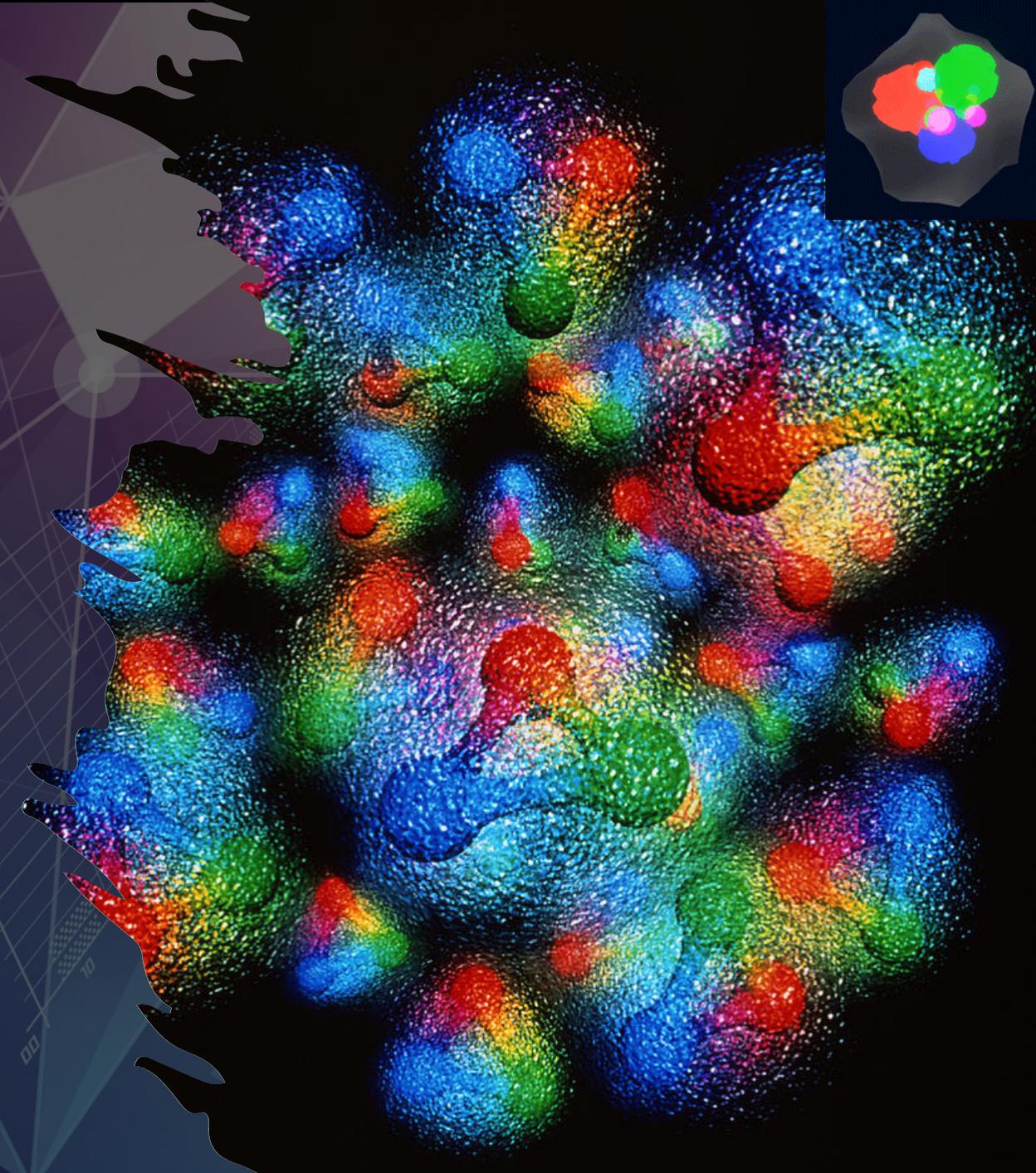
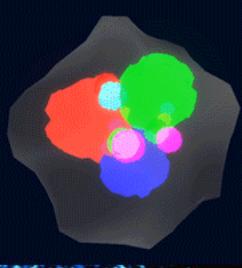
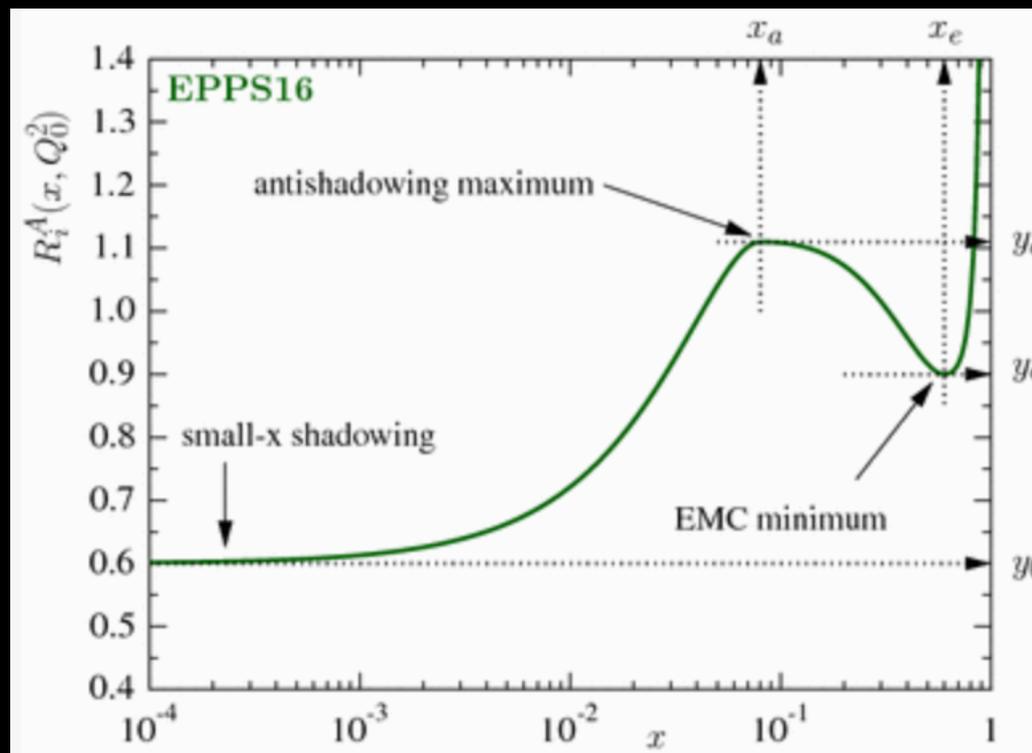


EMC effect in QCD

Simonetta Liuti



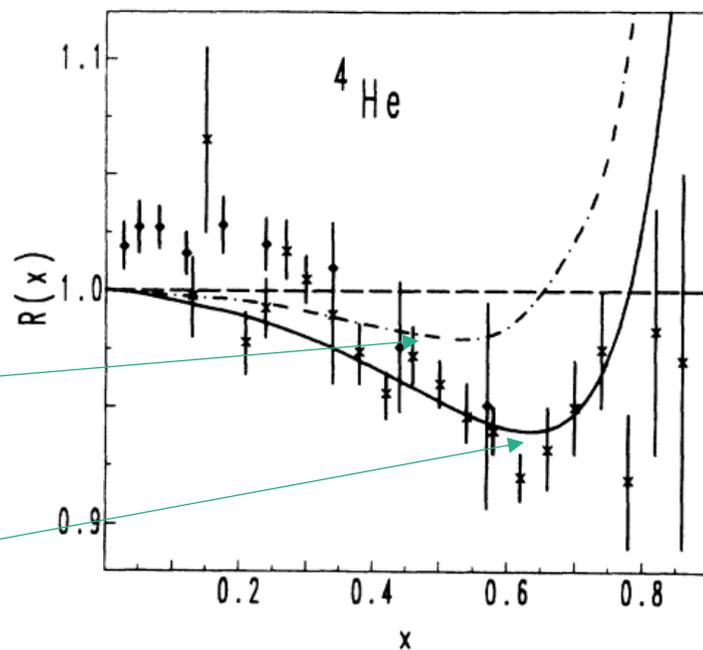
EMC Effect



The impact of nucleon nucleon correlations

1110

C. CIOFI DEGLI ATTII AND S. LIUTI



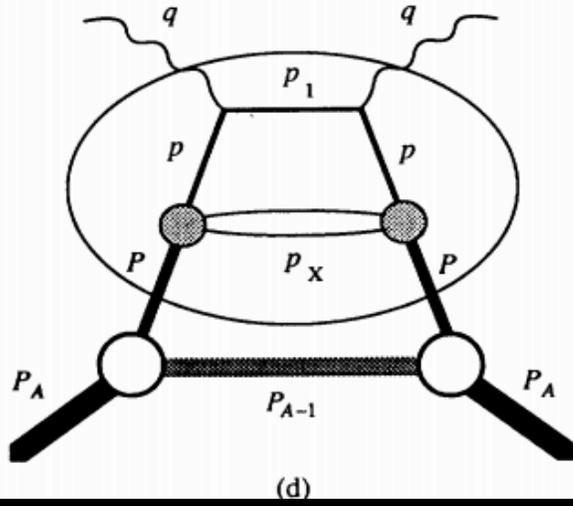
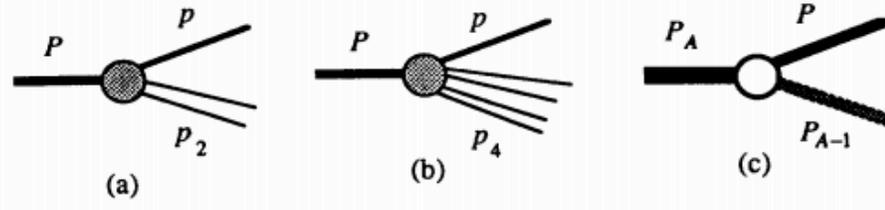
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terms of the ligh
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$$\int dz f_A(z) z =$$

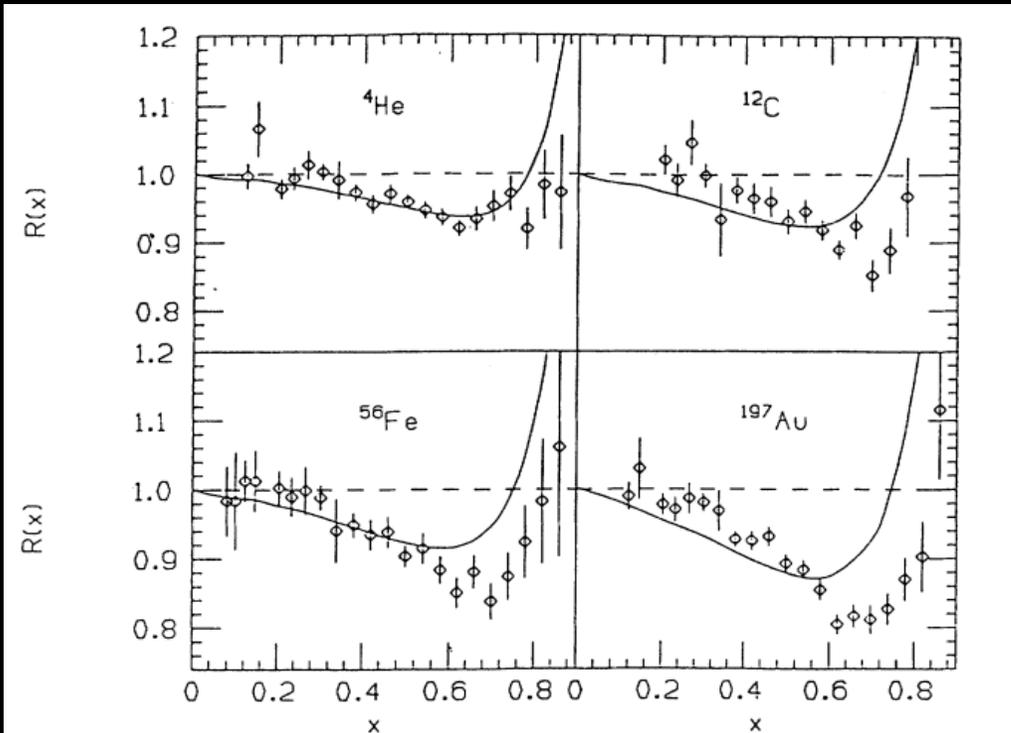
where η is the tot
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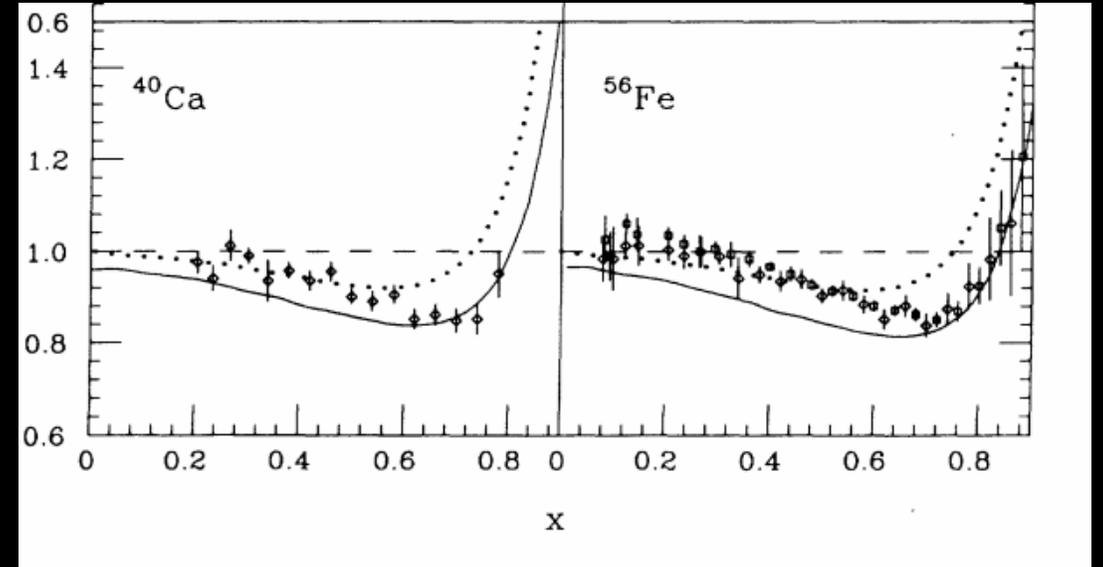
NN correlations



Binding alone cannot explain all of the effect



Role of “relativistic effects” (proper LC treatment)



C. Ciofi degli Atti, S. Liuti *Phys.Rev.C* 44 (1991) R1269

C. Ciofi degli Atti, SL, PLB (1989)

F. Gross, S. Liuti, *PRC*45 (1992)

Scroll on to the new century...

QCD correlation functions and gauge links give us the key to interpret the EMC effect

Nucleon medium modifications and off-shell effects result from the combination of x -rescaling (binding) and the transverse motion of quarks

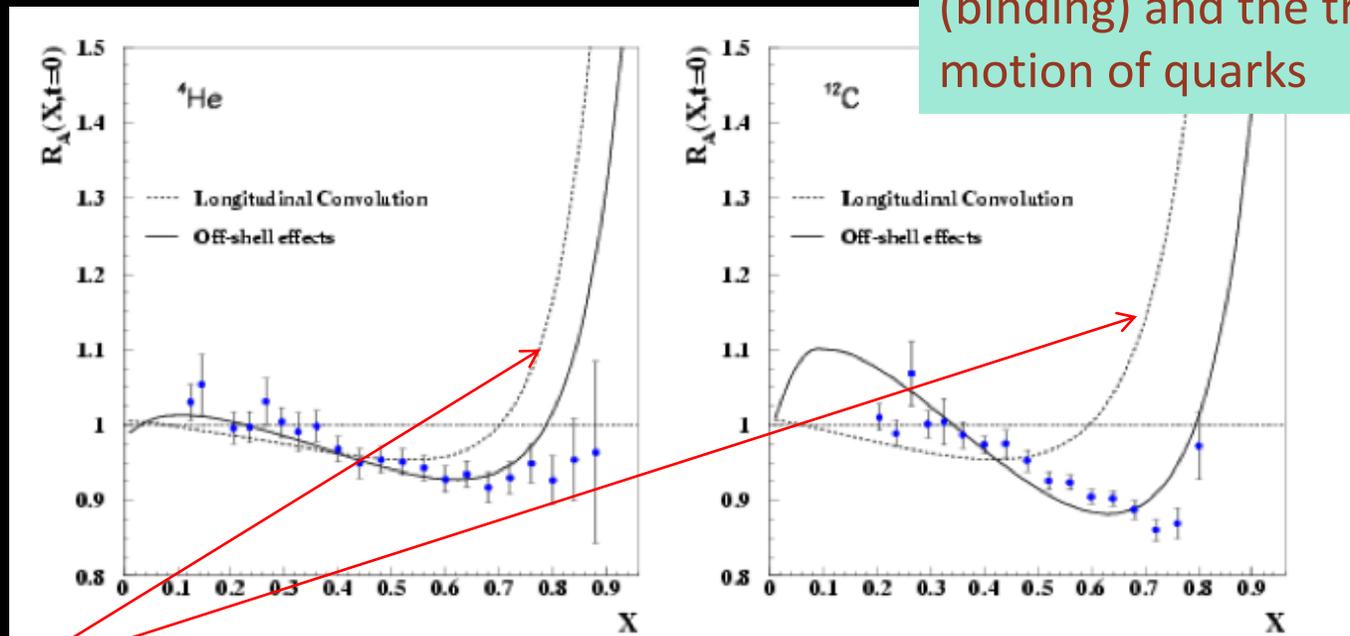
New work in progress

GPDs in nuclei

Liuti and Taneja (2005)

$$R_A = F_2^A(x) / F_2^D(x)$$

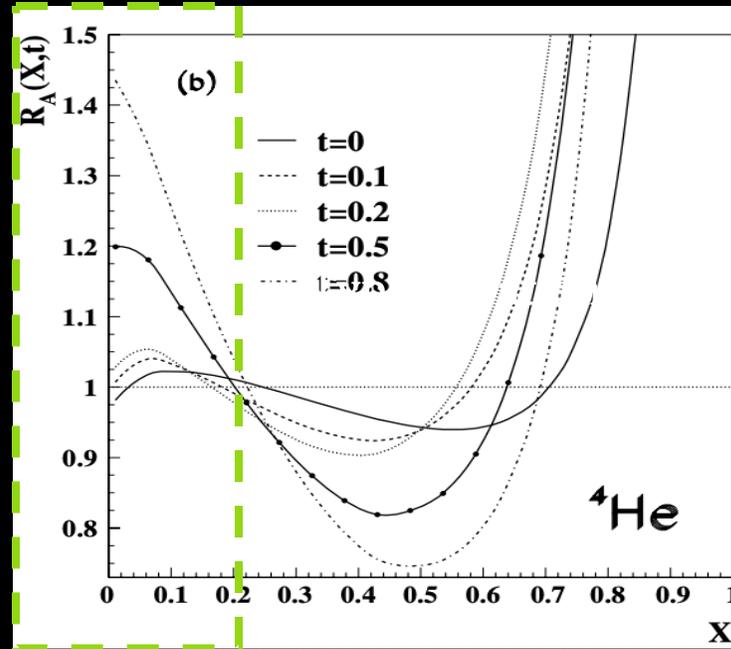
nucleon medium modifications and off-shell effects result from the combination of x-rescaling (binding) and the transverse motion of quarks



- ✓ Calculation including SRC (AV8) with unmodified nucleons
- ➔ Main constraint provided by Koltun sum rule

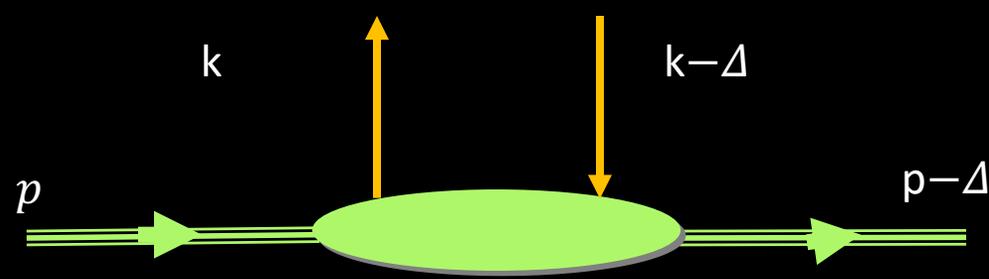
Similarities/Future Measurements: Deeply Virtual Compton Scattering (DVCS) and GPDs/Wigner functions

$$R_A(x, 0, t) = \frac{H_A(x, 0, t)}{H_N(x, 0, t)}$$



SL, SK Taneja, PRC72(2005)

... is this trend observable...??



\mathbf{k}_T unintegrated free nucleon

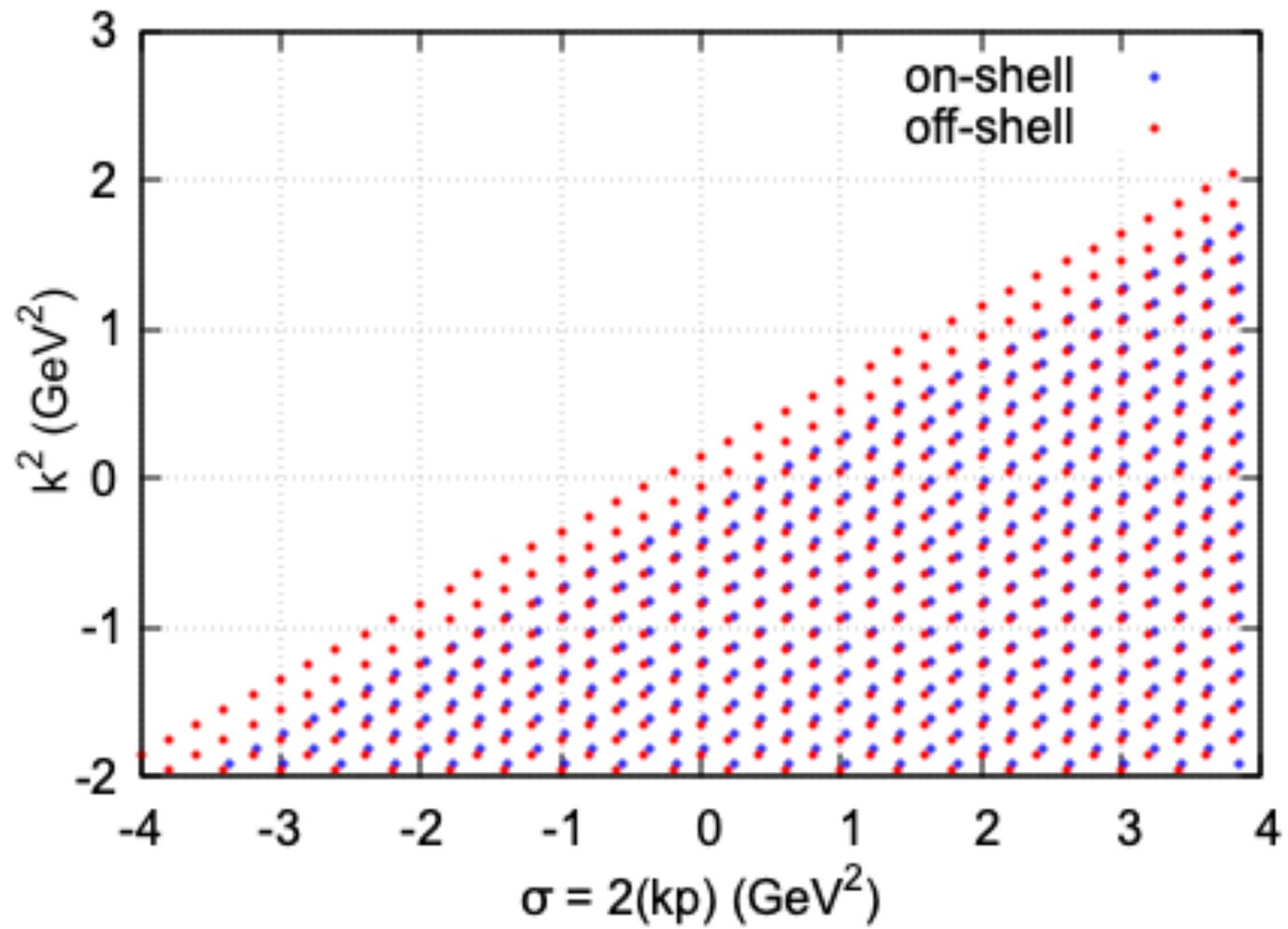
$$f(x, \mathbf{k}_T) = \int dk^- W(x, \mathbf{k}_T, k^-) = \int dy^- d^2 \mathbf{y}_T e^{i(k^+ y^- - \mathbf{k}_T \cdot \mathbf{y}_T)} \langle p | \bar{\psi}(0, 0, 0) \mathcal{U}(0, y) \gamma^+ \psi(0, y^-, \mathbf{y}_T) | p \rangle_{y^+=0}$$

\mathbf{k}_T unintegrated off-shell nucleon

$$f(x', \mathbf{k}'_T) = \int dy^- d^2 \mathbf{y}_T e^{i(x' p^+ y^- - \mathbf{k}'_T \cdot \mathbf{y}_T)} \langle p | \bar{\psi}(0, 0, 0) \mathcal{U}(0, y) \gamma^+ \psi(0, y^-, \mathbf{y}_T) | p \rangle_{y^+=0}$$

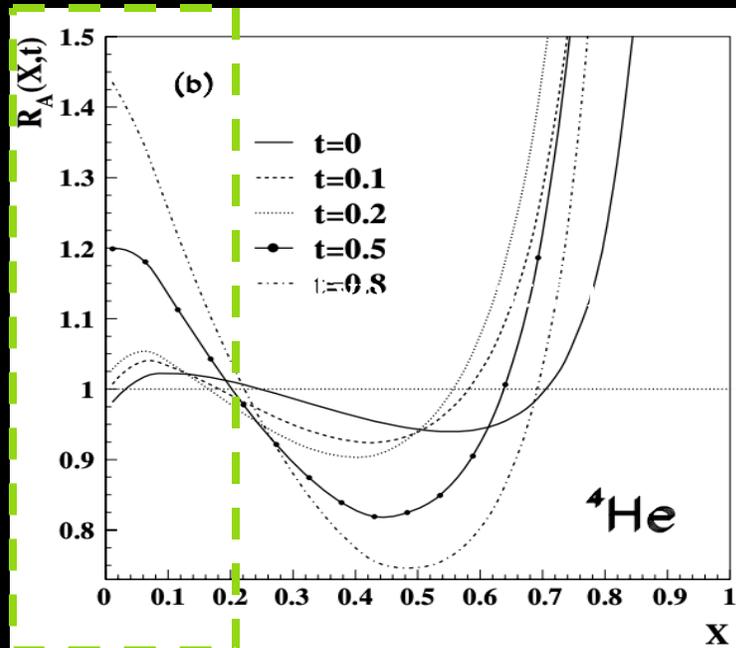
quark off shellness

$$k^2 = 2x(kp) - x^2 M^2 - k_T^2 \quad \longrightarrow \quad k^2 = 2 \frac{x}{z} (kp) - \left(\frac{x}{z}\right)^2 p^2 - \left(k_T - \frac{x}{z} p_T\right)^2$$



Establishing an inclusive/exclusive connection: Deeply Virtual Compton Scattering (DVCS) and GPDs/Wigner functions

$$R_A(x, 0, t) = \frac{H_A(x, 0, t)}{H_N(x, 0, t)}$$



SL, SK Taneja, PRC72(2005)

... is this trend observable...??

QCD at JLab: Diquarks, Hidden Color and Other SU(3) predictions

Jennifer Rittenhouse West

Lawrence Berkeley National Laboratory

Science at the Luminosity Frontier: Jefferson Lab 22 GeV conference

25 January 2023

What are hidden color states?

- Rigorous prediction of $SU(3)_C$ based QCD
- Color-singlets with quantum numbers that match nuclei
- Nucleus = bag of color singlets
- Hidden-color = 1 color singlet
- Example: Hexadiquark hidden-color state in ${}^4\text{He}$

QCD states within the nuclear wavefunction:

$$|{}^4\text{He}\rangle = C_{nnpp} \left| \left((u[ud])_1 (d[ud])_{1_c} (u[ud])_{1_c} (d[ud])_{1_c} \right) \right\rangle + C_{\text{HdQ}} \left| \left(([ud][ud])_{\bar{6}_c} ([ud][ud])_{\bar{6}_c} ([ud][ud])_{\bar{6}_c} \right)_{1_c} \right\rangle + \dots$$

JRW, S.J.Brodsky, G. de Teramond, I.Schmidt, F.Goldhaber, Nuc. Phys. A 2021

Hidden-color research spans four+ decades:

Brodsky, Ji & Lepage, PRL 1983

Brodsky & Chertok, "The Asymptotic Form-Factors of Hadrons and Nuclei and the Continuity of Particle and Nuclear Dynamics" PRD 1976

M. Harvey, "Effective nuclear forces in the quark model with Delta and hidden color channel coupling" Nuc. Phys. A 1981

G.A.Miller "Pionic and Hidden-Color, Six-Quark Contributions to the Deuteron b_1 Structure Function" Phys. Rev. C 2014

Hidden-color states in the nuclear wavefunction

- Hidden-color states in the deuteron: How much do they contribute to ψ_D ?
- Probed with superfast quark studies at JLab (*Arrington, Sargsian, et al.*)
- Catching the hidden-color tiger by the tail
- ^4He proposed to have larger hidden-color component than ^2H but same question: $C_{\text{HdQ}} = ?$

$$|D\rangle = C_{np} \left| (d[ud])_{1c} (u[ud])_{1c} \right\rangle + C_{\text{HC}_1} \left| (ud \ ud \ ud)_{1c} \right\rangle + C_{\text{HC}_2} \left| (uu \ dd \ ud)_{1c} \right\rangle + \dots$$

- *Building hidden-color states requires Fermi statistics upon quark exchange, Bose statistics upon diquark exchange.*
- *Spin-statistics constrains the other components of the wavefunction, often requires nonzero L & higher spin states \implies higher mass, less contribution to wavefunction (small coefficient C)*

Diquark: Quark-quark bound state, proposed as the cause of Short-range Correlations

JRW, Nuc. Phys. A 2023

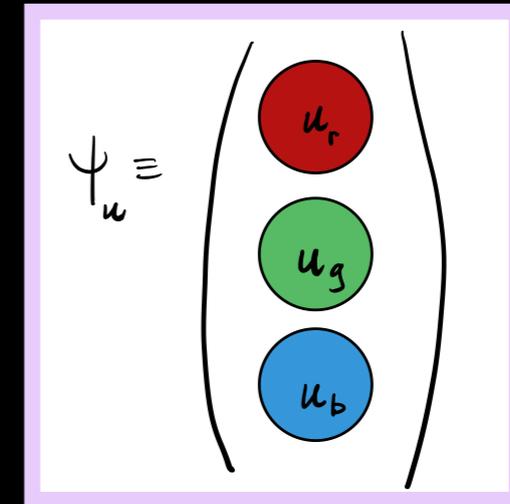
- Diquarks, another rigorous prediction of QCD
- Group theory rules of $SU(3) \implies$ 2 quarks combine into anti-color charged object: $3_C \times 3_C \rightarrow \bar{3}_C$

If this combination does not occur - something must forbid it!

- Diquarks are bound: \exists a short-range QCD Coulombic potential between quarks:

$$V(r_{qq}) \propto 1/r$$

Quark in the fundamental rep of $SU(3)_C$:

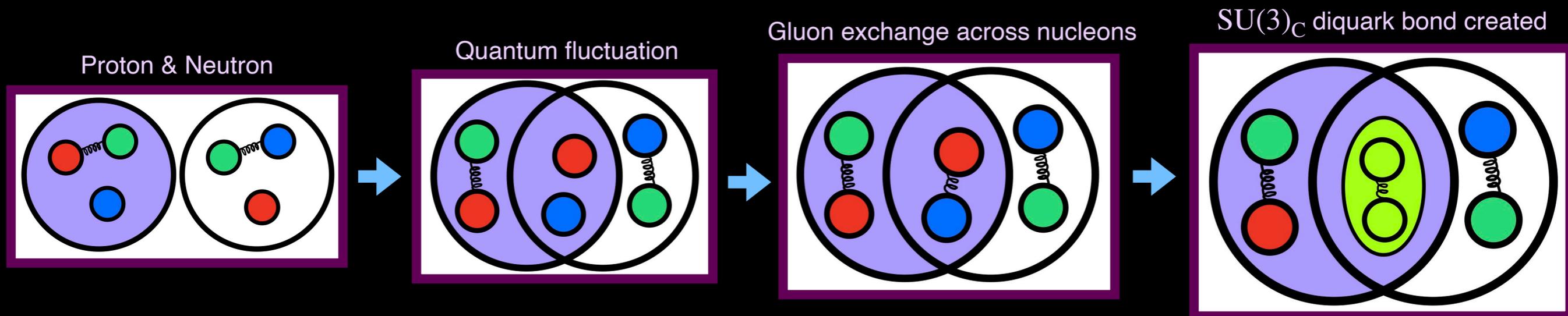


Diquark wavefunction in the antifundamental rep of $SU(3)_C$:

$$\psi_a^{[ud]} = \frac{1}{\sqrt{2}} \epsilon_{abc} \left(u_{\uparrow}^b d_{\downarrow}^c - d_{\uparrow}^b u_{\downarrow}^c \right)$$

Diquark formation: Fundamental QCD dynamics causing NN correlations

New model: **Diquark formation** proposed to create **short-range correlations (SRC)**,
modifying quark behavior in the NN pair



J.Rittenhouse West, Nuc. Phys. A 2023

Short-range QCD potentials act on distance scales < 1 fm. Strong NN overlap can bring valence quarks within range.

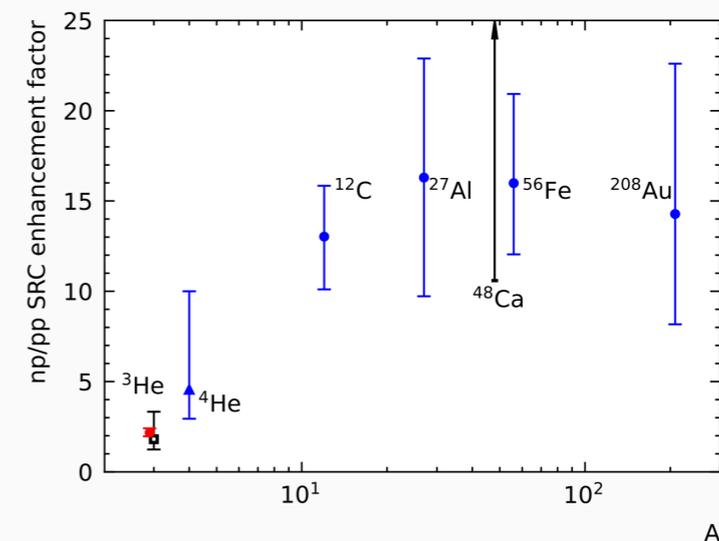
Hidden-color, Diquarks & the EMC Effect: Hexadiquark hidden-color state in $A \geq 4$ nuclei and Diquarks across nucleons in $A=3$?

- Hexadiquark in ${}^4\text{He}$ nuclear wavefunction proposed as cause of EMC effect in $A \geq 4$
- Diquark based SRC proposed as cause of EMC effect in $A=3$
- \implies different behavior for $A=3$ nuclei
- MARATHON $A=3$ mirror nuclei experiment: “Light nuclei are weird” (*T.Hague*) - do not follow the SRC/EMC behavior - not enough np, not enough EMC
- *HdQ NB: New hadronic excitations predicted due to \mathcal{C}_C bonds between diquarks - X17 solution, to be measured at JLab, PAC50 approved*

V.Kubarovsky, JRW, S.Brodsky, 2206.14441

Weird Nuclei (?): ${}^3\text{He}$ - The Sequel

- E03-103 noted that ${}^3\text{He}$ had an anomalously weak EMC Effect⁸
- How does that compare to the MARATHON data?
- Short Range Correlations look weird too!⁹



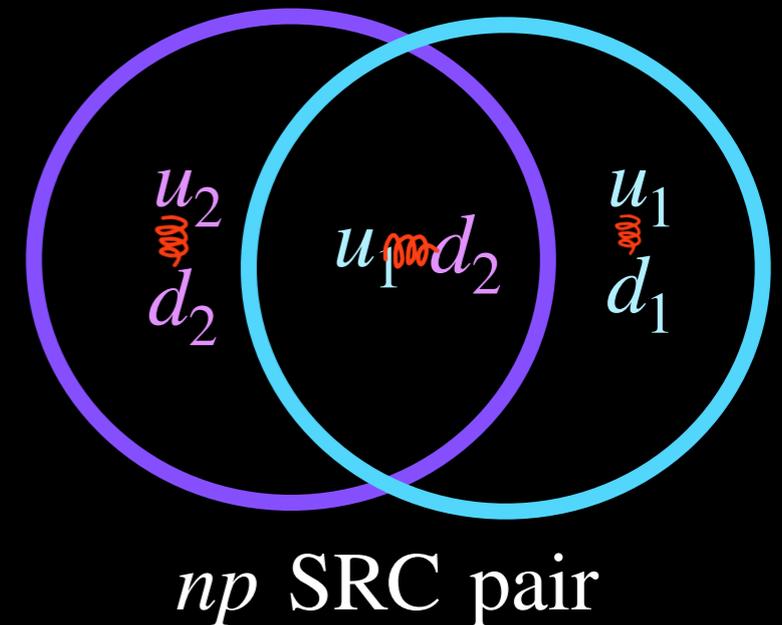
⁸Seely et al., “New measurements of the EMC effect in very light nuclei”.

⁹Li et al., “Revealing the short-range structure of the mirror nuclei ${}^3\text{H}$ and ${}^3\text{He}$ ”.

- slide 32 from Tyler Hague, Hadron Ion Tea seminar at Berkeley Lab
<https://www.youtube.com/watch?v=nj2mtR3DCzk>

Summary: QCD at Jefferson Lab

- Diquark formation proposed to cause short-range correlated nucleon pairs & EMC effect in $A=3$. Superfast quark experiments probe SRC
- Superfast quarks to catch hidden-color in ${}^2\text{H}$
- Hidden-color state within ${}^4\text{He}$ nucleus proposed as cause of EMC effect in $A=4$ and larger nuclei.
- Diquarks proposed as cause of SRC and EMC effect in $A=3$
- Tentative evidence for diquark created SRC from
 measured $\frac{\mathcal{N}_{pp \text{ SRC}}}{\mathcal{N}_{np \text{ SRC}}}$ from MARATHON @JLab



Fin

Jennifer Rittenhouse West

Berkeley Lab & EIC Center @JLab

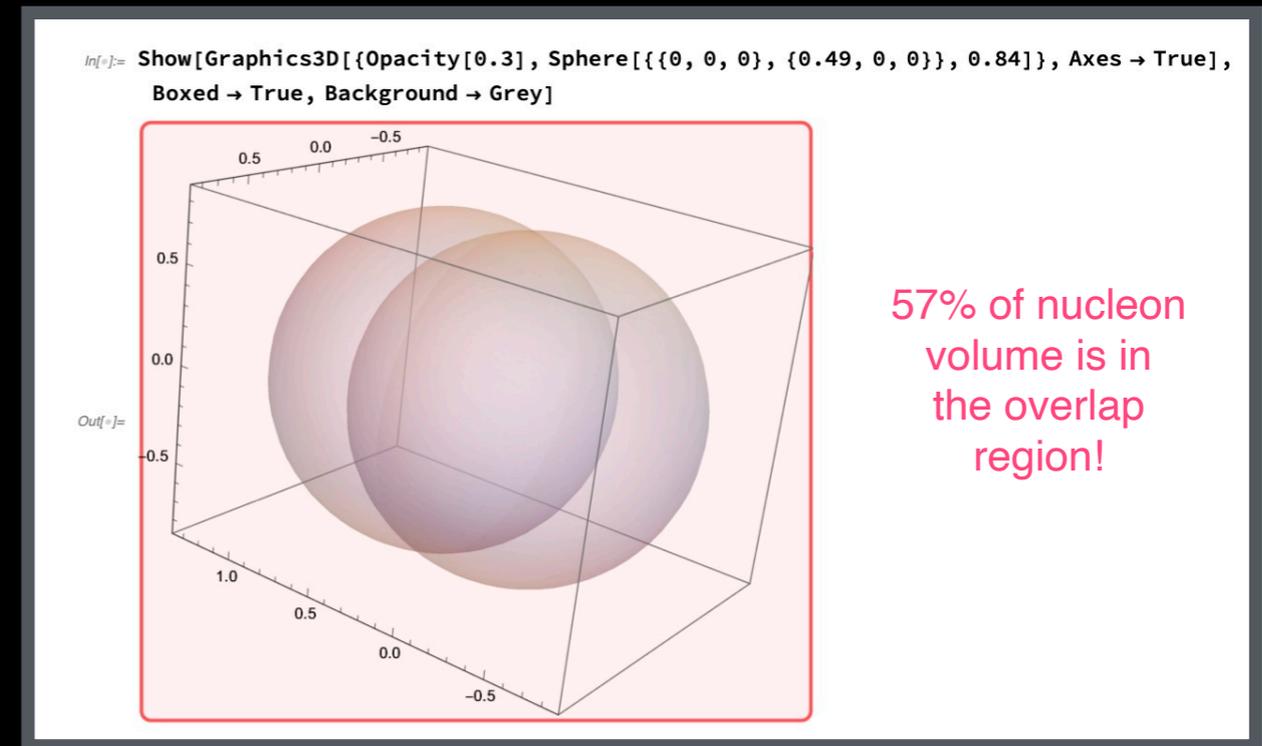
Science at the Luminosity Frontier: Jefferson Lab at 22 GeV

23-25 January 2023

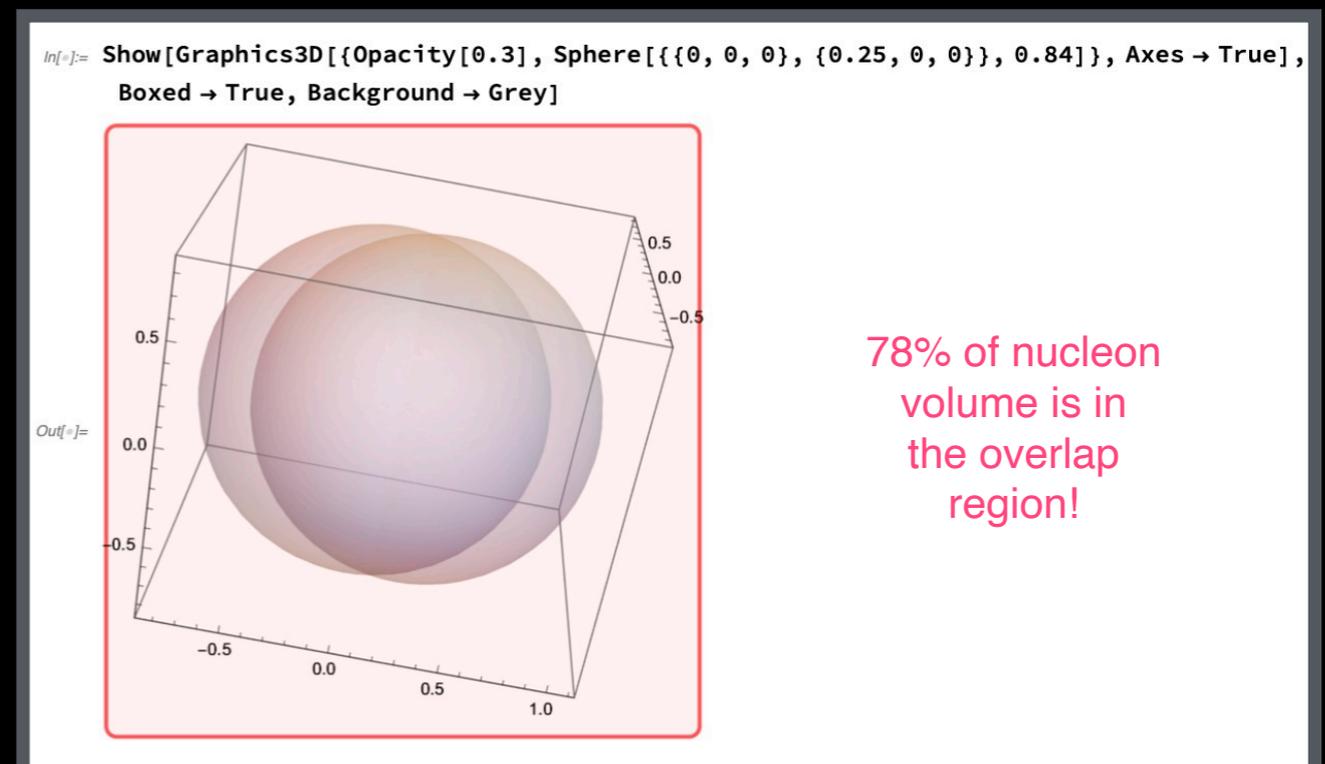


SRC 3D-overlap for relative momenta 400 MeV/c & 800 MeV/c

- **SRC Plot 1:** According to the ^{12}C measurements from 2021 CLAS, NN tensor force dominates at 400 MeV/c relative momenta. Natural unit conversion gives 0.49 fm = 400 MeV/c.



- **SRC Plot 2:** Tensor-scalar transition momenta - according to the ^{12}C measurements from 2021 CLAS, NN scalar force is in effect at 800 MeV/c relative momenta. Natural unit conversion gives 0.25 fm = 800 MeV/c.



Diquark formation induced SRC inequality comparison to data: JLab experiment E12-11-112 A=3 mirror nuclei results

Nature paper from JLab/LBNL: $\frac{\mathcal{N}_{pp}}{\mathcal{N}_{np}} = \frac{1}{4.23} \sim 0.24$
Shujie Li, John Arrington & collaborators, September 2022

Individual nucleon wavefunctions at lowest order are dominated by two Fock states with unknown coefficients; the 3 valence quark configuration and the quark-diquark configuration,

$$|N\rangle = \alpha|qqq\rangle + \beta|q[qq]\rangle, \quad (27)$$

where square brackets indicate the spin-0 $[ud]$ diquark. The full $A=3$ nuclear wavefunction is given by

$$|\Psi_{A=3}\rangle \propto (\alpha|qqq\rangle + \beta|q[qq]\rangle)(\alpha|qqq\rangle + \beta|q[qq]\rangle) (\gamma|qqq\rangle + \delta|q[qq]\rangle) \quad (28)$$

where the proton and the neutron are allowed to have different weights for each valence quark configuration. This expands out to

$$|\Psi_{A=3}\rangle \propto \alpha^2\gamma|qqq\rangle^3 + 2\alpha\beta\gamma|qqq\rangle^2|q[qq]\rangle + \alpha^2\delta|qqq\rangle^2|q[qq]\rangle + \beta^2\gamma|qqq\rangle|q[qq]\rangle^2 + 2\alpha\beta\delta|qqq\rangle|q[qq]\rangle^2 + \beta^2\delta|q[qq]\rangle^3, \quad (29)$$

with mixed terms demonstrating that it is not straightforward to map the $\frac{\mathcal{N}_{pp}}{\mathcal{N}_{np}}$ ratio to precise coefficients for each nucleon's Fock states. A perhaps reasonable simplification is to assume that the proton and the neutron have the same coefficients for their 2-body and 3-body valence states, i.e. to set $\gamma = \alpha$ and $\delta = \beta$ in Eq. 28. In this case, the nuclear wavefunction reduces to

$$|\Psi_{A=3}\rangle \propto \alpha^3|qqq\rangle^3 + 3\alpha^2\beta|qqq\rangle^2|q[qq]\rangle + 3\beta^2\alpha|qqq\rangle|q[qq]\rangle^2 + \beta^3|q[qq]\rangle^3. \quad (30)$$

JRW, Nuc.Phys.A 2023

Isospin dependent SRC ratio inequalities from diquark induced SRC :

$${}^3\text{He} : 0 \leq \frac{\mathcal{N}_{pp \text{ SRC}}}{\mathcal{N}_{np \text{ SRC}}} \leq 0.4$$

$${}^3\text{H} : 0 \leq \frac{\mathcal{N}_{nn \text{ SRC}}}{\mathcal{N}_{np \text{ SRC}}} \leq 0.4$$

\Rightarrow Nucleon wavefunction : $\alpha|qqq\rangle + \beta|q[ud]\rangle$ combination may have approximately equal coefficients, $\alpha \approx \beta$

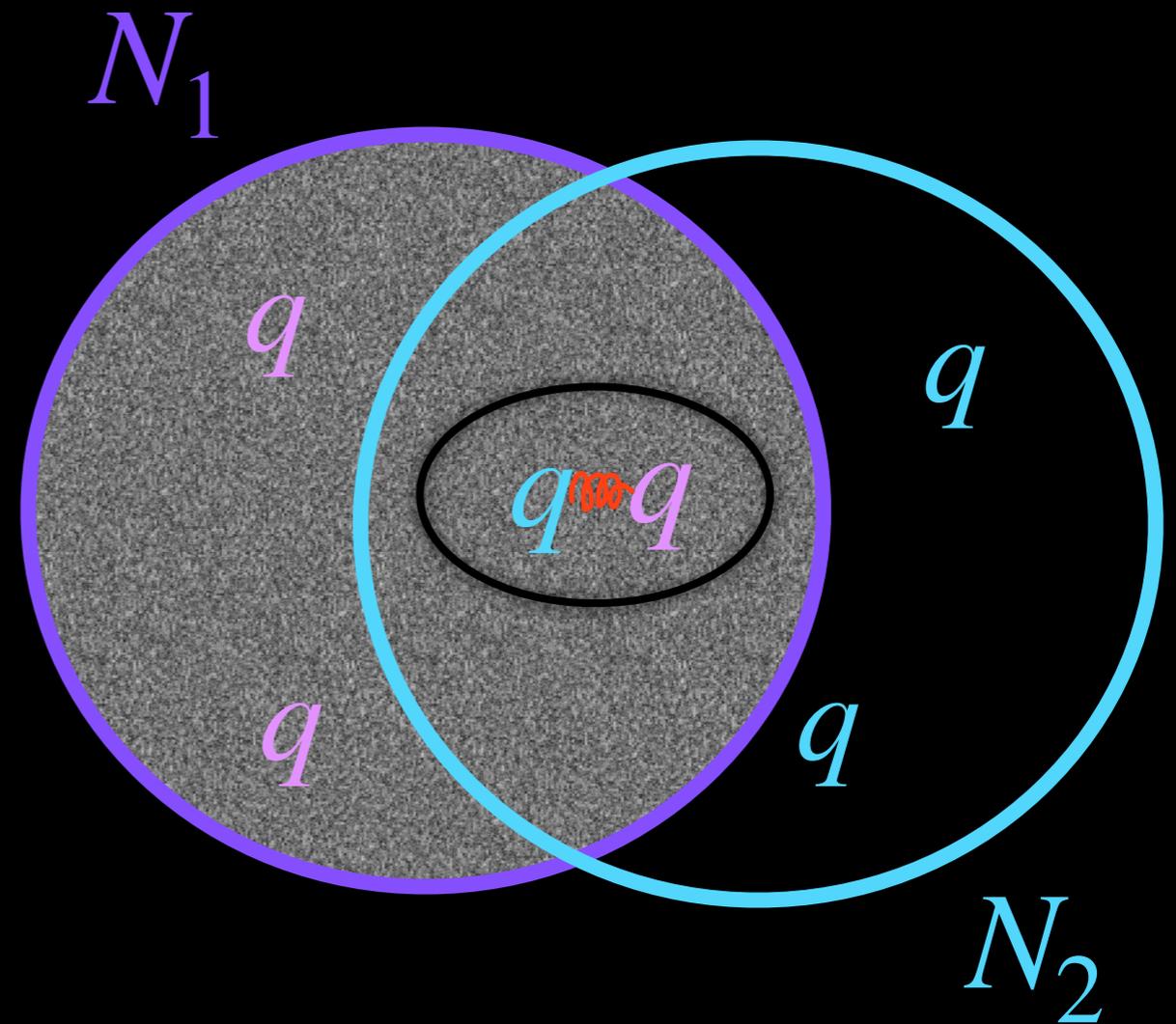


2 Caveats: Non-zero probability that existing diquarks may be broken up if overlap sufficient - Nucleon wavefunction written to lowest order - corrections in the form of spin-1 diquarks will exist

Diquark formation across N-N pairs

Requirements for diquark induced SRC:

1. Nucleon-Nucleon wavefunctions must strongly overlap
2. Attractive short-range QCD potential between valence quarks
3. Significant binding energy for diquark to form (much stronger than nuclear binding energies - comparable to confinement scale)



J.Rittenhouse West, Nuc. Phys. A 2023

Tetraquarks

Hidden-color in plain sight

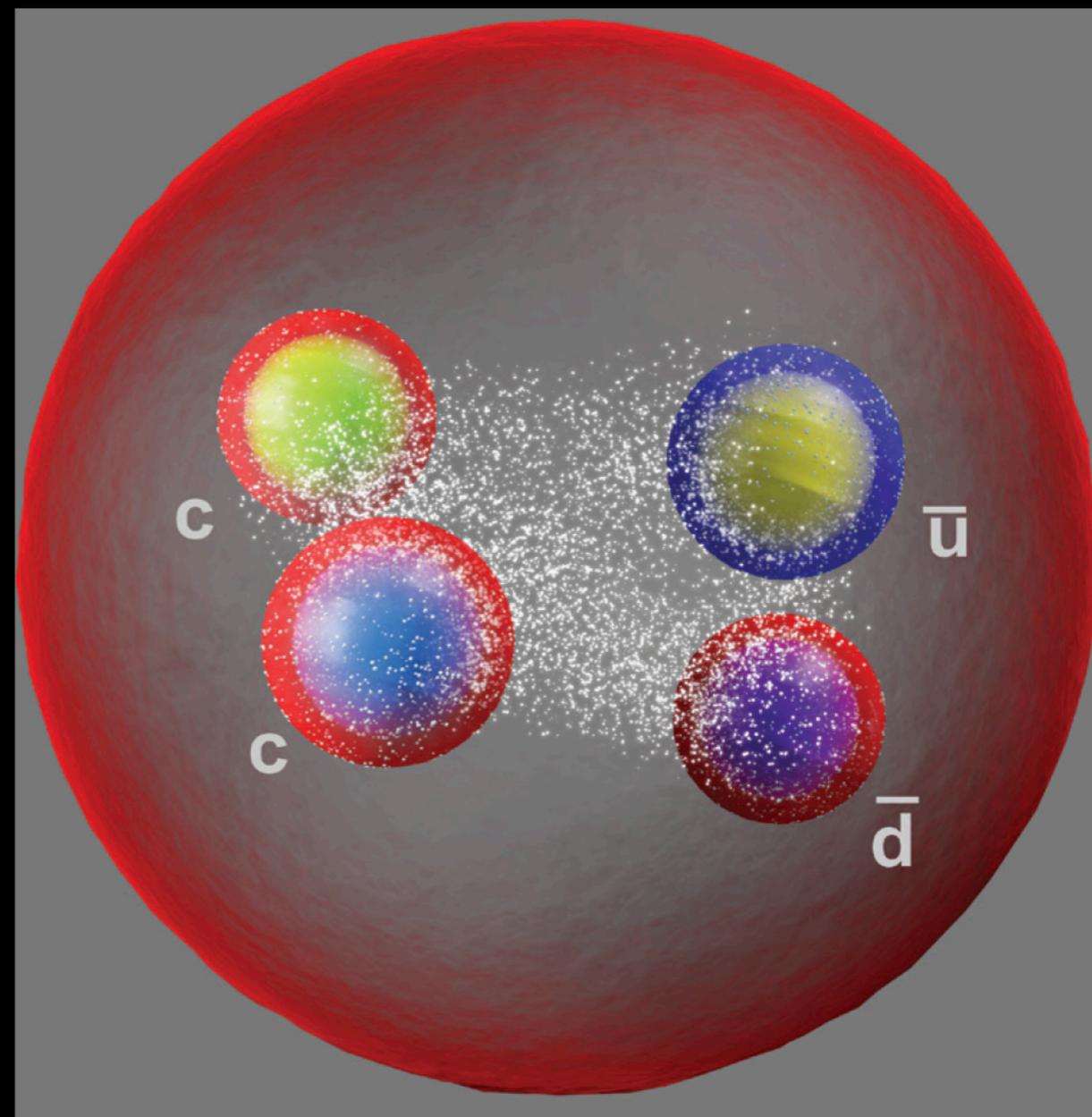
Combination of multiple color singlets vs. one color singlet - open question in QCD

Compact configuration of diquarks?

$$cc + \bar{u}\bar{d}$$

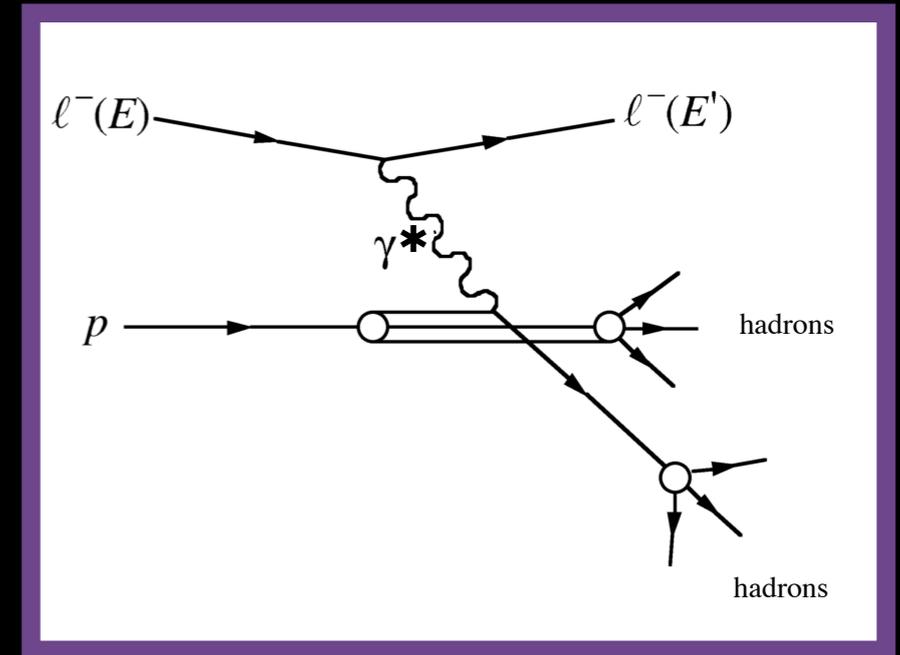
Or molecular mesonic state?

$$c\bar{u} + c\bar{d}$$



Bridge from fQCD to nuclear: EMC effect

- Lepton scatters from target, exchanging virtual photon with 4-momentum q^2 given by: $Q^2 \equiv -q^2 = 2EE'(1 - \cos \theta)$
- γ^* strikes quark: We know the fraction of nucleon momentum carried by the struck quark via Bjorken scaling variable $x_B = \frac{Q^2}{2M_p\nu}$ where $\nu = E - E'$, M_p = proton mass, lepton masses neglected
- EMC plots: Ratios of structure functions vs. momentum fraction carried by struck quark x_B



Adapted from *Nuclear & Particle Physics* by B.R. Martin, 2003

Differential cross section for DIS:

$$\frac{d\sigma}{dx dy} (e^- p \rightarrow e^- X) = \sum_f x e_f^2 \left[q_f(x) + \bar{q}_{\bar{f}}(x) \right] \cdot \frac{2\pi\alpha^2 s}{Q^4} (1 + (1 - y)^2)$$

where $y = \frac{\nu}{E}$ is the fraction of ℓ^- energy transferred to the target. $F_2(x)$ is the **nuclear structure function**, defined as:

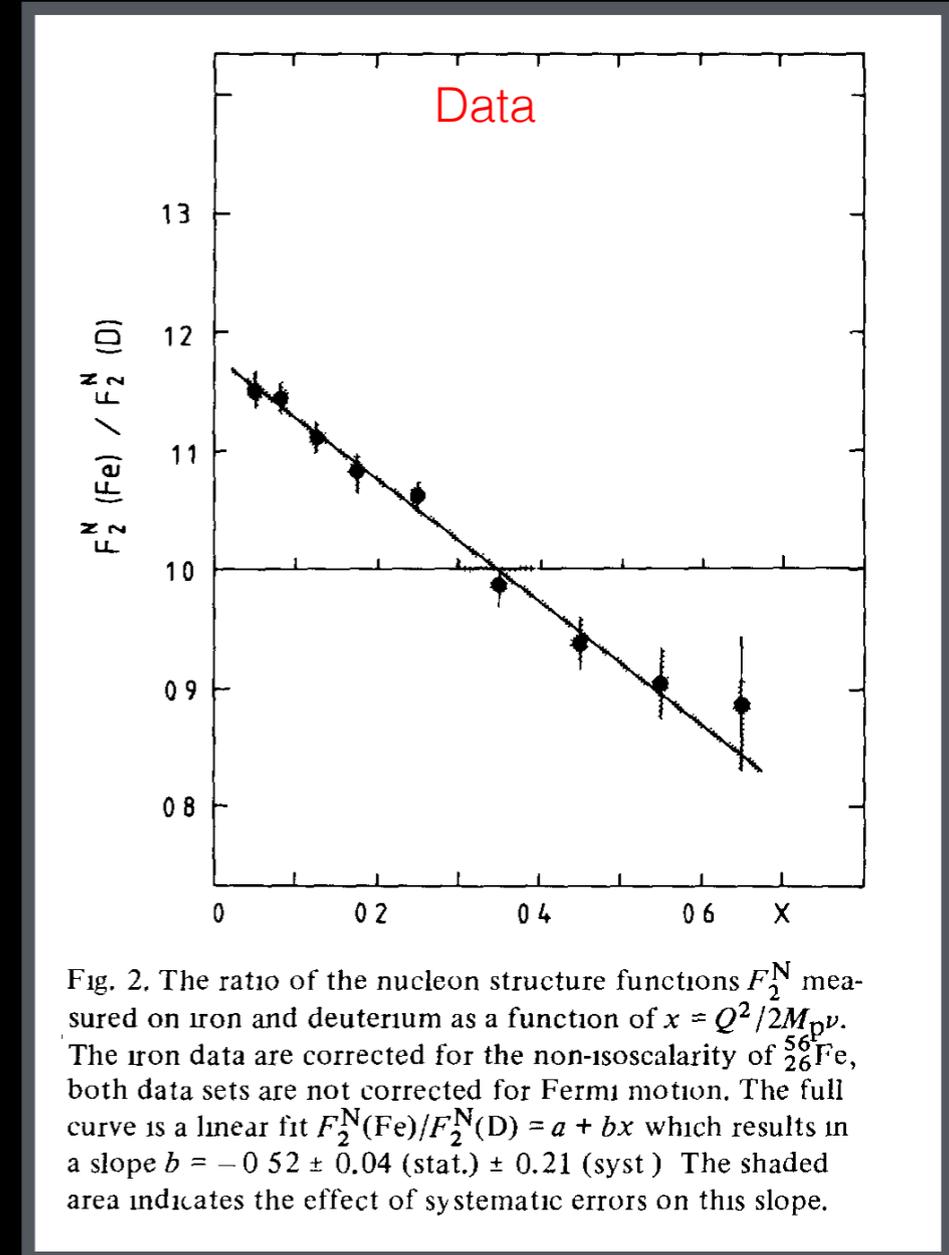
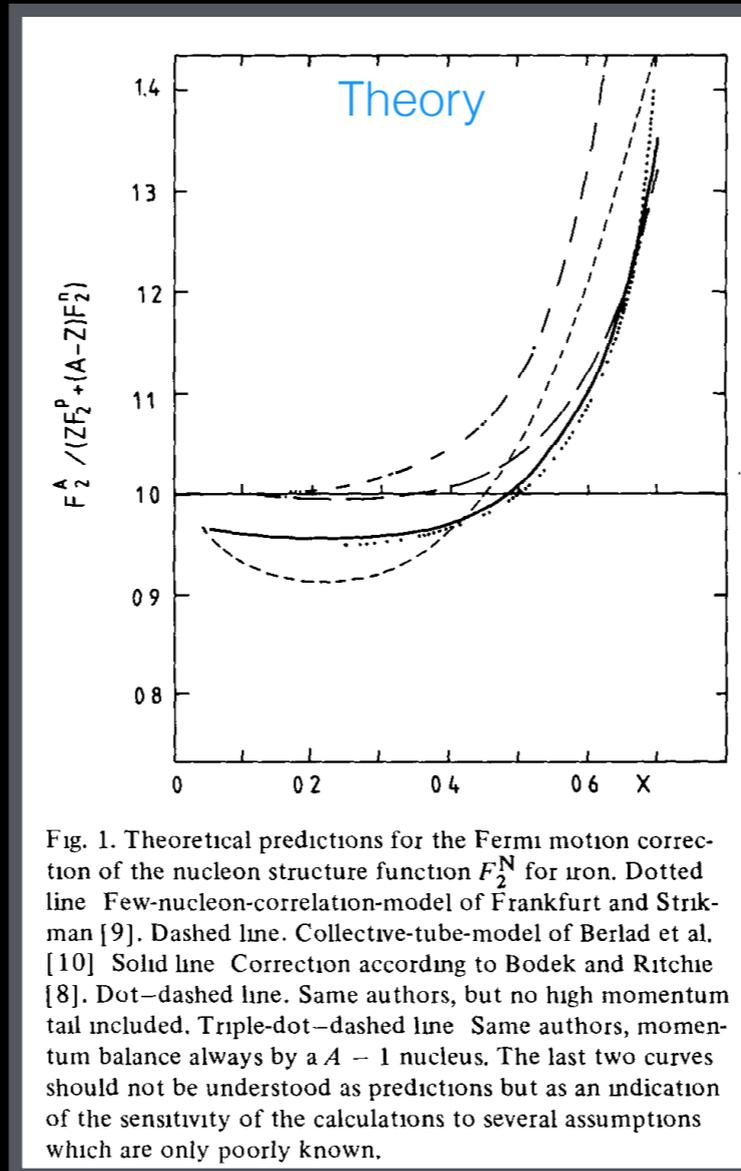
$$F_2(x_B) \equiv \sum_f x_B e_f^2 \left(q_f(x_B) + \bar{q}_{\bar{f}}(x_B) \right)$$

in terms of quark distribution functions $q_f(x)$: probability to find a quark with momentum $x_i \in [x, x + dx]$.

EMC effect: Distortion of nuclear structure functions

Plotting ratios of $F_2(x_B) \equiv \sum_f x_B e_f^2 (q_f(x_B) + \bar{q}_f(x_B))$ vs. x_B

- Predicted $F_2(x_B)$ ratio in complete disagreement with theory
- Why should quark behavior - confined in nucleons at QCD energy scales ~ 200 MeV - be so affected when nucleons embedded in nuclei, $BE \geq 2.2$ MeV?
- Mystery has not been solved to this day.



“THE RATIO OF THE NUCLEON STRUCTURE FUNCTIONS F_2^N FOR IRON AND DEUTERIUM “
The European Muon Collaboration, J.J. AUBERT et al. 1983

EMC effect experiments & explanations

POSSIBLE EXPLANATIONS

- Mean field effects involving the whole nucleus
- Local effects, e.g., 2-nucleon correlations

Advance in field: Simple mean field effects inconsistent with the EMC effect in ^9Be ,
Seely *et al.*, 2009.

“This one new bit of information has reinvigorated the experimental and theoretical efforts to pin down the underlying cause of the EMC effect.” Malace *et al.*, 2014

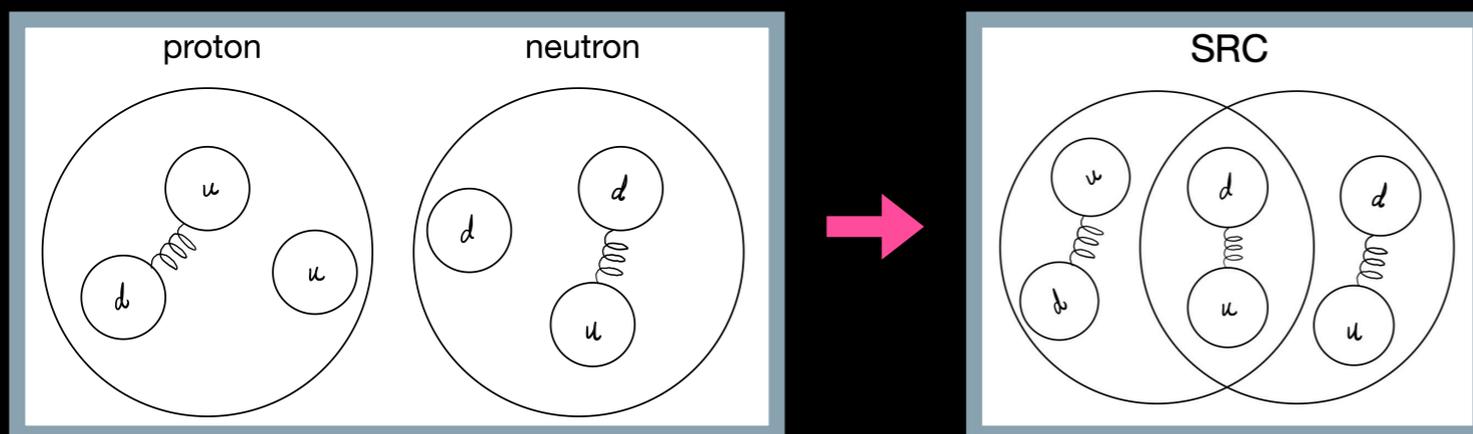
Short-range N-N correlated pairs (SRC) may cause EMC effect (first suggested in *Ciofi & Liuti 1990, 1991*).
Neutron-proton pairs found to dominate SRC (CLAS collaboration & others)

DOZENS OF EXPERIMENTS

CONFIRM EMC EFFECT

Target	Collaboration/ Laboratory
^3He	JLab HERMES
^4He	JLab SLAC NMC
^6Li	NMC
^9Be	JLab SLAC NMC
^{12}C	JLab SLAC NMC EMC
^{14}N	HERMES BCDMS
^{27}Al	Rochester-SLAC-MIT SLAC NMC
^{40}Ca	SLAC NMC EMC
^{56}Fe	Rochester-SLAC-MIT SLAC NMC BCDMS
^{64}Cu	EMC
^{108}Ag	SLAC
^{119}Sn	NMC EMC
^{197}Au	SLAC
^{207}Pb	NMC

New model: Diquark formation proposed to create short-range correlations (SRC), modifying quark behavior in the NN pair

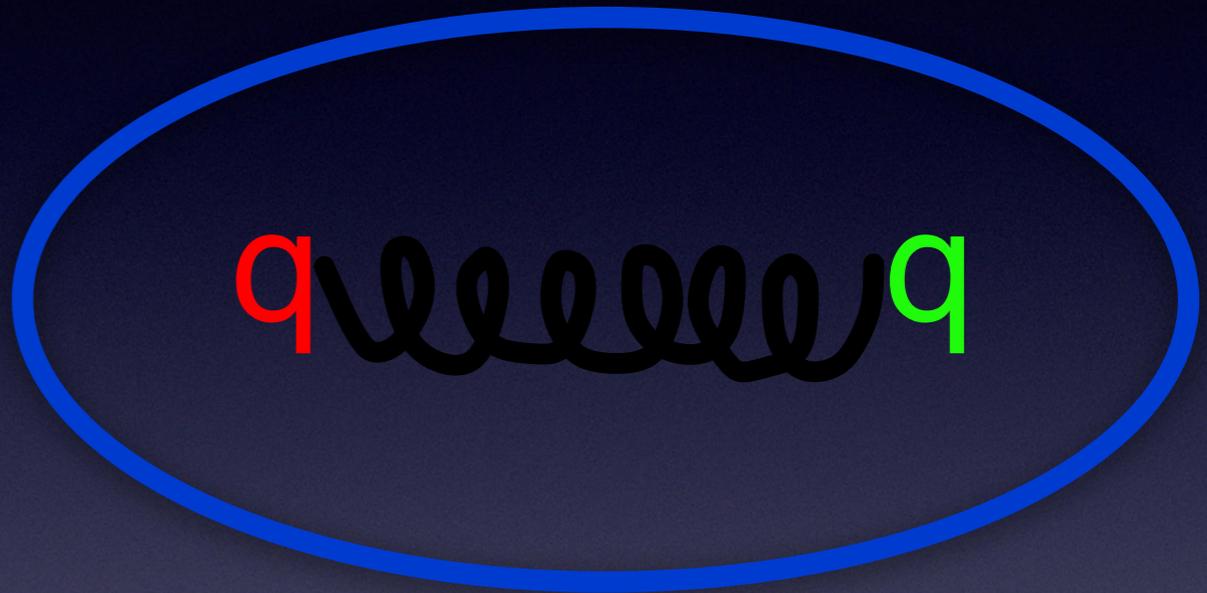


Malace, Gaskell, Higinbotham & Cloet,
Int.J.Mod.Phys.E 23 (2014)

What is a diquark?

- Strong force described by special unitary group $SU(3)_C$, local symmetry of the strong interaction \equiv QCD
- QCD \implies Diquark creation: Quark-quark bond with single gluon exchange & group theory transformation into a fundamentally different object:

$$3_C \otimes 3_C \rightarrow \bar{3}_C$$



Like quarks and gluons, diquarks carry color charge. They cannot be seen directly due to color confinement. Only 1_C (red+green+blue or red-antired etc.) directly detected.

Therefore there is no direct evidence for diquarks. Work in progress for diquark detection experimental proposals (e.g., diquark jets from DIS increase Λ production)

Strong indirect evidence exists (baryon mass splittings, Regge slopes).

Diquark formation prediction for A=3 SRC

Nucleon wavefunction : $|N\rangle = \alpha |qqq\rangle + \beta |q[qq]\rangle$

Scalar [ud] diquark formation for nucleons with 3-valence quark internal structure

$$|N\rangle \propto |qqq\rangle:$$

$${}^3H : 2n + p \rightarrow 4u, 5d \implies np \supset [ud] \times 10 \implies 60\% \text{ np, } 40\% \text{ nn}$$

$$\implies nn \supset [ud] \times 4$$

$${}^3He : 2p + n \rightarrow 5u, 4d \implies np \supset [ud] \times 10 \implies 60\% \text{ np, } 40\% \text{ pp}$$

$$\implies pp \supset [ud] \times 4$$

Scalar diquark formation for nucleons in quark-diquark internal configuration $|N\rangle \propto |q[qq]\rangle:$

$${}^3H : u [ud] + d [ud] + d [ud] \implies 100\% \text{ np}$$

$${}^3He : u [ud] + u [ud] + d [ud] \implies 100\% \text{ np}$$

The number of possible diquark combinations in A = 3 nuclei with nucleons in the 3-valence quark configuration is found by simple counting arguments. First, the 9 quarks of ${}^3\text{He}$ with nucleon location indices are written as:

$$\begin{aligned} N_1 : p &\supset u_{11} \ u_{12} \ d_{13} \\ N_2 : p &\supset u_{21} \ u_{22} \ d_{23} \\ N_3 : n &\supset u_{31} \ d_{32} \ d_{33} \end{aligned} \quad (21)$$

where the first index of q_{ij} labels which of the 3 nucleons the quark belongs to, and the second index indicates which of the 3 valence quarks it is. Diquark induced SRC requires the first index of the quarks in the diquark to differ, $[u_{ij}d_{kl}]$ with $i \neq k$. The 4 possible combinations from $p-p$ SRC are listed below.

$$u_{11}d_{23} \quad u_{12}d_{23} \quad (22)$$

$$u_{21}d_{13} \quad u_{22}d_{13} \quad (23)$$

Short-range correlations from $n-p$ pairs have 10 possible combinations,

$$\begin{aligned} &u_{11}d_{32} \quad u_{12}d_{32} \\ &u_{11}d_{33} \quad u_{12}d_{33} \\ &u_{21}d_{32} \quad u_{22}d_{32} \\ &u_{21}d_{33} \quad u_{22}d_{33} \\ &u_{31}d_{13} \quad u_{31}d_{23} \end{aligned} \quad (24)$$

which gives the number of $p-p$ combinations to $n-p$ combinations in this case as $\frac{2}{5}$.

Combining these results yields the following inequality for the isospin dependence of N-N SRC:

$${}^3\text{He} : 0 \leq \frac{\mathcal{N}_{pp}}{\mathcal{N}_{np}} \leq \frac{2}{5} \quad (25)$$

where \mathcal{N}_{NN} is the number of SRC between the nucleon flavors in the subscript.

The same argument may be made for ${}^3\text{H}$ due to the quark-level isospin-0 interaction, to find

$${}^3\text{H} : 0 \leq \frac{\mathcal{N}_{nn}}{\mathcal{N}_{np}} \leq \frac{2}{5}. \quad (26)$$

JRW, Nuc.Phys.A 2023

Combine into isospin dependent SRC ratio predictions :

$${}^3\text{He} : 0 \leq \frac{\mathcal{N}_{pp \text{ SRC}}}{\mathcal{N}_{np \text{ SRC}}} \leq \frac{2}{5}, \quad {}^3\text{H} : 0 \leq \frac{\mathcal{N}_{nn \text{ SRC}}}{\mathcal{N}_{np \text{ SRC}}} \leq \frac{2}{5}, \quad \text{Maximum 40\%!}$$