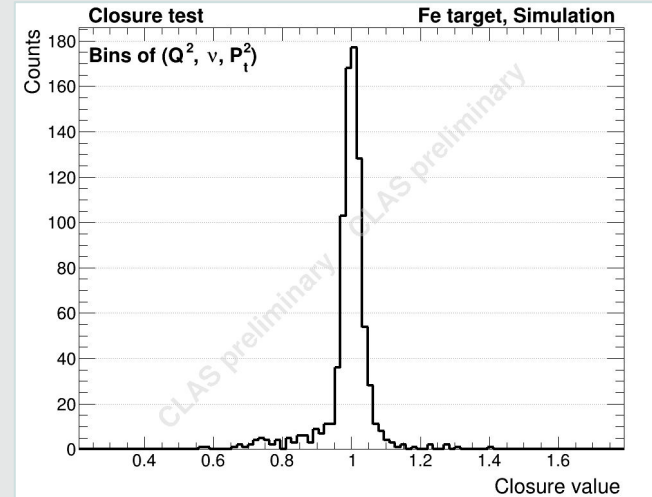
- Values around  $\phi \approx 0^\circ$  usually get a bad performance, especially at high  $Z_h$ 
  - This feature has not the same source as the data peak issue, since this is full simulation!
- Big error bars are also a symptom of this problem





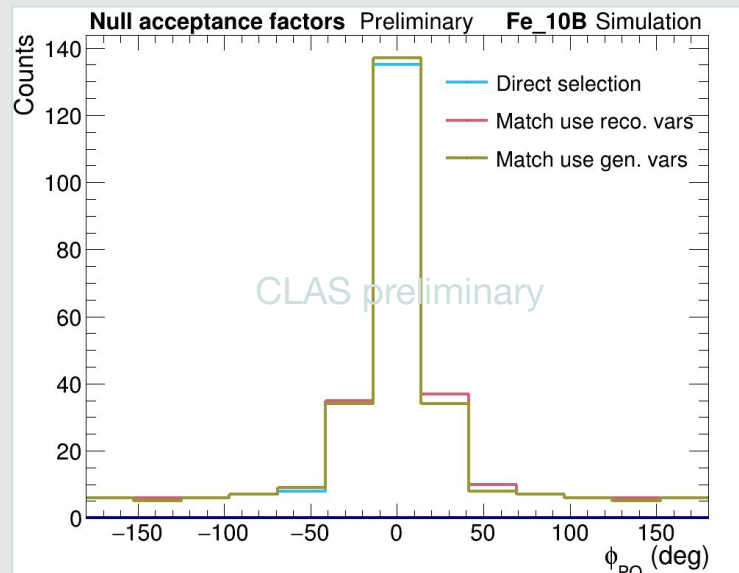
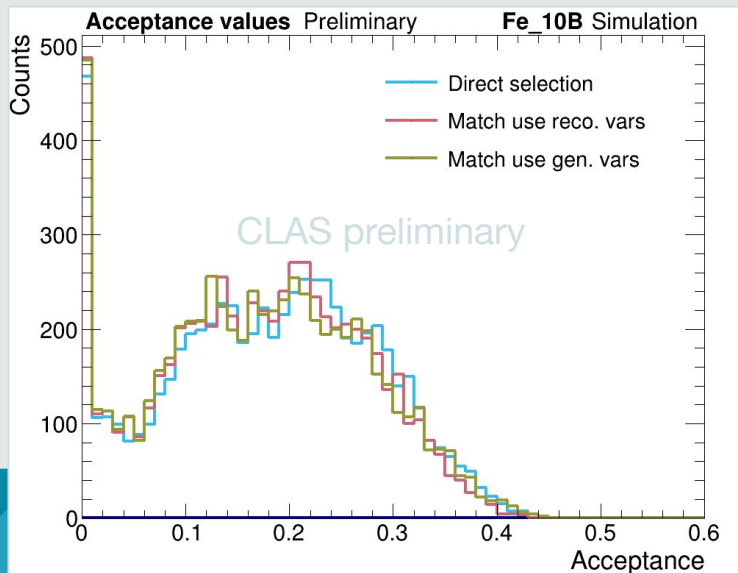
# Closure Test: Pt2

- Similar values as in Zh
  - Though closer to 1 (!)



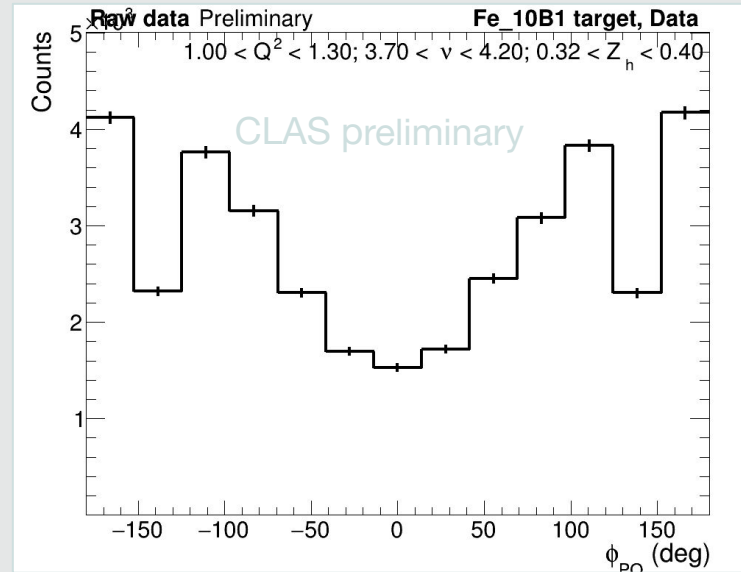
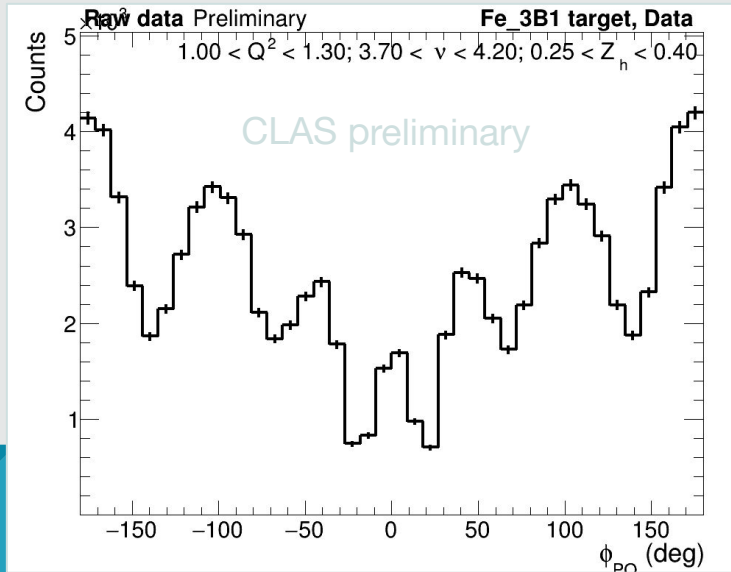
# Acceptance values

- Values obtained for Fe
- Single factors (left) and  $\phi$  dependence of empty bins (right)



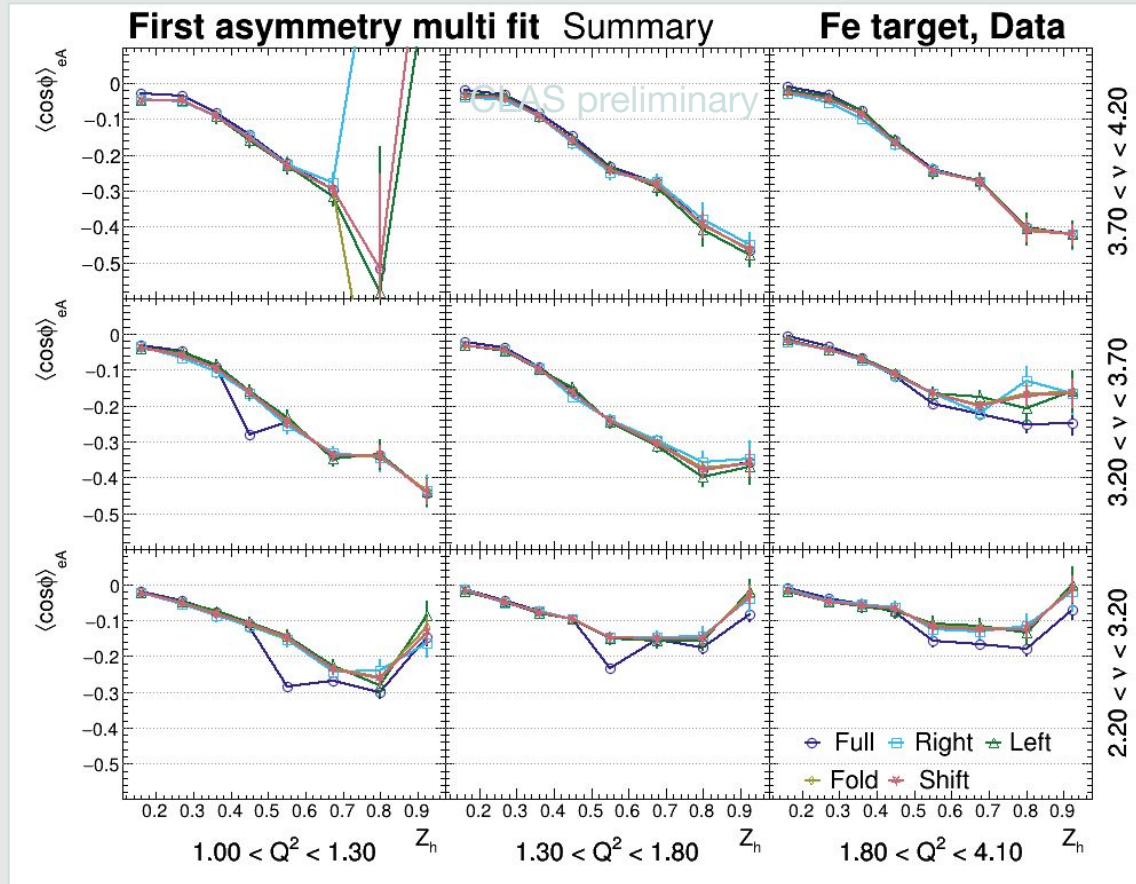
# Binning selection

- Note the impact of the granularity (bin width) when dealing with several features

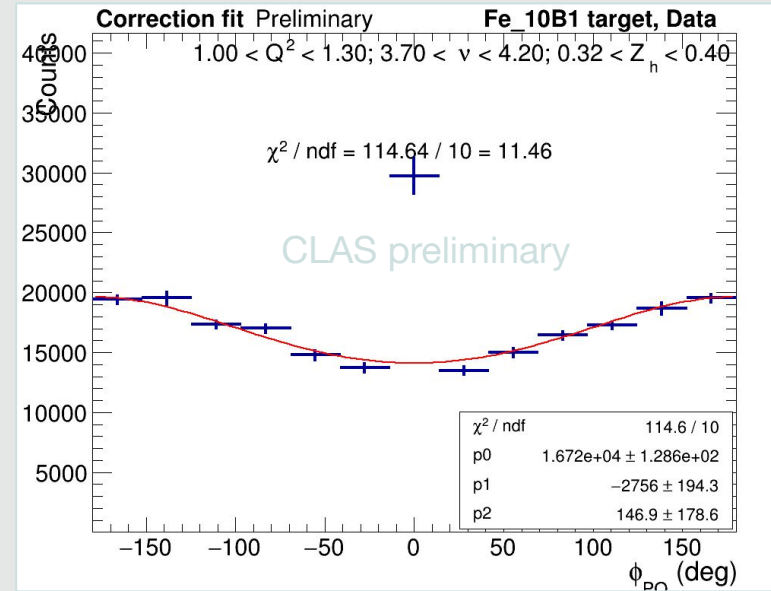
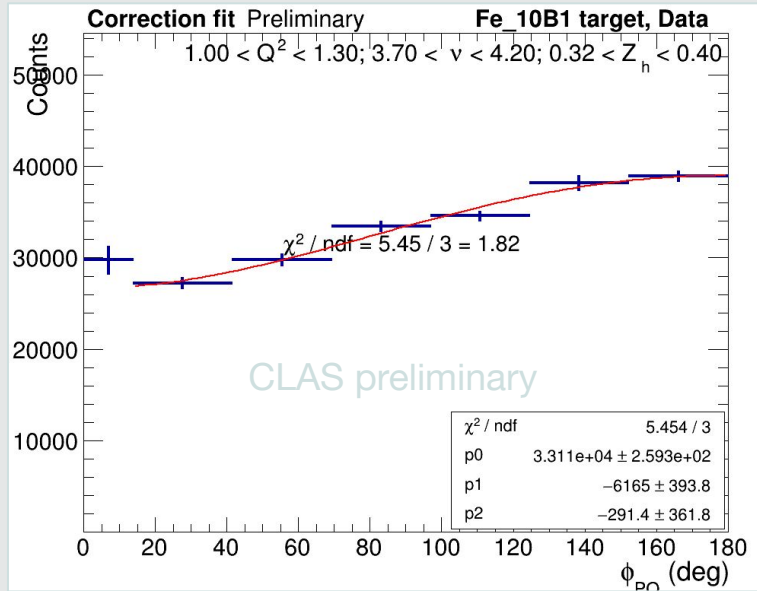


# Fitting methods

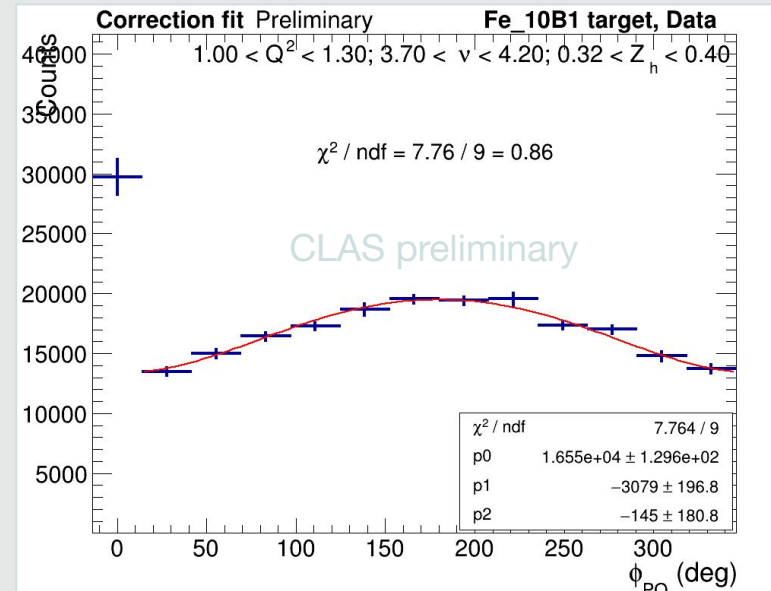
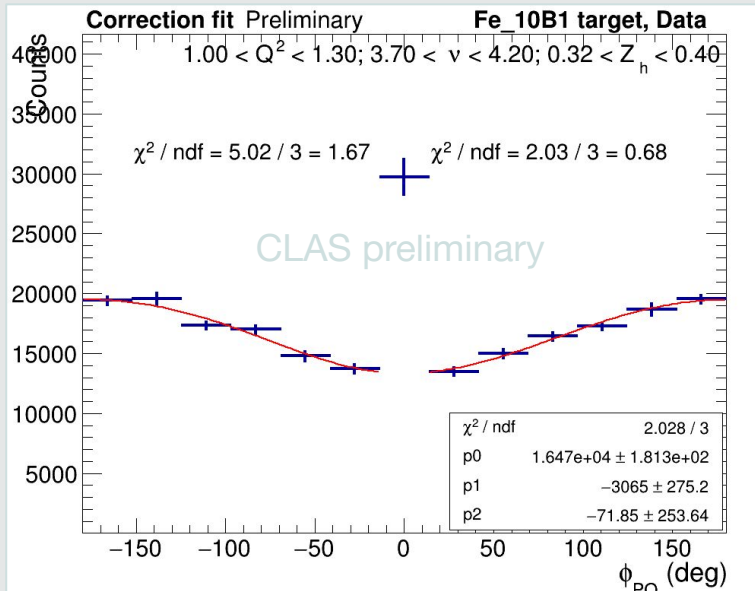
- Different techniques were developed to skip the problematic section
  - Shift, Fold, Left and Right tails, Full fit
- In general, the peak produces effects at high  $Z_h$



# Fit methods: Fold and Full

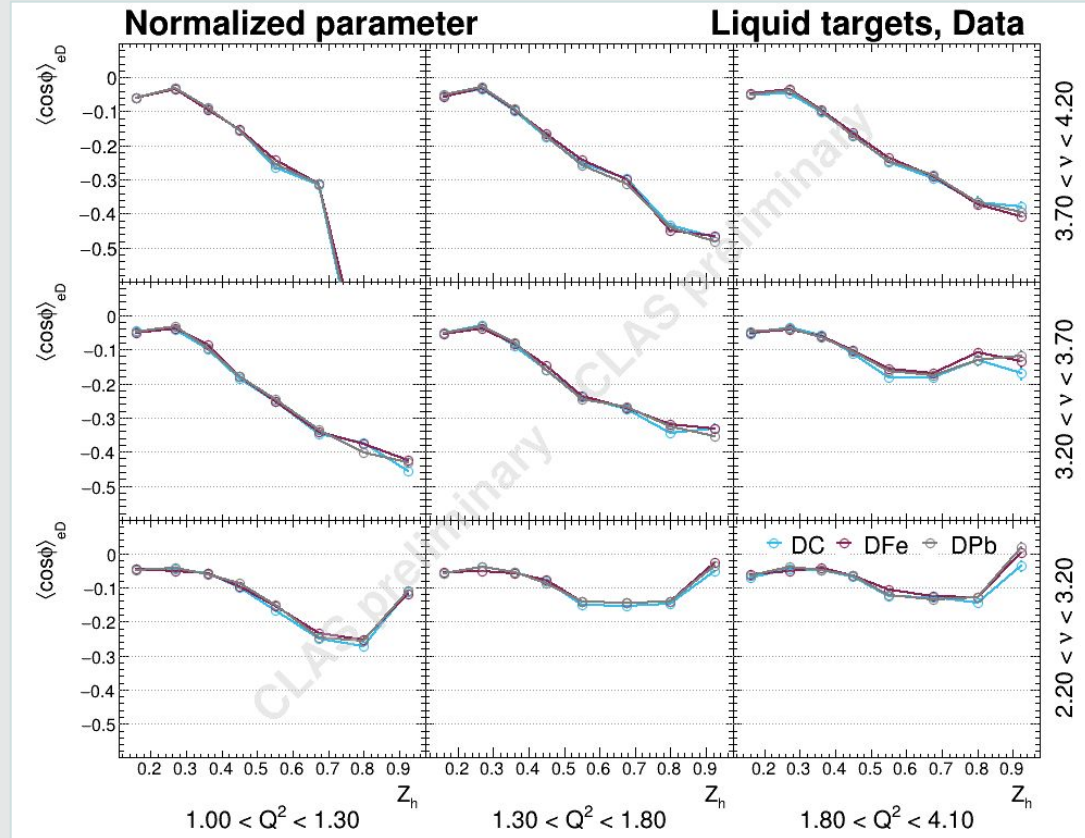


# Fit methods: Left/Right and Shift



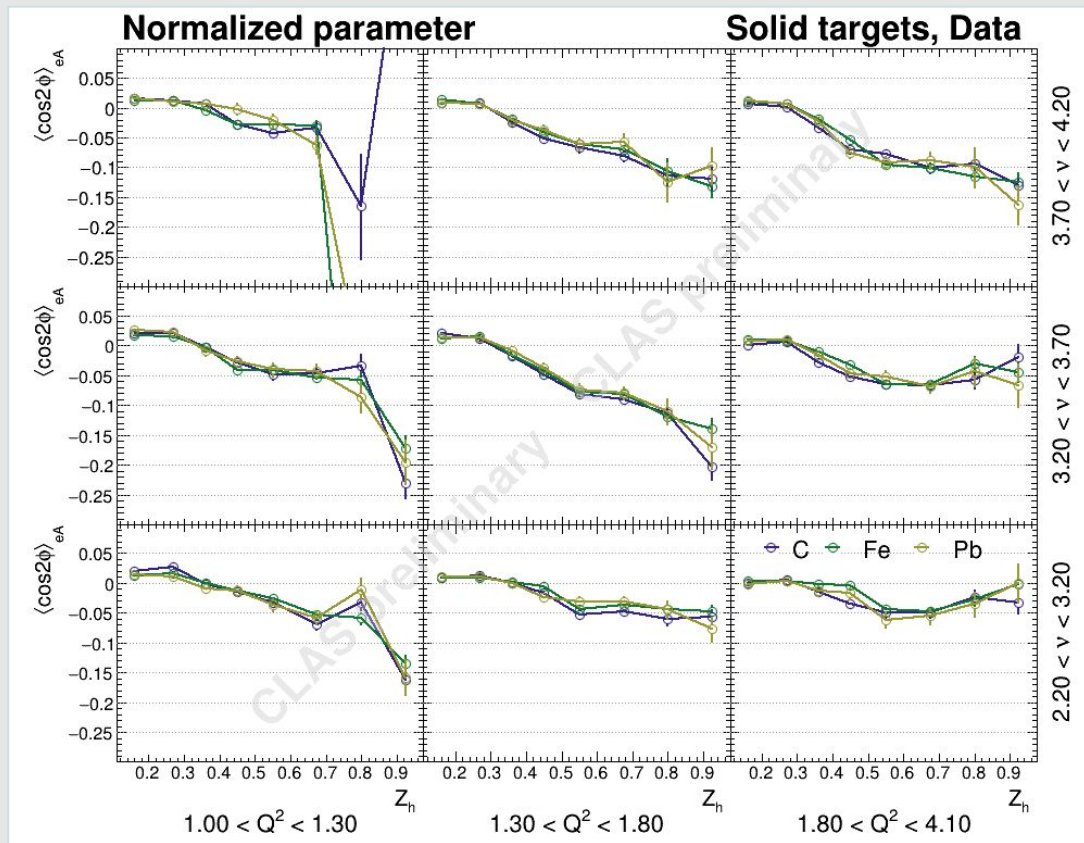
# First asymmetry: $Z_h$ dependence (all bins, liquid)

- Almost all bins present the same trend and got values within uncertainties
- The effect proves to be the same as in solid targets, at least qualitatively (!)



# Second asymmetry (continued)

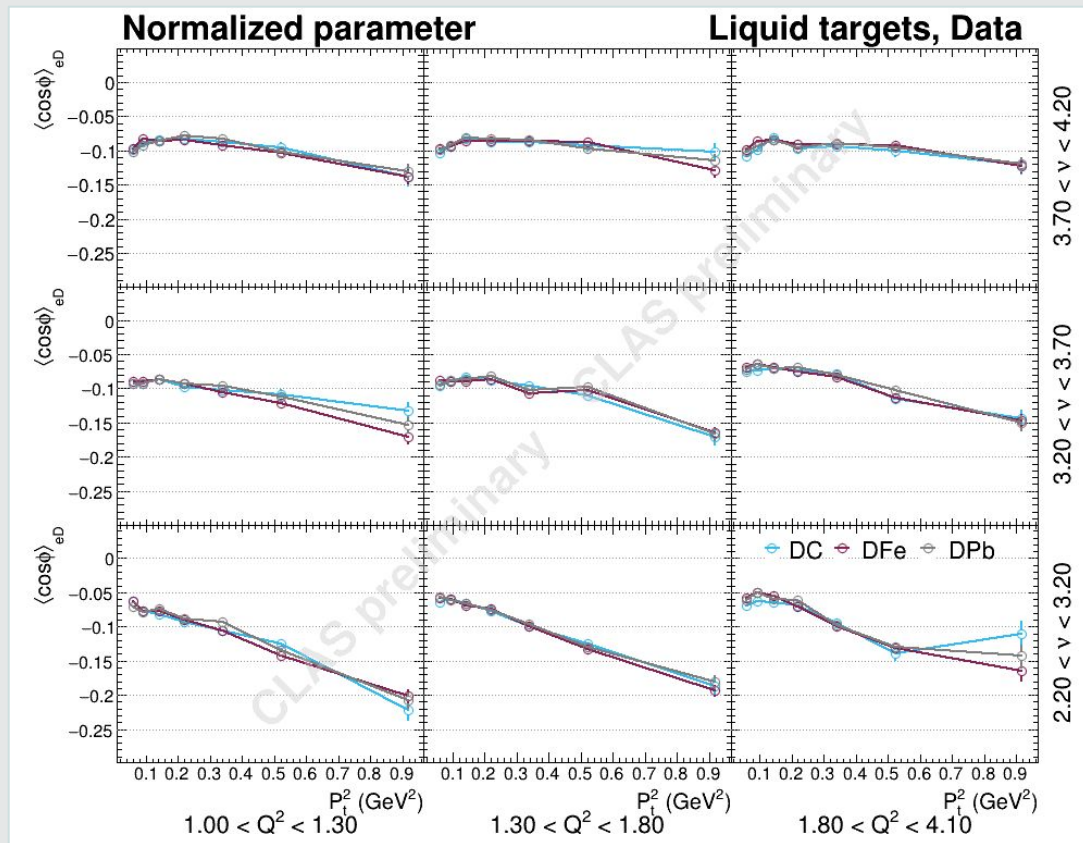
- Bigger uncertainties are found
- No strong leptonic dependency is reported



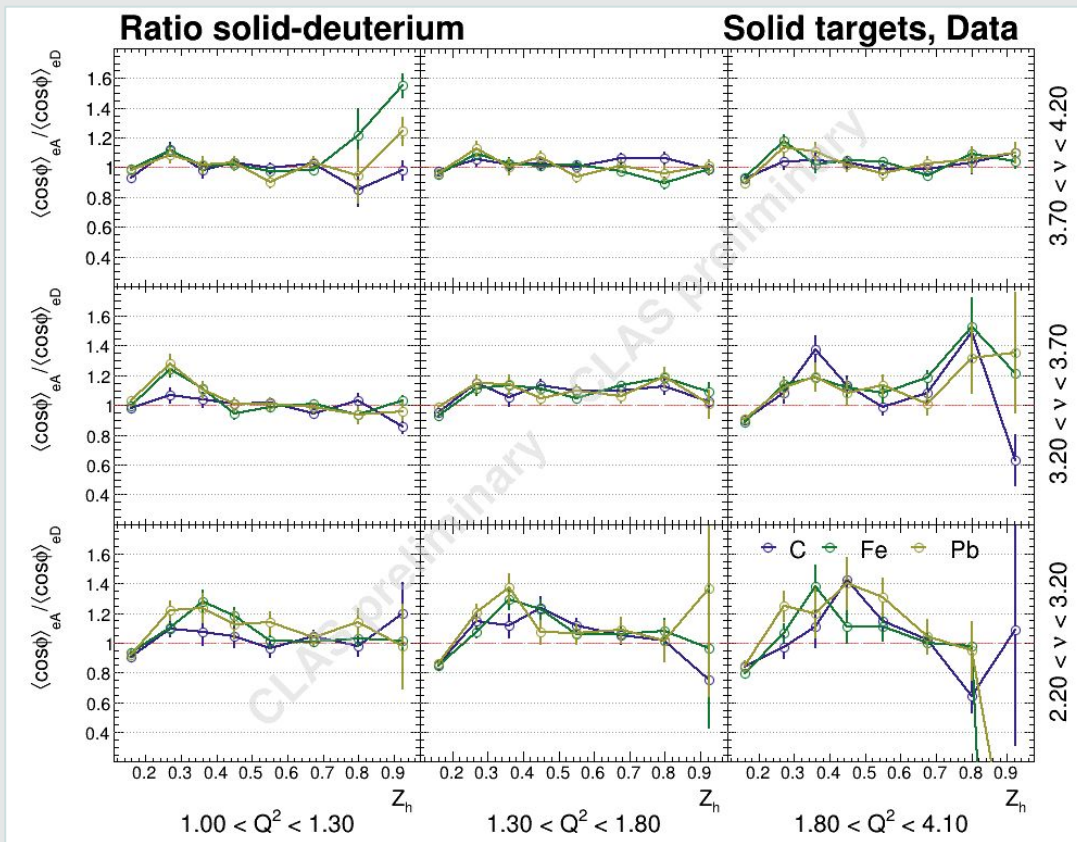


# First asymmetry: $P_t^2$ dependence (all bins, liquid)

- Effect is consistently higher overall with respect to solid target
- Same trend of dependency is followed



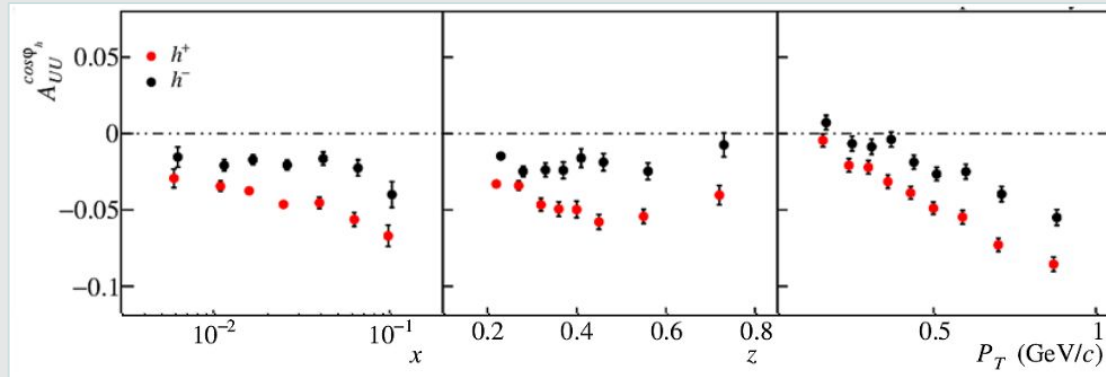
# Effect of same sector events



- This could be regarded as an extreme limit
- The suppression of the possible nuclear hierarchy is evident

# COMPASS first asymmetry\*

- Note the Pt tendency
- This is an integrated result (1D) so it's not directly compatible



\* A. Moretti et al., 'TMD observables in unpolarised SIDIS at COMPASS', 2021. arXiv:2107.10740 [hep-ex].

# COMPASS first asymmetry\*

- This is a 3D result in bins of hadronic variables
  - Note small effect at low Pt in general!
  - Asymmetry increases at high Pt and xb

\* A. Moretti et al., 'TMD observables in unpolarised SIDIS at COMPASS', 2021. arXiv:2107.10740 [hep-ex].

