

The background features a stylized particle collision. A green beam of light enters from the left, hitting a large, semi-transparent sphere. Inside and around this sphere are smaller, colorful spheres (red, blue, orange) representing particles. A red beam of light enters from the right, also interacting with the central sphere. The overall scene is set against a dark blue background with scattered light particles.

# QCD Dynamics in electron-nucleus collisions

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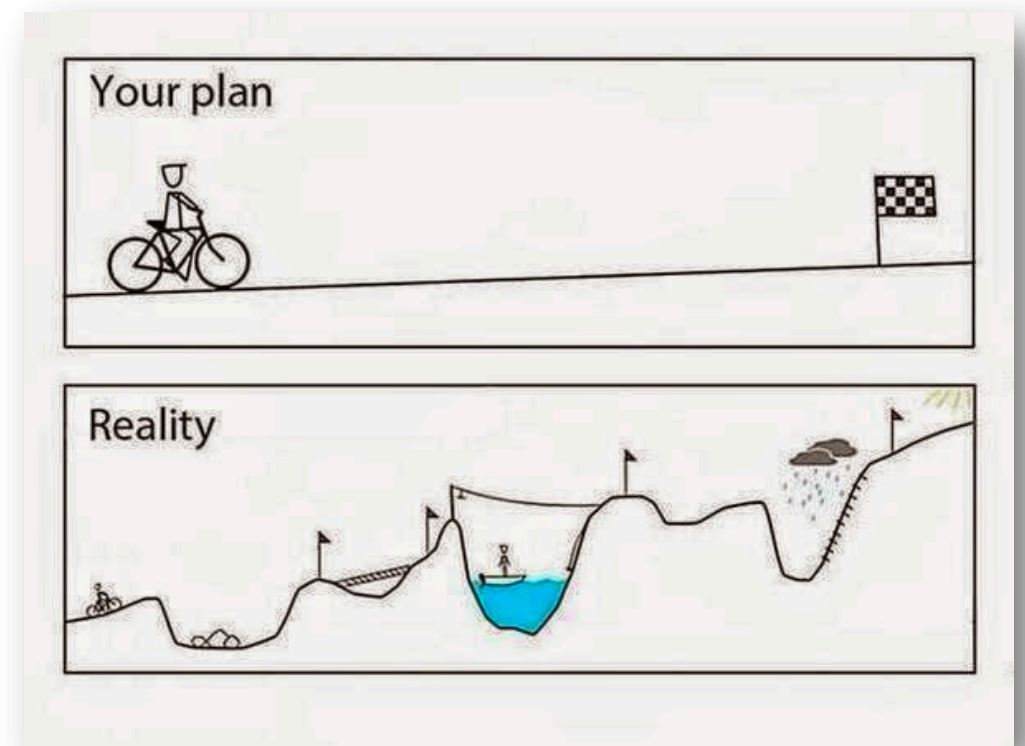
38<sup>th</sup> Annual Hampton University Graduate Summer Program (HUGS)

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Jefferson Lab

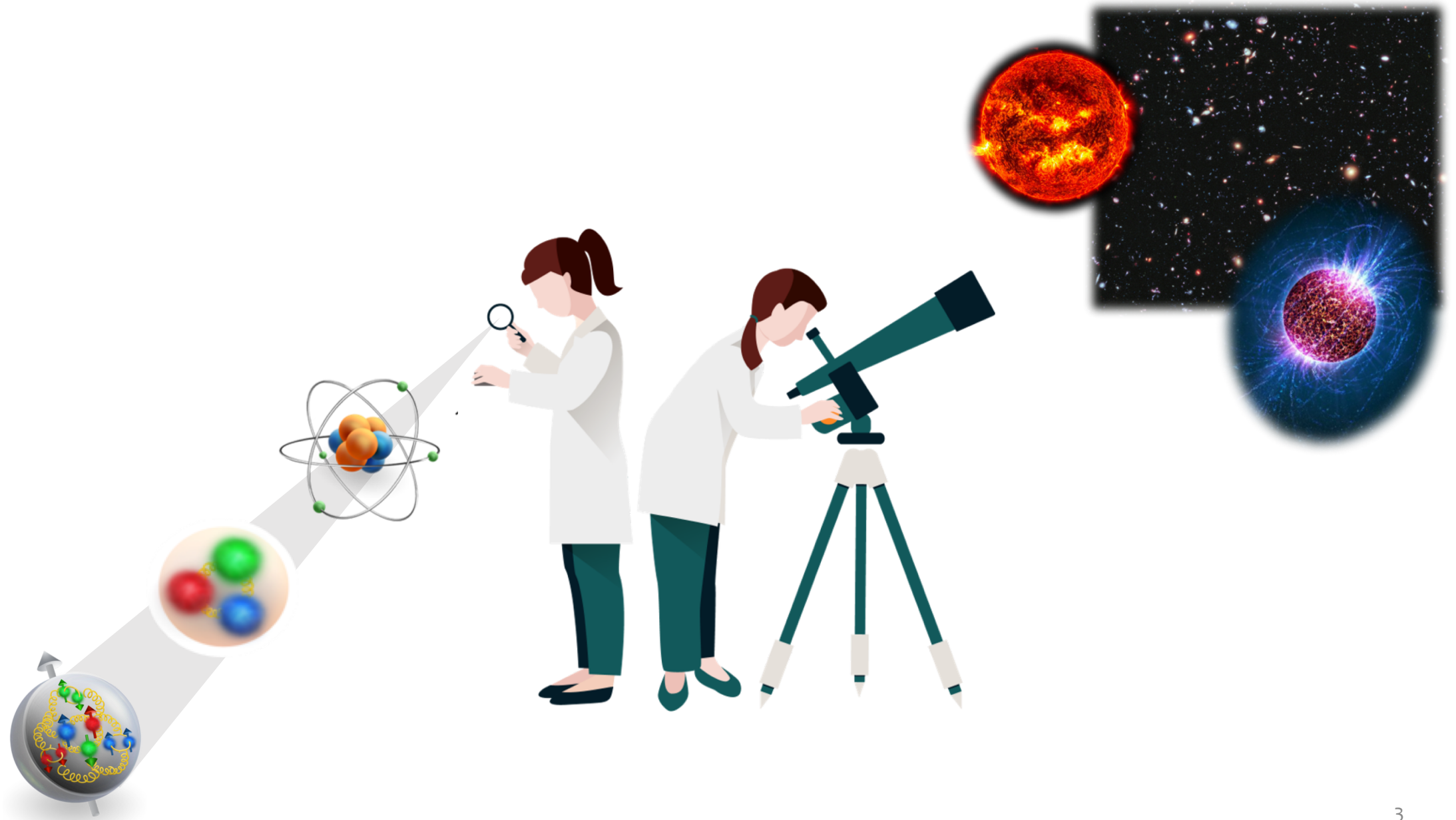
# Course Overview

- Nuclear systems and the electron scattering probe
  - Elastic scattering
  - Quasielastic scattering
  - Deep inelastic scattering
- Hadrons in the nucleus
  - Short and long range dynamics
  - EMC effect
  - Hadronization and color transparency
- Implications and open questions

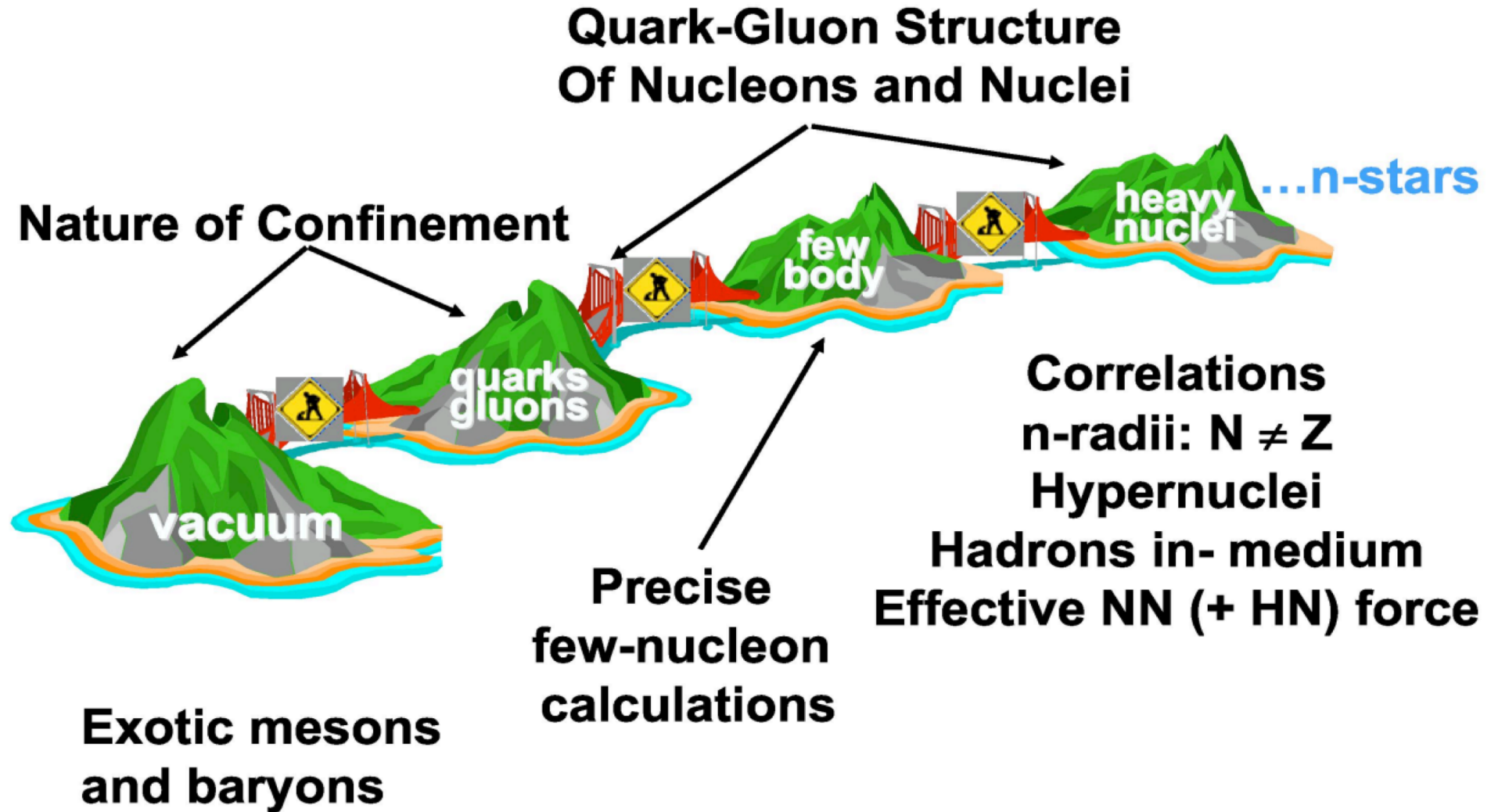




# What are we trying to learn about?



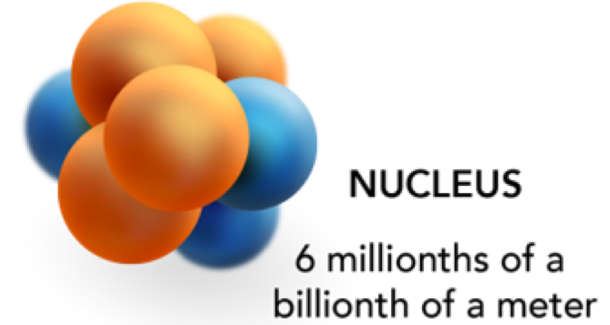
# From nothing to everything!



# Systems

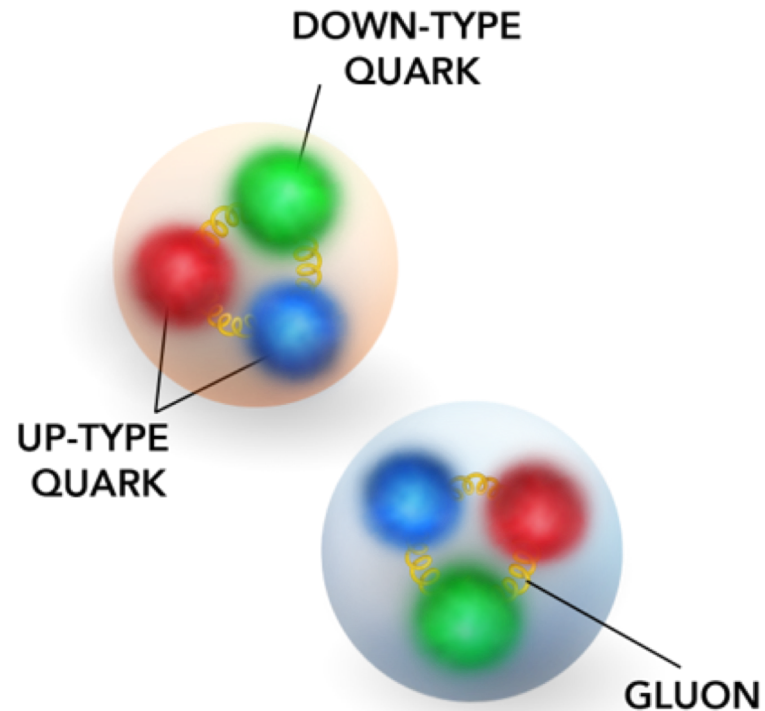
## Nucleus as a system

- Collection of bound protons and neutrons



## Nucleons as a system

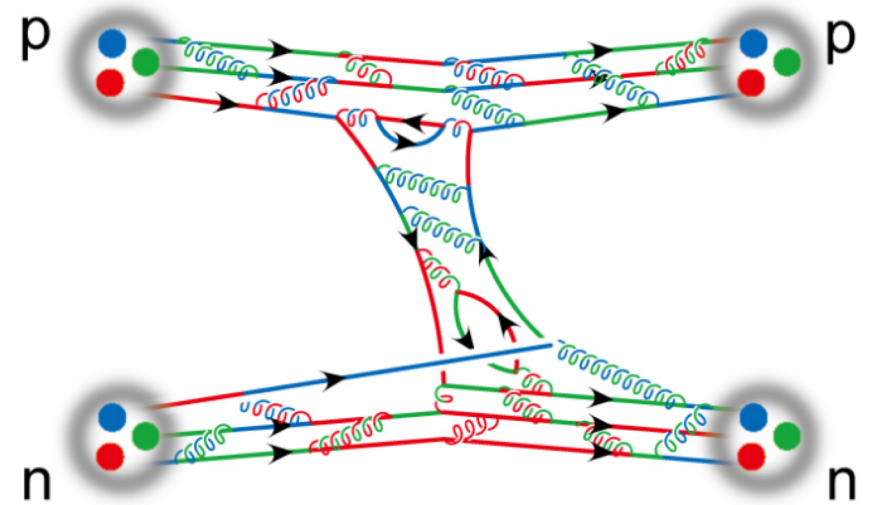
- Collection of bound quarks



# Interactions

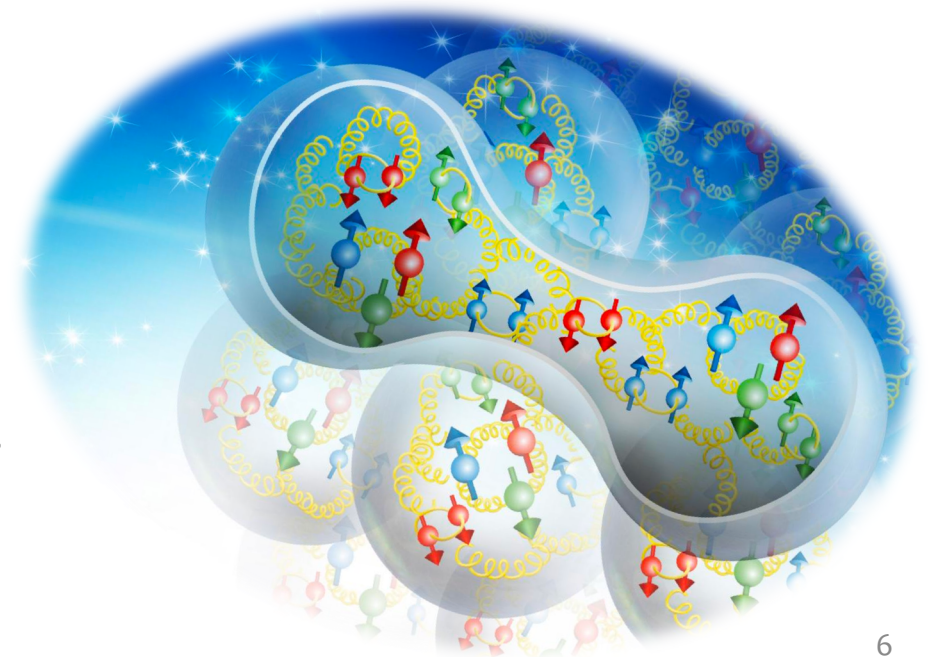
## Nuclear interaction

Arises from quark interactions



“It’s an energy field created by all living things. It surrounds us and penetrates us. It binds the galaxy together.”

**Nuclear in-medium effects**  
Affecting quark distributions





# Interactions

## Nuclear interaction

Arises from quark interactions



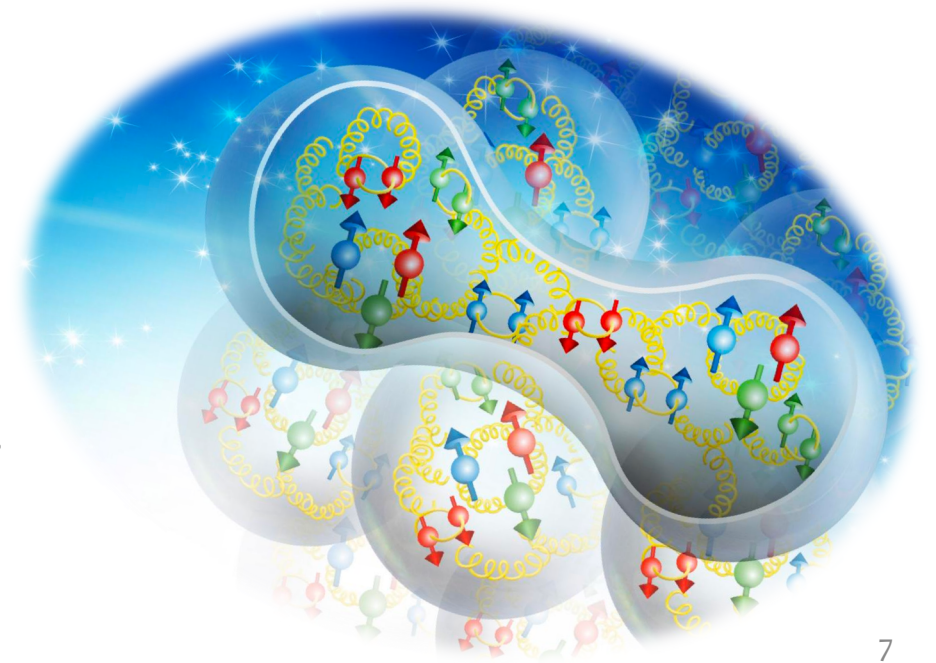
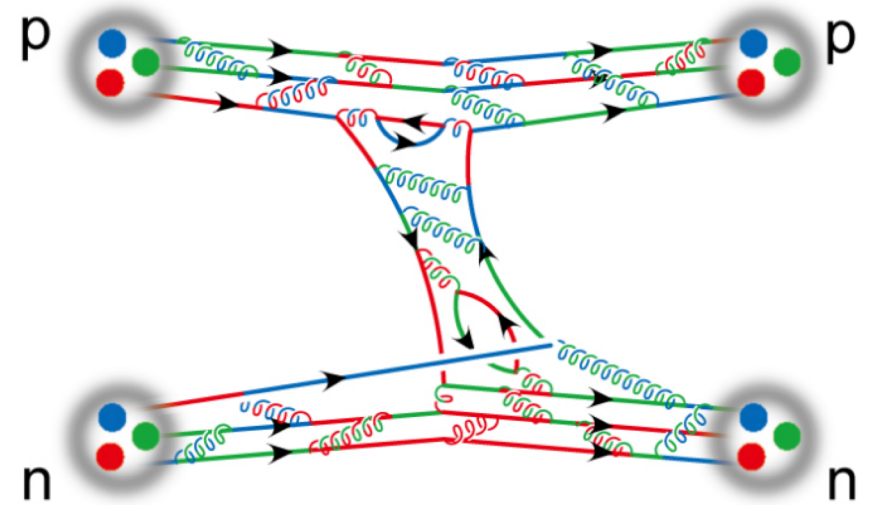
interaction

quarks & gluons

~~“It’s an energy field created by all living things. It surrounds us and penetrates us. It binds the galaxy together.”~~

nucleus

**Nuclear in-medium effects**  
Affecting quark distributions





# Relevant quantities



- Shape (radius, deformation...)
- EM charge distribution (form factors)
- Nucleon momentum distributions (wave function)
- Clustering and correlations
- Nuclear forces from quark interactions
- Quark structure of bound and free nucleons
- Gravitational density of gluons (gluonic gravitational form factors)
- Transparency and hadronization (QCD confinement)



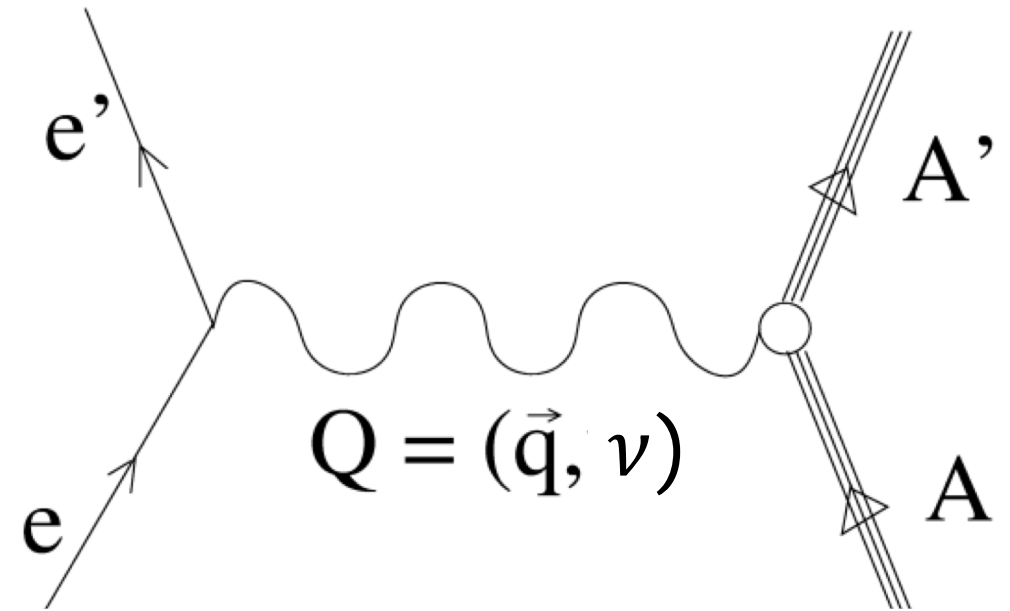
# Electron scattering as a nuclear microscope

Good stuff:

- Probe structure is understood (point-like)
- Electromagnetic interaction is well-described (QED)
- Interaction is weak ( $\alpha = 1/137\dots$ )
  - Theory works! First Born Approximation/  
single photon exchange
  - Probe interacts once
  - Probe the entire nuclear volume

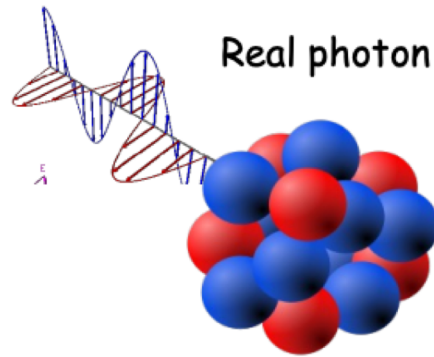
Drawbacks:

- Cross sections are small
- Electrons radiate



# It's all photons!

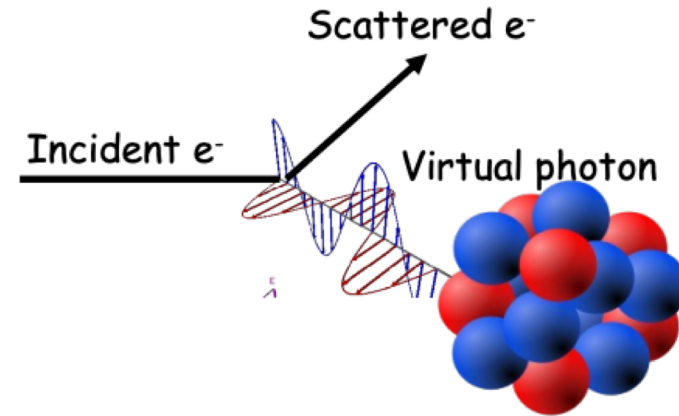
The electron interacts with the nucleus by exchanging and single **virtual photon**



Real photon:

Momentum,  $q = \text{Energy}, \nu$

Mass,  $Q^2 = |q|^2 - \nu^2 = 0$



Virtual photon (massive):

Momentum,  $q > \text{Energy}, \nu$

Mass,  $Q^2 = -q_\mu q^\mu = |q|^2 - \nu^2 > 0$

# Probing the structure of the proton

Interaction of the virtual photon with the proton depends strongly on wavelength

i.e.  $\lambda \approx \frac{\hbar}{q}$  describes the spatial resolution

$$\lambda \gg r_p$$

**Very low** electron energies, scattering is equivalent to that from a “point-like” spin-less object

$$\lambda \sim r_p$$

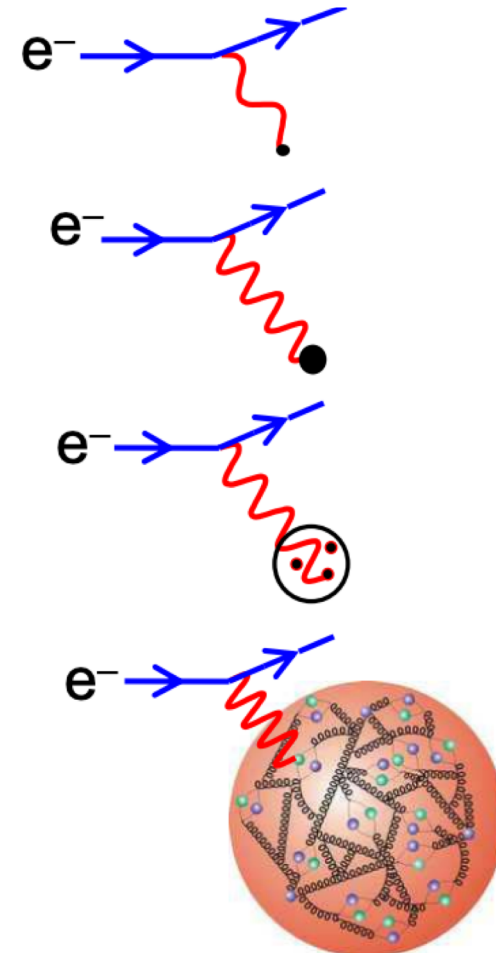
**Low** electron energies (0.2-1 GeV/c), scattering is equivalent to that from extended charged object

$$\lambda < r_p$$

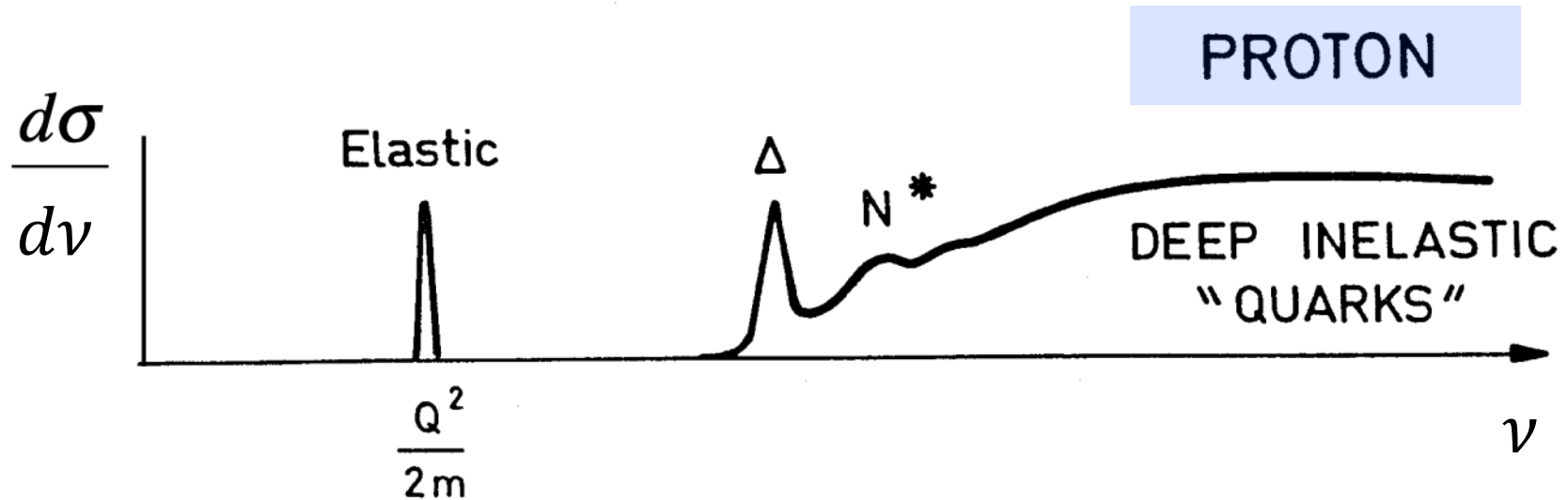
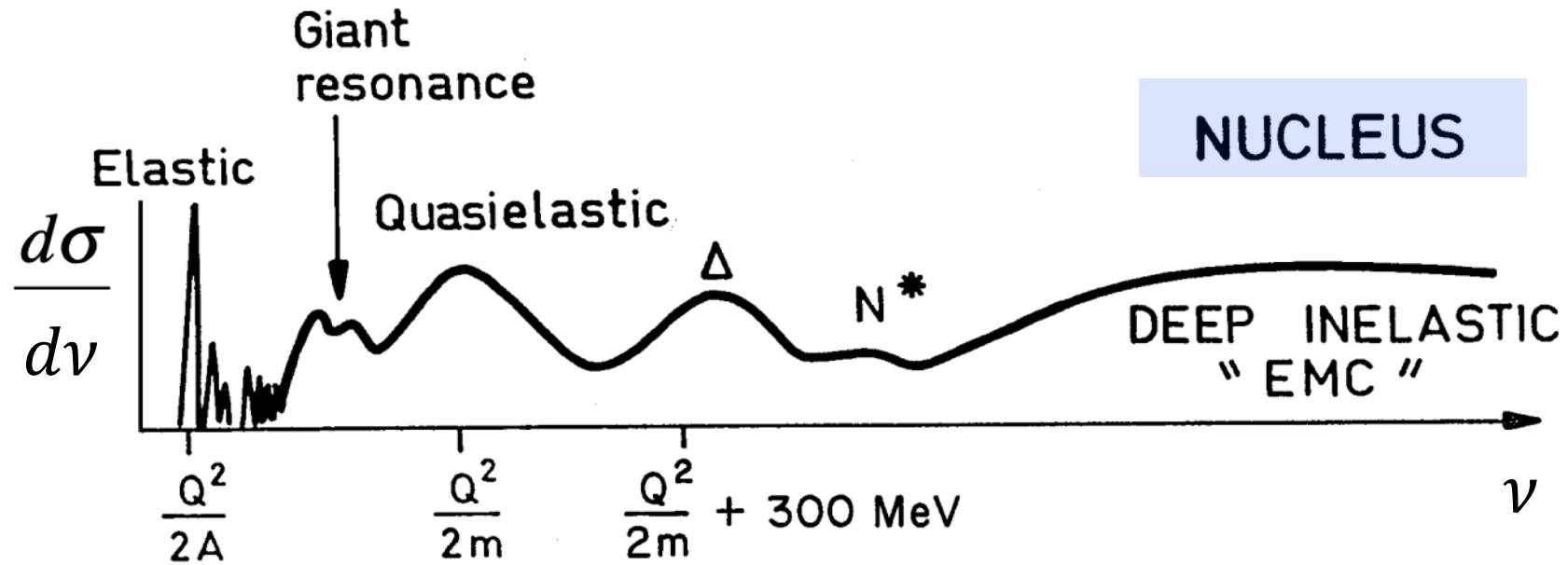
**High** electron energies (1 GeV/c +), scattering from constituent quarks and resolve sub-structure

$$\lambda \ll r_p$$

**Very high** electron energies, proton appears to be a sea of quarks and gluons

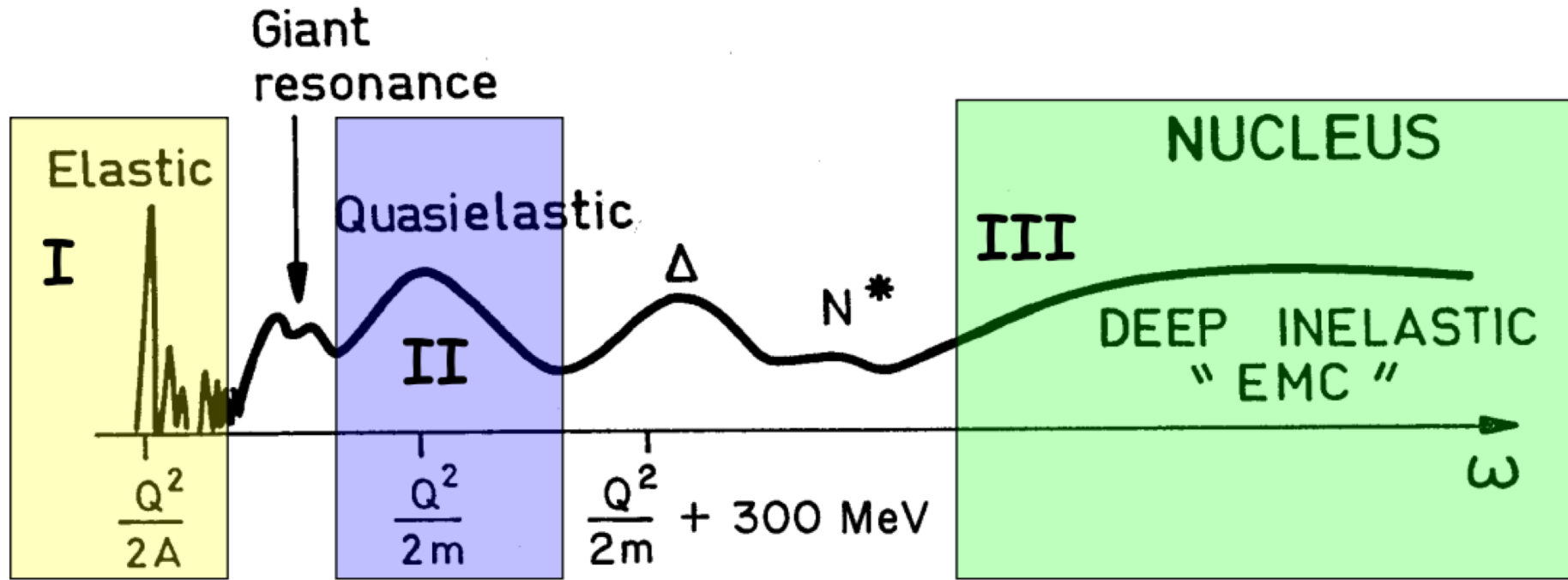


# Generic (e,e') at fixed momentum transfer

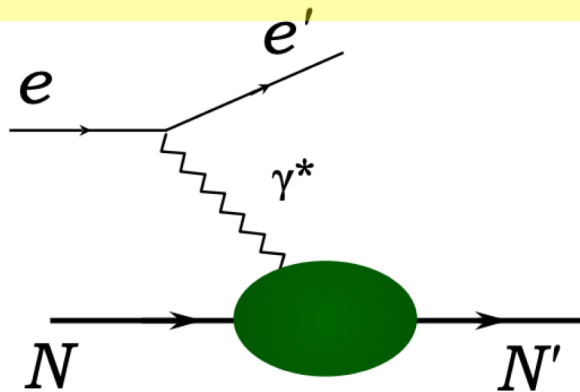




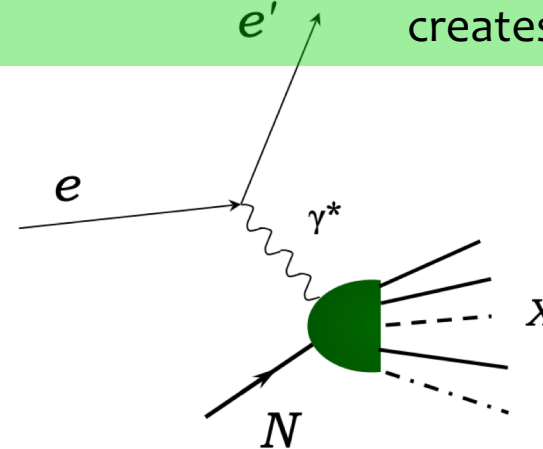
# Different kinematics teach us different things



**Elastic scattering:** nucleon initial and final state the same



**Deep inelastic scattering:** nucleon state has changed, creates new particles



# What can we learn?

## Elastic

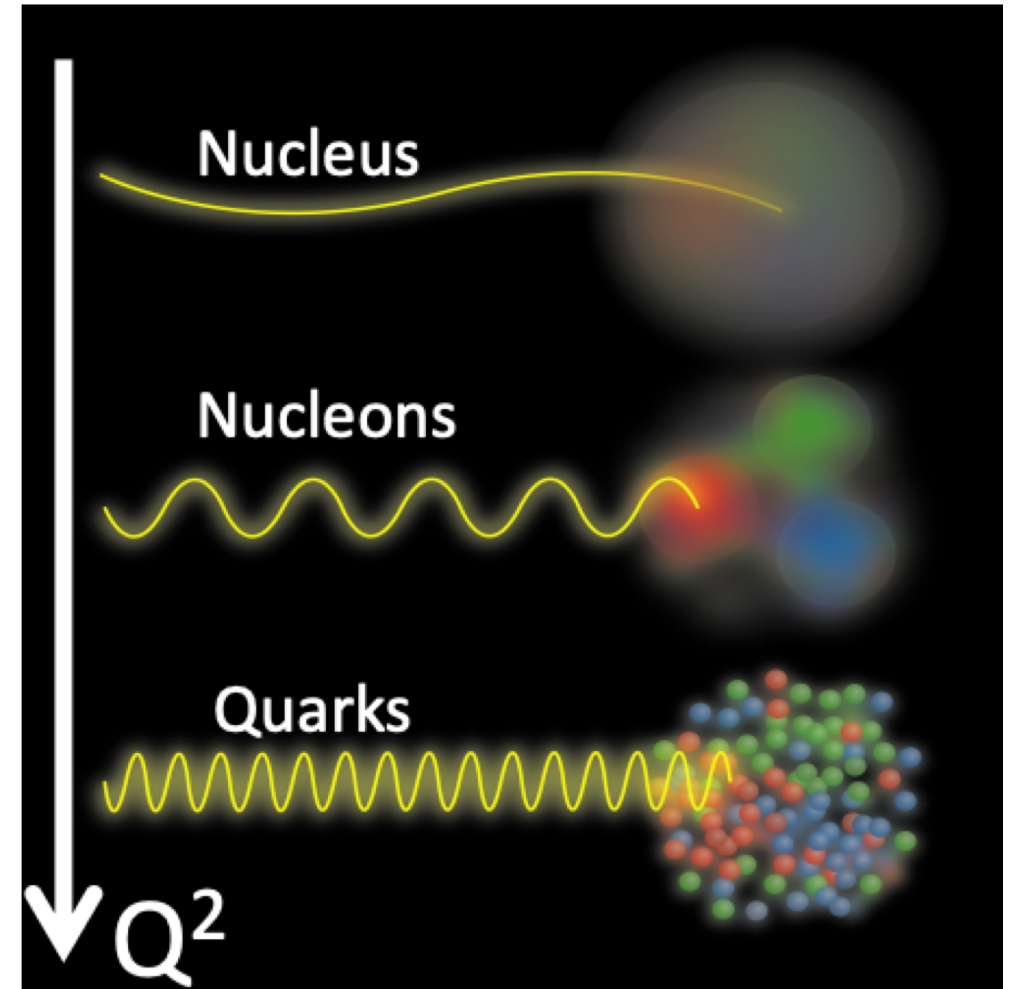
- Nuclear structure: Nuclear charge radius, nuclear neutron radius, electromagnetic form factors and charge distributions

## Quasielastic

- Momentum distributions, shell structure, shell occupancies, short-range correlated pairs, transparency, medium modification

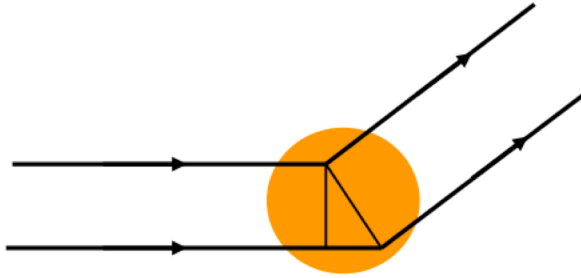
## Deep inelastic

- EMC effect, nucleon modification, hadronization, nucleon structure, meson production

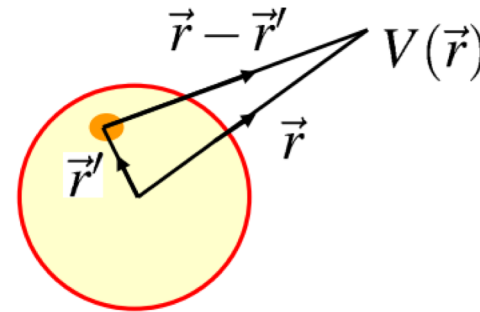


# Elastic scattering: Form factors

Form factors are similar to the diffraction of plane waves in optics



Scattering of the electron in the static potential due to an extended charge distribution:



The potential at  $\vec{r}$  from the center is given by:

$$V(\vec{r}) = \int \frac{Q\rho(\vec{r}')}{4\pi|\vec{r} - \vec{r}'|} d^3\vec{r}' \quad \text{where} \quad \int \rho(\vec{r})d^3\vec{r} = 1$$

# Elastic scattering: Form factors

Using first order perturbation theory to calculate the matrix element:

$$\begin{aligned} M_{fi} &= \langle \psi_f | V(\vec{r}) | \psi_i \rangle = \int e^{-i\vec{p}_3 \cdot \vec{r}} V(\vec{r}) e^{i\vec{p}_1 \cdot \vec{r}} d^3\vec{r} \\ &= \int \int e^{i\vec{q} \cdot \vec{r}} \frac{Q\rho(\vec{r}')}{4\pi|\vec{r} - \vec{r}'|} d^3\vec{r}' d^3\vec{r} = \int \int e^{i\vec{q} \cdot (\vec{r} - \vec{r}')} e^{i\vec{q} \cdot \vec{r}'} \frac{Q\rho(\vec{r}')}{4\pi|\vec{r} - \vec{r}'|} d^3\vec{r}' d^3\vec{r} \end{aligned}$$

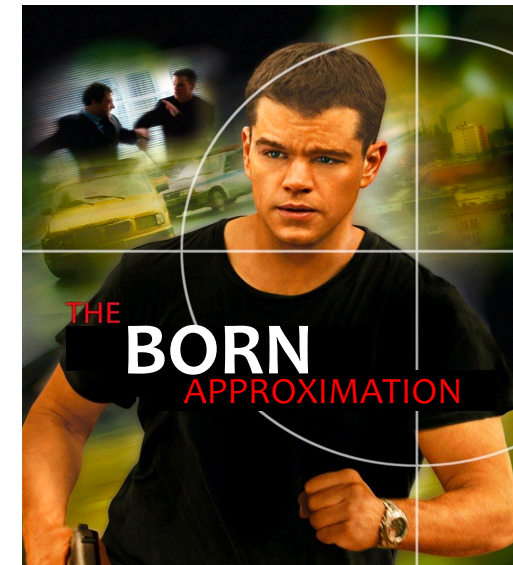
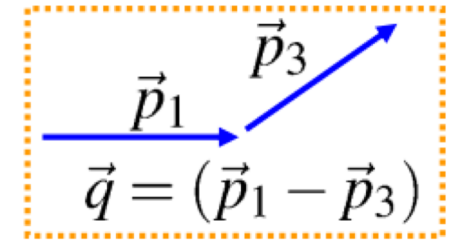
Fixing  $\vec{r}'$  and integrating over the  $d^3\vec{r}$  distribution while substituting  $\vec{R} = \vec{r} - \vec{r}'$ :

$$M_{fi} = \int e^{i\vec{q} \cdot \vec{R}} \frac{Q}{4\pi|\vec{R}|} d^3\vec{R} \int \rho(\vec{r}') e^{i\vec{q} \cdot \vec{r}'} d^3\vec{r}' = (M_{fi})_{point} F(\vec{q}^2)$$

The resulting matrix element is equivalent to the matrix element for scattering from a *point source* multiplied by the **form factor**!

The form factor is the Fourier transform of the charge distribution:

$$F(\vec{q}^2) = \int \rho(\vec{r}) e^{i\vec{q} \cdot \vec{r}} d^3\vec{r}$$



# Elastic scattering: Form factors

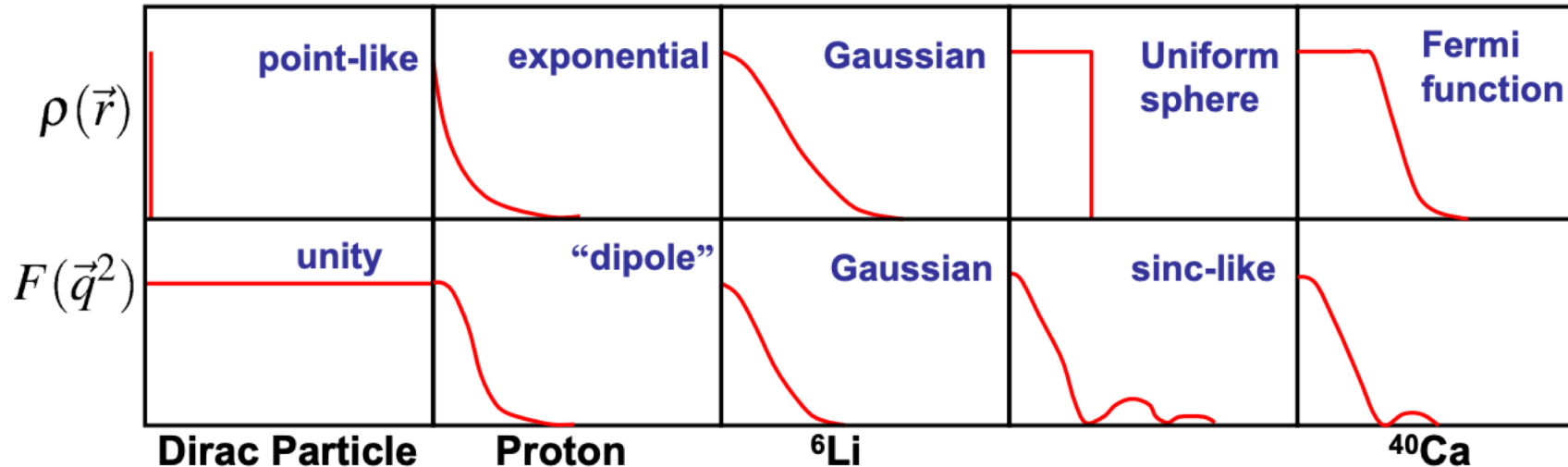
Recall the Mott cross section:

- Scattering from point-like object
- Target recoil neglected
- Scattered particle relativistic ( $E \gg m_e$ )



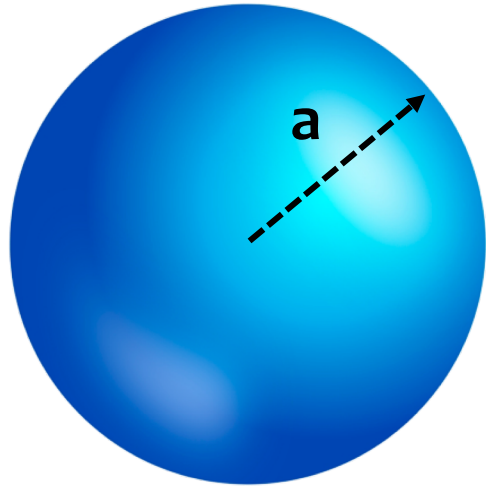
Rutherford formula, rel.      Overlap of initial/final state electron w.f.

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \rightarrow \underbrace{\frac{\alpha^2}{4E^2 \sin^4 \theta / 2}}_{\text{Mott XS}} \underbrace{\cos^2 \frac{\theta}{2}}_{\text{Overlap of initial/final state electron w.f.}} \underbrace{|F(\vec{q}^2)|^2}_{\text{FF}}$$





# Imagine a sphere



Radius =  $a$

Assume a uniform charge density,  $\rho_0$

Thus, the charge is:  $Z = \frac{4\pi}{3} a^3 \rho_0$

The form factor (Fourier transform) is given:

$$\begin{aligned}
 F(q) &= \frac{1}{Z} \int d\vec{x} \rho_N(\vec{x}) e^{i\vec{q} \cdot \vec{x}} \\
 &= \frac{1}{Z} \int_0^{2\pi} d\phi \int_{-1}^1 d\cos\theta \int_0^a r^2 dr \rho_0 e^{iqr \cos\theta} \\
 &= \frac{1}{Z} 2\pi \int_0^a r^2 dr \rho_0 \frac{e^{iqr} - e^{-iqr}}{iqr} \\
 &= 3 \frac{\sin aq - aq \cos aq}{(aq)^3}.
 \end{aligned}$$

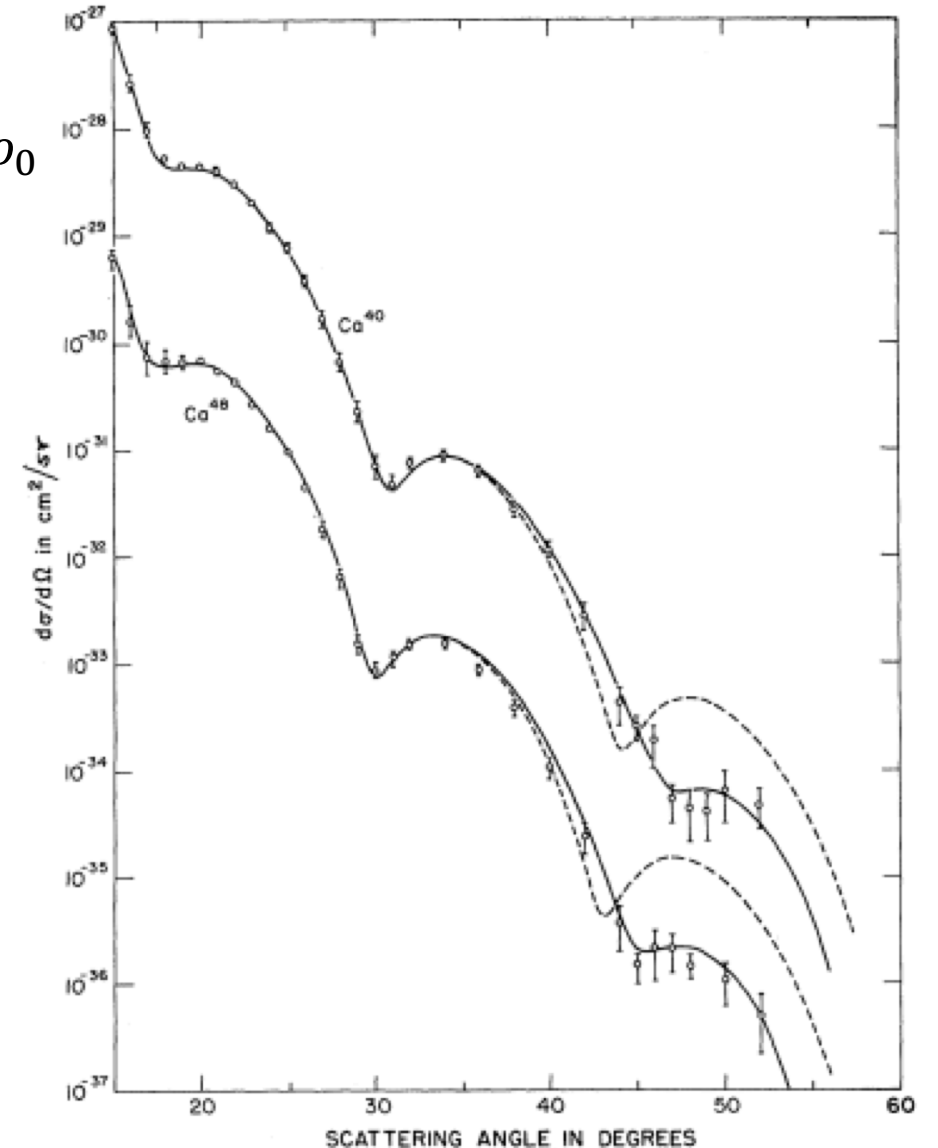


Figure 1: Elastic electron scattering off calcium. Taken from J. B. Bellicard et al, *Phys. Rev. Lett.*, **19**, 527 (1967)

# Charge Distributions from scattering

- Nuclei are approximately a spherical ball of fixed density
- General size of nucleus scales as  $A^{1/3}$
- Nuclear radii are approximately  $1.12 \text{ fm} \times A^{1/3}$
- Precise scattering experiments show that the FF has an approximate dipole form:

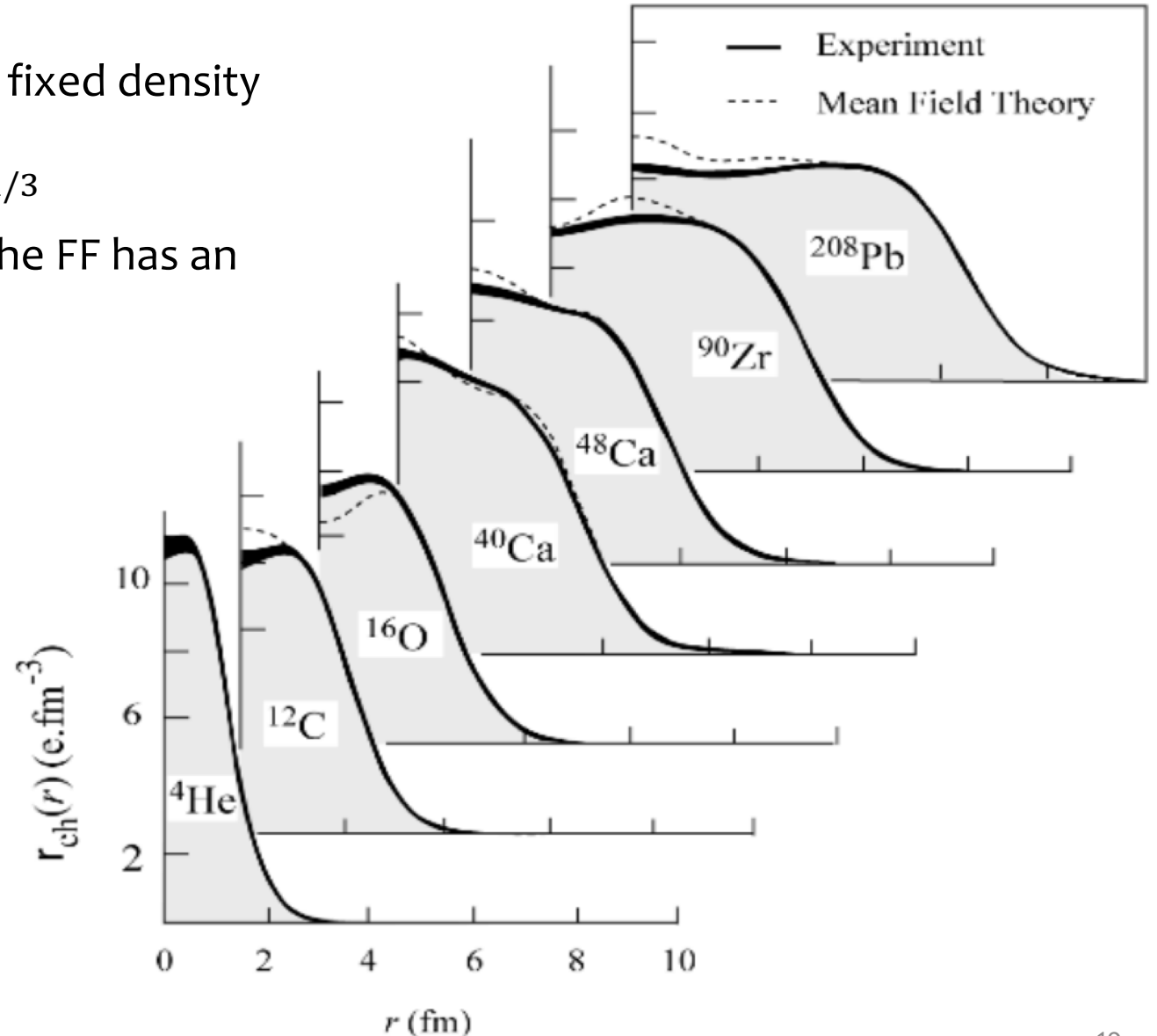
$$F(q) \simeq \frac{1}{(1 + q^2 a_N^2)^2}$$

where  $a_N \approx 0.26 \text{ fm}$

Hence, the charge density of the proton falls off as  $e^{-r/a_N}$

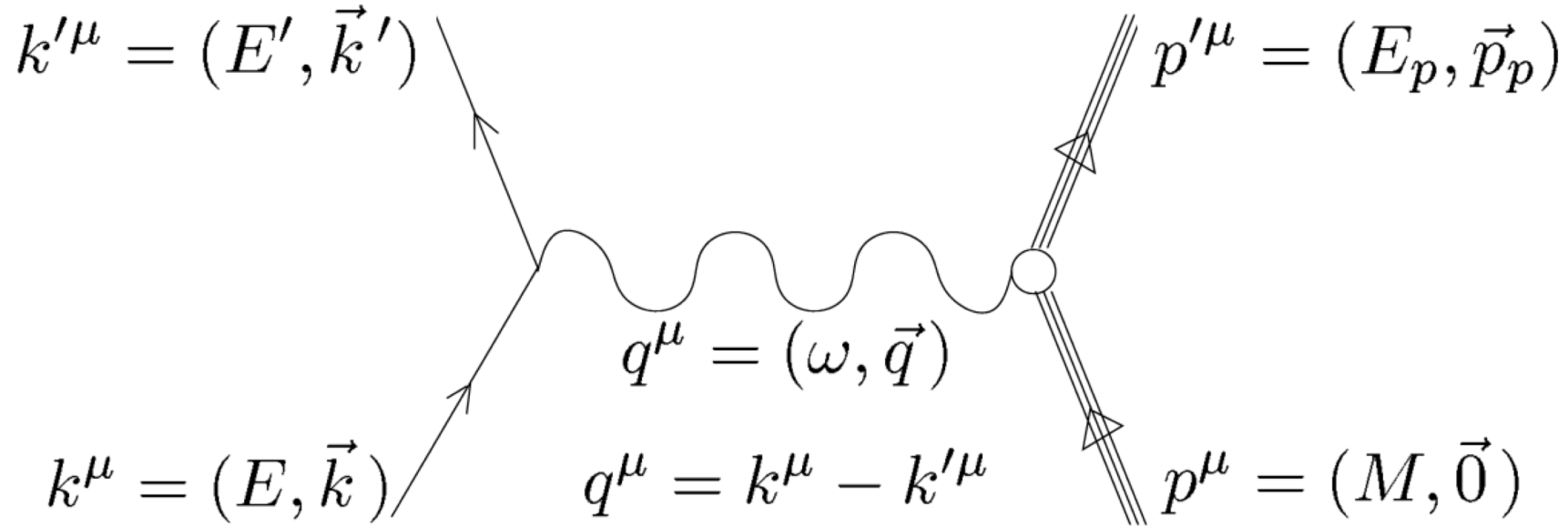
A common description (different units) that

we will revisit:  $G_D = \left(1 + \frac{Q^2}{0.71}\right)^{-2}$



# Consider the recoiling proton

Lab frame kinematics



Invariants:

$$p^{\mu} p_{\mu} = M^2$$

$$p_{\mu} q^{\mu} = M\omega$$

$$Q^2 = -q^{\mu} q_{\mu} = |\vec{q}|^2 - \omega^2$$

$$W^2 = (q^{\mu} + p^{\mu})^2 = p'_{\mu} p'^{\mu}$$

# Proton of finite size

Elastic scattering (relativistic) from a point-like Dirac proton:

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta / 2} \frac{E_3}{E_1} \left( \underbrace{\cos^2 \frac{\theta}{2}}_{\text{Rutherford}} - \underbrace{\frac{q^2}{2M^2} \sin^2 \frac{\theta}{2}}_{\text{Proton recoil}} \right)$$

**Electric/  
Magnetic  
scattering**      **Magnetic term  
due to spin**

But the proton is not point-like! The finite size of the proton accounted for by 2 structure functions

- Charge distribution described by  $G_E(q^2)$
- Magnetic moment distribution described by  $G_M(q^2)$

Rosenbluth Formula:

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta / 2} \frac{E_3}{E_1} \left( \frac{G_E^2 + \tau G_M^2}{(1 + \tau)} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right)$$

# Descriptions of the proton

Recall, the Mott XS:  $\sigma_M = \frac{\alpha^2 \cos^2(\frac{\theta_e}{2})}{4E^2 \sin^4(\frac{\theta_e}{2})}$

$$\begin{aligned} \frac{d\sigma}{d\Omega} &= \sigma_M \left( \frac{E'}{E} \right) \left\{ \left[ F_1^2(Q^2) + \frac{Q^2}{4M^2} \kappa^2 F_2^2(Q^2) \right] + \frac{Q^2}{2M^2} [F_1(Q^2) + \kappa F_2(Q^2)]^2 \tan^2 \frac{\theta}{2} \right\} \\ &= \sigma_M \left( \frac{E'}{E} \right) \left[ \frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau \tan^2 \frac{\theta}{2} G_M^2(Q^2) \right] \\ &= \sigma_M \left( \frac{E'}{E} \right) \left[ \frac{Q^4}{\vec{q}^4} R_L(Q^2) + \left( \frac{Q^2}{2\vec{q}^2} + \tan^2 \frac{\theta}{2} \right) R_T(Q^2) \right] \end{aligned}$$

Nucleon Form Factors

- $F_1, F_2$ : Dirac and Pauli form factors
- $G_E, G_M$ : Sachs form factors (electric and magnetic)
  - $G_E(Q^2) \equiv F_1(Q^2) - \tau \kappa F_2(Q^2)$
  - $G_M(Q^2) \equiv F_1(Q^2) + \kappa F_2(Q^2)$
- $R_L, R_T$ : Longitudinal and transverse response fn

where  $\tau \equiv \frac{Q^2}{4M_N^2}$ ,  $\kappa$  is the anomalous magnetic moment



# Measuring the form factors

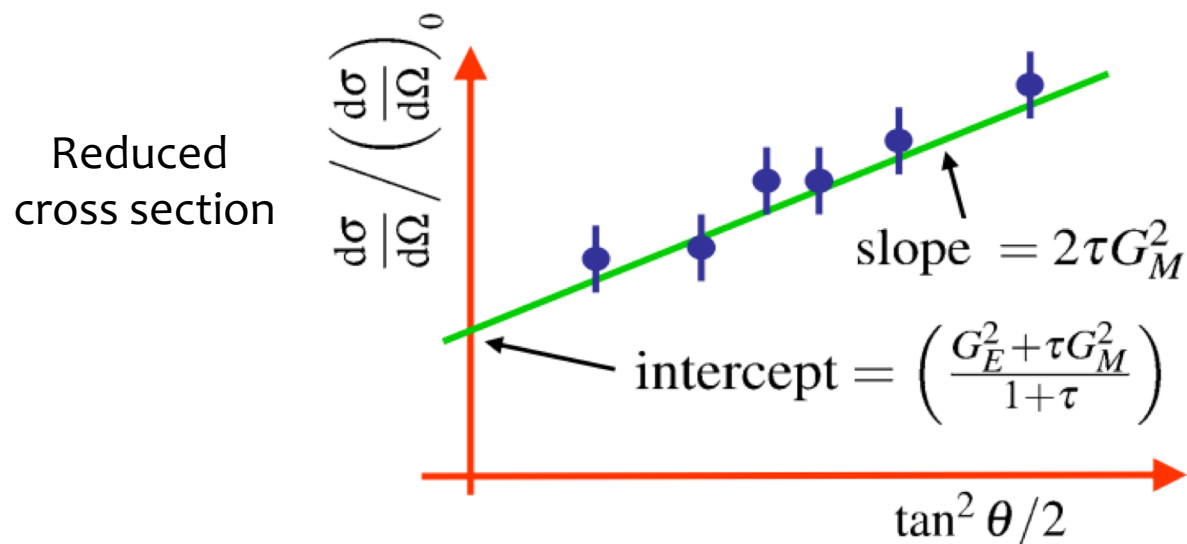
We can rewrite the cross section as:

$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_0 \left( \frac{G_E^2 + \tau G_M^2}{(1 + \tau)} + 2\tau G_M^2 \tan^2 \frac{\theta}{2} \right)$$

Where we have the Mott cross section including the proton recoil as:

$$\left(\frac{d\sigma}{d\Omega}\right)_0 = \frac{\alpha^2}{4E_1^2 \sin^4 \theta / 2} \frac{E_3}{E_1} \cos^2 \frac{\theta}{2}$$

Experimentally, we can study the angular dependence of the cross section at fixed  $Q^2$



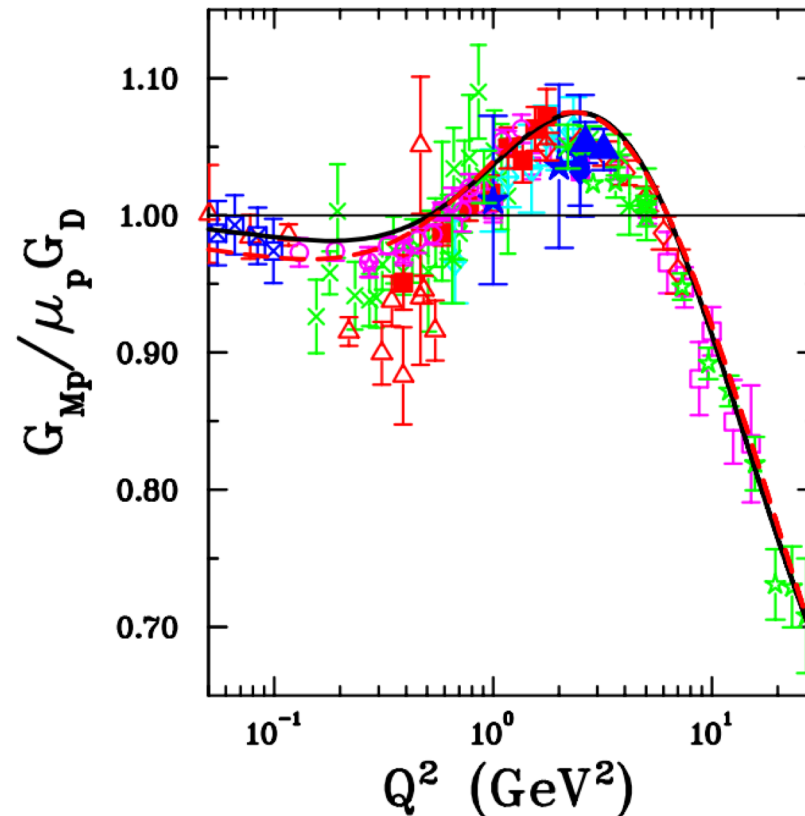
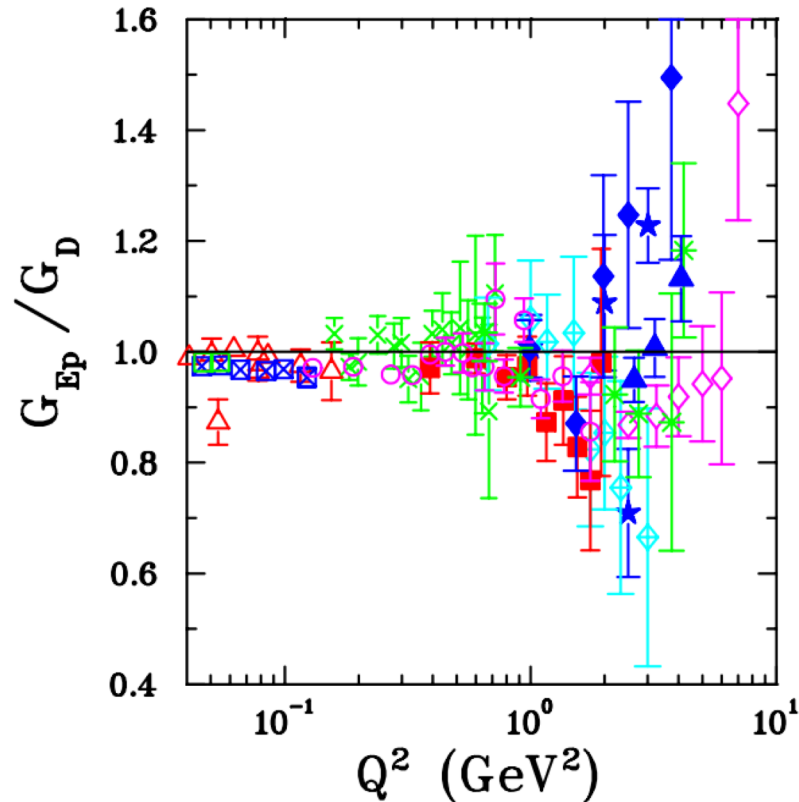
Rosenbluth separation, technique:  
note the sensitivity is to the squares of the FFs

# Form factor dependence

From elastic scattering on the proton, we determined the “dipole” form factor as:

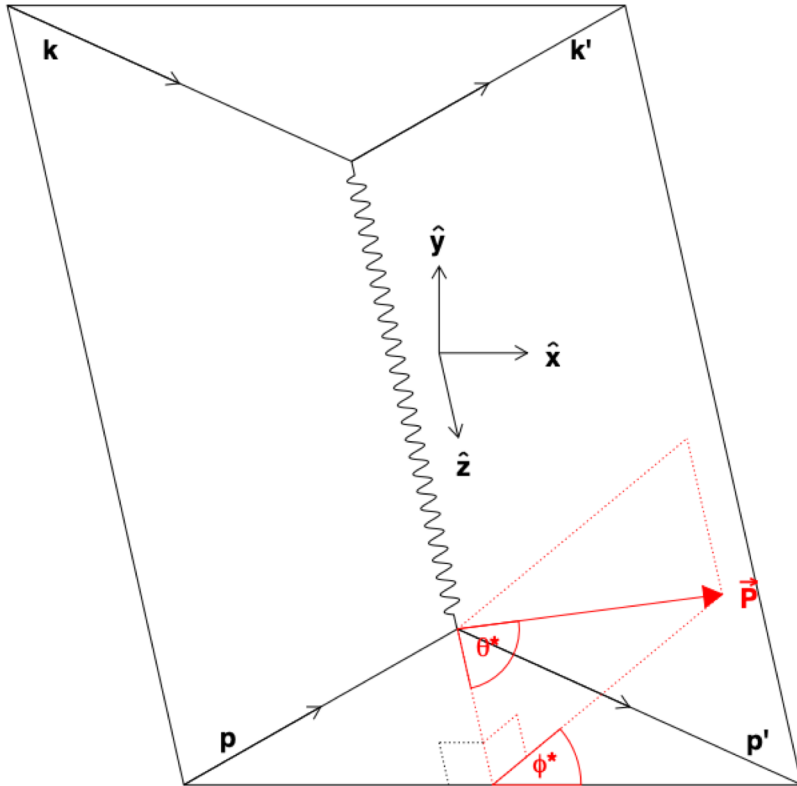
$$G_D = \left(1 + \frac{Q^2}{0.71}\right)^{-2}$$

Proton form factors from Rosenbluth separations:



# Improved sensitivity to the form factors

Longitudinally polarized beam and measuring the polarization transferred to the recoiling nucleon



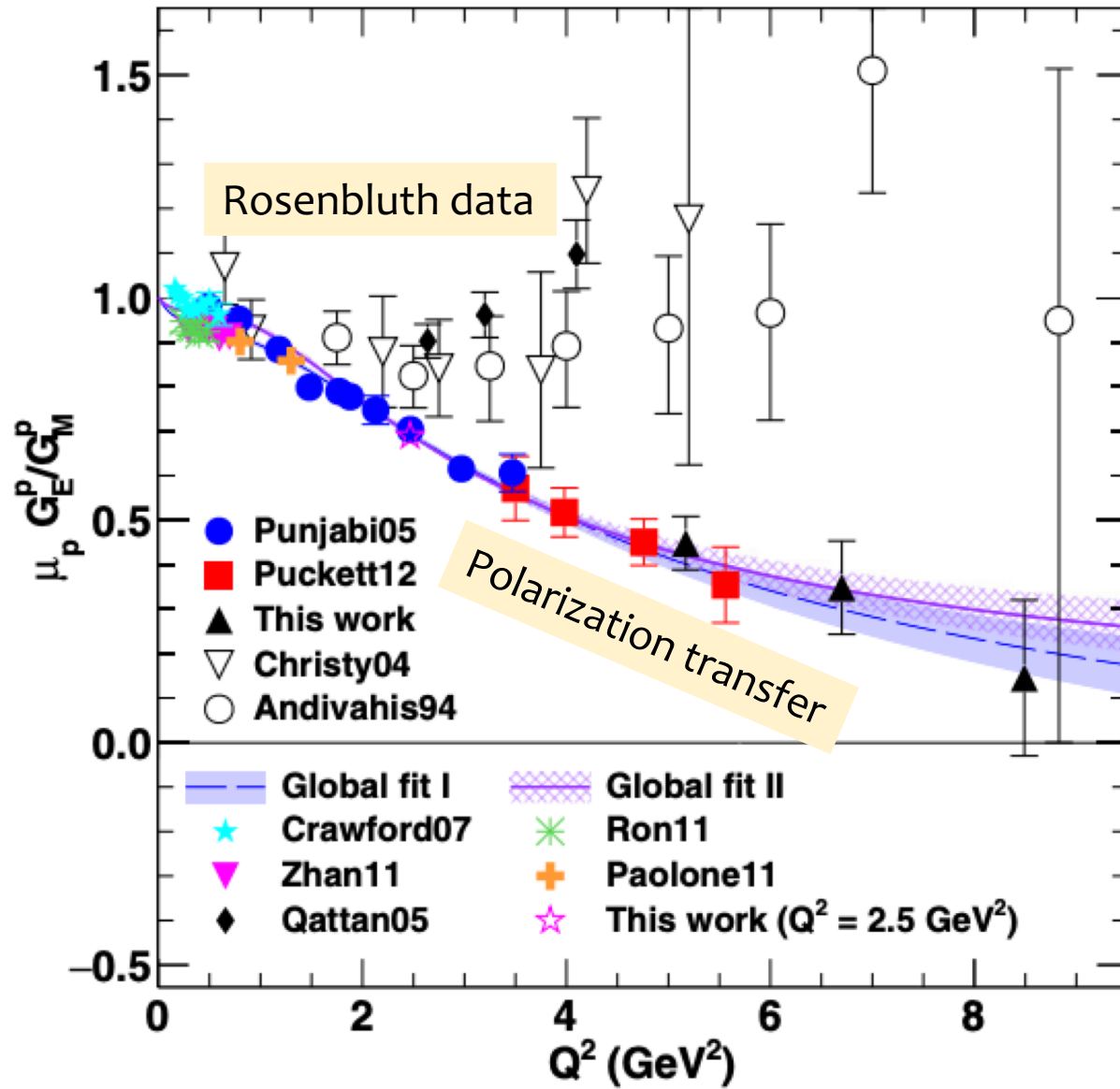
$$P_t = -hP_e \sqrt{\frac{2\epsilon(1-\epsilon)}{\tau}} \frac{G_E G_M}{G_M^2 + \frac{\epsilon}{\tau} G_E^2},$$

$$P_\ell = hP_e \sqrt{1-\epsilon^2} \frac{G_M^2}{G_M^2 + \frac{\epsilon}{\tau} G_E^2},$$

$$\frac{G_E}{G_M} = -\frac{P_t}{P_\ell} \sqrt{\frac{\tau(1+\epsilon)}{2\epsilon}} = -\frac{P_t}{P_\ell} \frac{E_e + E'_e}{2M} \tan\left(\frac{\theta_e}{2}\right)$$

Enhanced sensitivity to the ratio ->  
increased sensitivity to  $G_E$  for large  $Q^2$  and  $G_M$  for small  $Q^2$

# Form factor ratio

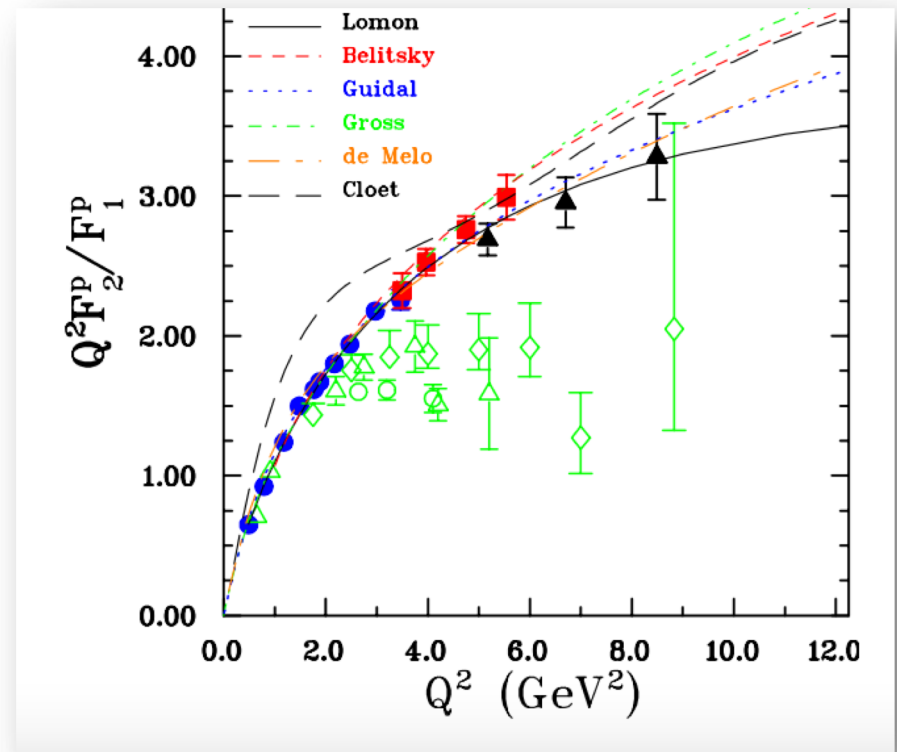
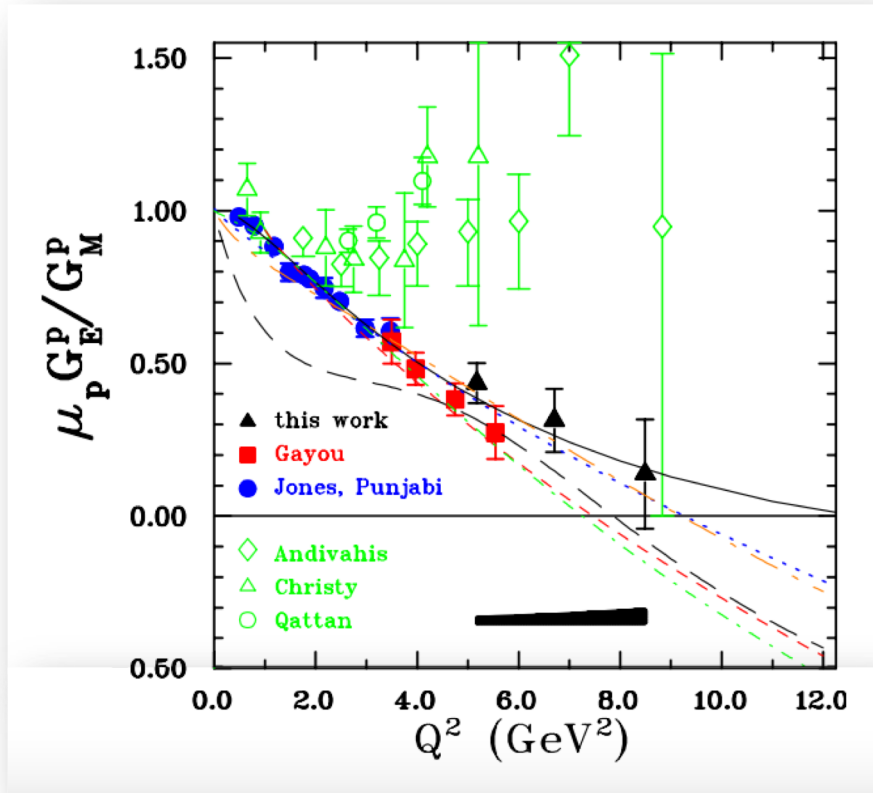


Large discrepancy between Rosenbluth-extracted data and polarization transfer measurements!

Two photon exchange correction neglected in Rosenbluth data is significant to the radiative corrections.

# Scaling regime is unclear

pQCD predicts a plateau such that  $F_2^p \propto F_1^p / Q^2$

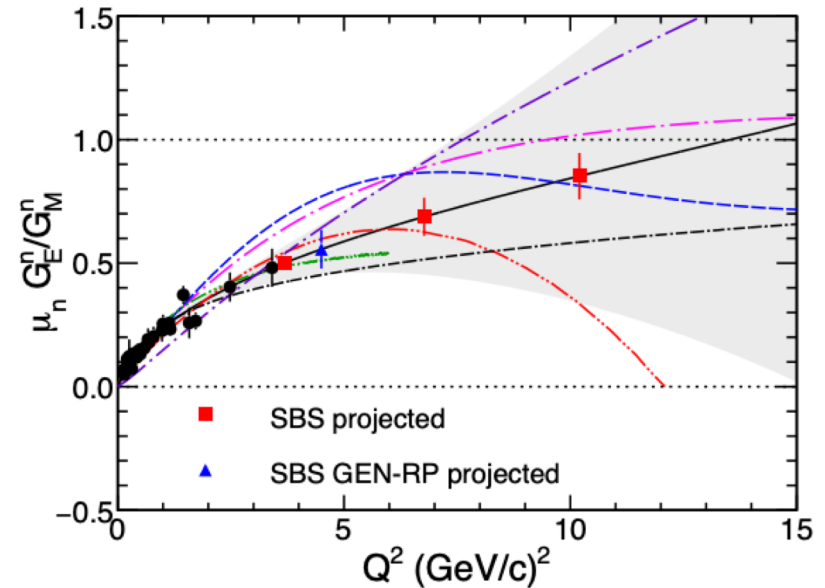
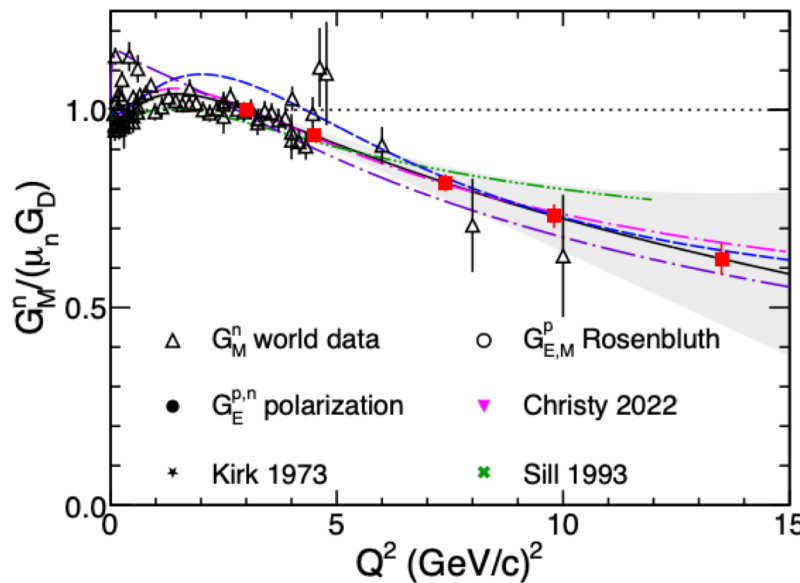
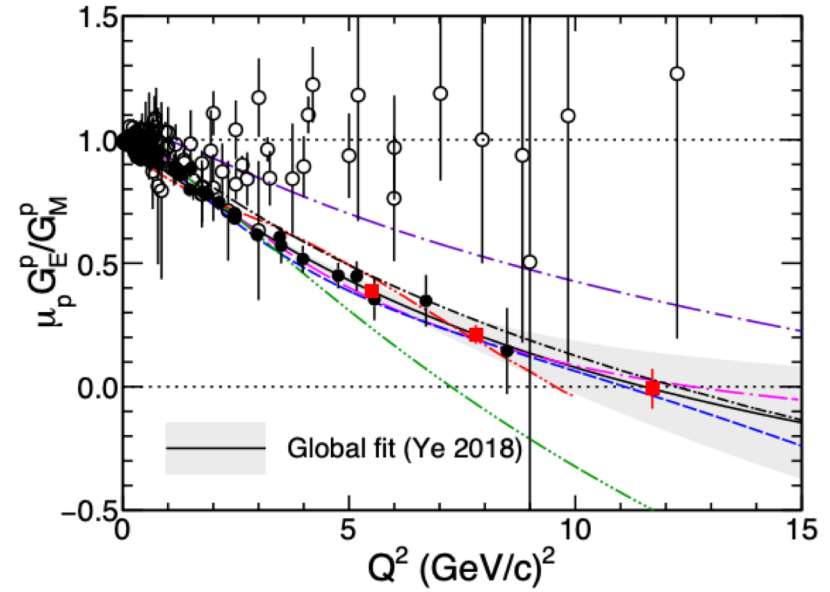
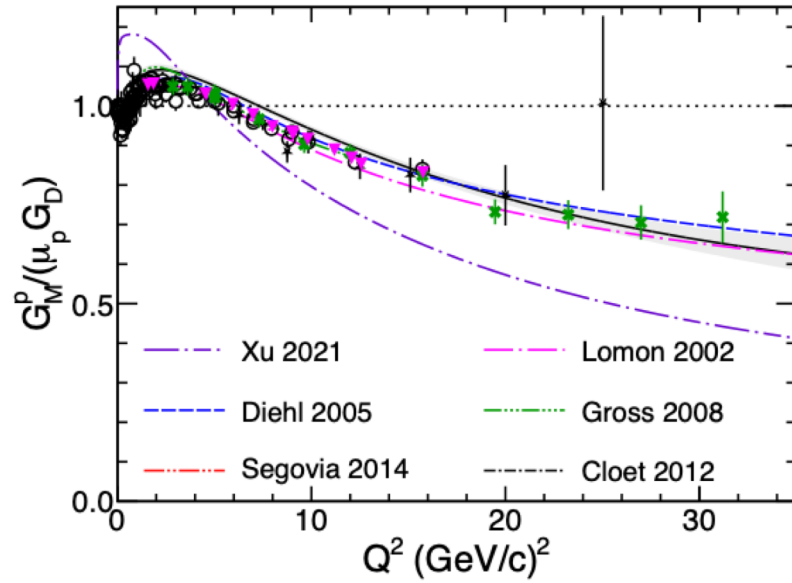


# Fear not-SBS is here!



Also integral to the CLAS12 experimental program!

$G_M^n$  from  $Q^2 = 3.5-13.5 \text{ GeV}^2$



# Proton charge radius

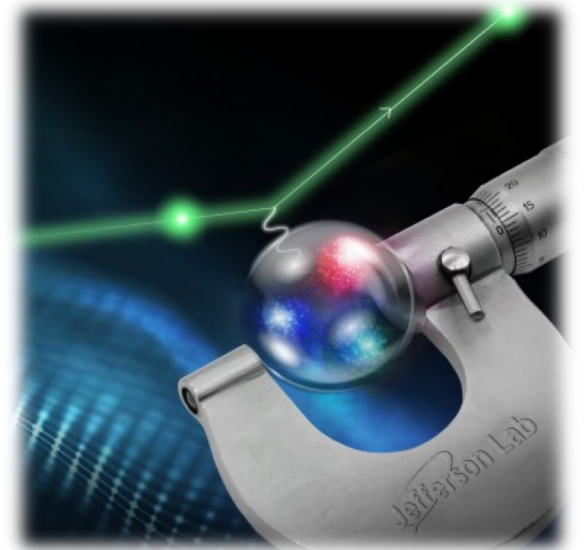
To measure the proton charge radius, we use the fact that as  $Q^2$  goes to 0, the charge radius is proportional to the slope of  $G_E$

$$G_E(Q^2) = 1 + \sum_{n \geq 1} \frac{(-1)^n}{(2n+1)!} \langle r^{2n} \rangle Q^{2n}$$

$$r_p \equiv \sqrt{\langle r^2 \rangle} = \left( -6 \left. \frac{dG_E(Q^2)}{dQ^2} \right|_{Q^2=0} \right)^{1/2}$$

Since we don't measure  $Q^2$  at 0, we have to extrapolate.

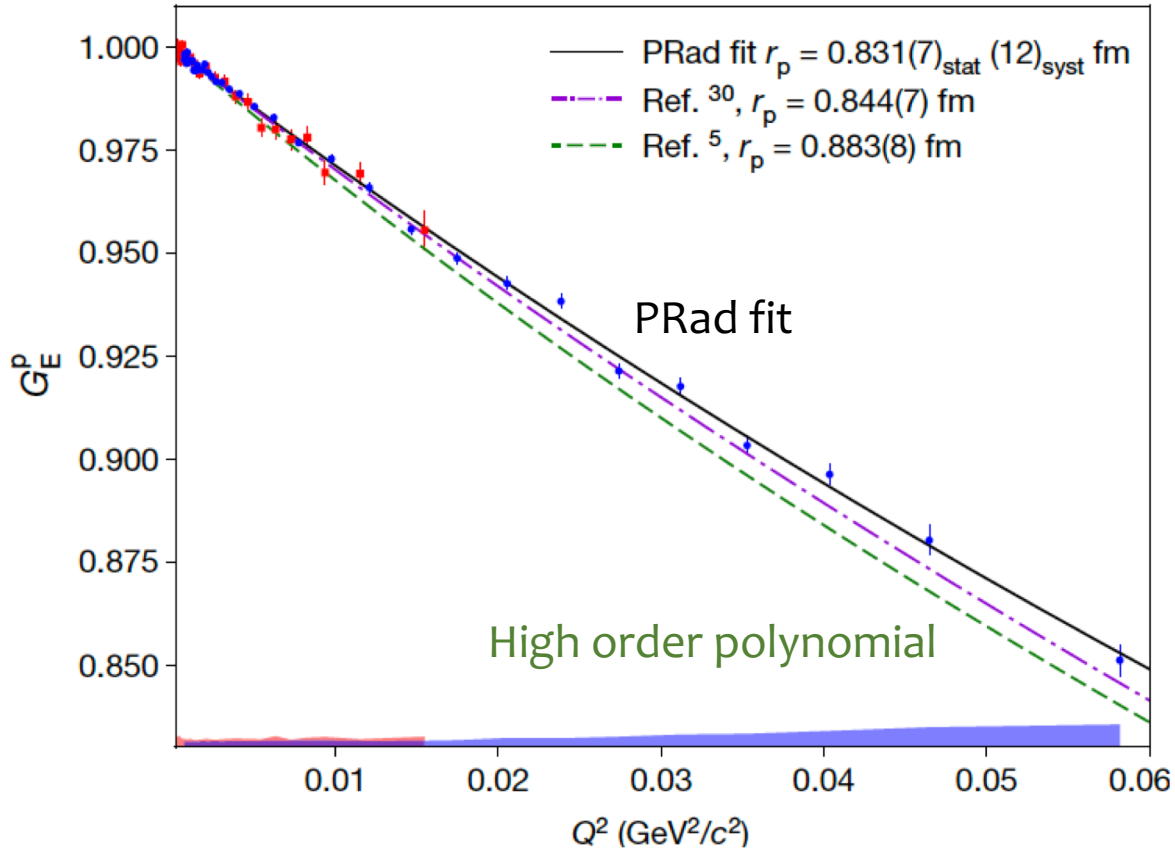
While the proton radius definition is the same whether done on muonic hydrogen or elastic electron-proton scattering, there is a historical division amongst the results.





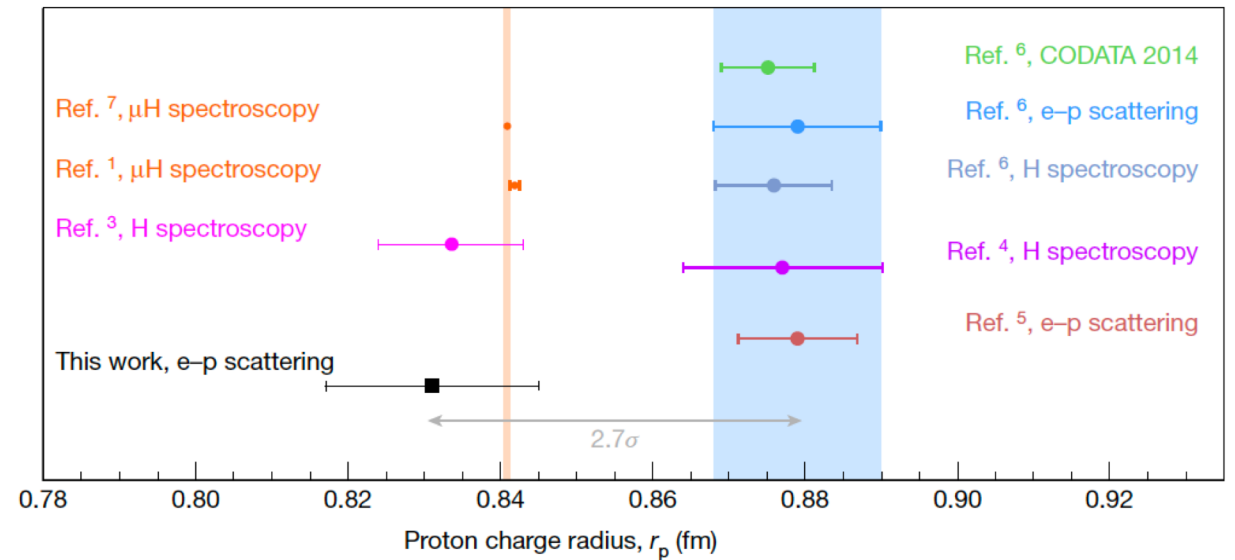
# PRad experiment in Hall B

W. Xiong et al, *Nature* 575, 147-150 (2019)

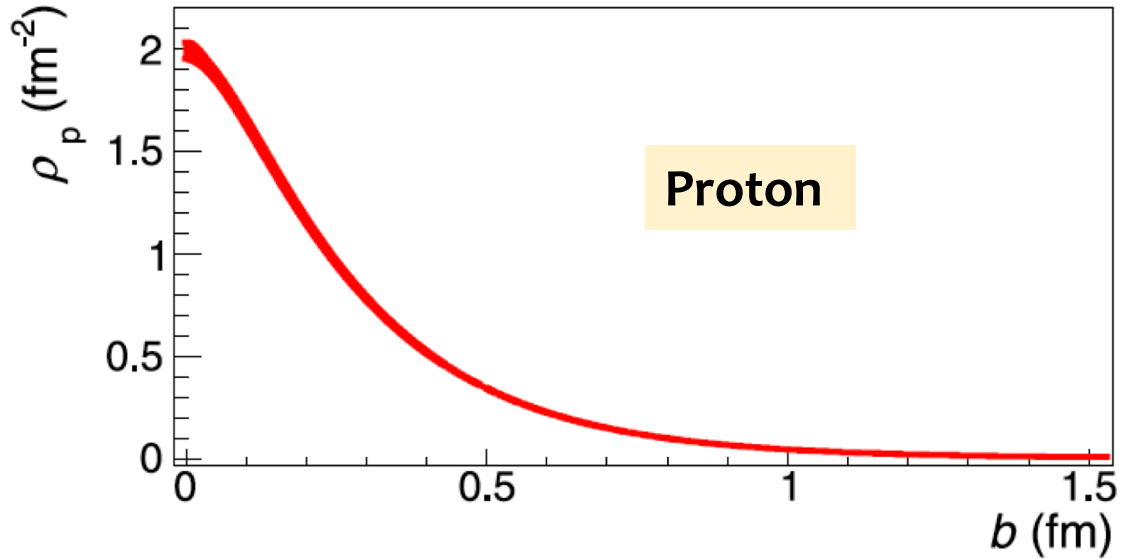


High precision, detailed e-p elastic scattering at small angle and small  $Q^2$ , thus  $G_M^p$  contribution was negligible (small systematic)

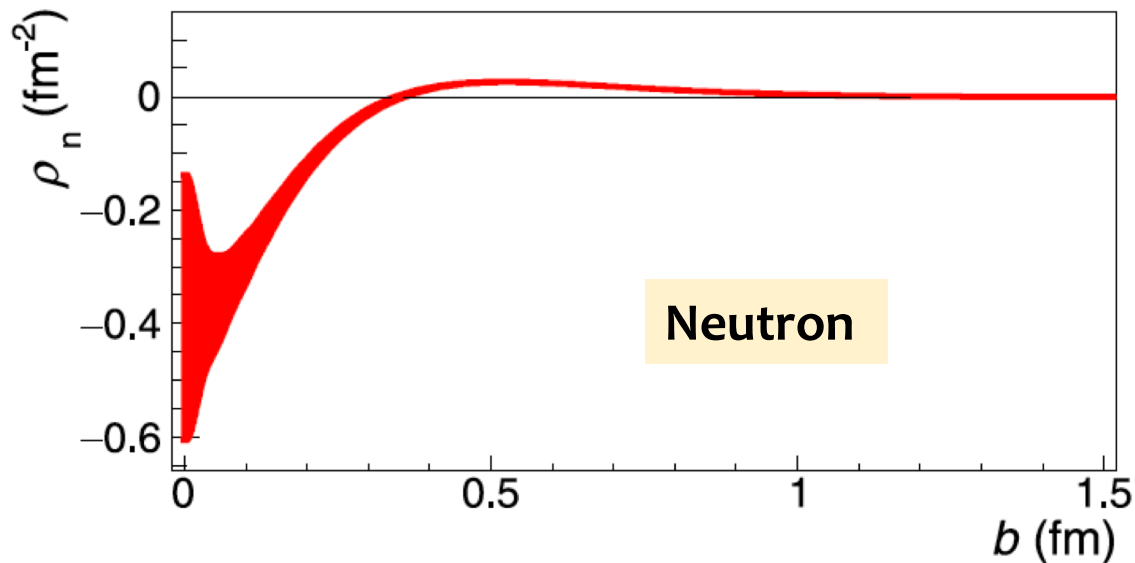
This recent proton radius measurement found agreement with the muonic hydrogen radius extractions.



# Going back to charge densities

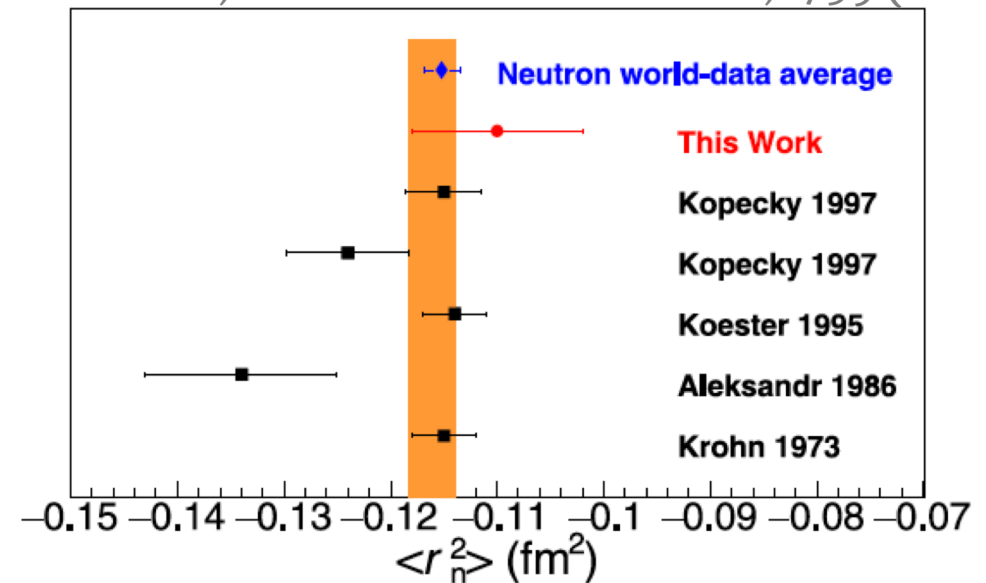


Proton peaks at low values of  $b$  but has a long positive tail  $\rightarrow$  long-ranged, positively charged pion cloud



Neutron is negative in the center and positive at the edge! Attributable to negatively charged down quarks.

H. Atac et al, *Nature Communications* 12, 1759 (2021)

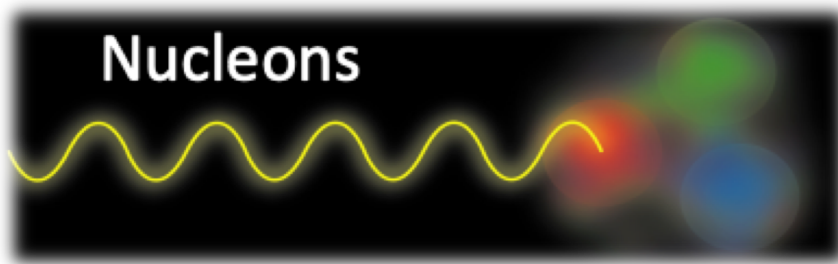
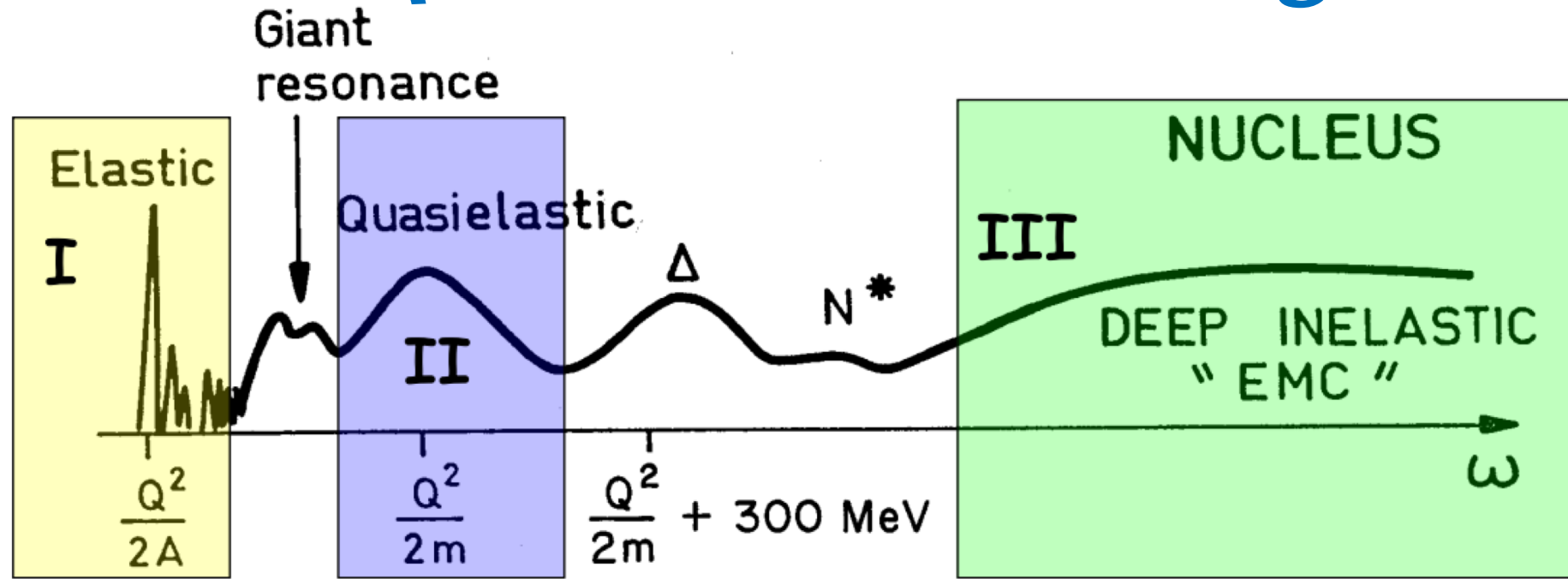




# Elastic scattering summary

- We can measure things like the charge and magnetic moment distributions of the nucleons.
- These are described in terms of form factors (a Fourier transformation of the distributions).
- We can use form factors to extract the radius.
- This tells us about the structure of nucleons and nuclei.
- Nucleons are not point-like!

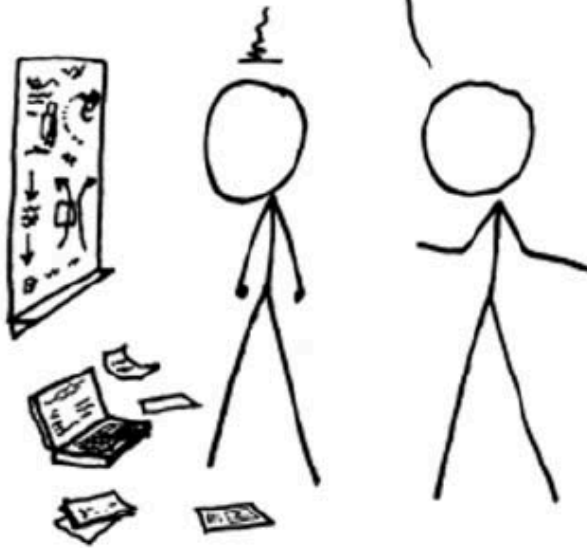
# Quasielastic scattering



- Momentum distributions
- Shell structure and occupancies
- Short-range correlated pairs
- Transparency
- medium modification

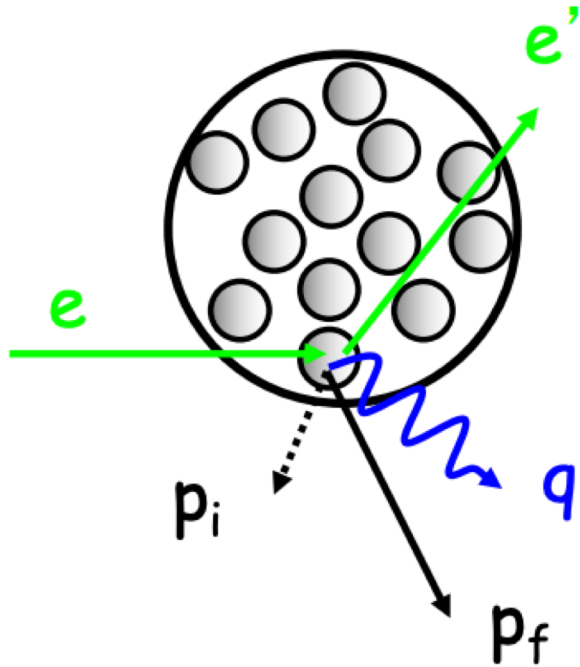
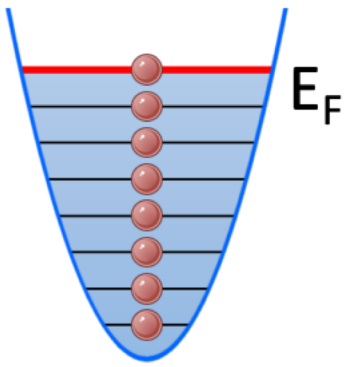
YOU'RE TRYING TO PREDICT THE BEHAVIOR  
OF <COMPLICATED SYSTEM>? JUST MODEL  
IT AS A <SIMPLE OBJECT>, AND THEN ADD  
SOME SECONDARY TERMS TO ACCOUNT FOR  
<COMPLICATIONS I JUST THOUGHT OF>.

EASY, RIGHT?



THERE'S NOTHING MORE OBNOXIOUS THAN  
A PHYSICIST FIRST ENCOUNTERING A NEW SUBJECT.

# The nucleus as a Fermi gas



Initial nucleon energy:  $KE_i = p_i^2 / 2m_p$

Final nucleon energy:  $KE_f = p_f^2 / 2m_p = (\vec{q} + \vec{p}_i)^2 / 2m_p$

Energy transfer:  $\nu = KE_f - KE_i = \frac{\vec{q}^2}{2m_p} + \frac{\vec{q} \cdot \vec{p}_i}{m_p}$

We can expect:

peak centroid of  $\nu = q^2/2m_p + \epsilon$

peak width is  $2qp_{\text{fermi}}/m_p$

Total peak cross section would be  $Z\sigma_{\text{ep}} + N\sigma_{\text{en}}$

Good approximation of the cross section, but not descriptive of structure.

# Early 1970s quasielastic data

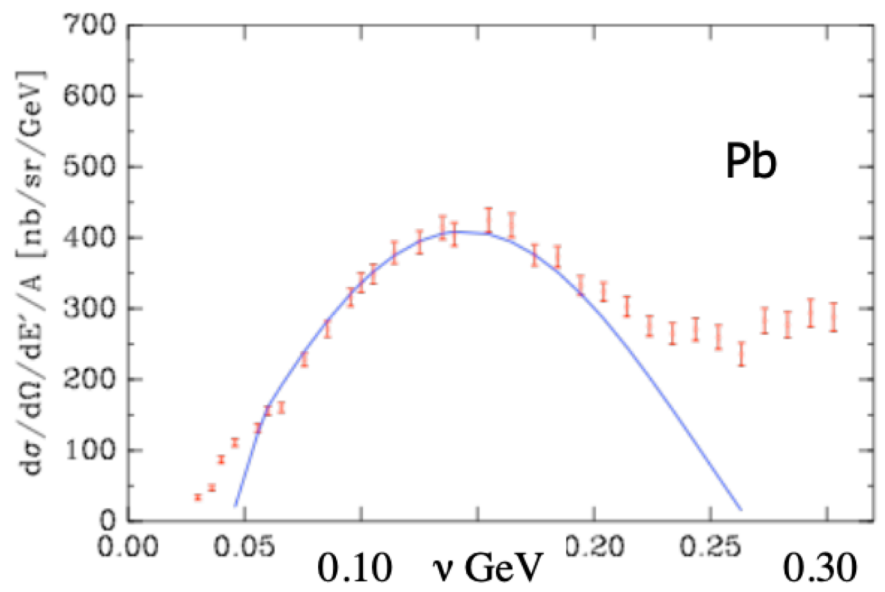
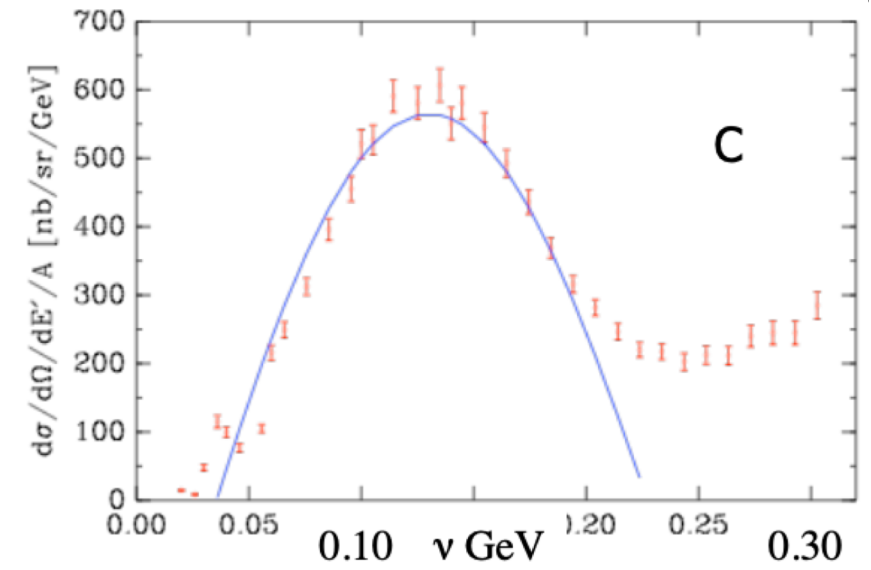
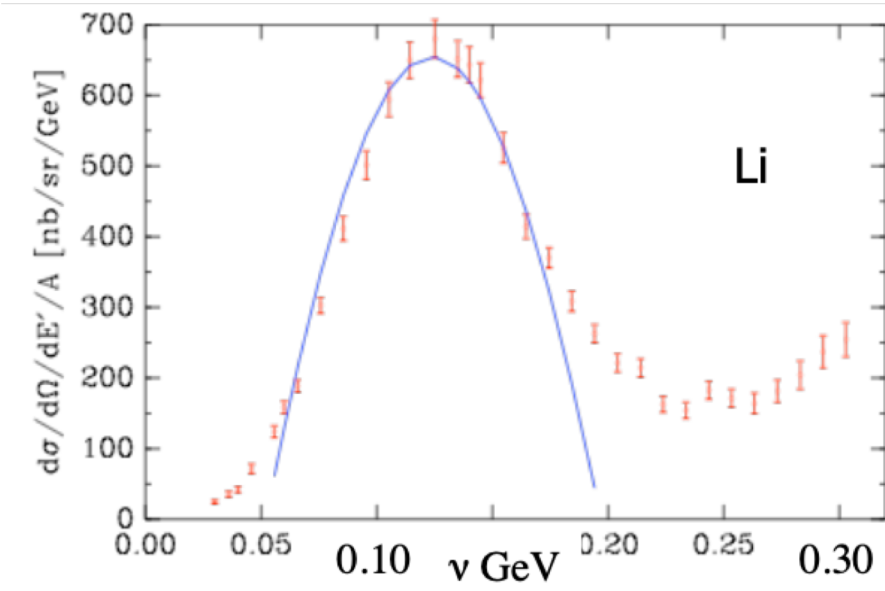
R.R. Whitney et al, PRC 9, 2230 (1974).

500 MeV, 60 deg  
 $q \approx 500 \text{ MeV}/c$

Width  $\sim k_F$   
 (Fermi momentum)

Mean  $\sim \bar{\epsilon}$   
 (separation energy)

- Peak broadens with increasing A

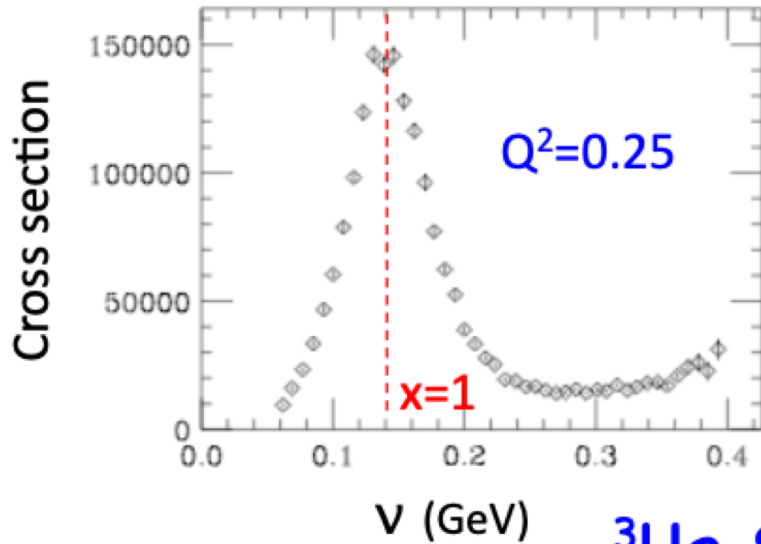


Nucleus	$k_F$ MeV/c	$\bar{\epsilon}$ MeV
${}^6\text{Li}$	169	17
${}^{12}\text{C}$	221	25
${}^{24}\text{Mg}$	235	32
${}^{40}\text{Ca}$	251	28
<i>nat</i> Ni	260	36
${}^{89}\text{Y}$	254	39
<i>nat</i> Sn	260	42
${}^{181}\text{Ta}$	265	42
${}^{208}\text{Pb}$	265	44

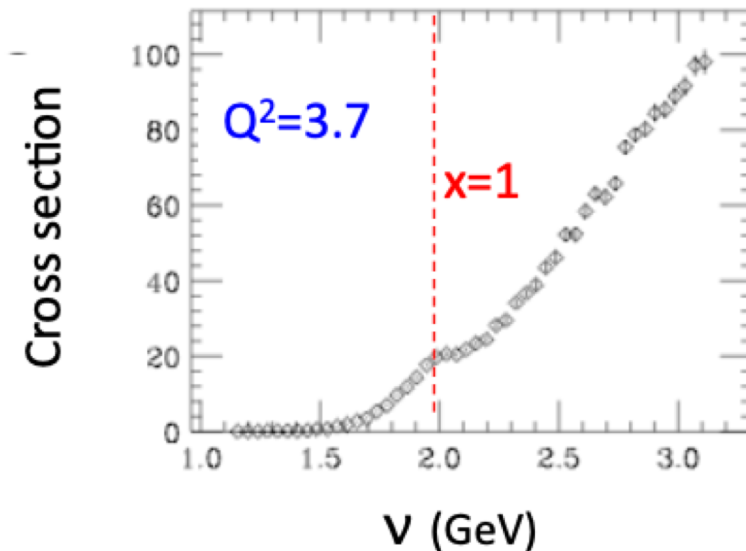
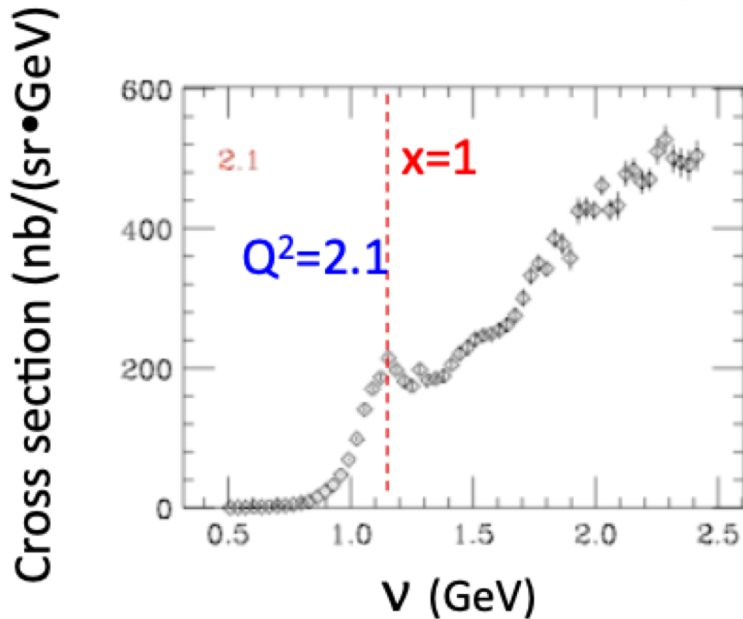
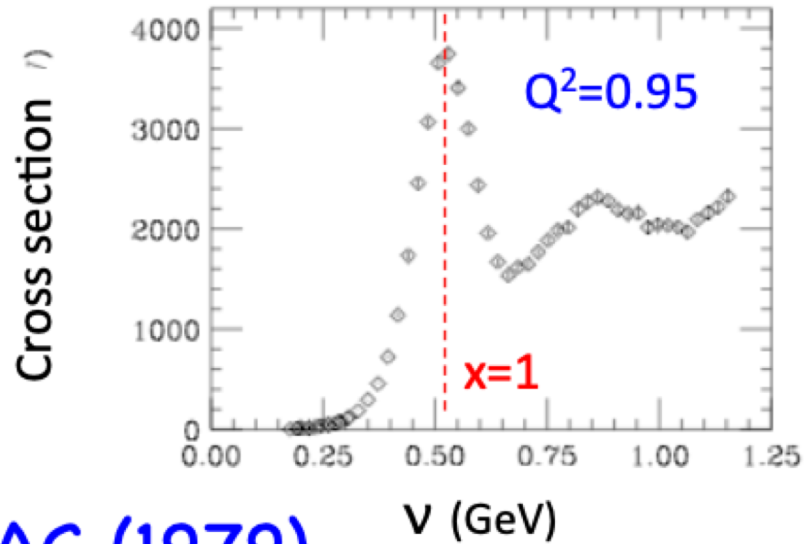
compared to Fermi model: fit parameter  $k_F$  and  $\bar{\epsilon}$



# Quasielastic peak



$^3\text{He}$  SLAC (1979)



Inelastic scattering begins to dominate at  $Q^2 \gg 1 \text{ GeV}^2$

# y-scaling

Scaling refers to the dependence of a cross section on a single variable

- Scaling validates the scaling assumption
- Scale-breaking indicates something we don't understand

At moderate  $Q^2$  and  $x_B > 1$ , we expect **y-scaling**:

- Electrons scatter from **quasifree nucleons**
- $y$  = minimum momentum of the struck nucleon

At high  $Q^2$ , we expect **x-scaling**:

- Electrons are scattering from **quarks**
- $x_B = \frac{Q^2}{2m\nu} \equiv$  fraction of the nucleon momentum carried by the struck quark (in the infinite momentum frame)

# y-scaling

Under certain assumptions, the cross section can be written as:

$$\frac{d^2\sigma}{d\Omega_e d\omega} \approx \bar{\sigma}_e(q, y) \cdot F(y)$$

quantity related to the  
elementary electron nucleon  
cross section

$F(y)$  = probability to find in the  
nucleus a nucleon of momentum  
component  $y$  parallel to  $\mathbf{q}$

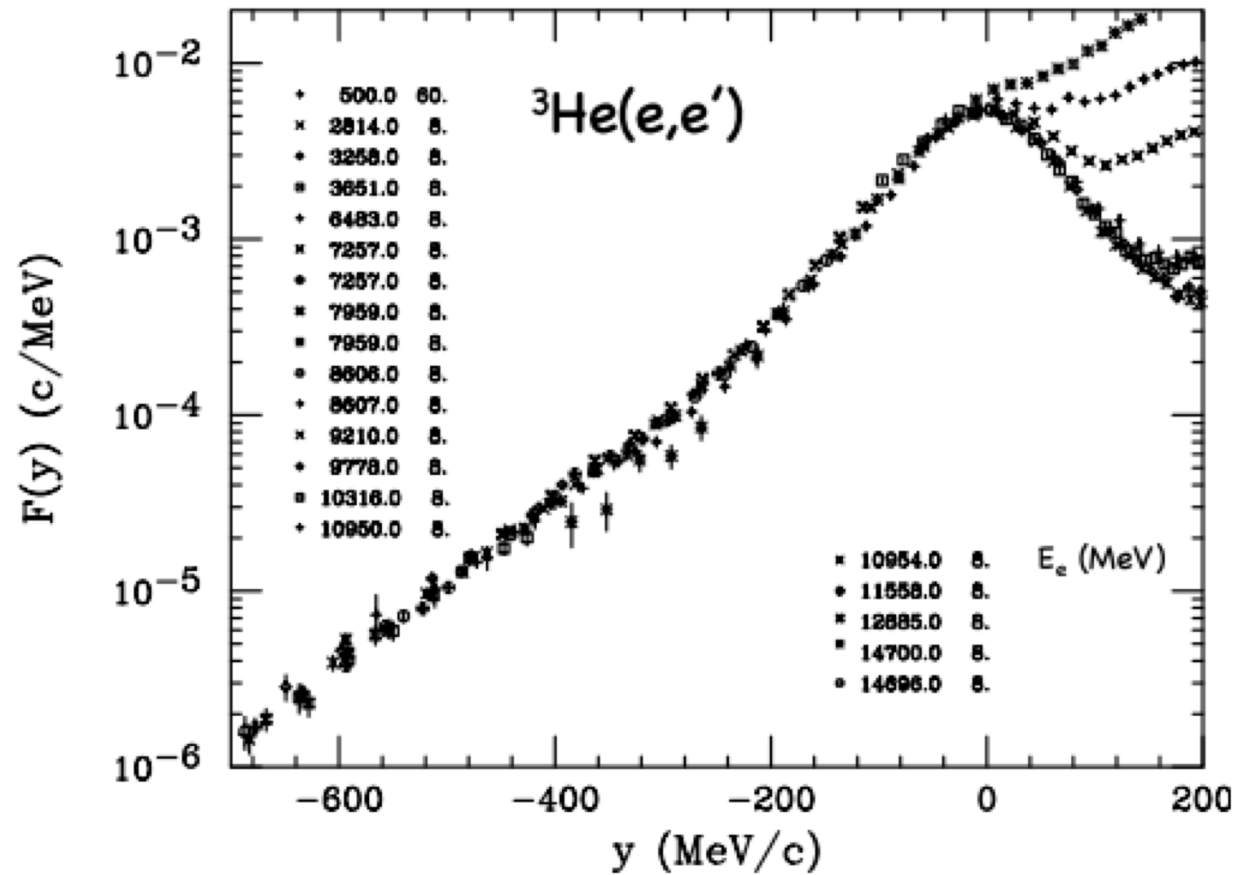
y-scaling in quasielastic scattering reveals the nucleon momentum distribution in the nucleus.

Deviation of the **cross section** from scattering from **free nucleons** scales to  $y$ .

$$F(y) = \frac{\sigma(q, \omega)}{Z\sigma_{ep} + N\sigma_{en}} \cdot \frac{d\omega}{dy}$$

# y-scaling in inclusive $^3\text{He}$ scattering

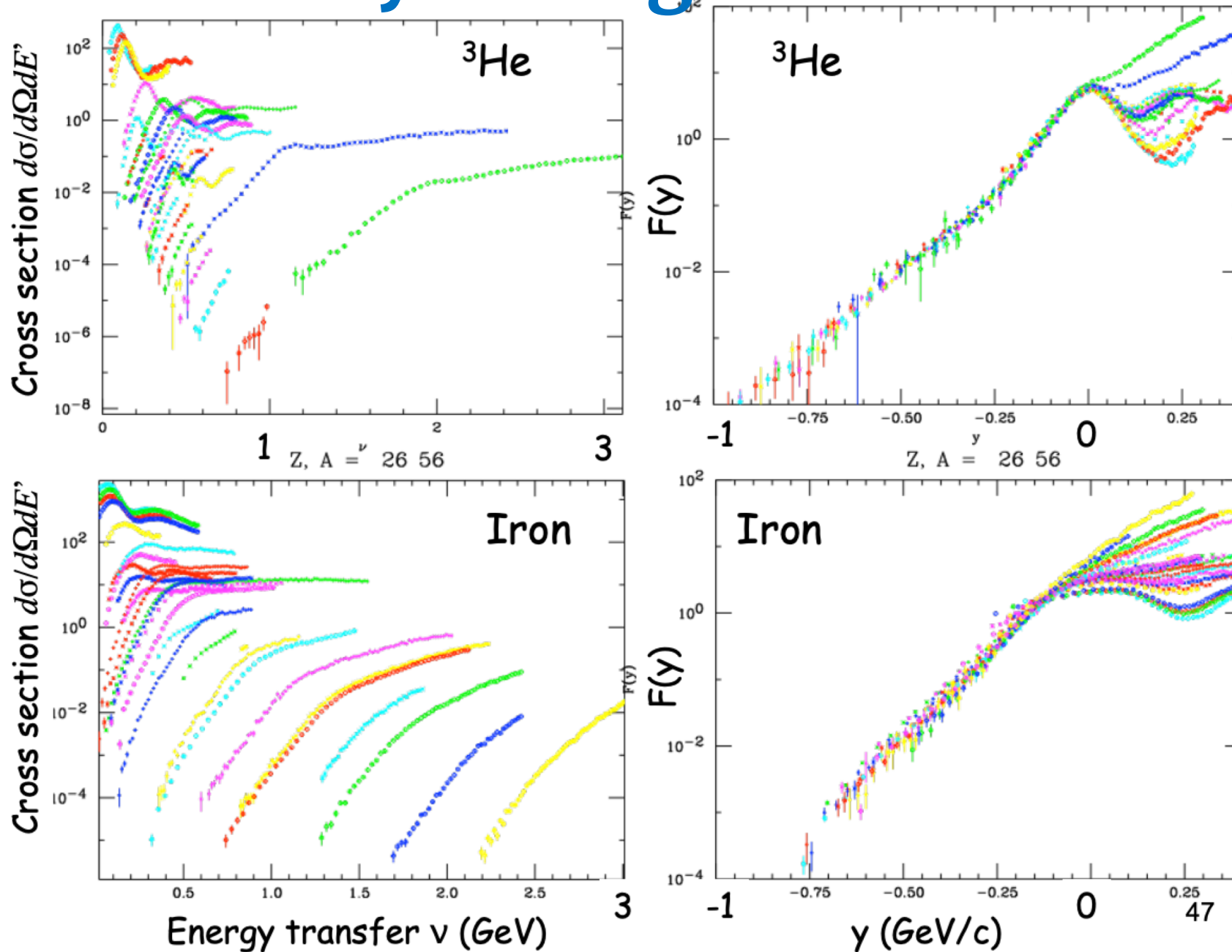
Evidence of y-scaling over range of energy transfer



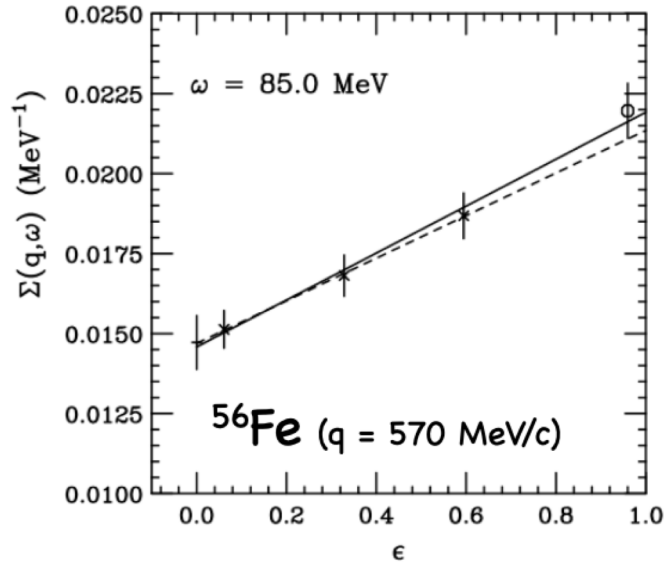
## Assumptions in y-scaling:

- No final state interactions (FSI)
- No internal excitation
- No medium modifications
- No inelastic processes (only  $y < 0$ )
- Full strength of the spectral function can be integrated for finite  $q$

# y-scaling works!

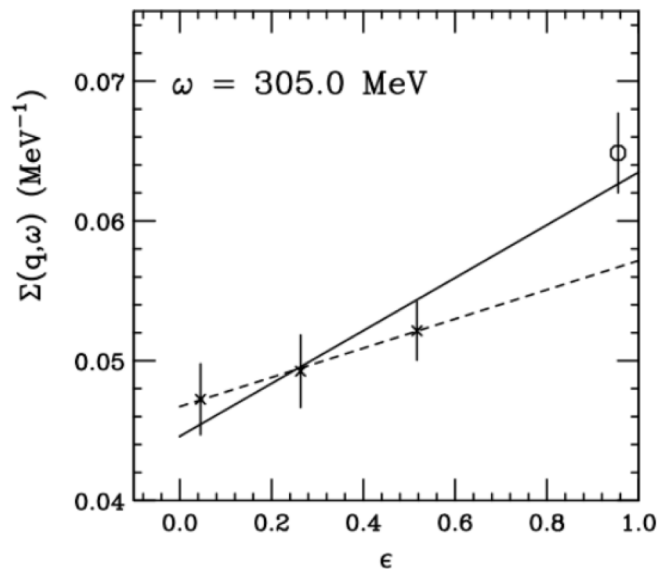


# Rosenbluth technique on quasielastic $A(e,e')$



Rosenbluth technique allows us to write:

$$\begin{aligned} \Sigma(q, \omega, \epsilon) &= \frac{d^2\sigma}{d\Omega d\omega} \frac{1}{\sigma_{\text{Mott}}} \epsilon \left( \frac{q}{Q} \right)^4 \\ &= \epsilon R_L(q, \omega) + \frac{1}{2} \left( \frac{q}{q} \right)^2 R_T(q, \omega) \end{aligned}$$

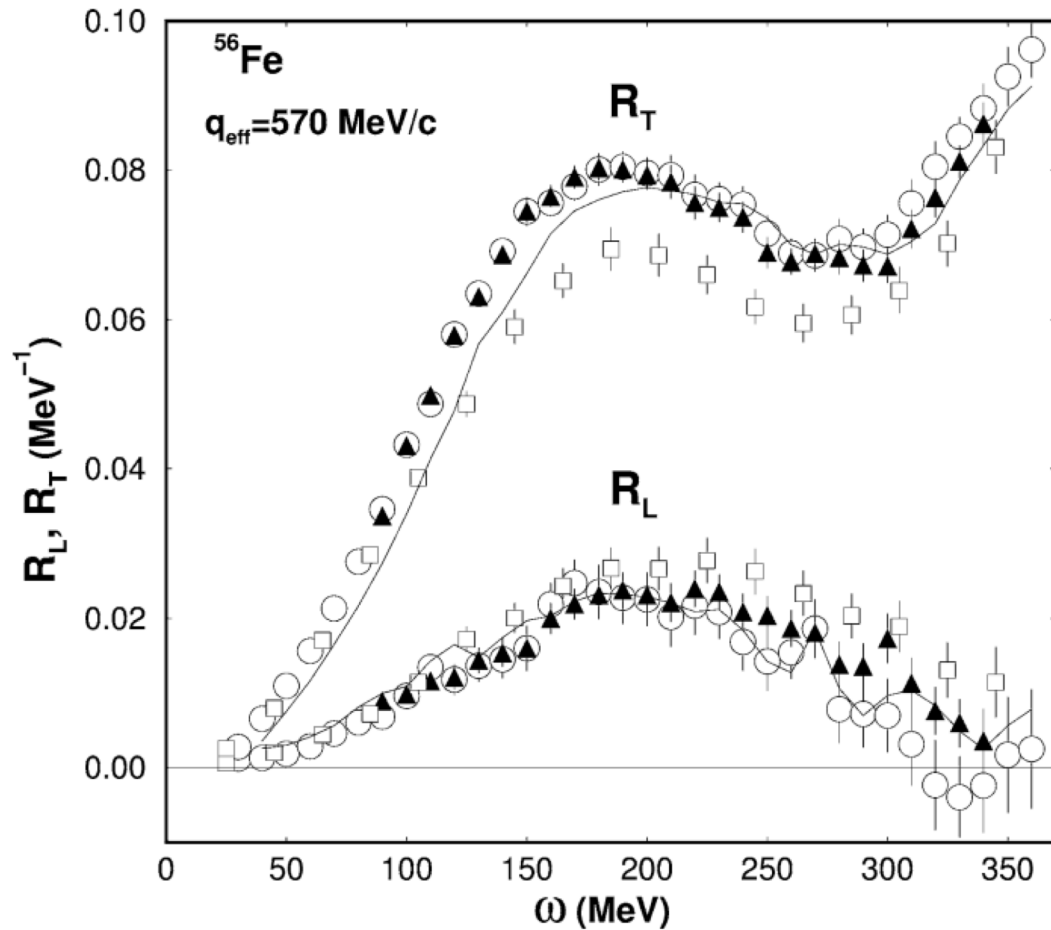


Where, the longitudinal virtual photon polarization is  $\epsilon = \left( 1 + \frac{2q^2}{Q^2} \tan^2 \frac{\vartheta}{2} \right)^{-1}$

Here we assume the plane wave born approximation. Data must be corrected for Coulomb distortions.

We fix  $q$  and vary  $\theta$ .

# Coulomb Sum Rule



L/T separation:

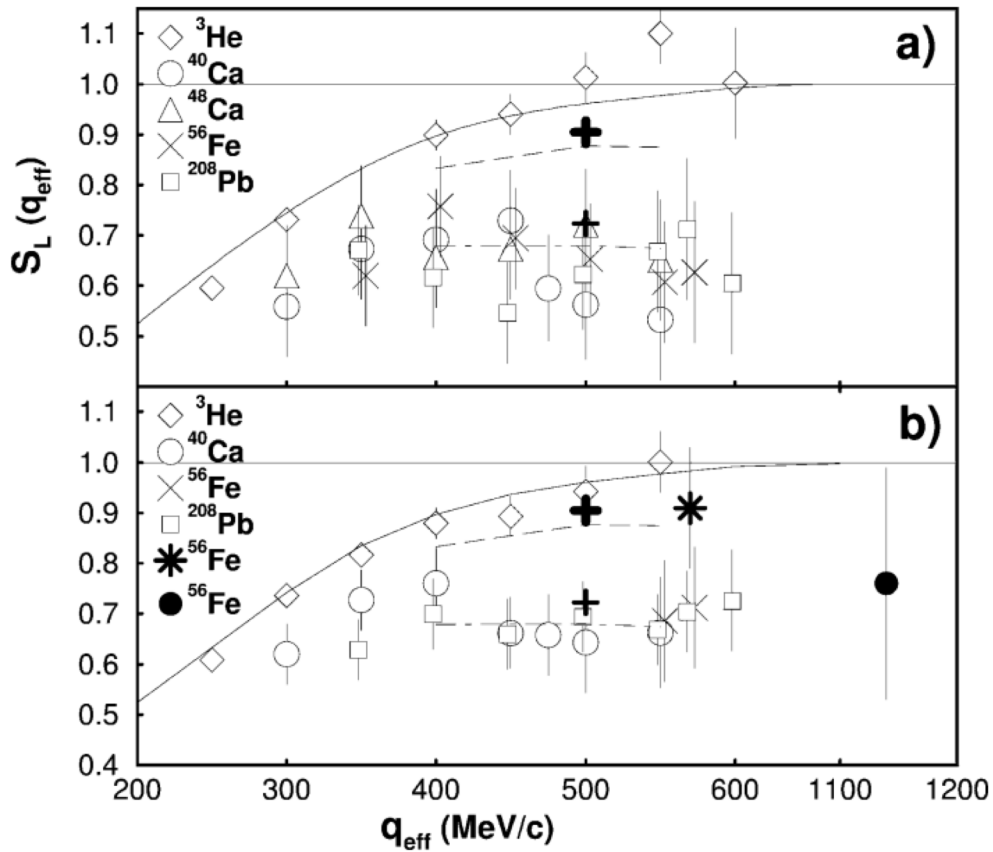
- Transverse response: contributions from meson exchange currents and  $\Delta$  excitation
- Longitudinal response: expected to obey the **Coulomb Sum Rule**:

$$S_L(q) = \frac{1}{Z} \int_{0^+}^{\infty} \frac{R_L(q, \omega)}{\tilde{G}_E^2} d\omega \rightarrow 1$$

We expect that integrating the quasielastic  $R_L$  over the full range of energy loss at large enough  $q$  ( $>2p_f$ ), we can count the number of protons in the nucleus (nonrelativistic assumption).



# Coulomb Sum Rule: evidence for QCD effects in nuclei?



Experimental findings are controversial

- No quenching [1]
- Quenching [2]
- Jury is still out

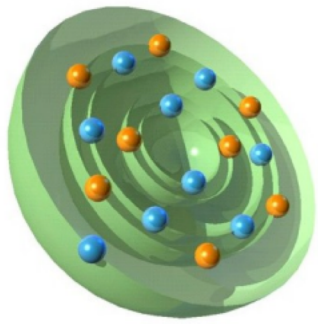
Good agreement for  $^4\text{He}$  using free-nucleon form factors

[3] Suggests evidence for modification of the form factors in nuclei due to medium modifications (quark-level)

[1] J. Jourdan, Nucl. Phys. A 603, 117 (1996)

[2] J. Morgenstern, Z.-E. Meziani, Phys. Lett. B 515, 269 (2001)

[3] I. Cloet, Phys. Rev. Lett. 116, 032701 (2016)

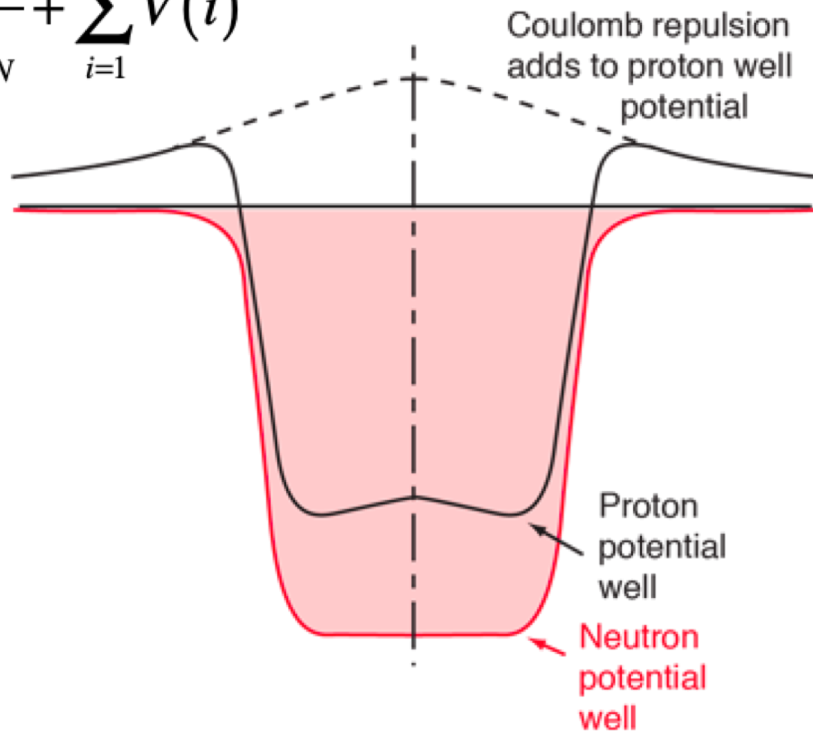


# Independent particle shell model

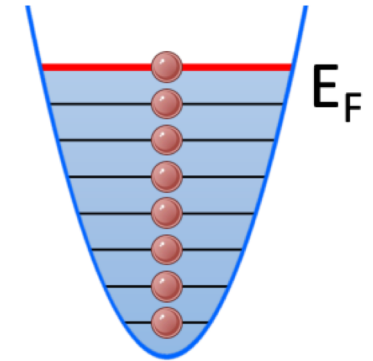
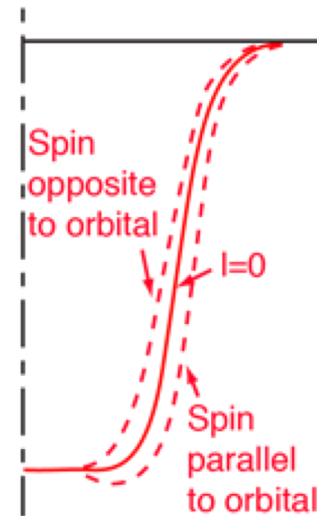
Nucleon moves in an effective, attractive potential formed by the other nucleons (mean-field)

No interaction at short distance

$$H = \sum_{i=1}^A \frac{p^2}{2m_N} + \sum_{i=1}^A V(i)$$



Correction due to spin-orbit interaction

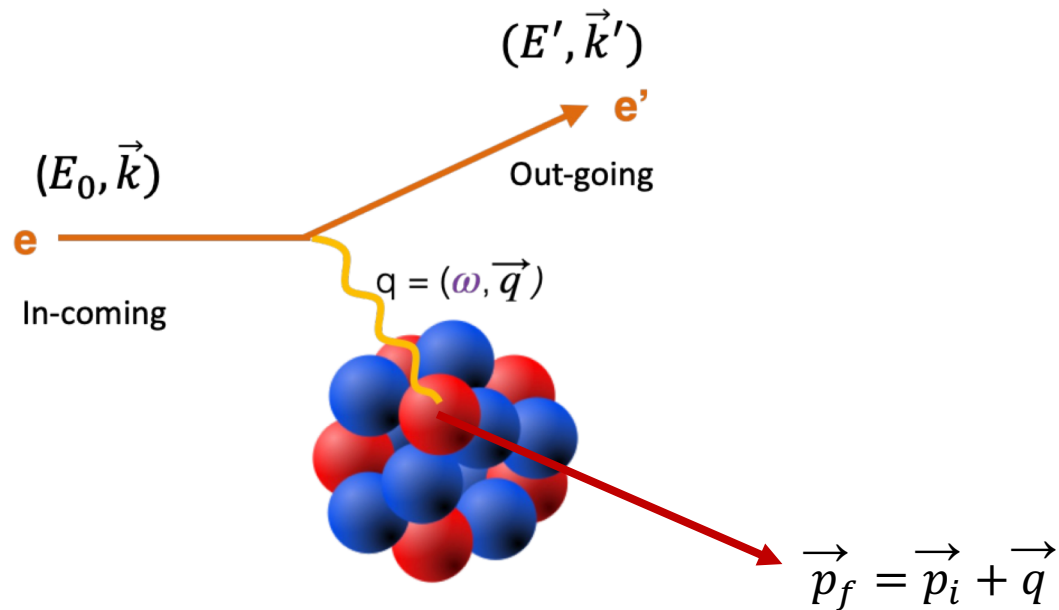


Works decently up to  $k_f$

# Plane wave impulse approximation (PWIA)

Assumptions:

- Virtual photon is absorbed by one nucleon
- Nucleon does not interact further
- Thus, in measuring the knocked out nucleon, the missing momentum of the reaction = initial nucleon momentum in the nucleus



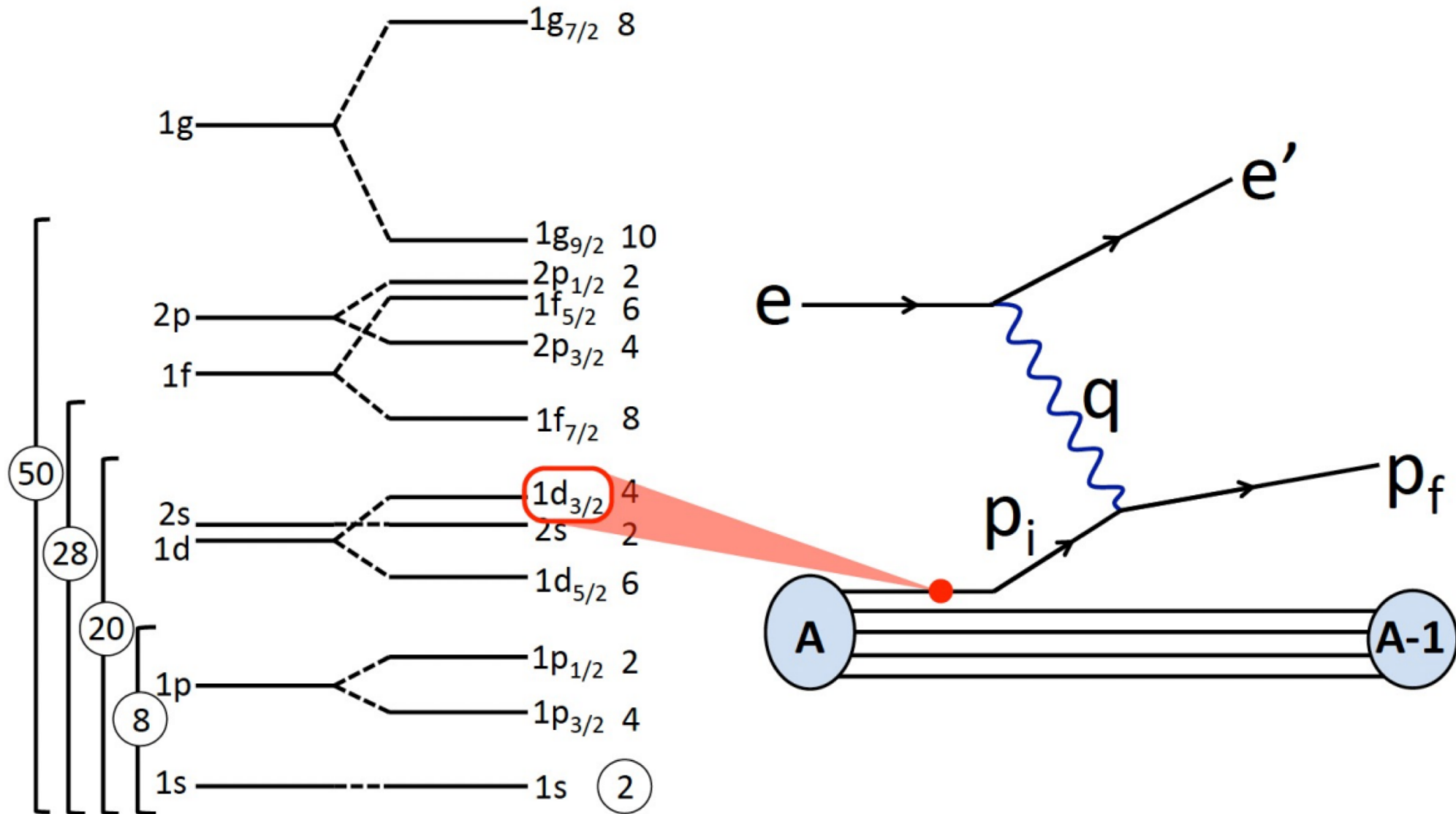
In (e,e'N), detect the scattered electron and knocked out nucleon:

- Missing energy,  $E_m = \nu - T_{pf} - T_{A-1}$
- Missing momentum,  $\vec{p}_m = \vec{q} - \vec{p}_f$

PWIA implies:  $\vec{p}_i = -\vec{p}_m, |E| = E_m$

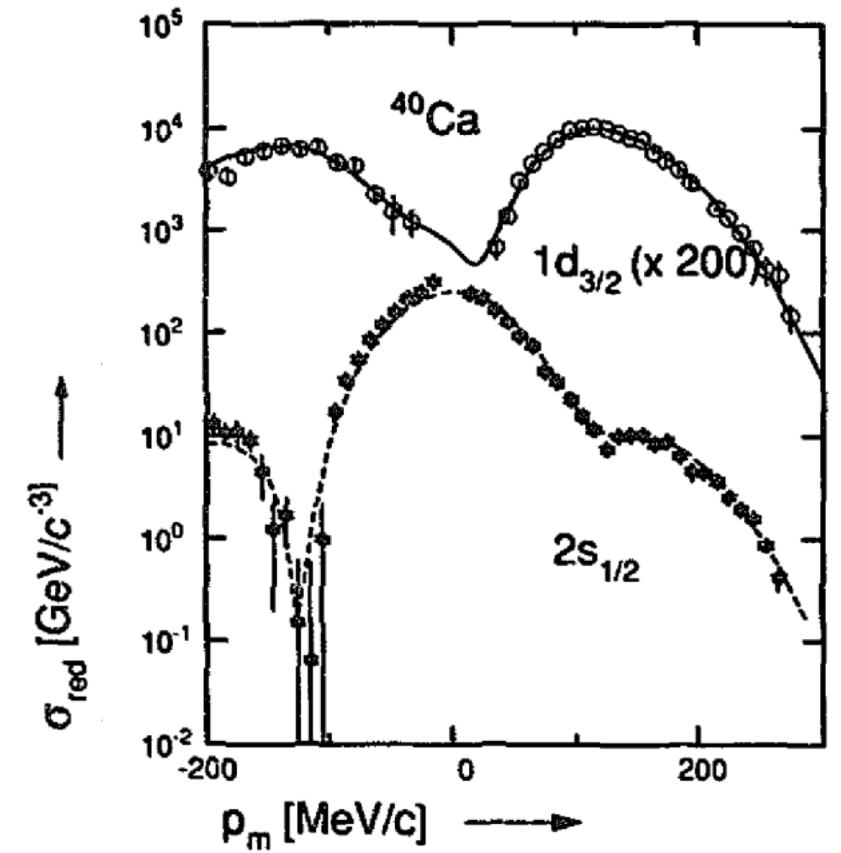
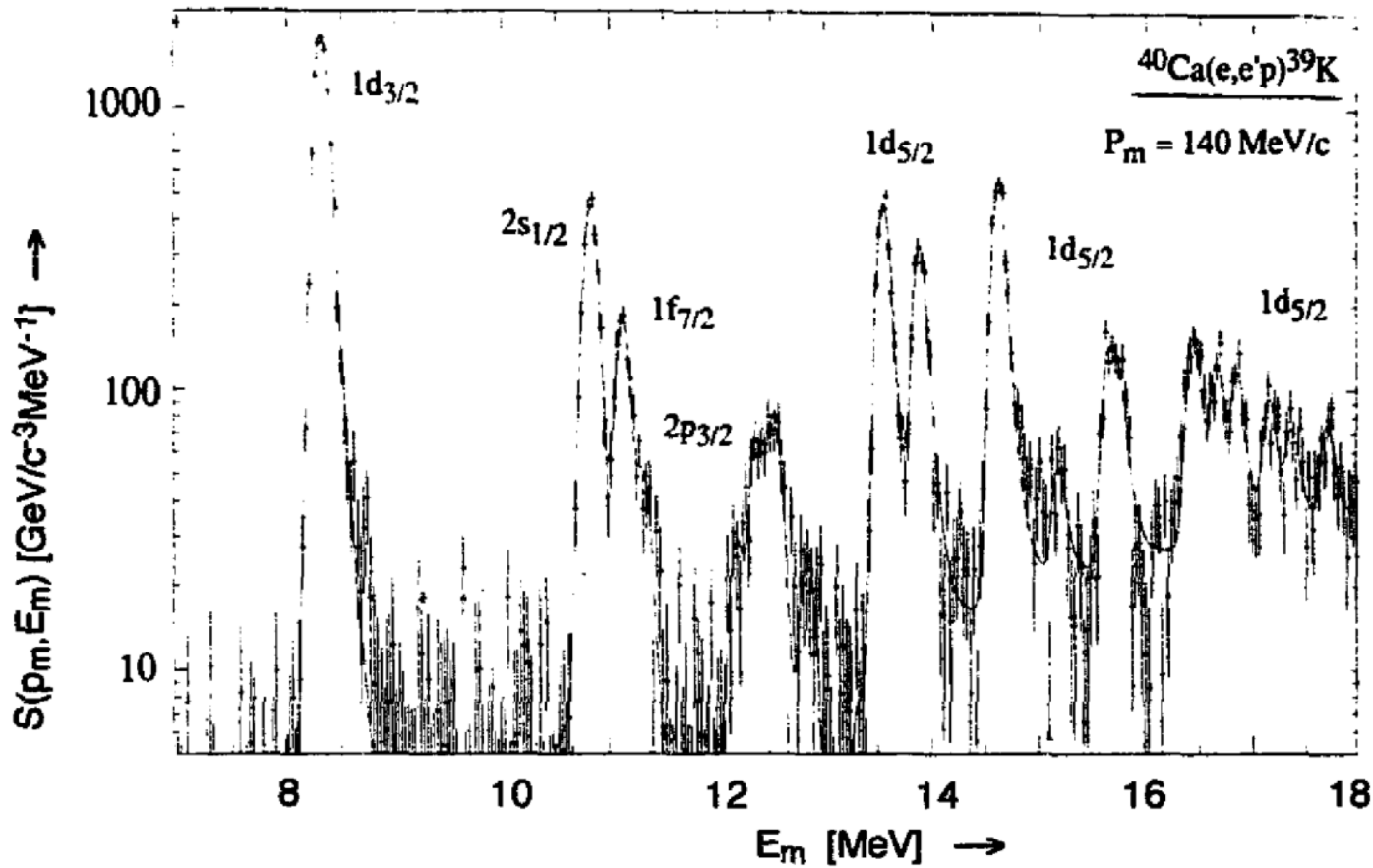
Cross section:  $\sigma = K \sigma_{ep} S(|\vec{P}_i|, E_i)$

# A(e,e'p) scattering from shell orbitals



# Scattering from shell orbitals

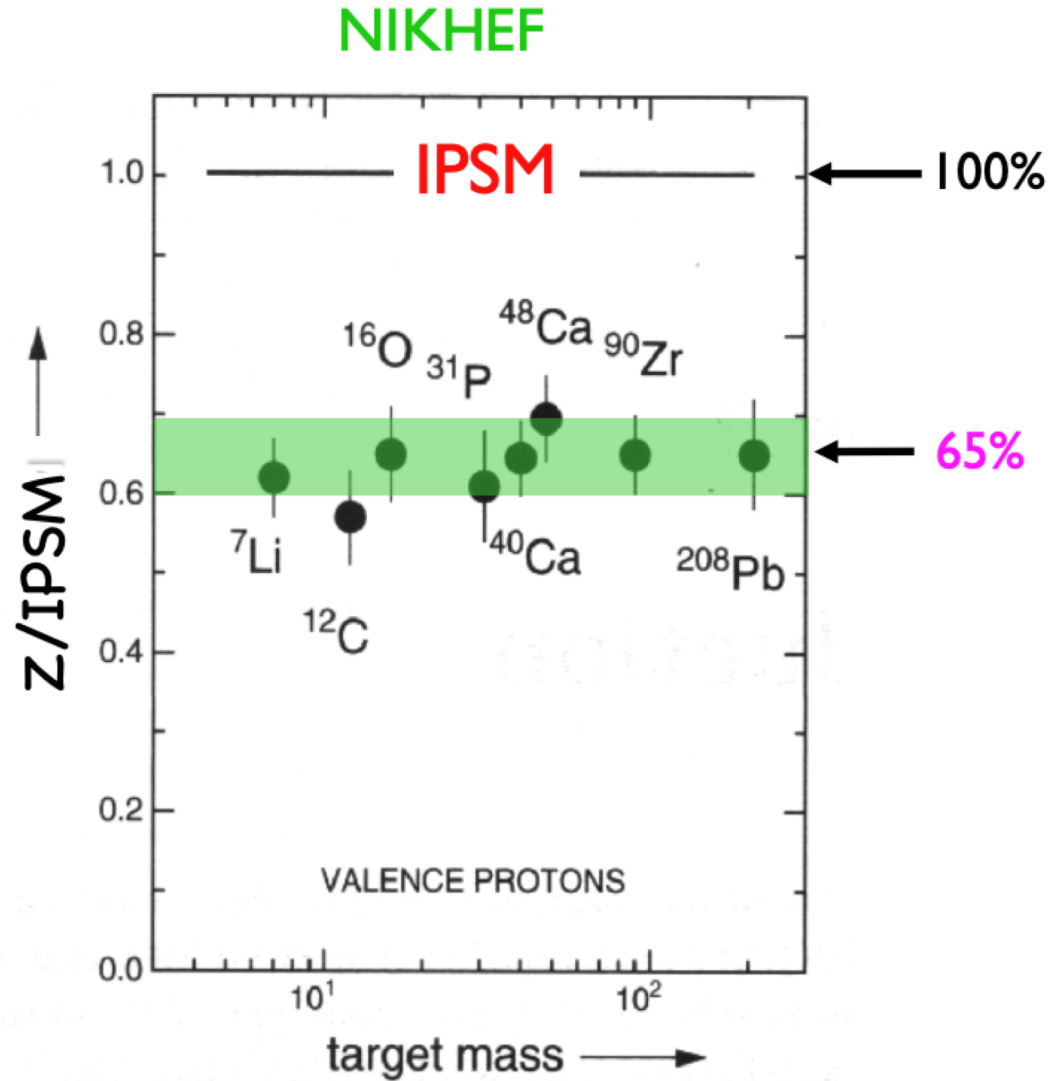
L. Lapikas, Nuclear Phys. A553, 297c (1993)



We can “see” the orbitals. The shapes are reasonably described.

# Scattering from shell orbitals

But the strengths (or “occupancies”) are not as predicted...



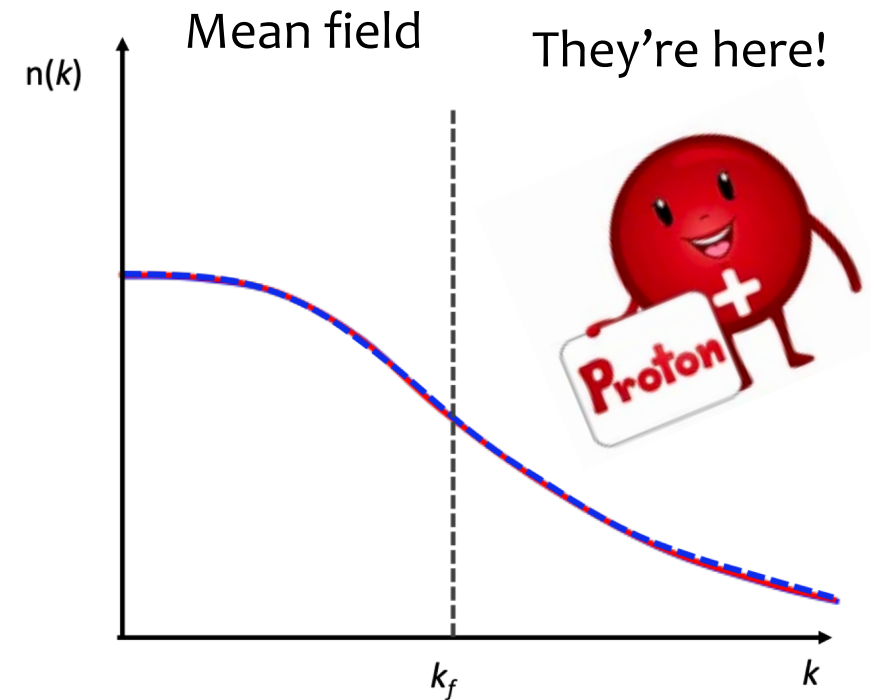
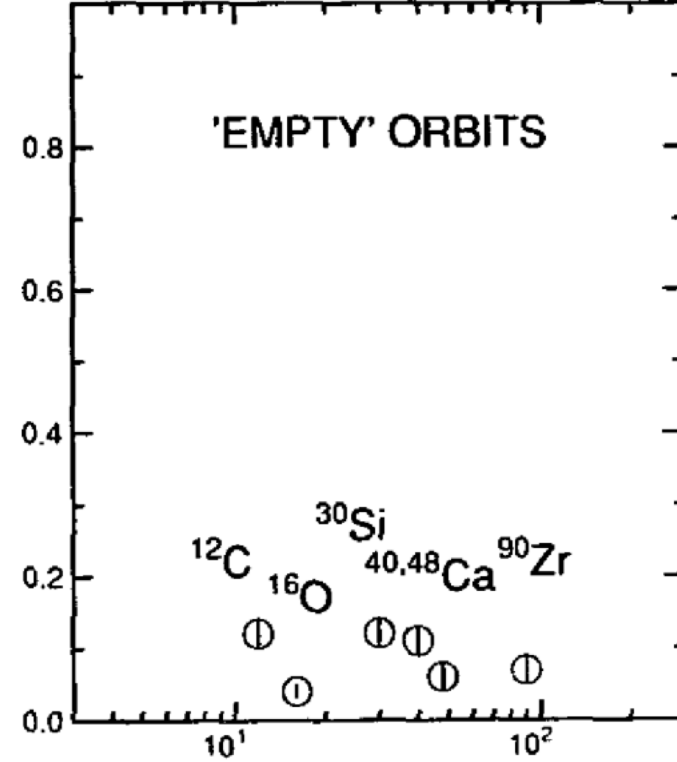
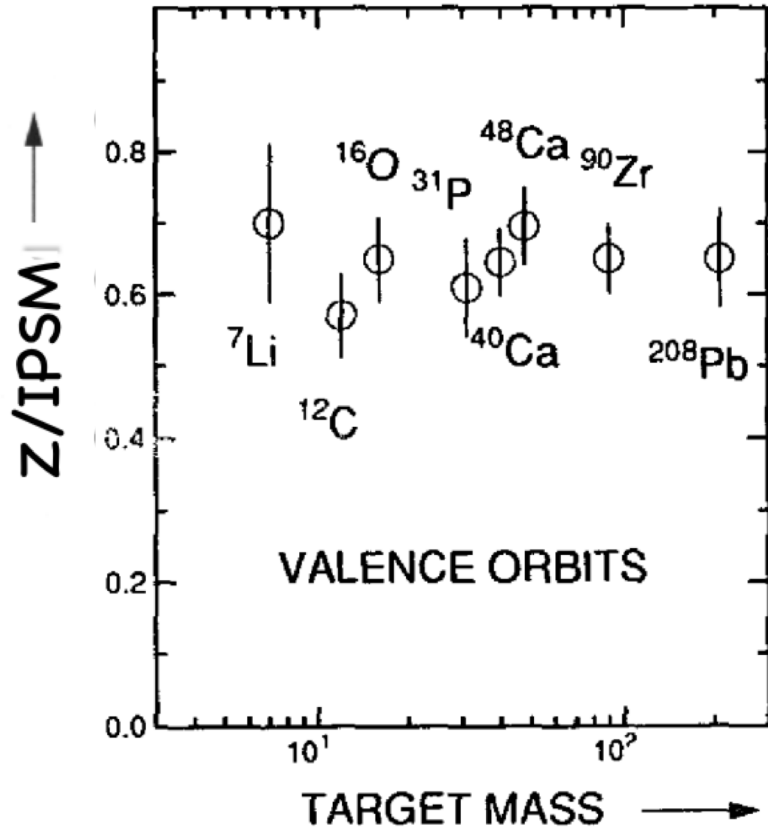
We don't see enough protons!

Where did they go?





# Protons found above the Fermi momentum!

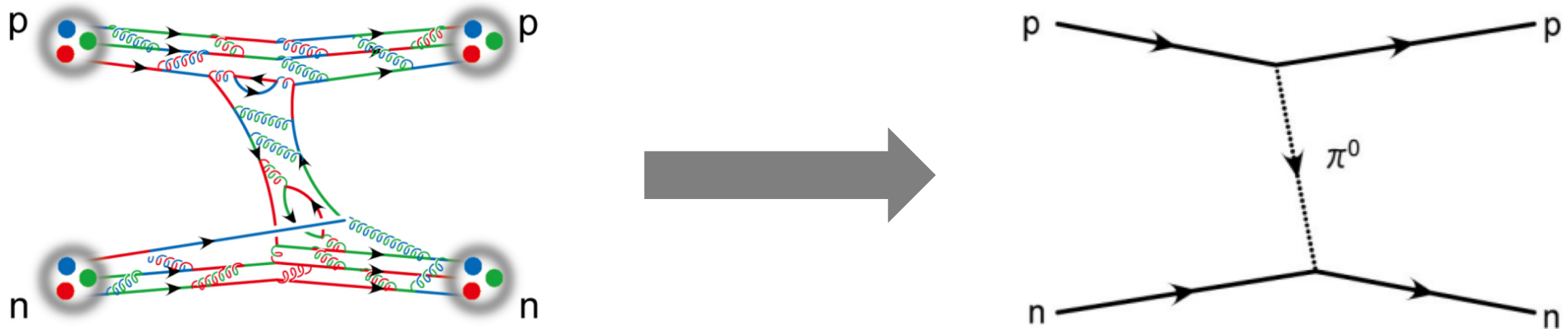


# Nuclear picture is a many body problem

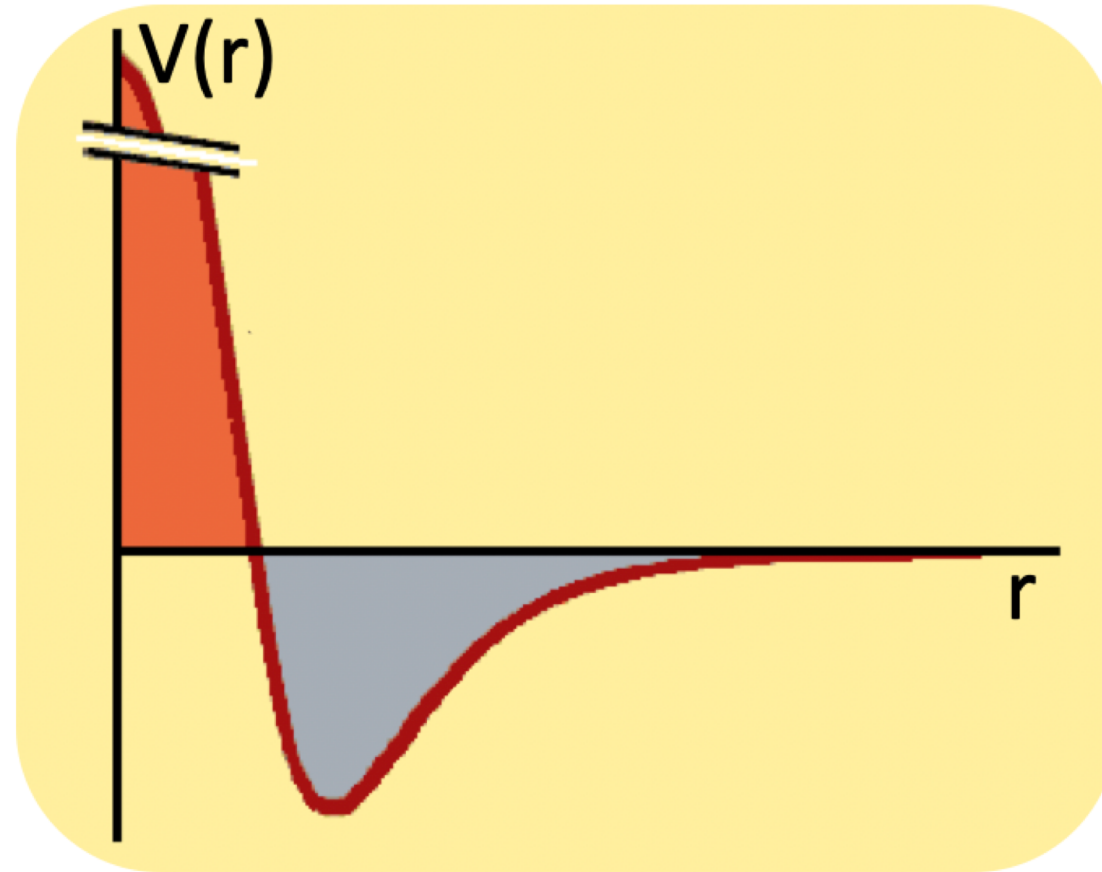
$$\sum_i \left\{ -\frac{\hbar^2}{2m_i} \nabla_i^2 \Psi(\vec{r}_1, \dots, \vec{r}_N, t) \right\} + U(\vec{r}_1, \dots, \vec{r}_N) \Psi(\vec{r}_1, \dots, \vec{r}_N, t) = i\hbar \frac{\partial}{\partial t} \Psi(\vec{r}_1, \dots, \vec{r}_N, t)$$

From elastic scattering, we already know that quarks and gluons compose the nucleons...

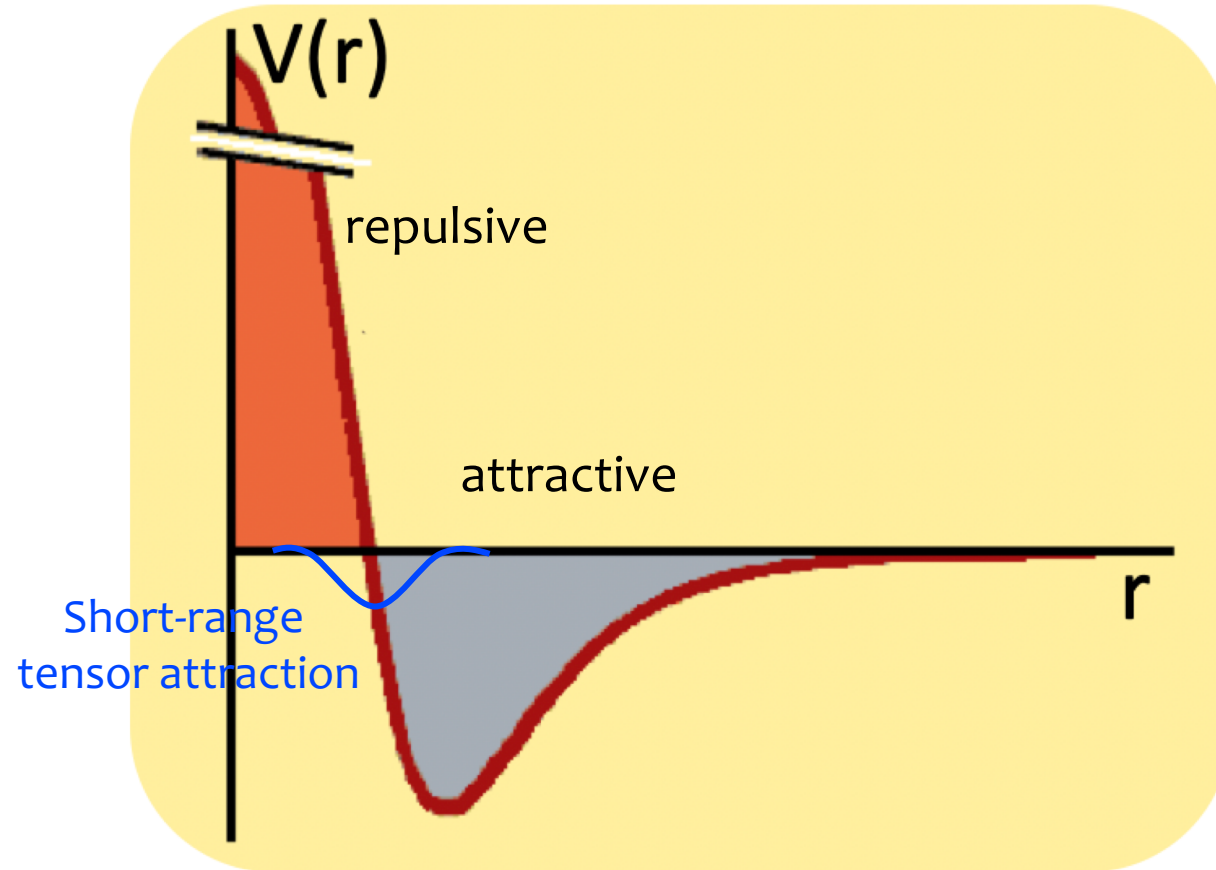
We simplify by describing the effective NN interaction as:



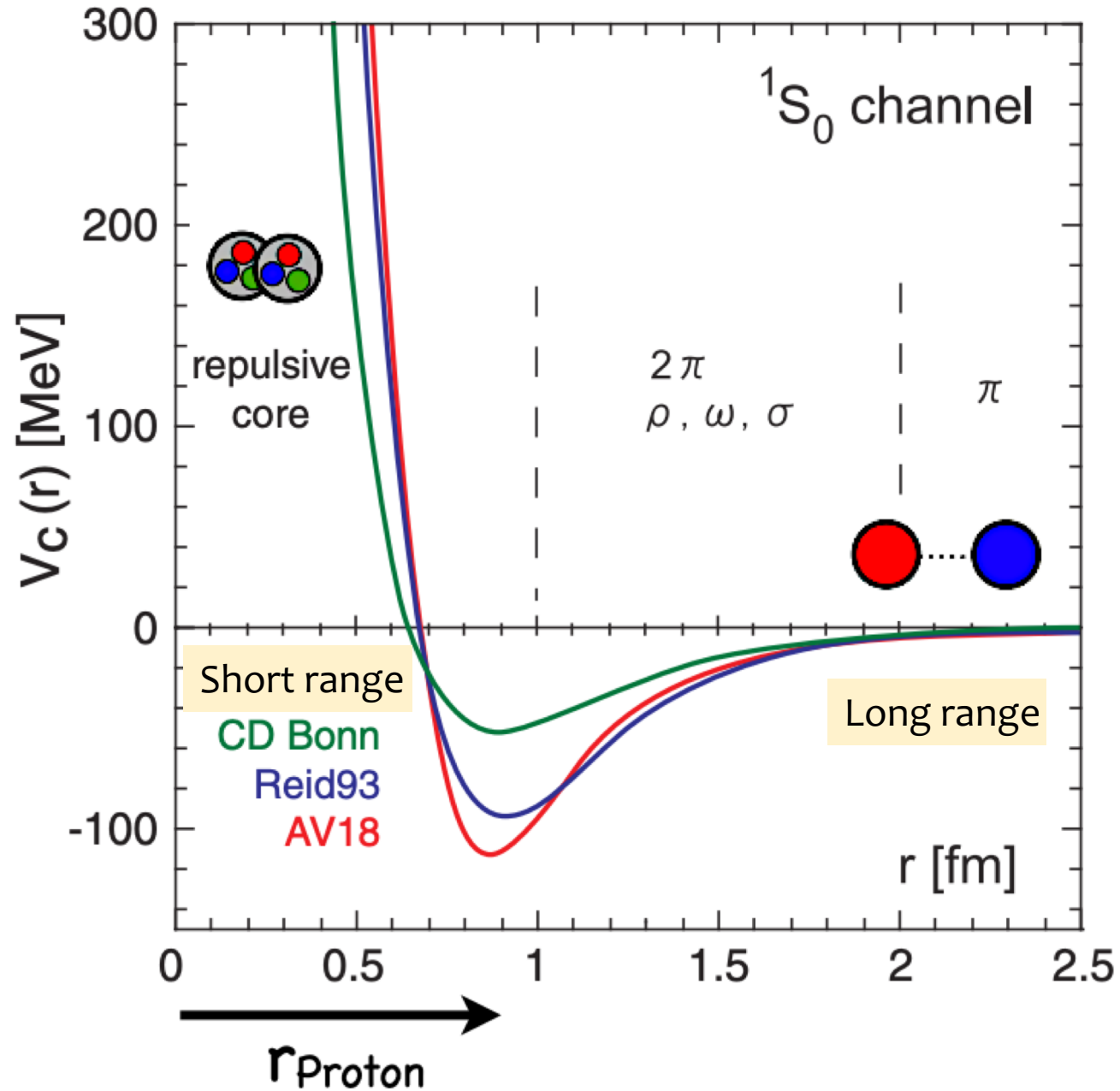
# NN potential



# NN potential



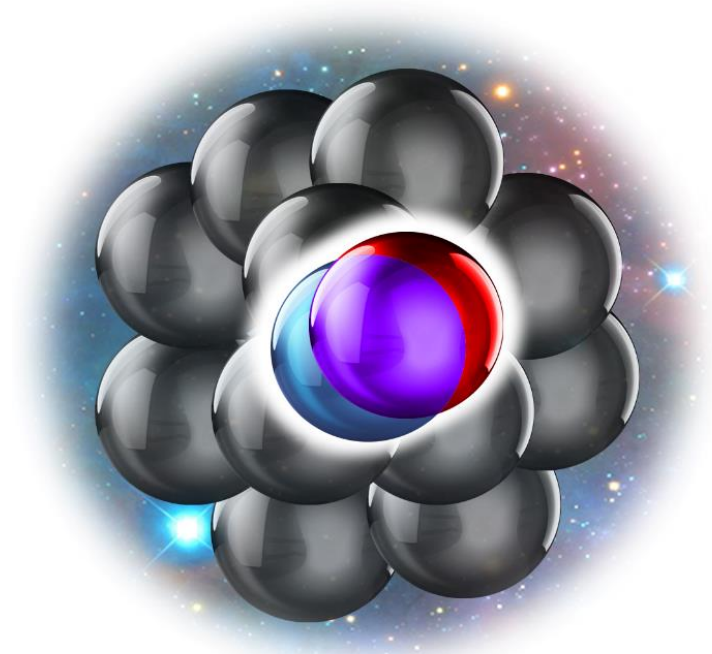
# NN interaction



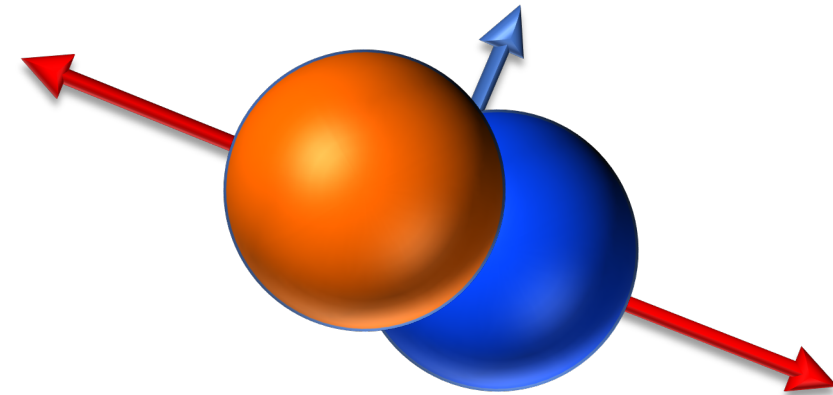
# NN short-range correlated pairs

Nucleon pairs that are close together (overlapping) in the nucleus

High relative momentum and low center of mass momentum (as compared to  $k_f$ )



r-space

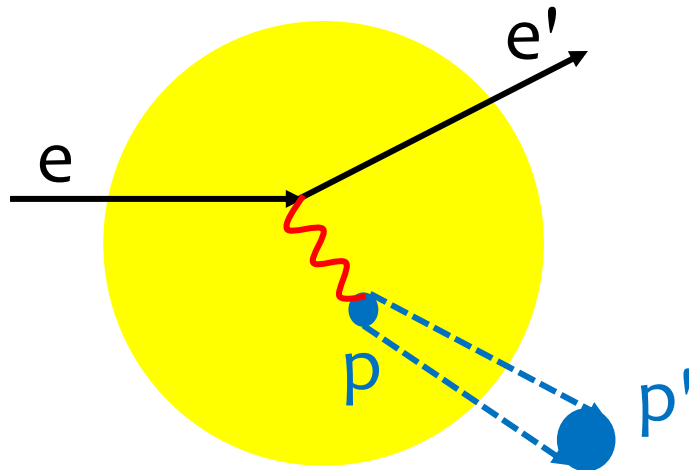


k-space

More on this tomorrow...

# Nuclear transparency

If we are going to learn anything about nucleons in the nucleus, we have to know something about transparency



Hadron propagation in the nucleus is dominated by a reduction of flux at high energies.

**Transparency** refers to the probability that a knocked out nucleon is deflected or absorbed.

$$T_A = \frac{\sigma_A}{A \sigma_N} \quad \begin{array}{l} \text{(nuclear cross section)} \\ \text{(free nucleon} \\ \text{cross section)} \end{array}$$

More on this tomorrow...

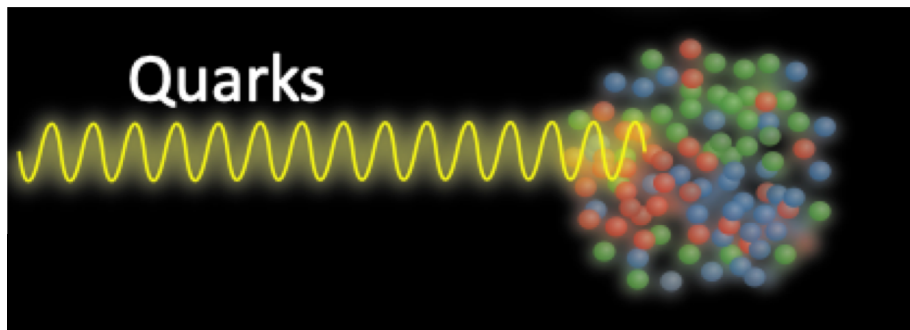
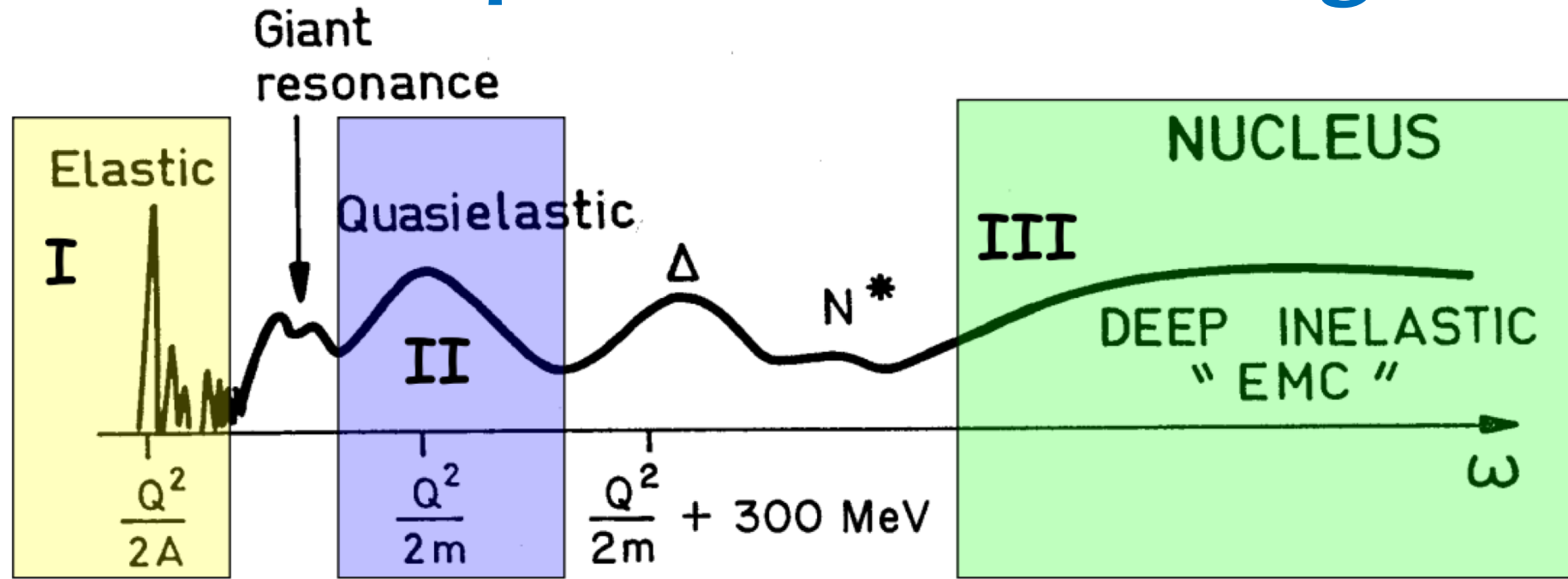


# Quasielastic scattering summary



- Nuclei are complicated systems that we model with different assumptions.
- Fermi gas model gives us a good idea about the cross section.
- Scaling refers to the dependence of a cross section on a single variable
  - $\gamma$ -scaling can tell us about the nucleon momentum distributions in the nucleus.
- Indications that nucleons are not truly quasifree (but modified in the nucleus) from the Coulomb Sum Rule and the loss of spectroscopic strength in orbitals.
- Can study NN interaction and nuclear transparency

# Deep inelastic scattering

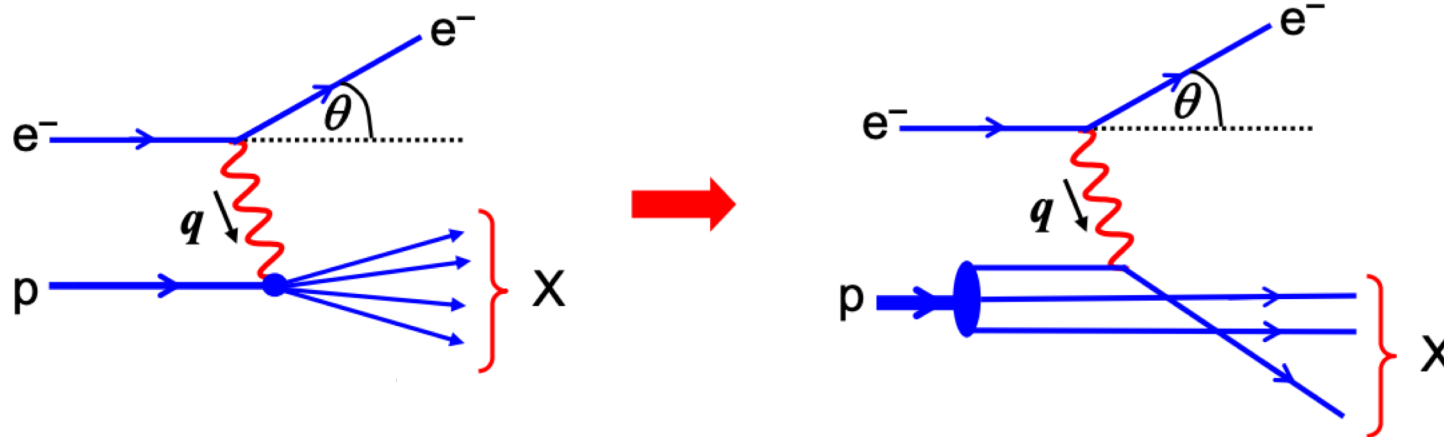


Proton breaks up resulting in many particle final state.

- Structure functions
- EMC effect

# Quark-parton model

DIS is dominated by the scattering of a single virtual photon from point-like spin-half constituents of the proton



Scattering from a proton with structure functions

Scattering from a point-like quark in the proton

Elastic scattering from a quasifree quark!

Note: Frame where the proton has very high energy  $\rightarrow$  “infinite momentum frame”

# Structure functions

Form factors are replaced by structure functions with a dependence on  $Q^2$  and  $x_B$ .

$$\frac{d^2\sigma}{dE'd\Omega} = \frac{4\alpha^2(E')^2}{q^4} \left( \frac{F_2}{\nu} \cos^2 \frac{\theta}{2} + 2 \frac{F_1}{M} \sin^2 \frac{\theta}{2} \right)$$

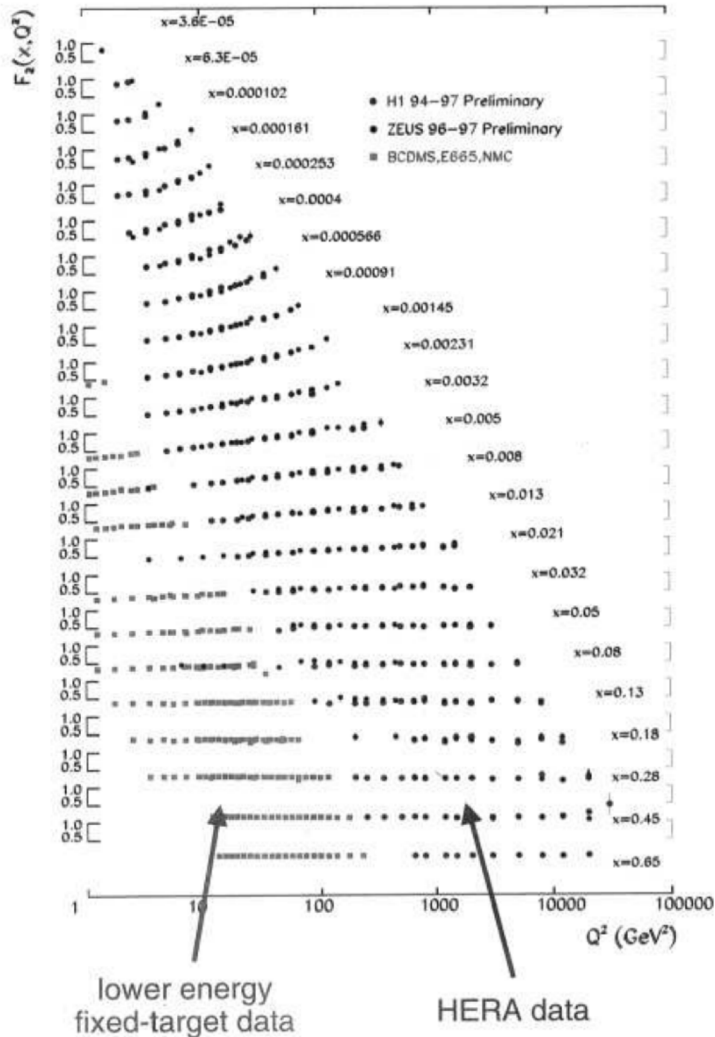
Interpreted as the momentum distribution of the quarks in the proton.

$F_1(x, Q^2)$  ~ pure magnetic structure function

$F_2(x, Q^2)$  ~ electromagnetic structure function

# Bjorken scaling

$F_2(x, q^2)$  from HERA



- Experimentally,  $F_1$  and  $F_2$  are determined with measurements varying both the scattering angle and beam energy.
- We observe that  $F_1$  and  $F_2$  are nearly independent of  $Q^2$ . Thus, a reliance only on  $x_B$ ...

$$F_1(x, Q^2) \rightarrow F_1(x)$$

$$F_2(x, Q^2) \rightarrow F_2(x)$$

“Bjorken scaling” → implies scattering from point-like objects in the proton

# Partons in nucleons

$x_B$  is the fraction of the proton momentum carried by the struck quark (in the infinite momentum frame)

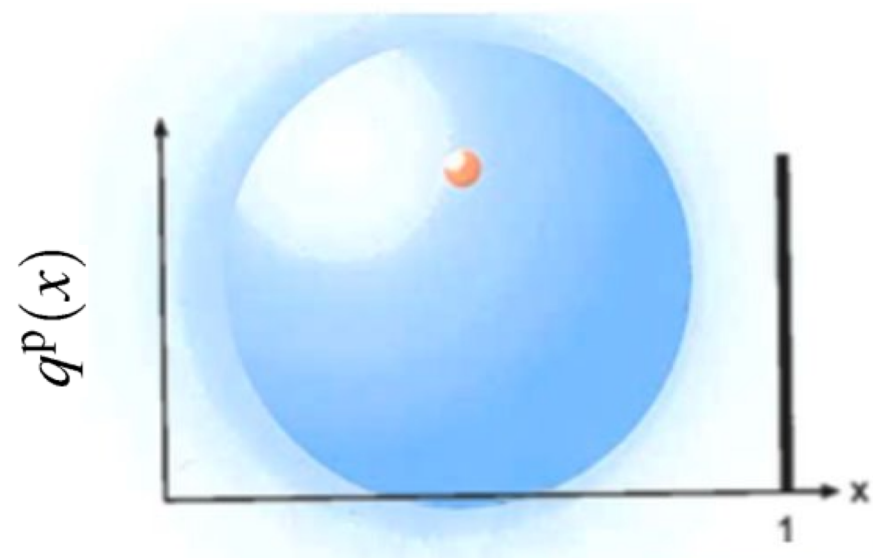
Parton distribution functions:

$q^P(x)dx$  the number of quarks of type  $q$  within a proton with momenta between  $x$  and  $x+dx$

Structure functions related to the quark distributions as:

$$F_2^P(x, Q^2) = 2xF_1^P(x, Q^2) = x \sum_q e_q^2 q^P(x)$$

(Callan-Gross relation)

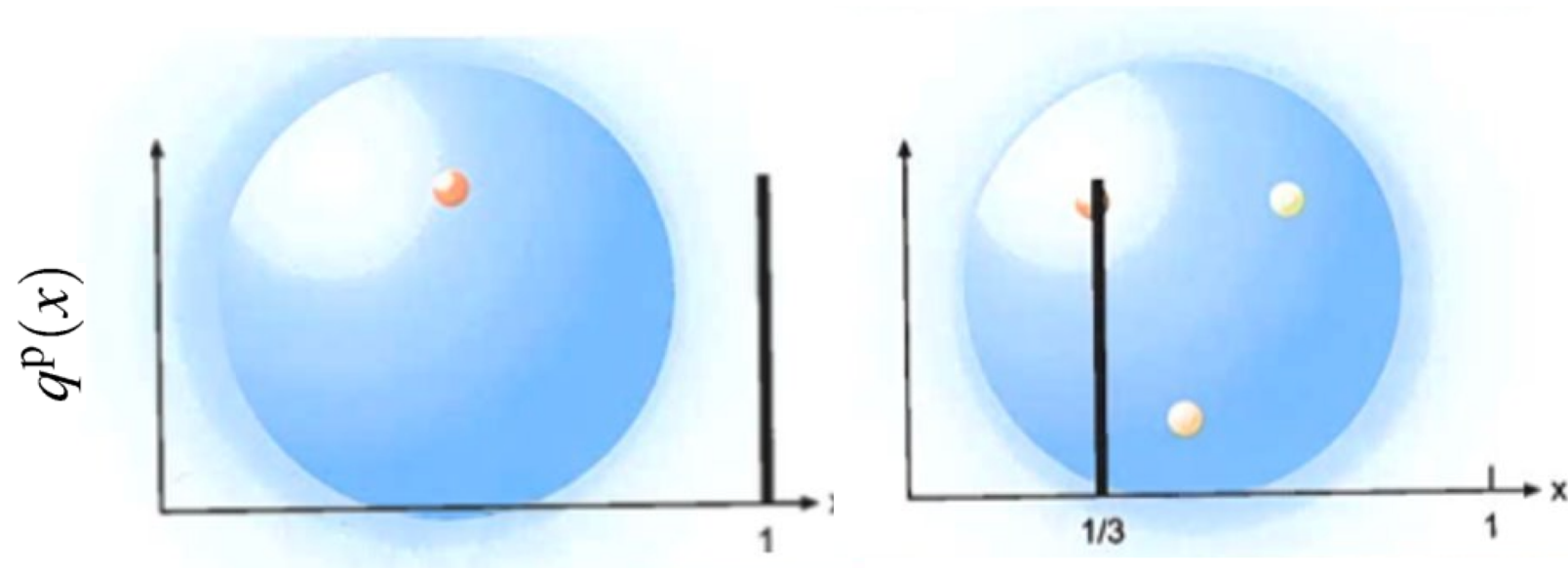


Single proton



# Partons in nucleons

$x_B$  is the fraction of the proton momentum carried by the struck quark (in the infinite momentum frame)



Single proton



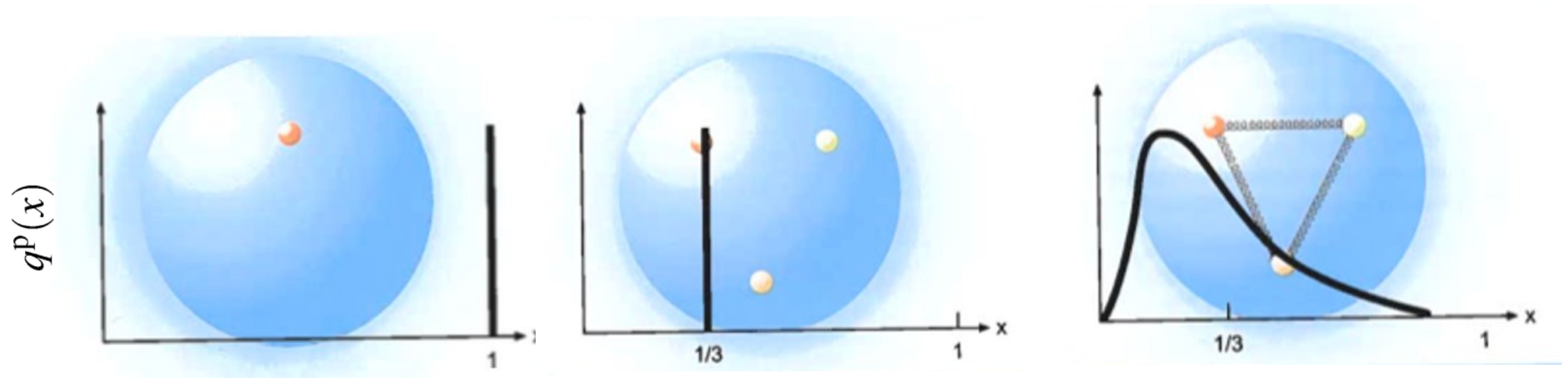
3 static quarks (valence)





# Partons in nucleons

$x_B$  is the fraction of the proton momentum carried by the struck quark (in the infinite momentum frame)



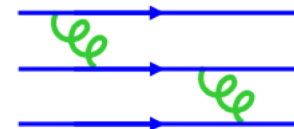
Single proton



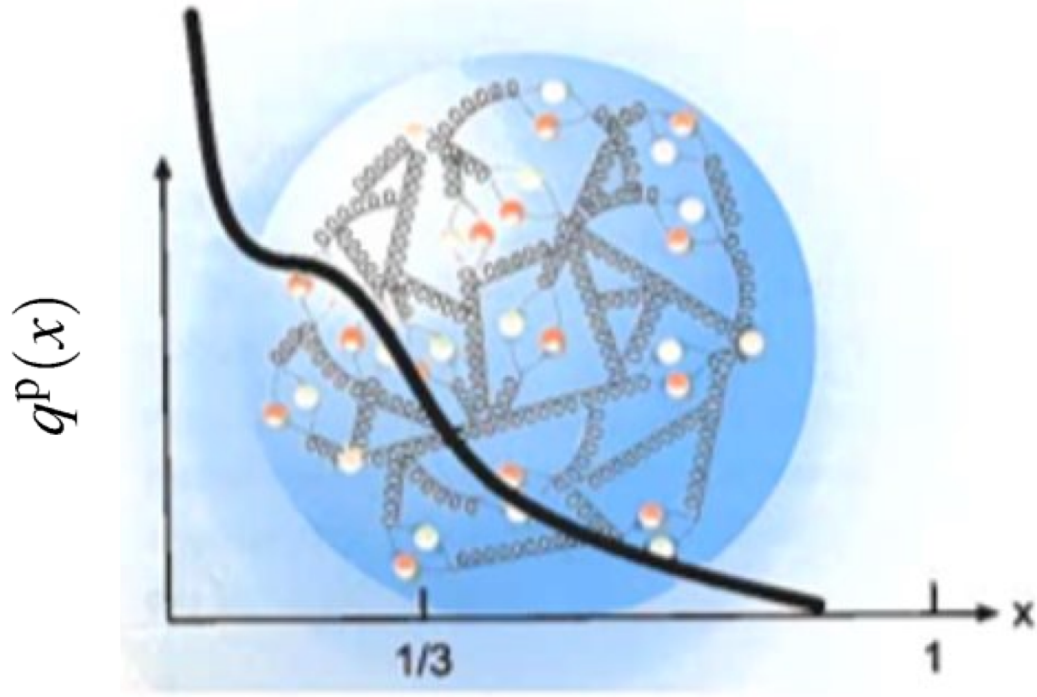
3 static quarks (valence)



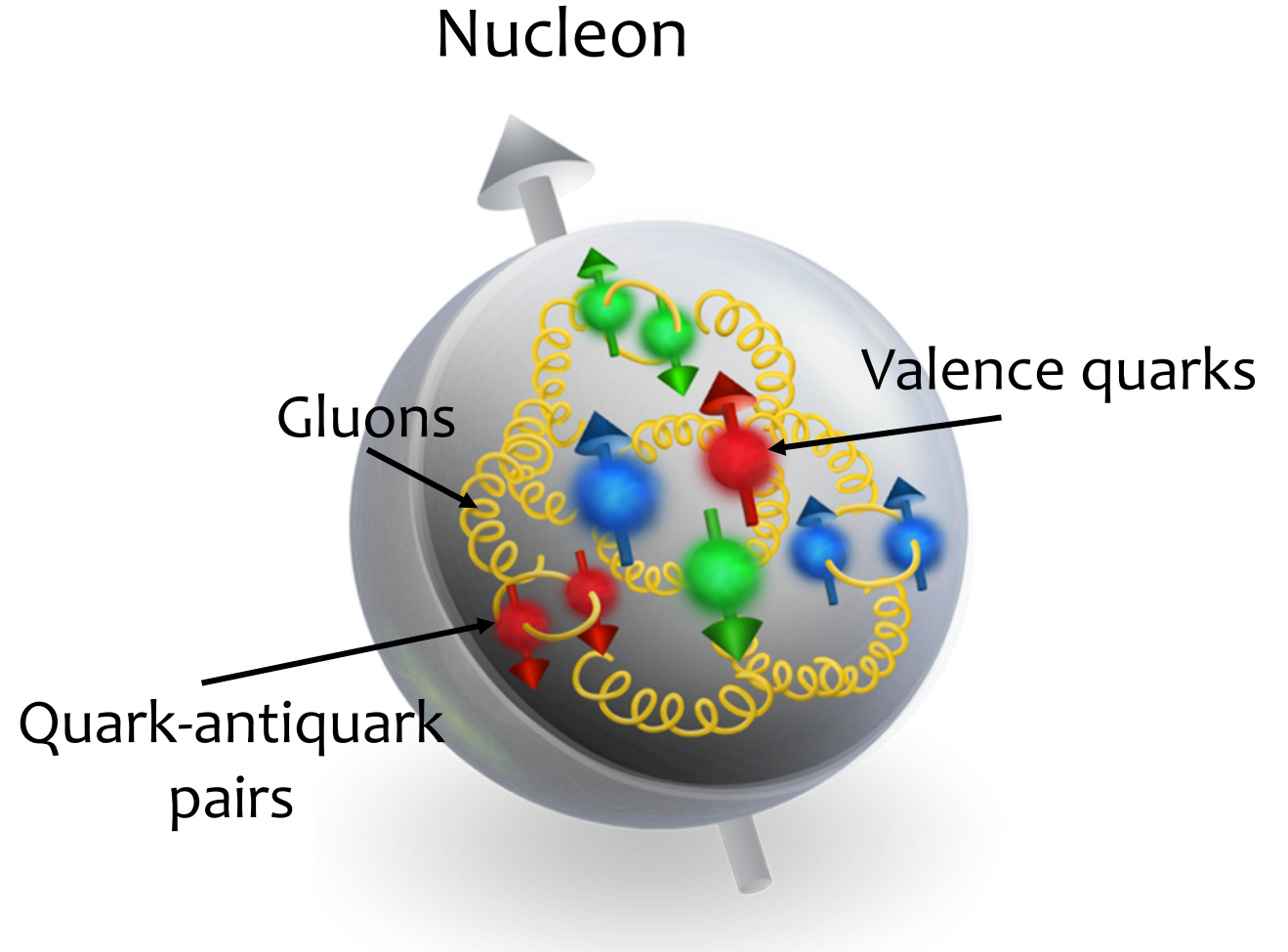
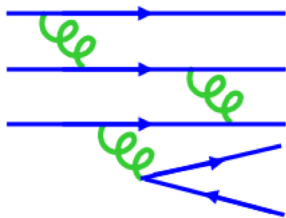
3 interacting quarks



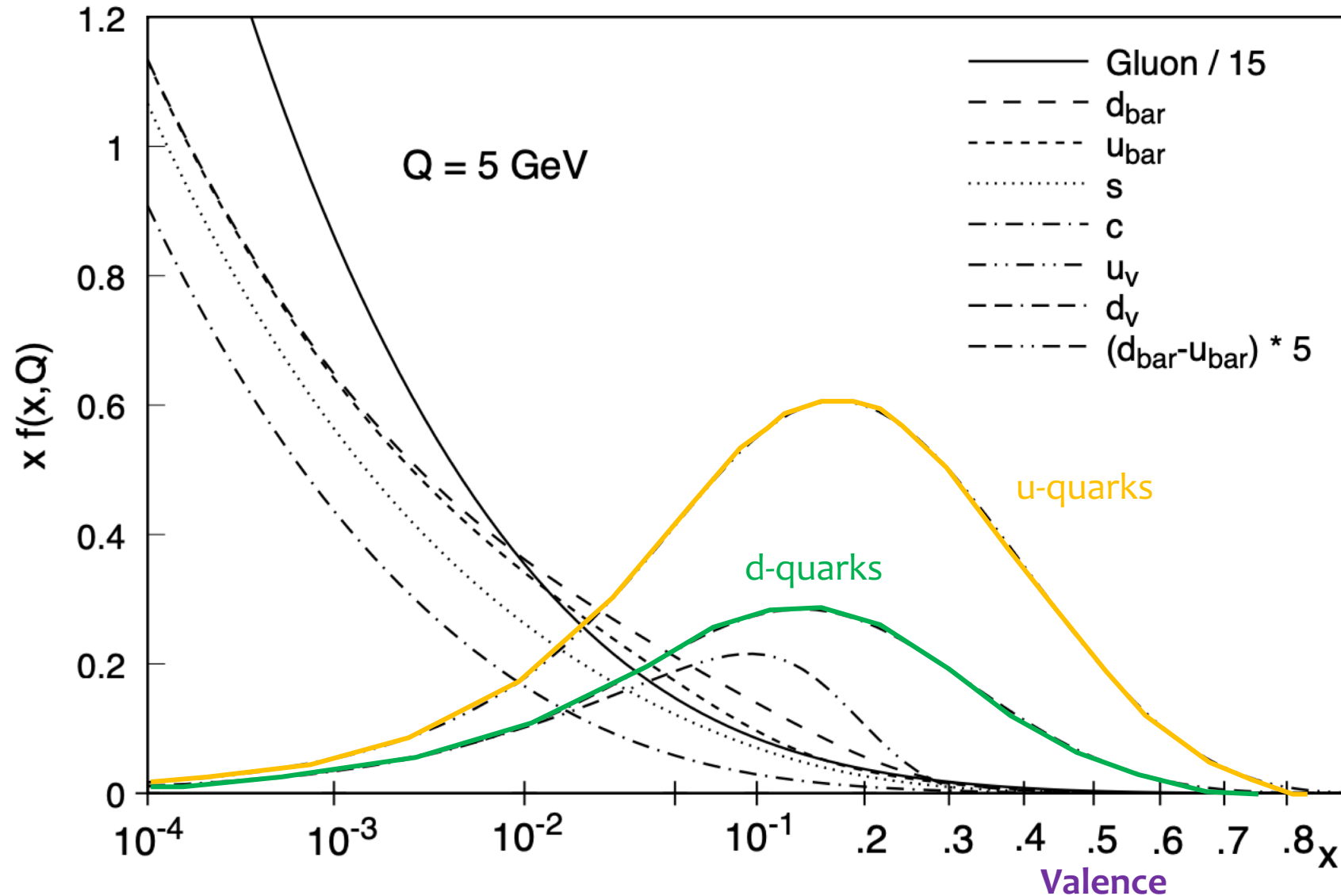
# Quarks and gluons



Valence quarks & sea quarks,  
higher order interactions



# Quark distributions



# EMC Effect

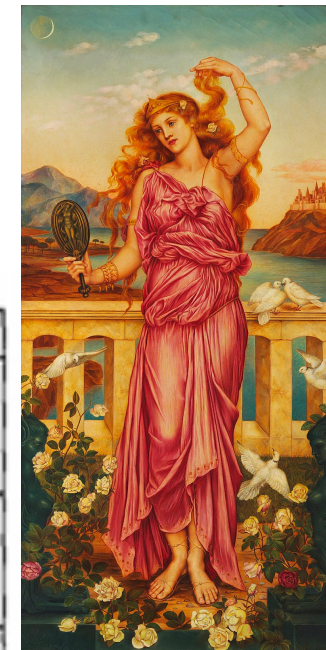
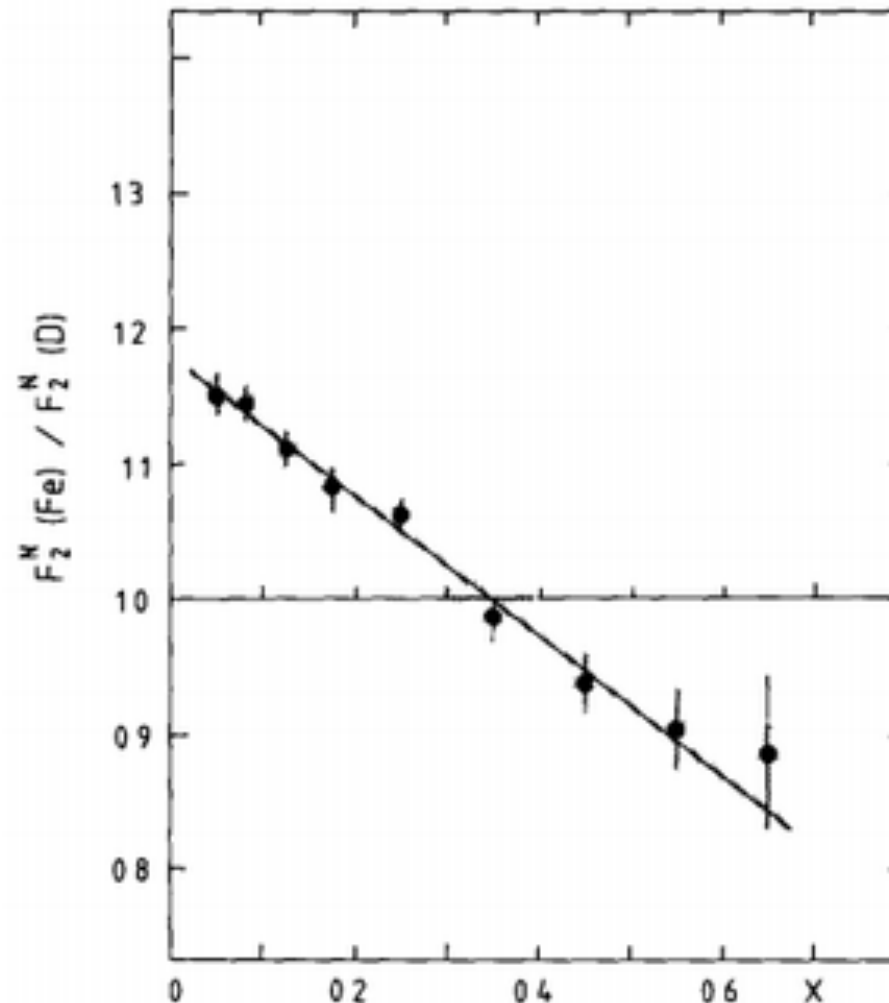
Recall that in DIS, we describe the **cross section** in terms of the **structure functions**.

$$\frac{d^2\sigma}{dE'd\Omega} = \frac{4\alpha^2(E')^2}{q^4} \left( \frac{F_2}{\nu} \cos^2 \frac{\theta}{2} + 2 \frac{F_1}{M} \sin^2 \frac{\theta}{2} \right)$$

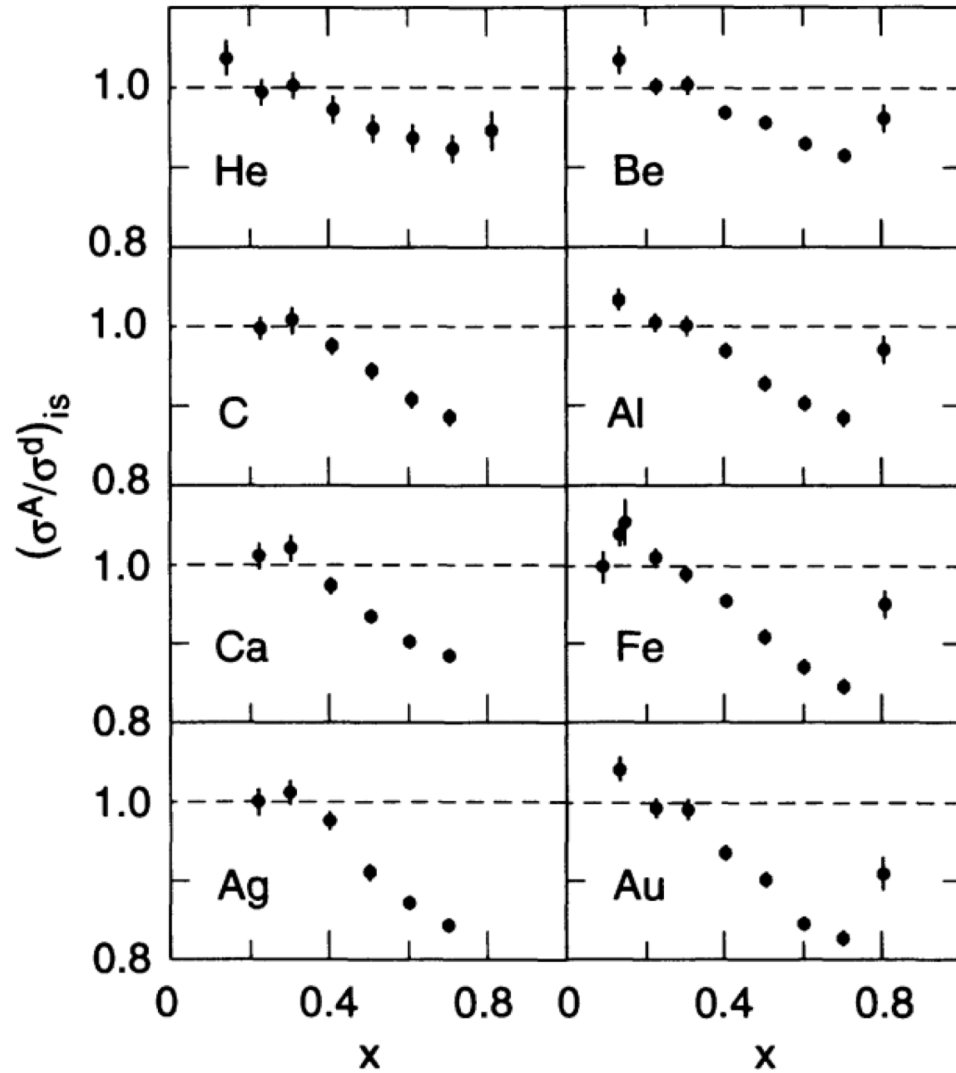
These **structure functions** describe the **quark momentum distributions**.

$$F_2^{\text{P}}(x, Q^2) = 2xF_1^{\text{P}}(x, Q^2) = x \sum_q e_q^2 q^{\text{P}}(x)$$

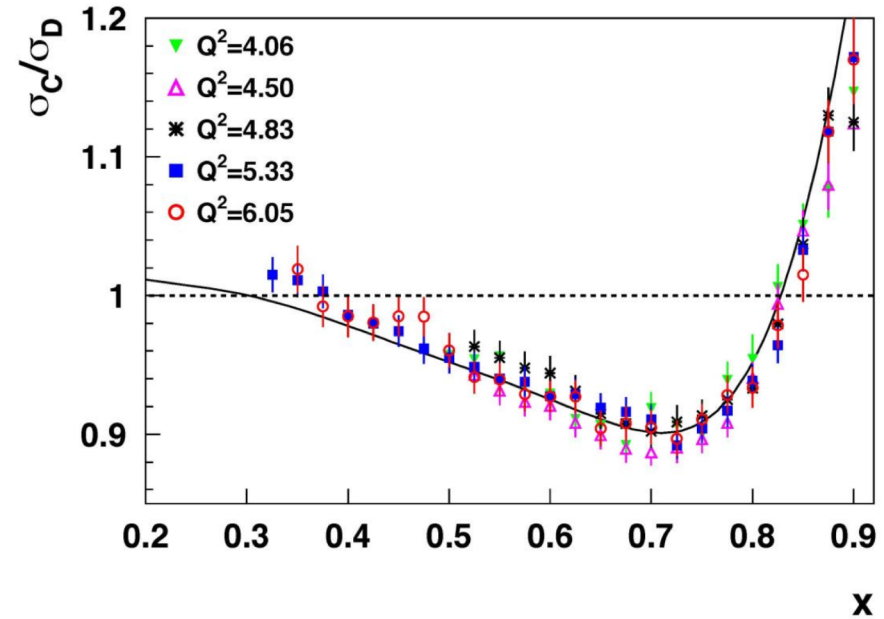
The European Muon Collaboration first observed that the DIS cross section ratio of a heavy nucleus relative to deuterium, per nucleon, is not 1 (where we expect to be scattering from the valence quarks).



# Universal shape



J. Gomez et al., Phys. Rev. D 49, 4348 (1994)



J. Seely et al., Phys. Rev. Lett. 103, 202301 (2009)

- The effect increases with  $A$
- It's  $Q^2$  independent
- Region is  $0.3 < x < 0.7$



# A thousand ships...

The parton model interpretation is that the valence quarks of a nucleon bound in a nucleus carry less momentum than those of free nucleons.

EMC Effect is not described by conventional nuclear physics only.

Many theories, but no universally agreed upon model:

- Single nucleons
- Pion enhancement
- Multiquark clusters
- Dynamical rescaling
- Medium effects
- Short range correlations
- .....

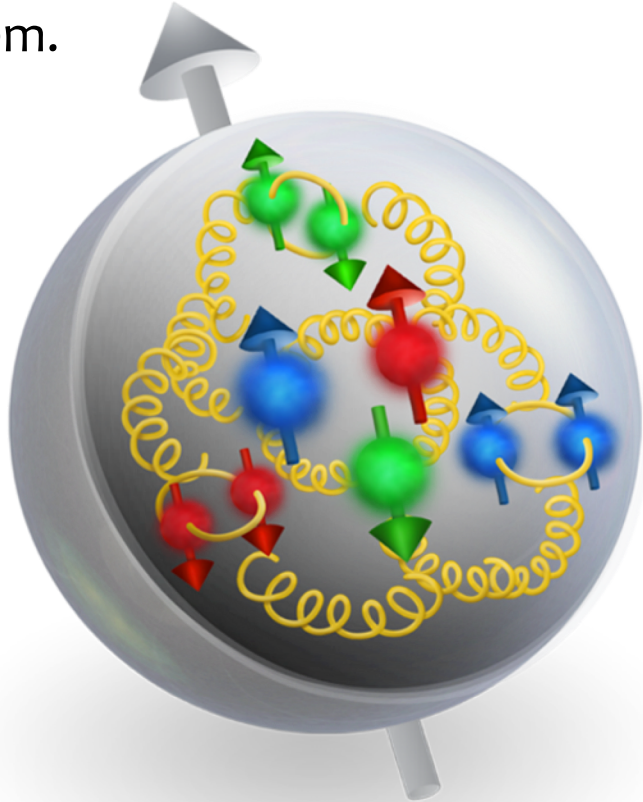


Many experiments, theories, and papers!

More on this tomorrow...

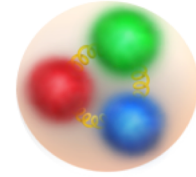
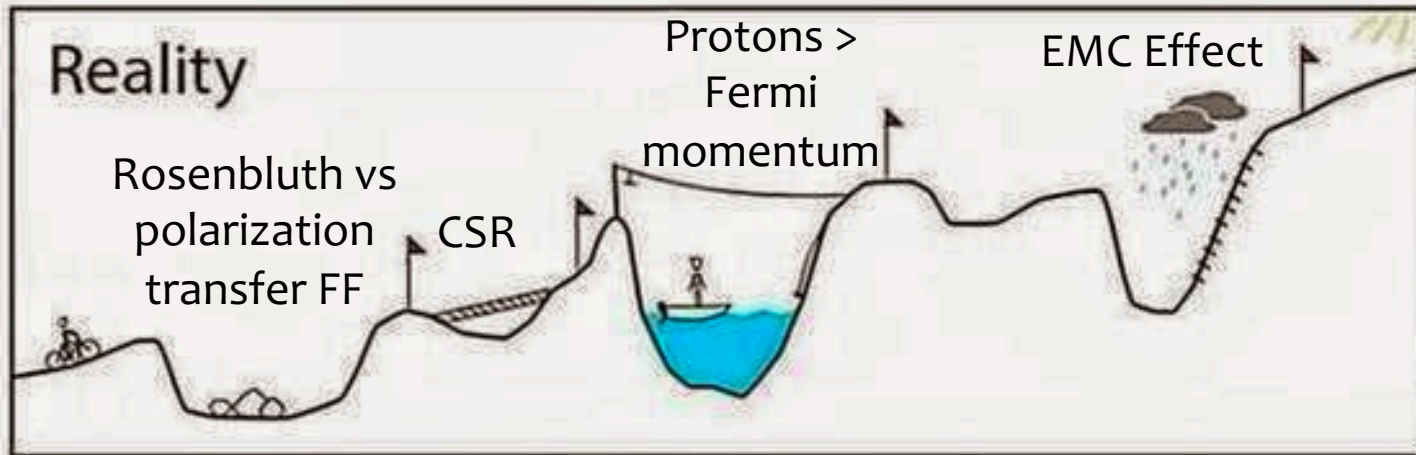
# Deep inelastic scattering summary

- Structure functions contain the quark momentum density information.
- In the quark-parton model, DIS is scattering from a quasi-free quark.
- EMC Effect: There's a loss of momentum carried by the valence quarks in a bound nucleon vs that of a free nucleon. Many models try to explain the data. Many experiments try to understand the problem.





# Summary of the physics



# Summary of the physics

- Nuclear strong interaction that binds nuclei is the residual from the strong interaction between quarks.



- Elastic scattering:
  - Form factors describe the nuclear and nucleon structure in terms of charge and magnetic moment
- Quasielastic scattering:
  - Shell structure, momentum distributions, correlations
- Deep inelastic scattering:
  - Quark-parton picture, structure functions describe quark momentum distributions