

Physical interpretation of the baryon spectrum

Derek Leinweber

Key collaborators: Curtis Abell, Liam Hockley, Waseem Kamleh,
Zhan-Wei Liu, Finn Stokes, Tony Thomas, Jia-Jun Wu



Closely related presentations this week

- **The study of $N^*(1535)$ and $N^*(1650)$ from the lattice data (14:50)**
Presenter: Jia-jun Wu (University of Chinese Academy of Science)
Parallel Session: I B - Walmgate Suite (Monday 17 June 2024, 13:30 - 15:15)

- **Three particle interactions on the lattice (10:00)**
Presenter: Maxim Mai (University of Bonn / The George Washington University)
Plenary Session: V - (Wednesday 19 June 2024, 09:00 - 10:30)

Prologue

- The idea of dressing quark-model states in a coupled-channel analysis to describe scattering data has been around for decades.

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- What's new are formalisms able to bring these descriptions to the finite-volume of lattice QCD.

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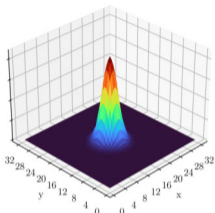
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- What's new are formalisms able to bring these descriptions to the finite-volume of lattice QCD.
- Lattice QCD calculations of the excitation spectrum provide new constraints.

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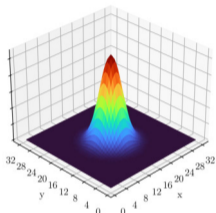
- The idea of dressing quark-model states in a coupled-channel analysis to describe scattering data has been around for decades.
- What's new are formalisms able to bring these descriptions to the finite-volume of lattice QCD.
- Lattice QCD calculations of the excitation spectrum provide new constraints.
- It's time to reconsider our early notions about the quark-model and its excitation spectrum.

Accessing the Radial Excitations of the Nucleon - CSSM Techniques

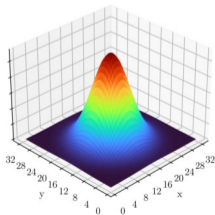
16 smearing sweeps



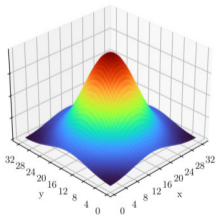
35 smearing sweeps



100 smearing sweeps



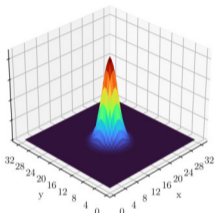
200 smearing sweeps



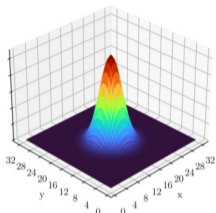
- Circa 2010.
- Local 3-quark interpolating fields.
- Quark-level source smearing techniques.

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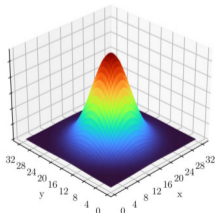
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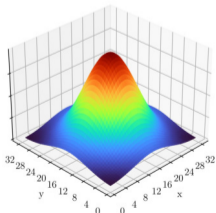
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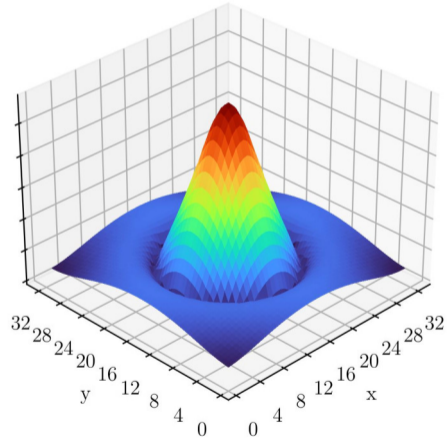
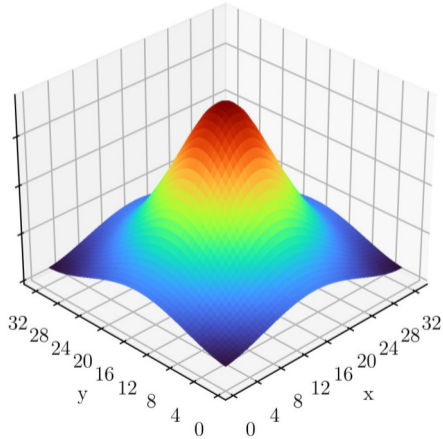


200 smearing sweeps

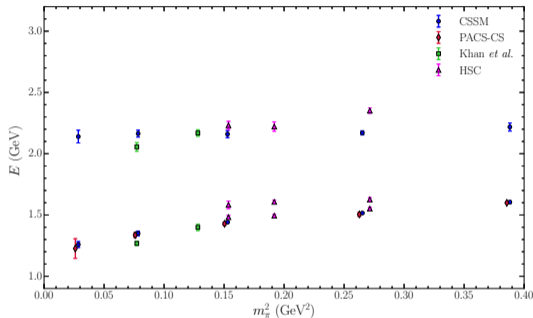


- Circa 2010.
- Local 3-quark interpolating fields.
- Quark-level source smearing techniques.
- Correlation matrix techniques
 - Identify linear combinations of sources to isolate states.
 - Opposite sign superpositions create wave function nodes.

Δ Baryon Interpolating Field Shapes



Δ -baryon spectrum from lattice QCD – $1s$ and $2s$ excitations



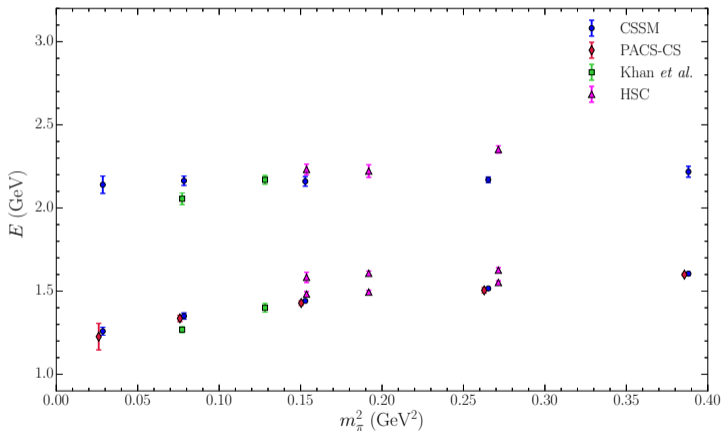
CSSM: L. Hockley, *et al.*, J. Phys. G **51** (2024) no.6, 065106 [arXiv:2312.11574 [hep-lat]].

Kahn *et al.*: T. Khan, D. Richards and F. Winter, Phys. Rev. D **104** (2021) 034503 [arXiv:2010.03052 [hep-lat]].

HSC: J. Bulava, *et al.*, Phys. Rev. D **82** (2010) 014507 [arXiv:1004.5072 [hep-lat]].

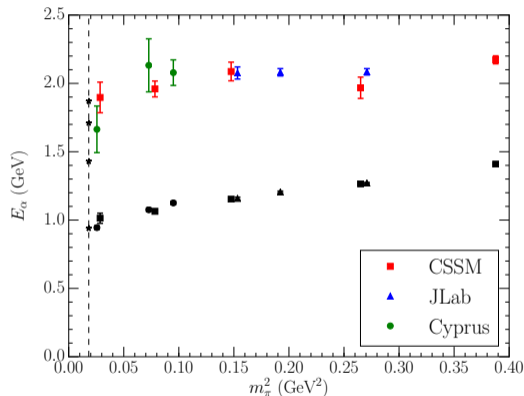
PACS-CS: S. Aoki *et al.* [PACS-CS], Phys. Rev. D **79** (2009) 034503 [arXiv:0807.1661 [hep-lat]].

Where's the $\Delta(1600)$ – the Roper-like resonance?



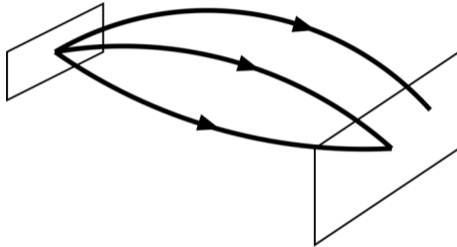
- Roper-like: 1st even-parity excitation sits below the 1st odd-parity, $\Delta(1700)$.

Positive Parity Nucleon Spectrum Circa 2017



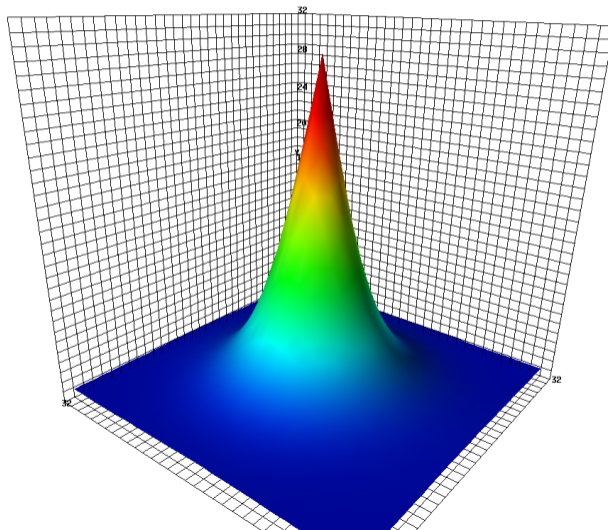
- **CSSM:** Z. W. Liu, *et al.* [CSSM], Phys. Rev. D **95**, 034034 (2017) arXiv:1607.04536 [nucl-th]
- **JLab:** R. G. Edwards, *et al.* [HSC] Phys. Rev. D **84**, 074508 (2011) [arXiv:1104.5152 [hep-ph]].
- **Cyprus:** C. Alexandrou, *et al.* (AMIAS), Phys. Rev. D **91**, 014506 (2015) arXiv:1411.6765 [hep-lat]

Landau-Gauge Wave functions from the Lattice

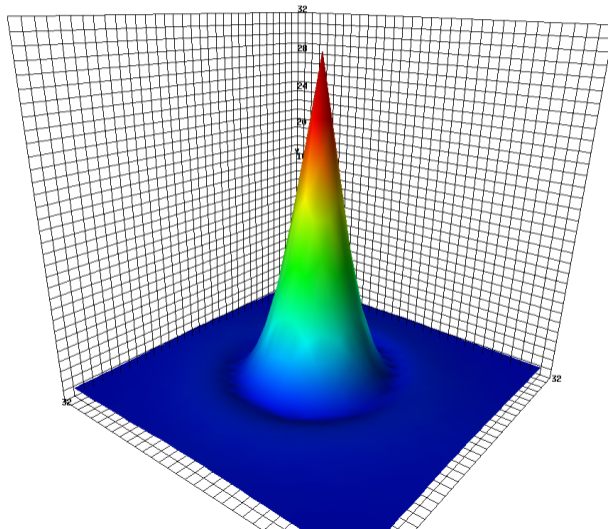


- Measure the *overlap* of the annihilation operator with the state as a function of the quark positions.

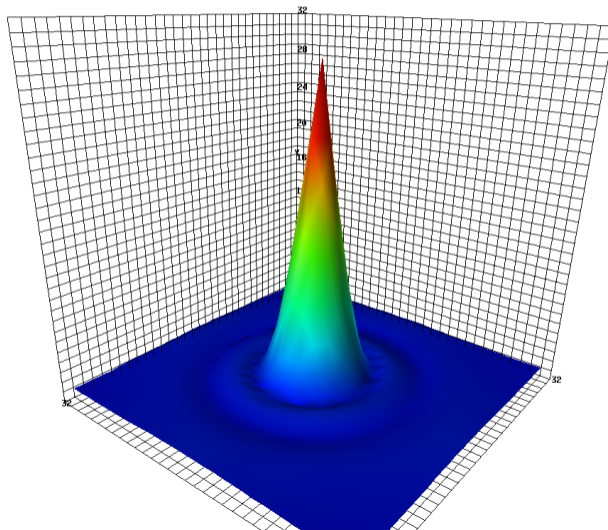
d -quark probability density in ground state proton [CSSM]



d -quark probability density in the 1st excited state of proton [CSSM]

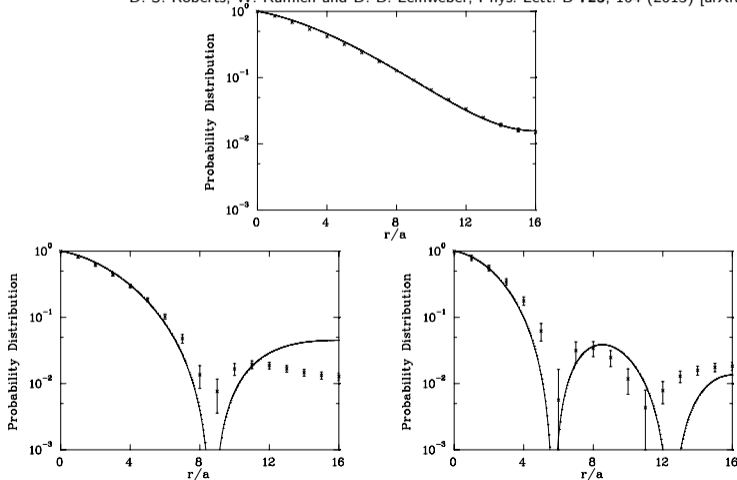


d -quark probability density in the 2nd excited state of proton [CSSM]

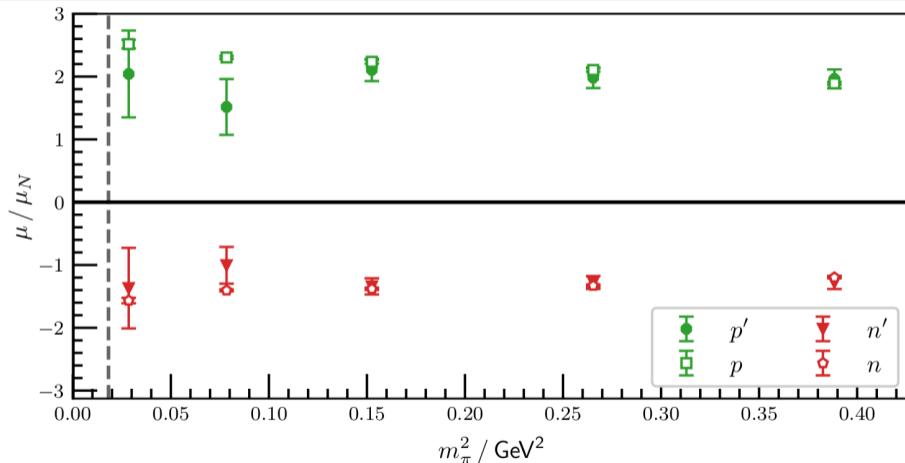


Comparison with the Simple Quark Model [CSSM]

D. S. Roberts, W. Kamleh and D. B. Leinweber, Phys. Lett. B **725**, 164 (2013) [arXiv:1304.0325 [hep-lat]].



First positive-parity excitation: Magnetic moments



F. M. Stokes, W. Kamleh, DBL, Phys. Rev. D **102** (2020) 014507 [arXiv:1907.00177 [hep-lat]].

The spectrum of a simple quark model: N and Λ baryons

$N(1/2^+)$ ————— $2h\omega$
 ~ 2.0 GeV

$\Lambda(1/2^-)$ —————
 $N(1/2^-)$ ————— $1h\omega$
 ~ 1.5 GeV

$\Lambda(1/2^+)$ —————
 $N(1/2^+)$ ————— $0h\omega$
 ~ 1 GeV Quark Model

The challenge of experiment

$N(1/2^+)$ ————— $2h\omega$
 ~ 2.0 GeV



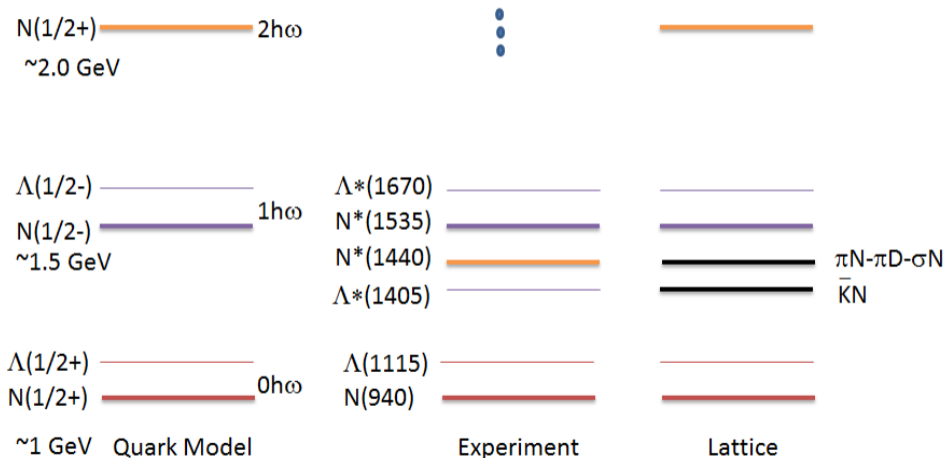
$\Lambda(1/2^-)$ —————
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$\Lambda^*(1670)$ —————
 $N^*(1535)$ —————
 $N^*(1440)$ —————
 $\Lambda^*(1405)$ —————

$\Lambda(1/2^+)$ —————
 $N(1/2^+)$ ————— $0h\omega$
 ~ 1 GeV Quark Model

$\Lambda(1115)$ —————
 $N(940)$ —————
 Experiment

The spectrum of quark-model-like states is relatively simple



Outline

- Hamiltonian Effective Field Theory (HEFT)
 - Coupled-channel analysis technique aimed at resonance physics.
 - Incorporates the Lüscher formalism.
 - Connects scattering observables to the finite-volume spectrum of lattice QCD.

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 - Discuss the composition of excited states.
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 - Confront new lattice QCD spectra from the Baryon Scattering (BaSc) Collaboration.
- Roper $N_{\frac{1}{2}}^{+}(1440)$ resonance.
- A new resolution of the missing baryon resonances problem.

Section 2

Hamiltonian Effective Field Theory (HEFT)

Hamiltonian Effective Field Theory (HEFT)

J. M. M. Hall, *et al.* [CSSM], Phys. Rev. D **87** (2013) 094510 [arXiv:1303.4157 [hep-lat]]

C. D. Abell, DBL, A. W. Thomas, J. J. Wu, Phys. Rev. D **106** (2022) 034506 [arXiv:2110.14113 [hep-lat]]

- An extension of chiral effective field theory incorporating the Lüscher formalism
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- Fitting resonance phase-shift data and inelasticities,
 - Predictions of the finite-volume spectrum are made.
- The eigenvectors of the Hamiltonian provide insight into the composition of the energy eigenstates.
 - Insight is similar to that provided by correlation-matrix eigenvectors in Lattice QCD.

Infinite Volume Model

- The rest-frame Hamiltonian has the form $H = H_0 + H_I$, with

$$H_0 = \sum_{B_0} |B_0\rangle m_{B_0} \langle B_0| + \sum_{\alpha} \int d^3k |\alpha(\mathbf{k})\rangle \omega_{\alpha}(\mathbf{k}) \langle \alpha(\mathbf{k})|,$$

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- $|B_0\rangle$ denotes a quark-model-like basis state with bare mass m_{B_0} .
- $|\alpha(\mathbf{k})\rangle$ designates a two-particle non-interacting basis-state channel with energy

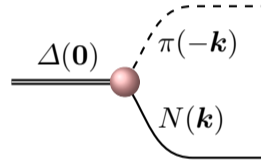
$$\omega_{\alpha}(\mathbf{k}) = \omega_{\alpha_M}(\mathbf{k}) + \omega_{\alpha_B}(\mathbf{k}) = \sqrt{\mathbf{k}^2 + m_{\alpha_M}^2} + \sqrt{\mathbf{k}^2 + m_{\alpha_B}^2},$$

for $M = \text{Meson}$, $B = \text{Baryon}$.

Infinite Volume Model

- The interaction Hamiltonian includes two parts, $H_I = g + v$.
- 1 \rightarrow 2 particle vertex

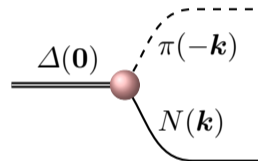
$$g = \sum_{\alpha, B_0} \int d^3k \left\{ |\alpha(\mathbf{k})\rangle G_{\alpha, B_0}^\dagger(k) \langle B_0| + h.c. \right\},$$



Infinite Volume Model

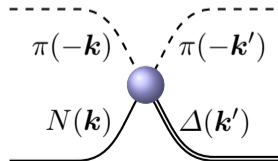
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- 2 \rightarrow 2 particle vertex

$$v = \sum_{\alpha, \beta} \int d^3k d^3k' |\alpha(\mathbf{k})\rangle V_{\alpha, \beta}^S(k, k') \langle \beta(\mathbf{k}')|.$$



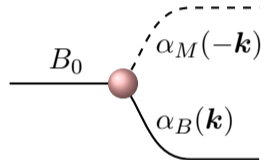
S-wave vertex interactions

- S-wave one to two-particle interactions take the form

$$G_{\alpha, B_0}(k) = g_{B_0 \alpha} \frac{\sqrt{3}}{2\pi f_\pi} \sqrt{\omega_{\alpha_M}(k)} u(k, \Lambda),$$

with dipole regulator

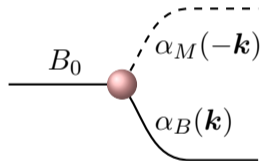
$$u(k, \Lambda) = \frac{1}{(1 + k^2/\Lambda^2)^2}.$$



P -wave and higher vertex interactions

- P -wave and higher vertex interactions take the form

$$G_{\alpha, B_0}(k) = g_{B_0 \alpha} \frac{1}{4\pi^2} \left(\frac{k}{f_\pi} \right)^{l_\alpha} \frac{u(k, \Lambda)}{\sqrt{\omega_{\alpha_M}(k)}},$$



where l_α is the orbital angular momentum in channel α .

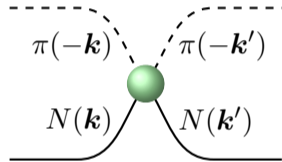
Two-to-two particle interactions

- For S -wave scattering

$$V_{\alpha,\beta}^S(k, k') = v_{\alpha,\beta} \frac{3}{4\pi^2 f_\pi^2} u(k, \Lambda) u(k', \Lambda)$$

with dipole regulator

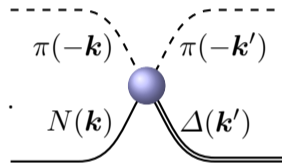
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Two-to-two particle interactions

- For P -wave scattering in the N^* and Δ channels

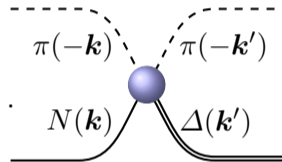
$$V_{\alpha,\beta}^S(k, k') = v_{\alpha,\beta} \frac{1}{4\pi^2 f_\pi^2} \frac{k}{\omega_{\alpha_M}(k)} \frac{k'}{\omega_{\beta_M}(k')} u(k, \Lambda) u(k', \Lambda).$$



Two-to-two particle interactions

- For P -wave scattering in the N^* and Δ channels

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- For the $\Lambda^*(1405)$, the Weinberg-Tomozawa form is considered

$$V_{\alpha,\beta}^S(k, k') = v_{\alpha,\beta}^{\Lambda^*} \frac{[\omega_{\alpha_M}(k) + \omega_{\beta_M}(k')]}{16\pi^2 f_\pi^2 \sqrt{\omega_{\alpha_M}(k) \omega_{\beta_M}(k')}} u(k, \Lambda) u(k', \Lambda),$$

Infinite-Volume scattering amplitude

- The T -matrices for two particle scattering are obtained by solving the coupled-channel integral equations

$$T_{\alpha,\beta}(k, k'; E) = \tilde{V}_{\alpha,\beta}(k, k'; E) + \sum_{\gamma} \int q^2 dq \frac{\tilde{V}_{\alpha,\gamma}(k, q; E) T_{\gamma,\beta}(q, k'; E)}{E - \omega_{\gamma}(q) + i\epsilon}.$$

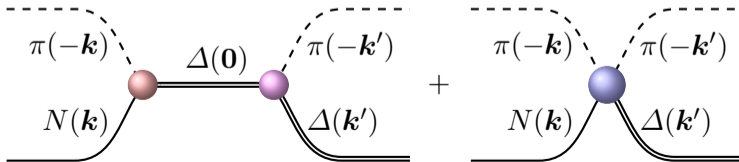
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- The coupled-channel potential is readily calculated from the interaction Hamiltonian

$$\tilde{V}_{\alpha,\beta}(k, k') = \sum_{B_0} \frac{G_{\alpha,B_0}^{\dagger}(k) G_{\beta,B_0}(k')}{E - m_{B_0}} + V_{\alpha,\beta}^S(k, k'),$$



Infinite-Volume scattering matrix

- The S-matrix is related to the T -matrix by

$$S_{\alpha,\beta}(E) = 1 - 2i \sqrt{\rho_{\alpha}(E) \rho_{\beta}(E)} T_{\alpha,\beta}(k_{\alpha \text{ cm}}, k_{\beta \text{ cm}}; E),$$

with

$$\rho_{\alpha}(E) = \pi \frac{\omega_{\alpha_M}(k_{\alpha \text{ cm}}) \omega_{\alpha_B}(k_{\alpha \text{ cm}})}{E} k_{\alpha \text{ cm}},$$

and $k_{\alpha \text{ cm}}$ satisfies the on-shell condition

$$\omega_{\alpha_M}(k_{\alpha \text{ cm}}) + \omega_{\alpha_B}(k_{\alpha \text{ cm}}) = E.$$

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- The cross section $\sigma_{\alpha,\beta}$ for the process $\alpha \rightarrow \beta$ is

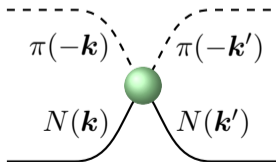
$$\sigma_{\alpha,\beta} = \frac{4\pi^3 k_{\alpha \text{ cm}} \omega_{\alpha_M}(k_{\alpha \text{ cm}}) \omega_{\alpha_B}(k_{\alpha \text{ cm}}) \omega_{\beta_M}(k_{\alpha \text{ cm}}) \omega_{\beta_B}(k_{\alpha \text{ cm}})}{E^2 k_{\beta \text{ cm}}} |T_{\alpha,\beta}(k_{\alpha \text{ cm}}, k_{\beta \text{ cm}}; E)|^2.$$

πN phase shift and inelasticity

- The S-matrix is related to the T -matrix by

$$\begin{aligned}
 S_{\pi N, \pi N}(E) &= 1 - 2i\pi \frac{\omega_{\pi}(k_{\text{cm}}) \omega_N(k_{\text{cm}})}{E} k_{\text{cm}} T_{\pi N, \pi N}(k_{\text{cm}}, k_{\text{cm}}; E), \\
 &= \eta(E) e^{2i\delta(E)}.
 \end{aligned}$$

- In solving the integral equations...

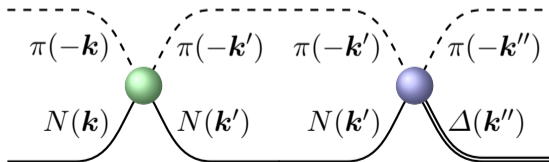


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 S_{\pi N, \pi N}(E) &= 1 - 2i\pi \frac{\omega_{\pi}(k_{\text{cm}}) \omega_N(k_{\text{cm}})}{E} k_{\text{cm}} T_{\pi N, \pi N}(k_{\text{cm}}, k_{\text{cm}}; E), \\
 &= \eta(E) e^{2i\delta(E)}.
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- In solving the integral equations...

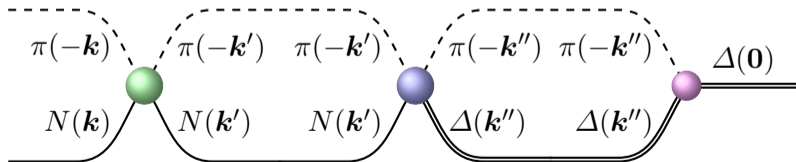


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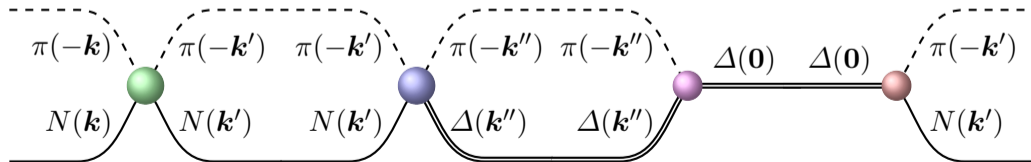


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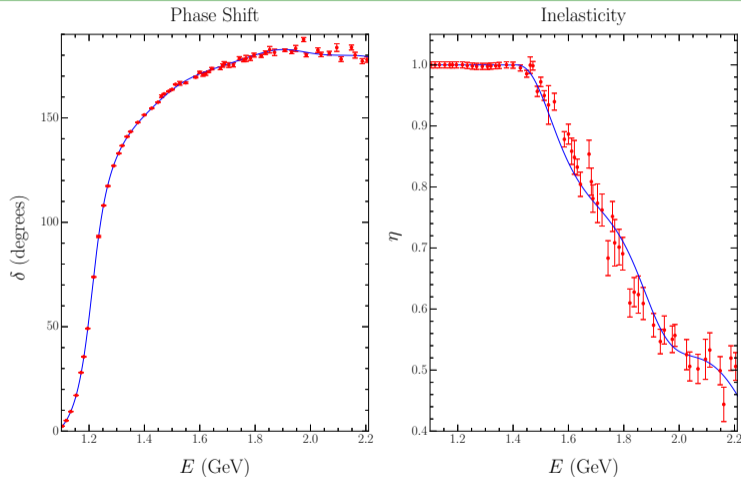
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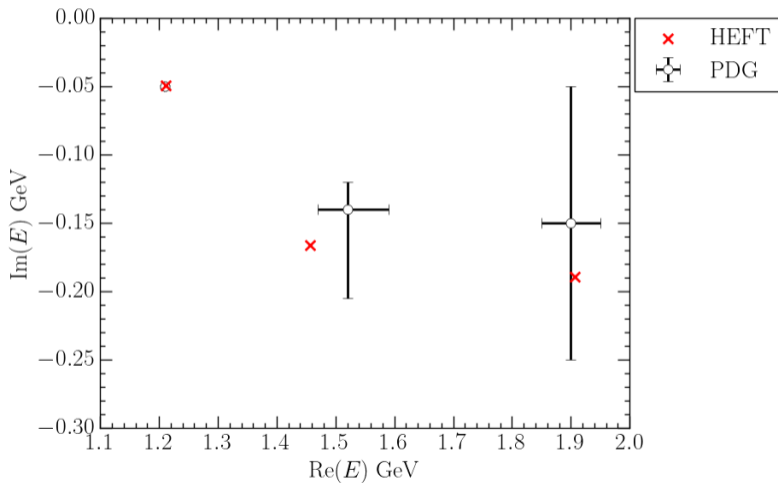
Fit to Scattering Data in the Δ -Resonance Channel

- Consider:
 - p -wave πN ,
 - p -wave $\pi\Delta$, and
 - f -wave $\pi\Delta$
 channels, dressing
 - two bare basis states.
- Fit to SAID data.



L. Hockley, C. Abell, DBL, and A. Thomas, [arXiv:2406.00981 [hep-ph]].

Pole Positions for $\Delta(1232)$, $\Delta(1600)$, and $\Delta(1920)$



Finite Volume Analysis - Hamiltonian Matrix

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$$k_n = \sqrt{n_x^2 + n_y^2 + n_z^2} \frac{2\pi}{L},$$

with $n_i = 0, \pm 1, \pm 2, \dots$ and integer $n = n_x^2 + n_y^2 + n_z^2$.

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- The non-interacting Hamiltonian takes the form

$$H_0 = \text{diag} (m_{\Delta_1}, m_{\Delta_2}, \omega_{\pi N}(k_1), \omega_{\pi \Delta}(k_1), \dots, \omega_{\pi N}(k_{n_{\max}}), \omega_{\pi \Delta}(k_{n_{\max}})) .$$

Interaction Hamiltonian Terms

- $1 \rightarrow 2$ particle interaction terms sit in the first rows and columns.

$$H_I = \begin{pmatrix} 0 & 0 & \bar{G}_{\pi N}^{\Delta_1}(k_1) & \bar{G}_{\pi \Delta}^{\Delta_1}(k_1) & \bar{G}_{\pi N}^{\Delta_1}(k_2) & \bar{G}_{\pi \Delta}^{\Delta_1}(k_2) & \dots & \bar{G}_{\pi N}^{\Delta_1}(k_{n_{\max}}) & \bar{G}_{\pi \Delta}^{\Delta_1}(k_{n_{\max}}) \\ 0 & 0 & \bar{G}_{\pi N}^{\Delta_2}(k_1) & \bar{G}_{\pi \Delta}^{\Delta_2}(k_1) & \bar{G}_{\pi N}^{\Delta_2}(k_2) & \bar{G}_{\pi \Delta}^{\Delta_2}(k_2) & \dots & \bar{G}_{\pi N}^{\Delta_2}(k_{n_{\max}}) & \bar{G}_{\pi \Delta}^{\Delta_2}(k_{n_{\max}}) \\ \bar{G}_{\pi N}^{\Delta_1}(k_1) & \bar{G}_{\pi N}^{\Delta_2}(k_1) & & & & & & & \\ \bar{G}_{\pi \Delta}^{\Delta_1}(k_1) & \bar{G}_{\pi \Delta}^{\Delta_2}(k_1) & & & & & & & \\ \bar{G}_{\pi N}^{\Delta_1}(k_2) & \bar{G}_{\pi N}^{\Delta_2}(k_2) & & & & & & & \\ \bar{G}_{\pi \Delta}^{\Delta_1}(k_2) & \bar{G}_{\pi \Delta}^{\Delta_2}(k_2) & & & & & & & \\ \vdots & \vdots & & & & & & & \\ \bar{G}_{\pi N}^{\Delta_1}(k_{n_{\max}}) & \bar{G}_{\pi N}^{\Delta_2}(k_{n_{\max}}) & & & & & & & \\ \bar{G}_{\pi \Delta}^{\Delta_1}(k_{n_{\max}}) & \bar{G}_{\pi \Delta}^{\Delta_2}(k_{n_{\max}}) & & & & & & & \end{pmatrix} \bar{V}^S,$$

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- \bar{V}^S describes the $(n_c \times n_{\max})^2$, $2 \rightarrow 2$ particle interaction terms, filling out the rest of the matrix.

Relation to infinite-volume contributions

- The finite volume Hamiltonian interaction terms are related to the infinite-volume contributions via

$$\int k^2 dk = \frac{1}{4\pi} \int d^3k \rightarrow \frac{1}{4\pi} \sum_{n \in \mathbb{Z}^3} \left(\frac{2\pi}{L} \right)^3 = \frac{1}{4\pi} \sum_{n \in \mathbb{Z}} C_3(n) \left(\frac{2\pi}{L} \right)^3.$$

such that

$$\bar{G}_{\alpha, B_0}(k_n) = \sqrt{\frac{C_3(n)}{4\pi}} \left(\frac{2\pi}{L} \right)^{\frac{3}{2}} G_{\alpha, B_0}(k_n),$$

$$\bar{V}_{\alpha\beta}^S(k_n, k_m) = \sqrt{\frac{C_3(n)}{4\pi}} \sqrt{\frac{C_3(m)}{4\pi}} \left(\frac{2\pi}{L} \right)^3 V_{\alpha\beta}^S(k_n, k_m).$$

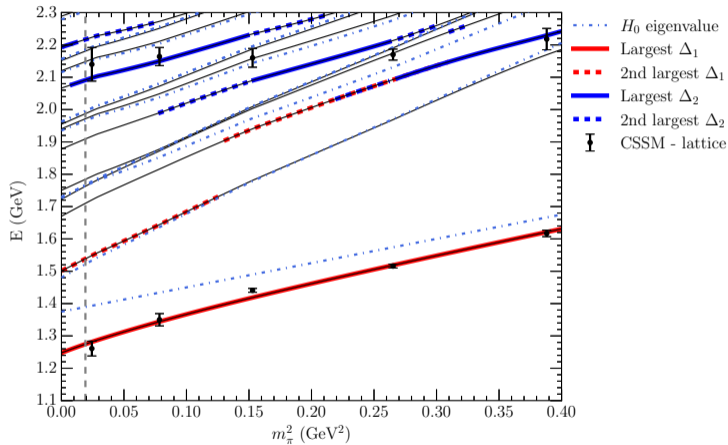
Finite Volume Eigenmode Solution

- Standard Lapack routines provide eigenmode solutions of

$$\langle i | H | j \rangle \langle j | E_\alpha \rangle = E_\alpha \langle i | E_\alpha \rangle,$$

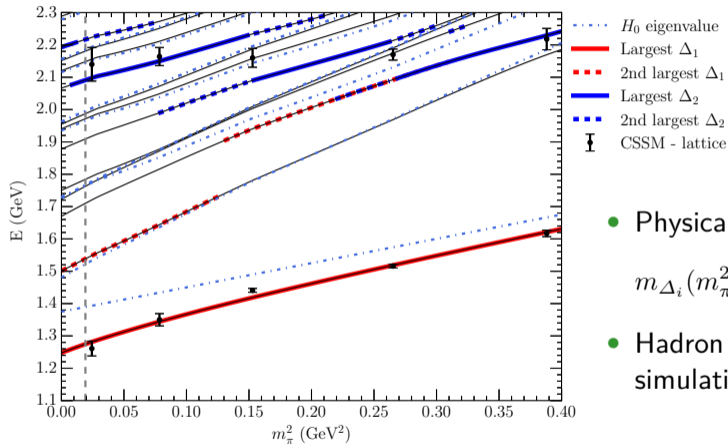
- where $|i\rangle$ and $|j\rangle$ are the non-interacting basis states,
- E_α is the energy eigenvalue, and
- $\langle i | E_\alpha \rangle$ is the eigenvector of the
- Hamiltonian matrix $\langle i | H | j \rangle$.

Mass dependence of HEFT energy eigenstates



L. Hockley, C. Abell, DBL, and A. Thomas, [arXiv:2406.00981 [hep-ph]].

Mass dependence of HEFT energy eigenstates



- Physical results extended via

$$m_{\Delta_i}(m_\pi^2) = m_{\Delta_i} + \alpha_{\Delta_i} (m_\pi^2 - m_{\pi|\text{phys}}^2) .$$

- Hadron masses acquire the lattice simulation values.

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- Eigenvector $\langle i | E_\alpha \rangle$ describes the composition of the eigenstate $| E_\alpha \rangle$ in terms of the basis states $| i \rangle$ with

$$| i \rangle = | B_0 \rangle, \quad | \pi N, k_1 \rangle, \quad | \pi N, k_2 \rangle, \quad \cdots | \pi \Delta, k_1 \rangle, \quad | \pi \Delta, k_2 \rangle, \quad \cdots .$$

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- The overlap of the bare basis state $| B_0 \rangle$ with eigenstate $| E_\alpha \rangle$,

$$\langle B_0 | E_\alpha \rangle,$$

is of particular interest,

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$$\frac{3}{16} \frac{1}{(f_\pi L)^2 E_\pi L} \left(\frac{E_N - M_N}{E_N} \right) \sim 10^{-3},$$

relative to the ground state.

O. Bar, Phys. Rev. D **92** (2015) no.7, 074504 [arXiv:1503.03649 [hep-lat]].

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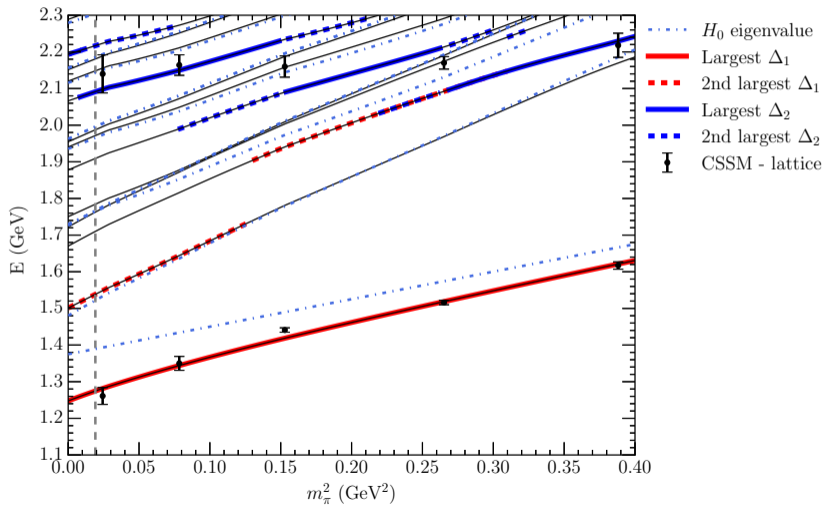
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- Conclude the smeared interpolating fields of lattice QCD are associated with the bare basis states of HEFT

$$\bar{\chi}(0) |\Omega\rangle \simeq |B_0\rangle ,$$

- Eigenstates with large $\langle B_0 | E_\alpha \rangle$ will be excited and observed in lattice QCD.

Δ Finite Volume Spectrum at $L = 3$ fm



25 Fit Parameters

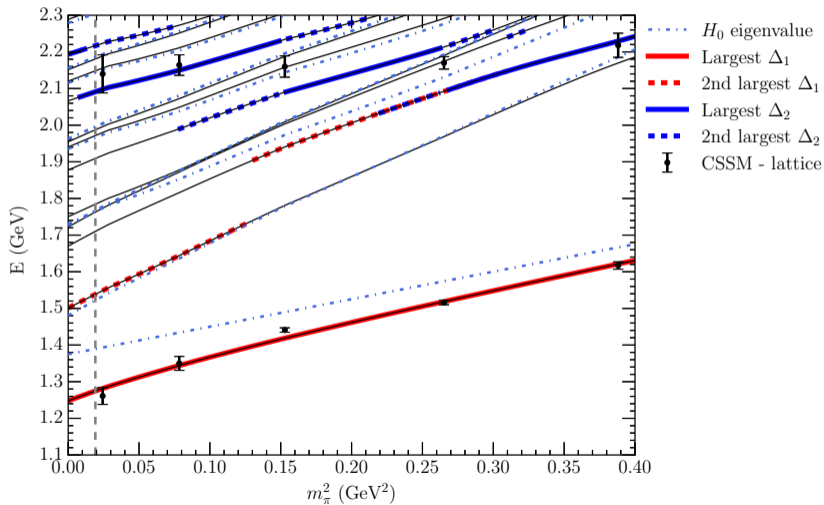
Parameter	Value	Parameter	Value
m_{Δ_1}/GeV	1.3894	m_{Δ_2}/GeV	2.3177
$g_{\pi N}^{\Delta_1}$	0.4974	$g_{\pi N}^{\Delta_2}$	0.2914
$g_{\pi \Delta_p}^{\Delta_1}$	0.5300	$g_{\pi \Delta_p}^{\Delta_2}$	0.2289
$g_{\pi \Delta_f}^{\Delta_1}$	0.0004	$g_{\pi \Delta_f}^{\Delta_2}$	0.0075
$\Lambda_{\pi N}^{\Delta_1}/\text{GeV}$	0.8246	$\Lambda_{\pi N}^{\Delta_2}/\text{GeV}$	1.3384
$\Lambda_{\pi \Delta_p}^{\Delta_1}/\text{GeV}$	0.8376	$\Lambda_{\pi \Delta_p}^{\Delta_2}/\text{GeV}$	0.5428
$\Lambda_{\pi \Delta_f}^{\Delta_1}/\text{GeV}$	0.5776	$\Lambda_{\pi \Delta_f}^{\Delta_2}/\text{GeV}$	1.0549
$v_{\pi N, \pi N}$	0.0454	$v_{\pi N, \pi \Delta_f}$	-0.0030
$v_{\pi N, \pi \Delta_p}$	-1.5545	$v_{\pi \Delta_p, \pi \Delta_f}$	-0.0053
$v_{\pi \Delta_p, \pi \Delta_p}$	-0.9694	$v_{\pi \Delta_f, \pi \Delta_f}$	-0.0001
$\Lambda_{\pi N}^v/\text{GeV}$	0.6032	$\Lambda_{\pi \Delta_f}^v/\text{GeV}$	1.3289
$\Lambda_{\pi \Delta_p}^v/\text{GeV}$	0.8058		

Bare Slope (GeV^{-1})	Value
α_{Δ_1}	0.751
α_{Δ_2}	0.203

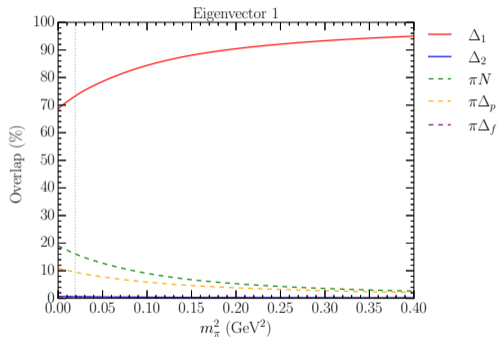
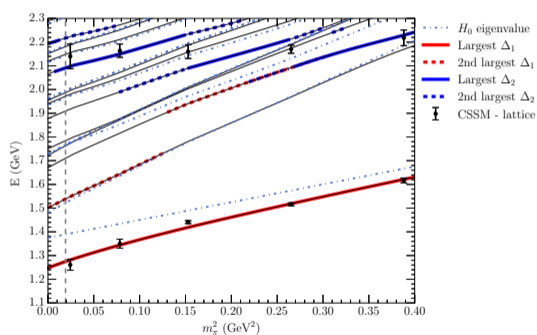
Section 3

$\Delta_{\frac{3}{2}}^{3+}$ State Composition

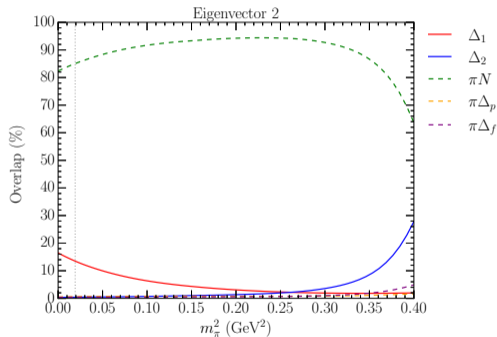
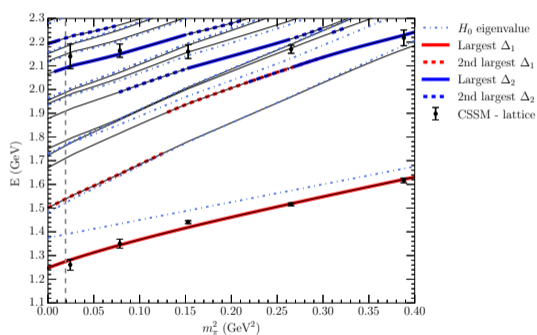
Δ Finite Volume Spectrum at $L = 3$ fm



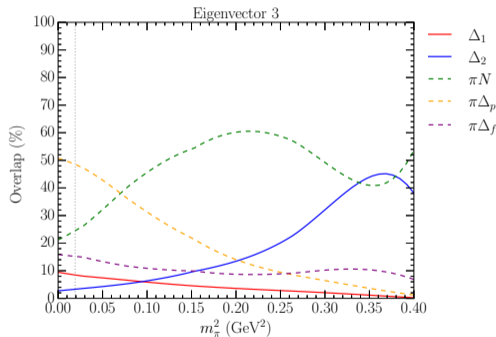
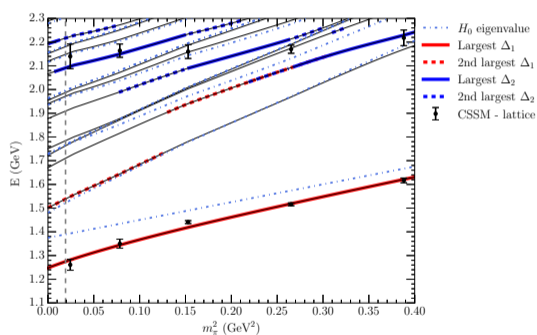
Eigenstate Composition: State 1 – Δ_1 dominated



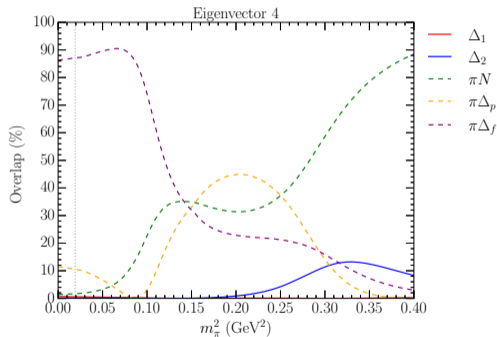
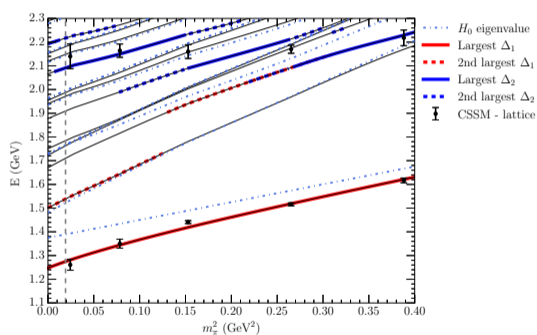
Eigenstate Composition: State 2 – πN dominated



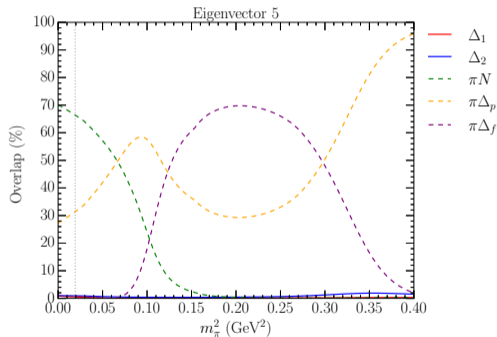
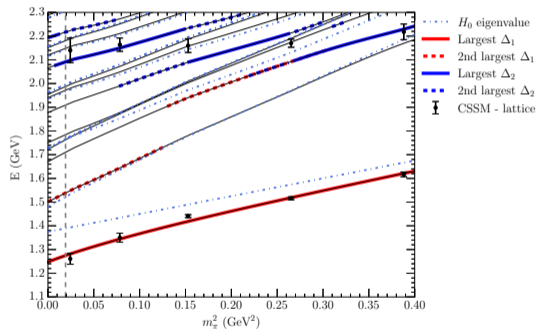
Eigenstate Composition: State 3 – Mix of 3 two-particle channels



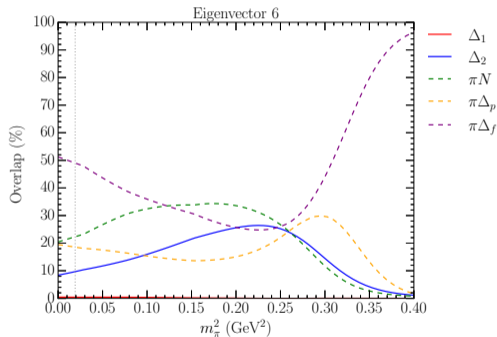
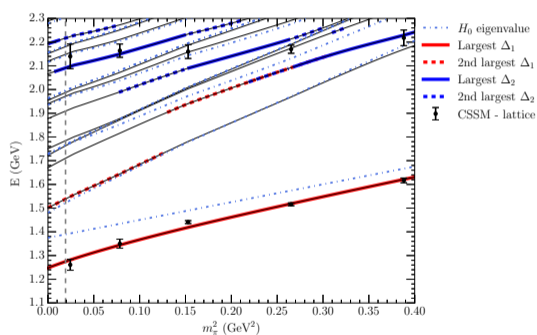
Eigenstate Composition: State 4 – $\pi\Delta_f$ dominated



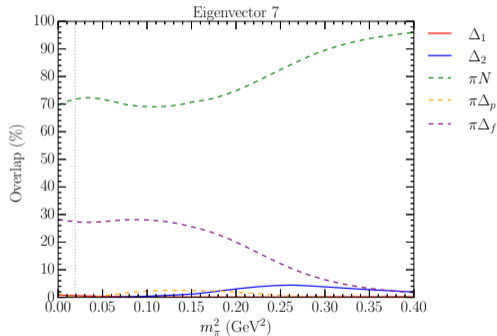
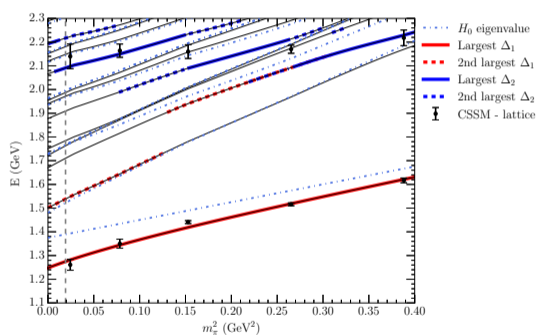
Eigenstate Composition: State 5 – Mix of πN and $\pi\Delta_p$



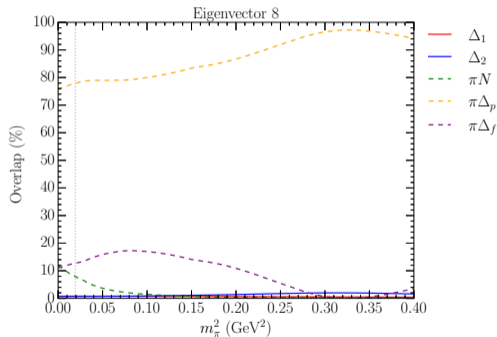
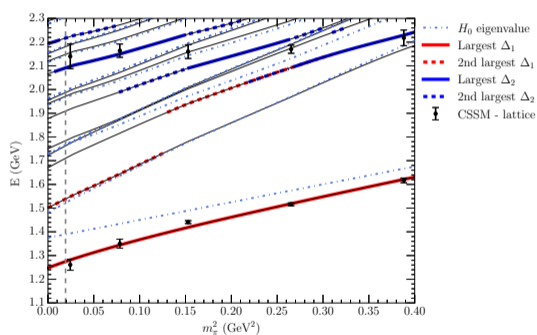
Eigenstate Composition: State 6 – Mix of 3 channels and Δ_2



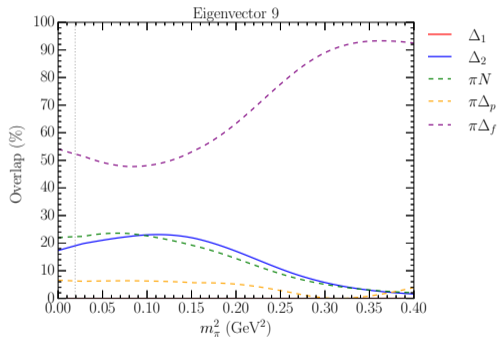
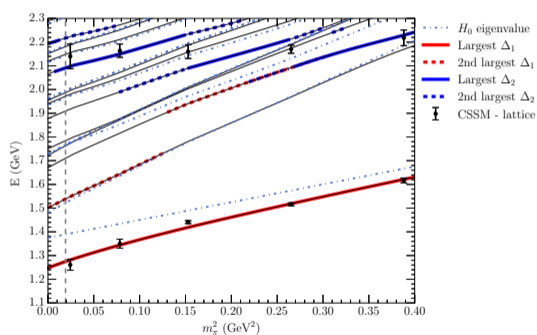
Eigenstate Composition: State 7 – πN dominated



Eigenstate Composition: State 8 – $\pi\Delta_p$ dominated



Eigenstate Composition: State 9 – Largest Δ_2 component



Comparison with other Lattice Collaborations

- CLS Consortium:

C. Morningstar, J. Bulava, A. D. Hanlon, B. Hörz, D. Mohler, A. Nicholson, S. Skinner and A. Walker-Loud, PoS **LATTICE2021** (2022), 170 [arXiv:2111.07755 [hep-lat]].

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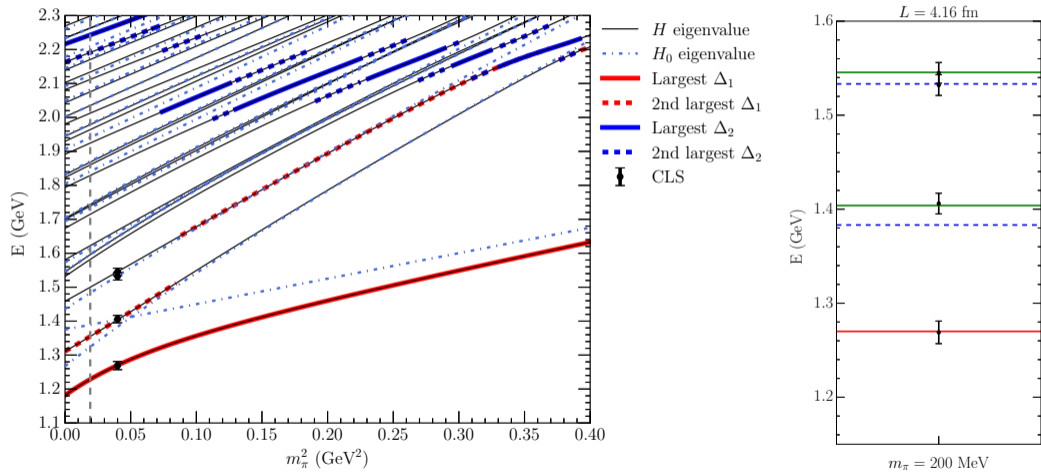
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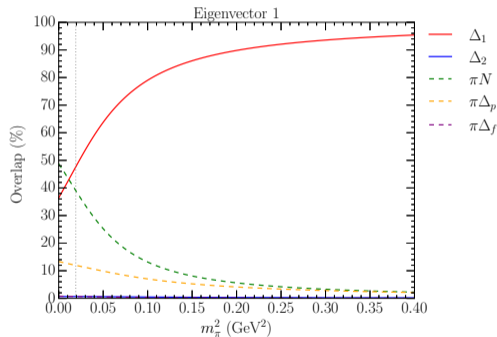
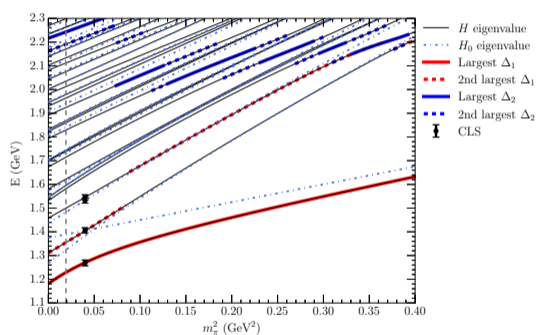
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- Khan, *et al.*:
T. Khan, D. Richards and F. Winter, Phys. Rev. D **104** (2021) no.3, 034503 [arXiv:2010.03052 [hep-lat]].

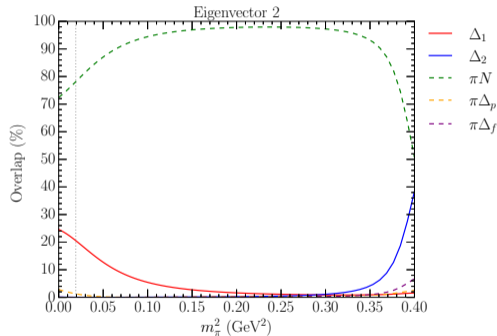
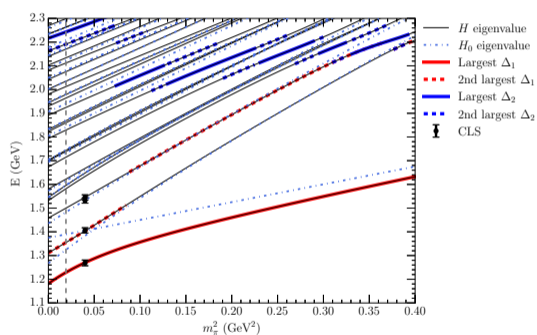
CLS Consortium Spectrum at $L = 4.16$ fm from 2022



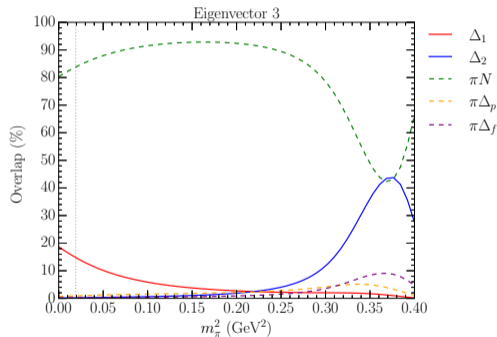
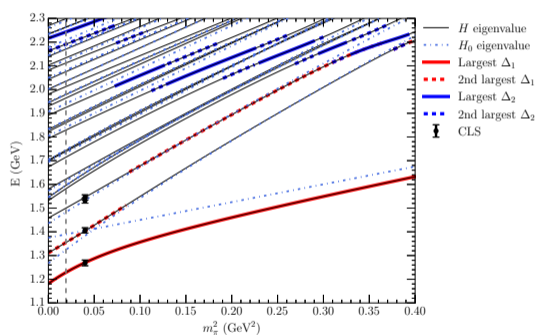
Eigenstate Composition: State 1 – Δ_1 dominated



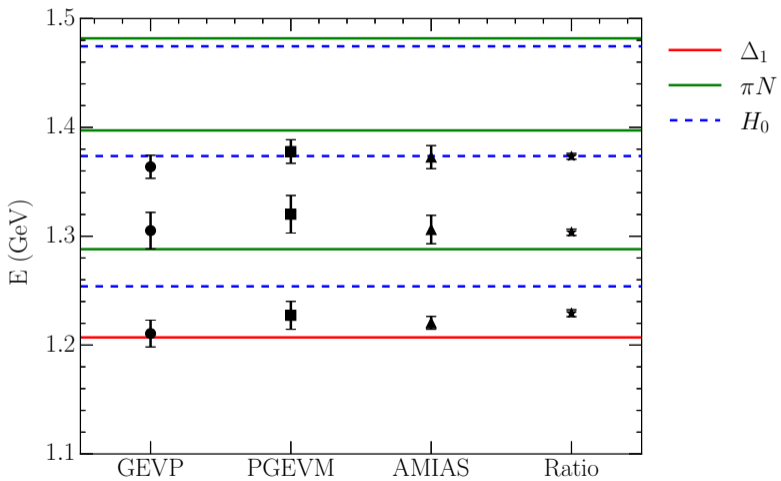
Eigenstate Composition: State 2 – πN dominated



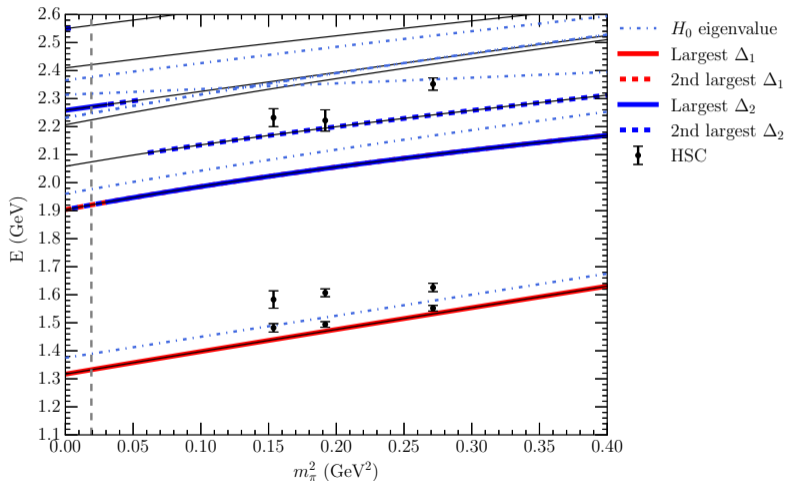
Eigenstate Composition: State 3 – Also πN dominated



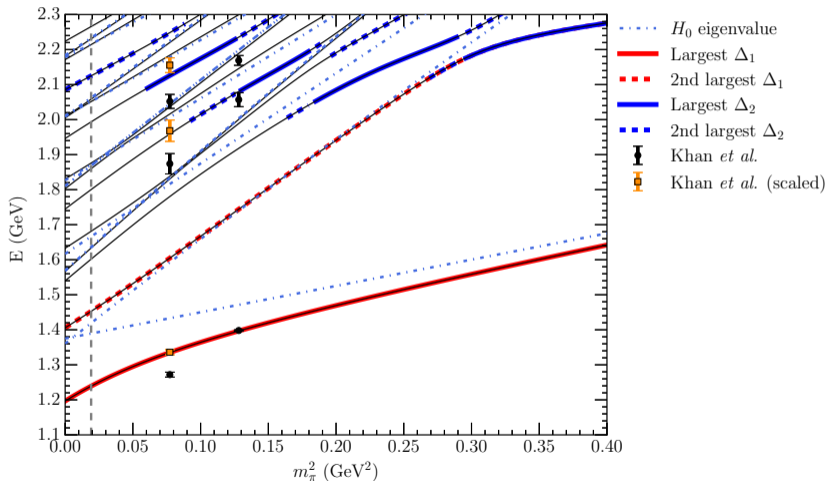
Cyprus Collaboration: $m_\pi = 0.139$ GeV and $L = 5.1$ fm from 2024



HSC Spectrum at $L = 1.96$ fm from 2010



Khan *et al.* Spectrum at $L = 3.01$ fm from 2021



Section 4

$\Lambda_{\frac{1}{2}}^{1-}$ Analysis

New analysis of low-lying odd-parity Λ resonances

J. J. Liu, Z. W. Liu, K. Chen, D. Guo, DBL, X. Liu and A. W. Thomas,
Phys. Rev. D **109** (2024) 054025 [arXiv:2312.13072 [hep-ph]]

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- Motivated by recent advances in both experiment and theory.

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- Motivated by recent advances in both experiment and theory.
- Considerable progress in new K^-p scattering data associated with $\Lambda_{\frac{1}{2}}^-$ baryons.
 - 2022: DAΦNE: Near threshold cross section measurements.
 - 2022: J-PARC: $\pi\Sigma$ invariant mass spectra in K^-p induced reactions.
 - 2021: ALICE: K^-p scattering length.

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- BaSc Lattice QCD collaboration performed coupled-channel simulations with both single baryon and meson-baryon interpolating operators at $m_\pi = 204$ MeV.
- Extend the analysis of the cross section data for K^-p scattering to K^- laboratory momenta of 800 MeV/c to address the $\Lambda(1670)$.

Analysis of low-lying odd-parity Λ resonances

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- Consider $\pi\Sigma$, $\bar{K}N$, $\eta\Lambda$, $K\Sigma$ channels, and one bare basis state, B_0 .
 - The mass of $\Lambda(1670)$ is only 130 MeV below the $K\Sigma$ threshold.

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- 16 two-to-two particle couplings are considered in isospin 0 and 1.

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 - The mass of $\Lambda(1670)$ is only 130 MeV below the $K\Xi$ threshold.
- 16 two-to-two particle couplings are considered in isospin 0 and 1.
- Five parameters describe the bare to two-particle interactions

$$g_{B_0, \pi\Sigma}^0 \quad g_{B_0, \bar{K}N}^0 \quad g_{B_0, \eta\Lambda}^0 \quad g_{B_0, K\Xi}^0 \quad m_{B_0}$$

Analysis of low-lying odd-parity Λ resonances

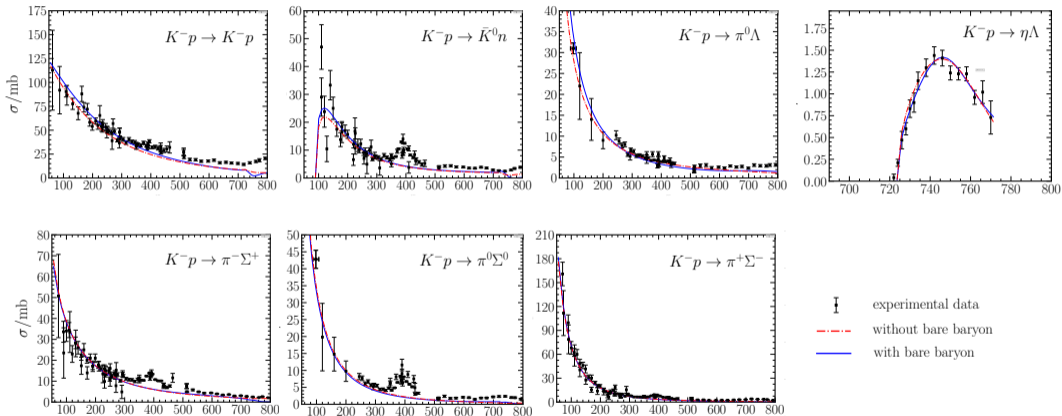
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- Consider $\pi\Sigma$, $\bar{K}N$, $\eta\Lambda$, $K\Sigma$ channels, and one bare basis state, B_0 .
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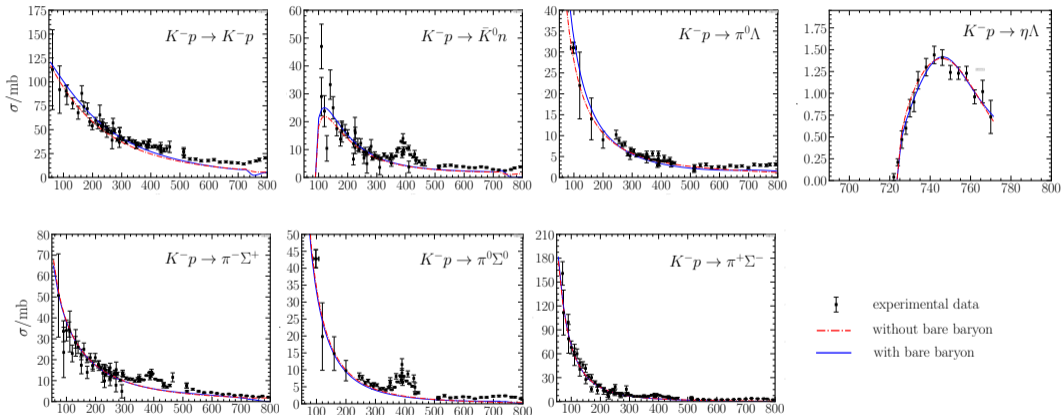
- No new parameters in going to the finite volume of the lattice.
 - The bare mass slope is taken to be $\frac{2}{3}$ of our previous $N\frac{1}{2}^-$ (1535) analysis slope.

Fits to experimental cross-section data σ/mb vs $|\vec{p}_{\text{lab}}|/\text{MeV}$



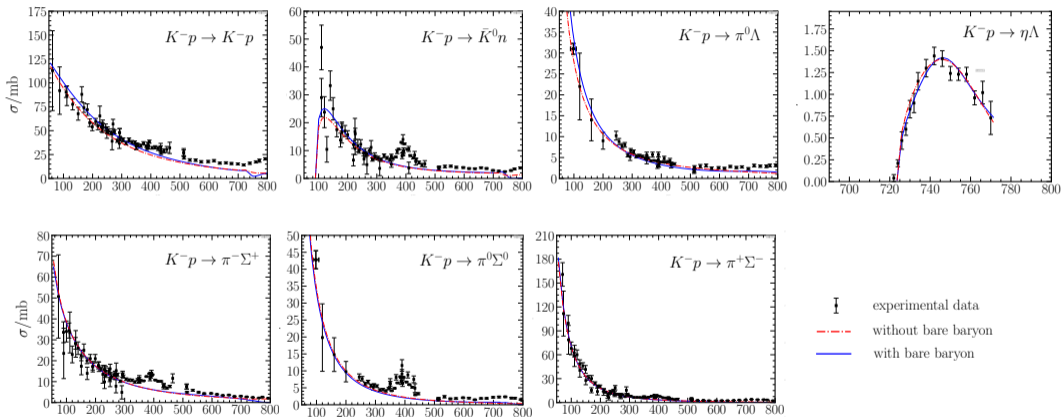
- Note the presence of two fits, one with and one without a single-particle basis state.

Fits to experimental cross-section data σ/mb vs $|\vec{p}_{\text{lab}}|/\text{MeV}$



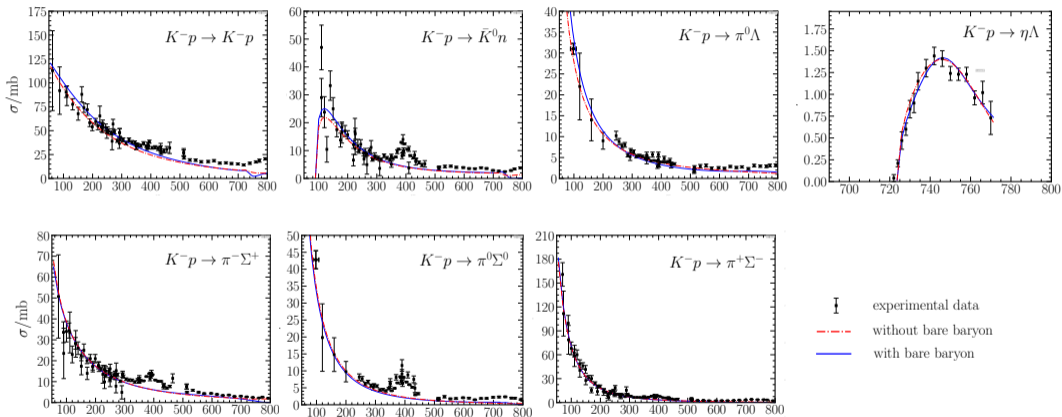
- The peak of $\Lambda(1670)$ can be clearly seen in the $K^-p \rightarrow \eta\Lambda$ channel.

Fits to experimental cross-section data σ/mb vs $|\vec{p}_{\text{lab}}|/\text{MeV}$



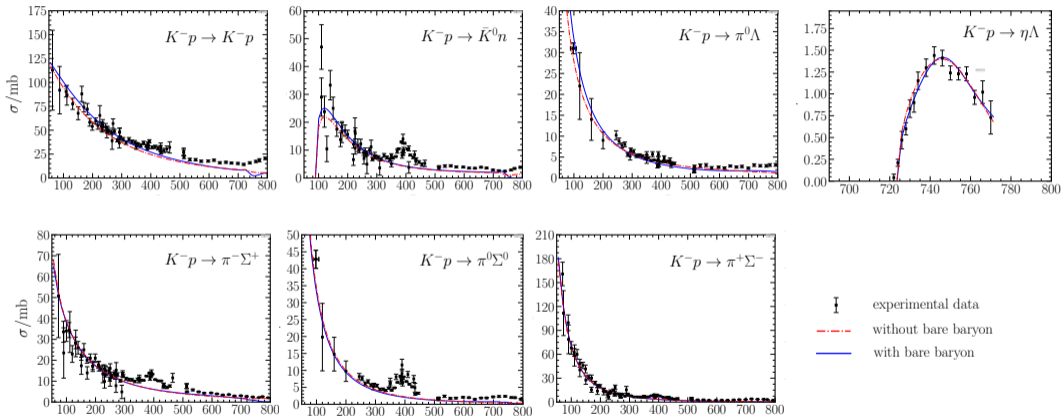
- The peaks around 400 MeV are associated with the D -wave $\Lambda(1520)$ state.

Fits to experimental cross-section data σ/mb vs $|\vec{p}_{\text{lab}}|/\text{MeV}$



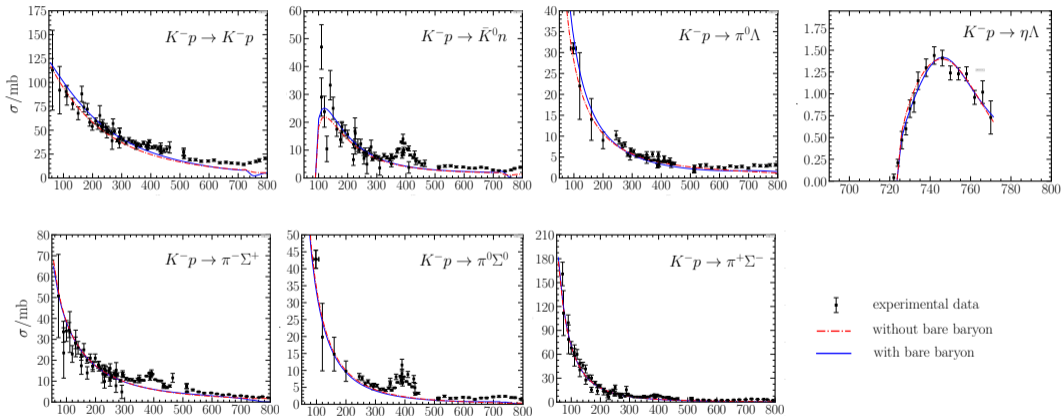
- $\bar{K}^* N$ and $\pi \Sigma^*$ channels (not included) will contribute at large $|\vec{p}_{\text{lab}}| > 500$ MeV.

Fits to experimental cross-section data σ/mb vs $|\vec{p}_{\text{lab}}|/\text{MeV}$



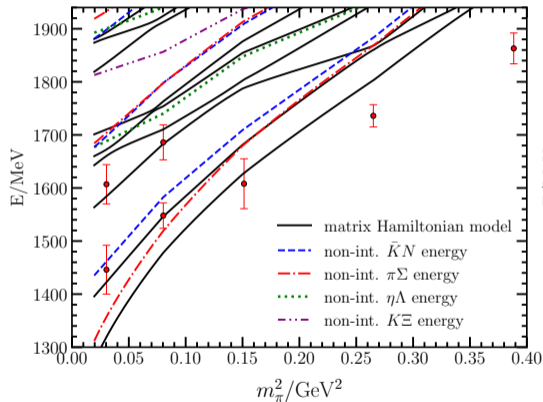
- The three poles generated by these fits are very similar.

Fits to experimental cross-section data σ/mb vs $|\vec{p}_{\text{lab}}|/\text{MeV}$

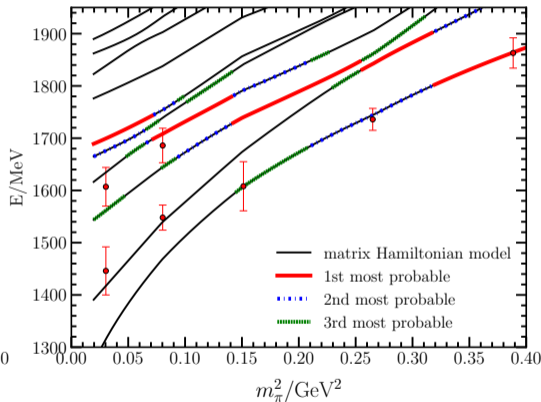


- Present experimental data are not able to distinguish between these fits.

Finite Volume Λ Spectrum for $L \simeq 3$ fm



Without a bare Λ basis state.



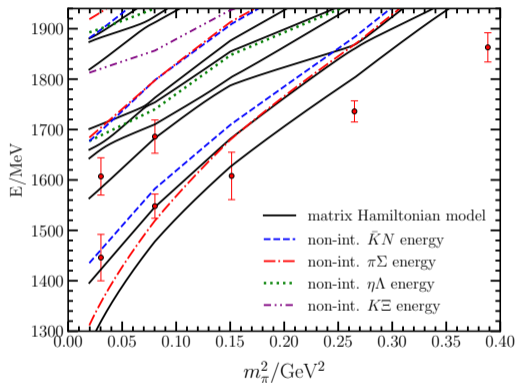
With a bare Λ basis state.

Coupling	No $ B_0\rangle$	With $ B_0\rangle$
Λ (GeV)	1.000	1.000
$g_{\bar{K}N, \bar{K}N}^0$	-2.108	-2.180
$g_{\bar{K}N, \pi\Sigma}^0$	0.837	0.620
$g_{\bar{K}N, \eta\Lambda}^0$	-0.461	-0.472
$g_{\pi\Sigma, \pi\Sigma}^0$	-1.728	-1.200
$g_{\pi\Sigma, K\Xi}^0$	-0.001	-1.800
$g_{\eta\Lambda, K\Xi}^0$	0.835	1.993
$g_{K\Xi, K\Xi}^0$	-3.393	-1.000
$g_{\bar{K}N, \bar{K}N}^1$	-0.028	-0.001
$g_{\bar{K}N, \pi\Sigma}^1$	0.829	0.985
$g_{\bar{K}N, \pi\Lambda}^1$	0.001	0.990
$g_{\bar{K}N, \eta\Sigma}^1$	1.557	1.500
$g_{\pi\Sigma, \pi\Sigma}^1$	-1.351	-0.001
$g_{\pi\Sigma, K\Xi}^1$	-1.017	-1.341
$g_{\pi\Lambda, K\Xi}^1$	2.904	0.011
$g_{\eta\Sigma, K\Xi}^1$	4.690	0.001
$g_{K\Xi, K\Xi}^1$	-0.447	-3.700

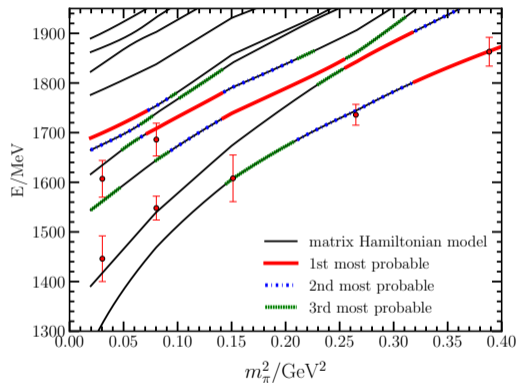
21 Fit Parameters

Coupling	No $ B_0\rangle$	With $ B_0\rangle$
$g_{B_0, \bar{K}N}^0$	—	0.091
$g_{B_0, \pi\Sigma}^0$	—	0.049
$g_{B_0, \eta\Lambda}^0$	—	-0.164
$g_{B_0, K\Xi}^0$	—	-0.226
m_B^0 (MeV)	—	1750
Pole 1 (MeV)	1336 - 87 i	1324 - 67 i
Pole 2 (MeV)	1430 - 26 i	1428 - 24 i
Pole 3 (MeV)	1676 - 17 i	1674 - 11 i

Finite Volume Λ Spectrum for $L \simeq 3$ fm



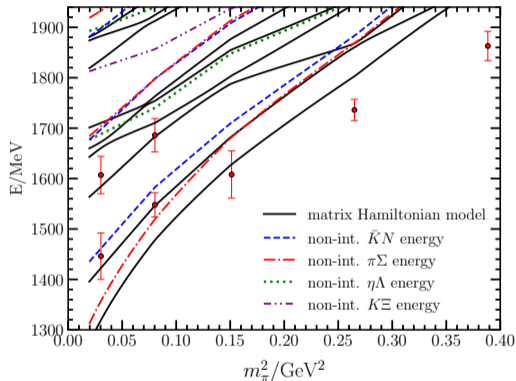
Without a bare Λ basis state.



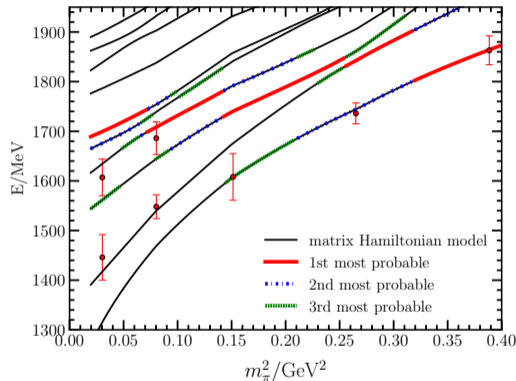
With a bare Λ basis state.

- A single-particle basis state is required to describe lattice results at large m_π^2 .

Finite Volume Λ Spectrum for $L \simeq 3$ fm



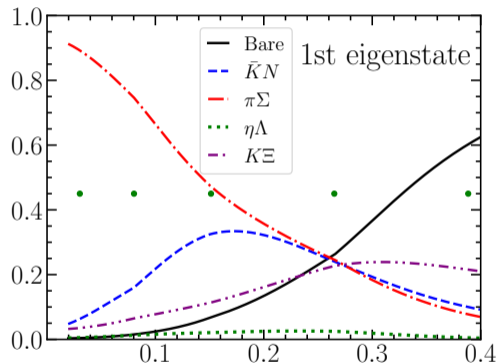
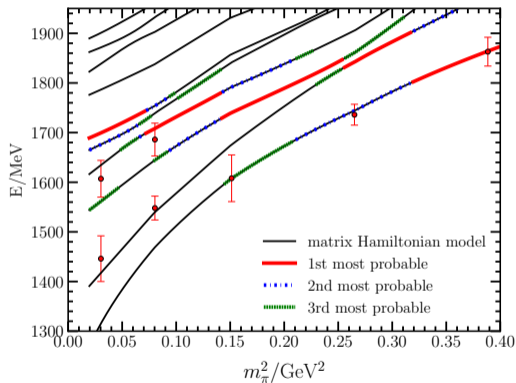
Without a bare Λ basis state.



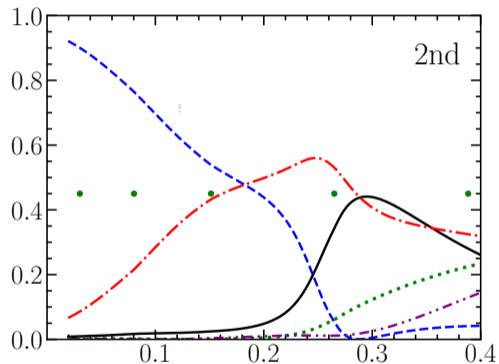
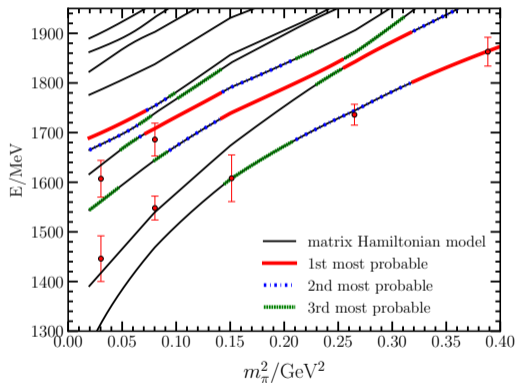
With a bare Λ basis state.

- The spectra begin to differ at the 3rd and 4th eigenstate energy.

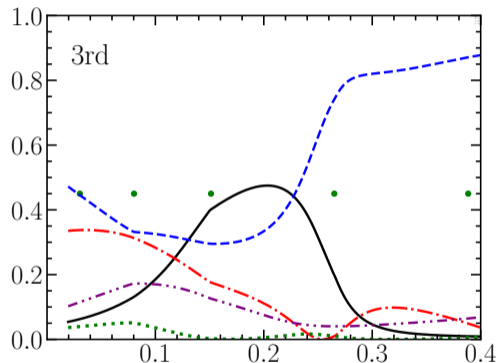
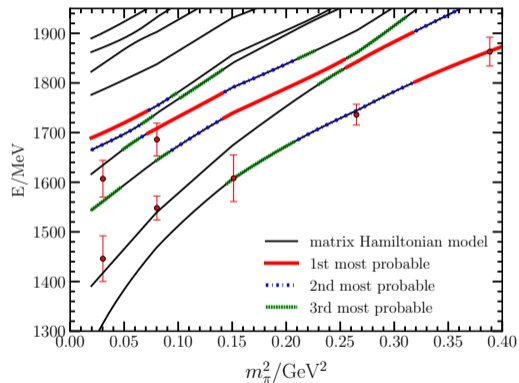
Eigenstate Composition: $|\pi\Sigma\rangle$ dominated \rightarrow $|B_0\rangle$ dominated



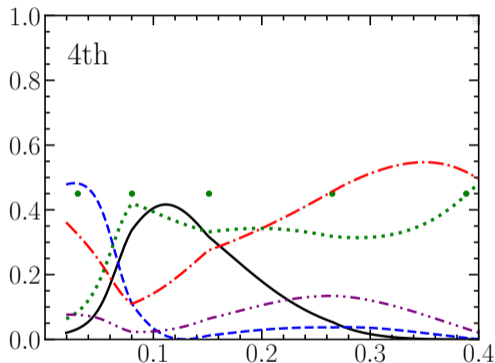
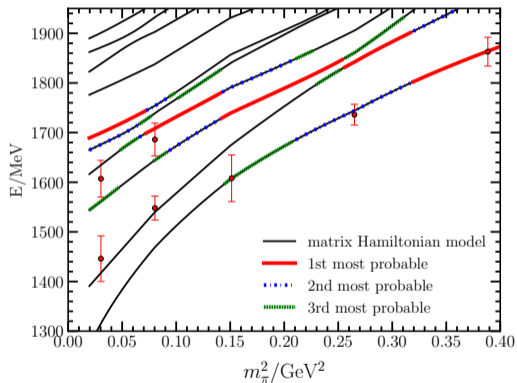
Eigenstate Composition: State 2 – $|\bar{K}N\rangle \rightarrow |\pi\Sigma\rangle \rightarrow |B_0\rangle$



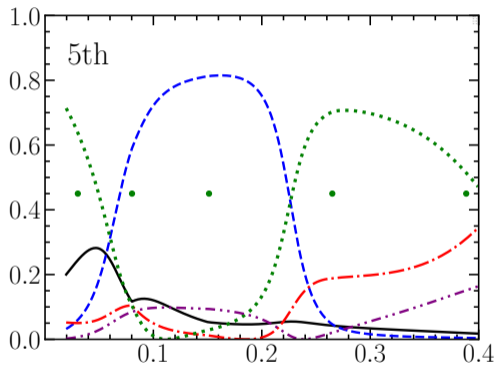
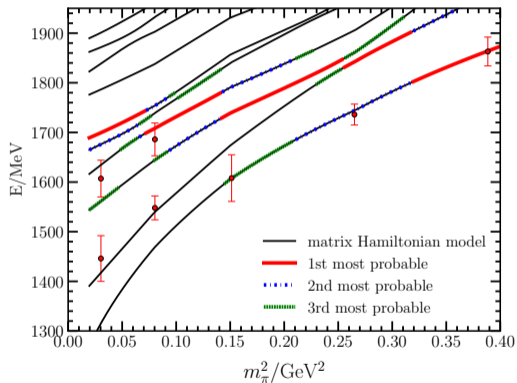
Eigenstate Composition: State 3 – First significant $|B_0\rangle$



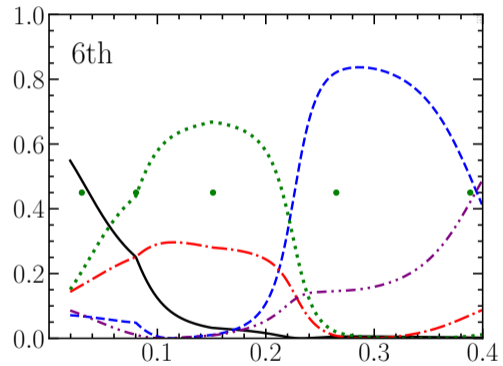
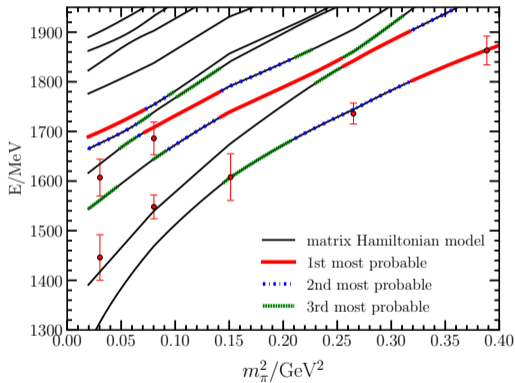
Eigenstate Composition: State 4 – $|\bar{K}N\rangle$ and $|\pi\Sigma\rangle$



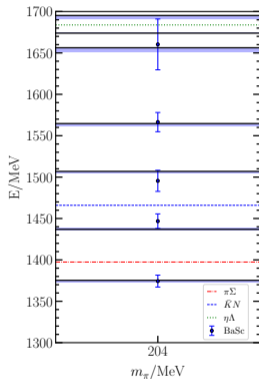
Eigenstate Composition: State 5 – $|\eta\Lambda\rangle$ and $|B_0\rangle$



Eigenstate Composition: State 6 – $|B_0\rangle$ dominated at ~ 1670 MeV



Baryon Scattering (BaSc) Collaboration Spectrum Comparison

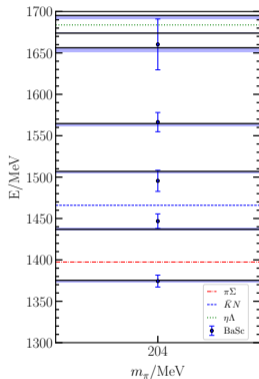


- $L = 4.05$ fm lattice at $m_\pi = 204$ MeV.

J. Bulava *et al.* [Baryon Scattering (BaSc)], Phys. Rev. Lett. **132** (2024) 051901 [arXiv:2307.10413 [hep-lat]]

J. Bulava *et al.* [Baryon Scattering (BaSc)], Phys. Rev. D **109** (2024) 014511 [arXiv:2307.13471 [hep-lat]]

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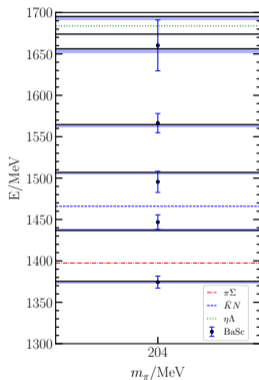


- $L = 4.05$ fm lattice at $m_\pi = 204$ MeV.
- Lattice energies from the $G_{1u}(0)$ irreducible representation.

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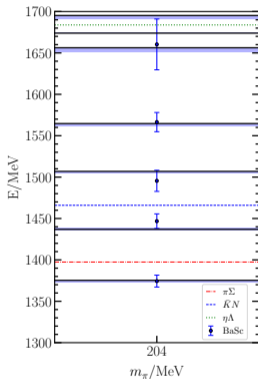


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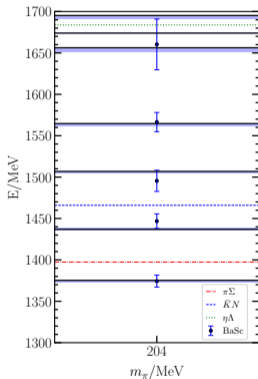


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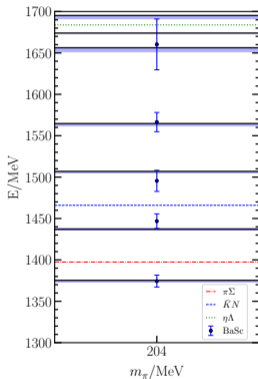


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- Dashed lines indicate the non-interacting threshold energies for $\pi\Sigma$ and $\bar{K}N$.

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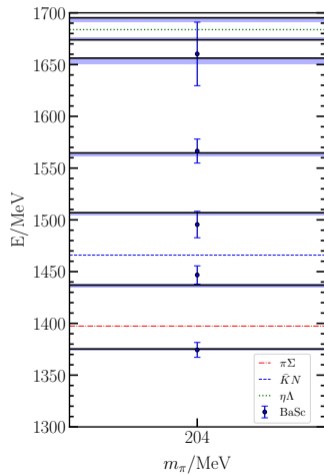


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- Lattice energies from the $G_{1u}(0)$ irreducible representation.
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- Blue highlights illustrate the uncertainty allowed by expt.
- Dashed lines indicate the non-interacting threshold energies for $\pi\Sigma$ and $\bar{K}N$.
- All states are observed, and agree within 1σ .

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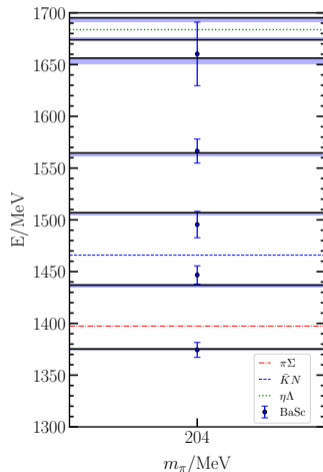
Baryon Scattering (BaSc) Collaboration Spectrum Comparison



Basis includes $|B_0\rangle$

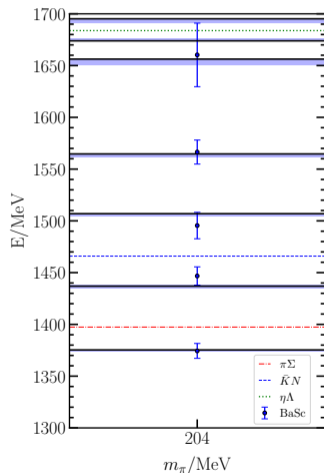
Baryon Scattering (BaSc) Collaboration Spectrum Comparison

- Recall the 3rd and 4th HEFT energies are sensitive to $|B_0\rangle$.

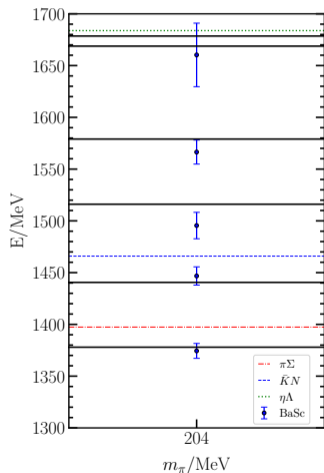


Basis includes $|B_0\rangle$

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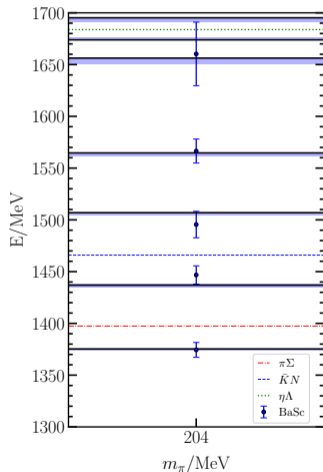
Basis includes $|B_0\rangle$



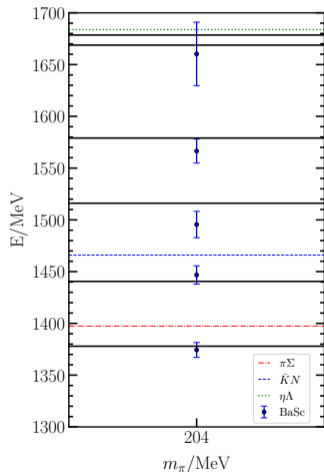
No $|B_0\rangle$

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- Without a single-particle basis state (right), 1σ agreement is lost.

Baryon Scattering (BaSc) Collaboration Spectrum Comparison



Basis includes $|B_0\rangle$



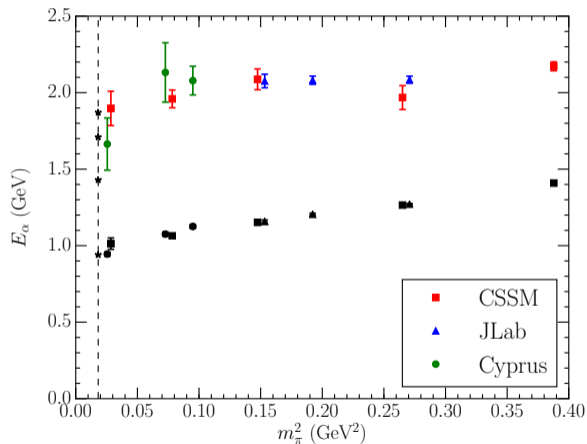
No $|B_0\rangle$

- Recall the 3rd and 4th HEFT energies are sensitive to $|B_0\rangle$.
- Without a single-particle basis state (right), 1 σ agreement is lost.
- Future precision results will decide the role of $|B_0\rangle$ unambiguously.

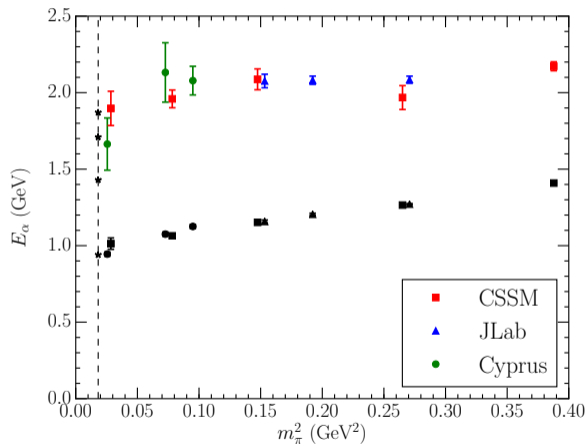
Section 5

$N_{\frac{1}{2}}^{1+}$ Analysis

The $2s$ excitation of the nucleon sits at 1.9 GeV

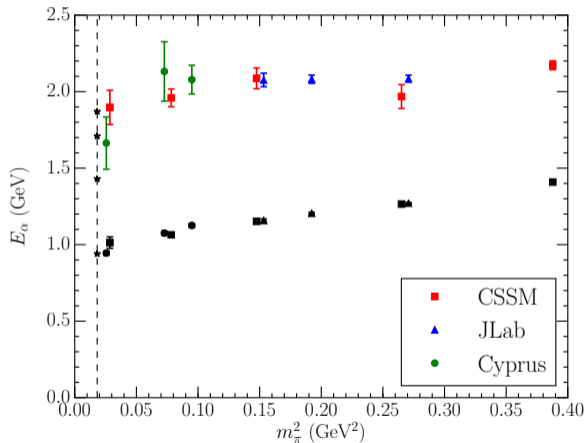


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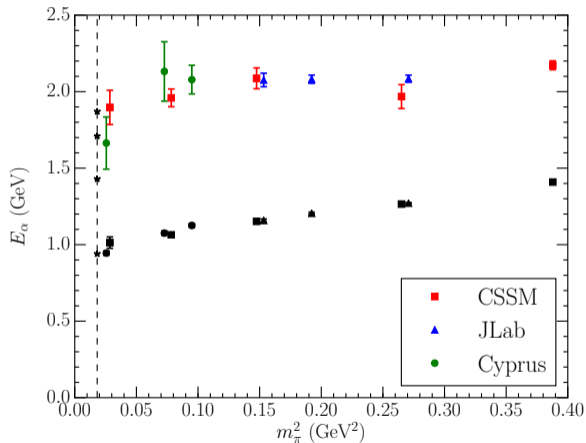
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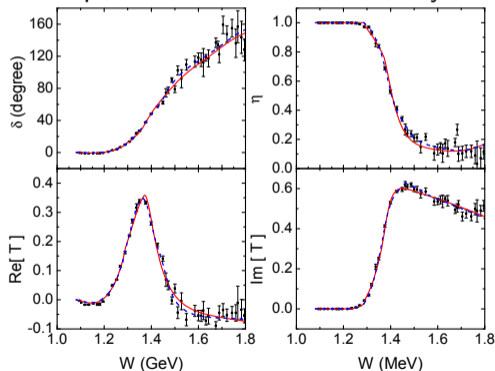


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- What about the Roper resonance?

Positive-parity Nucleon Spectrum: Bare Basis State with $m_0 = 1.7$ GeV

J. j. Wu, DBL, Z. w. Liu and A. W. Thomas, Phys. Rev. D **97** (2018) no.9, 094509 [arXiv:1703.10715 [nucl-th]].

- Consider πN , $\pi\Delta$ and σN channels, dressing a bare basis state.
- Fit to phase shift and inelasticity. (dashed blue curve)

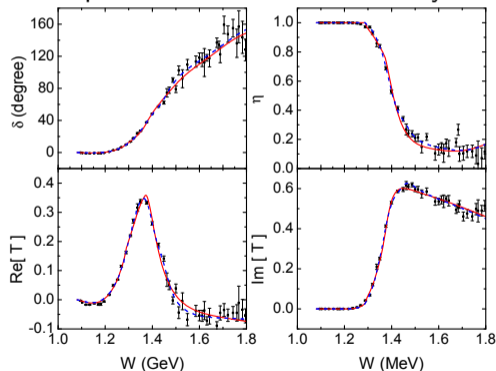


- Fit yields two poles in the region of the PDG estimate $1365 \pm 15 - i 95 \pm 15$ MeV.

Positive-parity Nucleon Spectrum: Bare Basis State with $m_0 = 2.0$ GeV

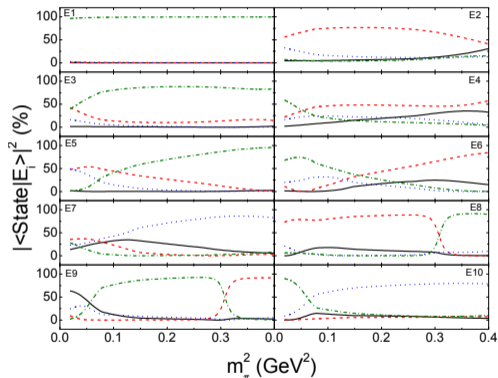
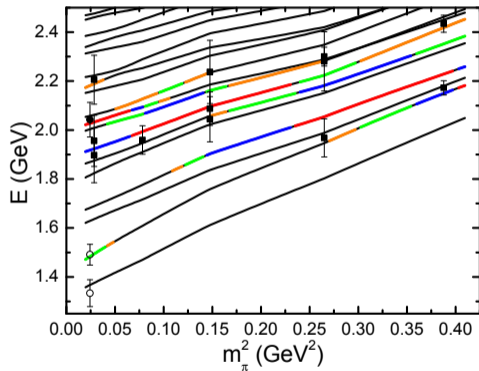
J. j. Wu, *et al.* [CSSM], arXiv:1703.10715 [nucl-th]

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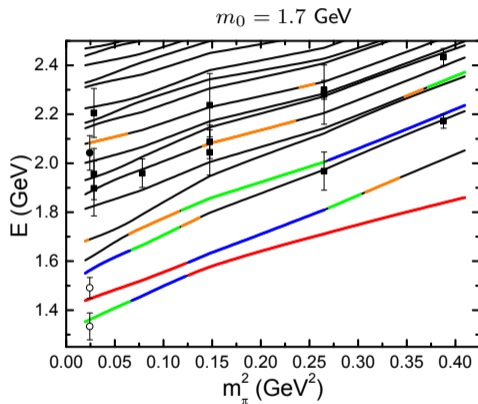
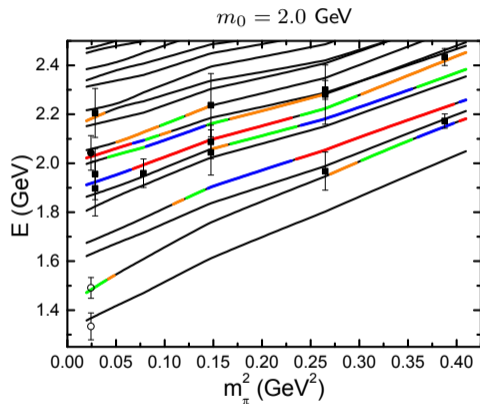
2.0 GeV Bare Basis State: Hamiltonian Model N' Spectrum



πN , $\pi \Delta$ and σN channels, dressing a bare state.

C. B. Lang, L. Leskovec, M. Padmanath and S. Prelovsek, Phys. Rev. D **95**, no. 1, 014510 (2017) [arXiv:1610.01422 [hep-lat]].

Two different descriptions of the Roper resonance



- (left) Resonance generated by strong rescattering in meson-baryon channels.
 (right) Meson dressings of a quark-model like core.

Section 6

Missing Baryon Resonances

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- Now know the CQM should have been tuned to a $2s$ resonance at ~ 1900 MeV.
 - Further excitations are at energies exceeding 2 GeV.
- Provides a new resolution of the missing baryon resonance problem.

Nucleon and Delta Resonance Predictions from the Quark Model

S. Capstick and W. Roberts, Phys. Rev. D **47** (1993), 1994-2010.

Model state	$ A_{N\pi} $ ($\text{MeV}^{\frac{1}{2}}$)	$N\pi$ state assignment	Rating	$\sqrt{\Gamma_{\text{tot}}(\text{BR})_{N\pi}}$ ($\text{MeV}^{\frac{1}{2}}$)
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Section 7

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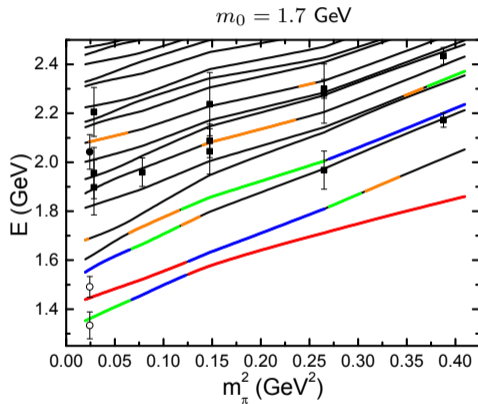
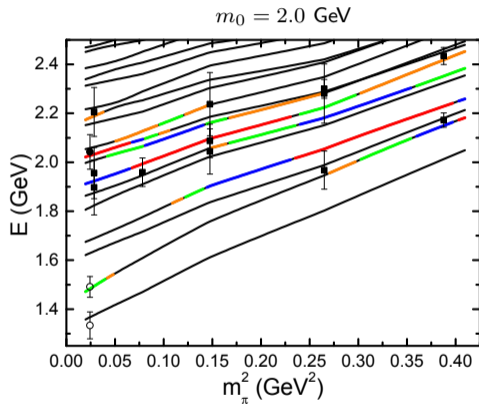
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- These results provide a novel solution to the missing baryon resonances problem.

Section 8

Supplementary Information

Two different descriptions of the Roper resonance



- (left) Resonance generated by strong rescattering in meson-baryon channels.
- (right) Meson dressings of a quark-model like core.

Score Card

Criteria

$$m_0 = 1.7 \text{ GeV} \quad m_0 = 2.0 \text{ GeV}$$

Describes experimental data well.

Score Card

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Conclusion

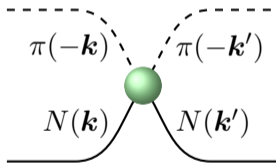
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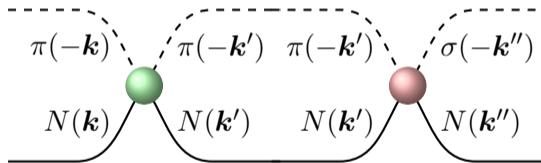
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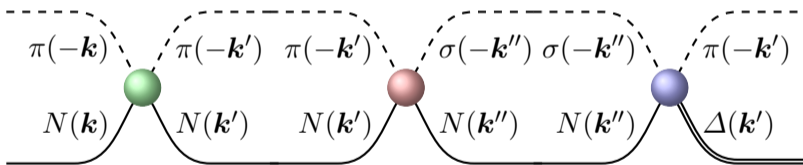
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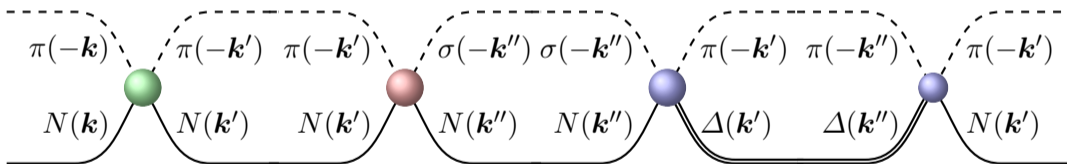
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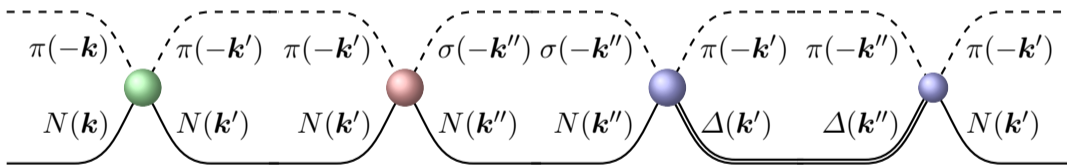
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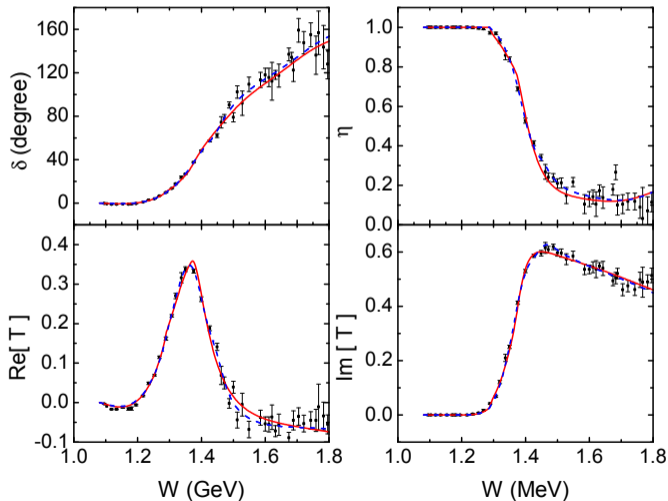


- The $2s$ excitation of the nucleon is dressed to lie at ~ 1.9 GeV

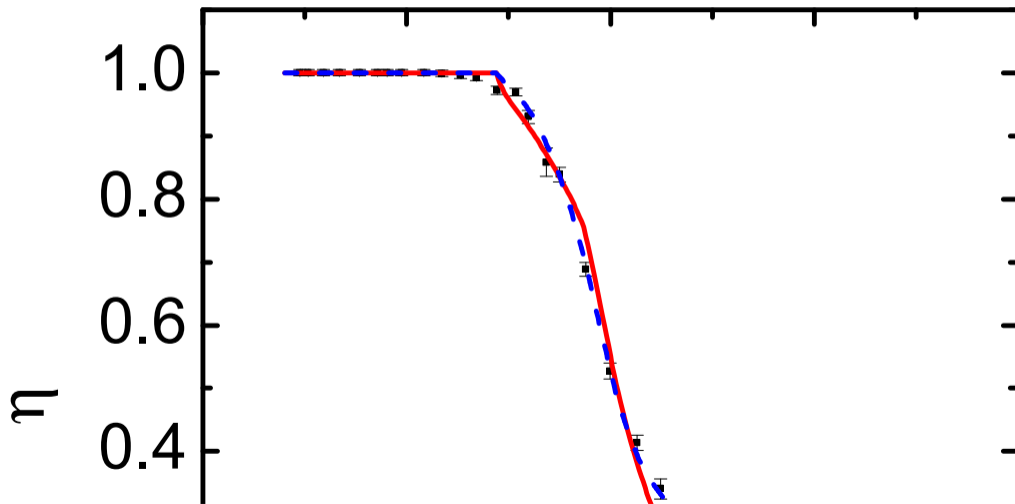
HEFT Extensions

- Formalism for partial-wave mixing in HEFT has been developed in Y. Li, J. J. Wu, C. D. Abell, D. B. L. and A. W. Thomas. Phys. Rev. D **101**, no.11, 114501 (2020) [arXiv:1910.04973 [hep-lat]]
- And extended to moving and elongated finite-volumes in Y. Li, J. J. Wu, D. B. L. and A. W. Thomas Phys. Rev. D **103** no.9, 094518 (2021) [arXiv:2103.12260 [hep-lat]].

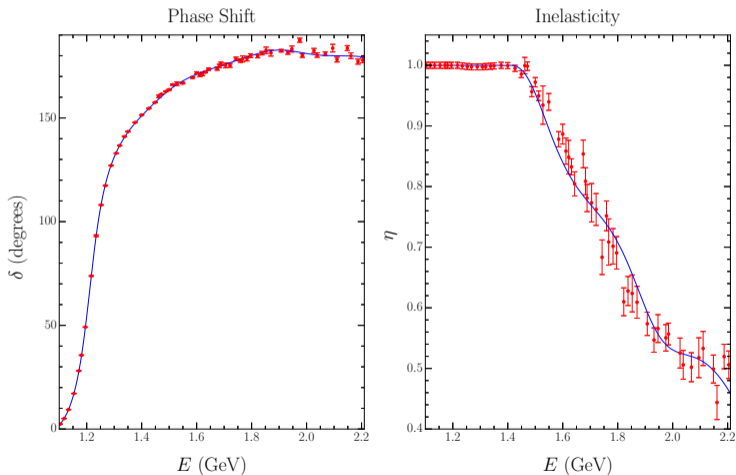
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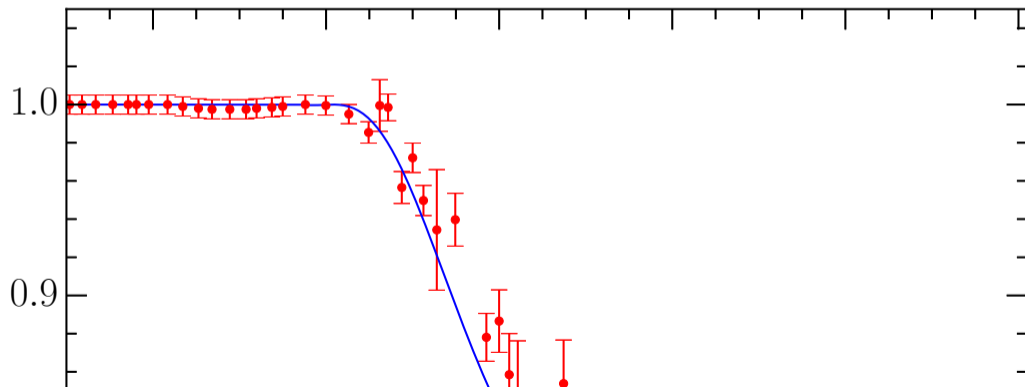


Room for non-resonant three-body contributions in $\Delta 3/2^+$

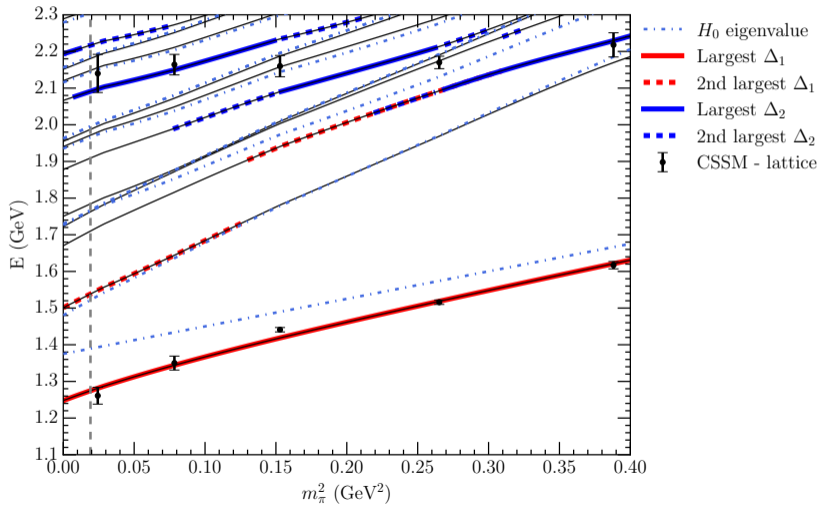


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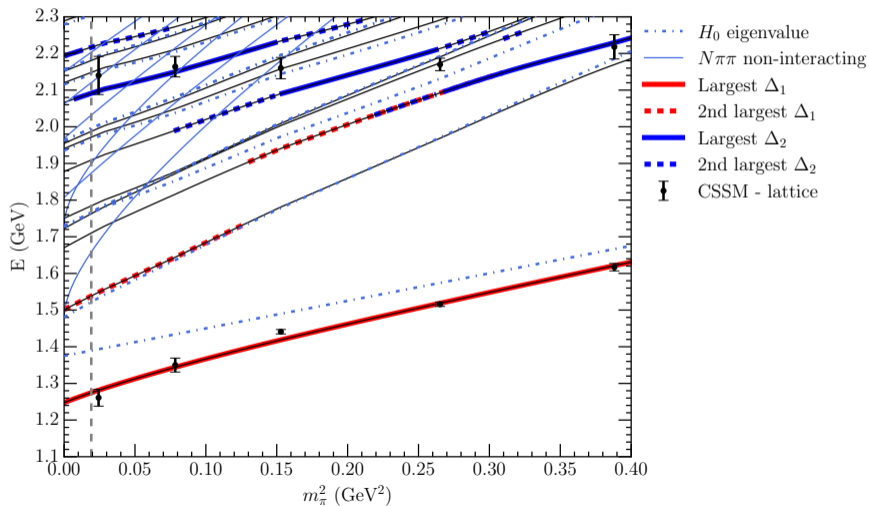
Inelasticity



Δ Finite Volume Spectrum at $L = 3$ fm



Δ Finite Volume Spectrum at $L = 3$ fm with $\pi\pi N$ states



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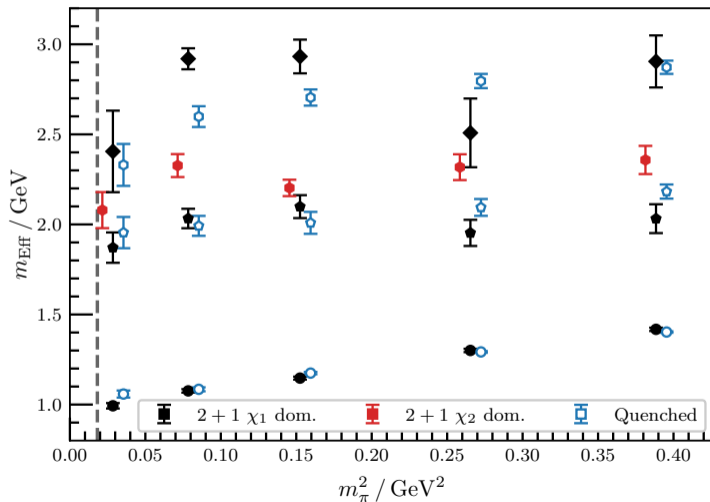
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- Is there a state ~ 1.9 GeV that is insensitive to quenching?

Comparison of 2+1 flavour and quenched lattice simulation results



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- Model independence is governed by the distance from the physical point.
 - For example, $m_\pi = 204$ MeV considered by the BaSc collaboration.

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- The similarity displayed by these two different sets of eigenvectors suggests that they do indeed provide insight into hadron structure.

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- Appeared to be a local three quark state.

- But a study of the strange magnetic form factor revealed an exotic structure.

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J. M. M. Hall, *et al.* [CSSM], Phys. Rev. Lett. **114**, 132002 (2015) arXiv:1411.3402 [hep-lat]

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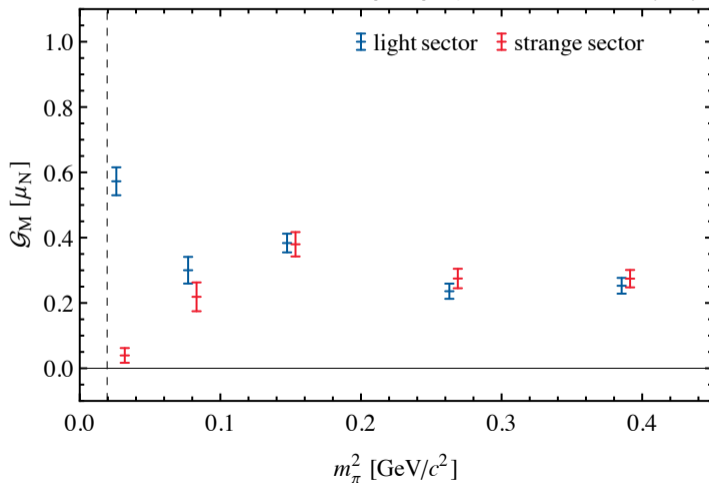
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- Thus, the strange quark does not contribute to the magnetic form factor of the $\Lambda(1405)$ when it is dominated by a $\bar{K}N$ molecule.

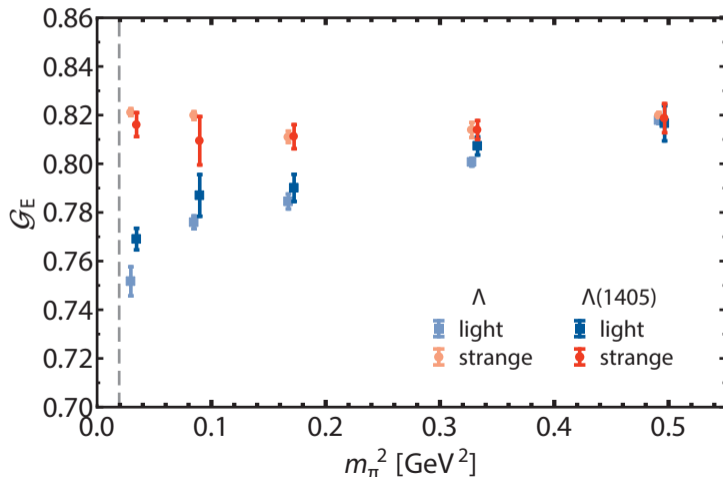
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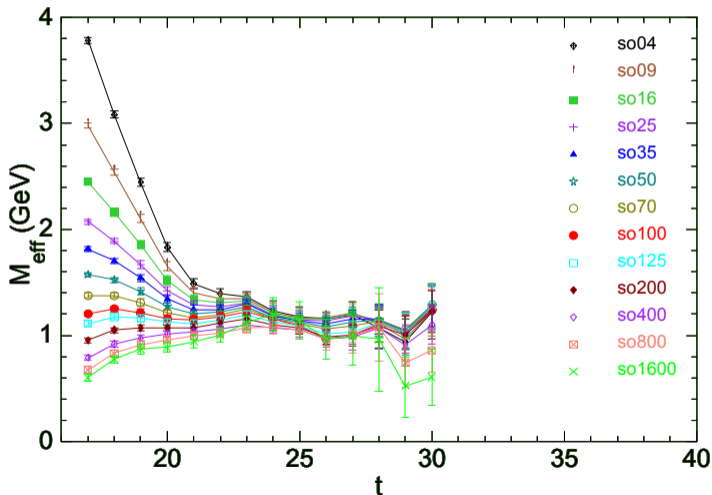


Electric form factors of the $\Lambda(1405)$ at $Q^2 \sim 0.16 \text{ GeV}^2$

B. J. Menadue, W. Kamleh, DBL, M. Selim Mahbub and B. J. Owen, PoS **LATTICE2013** (2014), 280 [arXiv:1311.5026 [hep-lat]]

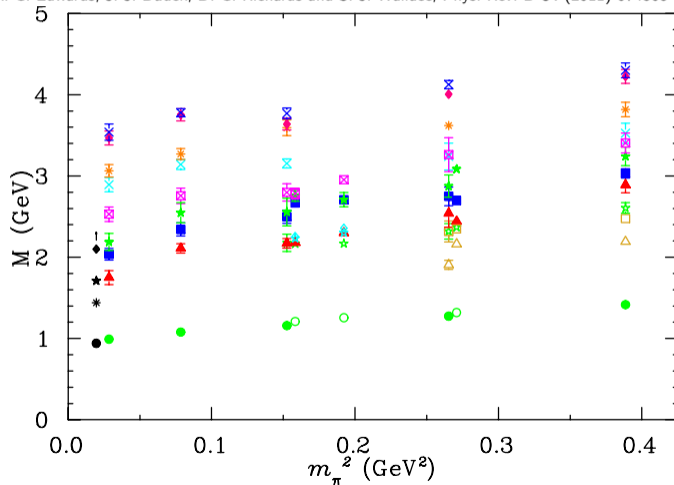


Smearred Source Correlation Functions



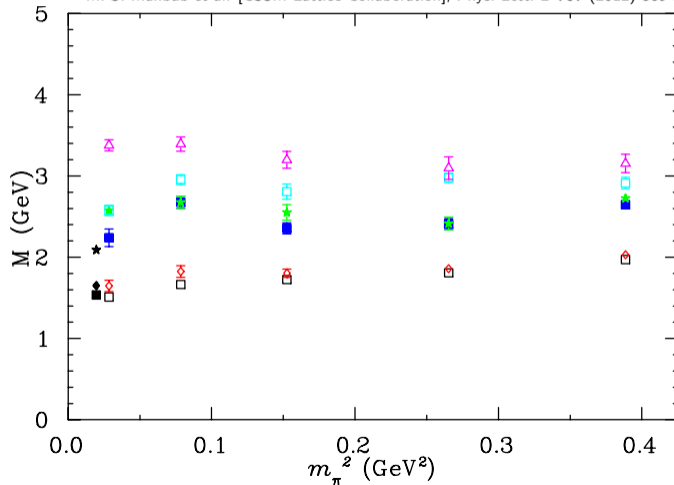
Positive Parity Nucleon Spectrum CSSM & JLab HSC

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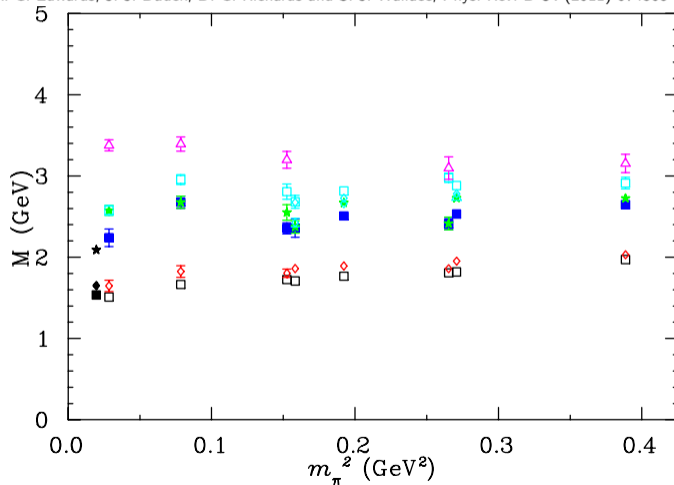
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Other Calculations of the Nucleon Spectrum

- Berlin-Graz-Regensburg (BGR) collaboration

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- Further discussion in

D. Leinweber, *et al.* JPS Conf. Proc. **10** (2016), 010011 [arXiv:1511.09146 [hep-lat]].

Other Calculations of the Nucleon Spectrum

- Cyprus Twisted Mass and Clover Fermion results
C. Alexandrou, *et al.*, Phys. Rev. D **89** (2014) no.3, 034502 [arXiv:1302.4410 [hep-lat]].

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C. Alexandrou, *et al.*, Phys. Rev. D **89** (2014) no.3, 034502 [arXiv:1302.4410 [hep-lat]].
- Correlation functions subsequently analysed in the Athens Model Independent Analysis Scheme (AMIAS).
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Search for low-lying lattice QCD eigenstates in the Roper regime

A. L. Kiratidis, *et al.*, [CSSM] Phys. Rev. D **95**, no. 7, 074507 (2017) [arXiv:1608.03051 [hep-lat]].

