

# $u$ -channel Virtual Compton Scattering (VCS) at the EIC

Zachary Sweger  
University of California, Davis



CALIFORNIA EIC  
CONSORTIUM



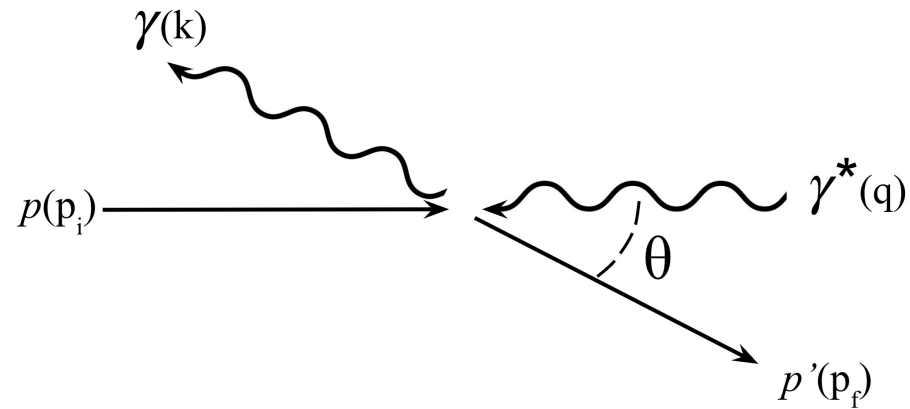
**BERKELEY LAB**

Bringing Science Solutions to the World

Supported in part by

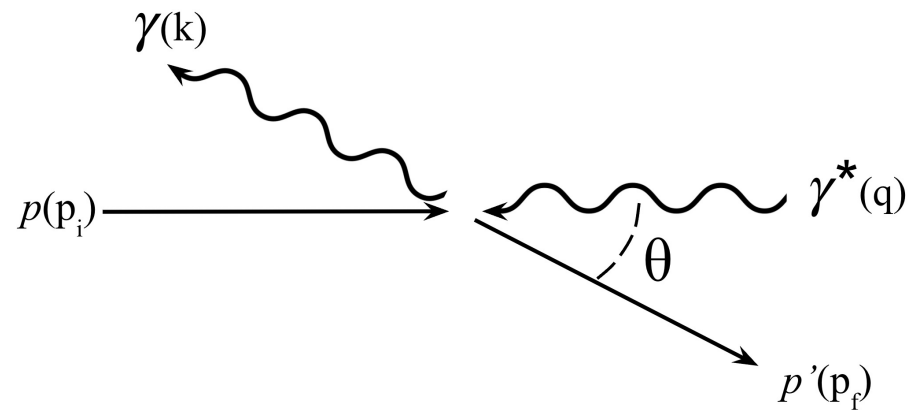


## Forward Compton Scattering (COM Frame)



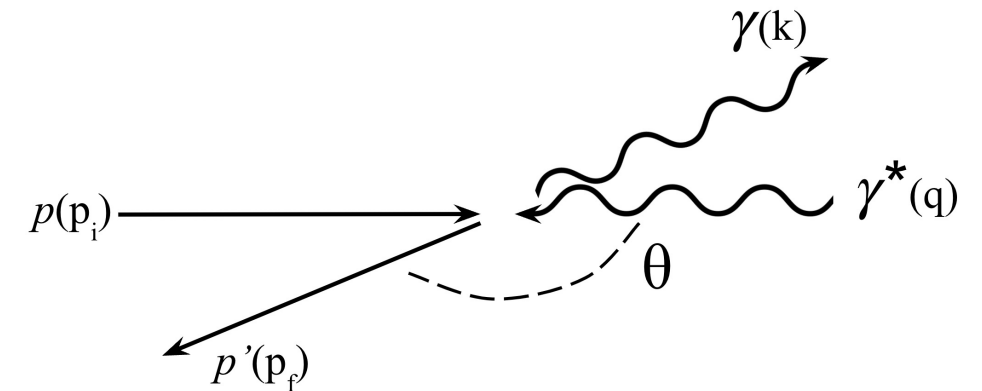
Glancing collision, small momentum transfer

## Forward Compton Scattering (COM Frame)



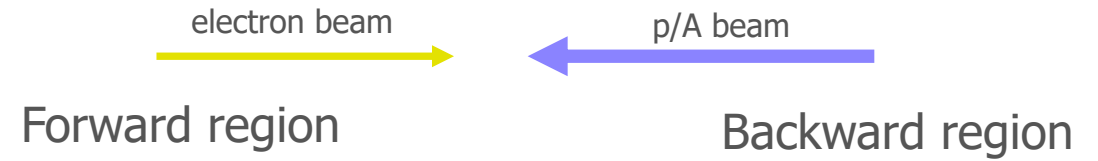
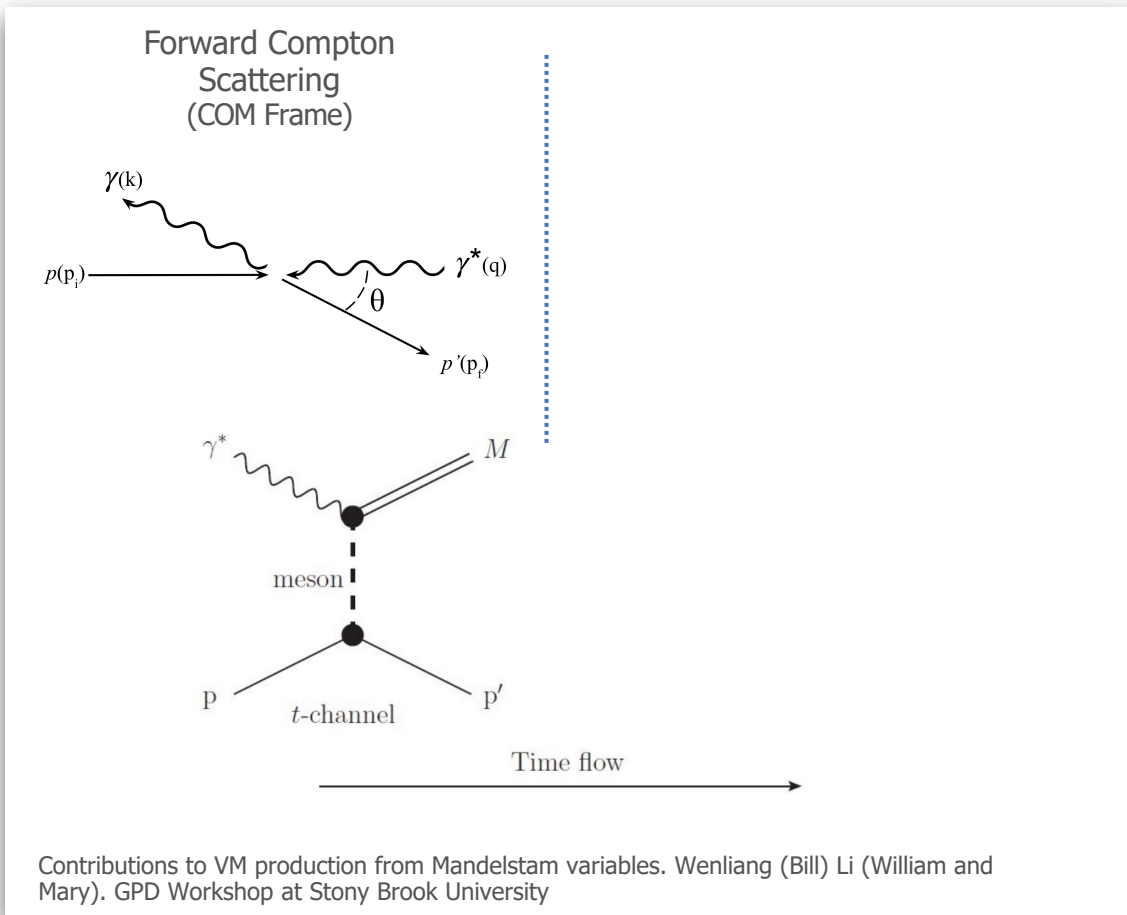
Glancing collision, small momentum transfer

## Backward Compton Scattering (COM Frame)



Backscattering, large momentum transfer

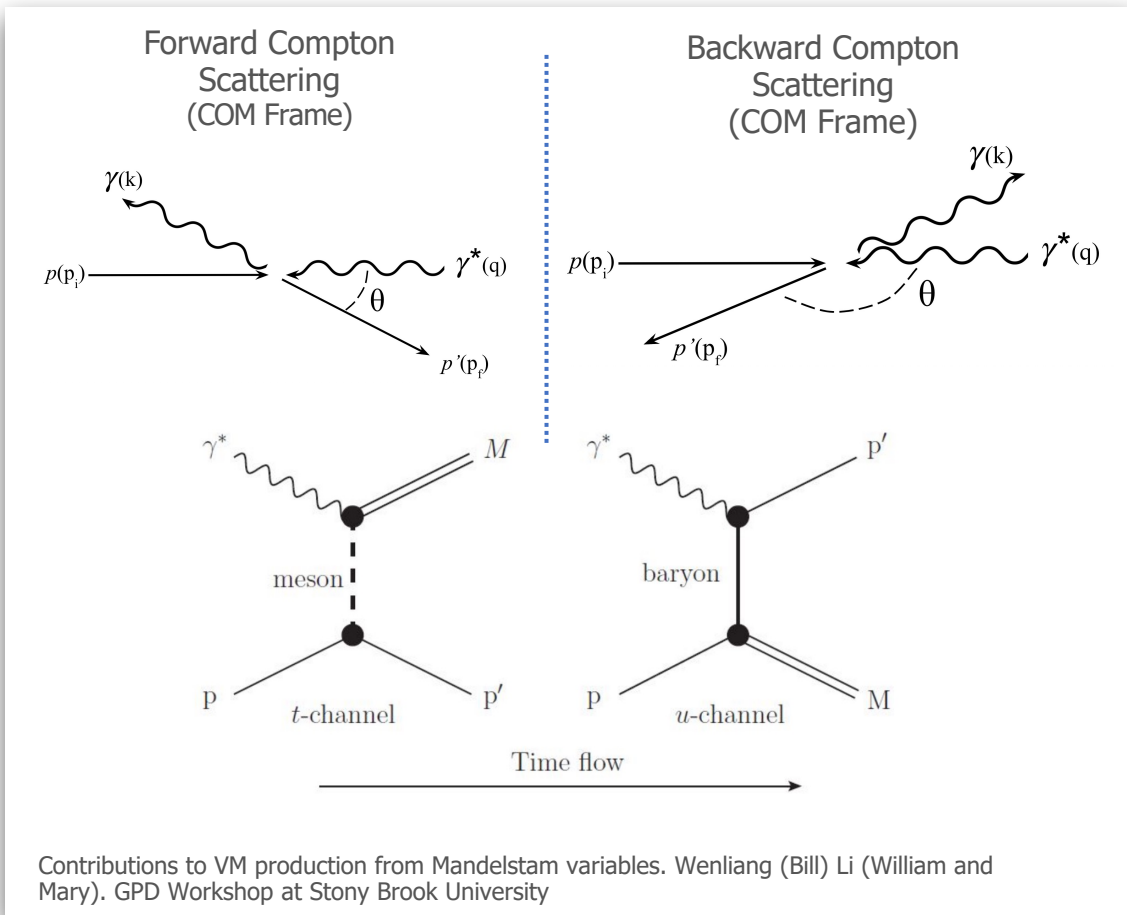
# Backward ( $u$ -channel) Compton Scattering



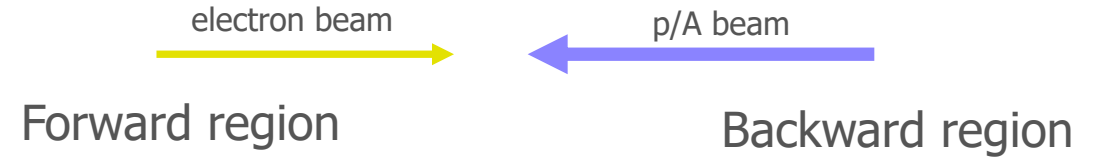
## Forward vs Backward Compton Scattering

- Forward Production
  - $t$ -channel: low Mandelstam  $t$ , high  $u$
  - $\gamma$  produced in backwards ( $e^-$ -going) direction
  - Proton continues in forward direction

# Backward ( $u$ -channel) Compton Scattering



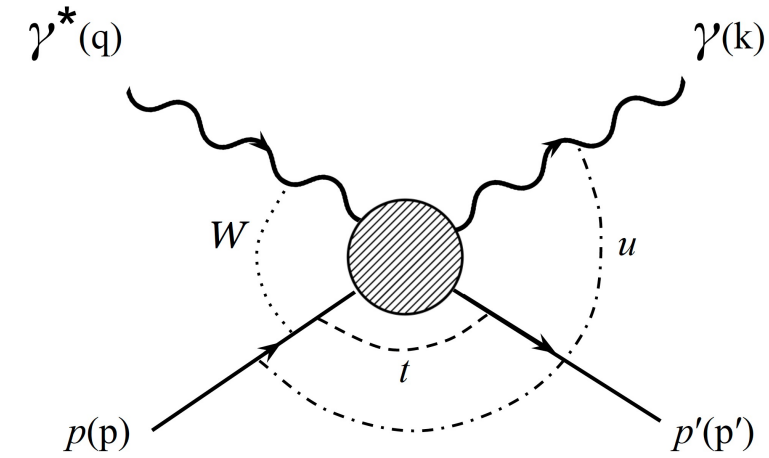
Contributions to VM production from Mandelstam variables. Wenliang (Bill) Li (William and Mary). GPD Workshop at Stony Brook University



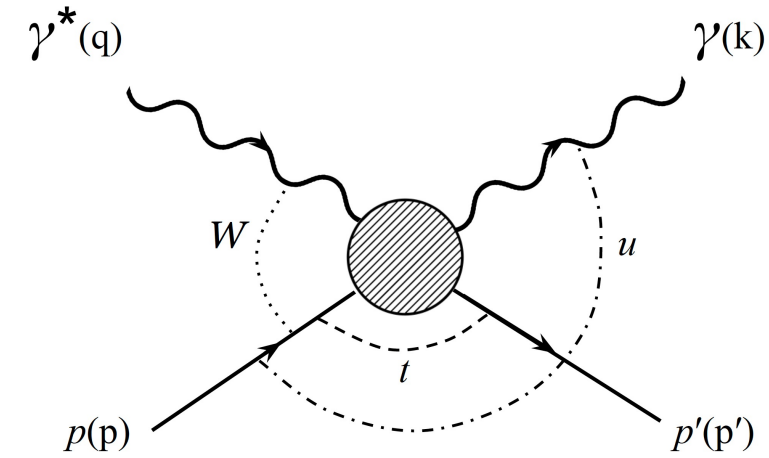
## Forward vs Backward Compton Scattering

- Forward Production
  - $t$ -channel: low Mandelstam  $t$ , high  $u$
  - $\gamma$  produced in backwards ( $e^-$ -going) direction
  - Proton continues in forward direction
- Backwards Production
  - $u$ -channel: low Mandelstam  $u$ , high  $t$
  - $\gamma$  produced in forwards ( $p$ -going) direction
  - Proton shifted many units in rapidity

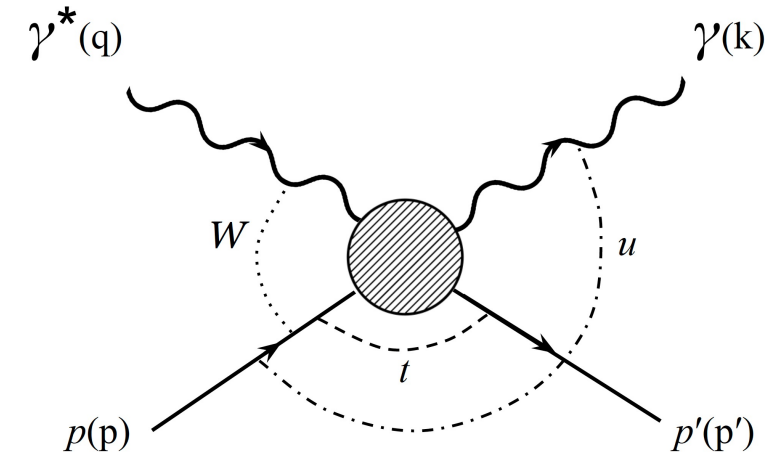
- VCS can be parameterized in terms of:
  - $Q^2$  ← when  $Q^2 \neq 0$ , this is virtual CS (VCS)



- VCS can be parameterized in terms of:
  - $Q^2$  ← when  $Q^2 \neq 0$ , this is virtual CS (VCS)
  - $W = \sqrt{s} = \sqrt{(p + q)^2}$



- VCS can be parameterized in terms of:
    - $Q^2$  ← when  $Q^2 \neq 0$ , this is virtual CS (VCS)
    - $W = \sqrt{s} = \sqrt{(p + q)^2}$
    - $|t| = |(p - p')^2|$
    - $|u| = |(p - k)^2|$
    - $\theta_{\text{CM}}$
- $t, u,$  and  $\theta$  each parameterize mom. transfer in reaction.  
Only one needed.





- VCS can be parameterized in terms of:

- $Q^2$  ← when  $Q^2 \neq 0$ , this is virtual CS (VCS)

- $W = \sqrt{s} = \sqrt{(p + q)^2}$

- $|t| = |(p - p')^2|$

- $|u| = |(p - k)^2|$

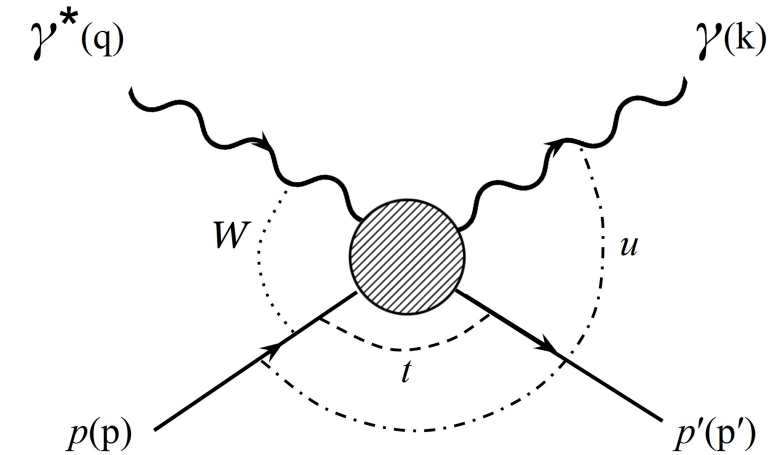
- $\theta_{\text{CM}}$

- $\phi$

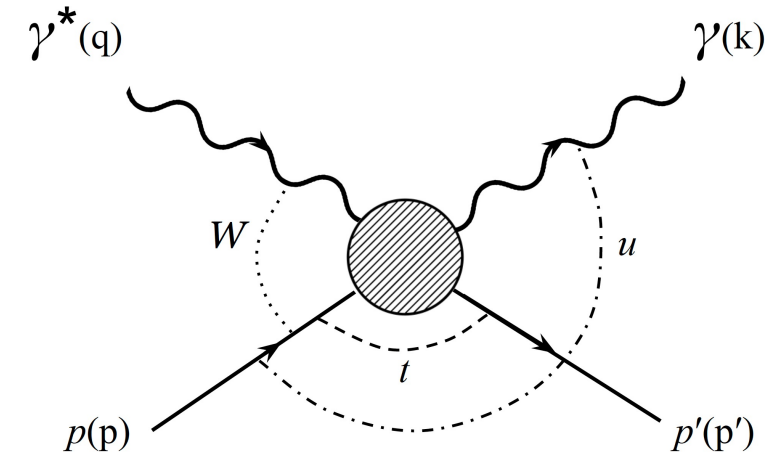


$t$ ,  $u$ , and  $\theta$  each parameterize mom. transfer in reaction.  
Only one needed.

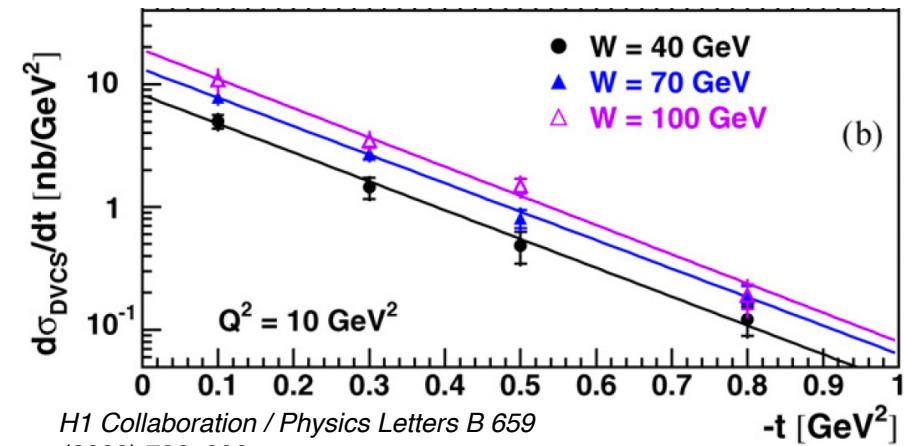
←  $\phi$  is rotation of  $\gamma p$  plane relative to  $\gamma^* e^-$  plane



- VCS can be parameterized in terms of:
    - $Q^2$  ← when  $Q^2 \neq 0$ , this is virtual CS (VCS)
    - $W = \sqrt{s} = \sqrt{(p + q)^2}$
    - $|t| = |(p - p')^2|$
    - $|u| = |(p - k)^2|$
    - $\theta_{\text{CM}}$
    - $\phi$  ←  $\phi$  is rotation of  $\gamma p$  plane relative to  $\gamma^* e^-$  plane
- $t, u,$  and  $\theta$  each parameterize mom. transfer in reaction.  
Only one needed.



$$\frac{d^4\sigma[ep \rightarrow e'p'\gamma]}{dQ^2 dW d\phi dt} = \Gamma(Q^2, W) \frac{d^2\sigma[\gamma^* p \rightarrow p'\gamma]}{d\phi dt}(Q^2, W, \phi, t)$$



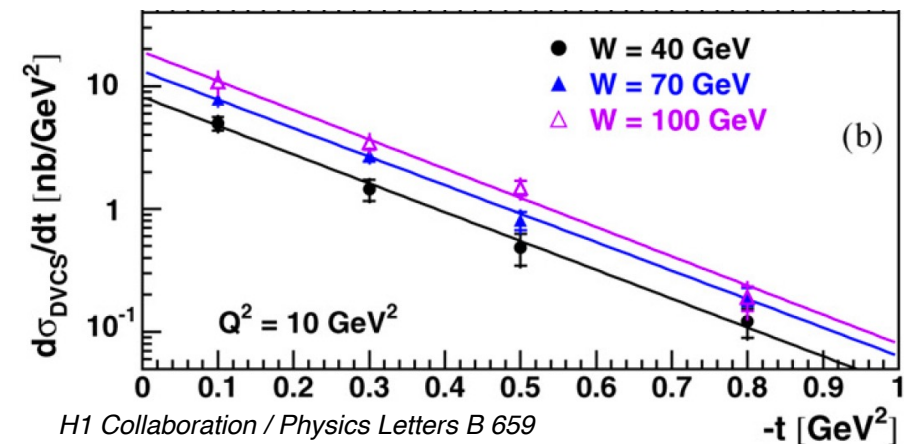
## Typical Description of VCS cross section

- Cross section at fixed  $Q^2$  and  $W$  is typically modeled using an exponential:  $e^{-b|t|}$
- This cross section encodes information about the proton GPDs in impact-parameter space
- So why care about cross section at very high  $|t|$ ?

# A $u$ -channel Peak?

## Typical Description of VCS cross section

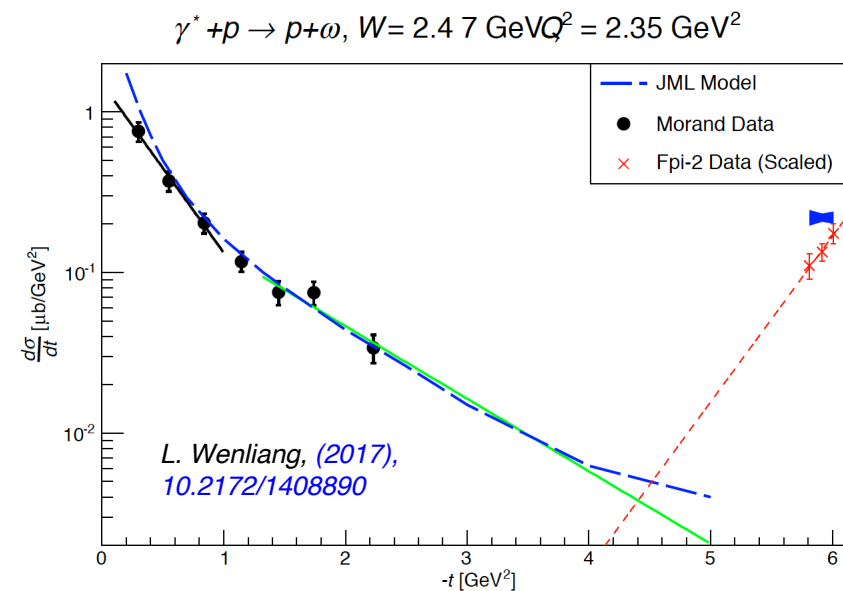
- Cross section at fixed  $Q^2$  and  $W$  is typically modeled using an exponential:  $e^{-b|t|}$
- This cross section encodes information about the proton GPDs in impact-parameter space
- So why care about cross section at very high  $|t|$ ?



H1 Collaboration / Physics Letters B 659 (2008) 796–806

## Non-trivial Behavior at High $t$

- Cross sections for mesons have exponential drop-off with  $|t|$ , BUT also an exponential rise at the highest  $|t|$  values
- This is from  $u$ -channel contributions which may also be expected in VCS

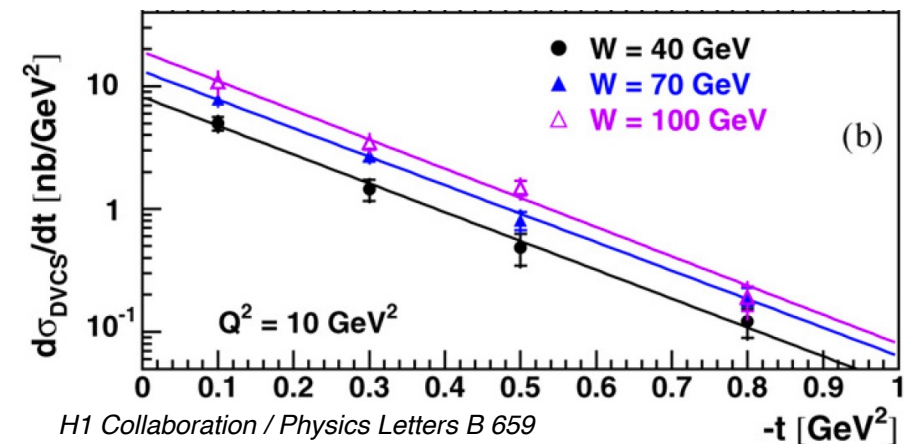


L. Wenliang, (2017), 10.2172/1408890

# A $u$ -channel Peak?

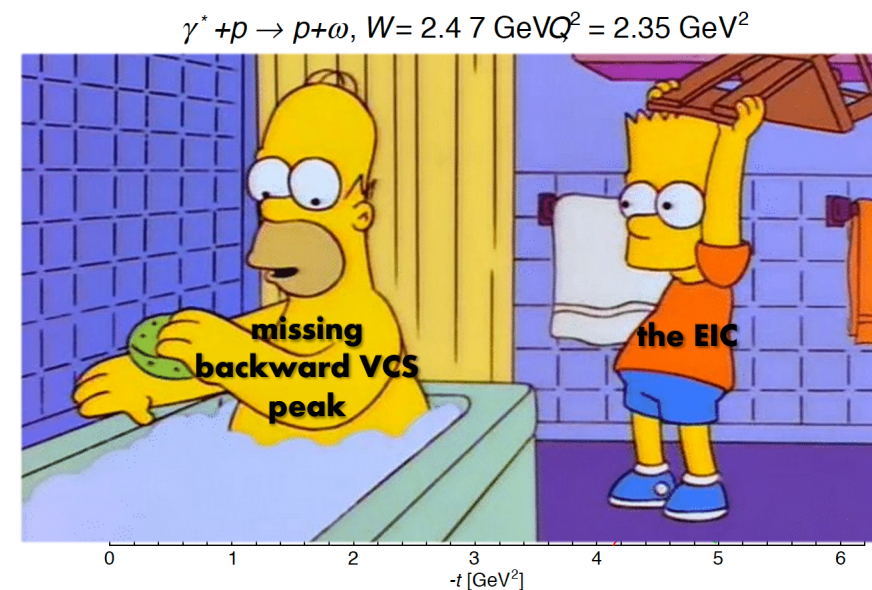
## Typical Description of VCS cross section

- Cross section at fixed  $Q^2$  and  $W$  is typically modeled using an exponential:  $e^{-b|t|}$
- This cross section encodes information about the proton GPDs in impact-parameter space
- So why care about cross section at very high  $|t|$ ?



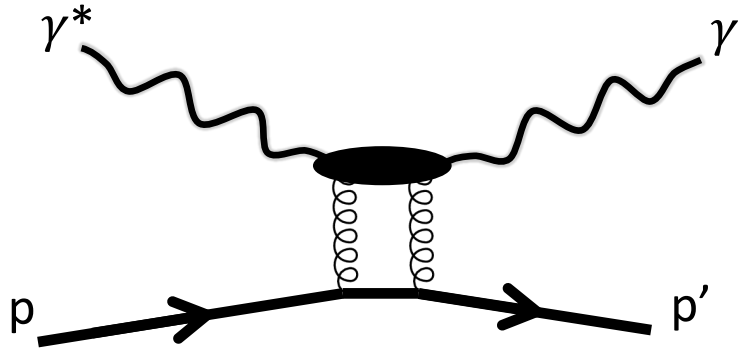
## Non-trivial Behavior at High $t$

- Cross sections for mesons have exponential drop-off with  $|t|$ , BUT also an exponential rise at the highest  $|t|$  values
- This is from  $u$ -channel contributions which may also be expected in VCS



# Meaning of Backward Cross Section

Forward scattering off proton's gluon field

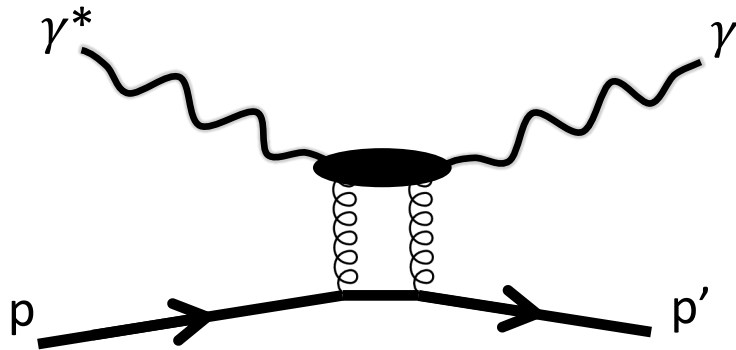


**Backward Xsecs  $\rightarrow$  partonic correlations and baryon number?**

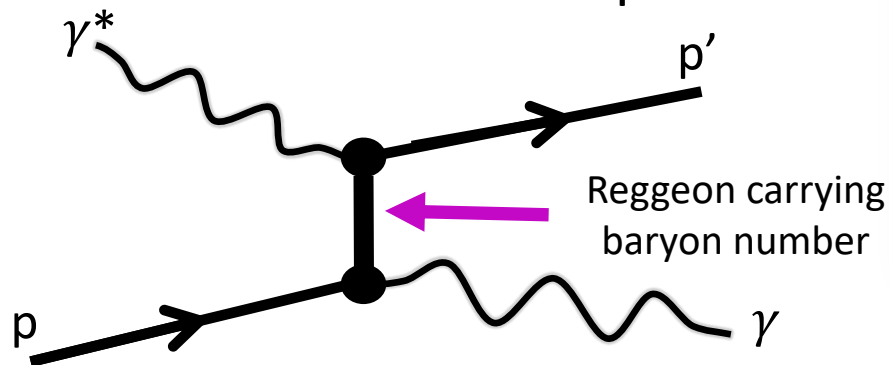
- Forward production maps parton distributions within proton/nucleus

# Meaning of Backward Cross Section

## Forward scattering off proton's gluon field



## Backward scattering off proton's... baryon number? gluon junction? di-quark clusters?



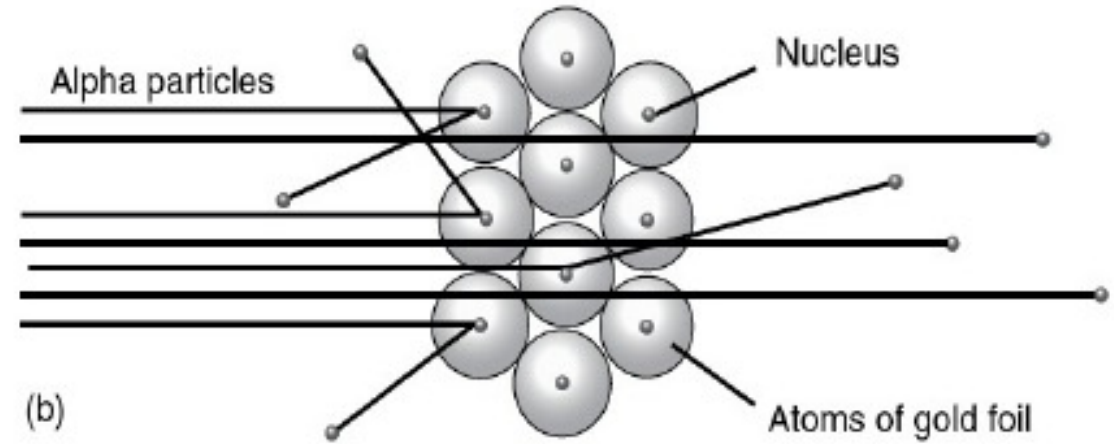
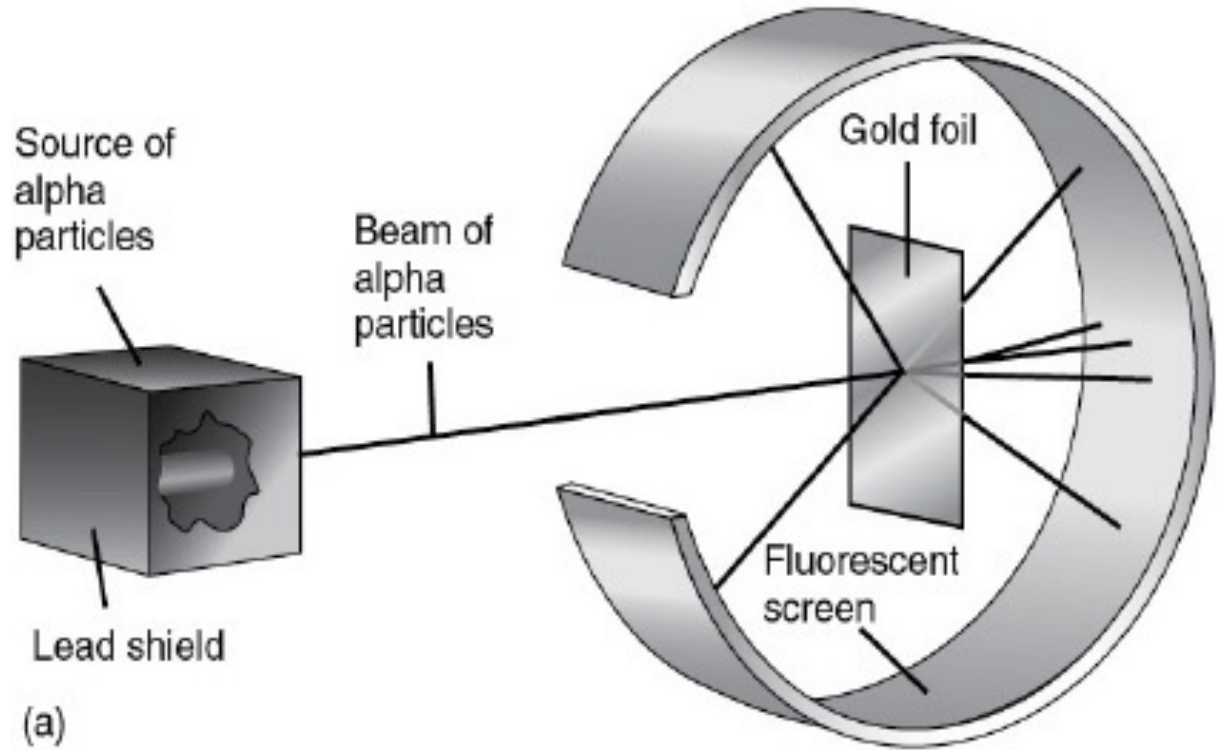
## Backward Xsecs → partonic correlations and baryon number?

- Forward production maps parton distributions within proton/nucleus
- Recent (2021) work by Pire et al. formulates a similarly meaningful interpretation of backward cross sections
- They argue backward reactions may map transverse distribution of quark clusters and baryon number

“**baryon-to-meson (and baryon-to-photon) TDAs** share common features both with baryon DAs and with GPDs and encode a conceptually close physical picture. They **characterize partonic correlations inside a baryon and give access to the momentum distribution of the baryonic number inside a baryon**. Similarly to GPDs, TDAs – after the Fourier transform in the transverse plane – represent valuable information on the transverse location of hadron constituents.”

*B. Pire, K. Semenov-Tian-Shansky, and L. Szymanowski, Phys. Rept. 940, 1 (2021), arXiv:2103.01079 [hep-ph].*

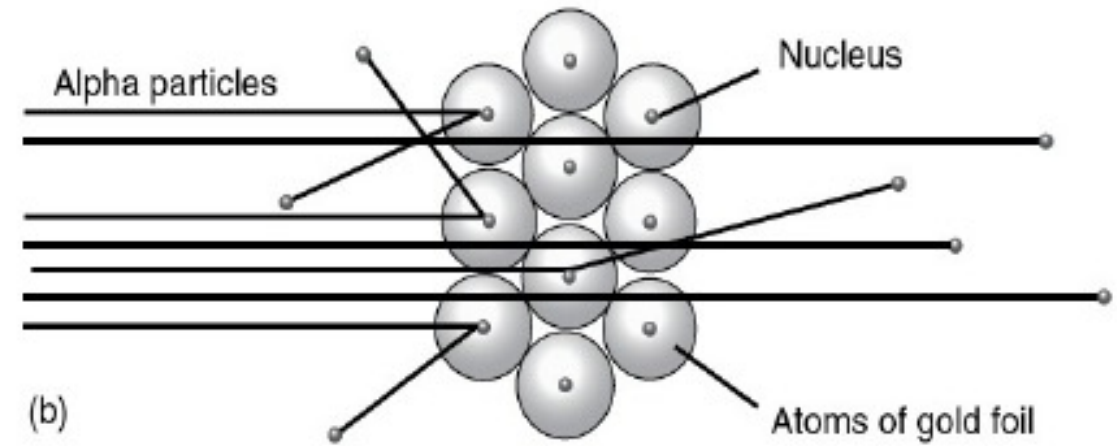
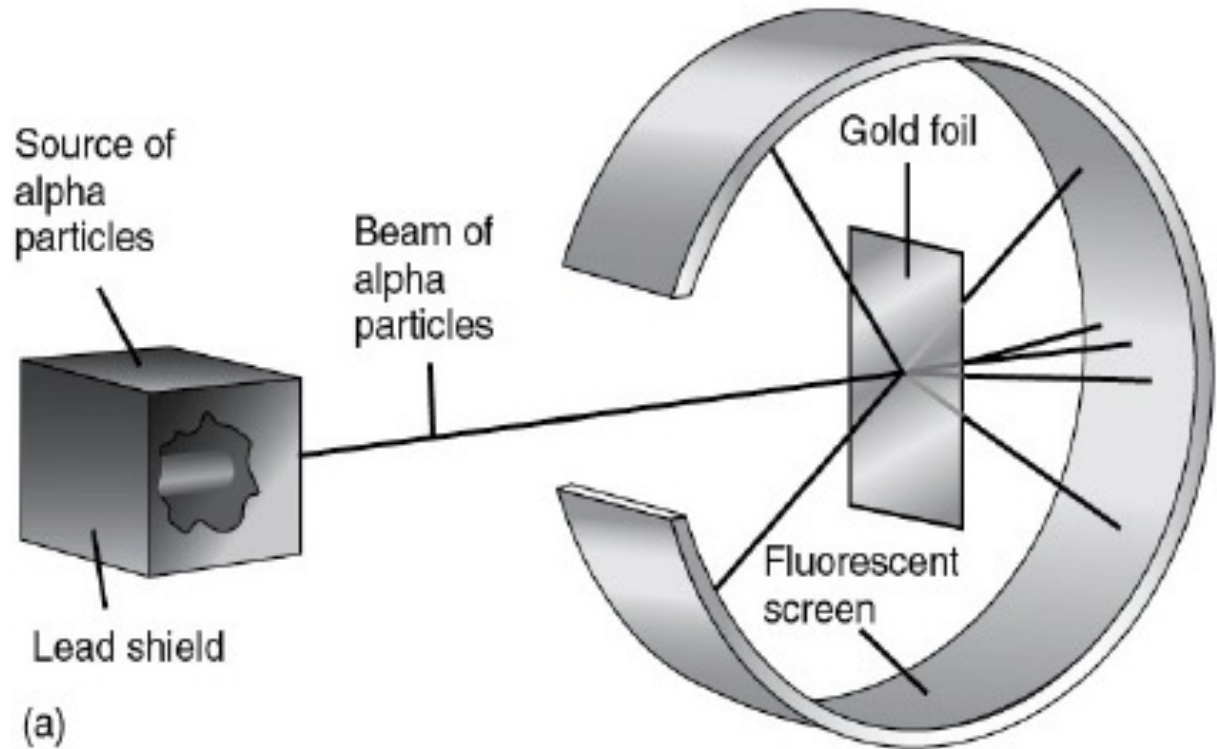
# Backward Scattering



*Bertulani, Carlos. (2009).  
Nuclear Reactions.*



# Backward Scattering



*“It was almost as incredible as if you fired a 15-inch shell at a piece of tissue paper and it came back and hit you”  
- Ernest Rutherford*

*Bertulani, Carlos. (2009).  
Nuclear Reactions.*

## Our Backward VCS Model

## Modeling $u$ -channel DVCS

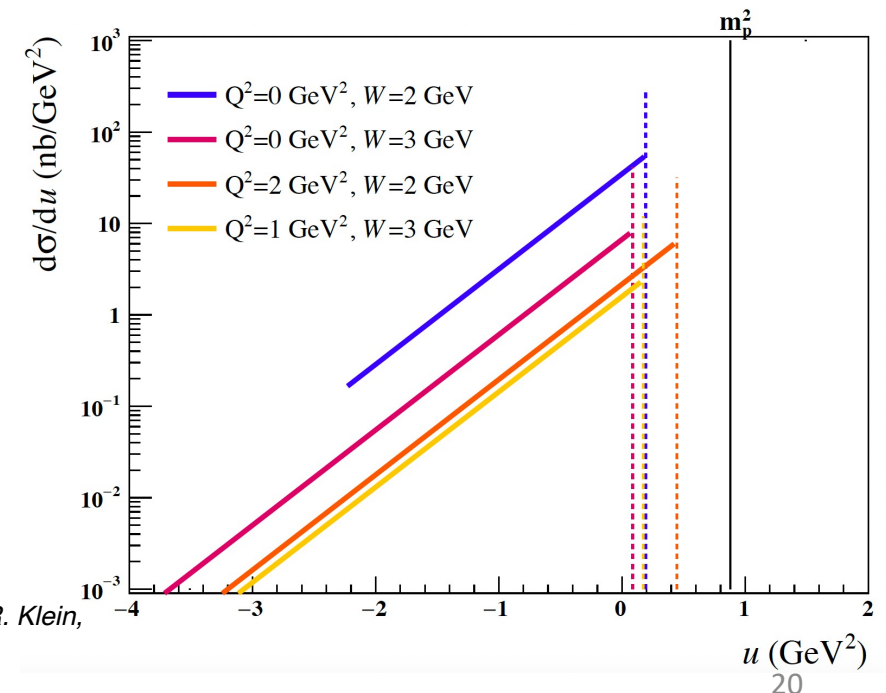
- We presuppose peak at backward angles ( $u=u_0$ ) as seen in meson production
- **The strategy:**

## Modeling $u$ -channel DVCS

- We presuppose peak at backward angles ( $u=u_0$ ) as seen in meson production
- **The strategy: exploit similarities to  $t$ -channel**

$$\frac{d\sigma}{dt}(t) \sim \exp(-B|t - t_0|) \longrightarrow \frac{d\sigma}{du}(u) \sim \exp(-D|u - u_0|)$$

- $D$  has not been measured for backward DVCS, so for our models we test values measured for backward vector-meson production



L. Wenliang, (2017), [10.2172/1408890](https://arxiv.org/abs/10.2172/1408890).

D. Cebra, Z. Sweger, X. Dong, Y. Ji, and S. R. Klein, *Phys. Rev. C* **106**, 015204 (2022).

## Modeling $W$ -Dependence

- Backward physics is dominated by Regge-exchange trajectories for which the cross sections typically scale as  $W^{-\alpha}$
- In our backward  $\omega/\rho$  paper, we used a data-driven  $(W^2 - m_p^2)^{-2.4}$  dependence
- Several sources suggest rough  $(W^2 - m_p^2)^{-2}$  scaling which is what we start from.

*D. Cebra, Z. Sweger, X. Dong, Y. Ji, and S. R. Klein, Phys. Rev. C 106, 015204 (2022).*

*G. Laveissière et al., Physical Review C 79 (2009), 10.1103/physrevc.79.015201.*

*S. J. Brodsky, F. J. Llanes-Estrada, and A. P. Szczepaniak, Phys. Rev. D 79, 033012 (2009).*

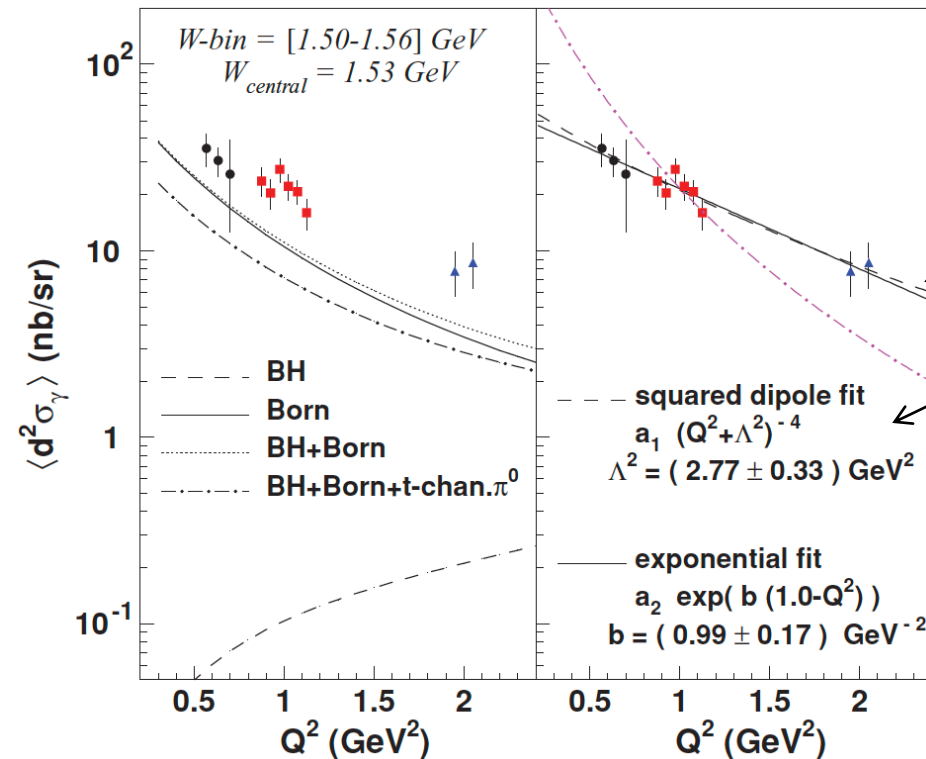
*W. B. Li et al. (Jefferson Lab Fπ Collaboration), Phys. Rev. Lett. 123, 182501 (2019).*

$$\frac{d\sigma}{du}(W, u) \sim \frac{1}{(W^2 - m_p^2)^2} \exp(-D|u - u_0|)$$

## Backward VCS in Resonance Region

- There is some limited data available for this
- For backward VCS in the resonance region, JLab measured  $(Q^2+2.77 \text{ GeV}^2)^{-4}$  dependence

G. Laveissière et al., *Physical Review C* 79 (2009),  
10.1103/physrevc.79.015201.



- We combine these contributions to yield the form:

$$\frac{d\sigma}{du}(Q^2, W, u) \approx \frac{A \exp(-D|u - u_0|)}{(W^2 - m_p^2)^2 (Q^2 + \Lambda^2)^4 / \text{GeV}^8}$$

- In order to anchor the amplitude, we can fit this model to 11 VCS ( $Q^2=1 \text{ GeV}^2$ ) data points from JLab from  $1.77 < W < 1.96 \text{ GeV}$  (above strong resonances)

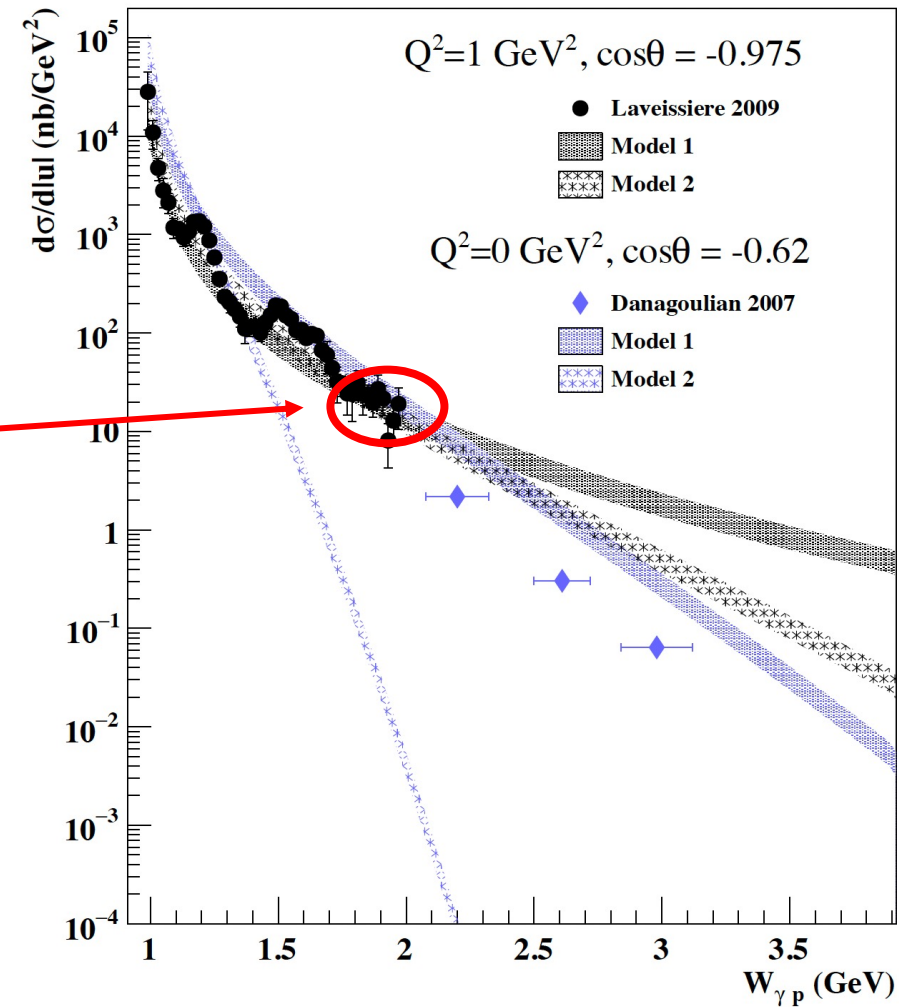
- We combine these contributions to yield the form:

$$\frac{d\sigma}{du}(Q^2, W, u) \approx \frac{A \exp(-D|u - u_0|)}{(W^2 - m_p^2)^2 (Q^2 + \Lambda^2)^4 / \text{GeV}^8}$$

- In order to anchor the amplitude, we can fit this model to 11 VCS ( $Q^2=1 \text{ GeV}^2$ ) data points from JLab from  $1.77 < W < 1.96 \text{ GeV}$  (above strong resonances)

- Where

- $\Lambda^2 = 2.77 \text{ GeV}^2$
- Model 1:  $D = 2.4 \text{ GeV}^{-2}$ ,  $A = 32 \mu\text{b}/\text{GeV}^2$
- Model 2:  $D = 21.8 \text{ GeV}^{-2}$ ,  $A = 65 \mu\text{b}/\text{GeV}^2$



G. Laveissiere et al., *Physical Review C* 79 (2009),  
10.1103/physrevc.79.015201.

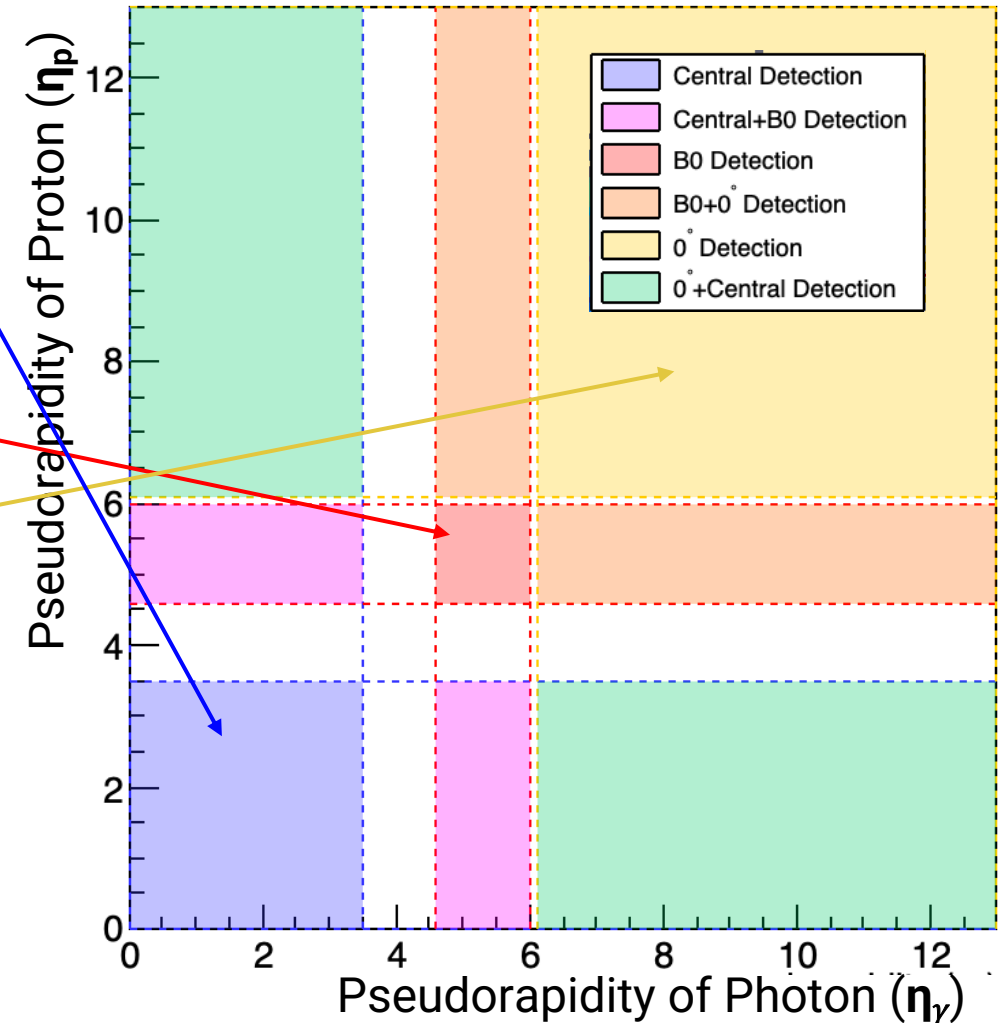
A. Danagoulian et al. (Jefferson Lab Hall A Collaboration),  
*Phys. Rev. Lett.* 98, 152001 (2007)



# Simulation Studies

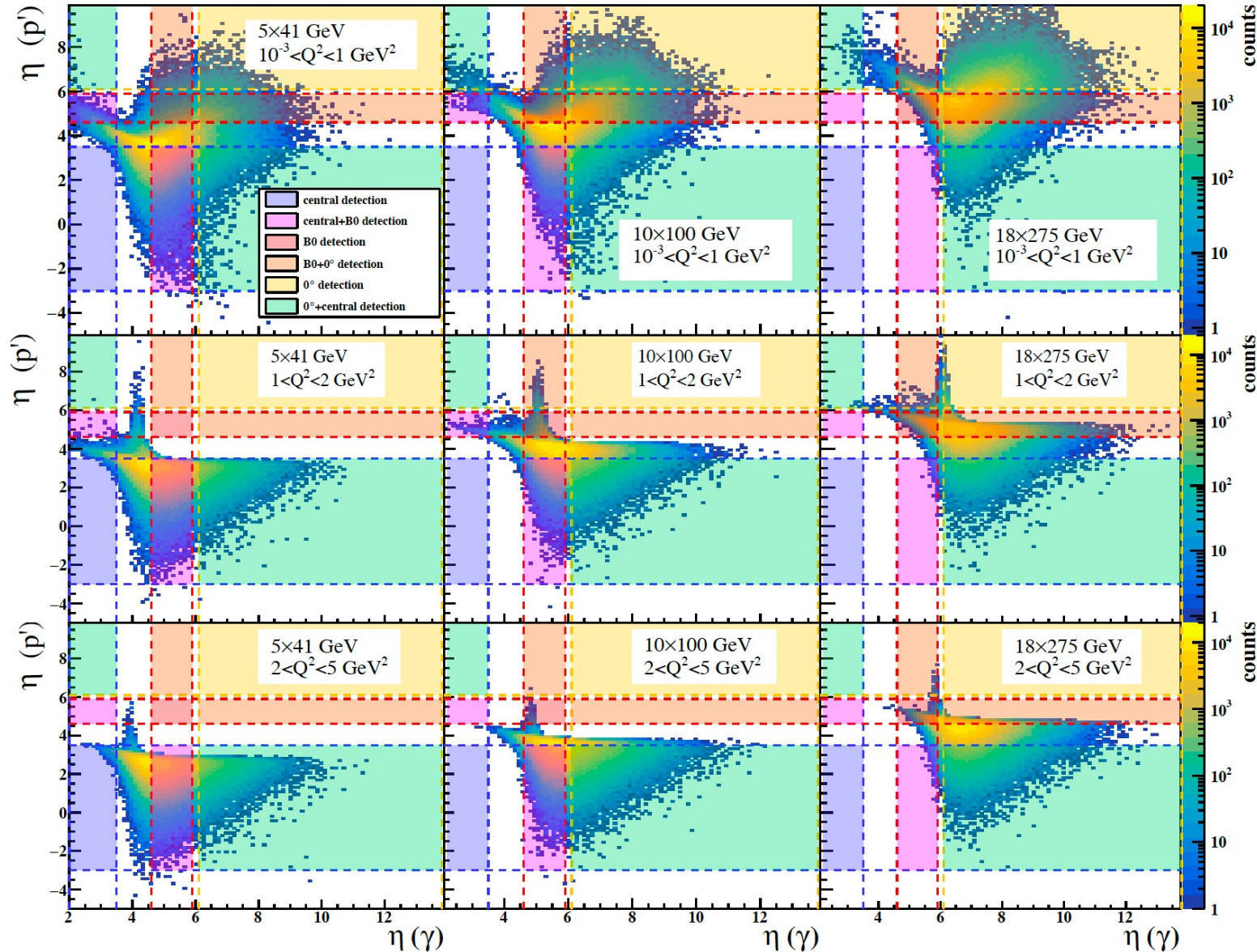
There are three detector regions of interest for backwards production

- **Central Region (endcap & barrel):  $|\eta| < 3.5$** 
  - ✓ Charged-particle tracking
  - ✓ Electromagnetic calorimetry
- **B0 Magnets:  $4.6 < \eta < 6.0$** 
  - ✓ Charged-particle tracking
  - ? Electromagnetic calorimetry
- **Zero-degree Detection:  $\eta > 6.215-5.991$** 
  - ✓ Roman Pots: Charged-particle tracking
  - ✓ ZDC: Electromagnetic calorimetry



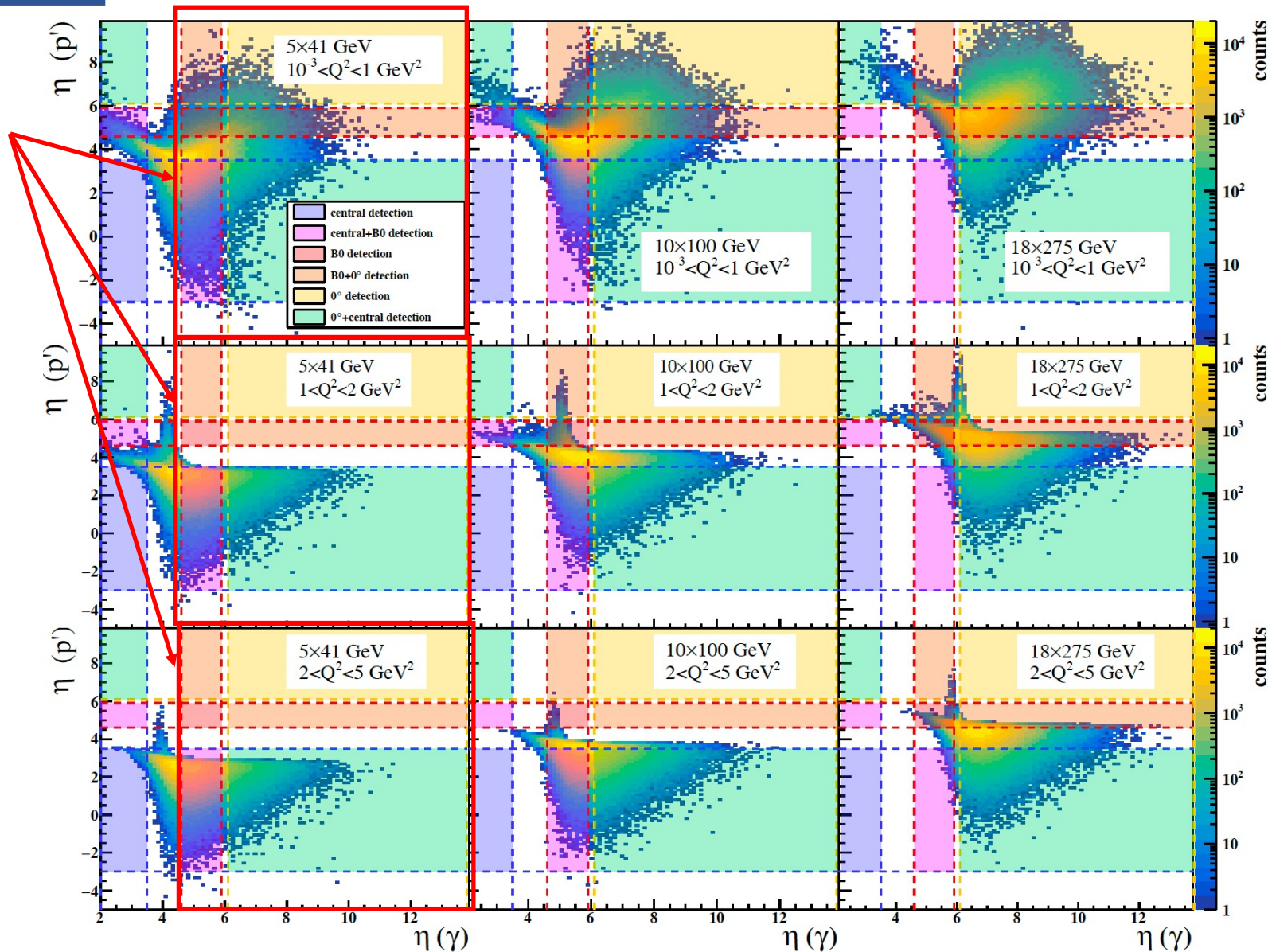
# Backward DVCS Acceptances

- Used Model 1 with  $W > 2 \text{ GeV}$
- Low collision energies: photon lands in B0 and ZDC
- ZDC is critical at high energies
- At low  $Q^2$  proton is often in B0
- At high  $Q^2$ , proton is almost exclusively in central detector region



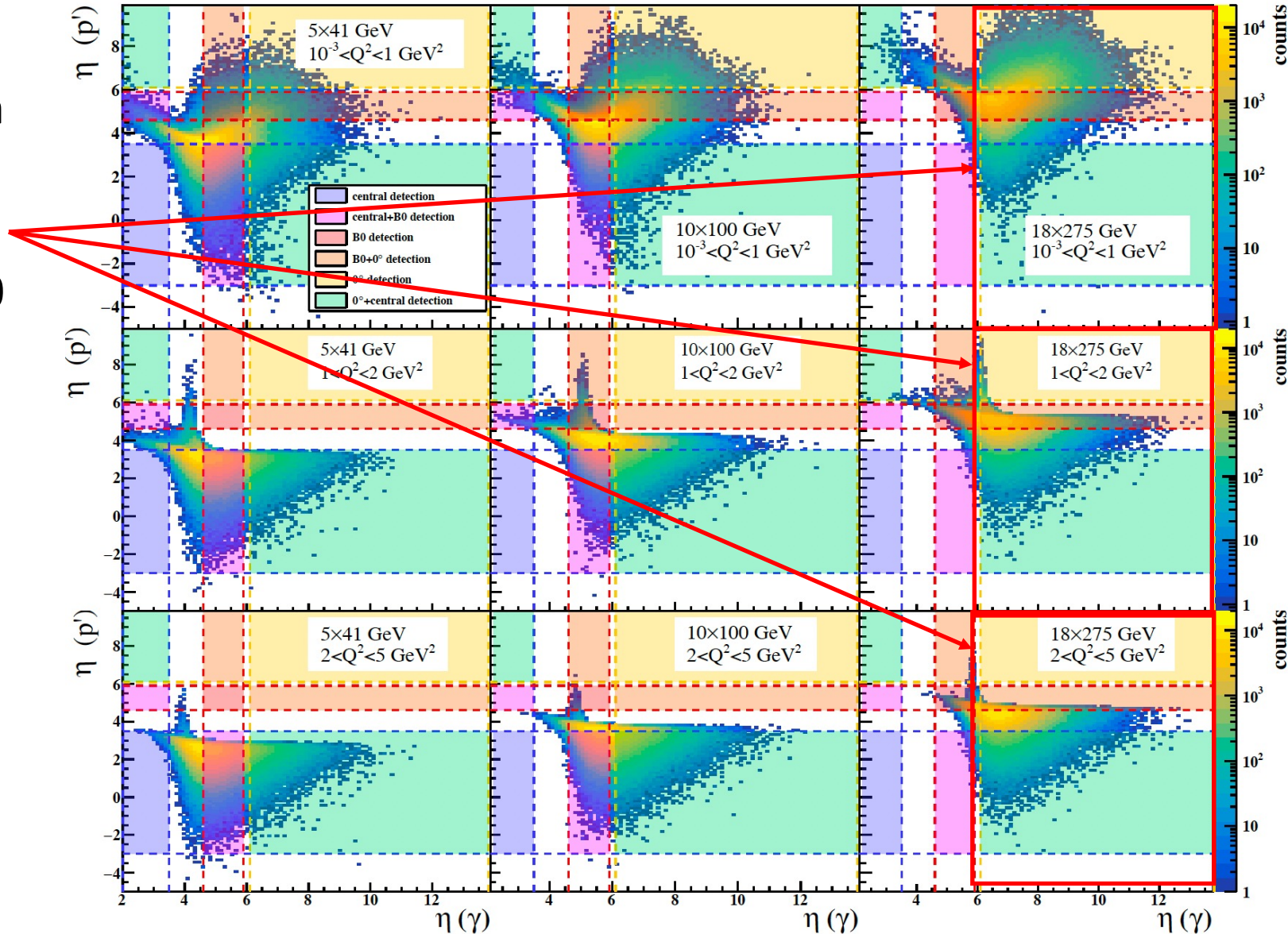
# Backward DVCS Acceptances

- Used Model 1 with  $W > 2 \text{ GeV}$
- Low collision energies: photon lands in B0 and ZDC
- ZDC is critical at high energies
- At low  $Q^2$  proton is often in B0
- At high  $Q^2$ , proton is almost exclusively in central detector region



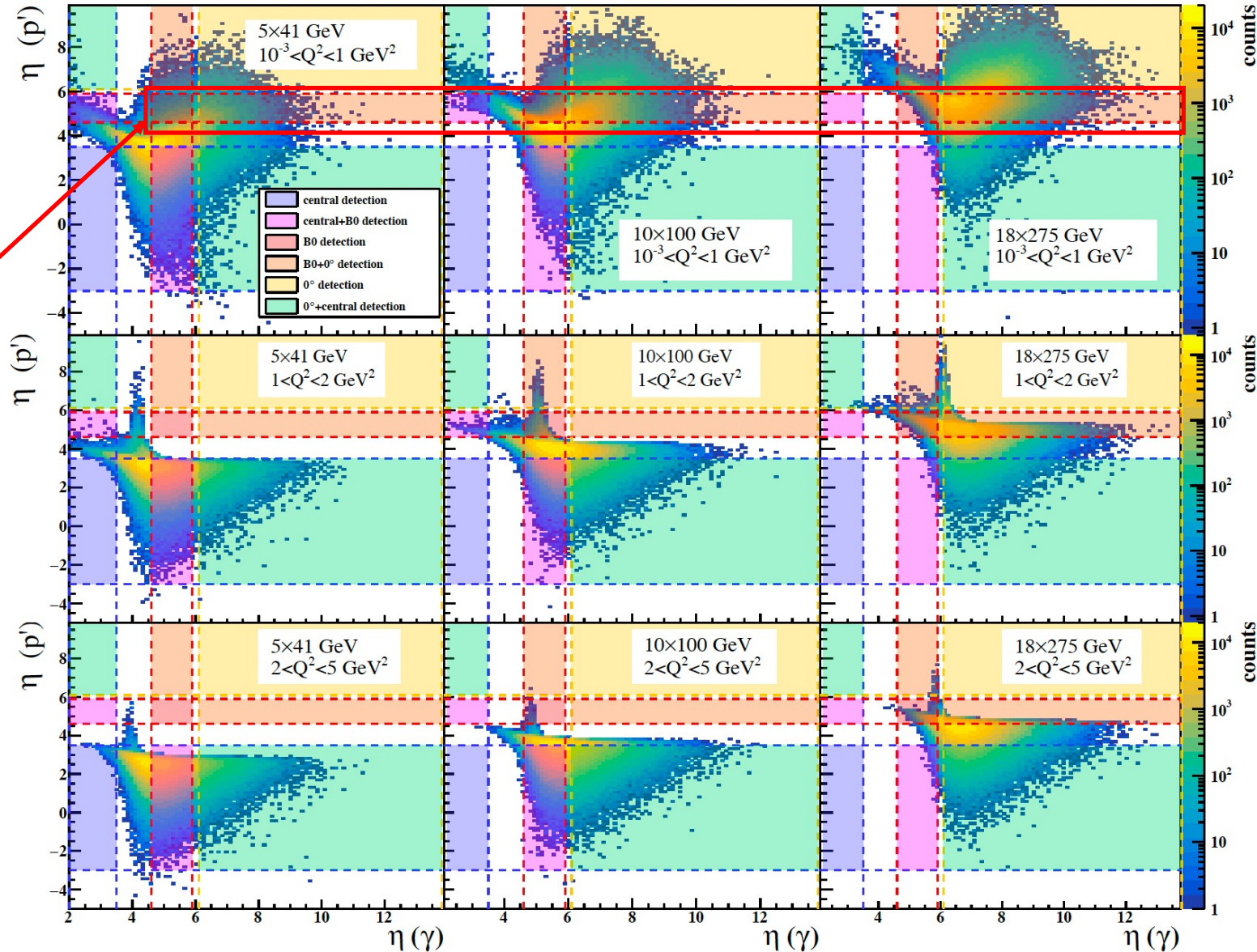
# Backward DVCS Acceptances

- Used Model 1 with  $W > 2$  GeV
- Low collision energies: photon lands in B0 and ZDC
- ZDC is critical at high energies
- At low  $Q^2$  proton is often in B0
- At high  $Q^2$ , proton is almost exclusively in central detector region



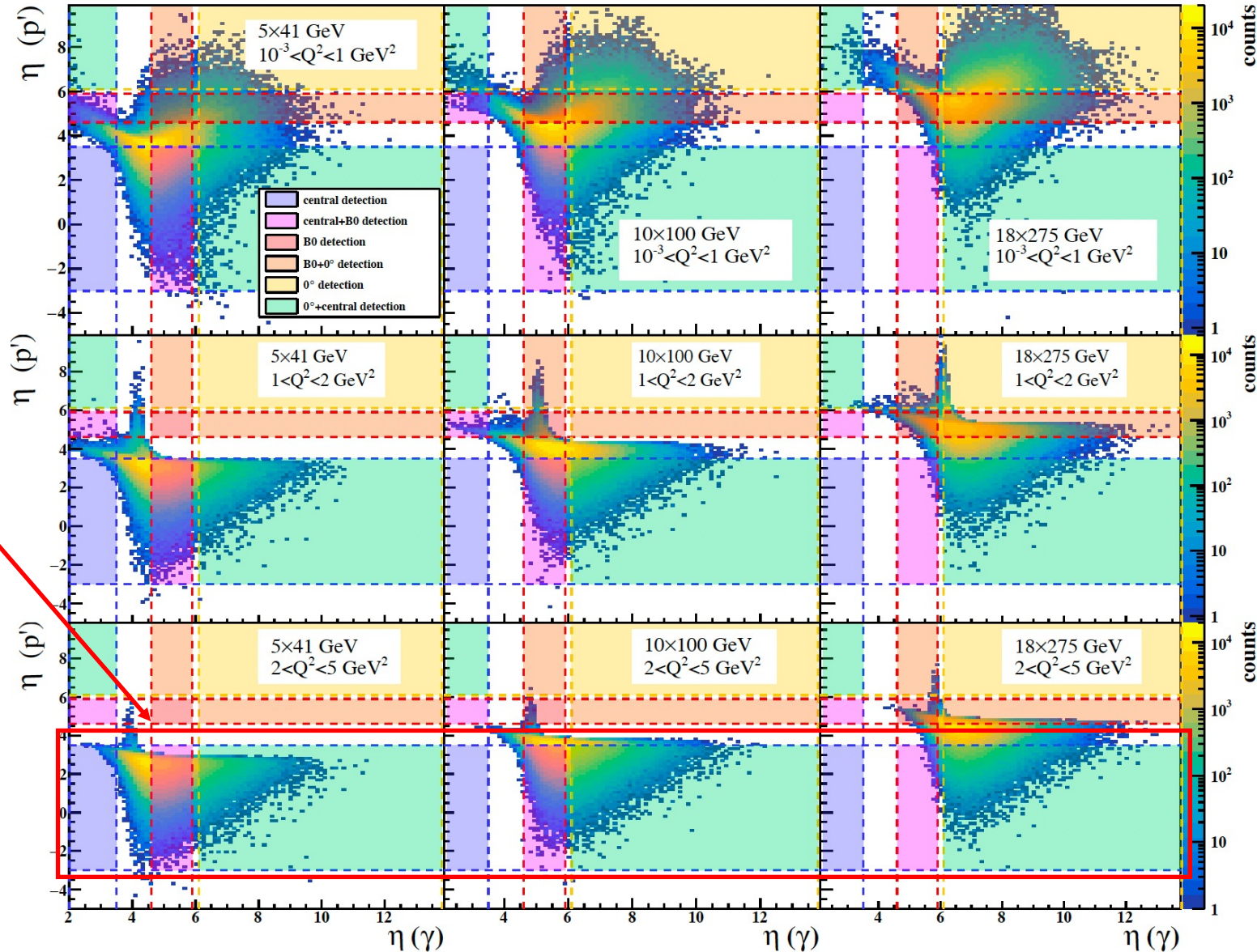
# Backward DVCS Acceptances

- Used Model 1 with  $W > 2 \text{ GeV}$
- Low collision energies: photon lands in B0 and ZDC
- ZDC is critical at high energies
- At low  $Q^2$  proton is often in B0
- At high  $Q^2$ , proton is almost exclusively in central detector region



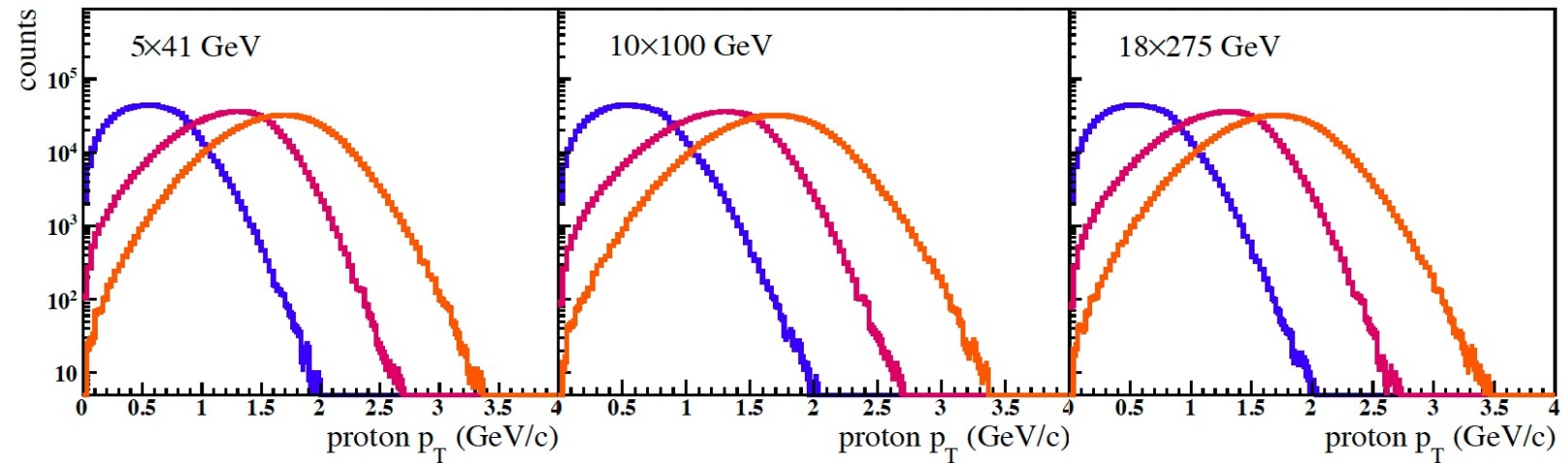
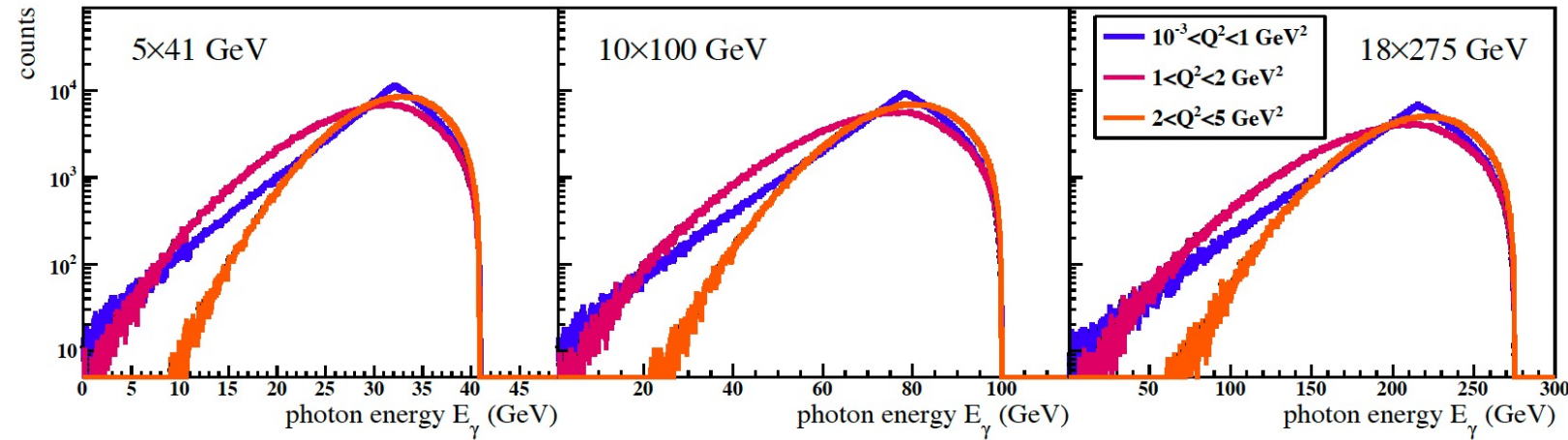
# Backward DVCS Acceptances

- Used Model 1 with  $W > 2 \text{ GeV}$
- Low collision energies: photon lands in B0 and ZDC
- ZDC is critical at high energies
- At low  $Q^2$  proton is often in B0
- At high  $Q^2$ , proton is almost exclusively in central detector region



# Kinematics of Final-State Particles

- Final-state photons in B0 and ZDC between 10 and 275 GeV
- Low- $Q^2$  events have low- $p_T$  protons
- Need to focus on detecting these due to large cross section

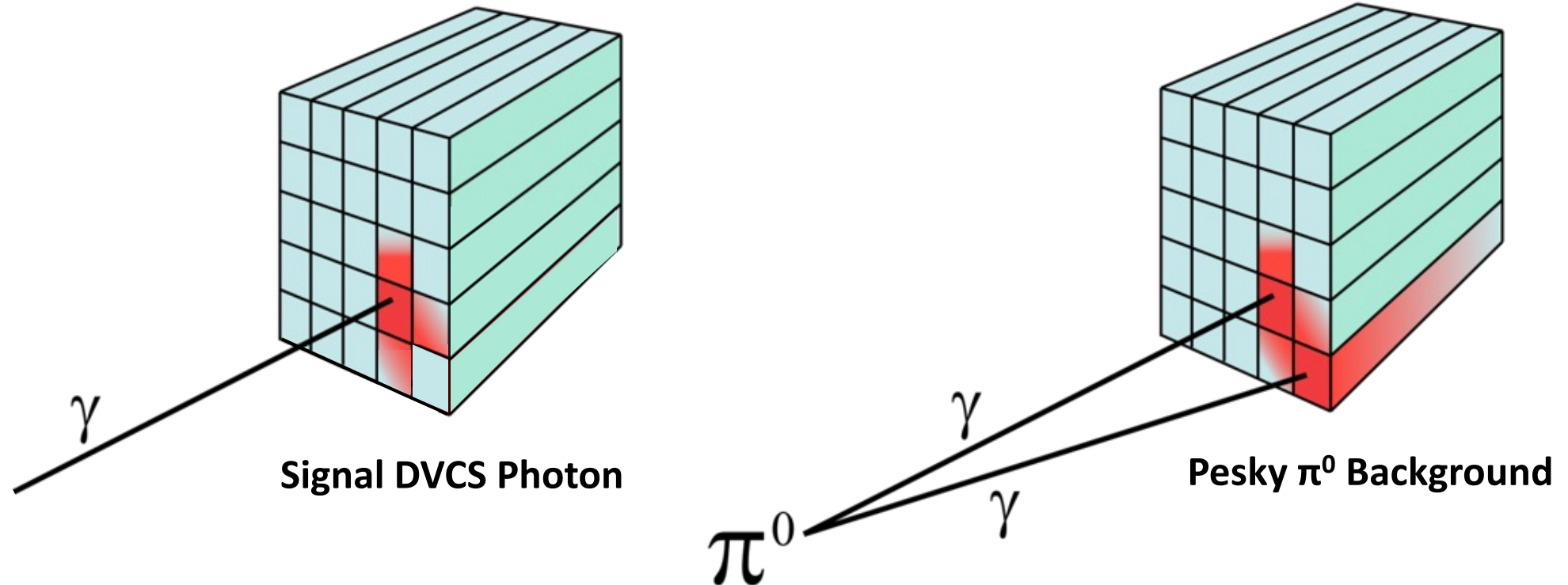




# $\pi^0$ Background

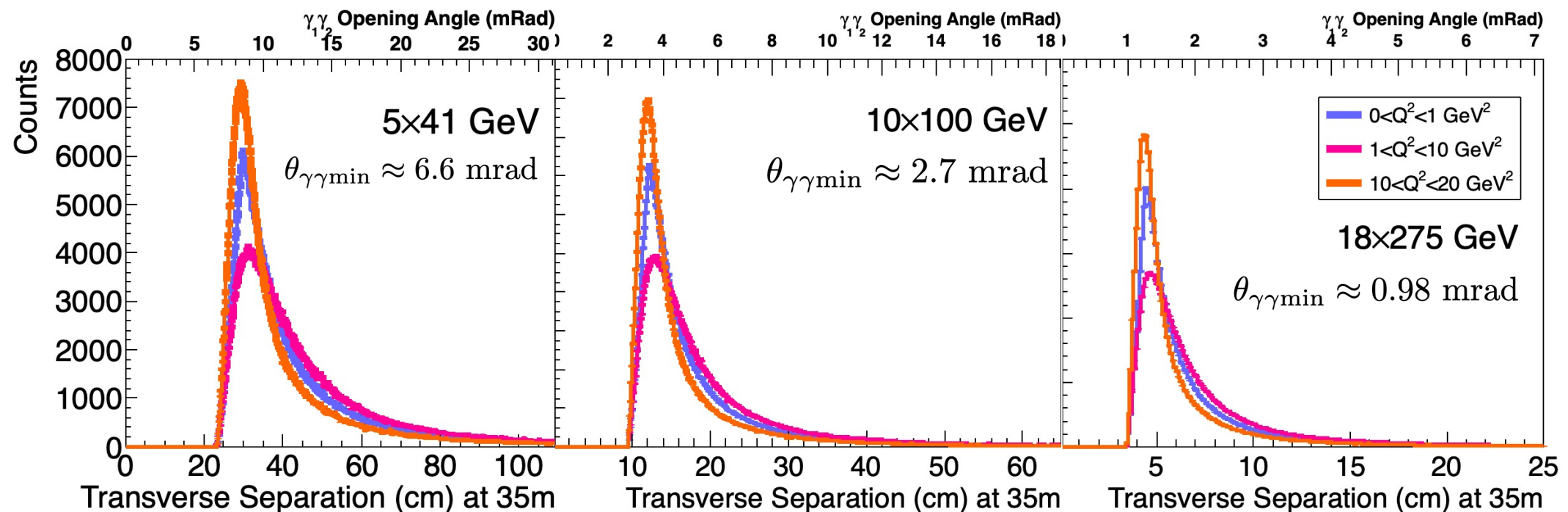
## Primary Challenge: $\pi^0$ Background

- Backward  $\pi^0$ s expected  $\sim 100$ - $1000$  stronger than backward CS
- Need to resolve one CS photon from two  $\pi^0$  photons
- ZDC made of PbWO4 towers with 2cm transverse size
- ZDC  $\sim 35$ m downstream of IP



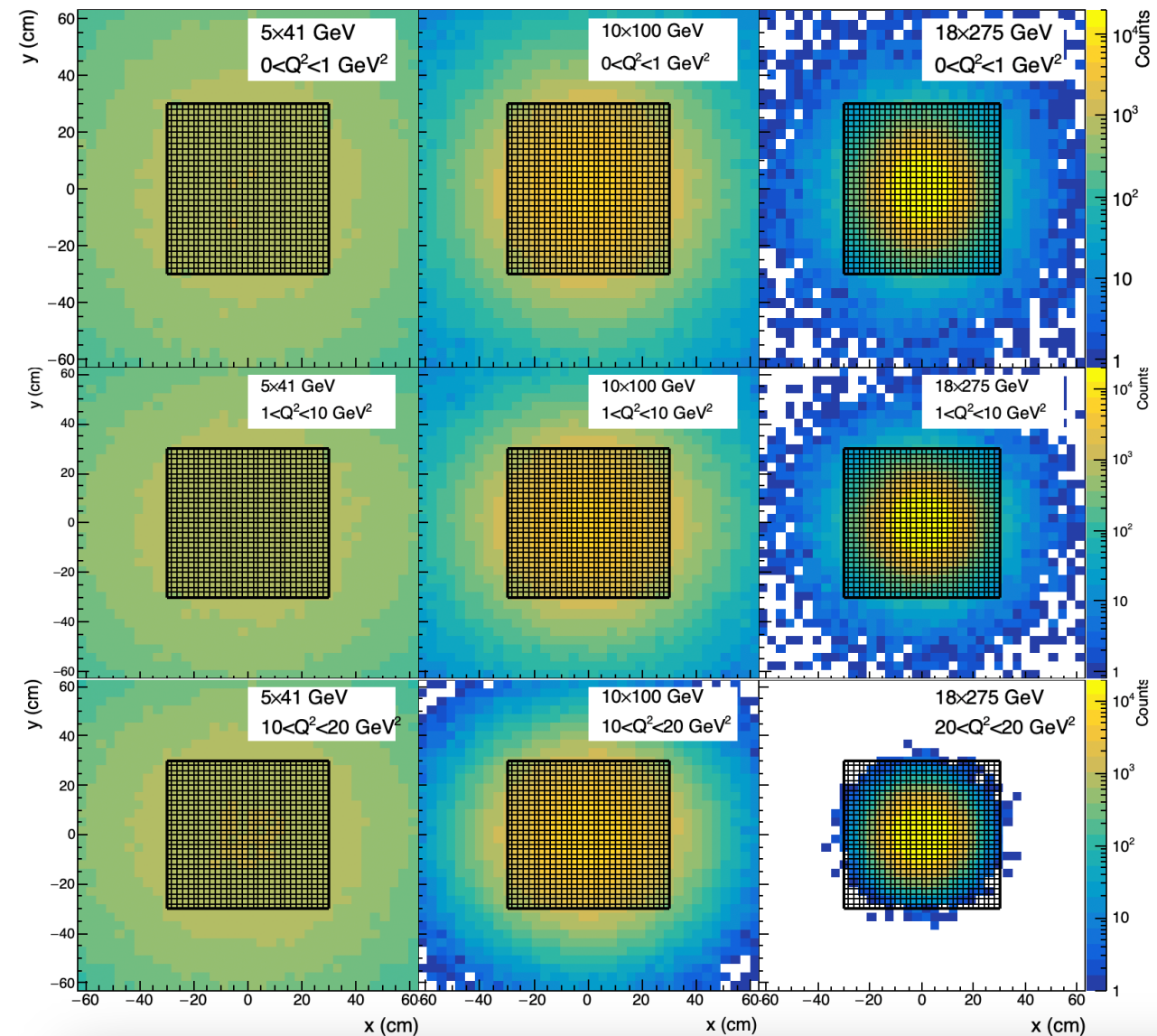
# $\pi^0$ Background Photon Separation

- I generated  $\pi^0$ s with the same kinematics as predicted VCS photons
- Photons were well-separated
- Photons from  $\pi^0$ s merging in the same tower will not be the main issue
- Theoretical minimum opening angle:  $\theta_{\gamma\gamma\text{min}} \approx 2 \arctan(m_{\pi^0} / E_p)$



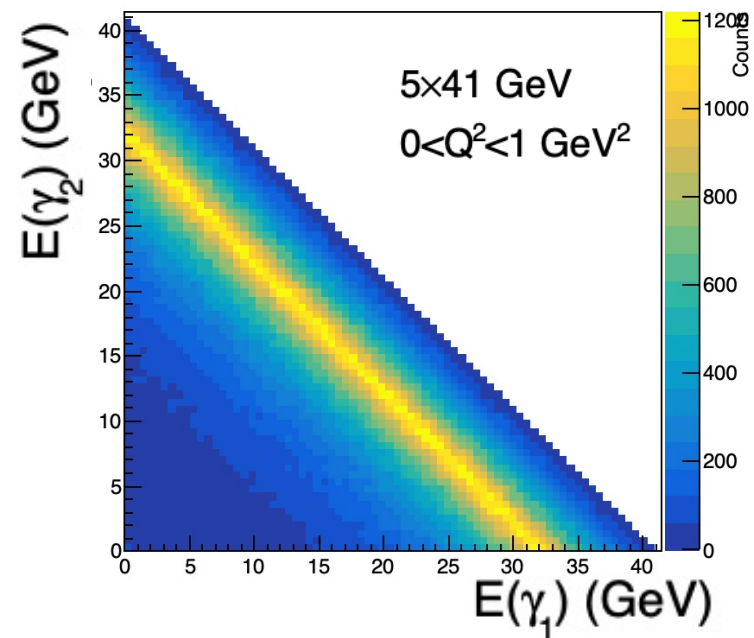
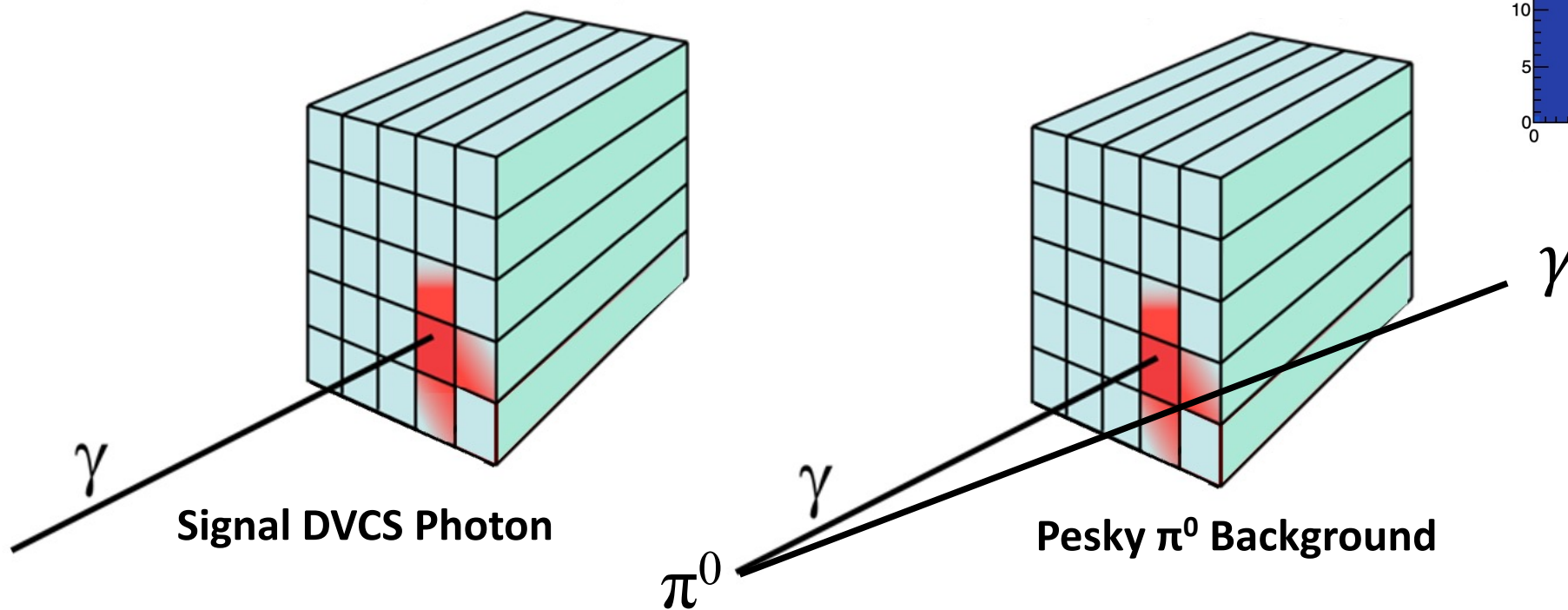
# $\pi^0 \rightarrow \gamma\gamma$ CoM Distribution

- The figure at right shows CoM distribution of  $\gamma\gamma$  pairs from backward  $\pi^0$ s
- Overlaid on 60x60cm ZDC w/ 2x2cm towers
- At low energy and  $Q^2$ , the CoM is broad and often misses ZDC
- Taken with the previous slide, this gives an important conclusion



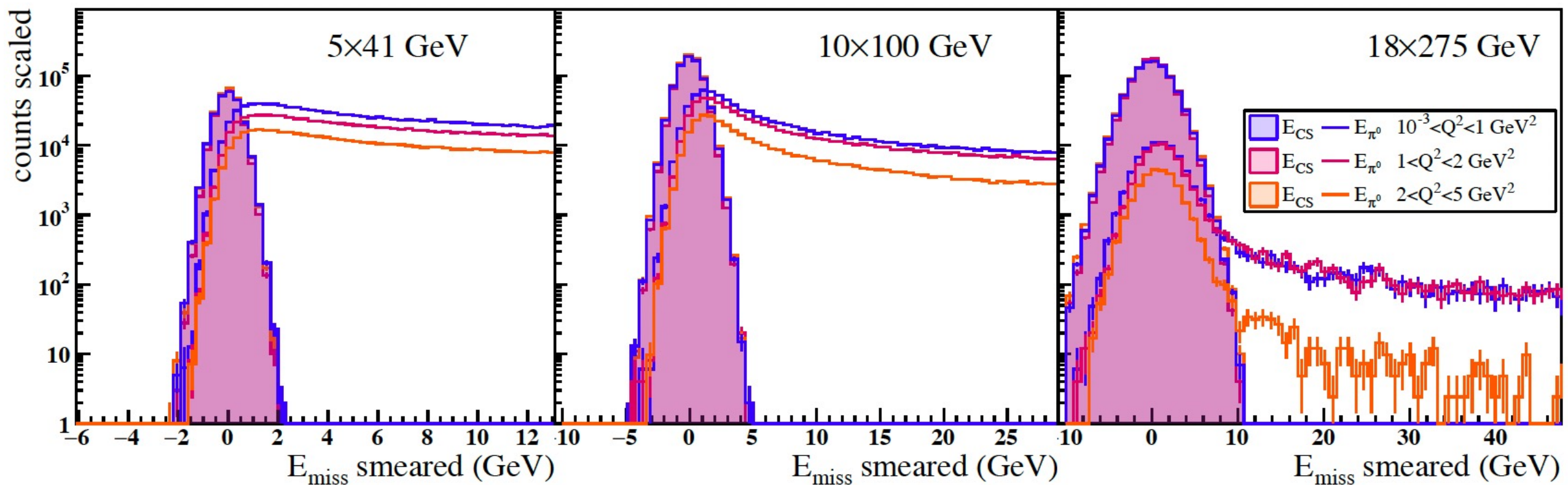
# True Cause of $\pi^0 \rightarrow \gamma\gamma$ Background

**Conclusion: the background will be dominated by events in which one of the  $\pi^0$  photons carries most of the energy and the other misses the ZDC entirely. Depending on the high-energy resolution, this may easily be mistaken for backward VCS.**



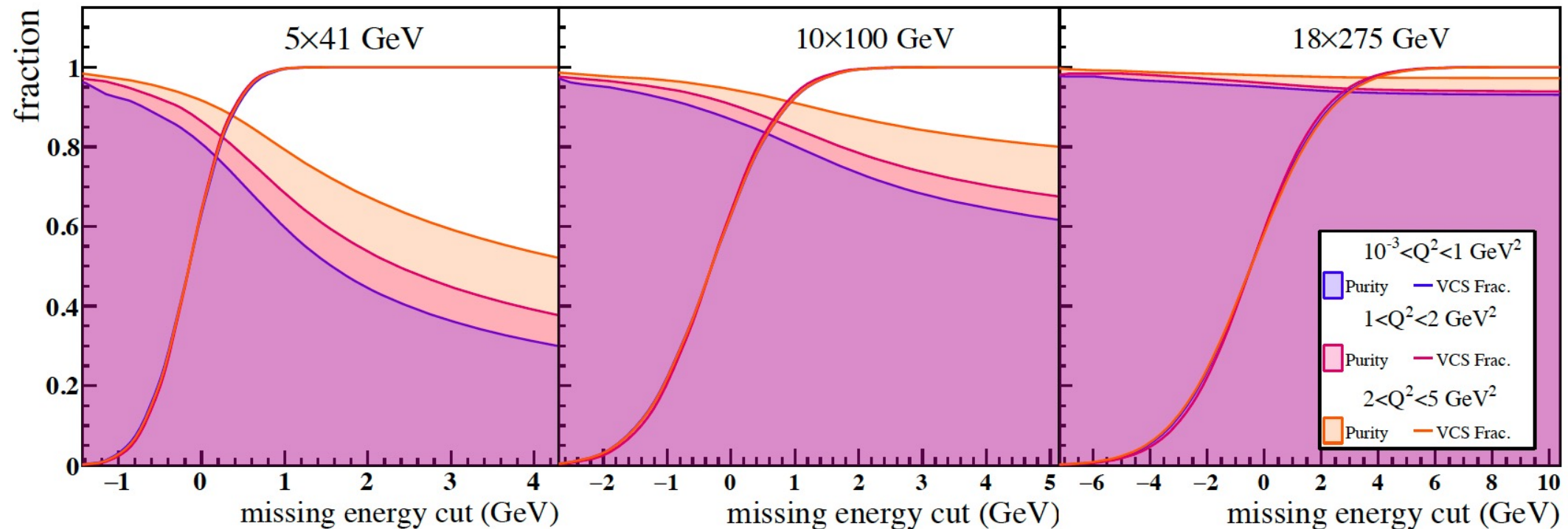
# Exclusivity Cuts on $\pi^0$ Background

- Simulated effect of ZDC smearing on single-photon  $\pi^0$  and Compton photons
- Tabulated missing energy using this smearing
- Scaled  $\pi^0$  events by the ratio of their cross section with VCS
- A missing energy cut can reduce much of the single-photon  $\pi^0$  events



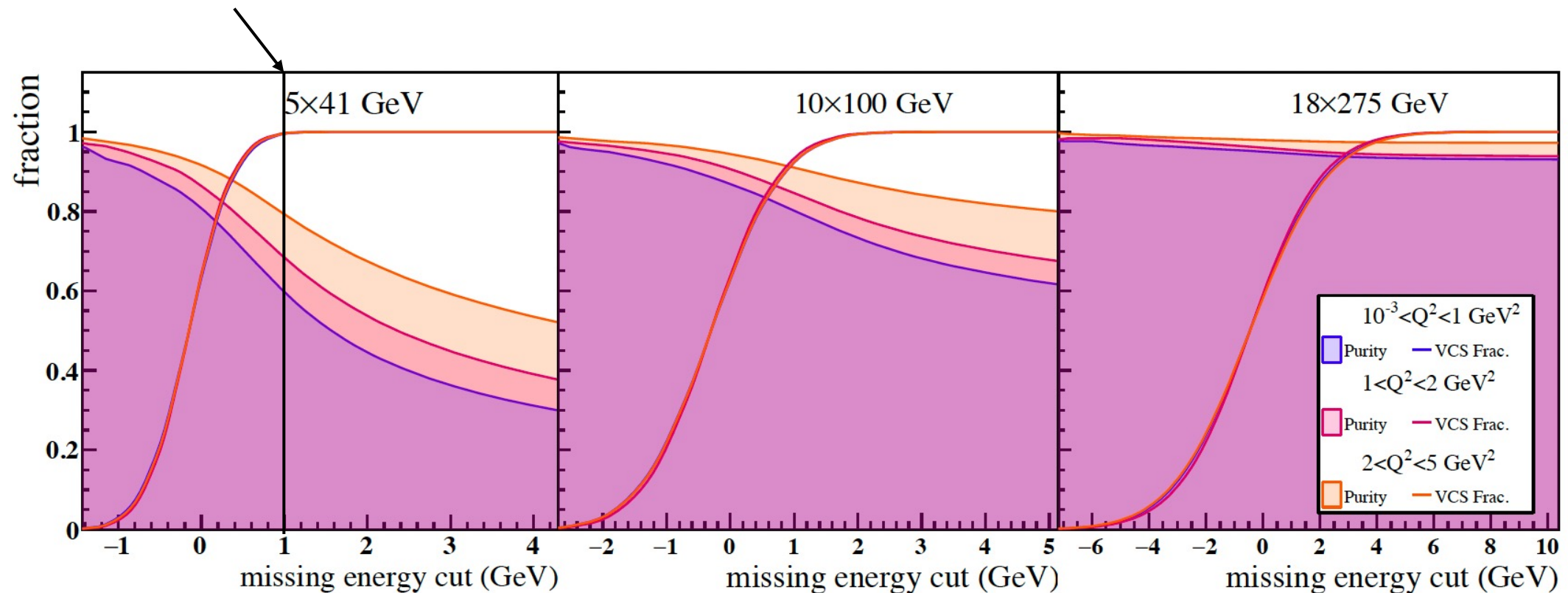
# Exclusivity Cuts on $\pi^0$ Background

- We can simulate missing energy cut using the ZDC smearing
- For a given cut ( $E_{\text{missing}} < E_{\text{cut}}$ ) this shows the fraction of our backward VCS signal collected
- Purity of VCS signal (shaded graphs) also plotted as a function of missing energy cut



# Exclusivity Cuts on $\pi^0$ Background

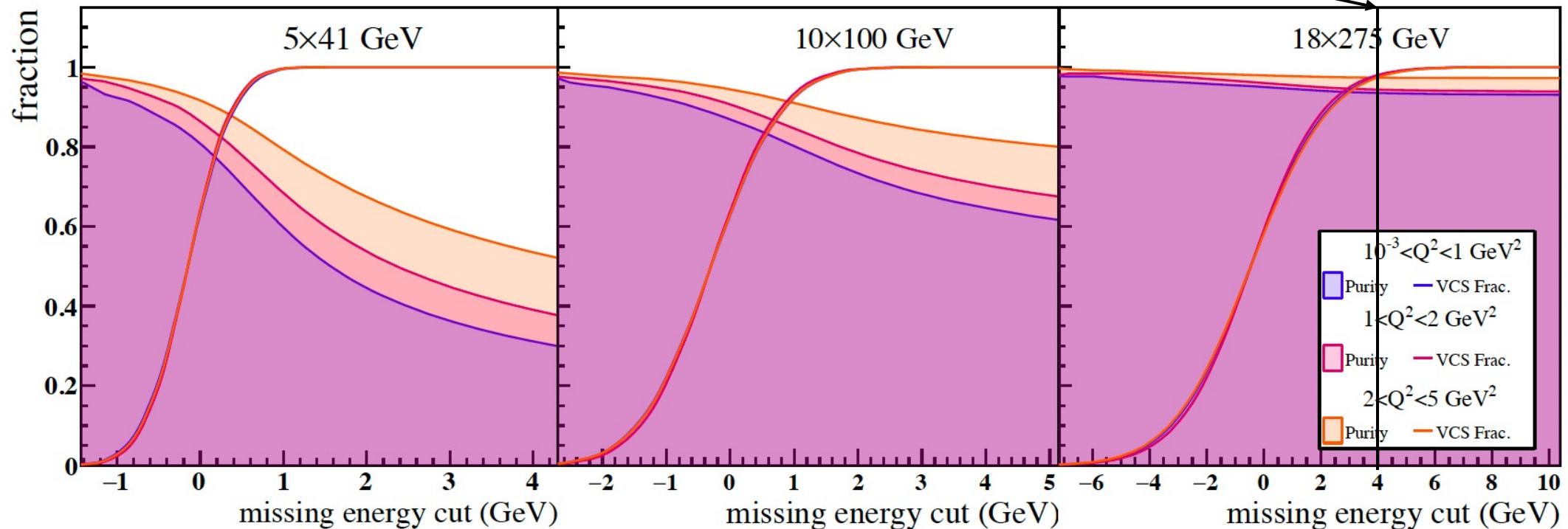
- We can simulate missing energy cut using the ZDC smearing
- For a given cut ( $E_{\text{missing}} < E_{\text{cut}}$ ) this shows the fraction of our backward VCS signal collected
- Purity of VCS signal (shaded graphs) also plotted as a function of missing energy cut
- For example at  $5 \times 41$  GeV, a cut of  $E_{\text{missing}} < 1$  GeV is sufficient to collect entire signal. Any larger cut just decreases purity.





# Exclusivity Cuts on $\pi^0$ Background

- We can simulate missing energy cut using the ZDC smearing
- For a given cut ( $E_{\text{missing}} < E_{\text{cut}}$ ) this shows the fraction of our backward VCS signal collected
- Purity of VCS signal (shaded graphs) also plotted as a function of missing energy cut
- For example at  $5 \times 41$  GeV, a cut of  $E_{\text{missing}} < 1$  GeV is sufficient to collect entire signal. Any larger cut just decreases purity.
- At  $18 \times 275$  GeV, a cut of  $E_{\text{missing}} < 4$  GeV may collect signal with  $\sim 95\%$  purity!



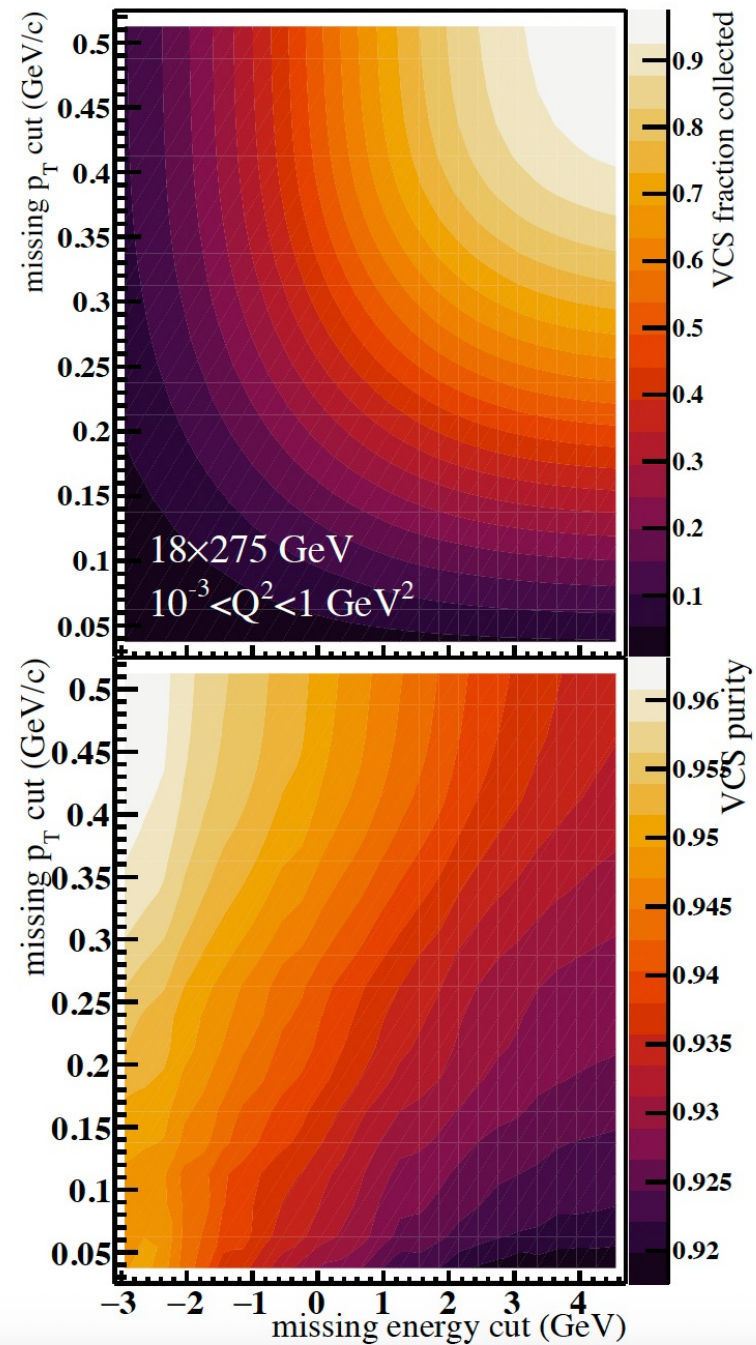
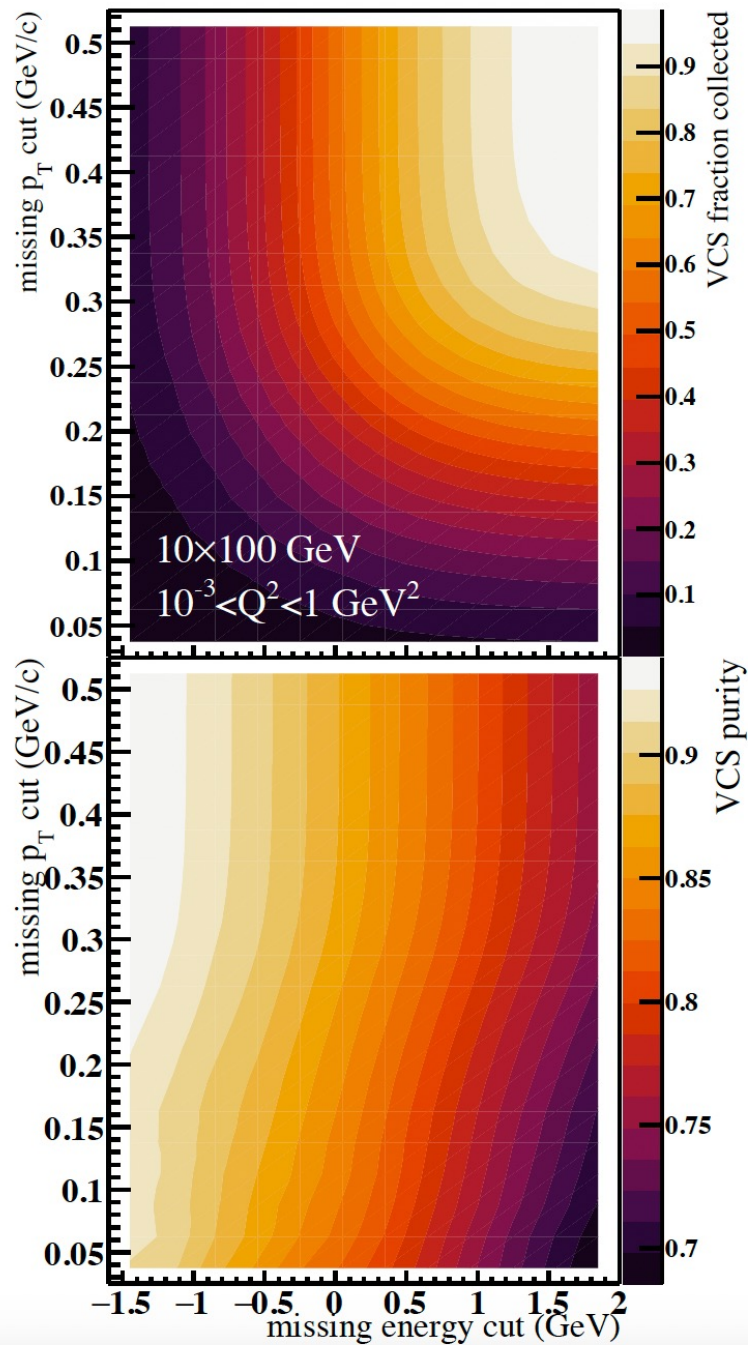
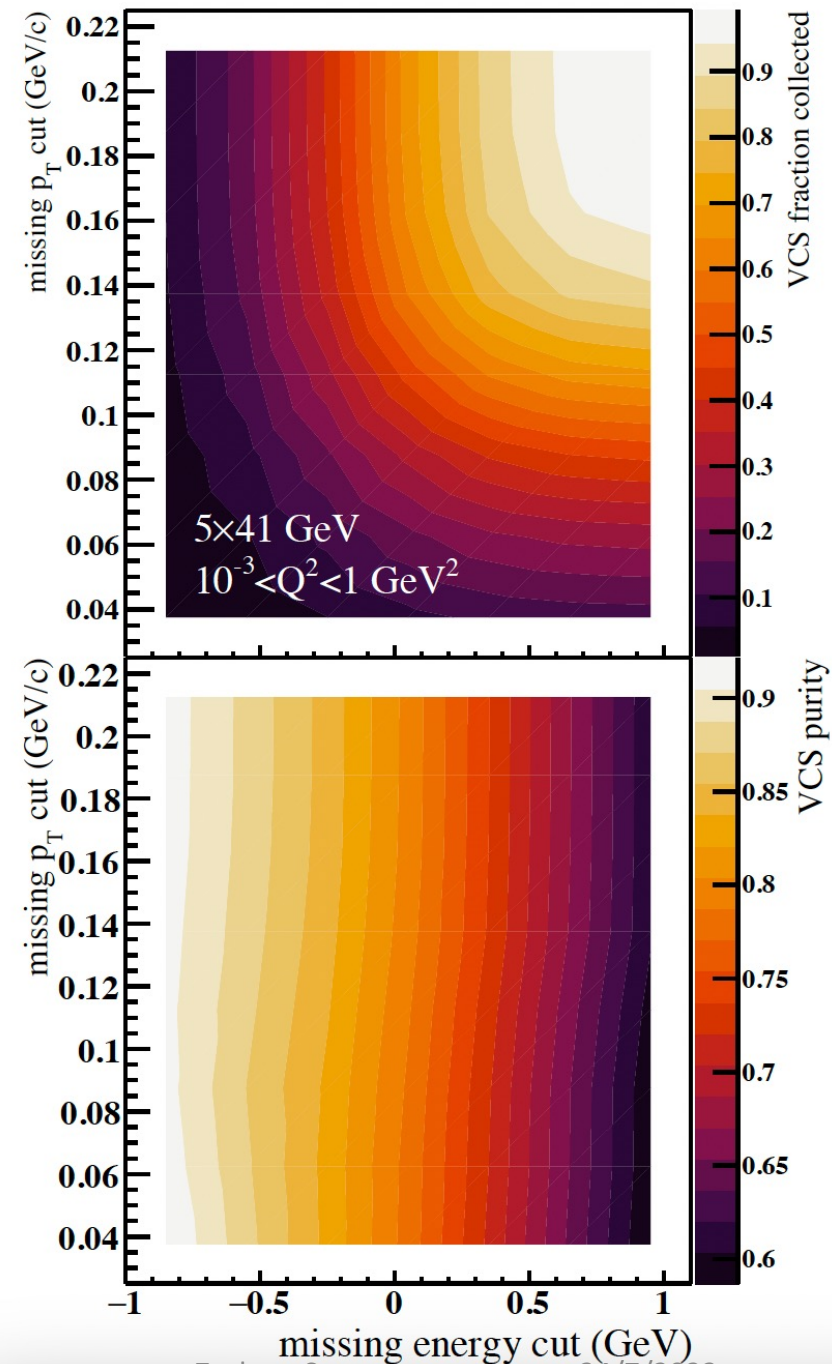
# Exclusivity Cuts on $\pi^0$ Background

- We can simulate missing  $p_T$  cuts in the same way

# Exclusivity Cuts on $\pi^0$ Background

---

- We can simulate missing  $p_T$  cuts in the same way
- These may be redundant with missing energy cuts so we plot them together...



## Conclusions and Outlook

---

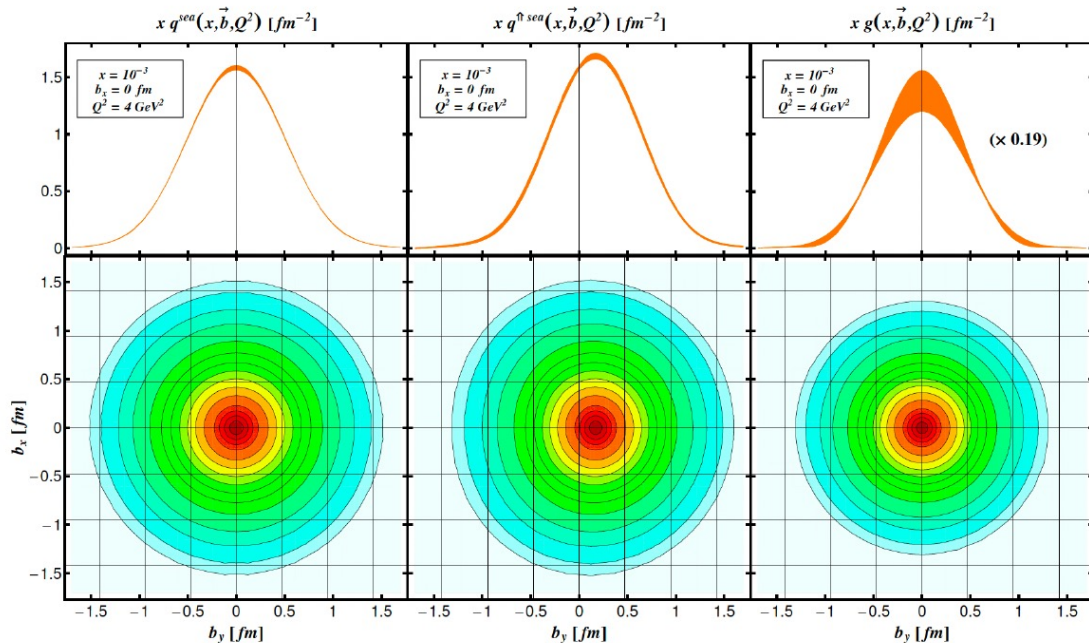
- Backward production is interesting for encoding unique information about proton GPDs, an active and evolving topic of research
- Early simulations demonstrate importance of B0 and ZDC calorimetry especially for high-energy photons.
- Contributions from  $\pi^0$  background need to be explored in simulations
- Our team is writing a paper on backward Compton scattering so stay tuned!

Thank you for your attention!



[zsweger@ucdavis.edu](mailto:zsweger@ucdavis.edu)

Yellow Report, R. Abdul Khalek et al.,  
arXiv:2103.05419.



Forward DVCS cross section  $\rightarrow$  proton GPDs

- Differential cross section as a function of  $t$  encodes information about proton GPDs
- GPDs can be translated into an impact-parameter description of the proton via a Fourier transform in  $t$
- Thus the forward DVCS cross section is meaningfully related to the parton structure of the proton

**Figure 7.46:** Impact parameter distributions at  $x = 0.001$  and  $Q^2 = 4 \text{ GeV}^2$  for unpolarized sea quarks in an unpolarized proton (left), a transversely polarized proton (middle), and for unpolarized gluons in an unpolarized proton (right), obtained from a combined fit to the HERA collider data and EIC pseudodata [23]. Top row: IPDs at fixed  $b_x = 0$  as a function of  $b = b_y$ . Bottom row: density plots of IPDs in the  $(b_x, b_y)$ -plane.