QCD Dynamics in electron-nucleus collisions

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Course Overview

- Nuclear systems and the electron scattering probe
 - Elastic scattering
 - Quasielastic scattering
 - Deep inelastic scattering
- Hadrons in the nucleus
 - Short and long range dynamics
 - EMC effect
 - Hadronization and color transparency
- Implications and open questions

Review from yesterday

• Nuclear strong interaction that binds nuclei is the residual from the strong interaction between quarks.





- Elastic scattering:
 - Form factors describe the nuclear and nucleon structure in terms of charge and magnetic moment
- Quasielastic scattering:
 - Shell structure, momentum distributions, correlations
- Deep inelastic scattering:
 - Quark-parton picture, structure functions describe quark momentum distributions

Elastic scattering summary

- We can measure things like the charge and magnetic moment distributions of the nucleons.
- These are described in terms of form factors (a Fourier transformation of the distributions).
- We can use form factors to extract the radius.
- This tells us about the structure of nucleons and nuclei.
- Nucleons are not point-like!





Quasielastic scattering summary

- Nuclei are complicated systems that we model with different assumptions.
- Fermi gas model gives us a good idea about the cross section.
- Scaling refers to the dependence of a cross section on a single variable
 - y-scaling can tell us about the nucleon momentum distributions in the nucleus.
- Indications that nucleons are not truly quasifree (but modified in the nucleus) from the Coulomb Sum Rule and the loss of spectroscopic strength in orbitals.



E_F

Deep inelastic scattering summary

- Structure functions contain the quark momentum density information.
- In the quark-parton model, DIS is scattering from a quasi-free quark.
- EMC Effect: There's a loss of momentum carried by the valence quarks in a bound nucleon vs that of a free nucleon. Many models try to explain the data. Many experiments try to understand the problem.



X

Principles of experiments

Theory or Model

Design of experiment: Determine beam energy, kinematics of the reaction

> Measure things: Count events (cross sections), detect particles

> > Reconstruct the event: Reconstruct interaction point

> > > Physics! Interpret, how does this support the model and assumptions?

How experimentalists study the reactions



- Inclusive (e,e'): detect only the electron
- Semi-inclusive: detect the electron and a hadron
- Exclusive: detect all final state particles

Luminosity, defined

Electron beam luminosity

- Number of electrons per unit time
- $L_B[s^{-1}] = \frac{I_B}{q_e} = \frac{N_e}{s}$

Target luminosity (thickness)

• Number of particles (N=Nucleon/Nucleus) in a unit of area

•
$$L_T[cm^{-2}] = \rho \left[\frac{g}{cm^3}\right] \times \frac{l[cm]}{A} \times N_A = \frac{N_N}{cm^2}$$

Total (integrated) luminosity:

- Number of eN interactions per unit of time, per unit of area
- $L[s^{-1}cm^{-2}] = L_B \times L_T$

Event rate

• Events per time for a given cross section, σ [cm^2]

•
$$\frac{N_{ev}}{s} = L \times \sigma$$



Nuclear physics

What holds the nucleus together?



Began with the exchange of mesons via a Yukawa potential



Quantum Chromo Dynamics (QCD) is the leading theory of the strong force using quark and gluon degrees of freedom that carry color charge.

NN potential

Potential between nucleons



Characterized by:

- Repulsive core
- Attractive potential between nucleons
- Nucleons and mesons, interactions
- Colorless matter
- Quark interactions cancel at large distances making hadronic interactions finite

QCD potential

Potential between quarks



Characterized by:

- Strongly attractive
- At short distances or high energies, QCD is asymptotically free (pQCD works here)

Two descriptions of nuclear physics



Drawing the roadmap

QCD is the leading theory for the strong force interaction.

Yet, we are still trying to fully describe nucleons and nuclei in terms of quarks and gluons.

We have to connect the Real World to QCD Land using data.

Useful clues:

Modifications in the structure and interactions of hadrons in the nucleus. The transition from quark-gluon to nucleon-meson degrees of freedom.

EMC Effect

Recall: observation in DIS

- The effect increases with A
- It's Q^2 independent
- Region is 0.3<x<0.7
- Universal x-dependence



DIS cross section per nucleon in nuclei ≠ DIS off a free nucleon

Some models for the EMC Effect

Nucleon structure is modified in the nuclear medium

- Swollen nucleons
- Multiquark clusters
- Dynamical rescaling

~ or ~

Nuclear structure is modified due to multi-nucleon effects

- Binding
- Nuclear pions
- NN short-range correlations

Nucleon modification needed to describe the

data

Nuclear Effects:

- Fermi motion
- Binding energy

Full Calculation:

- Nucleon modification
- Phenomenological change to bound nucleon structure functions, proportional to virtuality (p²)
- Nuclear pions
- Shadowing



EMC Effect in light nuclei





⁹Be has a lower average density $(2\alpha + n)$

Local density important to the modification!

J. Seely et al., Phys. Rev. Lett. 103, 202301 (2009)

EMC Effect and SRCs

SRCs related to the local density.

EMC Effect seems to correlate well with local density and SRCs!



Frankfurt, Strikman, Day, Sargsyan, Phys. Rev. C48 (1993) 2451 Gomez et al., Phys. Rev. D49, 4348 (1983) O.Hen et al, Phys. Rev. C **85**, 047301 (2012) Weinstein et al, PRL106 052301 (2011)¹⁹

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Revisiting NN short-range correlated pairs

Nucleon pairs that are close together (overlapping) in the nucleus

High relative momentum and low center of mass momentum (as compared to k_f)





r-space

SRC measurements



How do we look for SRCs?

- A(e,e') reaction at x>1: can inform us about the probability of 2N and 3N SRC
- D(e,e'pn): simplest nucleus with pairing
- A(e,e'N) and A(e,e'NN): probes the detailed structure of SRCs



Minimize competing processes





F. Benmokhtar et al., PRL 94, 082305 (2005)

Minimize competing processes

- Q² > 1.8 GeV²/c² (reduce MEC)
- x_B > 1 (anti-parallel), reduce IC



Minimize competing processes



SRCs in the high momentum tail



Knockout studies in CLAS (6 GeV era)







Protons "speed up" in neutron-rich nuclei



Duer et al. (CLAS collaboration), Nature 560, 617 (2018)

Protons "speed up" in neutron-rich nuclei

Minority (proton) moves faster than majority (neutron) in neutron-rich nuclei



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Protons "speed up" in neutron-rich nuclei

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1.8 n(k) 1 OW 1.6 High k_F NIZ $\sigma_{\rm A}({\rm e,e'}n)/\sigma_n$ $\sigma_{A}(e,e'p)/\sigma_{p}$ 1.4 Low momentum 1.2 High momentum 1.0 AI Fe Pb С 0.8 1.2 1.0 1.4 1.6

neutron: proton ratio

c.m. momentum



- Small c.m. -> small separation between the pairs
- 3D Gaussian -> consistent with the sum of 2 mean field nucleons
- SRC pairs formed from mean field nucleons in specific quantum states

$$\vec{p}_{c.m.} = \vec{p}_{miss} + \vec{p}_{recoil} = \vec{p}_p - \vec{q} + \vec{p}_{recoil}$$



SRC scaling

At high nucleon momenta, strength is different but shapes of distributions are similar

 \rightarrow scaling!





B. Schmookler et al, Nature 566, 354 (20³⁴9)

Effective theory for SRCs $\Psi \xrightarrow{r_{ij} \rightarrow 0} \phi(r_{ij}) \times A_{ij}(R_{ij}, \{r\}_{k \neq ij})$

Many twoTwo-bodyA-2 Residualbody waveWavesystemfunctionfunction

Generalized contact formalism: two body densities

$$\rho_{A}^{NN,\alpha}(\mathbf{r}) = \mathbf{C}_{A}^{NN,\alpha} \times |\boldsymbol{\varphi}_{NN}^{\alpha}(\mathbf{r})|^{2}$$

Many body density

Nucleusdependent contact 2-body density (universal)

GCF: small r, high k scaling $\rho_{A}^{NN,\alpha}(r) = C_{A}^{NN,\alpha} \times |\phi_{NN}^{\alpha}(r)|^{2}$


GCF compared to data

⁴He(e,e'p) is well described using the GCF formalism!



J.R. Pybus et al, Phys. Lett. B 805, 135429 (2020)

Evidence of x-scaling



SRC in the tensor to scalar transition region



Data compared to GCF model (N2LO, AV18)

Transition from isospindependent tensor NN interaction (~400 MeV) to an isospin-independent scalar interaction (~800 MeV)



I. Korover et al, Phys. Lett. B 820, 136523 (2021)

(e,e'p) to study the NN interaction



R. Cruz-Torres, PRL 124 212501 (2020) [Editor Suggestion]

First simultaneous measurement on ³He, ³H, ²H

$$\frac{{}^{3}\text{He}(\mathbf{p})}{{}^{3}\text{H}(\mathbf{p})} \cong \frac{{}^{3}\text{He}(\mathbf{p})}{{}^{3}\text{He}(\mathbf{n})}$$

³H: E_{miss} for low p_{miss}, Simulation of ³He neutron spectral function



Ratios relative to deuterium PWIA simulation



R. Cruz-Torres et al., PLB 797 134890 (2019)

Simple picture of the ³He/³H ratio



³He/³H ratio results



Iso-scalar sum

Iso-scalar sum insensitive to Single Charge Exchange (SCX)



Iso-scalar sum described by theory at higher p_{miss}



Planned experiment to explore higher momentum in CLAS12

 σ_{FSI} from M. Sargsian, using Generalized Eikonal Approximation, excludes FSI₂₃

Re-visiting the EMC Effect with SRCs



 $\Delta F_2^N = F_2^{N*} - F_2^N$

$$F_2^d = F_2^p + F_2^n + n_d^{SRC} \left(\Delta F_2^p + \Delta F_2^n\right)$$
$$a_2 \equiv \frac{2}{A} n_A^{SRC} / n_d^{SRC}$$



Implies heavier nuclei with many more neutrons than protons, each proton is more likely than each neutron to belong to an SRC pair and hence to have its quark structure distorted.

B. Schmookler et al, <u>Nature 566, 354 (2019)</u>

1.2

1.1

0.9

0.8

0.7

 $[F_2^A/A]/[F_2^d/2]$

Is there an EMC Effect in the deuteron?



Griffioen et al, PRC 92, 015211 (2015)

The big EMC Effect question



How can we test the Big Question?

Measure the in-medium modified(?) structure function F_2 in DIS as a function of nucleon momentum:

$$\frac{d^{3}\sigma}{d\Omega dE'} = \left(\frac{d\sigma}{d\Omega}\right)_{Mott} \left[\frac{1}{\omega} F_{2}(x_{B}, Q^{2}) + \frac{2}{M} F_{1}(x_{B}, Q^{2}) \cdot \tan^{2}\left(\frac{\theta_{e}}{2}\right)\right]$$

 F_1 and F_2 are related by R, the measured ratio of longitudinal and transverse cross sections. Thus, the cross section yields F_2 .

All nucleons modified

- F₂ independent of momentum
- $F_2 \neq$ free F_2 (small difference for all nucleons)

SRC nucleons modified

- F₂ varies with momentum
- $F_2 \neq$ free F_2 (big difference for high momentum nucleons)

Two experiments that test this: BAND in Hall B (analyzing) LAD in Hall C (runs in 2024)



Exploring the QCD transition



Modifications in the structure and interactions of hadrons in the nucleus. The transition from quark-gluon to nucleon-meson degrees of freedom.

Nuclear transparency-> Color transparency

Nuclear transparency

Probability knocked out proton in scattering to be deflected or absorbed.

Ratio of cross-sections for exclusive processes from nuclei and nucleons is the Transparency.

$$T_A = \frac{\sigma_A}{A \sigma_N} \underbrace{ (\text{nuclear cross section})}_{\text{(free nucleon cross section)}}$$

 $\sigma_A = \sigma_N A^{\alpha}$

Hadron-nucleus total cross section



Absorption cross section momentum independent. Tendency of $\alpha \rightarrow 2/3$ expected for opaque nucleus.

NN cross section

NN cross section is essentially energy independent

pp scattering cross section

pn scattering cross section



Transparency ingredients

Traditional nuclear physics calculations (Glauber) predict energy independent transparency:



Measuring transparency:

- scattering cross section
- Glauber multiple scattering
- Correlations and Final State Interaction (FSI) effects

Color transparency



- Introduced by Mueller and Brodsky, 1982
- Vanishing of initial/final state interaction of hadrons with nuclear medium in exclusive processes at high momentum transfer

Color transparency is a fundamental prediction of QCD

Quantum mechanics: Hadrons fluctuate to small transverse size (squeezing, transferred momentum)



Relativity:

Maintains this small size as it propagates out of the nucleus (*freezing*, transferred energy)

Strong force:

Experience reduced attenuation in the nucleus, color screened

Onset of color transparency



- Not predicted by strongly interacting hadronic picture → arises in picture of quark-gluon interactions
- QCD: color field of singlet objects vanishes as size is reduced
- Signature is a rise in nuclear transparency, T_A, as a function of the momentum transfer, Q²

Scales of color transparency

- Selection of the small size configuration ("squeezing", transferred momentum)
- At intermediate energies, expansion of of hadron also important ("freezing", transferred energy)
- Interplay between squeezing and freezing to determine the scale for onset of CT



CT at high energies

Coherent diffractive dissociation of 500 GeV/c pions on C and Pt π + A \rightarrow 2 jets + A'



CT at high energies

Vector meson production at HERA b (GeV^{-z}) 12 ΖΕUS ρ⁰ 10 ZEUS J/ψ FKS ρ⁰ FKS J/ψ ----8 6 do. $\propto e^{-bt}$ \overline{dt} 4 2 0 30 20 25 35 40 45 0 5 10 15 Q^2 (GeV²)

Convergence of t-slope at large Q² is seen to be related to presence of small configuration qq-bar

Relation to factorization



Color cancellation needed for factorization: -> small objects

-> at high Q², small size object moves through nucleus

- Connection of GPDs to exclusive cross sections enable rigorous mapping of complete nucleon wave functions
- GPD framework assumes dominance of the handbag model
- Outgoing meson maintains small transverse size, suppressing soft interactions → factorization
- Factorizes into a hard interaction with single quark and soft part (GPDs)

No FSIs in DIS

 $F_2(x,q^2)$ from HERA



- DIS from heavy targets at high energies shows Bjorken scaling
- evidence of no FSI \rightarrow CT?

CT experiments





Previous measurements in mesons

Enhancements consistent with CT (increasing with Q² and A) observed

Hall C E01-107 pion electro-production



B.Clasie et al. PRL 99:242502 (2007)

X. Qian et al. PRC81:055209 (2010)

CLAS E02-110 rho electro-production



Previous measurements in baryons in 6 GeV era

A(e,e'p) results consistent with standard nuclear physics



G. Garino et al. PRC 45, 780 (1992) D. Abbott et al. PRL 80, 5072 (1998) K. Garrow et al. PRC 66, 044613 (2002)

A(p,pp)



Transparency in A(p,pp) experiment at Brookhaven:

- observed enhancement in transparency
- inconsistent with CT only
- could be explained by including nuclear filtering or charm resonance



JLab 12 GeV closes the loophole...



Possible explanations



Time to re-think our approach



Traditionally looked in regions with already reduced FSIs (parallel kinematics)

New approach to choose kinematics with large FSIs and compare with kinematics with low FSIs and map the Q² dependence

Parallel kinematics -> high rates, small FSI proton initial momentum parallel to q-vector





Deuteron electrodisintegration





- Region at p_r<250 MeV corresponds to OPEP well known (long range, common to all potentials)
- Dominated by PWIA up to different pr

Rescattering kinematics

Deuteron has well known FSI contributions from double scattering

Double-scattering is the square of re-scattering amplitude of knocked out nucleon

Can construct the ratio, R = XS high FSI / XS low FSI – varied by P_r or angle


Sensitivity to CT

Well-described by GEA (more realistic at higher Q²)



Imminent experiments examining the onset of CT in mesons

Measure the onset in pion electro-production over large momentum range in Hall C



Onset of CT in the rho-meson

Rho transparency measurements will be extended to highest Q² in Hall B





Review

Different avenues to better bridge the gap between the observances in the real world and QCD dynamics

SRCs provide a unique insight in QCD dynamics in the nucleus:

- Related to local density (as is the EMC Effect)
- Universal to all nuclei
- Dominated the high momentum tail
- Tensor dominated
- Further studies in this area using spectator tagging will give us better clues on the relation to the EMC Effect



Review

Different avenues to better bridge the gap between the observances in the real world and QCD dynamics

Onset of Color Transparency is important:

- Direct link from quark-gluon d.o.f. to the nucleonic picture
- Tells us where factorization theorems are relevant (essential for GPDs)
- Assumed in high energy reactions
- Onset but not the plateau is generally observed for mesons and will be explored further in the 12 GeV program
- Onset in protons is not yet established

DIS:

Hadronization

- Q² > 1 GeV²
 W > 2 GeV (DIS)
- x > 0.1 (decreases contribution from quark pair production
- $Z=E_h/\nu > 0.4$ (quark in the hadron)



Hadronization

Vary the nuclear sizes and momenta to extract QCD characteristic times and reaction mechanisms

Parton (quark) propagation phase: Identified by pT broadening

Hadron formation phase: Identified by hadron attenuation



pT broadening



Broadening increases with A.

No broadening when z=1 (not shown).

Therefore broadening due to quark, not prehadron!