

# Baryon form factors from dispersion theory and functional method

**Di An**

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Swedish  
Research  
Council

NSTAR24

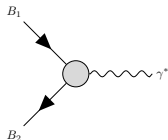
York, The United Kingdom

## Baryon form factors (FFs) and transition form factors (TFFs)

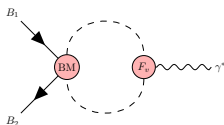
- 1 What are FFs and TFFs? Why study them?
- 2 Dispersive formalism
- 3 FFs in the nucleon sector:  $N$  FFs and  $N^*(1520)$  TFFs → [More on Wednesday](#)  
(S.Leupold) at 9 AM
- 4 Summary and outlook:  
microscopic match between dispersion theory and functional methods

# Baryon electromagnetic structure

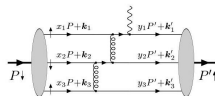
We try to understand the structure of the baryons.



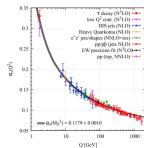
Baryon form factors



At low energies



At very high energies

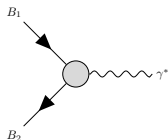


QCD running coupling

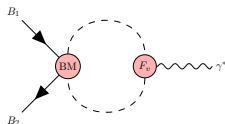
How large is  $\langle 0 | qq\bar{q} | B \rangle$  and  $\langle 0 | \text{Meson Baryon} | B \rangle$ , **quantitatively**?

# Baryon electromagnetic structure

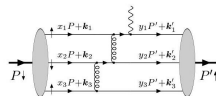
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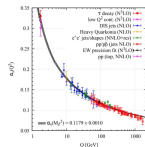
Baryon form factors



At low energies

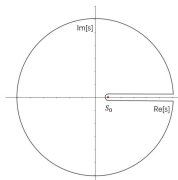


At very high energies



QCD running coupling

How large is  $\langle 0 | qqq | B \rangle$  and  $\langle 0 | \text{Meson Baryon} | B \rangle$ , **quantitatively**?  
 Need model-independent tool  $\rightarrow$  Dispersion theory



Unitarity cut  $[4m_\pi^2, \infty)$

## Axiomatic QFT

→ Form factors are analytic functions in the complex plane.

## Unitarity+analyticity

→ the location of cut, branch point, singularities...

## Cauchy integral Formula:

$$F(q^2) = \frac{1}{\pi} \int_{4m_\pi^2}^{\infty} \frac{\text{Im } F(s)}{s - q^2 - i\epsilon} ds$$

(Dispersion relation)

# Pion-vector form factor

Optical theorem for pion vector form factor  $F_V$ :

$$2\text{Im} \left( \begin{array}{c} \pi \\ \diagup \\ \text{red circle} \\ \diagdown \\ \pi \end{array} \right) \gamma^* = \sum_X \left( \begin{array}{c} \pi \\ \diagup \\ \text{black circle} \\ \diagdown \\ \pi \end{array} \right) = \left( \begin{array}{c} \text{black circle} \\ \text{double line} \\ \text{black circle} \\ \text{wavy line } \gamma^* \end{array} \right)^\dagger$$

$X = 2\pi, 4\pi, K\bar{K}, N\bar{N}, \dots$

Keep only  $X = 2\pi$

$$2\text{Im} \left( \begin{array}{c} \pi \\ \diagup \\ \text{red circle} \\ \diagdown \\ \pi \end{array} \right) \gamma^* = \left( \begin{array}{c} \pi \\ \diagup \\ \text{black circle} \\ \diagdown \\ \pi \end{array} \right) = \left( \begin{array}{c} \text{double dashed line} \\ \text{red circle} \\ \text{wavy line } \gamma^* \end{array} \right)^\dagger$$



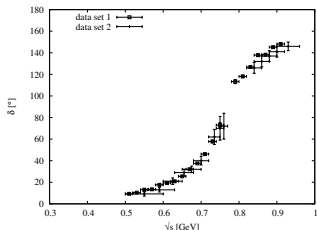
Only need pion p-wave scattering amp.  
parameterised by phase-shift  $\delta_1$

$\delta_1 \Rightarrow$  well measured by experiments!

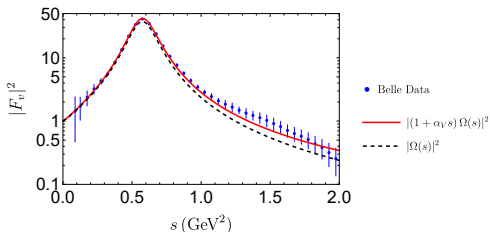
# Pion-vector form factor

$\delta_1$  contains  $\rho$  meson information

$$\xrightarrow[\text{relation}]{\text{Dispersion}} F_v(s) \approx \Omega(s) = \exp\left[\frac{s}{\pi} \int_{4m_\pi^2}^{\infty} ds' \frac{\delta_1(s')}{s'(s'-s)}\right]$$

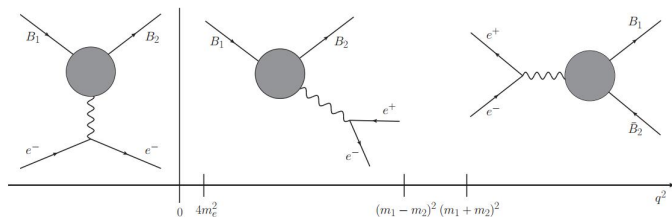


Pion p-wave phase shift [2]



Pion vector FF from dispersion theory

# Experiments' status



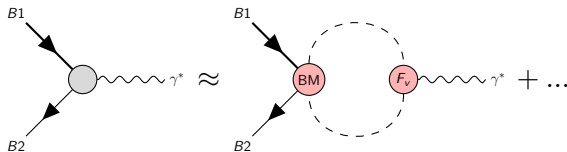
Space-like and time-like form factors [3].

- 1 Space-like form factors accessible from Jlab and MAMI  
 $e^- B_1 \rightarrow e^- B_2$ .
- 2 Time-like form factors will be accessible in the future in the process  
 $B_1 \rightarrow B_2 e^- e^+$  from PANDA+HADES.
- 3 BES, Belle for scattering region.



# Dispersion theory for baryon form factors

Optical theorem for baryon form factors:

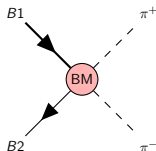


BM blob: non-perturbative **Baryon-Meson** interaction

Impossible to measure

$\Sigma^0 \bar{\Lambda} \rightarrow 2\pi, \Sigma^*(1385) \bar{\Lambda} \rightarrow 2\pi,$

$N^*(1520) \bar{N} \rightarrow 2\pi, N \bar{N} \rightarrow 2\pi.$

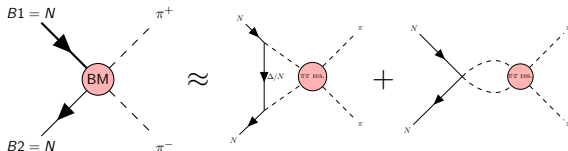


Calculate BM blob using  
Muskhelishvili-Omnès formalism

# Nucleon FFs as a test case

Motivation: is Muskhelishvili-Omnès formalism reliable?

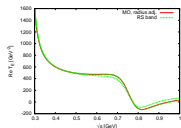
One can compare to non-perturbative analysis  $N\bar{N} \rightarrow 2\pi$



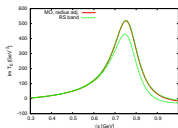
$$T_{E/M}(s) = K_{E/M}(s) + \Omega(s) s \int_{4m_\pi^2}^{\infty} \frac{ds'}{\pi} \frac{K_{E/M}(s') \sin \delta(s')}{|\Omega(s')| (s' - s - i\epsilon) s'} + P_{E/M} \Omega(s)$$

$N\bar{N} \rightarrow 2\pi$  p-wave amplitudes ( $2m_\pi \leq E \leq 1$  GeV)

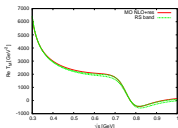
**Green:** fully dispersive analysis, **Red:** Muskhelishvili-Omnès formalism



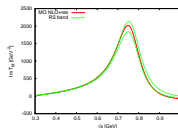
(a)  $T_E(\text{Re})$



(b)  $T_E(\text{Im})$



(c)  $T_M(\text{Re})$



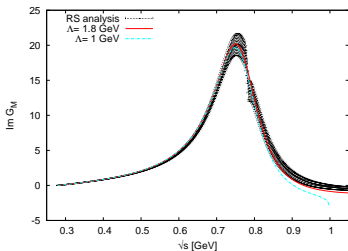
(d)  $T_M(\text{Im})$

# Nucleon FFs as a test case

Once-subtracted dispersion relation:

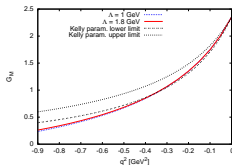
$$G_{M/E}(q^2) = G_{M/E}(0) + \frac{q^2}{12\pi} \int_{4m_\pi^2}^{\infty} \frac{ds}{\pi} \frac{T_{M/E}(s) p_{\text{c.m.}}^3(s) F_\pi^{V*}(s)}{s^{3/2}(s - q^2 - i\epsilon)}$$

$$G_E(0) = \frac{1}{2}, G_M(0) = \frac{1}{2}(1 + \kappa_p - \kappa_n).$$

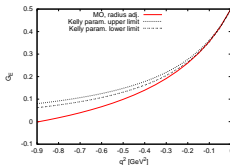


$\text{Im } G_M(q^2)$  for  $4m_\pi^2 < q^2 < 1\text{GeV}^2$

Space-like FFs:



$G_M(q^2)$   $-0.9 \text{ GeV}^2 < q^2 < 0$



$G_E(q^2)$   $-0.9 \text{ GeV}^2 < q^2 < 0$

# Quark mass dependence of nucleon isovector FFs

Lattice QCD needs chiral extrapolation  $\rightarrow$  ChPT

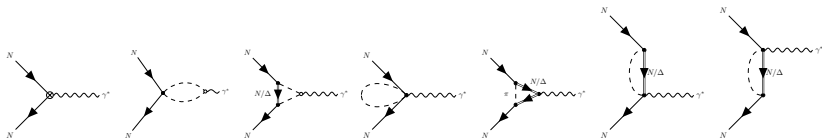
Low-lying vector mesons e.g.  $\rho, \omega$  not included in ChPT

$\rightarrow$  ChPT doesn't describe nucleon FFs well.

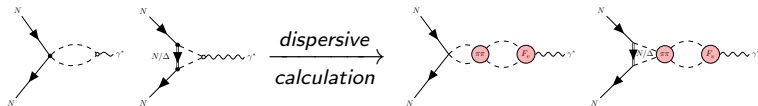
**Alternative:** Dispersively Modified ChPT

Phys. Rev. D 108, 114021 F. Alvarado, DA, L. Alvarez-Ruso, S. Leupold

NNLO ChPT:

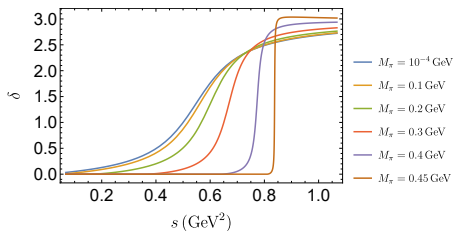


In Dispersively Modified ChPT, diagrams with a 2-pion cut are re-summed:

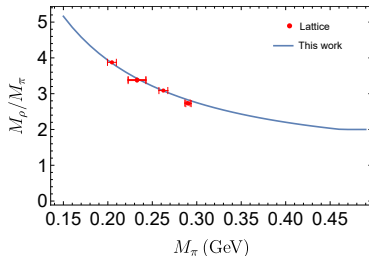


# Nucleon FFs at unphysical quark masses

Prediction from the inverse amplitude method:  
The pion mass dependence of the phase shift:



$\delta(s)$  for different values of  $M_\pi$



$m_\rho/M_\pi$  as a function of  $M_\pi$

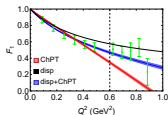
**Model-independent, consistent with chiral power counting,  
systematically improvable.**

→ **application to lattice (radii and magnetic moment extraction)**

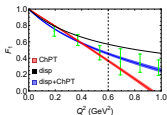
# Nucleon FFs at unphysical quark masses

Apply dispersively modified ChPT to Lattice QCD Lattice data from PhysRevD.103.094522

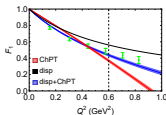
Dirac form factor:



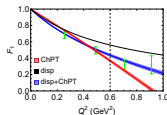
$M_\pi = 0.203 \text{ GeV}$



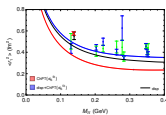
$M_\pi = 0.278 \text{ GeV}$



$M_\pi = 0.347 \text{ GeV}$



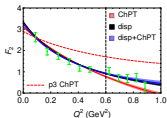
$M_\pi = 0.350 \text{ GeV}$



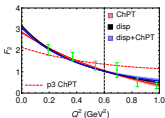
Dirac radius ( $M_\pi$ )

Blue: Dispersively modified ChPT, Red: ChPT  $\mathcal{O}(p^3)$

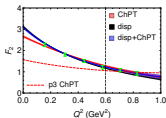
Pauli form factor:



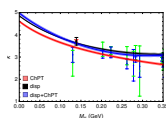
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$\kappa(M_\pi)$

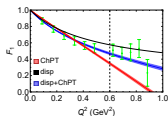
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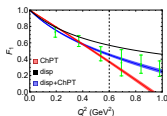
# Nucleon FFs at unphysical quark masses

Apply dispersively modified ChPT to Lattice QCD Lattice data from PhysRevD.103.094522

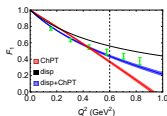
Dirac form factor:



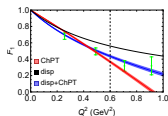
$M_\pi = 0.203 \text{ GeV}$



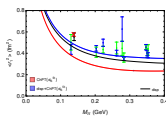
$M_\pi = 0.278 \text{ GeV}$



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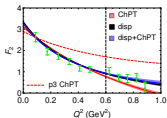
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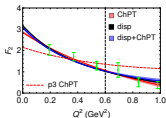
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Blue: Dispersively modified ChPT, Red: ChPT  $\mathcal{O}(p^3)$

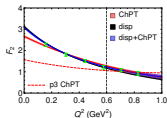
Pauli form factor:



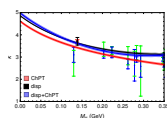
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$\kappa(M_\pi)$

Pauli radius ( $M_\pi$ )

Blue: Dispersively modified ChPT, Red: ChPT  $\mathcal{O}(p^4)$

Possible to generalize to hyperons and resonances. → More on Wednesday (S.Leupold) at 9 AM

# $N^*(1520)$ TFFs

$N^*(1520)$   $I = 1/2$  and  $J^P = 3/2^-$ .

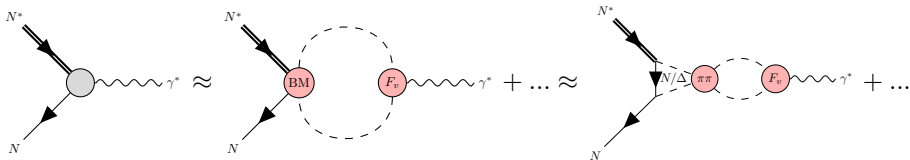
$$\langle N | j_\mu | N^* \rangle = e \bar{u}_N(p_N) \Gamma_{\mu\nu}(q) u_{N^*}^\nu(p_{N^*})$$

with

$$\Gamma^{\mu\nu}(q) := i(\gamma^\mu q^\nu - \not{q} g^{\mu\nu}) m_N F_1(q^2) + \sigma^{\mu\alpha} q_\alpha q^\nu F_2(q^2) + i(q^\mu q^\nu - q^2 g^{\mu\nu}) F_3(q^2),$$

where  $q^\mu := p_{N^*}^\mu - p_N^\mu$ .

We focus on isovector TFFs:



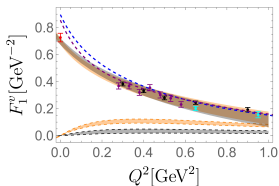
Dispersive machinery for the TFFs at low energy.



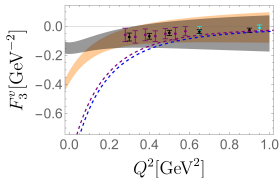
# Theory meets experiments: space-like TFFs of $N^*(1520)$

Isvector TFFs :=  $\frac{1}{2}(F_i^{\text{proton}} - F_i^{\text{neutron}})$   $i = 1, 2, 3$ .

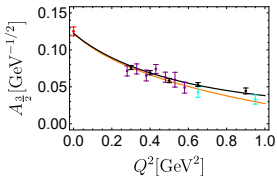
Once-subtracted dispersion relation  $\rightarrow$  fix the photon point to the PDG 1 (physical) parameter  $P_i$  for each  $F_i \Rightarrow N\gamma^* \rightarrow N^*(1520)$



F1



F3



$A_{\frac{3}{2}}$

Orange and gray: this work<sup>1</sup>. Data: Jlab.

Dashed blue : MAID isovector estimate

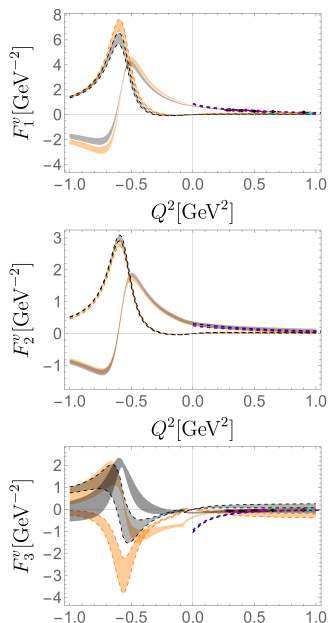
Full lines: Real part, Dashed lines: Imaginary part.

Fixing  $P_i$  predicts  $\rightarrow \Gamma(\rho N, L = 0) \approx 1.5 \times 10^{-2}$  GeV

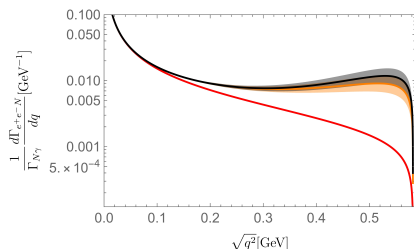
HADES measurement:  $\Gamma(\rho N, L = 0) = (1.4 \pm 0.3) \times 10^{-2}$  GeV

<sup>1</sup>2 possible sign choices of  $N^* - \Delta - \pi$  coupling

# Theory predictions: time-like TFFs of $N^*(1520)$



$N^* \rightarrow Ne^+e^-$   
(Assuming isovector dominance)



Our prediction:

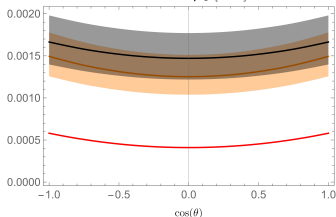
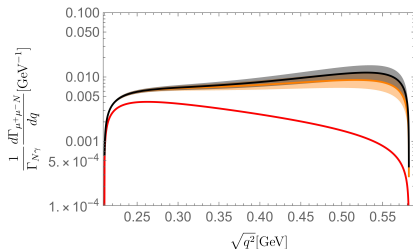
$$\Gamma_{N^* \rightarrow Nee} / \Gamma_{N^* \rightarrow N\gamma} = 1.1 \times 10^{-3}$$

$$\Gamma_{N^* \rightarrow Nee}^{\text{QED}} / \Gamma_{N^* \rightarrow N\gamma} = 0.9 \times 10^{-3}$$

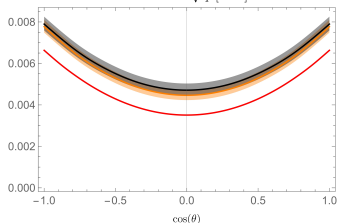
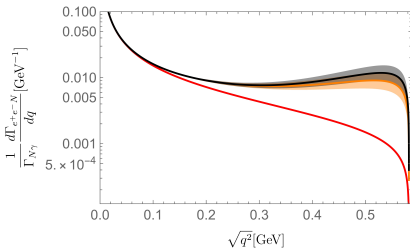
Results can be tested by HADES.

# Theory predictions: Time-like TFFs

$$N^* \rightarrow N\mu^+\mu^-$$



$$N^* \rightarrow Ne^+e^-$$



## Summary:

1. Dispersion theory is a **reliable** and **model-independent tool**.
2. We make many predictions and are eager to be tested by experimentalists!

## Outlook:

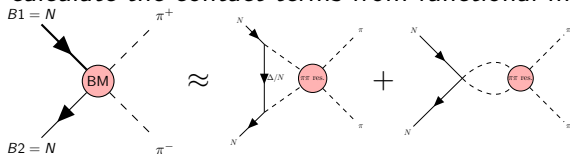
# Summary and outlook

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Can one calculate the contact terms from functional methods?



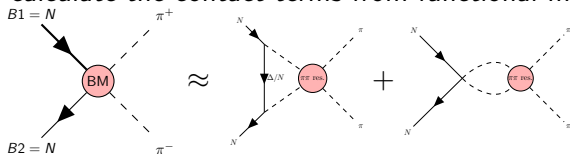
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## Outlook:

Can one calculate the contact terms from functional methods?



Yes

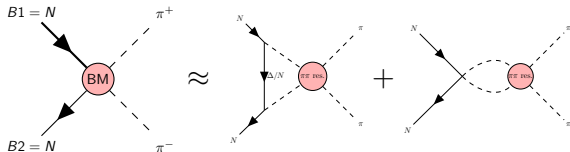
# Summary and outlook

## Summary:

1. Dispersion theory is a **reliable** and **model-independent** tool.
2. We make many predictions and are eager to be tested by experimentalists!

## Outlook:

Can one calculate the contact terms from functional methods?

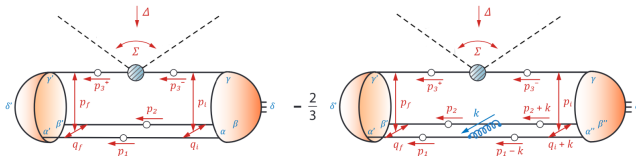


Yes

Ongoing project with Prof. G. Eichmann, Prof. C. Fischer and Prof. S. Leupold.

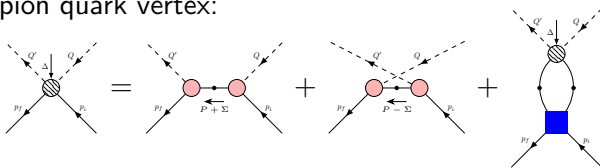
# Outlook: match the hadrons with quarks and gluons

Calculate the contact term from Dyson-Schwinger equations (DSEs):



Pion nucleon handbag diagrams

DSE for the pion quark vertex:



Preliminary results for the  $N\pi$  scattering length:

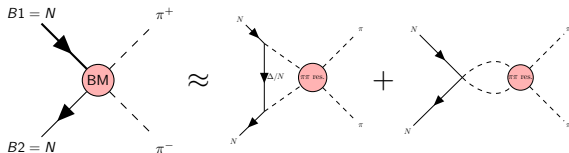
$$a_{0+}^- = 4.7 \pm 1.7 \text{ MeV}^{-1}$$

tree level ChPT prediction:  $a_{0+}^- = 5.71 \text{ MeV}^{-1}$

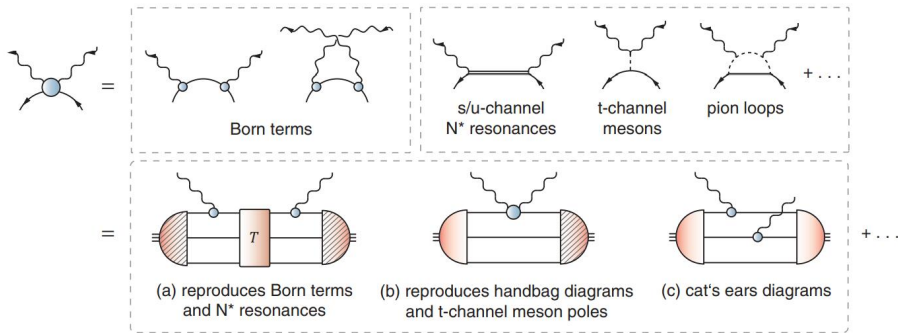


Thank you for listening!

# Match the hadrons with quarks and gluons

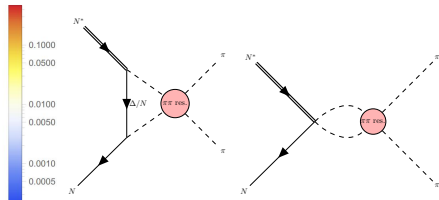
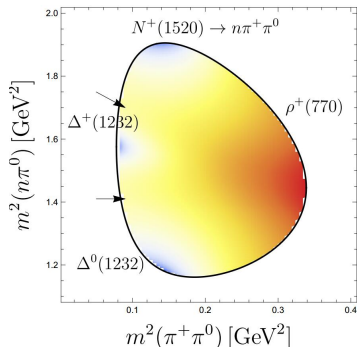


Compton scattering amplitudes (Gernot Eichmann et al):



# Theory predictions: Hadronic Dalitz decay $N^* \rightarrow N\pi\pi$

Our dispersive prediction  $N^+(1520) \rightarrow n\pi^+\pi^0$  as an example



→ can be used to test the quality of isobar model predictions.

$$\frac{d\Gamma}{dm_{N\pi}^2 dm_{\pi\pi}^2}$$

$$T_i(s) = K_i(s) + \Omega(s) P_i + T_i^{\text{anom}}(s)$$

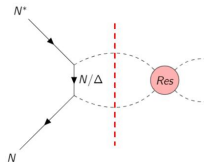
$$+ \Omega(s) s \int_{4m_\pi^2}^{\infty} \frac{ds'}{\pi} \frac{K_i(s') \sin \delta(s')}{|\Omega(s')| (s' - s - i\epsilon) s'}$$

# $N^*$ (1520) TFFs: Cuts, Poles and Singularities

## Anomalous threshold condition

$$m_{\text{exc}}^2 < \frac{1}{2}(m_{N^*}^2 + m_N^2 - 2m_\pi^2)$$

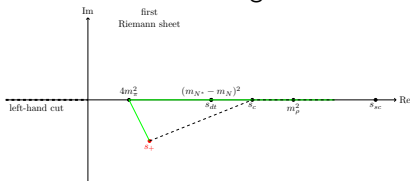
$$m_{\text{exc}} = \bar{m}_N \text{ (see back up slides for rigorous derivation)}$$



Cutkosky cutting rules

$\Delta$  exchange

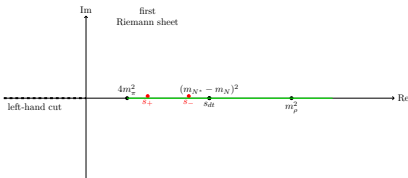
$N$  exchange



$$T(s) = \frac{1}{2\pi i} \int_{4m_\pi^2}^{\infty} \frac{\text{disc} UN I T(z)}{z-c} dz +$$

$$\frac{1}{2\pi i} \int_{\gamma} \frac{d\gamma}{dt} \frac{\text{disc} ANOM T((\gamma(t)))}{\gamma(t)-s} dt$$

Two singularities on the second Riemann Sheet



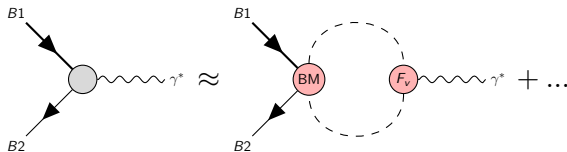
Unitarity cut  $[4m_\pi^2, \infty)$

## Nucleon sector:

- Nucleon isovector FFs (Leupold) [4]
- $N^*(1520)$  TFFs (An, Leupold) (in preparation)
- Quark mass dependence of nucleon FFs (An, Alvarado, Leupold, Alvarez-Ruso)
- $\Delta(J^P = \frac{3}{2}^+) \rightarrow N(J^P = \frac{1}{2}^+)$  (Aung, Leupold, Perotti) (in preparation)

## Hyperon sector:

- $\Sigma(J^P = \frac{1}{2}^+) \rightarrow \Lambda(J^P = \frac{1}{2}^+)$  (Granados, Leupold, Perotti) [5]
- $\Sigma^*(J^P = \frac{3}{2}^+) \rightarrow \Lambda(J^P = \frac{1}{2}^+)$  (Junker, Leupold, Perotti, Vitos) [6]

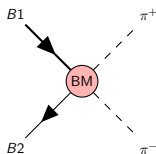


BM blob: non-perturbative **Baryon-Meson** interaction

Impossible to measure

$$\Sigma^0 \bar{\Lambda} \rightarrow 2\pi, \Sigma^*(1385) \bar{\Lambda} \rightarrow 2\pi,$$

$$N^*(1520) \bar{N} \rightarrow 2\pi, N \bar{N} \rightarrow 2\pi.$$



Calculate BM blob using  
Muskhelishvili-Omnès formalism

# $N^*(1520)$ TFFs: form factor dispersion relation

Subtracted dispersion relations for TFFs:

$$F_i(q^2) = F_i(0) + \frac{q^2}{12\pi} \int_{4m_\pi^2}^{\Lambda^2} \frac{ds}{\pi} \frac{T_i(s) p_{\text{c.m.}}^3(s) F_\pi^{V*}(s)}{s^{3/2}(s - q^2 - i\epsilon)} + F_i^{\text{anom}}(q^2) \text{ for } i = 1, 2, 3.$$

$T_i \sim N^* N \rightarrow 2\pi$  amplitudes calculated from Muskhelishvili-Omnès formalism:

Branching ratios of  $N^*$  by PDG:

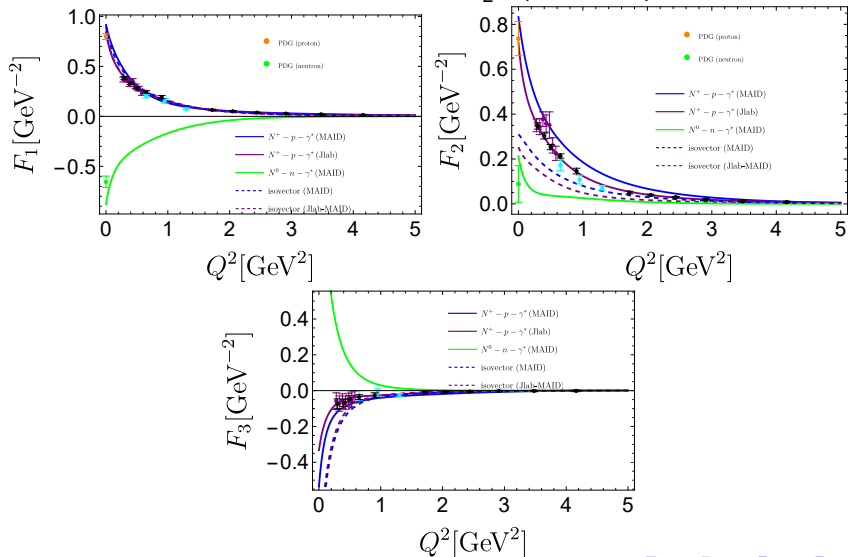
$$T_i(s) = K_i(s) + \Omega(s) P_i + T_i^{\text{anom}}(s) + \Omega(s) s \int_{4m_\pi^2}^{\infty} \frac{ds'}{\pi} \frac{K_i(s') \sin \delta(s')}{|\Omega(s')| (s' - s - i\epsilon) s'}.$$

$P_{i=1,2,3}$  are fit parameters (contact term interactions).

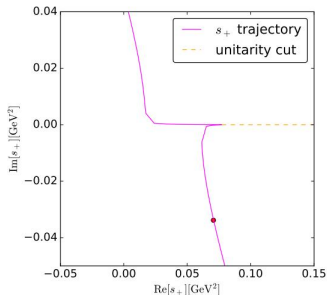
①	$N\pi$	55 – 65%
②	$\Delta(1232)\pi$ , (S-wave)	15 – 23%
③	$\Delta(1232)\pi$ , (D-wave)	7 – 11%
④	$N\rho$ , $S = \frac{3}{2}$ , (S-wave)	10 – 16%
⑤	$N\rho$ , $S = \frac{1}{2}$ , (D-wave)	0.2 – 0.4%
⑥	$N\rho$ , $S = \frac{3}{2}$ , (D-wave)	$\approx 0$
⑦	$N\eta$	0.07 – 0.08%

# Experimental data on space-like TFFs

We can only calculate isovector TFFs:  $= \frac{1}{2}(F_i^{proton} - F_i^{neutron}), i = 1, 2, 3$



# Anomalous singularity

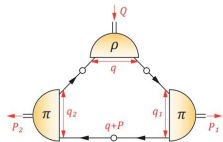


Trajectory of a singularity in the complex plane[8]

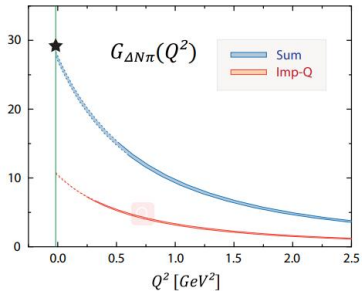
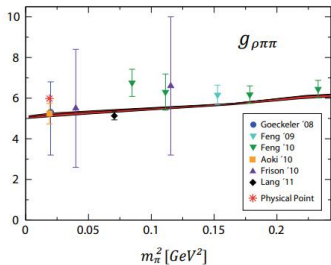
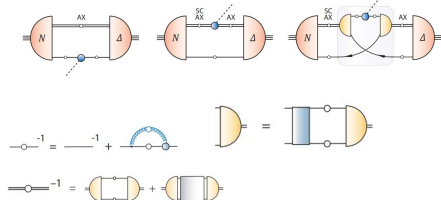


Calculating the couplings in quark di-quark approach in Dyson-Schwinger equations and Bethe-Salpeter equations  
 State of art method: Rainbow ladder + quark-di quark approximation (Valentin Mader et al.).

$\rho \rightarrow \pi\pi$  transition matrix elements



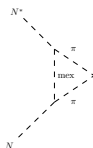
$\Delta \rightarrow N\pi$  transition matrix elements



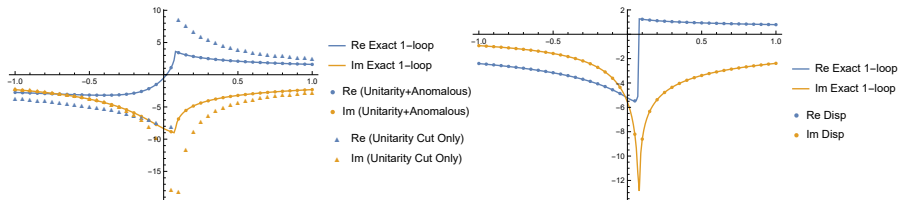
# Comparison with 1-loop scalar-triangle

How do we make sure we are right about the analytic structures?

→ use 1-loop scalar triangle (G. 't Hooft, M. Veltman) as a toy calculation for **double-check!**


$$T(s) = \frac{1}{2\pi i} \int_{4m_\pi^2}^{\infty} \frac{\text{disc}_{UNI} T(z)}{z - c} dz + \frac{1}{2\pi i} \int_{\gamma} \frac{d\gamma}{dt} \frac{\text{disc}_{ANOM} T(\gamma(t))}{\gamma(t) - s} dt$$

Our dispersive relation for the scalar triangle perfectly matches the analytic results:

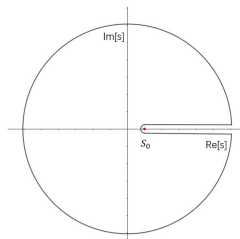


Nucleon exchange

$\Delta$  exchange

# Dispersion theory in a nutshell

Example: Pion vector form factor



Unitarity cut  $[4m_\pi^2, \infty)$

$$S = 1 + iT$$

$$\begin{aligned} \text{Unitarity } SS^\dagger &= 1 + i(T - T^\dagger) + |T|^2 = 1 \\ &\rightarrow 2\text{Im}T = |T|^2 \end{aligned} \quad (1)$$

$$\rightarrow \text{Im}T_{A \rightarrow B} = \frac{1}{2} \sum_x T_{A \rightarrow x} T_{x \rightarrow B}^\dagger$$

Simplest example:  $A = |\gamma^*\rangle$ ,  $B = |\pi^-(p_1)\pi^+(p_2)\rangle$ .

$$\Rightarrow T_{\gamma^* \rightarrow \pi^-\pi^+} = e\epsilon_\mu \underbrace{\langle \pi^-(p_1)\pi^+(p_2) | j^\mu | 0 \rangle}_{(p_1^\mu - p_2^\mu)F_V(s)} \quad (2)$$

$$T_{\gamma^* \rightarrow x} = e\epsilon_\mu \langle x | j^\mu | 0 \rangle \quad (3)$$

$$\text{Im}F_V(s)(p_1^\mu - p_2^\mu) = \frac{1}{2} \sum_x \langle \pi^-(p_1)\pi^+(p_2) | x \rangle^* \langle x | j^\mu | 0 \rangle \quad (4)$$

$|x\rangle = 2\text{pions}(s \geq 4m_\pi^2), 4\text{pions}(s \geq 16m_\pi^2), 2\text{kaons}(s \geq 4m_K^2), \dots$

Cauchy integral formula:

$$F_V(s) = \frac{1}{2\pi i} \int_{s_0=4m_\pi^2}^{\infty} dz \frac{\lim_{\epsilon \rightarrow 0} [F_V(z + i\epsilon) - F_V(z - i\epsilon)]}{z - s} \quad (5)$$

Schwarz Reflection Principle:  $F_V(z - i\epsilon) = F_V(z + i\epsilon)^*$

$$F_V(s) = \frac{1}{\pi} \int_{s_0=4m_\pi^2}^{\infty} dz \frac{\text{Im}[F_V(z + i\epsilon)]}{z - s} \quad \text{Dispersion relation} \quad (6)$$

Consider only the 2 pion contribution

$$2\text{Im}F_V(q^2)(p_1^\mu - p_2^\mu) \approx \int d\tau'_{2\pi} \underbrace{\langle \pi^-(p_1)\pi^+(p_2) | \pi^-(p'_1)\pi^+(p'_2) \rangle^*}_{\text{Pion rescattering amplitude}} \underbrace{\langle \pi^-(p'_1)\pi^+(p'_2) | j^\mu | 0 \rangle}_{F_V(q^2)(p'_1{}^\mu - p'_2{}^\mu)} \quad (7)$$

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- [4] Stefan Leupold. "The nucleon as a test case to calculate vector-isovector form factors at low energies". In: *Eur. Phys. J. A* 54.1 (2018), p. 1. DOI: 10.1140/epja/i2018-12447-0. arXiv: 1707.09210 [hep-ph].
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