

High polarization InAlGaAs/AlGaAs photocathodes grown using MBE

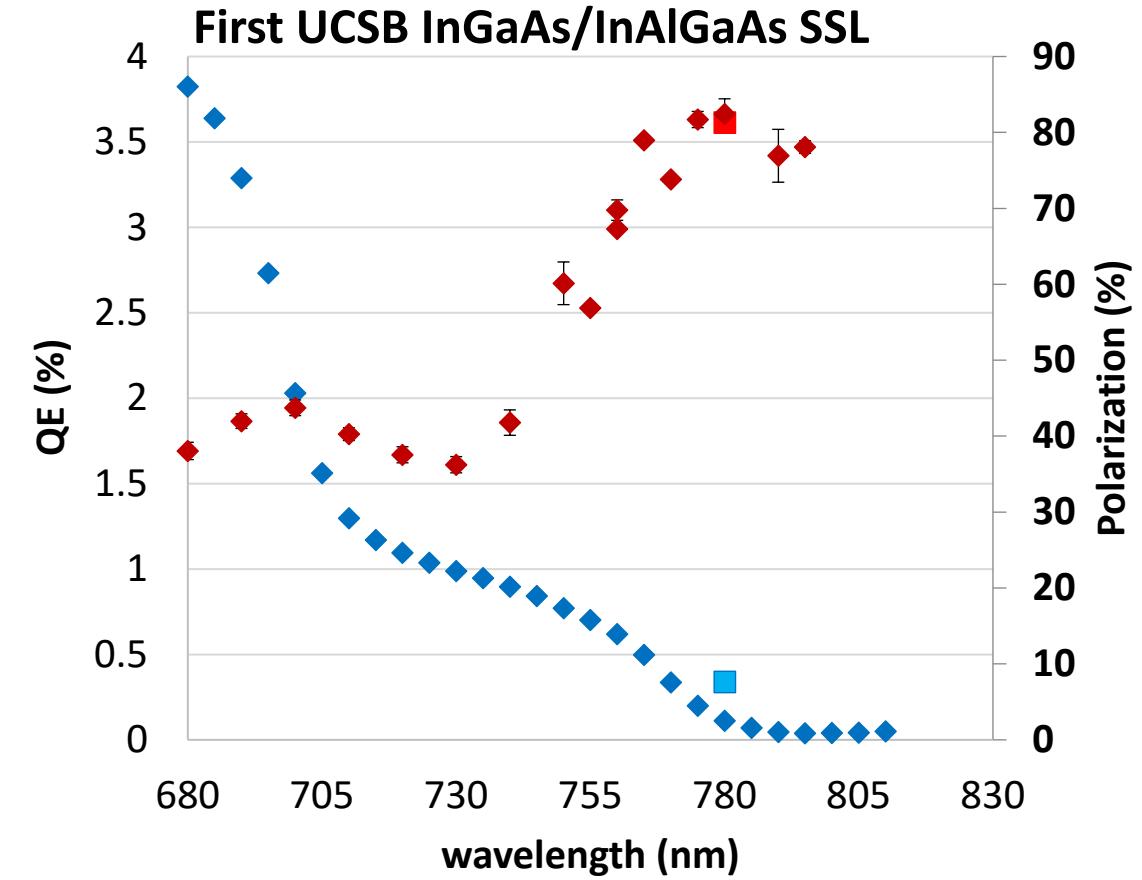
PSTP 2024

20th International Workshop on
Polarized Sources, Targets and
Polarimetry

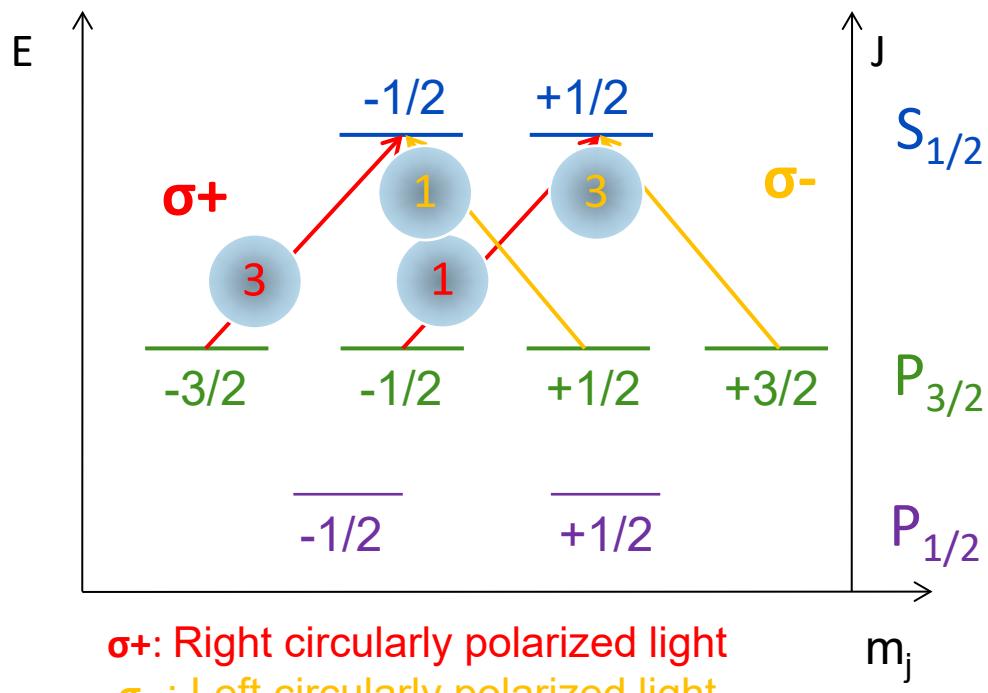
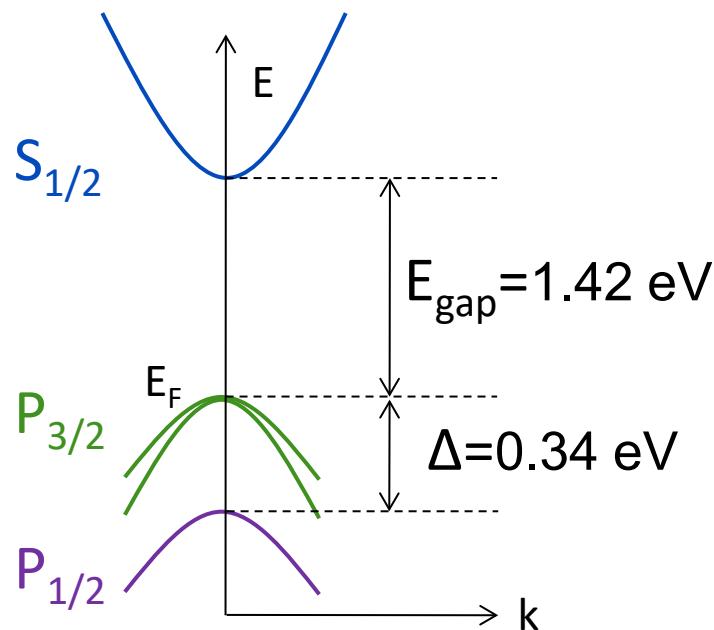
Marcy Stutzman, Jefferson Lab

Chris Palmstrøm, Aaron Engel, Yu Wu, UCSB

Greg Blume, Old Dominion University



Spin Polarized Photoemission from Bulk GaAs

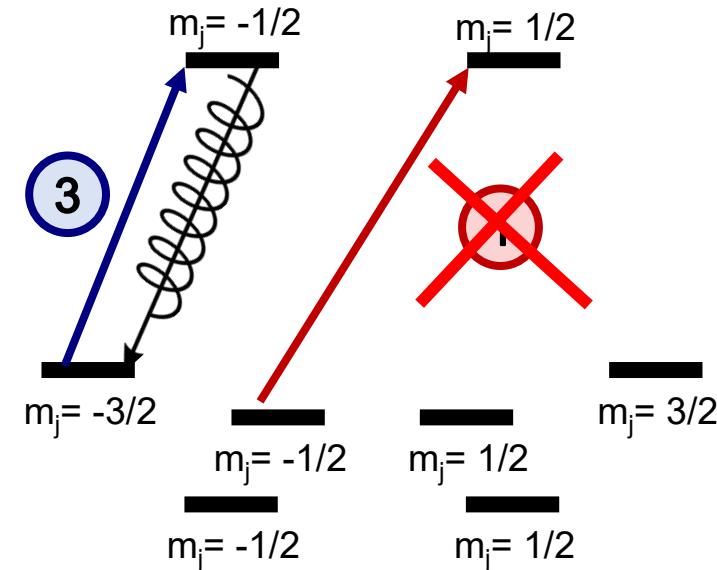
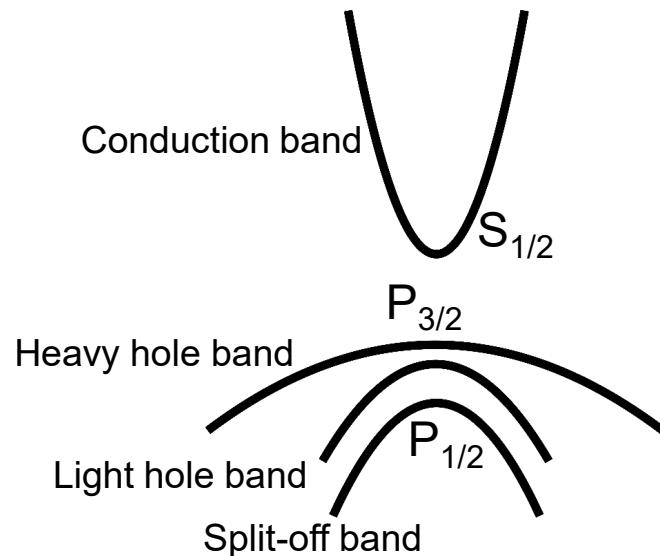


- Laser excitation from $P_{3/2}$ to $S_{1/2}$: $E_{gap} < E_\gamma < E_{gap} + \Delta$
- Electron Polarization: $P_e < \frac{3-1}{3+1} = 50\%$
- Reverse electron polarization by reversing light polarization



How does spin selectivity arise in III-Vs?

- Circularly polarized light couples to electron angular momentum
- Degeneracy limits the theoretical maximum spin polarization
- **Confinement and strain break heavy hole/light hole degeneracy**



$$\eta_{Electron\ Spin\ Polarization} = \frac{N_{\uparrow} - N_{\downarrow}}{N_{\uparrow} + N_{\downarrow}}$$

$$\eta_{ESP} = \frac{3 - 0}{3 + 0} = 100\% !$$



Spin Crisis: Efforts to restore high polarization restore supply

- DOE Funding Opportunity 20-2310
 - MOCVD (*metal organic chemical vapor deposition*)
 - ODU/BNL/JLab
 - CBE (Chemical Beam Epitaxy)
 - JLab/UCSB



Photocathode Growth at UCSB

U California
Santa Barbara
**Semiconductor
Deposition System**

- CBE and MBE growth
- Collaborators for growing GaAs/GaAsP SSL

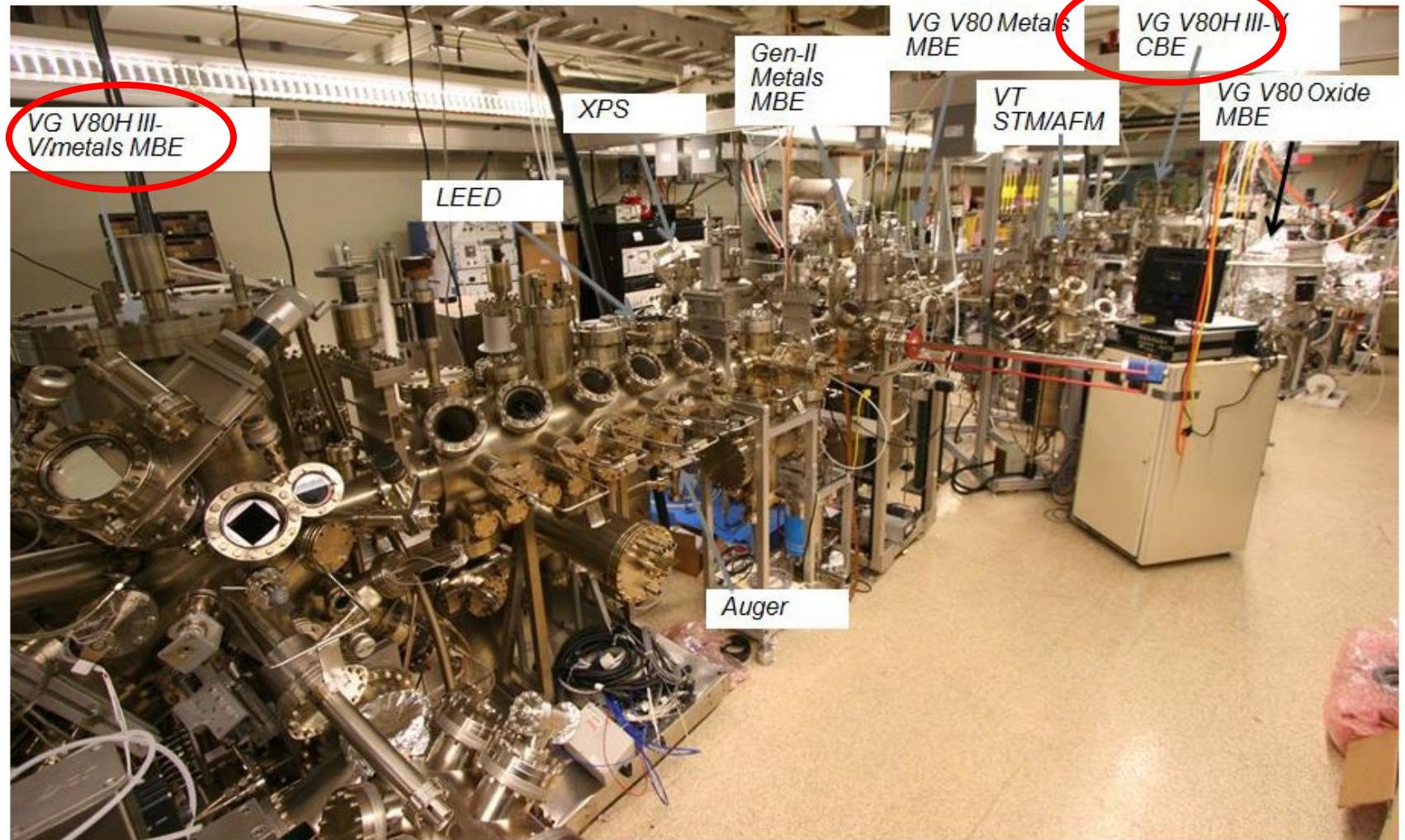
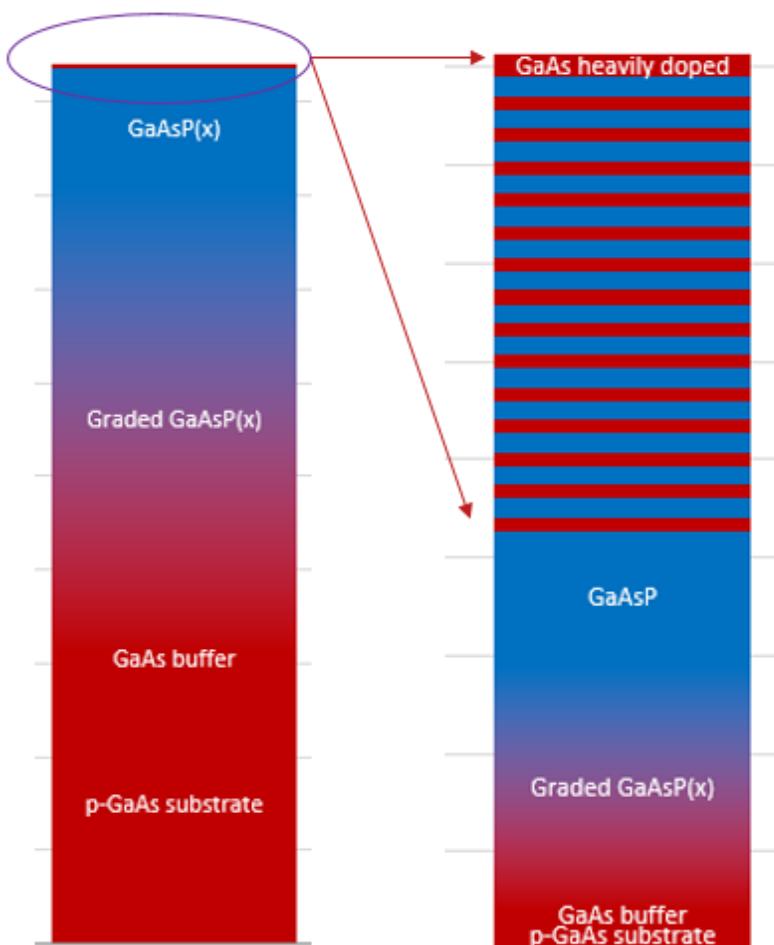


Figure 2 Semiconductor deposition system at Chris Palmstrom's lab at UCSB. The CBE system for the growth of this material is shown at the back and labelled "VG V80H III-V CBE".



Original Research Plan



To scale

Superlattice
scaled up

1. Grow GaAs/GaAsP: UCSB CBE instead of MBE
2. Measure Polarization: JLab
3. Use Photocathodes!

Obstacles -> Innovation



UCSB Highlights: Graded layer GaAs to GaAsP

GaAs/GaAsP



Strained Superlattice

DBR cavity

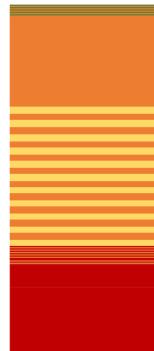
DBR superlattice

GaAsP

Virtual Substrate

Graded Layer
GaAs to GaAs_{1-x}P_x

Bulk GaAs Substrate



InAlGaAs/
AlGaAs

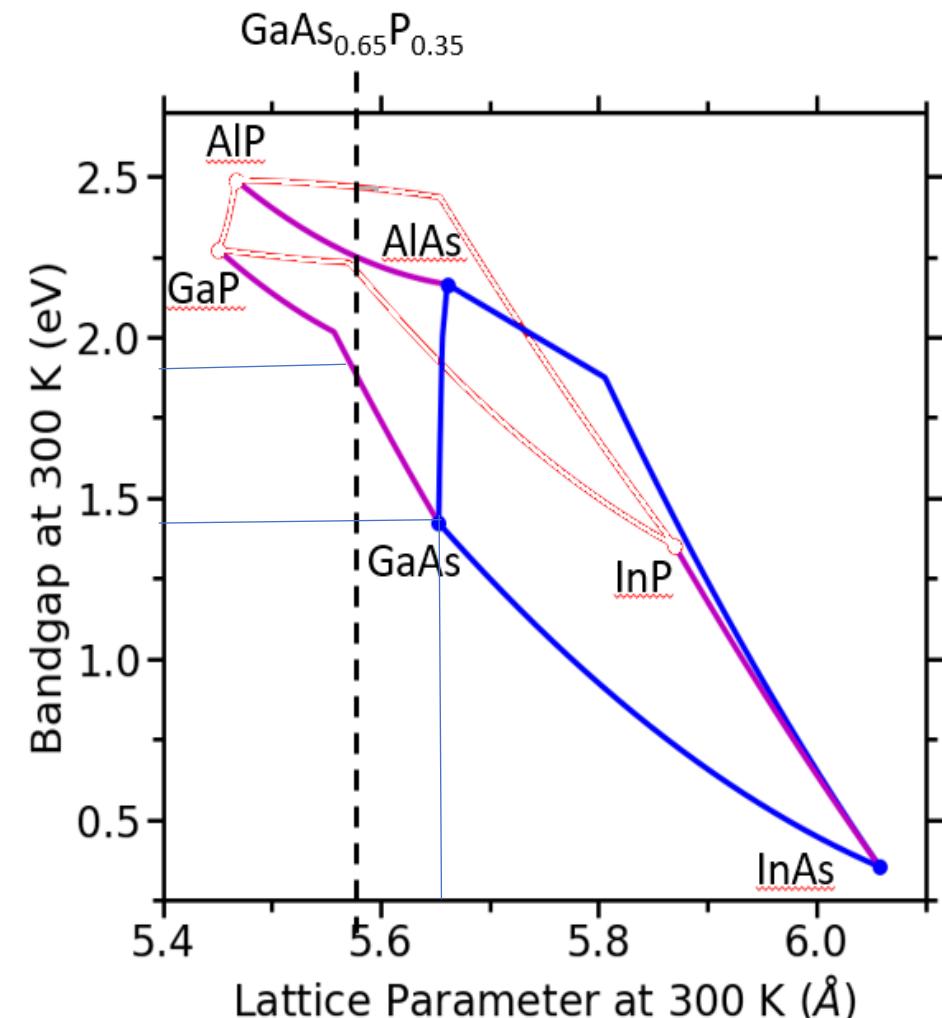
- **Graded layer: slow, \$\$, defects**
- Triethyl-gallium + P: high vapor pressure residue
 - Return to solid source Ga
 - CBE becomes MBE
- **Rebuild system, recalibrate growth parameters with new heaters & sources**
- **Meanwhile Literature Review**
 - **Try InAlGaAs/AlGaAs**
 - Lattice matched barrier – no graded layer
 - No phosphorus!
 - Literature showed high polarization
 - DBR elements subset of superlattice
 - Easy to As cap

[1] L. G. Gerchikov, et al. *Semiconductors* **40**, 1326–1332 (2006)



GaAs/GaAsP

- Strain and valence band offset coupled: both fixed by virtual substrate
- Phosphorus & MBE: Technical challenges
- Growth temperatures: Dissimilar
- *DBR adds additional elements*



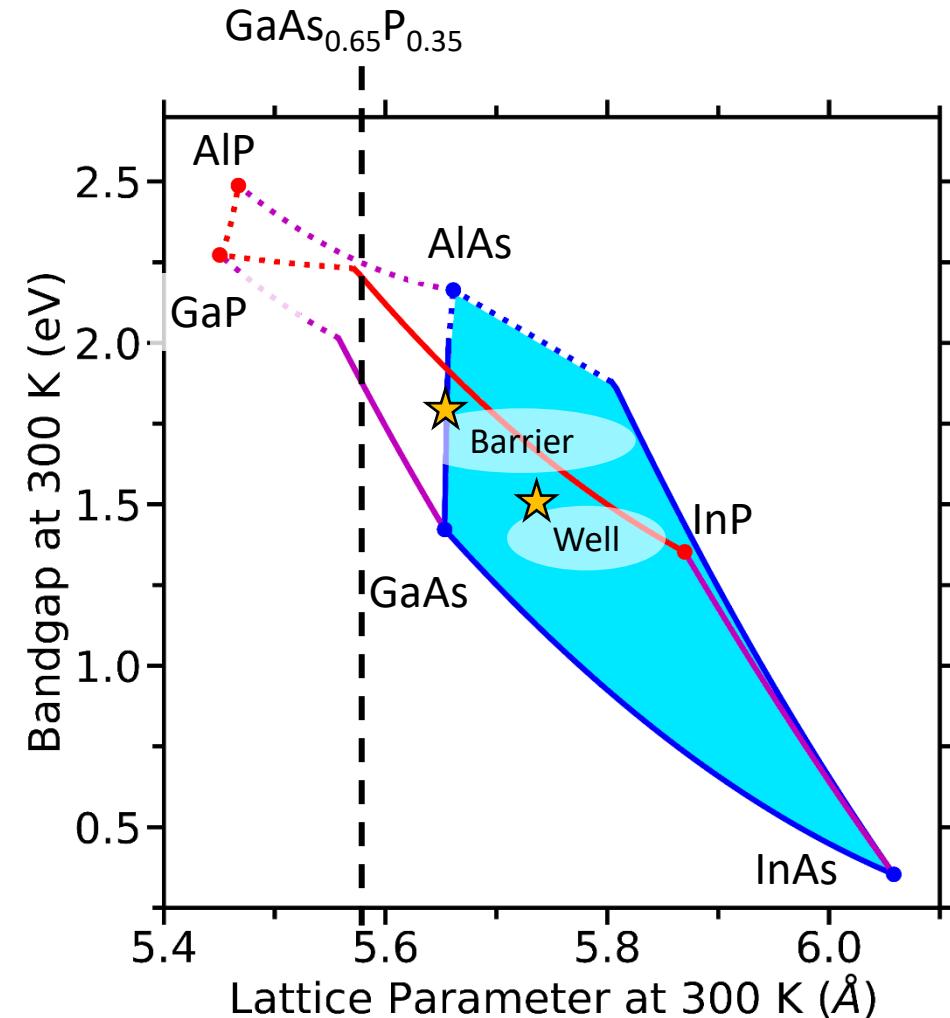
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InAlGaAs/AlGaAs

- Strain and valence band offset independent
- InAlGaAs & MBE: Common
- Growth temperatures: Similar
- *Easily tunable DBRs in AlAs/AlGaAs system*
- Best Polarization \geq GaAs/GaAsP
- Should be possible to get commercial vendor once optimized
- As capping straightforward

[1] L. G. Gerchikov, et al. *Semiconductors* **40**, 1326–1332 (2006)



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MATERIALS

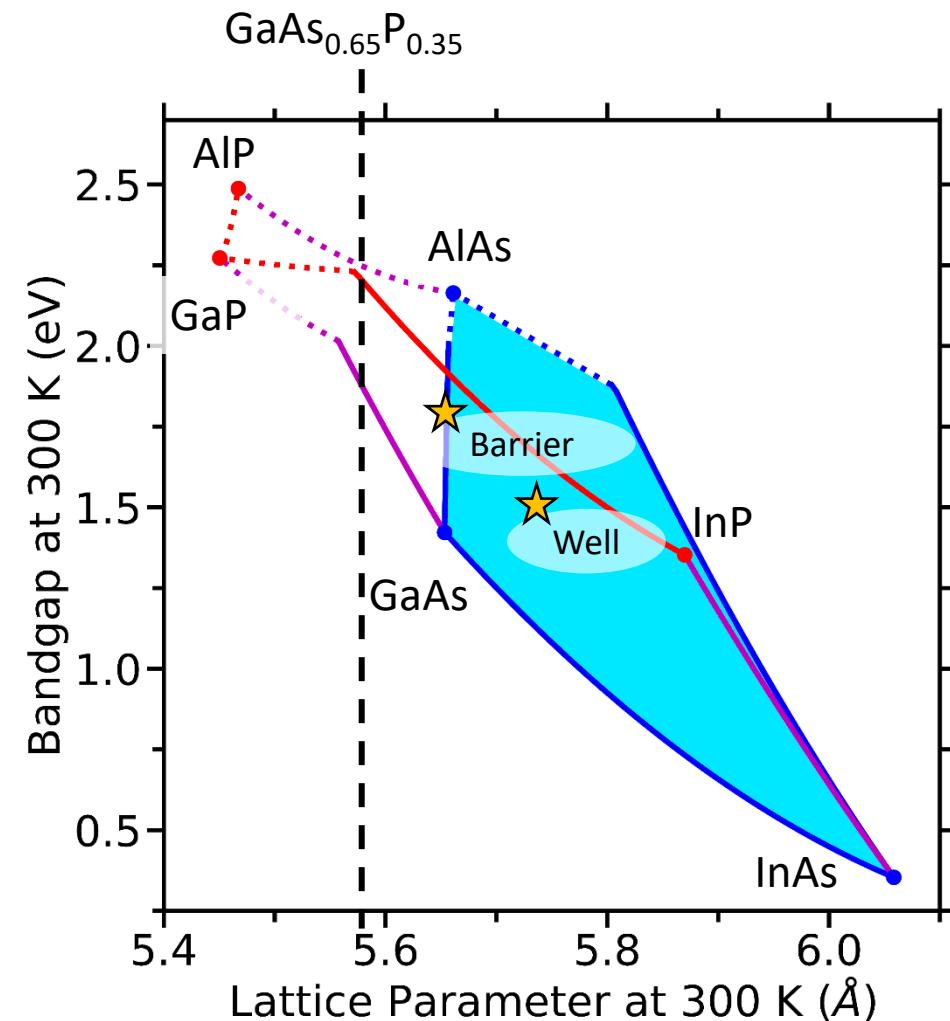


Marcy Stutzman PSTP 2024

Jefferson Lab

Parametric studies

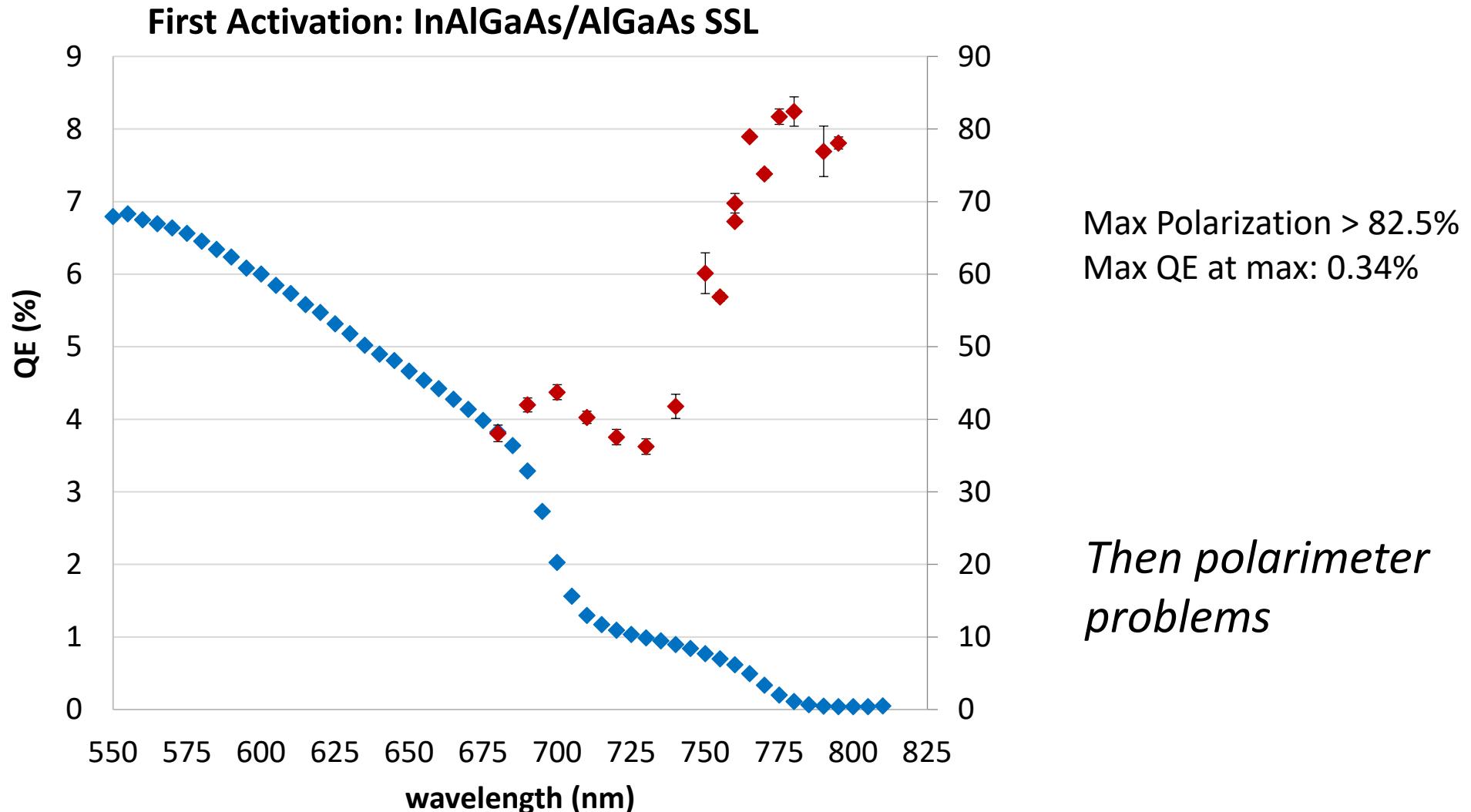
- Strain and valence band offset independent: **Higher strain**
- InAlGaAs & MBE: Common
- Growth temperatures: **Optimize**
- *Easily tunable DBRs in AlAs/AlGaAs system*
- Best Polarization \geq GaAs/GaAsP
 - **Try Digital alloying to reduce depolarization**



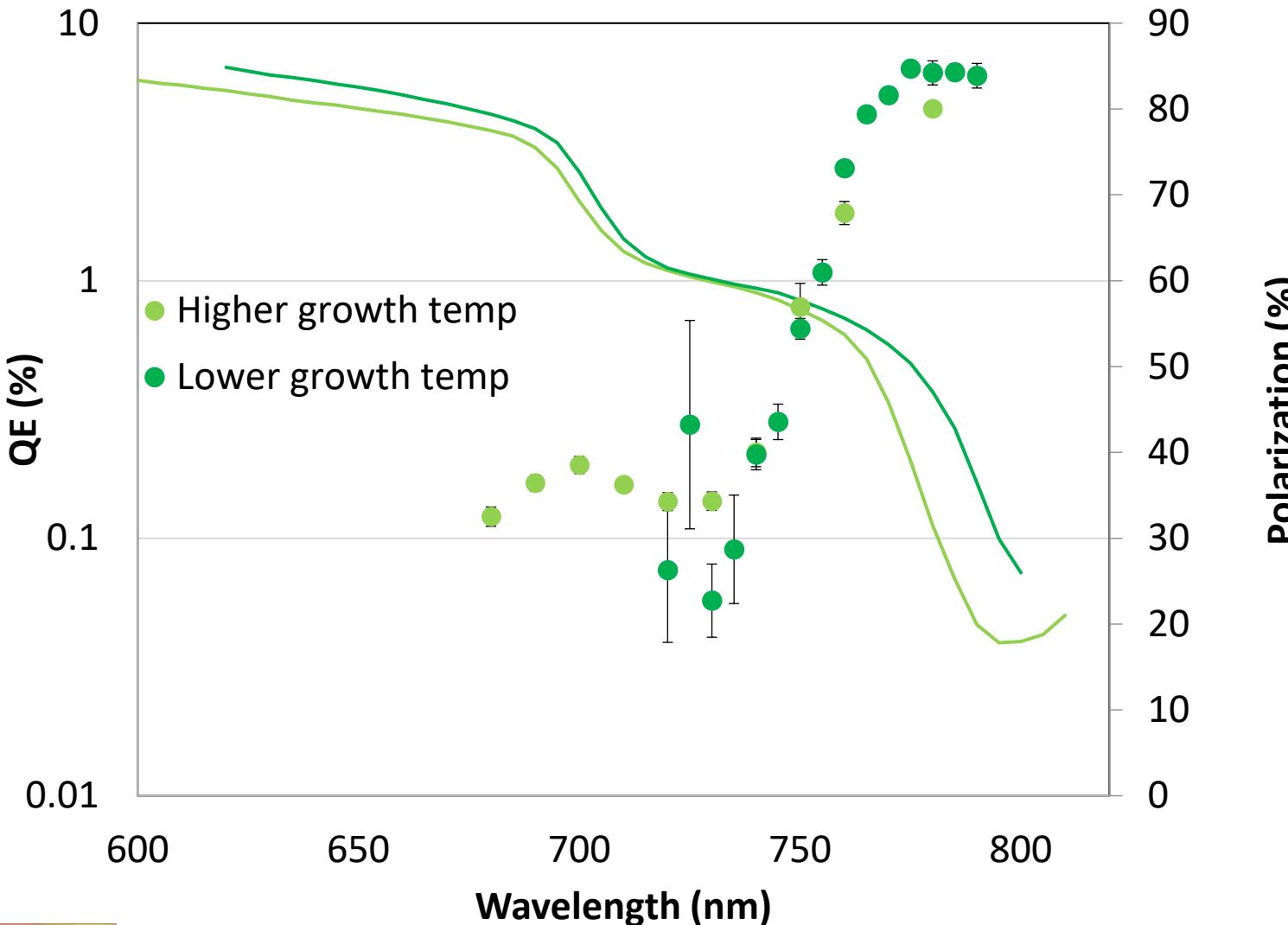
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First Growth & Activation InAlGaAs/AlGaAs SSL



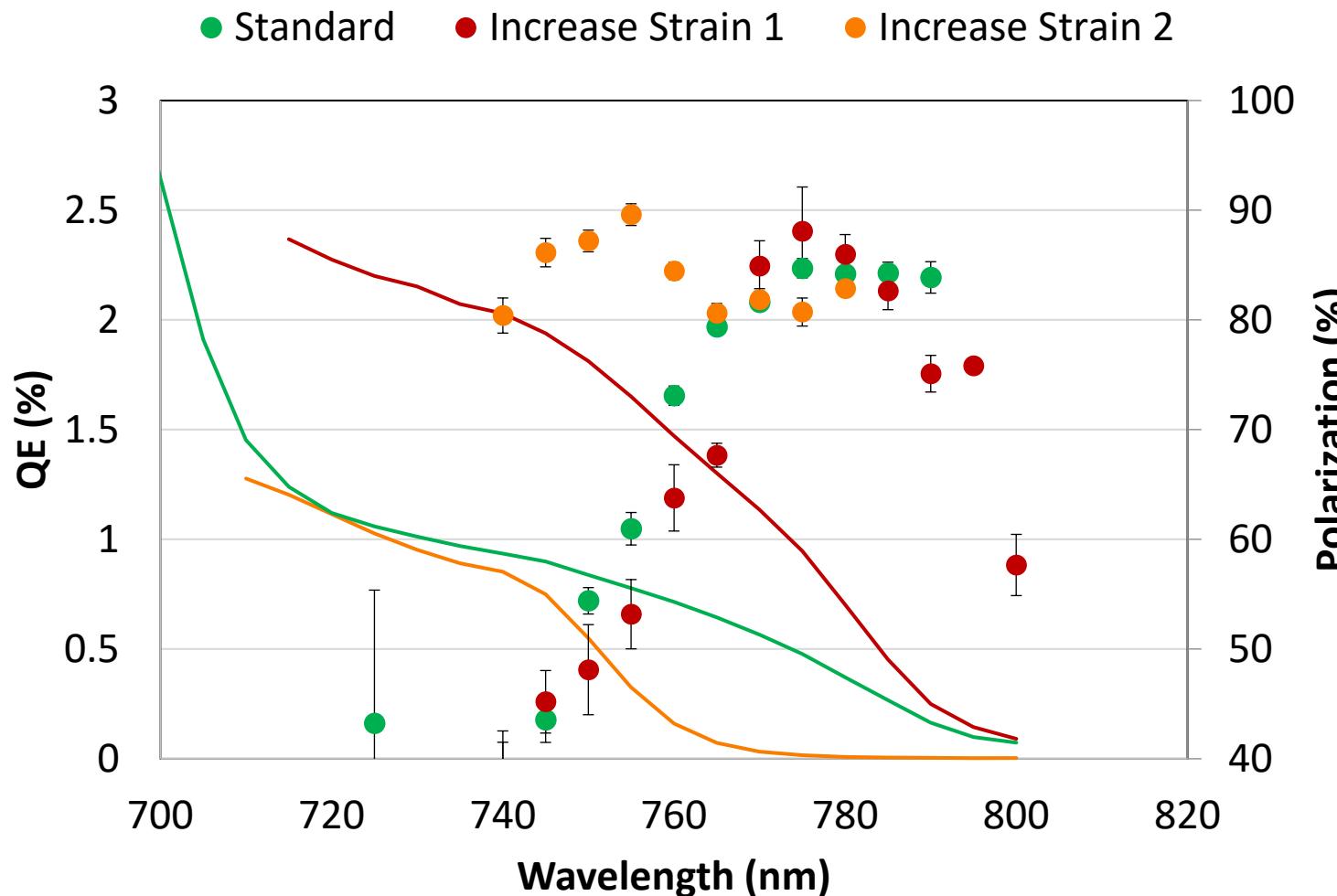
Parametric Studies: Growth Temperature



- Lower Growth Temperature =
- Higher Polarization
 - Higher QE
- * Activations
- before and after polarimeter repair
 - different heat cycle
- Heat cycles typically **370°C** in vacuum
- First sample ~450°C



Parametric Studies: Strain



Higher Strain =

- Higher Polarization
- Orange data
 - $P = 90 \pm 1\%$
 - 0.33% QE at 755 nm
- Red data
 - $P = 88 \pm 4\%$
 - 0.95% QE at 775 nm



Depolarization Mechanisms

Spicer 3 step model

1. Excitation
2. Transport
3. Emission

Transport Depolarization mechanisms

- Spin orbit coupling (EY)
- Spin state splitting (DP)
- Electron/hole exchange (BAP)

Scattering locations

- Impurities
- Dopants
- **Random alloy disorder**

O. Chubenko et al., Appl. Phys. 130, 063101 (2021)

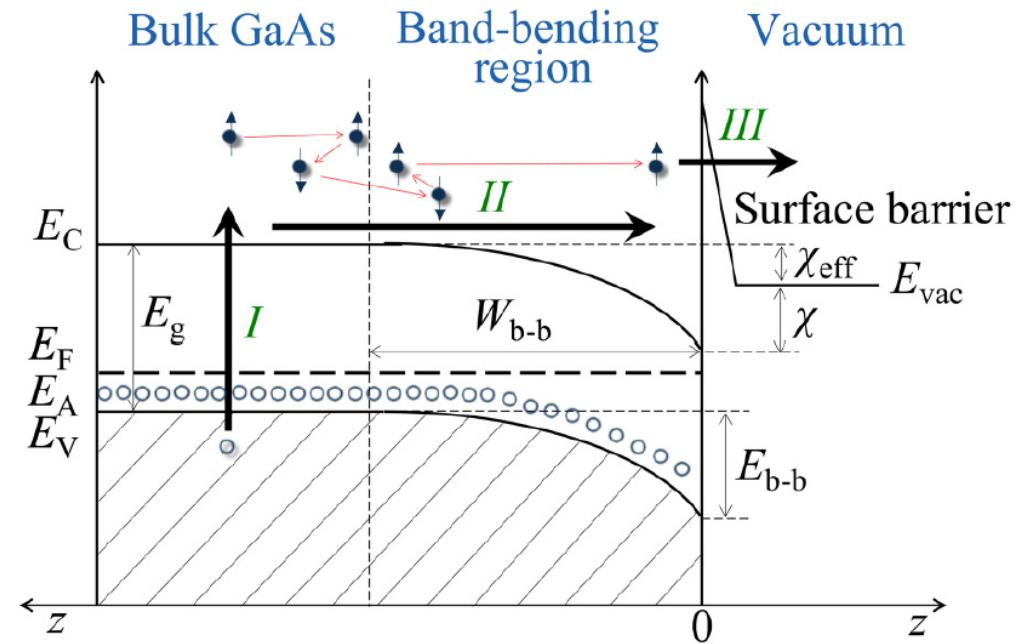
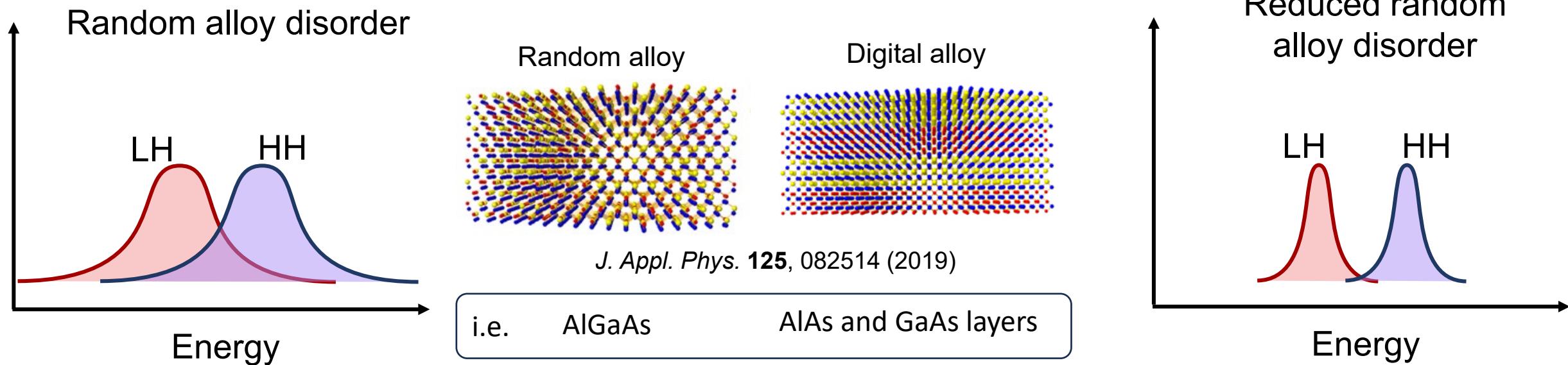


FIG. 1. Three-step model of spin-polarized photoemission from *p*-type NEA GaAs: I—photoexcitation; II—transport; III—emission into the vacuum.



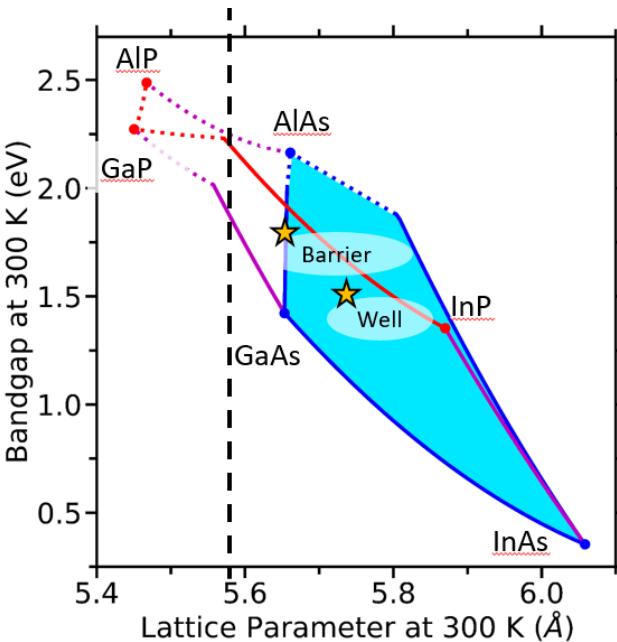
Approach to reduce alloy disorder and improve uniformity



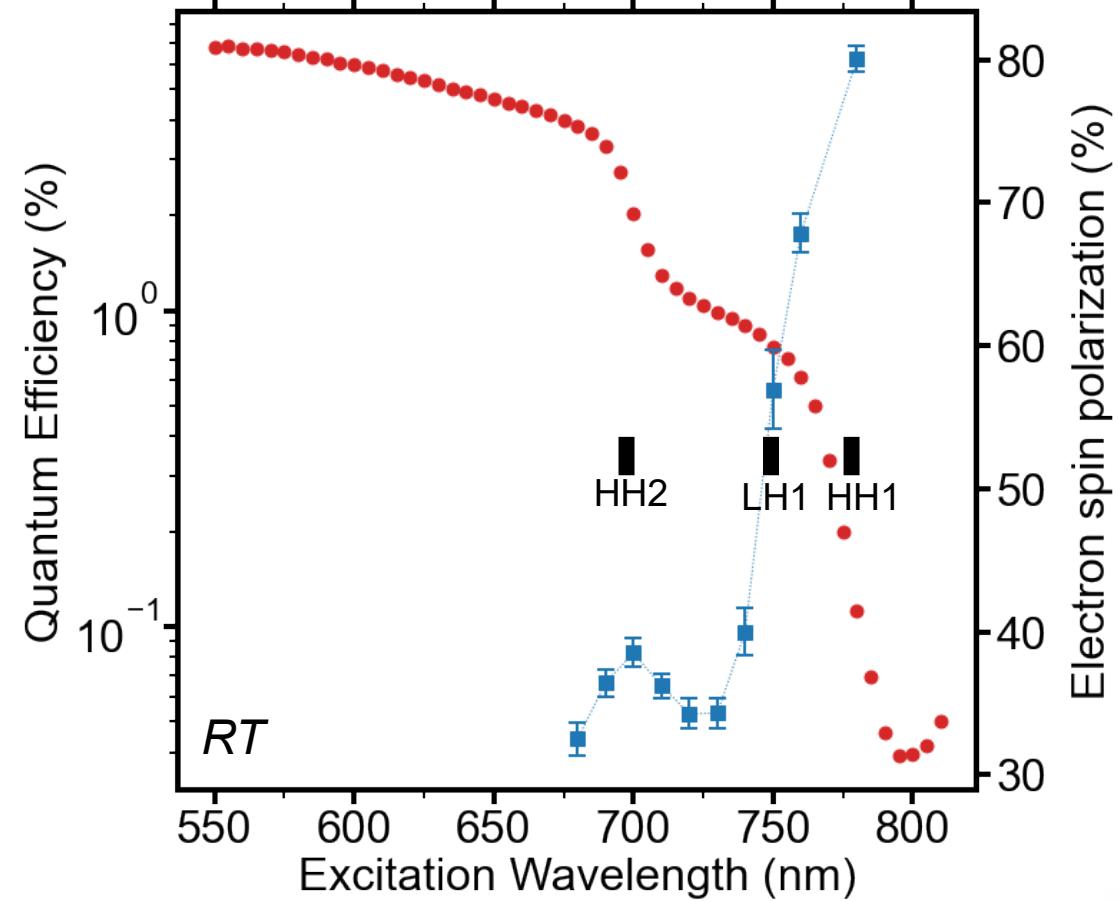
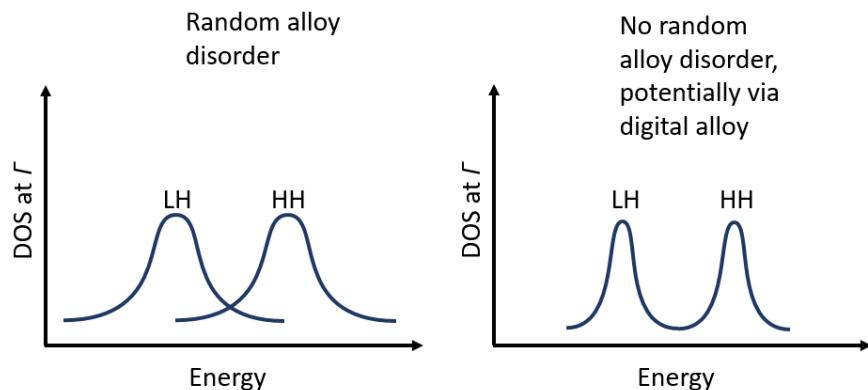
- Digital alloying minimizes random alloy disorder
 - Broader high spin-polarization window
- InAlGaAs digital alloys should have
 - Better optical emission than random alloys
 - Better uniformity than random alloys

J. Dong, A. Engel, C. Palmstrøm et al., *Phys. Rev. Materials* 8, 064601 (June 2024)

Random Alloy Disorder



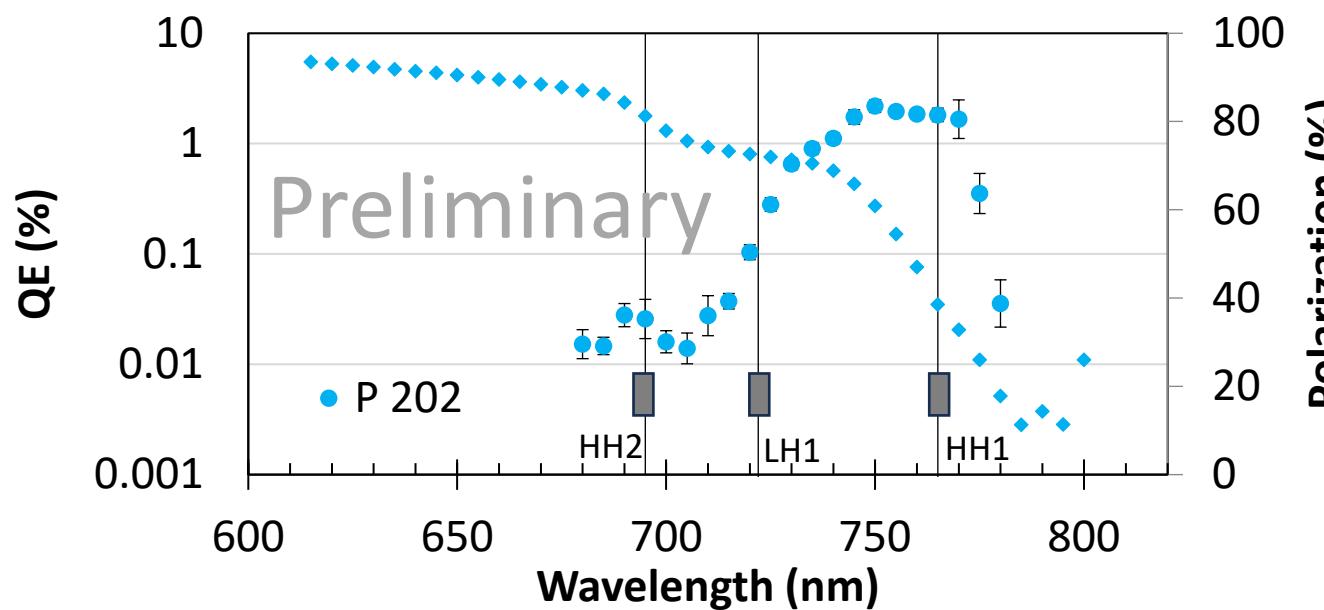
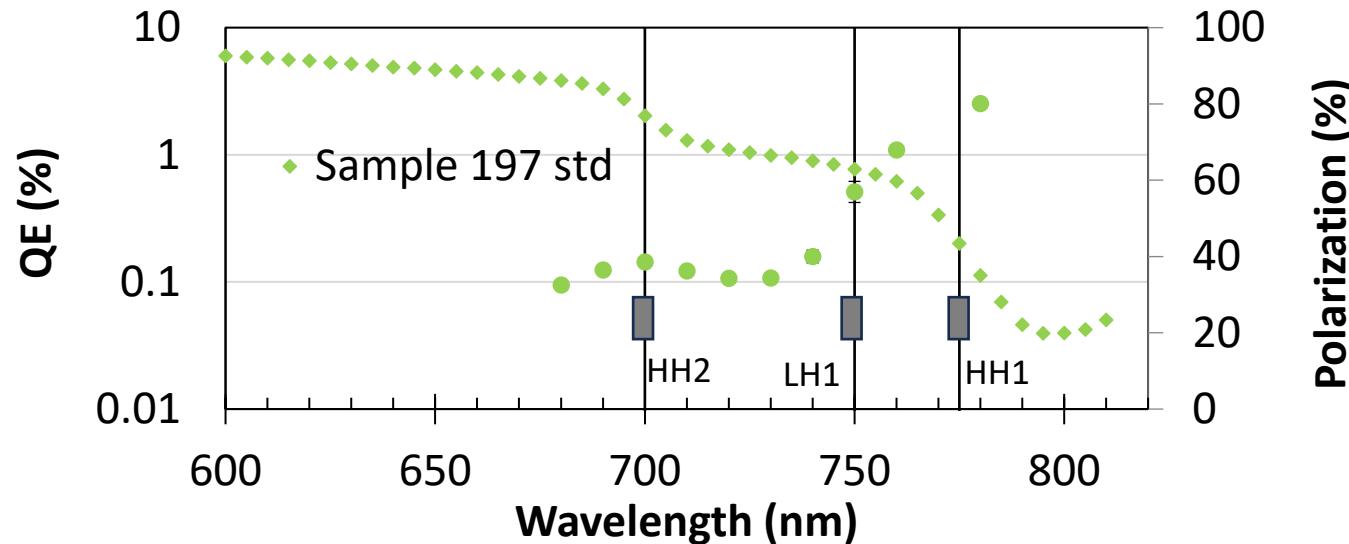
- Quaternary well (InAlGaAs) adds random alloy disorder
 - increased bandwidth
 - decreased spin polarization



- ~50 meV hole splitting



Parametric Studies: Random vs. Digital Alloy



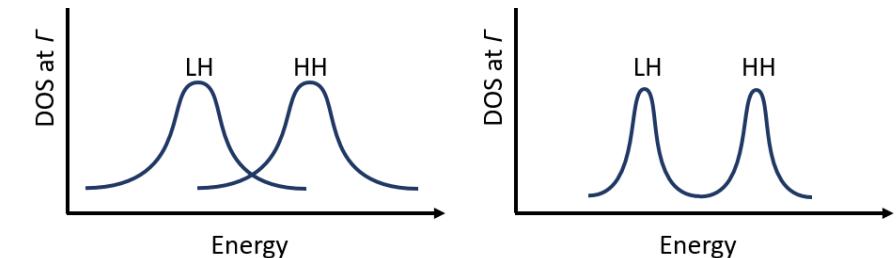
InAlGaAs/AlGaAs

Random alloy

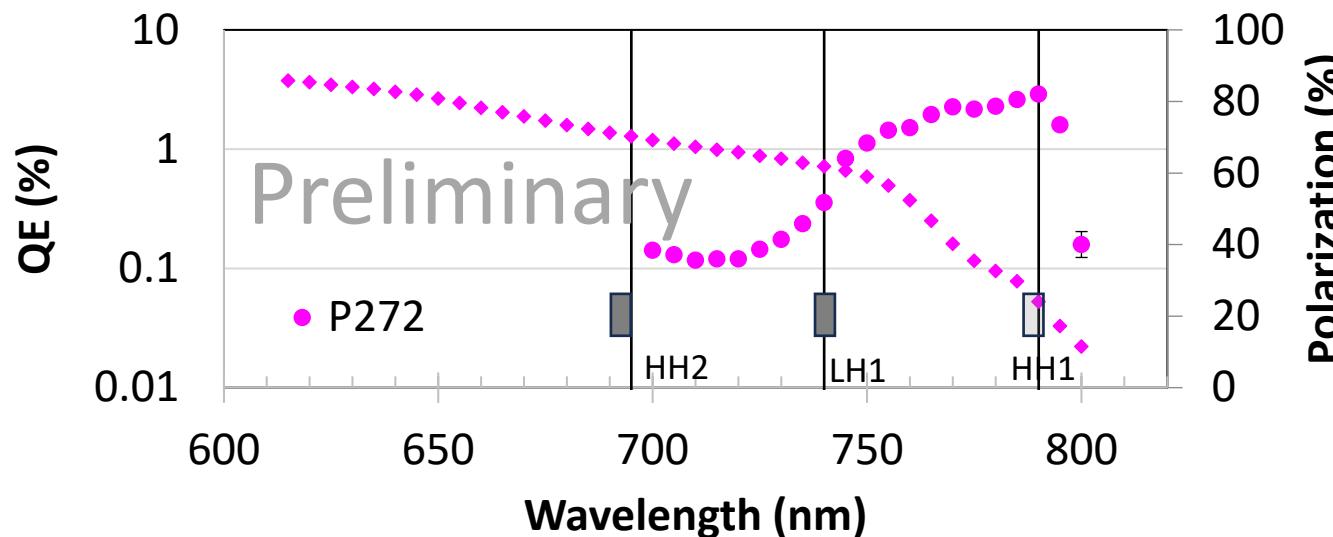
$\Delta = \sim 50$ meV

Digital alloy #202

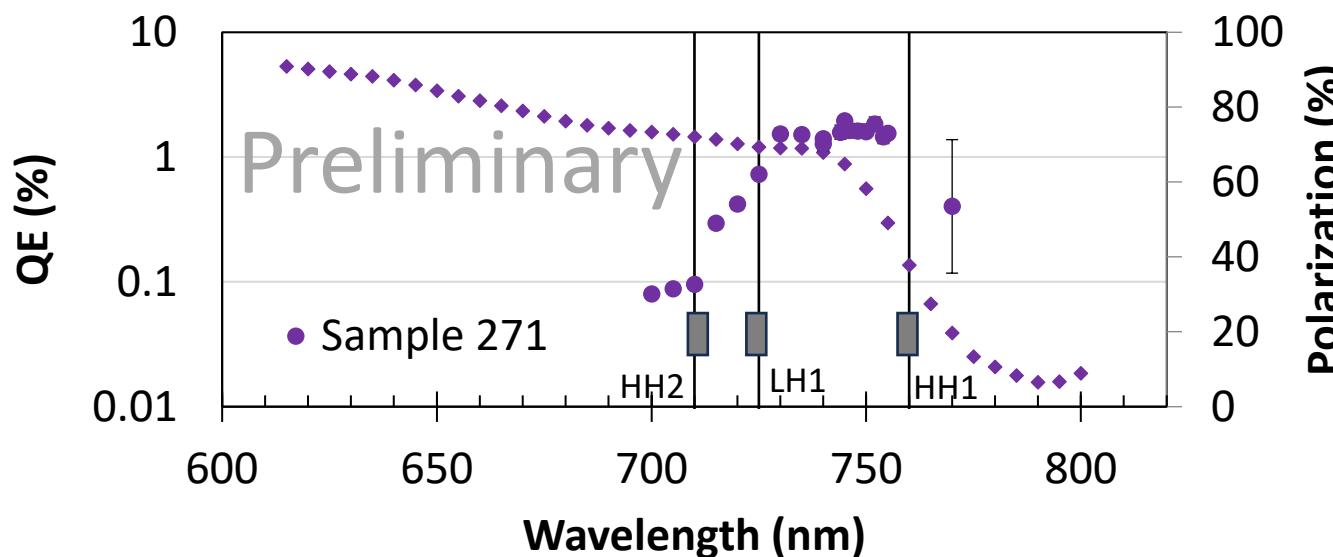
$\Delta = \sim 97$ meV



Parametric Studies: More Digital Alloy

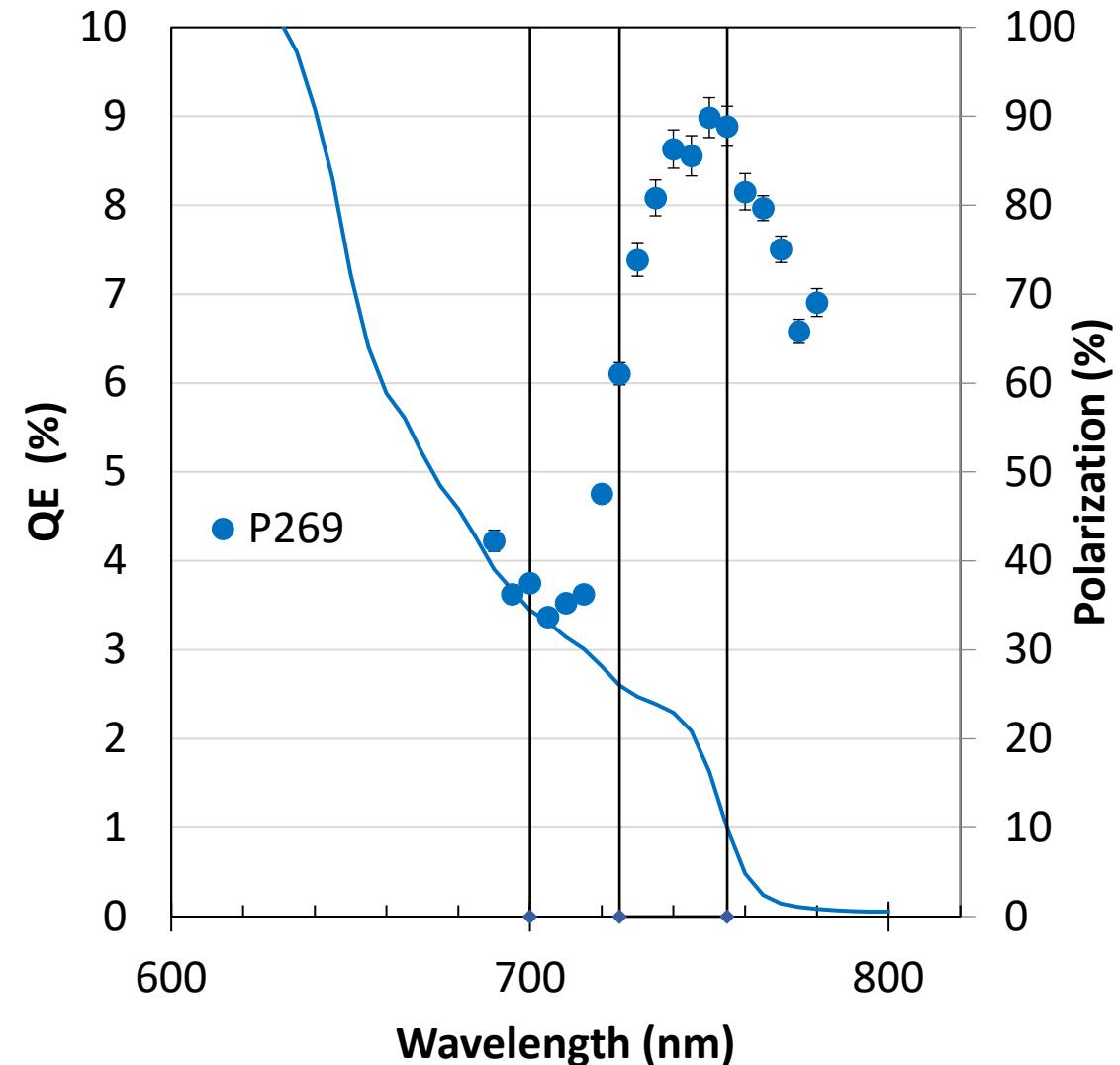
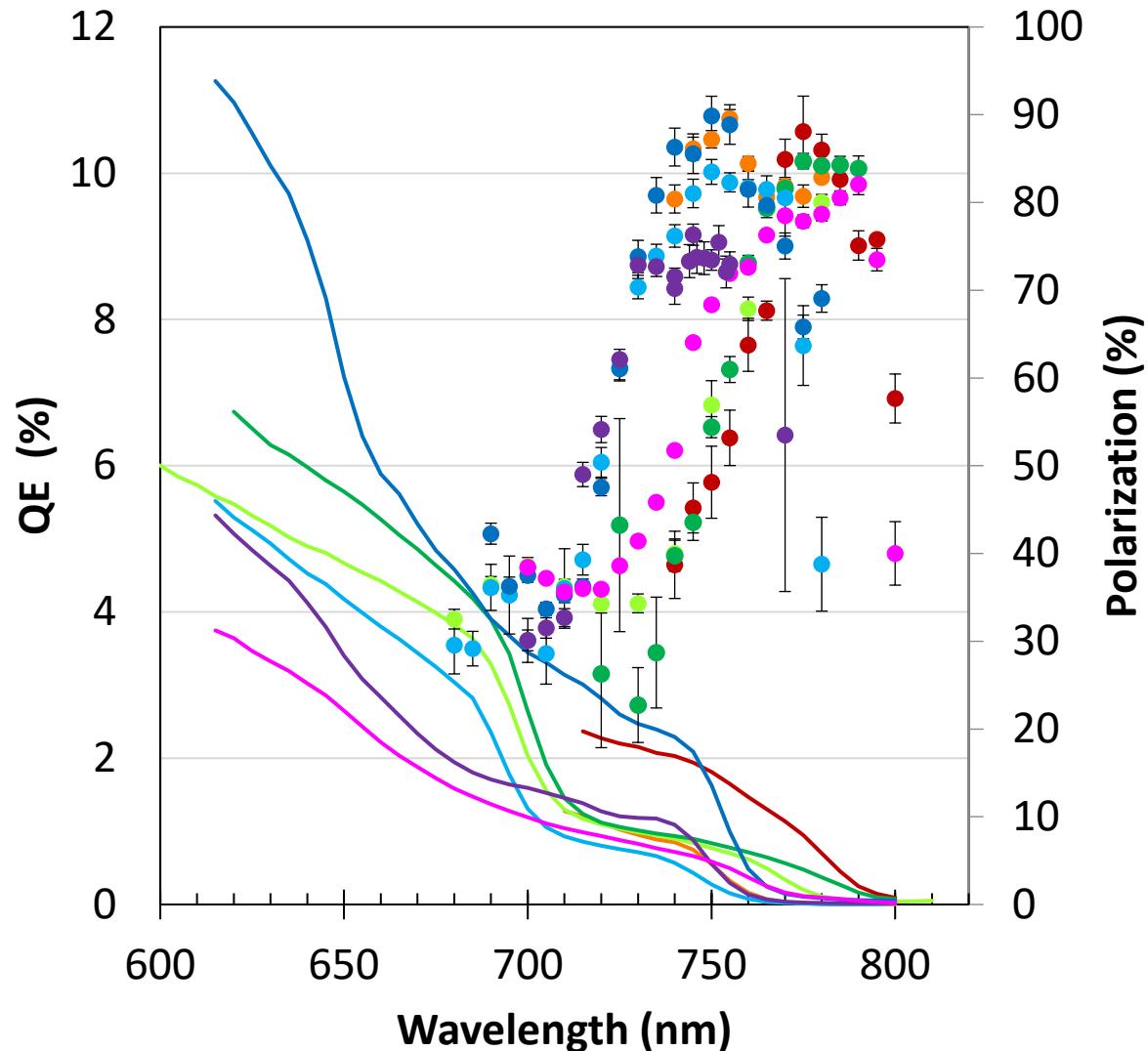


Digital alloy #272
 $\Delta = \sim 106$ meV hole splitting
82% Pol, 0.09% QE



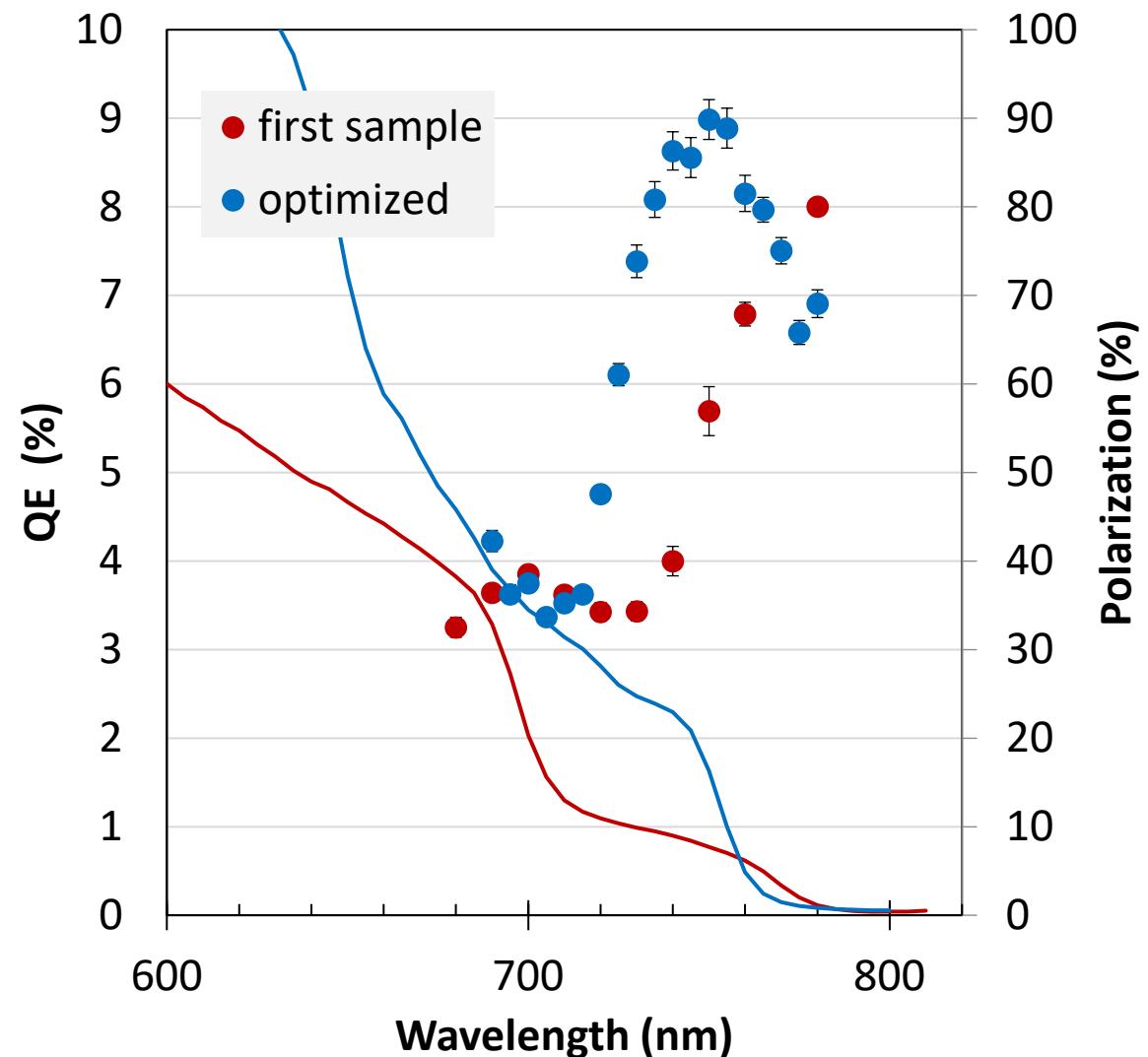
Digital alloy #271
 $\Delta = \sim 79$ meV hole splitting
74% Pol, 0.88% QE

Full parametric study, and most recent "standard" material



Optimized temperature growth

- Polarization
 - $P = 89.9 \pm 2.3\% \text{ (*93% laser corrected*)} \text{ }$
 - Laser polarization only $\sim 96\%$ at 750 nm
- High QE – no DBR
 - QE = 2.08% at 750 nm
- Hole splitting
 - $\Delta = \sim 68 \text{ meV}$
 - Random alloy
 - Digital alloying can increase splitting



Project Summary

- JLab: Polarimeter working reliably
- Sharing with ODU project
 - Measuring final UCSB samples

UCSB:

- Aaron has graduated, new student Yu Wu
- MBE or CBE for GaAs/GaAsP not optimal
- MBE InAlGaAs/AlGaAs has advantages
 - Strain and band gap independent
 - Digital alloying for both SSL and DBR
 - Easy to arsenic cap
 - Standard setup for commercial vendors
- Seeking funding to continue

Many Thanks to Aaron Engle for photocathode growth,
characterization and slides, and Chris Palmstrøm for guidance



Backup slides
