

# On Extraction of TMDs from SIDIS

Fidele J. Twagirayezu

UCLA

# Motivation and objective

- Importance of TMDs
  - ✓ information about the nucleon structure, i.e the 3-D imaging of the nucleon in momentum space
  - ✓ help to understand some important aspects of QCD such as gauge invariance and universality properties
  - ✓ help to Learn about confinement and hadronization
- Extraction of TMDs such as transversity function and Collins fragmentation function from SIDIS with global fits

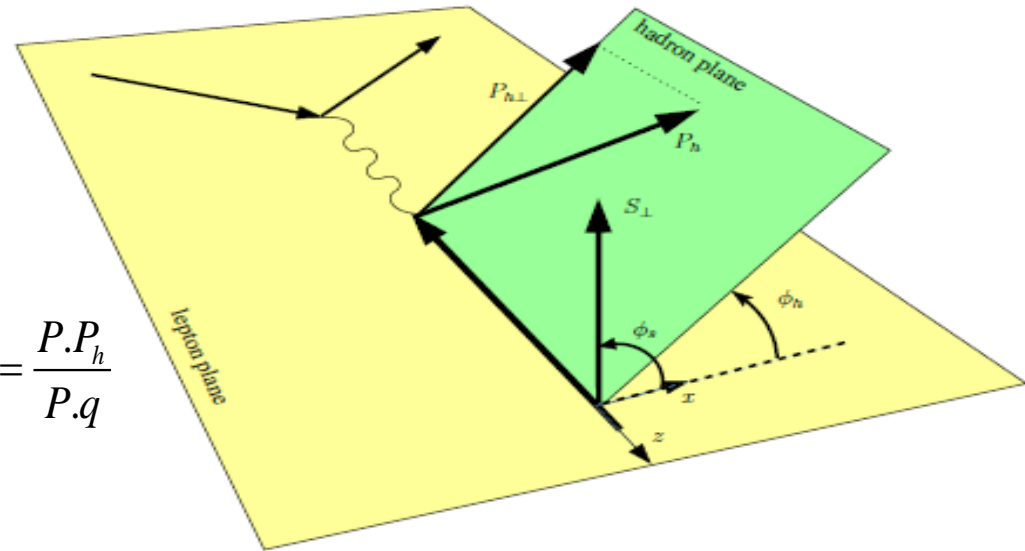
# Extraction of TMDs

- Semi-inclusive DIS process:

$$e(\ell) + p(P) \rightarrow e(\ell') + h(P_h) + X$$

$$q = \ell - \ell', \quad Q^2 = -q^2$$

$$S_{ep} = (P + \ell)^2, \quad x_B = \frac{Q^2}{2P \cdot q}, \quad y = \frac{P \cdot q}{P \cdot \ell} = \frac{Q^2}{x_B S_{ep}}, \quad z_h = \frac{P \cdot P_h}{P \cdot q}$$



- **Factorization**: a tool for separating effects from short-distance (s.d) scales and long-distance (l.d) effects and parametrizing (l.d) effects in universal quantities

$$\frac{d^5 \sigma(S_{\perp})}{dx_B dy dz_h d^2 P_{h\perp}} = \sigma_0(x_B, y, Q^2) \left[ F_{UU} + \sin(\phi_h + \phi_s) \frac{2(1-y)}{1+(1-y)^2} F_{UT}^{\sin(\phi_h + \phi_s)} + \dots \right]$$

# Extraction of TMDs

Structure functions:

$$F_{UU}(Q; P_{h\perp}) = \frac{1}{z_h^2} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{P}_{h\perp} \cdot \vec{b}/z_h} \tilde{F}_{UU}(Q; b) + Y_{UU}(Q; P_{h\perp}),$$

$$F_{\text{collins}}^\alpha(Q; P_{h\perp}) = \frac{1}{z_h^2} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{P}_{h\perp} \cdot \vec{b}/z_h} \tilde{F}_{\text{collins}}^\alpha(Q; b) + Y_{\text{collins}}^\alpha(Q; P_{h\perp})$$

The  $Y$ -term dominates If  $Ph_\perp \geq Q$ . In the region of low

$$q_\perp = Ph_\perp / z_h$$

$$F_{UU}(Q; P_{h\perp}) = \frac{1}{z_h^2} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{P}_{h\perp} \cdot \vec{b}/z_h} \tilde{F}_{UU}(Q; b),$$

$$F_{\text{collins}}^\alpha(Q; P_{h\perp}) = \frac{1}{z_h^2} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{P}_{h\perp} \cdot \vec{b}/z_h} \tilde{F}_{\text{collins}}^\alpha(Q; b), \quad \alpha = 1, 2$$

Collins azimuthal asymmetry :

$$A_{UT}^{\sin(\phi_s + \phi_h)} = \frac{\sigma_0(x_B, y, Q^2)}{\sigma_0(x_B, y, Q^2)} \frac{2(1-y)}{1+(1-y)^2} \frac{F_{UT}^{\sin(\phi_s + \phi_h)}}{F_{UU}},$$

$$\sin(\phi_h + \phi_s) F_{UT}^{\sin(\phi_h + \phi_s)} = \varepsilon^{\alpha\beta} S_\perp^\alpha \left( g_\perp^{\beta\rho} - 2\hat{e}_x^\beta \hat{e}_x^\rho \right) F_{\text{collins}}^\rho$$

# Extraction of TMDs

Structure functions are expressed in b-space, e.g.

$$\tilde{F}_{UU}(Q;b) = \sum_q e_q^2 \tilde{f}_1^q(x_B, b; \rho, \zeta, \mu) \tilde{D}_q(z_h, b; \rho, \hat{\zeta}, \mu) H_{UU}(Q/\mu, \rho) S(b, \rho, \mu)$$

$$\zeta^2 = 2(v.P)^2 / v^2, \quad \hat{\zeta}^2 = 2(\tilde{v}.P_h)^2 / \tilde{v}^2, \quad \rho^2 = (2v.\tilde{v})^2 / v^2 \tilde{v}^2$$

$$v = (v^-, v^+, v_\perp), \quad \tilde{v} = (\tilde{v}^-, \tilde{v}^+, \tilde{v}_\perp)$$

- Light-cone singularity if the gauge link is along the light-cone direction and energy divergence due to the soft factor
- A choice of regularization defines a TMD scheme, for e.g. in Ji-Ma-Yuan scheme:

$$n = (1^-, 0^+, 0_\perp) \rightarrow v = (v^-, v^+, 0_\perp) \text{ with } v^- \gg v^+$$

so the gauge link is slightly off-light cone

# Extraction of TMDs

Unpolarized structure function in b-space

$$F_{UU}(Q; b) = \sum_q e_q^2 \tilde{f}_{1JMY}^{q(sub)}(x_B, b; \rho, \zeta, \mu) \tilde{D}_{qJMY}^{(sub)}(z_h, b; \rho, \hat{\zeta}, \mu) H_{UU}^{JMY}(Q/\mu, \rho)$$

where

$$\tilde{f}_{1JMY}^{q(sub)}(x_B, b; \rho, \zeta, \mu) = \frac{\tilde{f}_1^q(x_B, b; \rho, \zeta, \mu)}{\sqrt{S(b, \rho; \mu)}}$$

$$\tilde{D}_{qJMY}^{(sub)}(z_h, b; \rho, \hat{\zeta}, \mu) = \frac{\tilde{D}_q(z_h, b; \rho, \hat{\zeta}, \mu)}{\sqrt{S(b, \rho; \mu)}}$$

After solving evolution eq., the final expressions for TMDs are obtained by considering

$$\zeta^2 = \hat{\zeta}^2 = \rho Q^2$$

In the new Collins-11 scheme, the subtraction of the soft factor leads to the absence of both light-cone singularity and energy divergences, e. g:

$$\tilde{f}_1^{qJCC}(x, b; \zeta_F, \mu) = \tilde{f}_1^q(x, b; \zeta_F, \mu) \sqrt{S^{\tilde{n}, \nu}(b) / S^{n, \tilde{n}}(b) S^{n, \nu}(b)}$$

# Extraction of TMDs

## Divergence and evolution

❖ Divergences lead to evolution:

- Ultraviolet divergence : renormalization group equation, e.g. running of coupling constant
- Collinear divergence : DGLAP evolution of collinear parton distribution function (PDF), fragmentation function (FF)  
DGLAP evolution = resummation of single logs in the higher-order corrections
- Rapidity divergence (light-cone singularity): TMD evolution  
TMD evolution = resummation of double logs in the higher-order corrections

single logs:  $\left(\alpha_s \ln(Q/\mu)^2\right)^n$       double logs:  $\left(\alpha_s \ln^2(Q/q_\perp)^2\right)^n$

# Extraction of TMDs

## How to make sense of divergences:

- Ultraviolet (UV) divergence : renormalization (redefine coupling constant)
- Collinear divergence : redefine the PDFs and FFs
- Soft divergence : usually cancel between real and virtual diagrams for collinear PDFs/FFs; do not cancel for TMDs, leads to new evolution equations



# Extraction of TMDs

Collins-Soper (CS) equation gives the rapidity evolution with resp. to  $\zeta$

$$\frac{\partial \ln \tilde{f}_q(x_B, b; \zeta_F, \mu)}{\partial \ln \sqrt{\zeta_F}} = \frac{\partial \ln \tilde{D}_q(z_h, b; \zeta_D, \mu)}{\partial \ln \sqrt{\zeta_D}} = \tilde{K}(b, \mu)$$

where  $\tilde{K}(b, \mu)$  is CS kernel. The dependence on  $\mu$  scale is given by RGE for  $\tilde{f}$ ,  $\tilde{D}$  and  $\tilde{K}$

$$\frac{d\tilde{K}(b, \mu)}{d \ln \mu} = -\gamma_K(\alpha_s(\mu)), \quad \frac{d \ln \tilde{f}(x_B, b; \zeta_F, \mu)}{d \ln \mu} = \gamma_F(\alpha_s(\mu), \zeta_F / \mu^2),$$

$$\frac{d \ln \tilde{D}_q(z_h, b; \zeta_D, \mu)}{d \ln \mu} = \gamma_D(\alpha_s(\mu), \zeta_D / \mu^2)$$

At low values of  $b \ll 1/\Lambda_{QCD}$ ,  $1/b$  becomes a hard scale, then one defines a new scale,  $\mu_b = c_0/b$ , with  $c_0 = 2e^{-\gamma_E}$  and  $\gamma_E \approx 0.57$

The b-dependence of TMDs can be computed in terms of collinear PDF and FF in the region  $1/Q \ll b \ll 1/\Lambda_{QCD}$

# Extraction of TMDs

The energy evolution of TMDs from  $\mu_b$  to  $Q$  is encoded in the Sudakov factor:

$$S_{\text{pert}}(Q, b) = \int_{\mu_b^2}^{Q^2} \frac{d\tilde{\mu}^2}{\tilde{\mu}^2} \left[ A(\alpha_s(\tilde{\mu})) \ln \frac{Q^2}{\tilde{\mu}^2} + B(\alpha_s(\tilde{\mu})) \right]$$

The perturbation breaks down for large value of  $b$ , and  $\alpha_s(\mu_b)$  reaches the Landau pole which indicates non-perturbative Physics. In the CSS approach, the  $b^*$  prescription introduces a cutoff  $b_{\text{max}}$

$$b \Rightarrow b_* = b / \sqrt{1 + b^2/b_{\text{max}}^2}, \quad b_{\text{max}} < 1/\Lambda_{QCD}$$

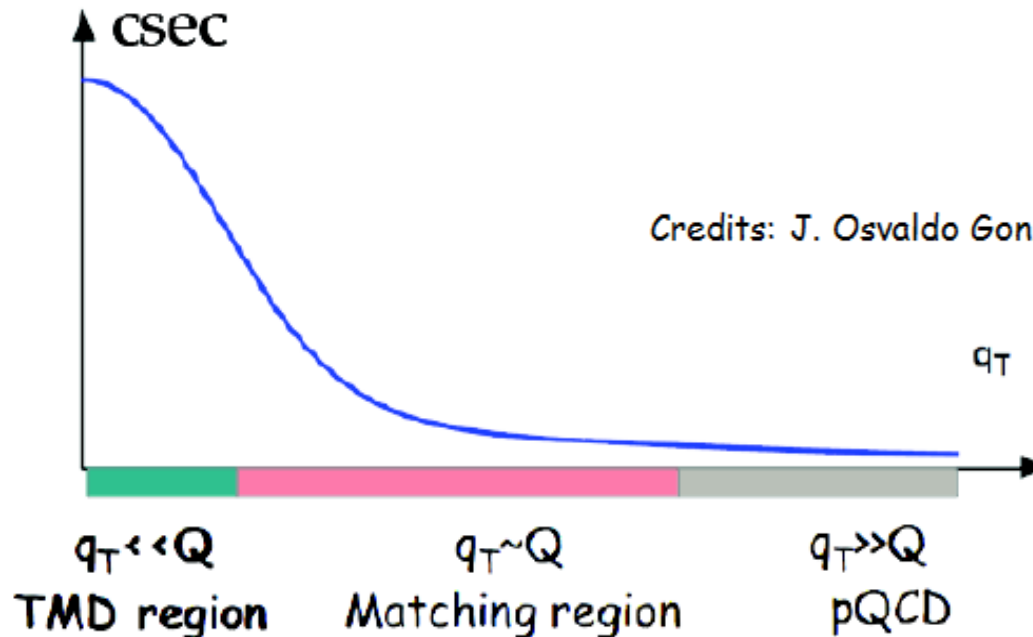
$$S_{(sud)}(Q; b) \Rightarrow S_{\text{pert}}(Q; b_*) + S_{NP}(Q; b)$$

$$S_{NP}(Q; b) = g_2(b) \ln Q/Q_0 + g_1(b), \quad g_2(b) = g_2 b^2, \quad g_2(b) = g_2 \ln(b/b_*)$$

The  $b^*$  prescription allows a smooth transition from the perturbative region to the non-perturbative region

# Extraction of TMDs

## Matching in SIDIS



- In the perturbative region, TMDs are matched onto their collinear counterparts with perturbatively calculable  $C$ -functions

# Extraction of TMDs

Un-polarized PDF and FF with TM evolution:

$$\tilde{f}_1^{q(sub)}(x_B, b; Q^2, Q) = \exp\left\{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{NP}^{f_1}(Q, b)\right\} \tilde{\mathcal{F}}_q(\alpha(Q)) C_{q \leftarrow i} \otimes f_1^i(x_B, \mu_b)$$

$$\tilde{D}_q^{(sub)}(z_h, b; Q^2, Q) = \exp\left\{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{NP}^{D_1}(Q, b)\right\} \tilde{\mathcal{D}}_q(\alpha(Q)) \hat{C}_{j \leftarrow q} \otimes D_{h/j}(z_h, \mu_b)$$

$\tilde{\mathcal{F}}_q$ , and  $\tilde{\mathcal{D}}_q$  are scheme-dependent. In the standard CSS formalism, they are absorbed in the  $C$ -functions

$$\begin{aligned} F_{UU}(Q; b) &= F_{UU}(b_*) \exp\left\{-S_{\text{pert}}(Q, b_*) - S_{NP}^{(\text{SIDIS})}(Q, b)\right\} \\ &= \sum_q e_q^2 \left( C_{q \leftarrow i} \otimes f_1^i(x_B, \mu_b) \right) \left( \hat{C}_{j \leftarrow q} \otimes D_{h/j}(z_h, \mu_b) \right) \exp\left\{-S_{\text{pert}}(Q, b_*) - S_{NP}^{(\text{SIDIS})}(Q, b)\right\} \end{aligned}$$

Convolution integrals are evaluated using the Mellin transformation

# Extraction of TMDs

Collins structure functions:

$$\tilde{F}_{\text{collins}}^\alpha(Q; b) = \sum_q e_q^2 \tilde{h}_1^{q(\text{sub})}(x_B, b; \rho, \zeta, \mu) H_{1h/q}^{\perp\alpha(\text{sub})}(z_h, b; \rho, \hat{\zeta}, \mu) H(Q/\mu, \rho)$$

With TMD evolution

$$\tilde{h}_1^{q(\text{sub})}(x_B, b, \rho; Q^2, Q) = \exp\left\{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{h_1}(Q, b)\right\} \tilde{\mathcal{H}}_{1q}(\alpha_s(Q)) \delta C_{q \leftarrow q'} \otimes h_1^{q'}(x_B, \mu_b)$$

$$\tilde{H}_{1h/q}^{(\text{sub})\perp\alpha}(z_h, b, \rho; Q^2, Q) = \frac{-ib^\alpha}{2z_h} \exp\left\{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{D_1}(Q, b)\right\} \tilde{\mathcal{H}}_c(\alpha_s(Q)) \delta C_{q' \leftarrow q} \otimes \hat{H}_{h/q'}^{(3)}(z_h, \mu_b)$$

$\tilde{\mathcal{H}}_{1q}$ , and  $\tilde{\mathcal{H}}_c$  are absorbed in  $\mathcal{C}$ -functions

$$\begin{aligned} \tilde{F}_{\text{collins}}^\alpha(Q; b) &= \frac{-ib^\alpha}{2z_h} \exp\left\{-S_{\text{pert}}(Q, b_*) - S_{\text{NP collins}}^{\text{SIDIS}}(Q, b)\right\} \tilde{F}_{\text{collins}}(b_*) \\ &= \frac{-ib^\alpha}{2z_h} \sum_q e_q^2 \left(\delta C_{q \leftarrow i} \otimes h_1^i(x_B, \mu_b)\right) \left(\delta \hat{\mathcal{C}}_{j \leftarrow q}^{\text{SIDIS}} \otimes H_{h/j}^{(3)}(z_h, \mu_b)\right) \exp\left\{-S_{\text{pert}}(Q, b_*) - S_{\text{NP collins}}^{\text{SIDIS}}(Q, b)\right\} \end{aligned}$$

# Extraction of TMDs

$$H_{1h/q}^{\perp\alpha}(z_h, b) = \int d^2 p_{\perp} e^{-ip_{\perp} \cdot b} p_{\perp}^{\alpha} H_{1h/q}^{\perp}(z_h, p_{\perp})$$

Twist-3:

$$H_{h/j}^{(3)}(z_h) = \int d^2 p_{\perp} \frac{|p_{\perp}^2|}{M_h} H_{1h/j}^{\perp}(z_h, p_{\perp})$$

The evolution equations for  $h_1^q$  and  $H_{h/j}^{(3)}$  are

$$\frac{\partial h_1^q(x_B, \mu)}{\partial \ln \mu^2} = \frac{\alpha_s}{2\pi} \int_{x_B}^1 \frac{d\hat{x}}{\hat{x}} P_{q \leftarrow q}^{h_1}(\hat{x}) h_1^q(x_B/\hat{x}, \mu), \quad P_{q \leftarrow q}^{h_1}(\hat{x}) = C_F \left( \frac{2\hat{x}}{(1-\hat{x})_+} + \frac{3}{2} \delta(1-\hat{x}) \right)$$

$$\frac{\partial \hat{H}_{h/q}^{(3)}(z_h, \mu)}{\partial \ln \mu^2} = \frac{\alpha_s}{2\pi} \int_{z_h}^1 \frac{d\hat{z}}{\hat{z}} \hat{P}_{q \leftarrow q}^c(\hat{z}) H_{h/q}^{(3)}(z_h/\hat{z}, \mu), \quad \hat{P}_{q \leftarrow q}^c(\hat{z}) = C_F \left( \frac{2\hat{z}}{(1-\hat{z})_+} + \frac{3}{2} \delta(1-\hat{z}) + \dots \right)$$

# Extraction of TMDs

Parametrization of transversity:

$$h_1^q(x, Q_0) = N_q^h x^{a_q} (1-x)^{b_q} \left\{ (a_q + b_q)^{a_q + b_q} / a_q^{a_q} b_q^{b_q} \right\} \frac{1}{2} (f_1^q(x, Q_0) + g_1^q(x, Q_0))$$

$f_1^q(x, Q_0)$  is unpolarized PDF and  $g_1^q(x, Q_0)$  is helicity PDF

Parametrization of Collins function:

$$\hat{H}_{fav}^{(3)}(z, Q_0) = N_u^c z^{\alpha_u} (1-z)^{\beta_u} D_{\pi^+/u}(z, Q_0)$$

$$\hat{H}_{unf}^{(3)}(z, Q_0) = N_d^c z^{\alpha_d} (1-z)^{\beta_d} D_{\pi^+/d}(z, Q_0)$$

$D(z, Q_0)$  is unpolarized FF,  $Q_0^2 = 2.4 \text{ GeV}^2$

and then one solves DGLAP equations both for  $h_1$  and Collins FF to the scale  $\mu_b = c_0/b_*$

Free parameters:

$$N_q, a_q, b_q, \alpha_q, \beta_q$$

# Extraction of TMDs

- Parametrization of non-perturbative Sudakov factors for all TMDs:

$$S_{NP}^{f_1}(Q, b) = S_{NP}^{h_1}(Q, b)$$

$$S_{NP}^{h_1}(Q, b) = \frac{g_2}{2} \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + g_q b^2$$

$$S_{NP}^{D_1}(Q, b) = \frac{g_2}{2} \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + \frac{g_h}{z^2} b^2$$

$$S_{NP}^{\text{collins}}(Q, b) = \frac{g_2}{2} \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + \frac{g_h - g_c}{z^2} b^2$$

- Some parameters:

- fixed parameters:  $\{g_2 = 0.84, g_q = g_1/2 = 0.106, g_h = 0.042(\text{GeV}^2)\}$

- free parameters:  $\{N_u^h, N_d^h, a_u, a_d, b_u, b_d, N_u^c, N_d^c, \alpha_u, \alpha_d, \beta_u, \beta_d, g_c\}$



# Extraction of TMDs

Fitting procedure:

One minimize  $\chi^2$

$$\chi^2(\{a\}) = \sum_{i=1}^N \sum_{j=1}^{N_i} \frac{(T_j(\{a\}) - E_j)^2}{\Delta E_j^2}$$

$T_j(\{a\})$  is the theoretical estimate for a set of free parameters  $\{a\} \subseteq \{N_u^h, N_d^h, a_u, a_d, b_u, b_d, N_u^c, N_d^c, \beta_d, \beta_u, g_c\}$

$i = 1, \dots, N$  data sets each containing  $N_i$  data points

$E_j$  is the experimental measurement of each data point

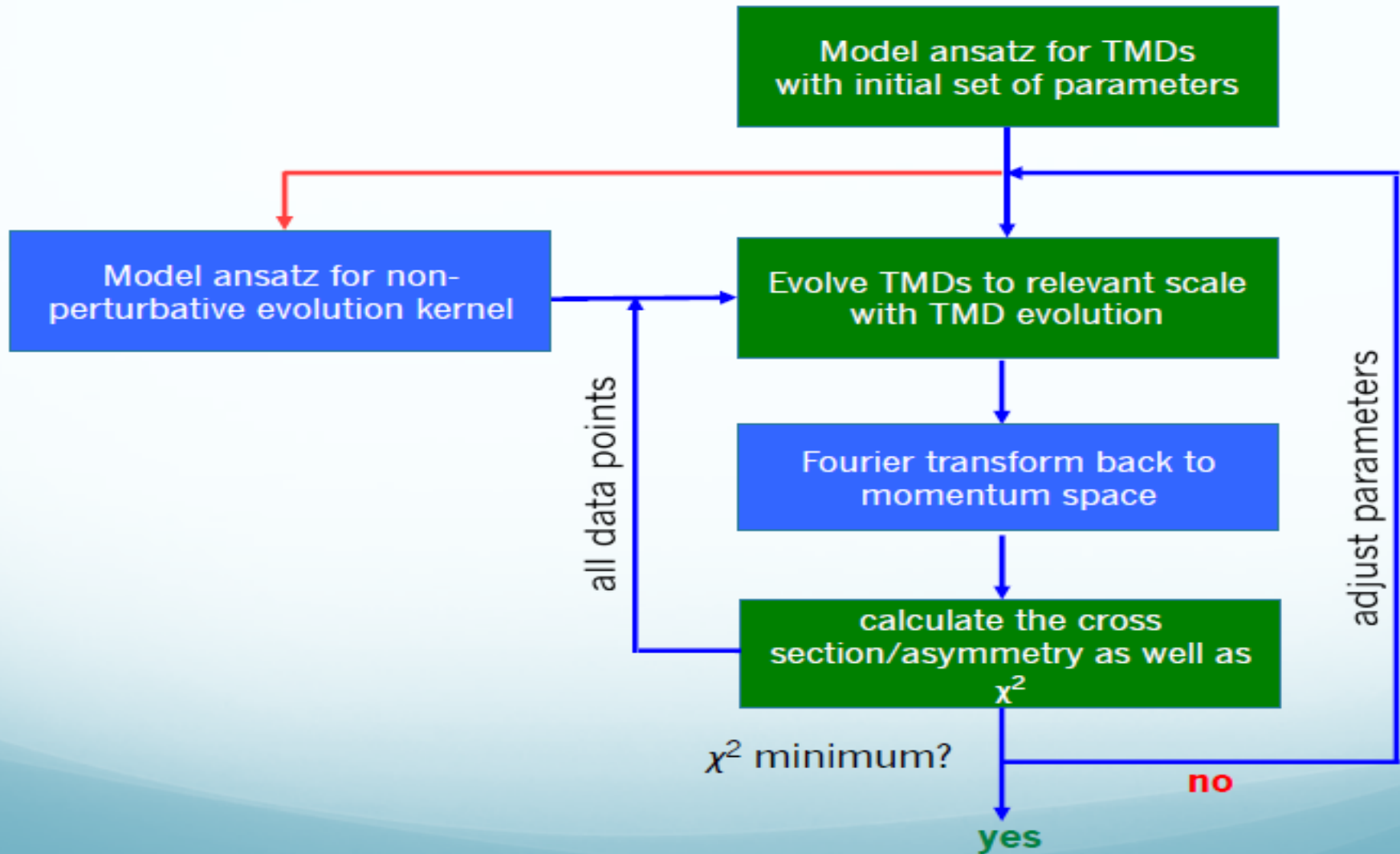
$\Delta E_j$  is the experimental uncertainty

Rough idea of a good fit, for  $N$  points:  $N - \sqrt{2N} < \chi^2(\{a\}) < N + \sqrt{2N}$

In principal, the model describes the data:  $\chi^2/n_{d.o.f} \approx 1$

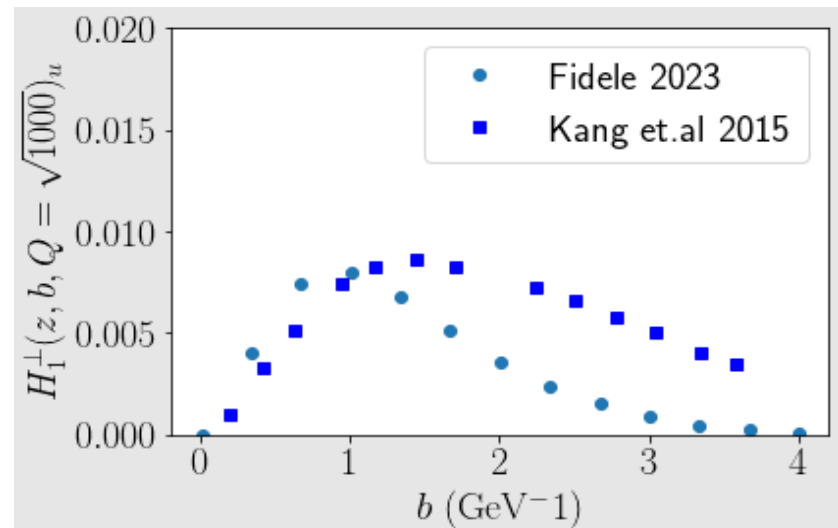
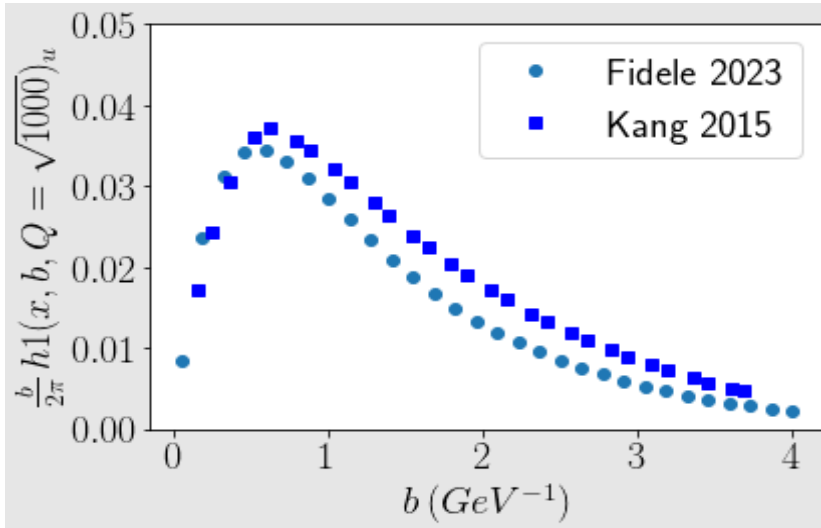
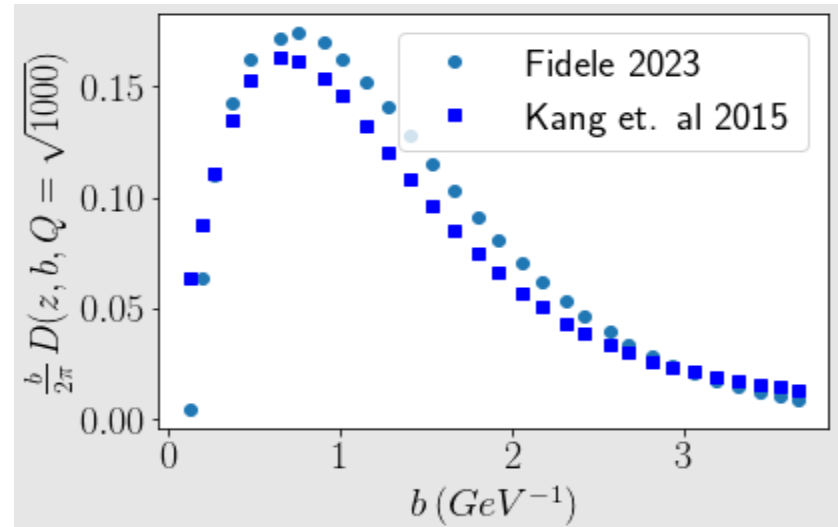
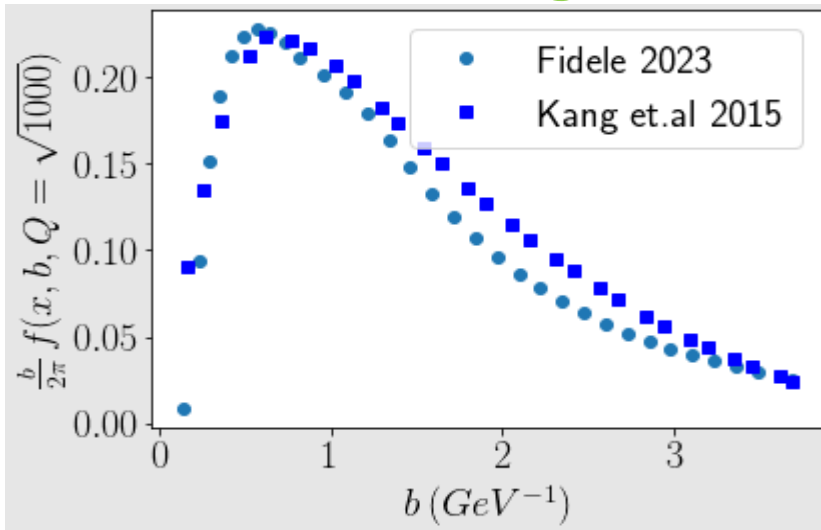
Noise:  $\chi^2/n_{d.o.f} \ll 1$

# Extraction of TMDs



# Extraction of TMDs

## Benchmark with Kang et. al 2015



# Extraction of TMDs

## Summary and future work:

- TMDs are important tools to investigate structure of the nucleon and QCD dynamics, and much more
- The benchmark of [Fidele 2023](#) with [Kang et al. 2015](#) is done for the scale  $Q^2 = 1000$ , and there are some minor discrepancies
- The future work will consist of finding the source and physical meaning of these discrepancies. Also, there is a need for benchmarking for different scales, and finally the extraction of  $h_1$  and Collins FF will follow

Thank you for your hospitality