

QCD energy-momentum tensor and the mechanical properties of hadrons

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Outline

- Introduction: Meaning of Energy-Momentum Tensor (EMT) in QCD
- Gravitational Form Factors (GFFs) and the processes to measure them
- D -term form factor $D(t)$ and the “least known” global property of nucleon
- Interpretation, Visualization of Forces, the Mechanical Properties of Hadrons
- Conclusions

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Energy momentum tensor (EMT) in general

- coupling to gravitational field

$$\hat{T}_{\mu\nu}(x) = \frac{2}{\sqrt{-g}} \frac{\delta S_{\text{grav}}}{\delta g^{\mu\nu}(x)}$$

- EMT conservation:

in classical and quantum case: $\partial^\mu \hat{T}_{\mu\nu} = 0$

- Poincaré group generators:

$$\hat{P}^\mu = \int d^3x \hat{T}^{0\mu}, \quad \hat{M}^{\kappa\nu} = \int d^3x (x^\kappa \hat{T}^{0\nu} - x^\nu \hat{T}^{0\kappa}),$$

algebra: $[\hat{P}^\mu, \hat{P}^\nu] = 0$, $[\hat{M}^{\mu\nu}, \hat{P}^\kappa] = i(g^{\mu\kappa}\hat{P}^\nu - g^{\nu\kappa}\hat{P}^\mu)$, $[\hat{M}^{\mu\nu}, \hat{M}^{\kappa\sigma}] = i(g^{\mu\kappa}\hat{M}^{\nu\sigma} - g^{\nu\kappa}\hat{M}^{\mu\sigma} - g^{\mu\sigma}\hat{M}^{\nu\kappa} + g^{\nu\sigma}\hat{M}^{\mu\kappa})$

- Casimir operators:

$$\hat{P}^\mu \hat{P}_\mu \rightarrow m^2, \quad \hat{W}^\mu \hat{W}_\mu \rightarrow m^2 J(J+1) \quad \text{where } \hat{W}^\kappa = -\frac{1}{2} \varepsilon^{\kappa\mu\nu\sigma} \hat{M}_{\mu\nu} \hat{P}_\sigma$$

- classify particles:

mass & spin

Energy momentum tensor (EMT) in QCD

- $\hat{T}_{\mu\nu} = \sum_q T_{\mu\nu}^q + \hat{T}_{\mu\nu}^g$

quark, gluon $\hat{T}_{\mu\nu}^q$, $\hat{T}_{\mu\nu}^g$ each gauge-invariant, but not conserved separately

$$T_q^{\mu\nu} = \frac{1}{4} \bar{\psi}_q \left(-i \overleftrightarrow{\mathcal{D}}^\mu \gamma^\nu - i \overleftrightarrow{\mathcal{D}}^\nu \gamma^\mu + i \overrightarrow{\mathcal{D}}^\mu \gamma^\nu + i \overrightarrow{\mathcal{D}}^\nu \gamma^\mu \right) \psi_q - g^{\mu\nu} \bar{\psi}_q \left(-\frac{i}{2} \overleftrightarrow{\mathcal{D}} + \frac{i}{2} \overrightarrow{\mathcal{D}} - m_q \right) \psi_q$$

$$T_g^{\mu\nu} = F^{a,\mu\eta} F^{a,\eta\nu} + \frac{1}{4} g^{\mu\nu} F^{a,\kappa\eta} F^{a,\kappa\eta}$$

- classical Lagrangian symmetric under scale transformations for $m_q \rightarrow 0$

$\hookrightarrow j^\mu = x_\nu \hat{T}^{\mu\nu}$ is conserved $\partial_\mu j^\mu = \hat{T}_\mu^\mu = \sum_q m_q \bar{\psi}_q \psi_q$ when $m_q \rightarrow 0$

- quantum corrections
break conformal symmetry
in QED, QCD \rightarrow trace anomaly

$$\hat{T}_\mu^\mu \equiv \frac{\beta(g)}{2g} F^{a,\mu\nu} F^{a,\mu\nu} + (1 + \gamma_m) \sum_q m_q \bar{\psi}_q \psi_q$$

S.L.Adler, J.C.Collins and A.Duncan, PRD **15**, 1712 (1977).

N.K.Nielsen, NPB **120**, 212 (1977).

J.C.Collins, A.Duncan and S.D.Joglekar, PRD **16**, 438 (1977).

Definition Gravitational Form Factors (GFFs) for nucleon

in the $A - B - \bar{c} - D$ notation

$$\langle p' | \hat{\mathbf{T}}_{\mu\nu}^a | p \rangle = \bar{u}(p') \left[\begin{array}{l} \mathbf{A}^a(t, \mu^2) \frac{\gamma_\mu P_\nu + \gamma_\nu P_\mu}{2} \\ + \mathbf{B}^a(t, \mu^2) \frac{i(P_\mu \sigma_{\nu\rho} + P_\nu \sigma_{\mu\rho}) \Delta^\rho}{4M} + \bar{\mathbf{c}}^a(t, \mu^2) g_{\mu\nu} \\ + \mathbf{D}^a(t, \mu^2) \frac{\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2}{4M} \end{array} \right] u(p)$$

EMT conservation: $A(t) = \sum_a A^a(t, \mu^2)$, $B(t)$, $D(t)$ scale invariant, $\sum_a \bar{c}^a(t, \mu^2) = 0$

constraints: **mass** $\Leftrightarrow A(0) = 1 \Leftrightarrow$ quarks + gluons carry 100 % of nucleon momentum

spin $\Leftrightarrow B(0) = 0 \Leftrightarrow$ total anomalous gravitomagnetic moment vanishes *

D-term $\Leftrightarrow D(0) \equiv D \rightarrow$ unconstrained! **Least known global property!**

$$\begin{aligned} 2P &= (p' + p) \\ \Delta &= (p' - p) \\ t &= \Delta^2 \end{aligned}$$

notation: $A^q(t) + B^q(t) = 2 J^q(t)$
 $D^q(t) = \frac{4}{5} d_1^q(t) = \frac{1}{4} C^q(t)$ or $C^q(t)$
 $A^q(t) = M_2^q(t)$

* equivalent to: total nucleon spin $J^q + J^g = \frac{1}{2}$ is due to quarks + gluons (via Gordon identity)

Brief history of GFFs (many notations!)

- 1962 Kobzarev & Okun: $f_1(t), f_2(t), f_3(t), f_4(t), f_5(t), f_6(t)$ (including parity violation)
- 1966 Pagels: spin- $\frac{1}{2}$ $\theta_1(t), \theta_2(t), \theta_3(t)$
- 1980 Novikov, Shifman, Voloshin, Zakharov $\psi' \rightarrow J/\psi \pi\pi$ ↗ triggered modest interest
- 1990 Donoghue, Gasser, Leutwyler “light Higgs” $\rightarrow \pi\pi$ ↗ some more modest interest
- 1995 Ji: mass decomposition ↗ a little more interest (at that time, now much activity!)
- 1997 Ji: nucleon spin decomposition & GPDs $A^q(t), B^q(t), \underbrace{C^q(t)}, \bar{c}^a(t)$ ↗ boom!
- 1999 Polyakov, Weiss: “double distributions” and D -term ↗ $D^a(t)$
- 2003 Polyakov: stress tensor and 3D interpretation in Breit frame ↗ “Druck-term”
- models: 1997 Ji et al (bag), 2007 Goeke et al (chiral quark soliton), ...
- lattice: 2002 Gadiyak et al, 2003 Hägler et al, ... 2019 Shanahan, Detmold
- 2019 Lorcé, Moutarde, Trawiński: elastic frames, 2D interpretation
- 2021 Freese, Miller: more on forces in hadrons on the light front
- 2021 Panteleeva, Polyakov: Abel transforms between 3D \leftrightarrow 2D
- far from complete, tip of iceberg

Measuring in experiment

- **gravitational interaction** in principle, but 10^{39} weaker than em

- **hard-exclusive em reactions & GPDs**

Müller et al Fortsch. Phys. **42**, 101 (1994)

Ji, PRL **78**, 610 (1997); PRD **55**, 7114 (1997)

Radyushkin, PLB **380**, 417, PLB **385**, 333 (1996)

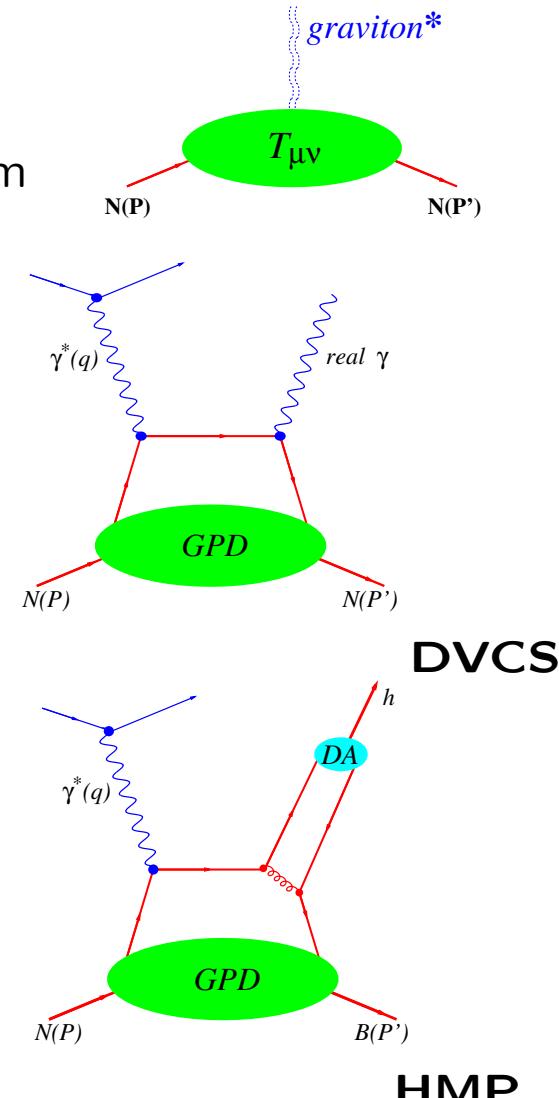
Collins, Frankfurt, Strikman, PRD **56**, 2982 (1997)

$$\begin{aligned} \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle N'(\mathbf{p}') | \bar{\psi}_q(-\frac{\lambda n}{2}) n_\mu \gamma^\mu \mathcal{W}(-\frac{\lambda n}{2}, \frac{\lambda n}{2}) \psi_q(\frac{\lambda n}{2}) | N(\mathbf{p}) \rangle \\ = \bar{u}(p') \left[n_\mu \gamma^\mu \mathbf{H}^q(x, \xi, t) + \bar{u}(p') \frac{i\sigma^{\mu\nu} n_\mu \Delta_\nu}{2M} \mathbf{E}^q(x, \xi, t) \right] u(p) \end{aligned}$$

- **GPDs \rightarrow polynomiality \rightarrow GFFs** (Ji 1997)

$$\int dx x H^q(x, \xi, t) = A^q(t) + \xi^2 D^q(t)$$

$$\int dx x E^q(x, \xi, t) = B^q(t) - \xi^2 D^q(t)$$



DVCS

HMP

CFF

→ talks by Simonetta Liuti, Kyugseon Joo, Volker Burkert

Applications of GFFs

- proton mass decomposition $\rightsquigarrow A^a(0), \bar{c}^a(0)$ \rightsquigarrow entire workshops
Ji 1995, Hatta et al 2018, Tanaka 2019, Metz et al, Rodini et al 2020, Lorcé et al 2021, Ji 2021
- proton spin decomposition $\rightsquigarrow J^a(t)$ \rightsquigarrow even more workshops
Ji 1996, many works, Leader & Lorcé 2014, many works, Ji, Yuan, Zhao 2021
- D -term $\rightsquigarrow D(0)$ \rightsquigarrow “last unknown global property” of proton
slowly becoming “least known” global property \rightsquigarrow this talk
- mechanical properties of the nucleon $\rightsquigarrow D(t)$
very first insights \rightsquigarrow this talk

What means “*D*-term is least known global property”?

$|N\rangle$ = **strong**-interaction particle. Use other forces to probe it! Simplest observables:

em: $\partial_\mu J_{\text{em}}^\mu = 0$ $\langle N' | J_{\text{em}}^\mu | N \rangle$ \rightarrow $G_E(t), G_M(t)$ \rightarrow Q, μ, \dots

weak: PCAC $\langle N' | J_{\text{weak}}^\mu | N \rangle$ \rightarrow $G_A(t), G_P(t)$ \rightarrow g_A, g_p, \dots

gravity: $\partial_\mu T_{\text{grav}}^{\mu\nu} = 0$ $\langle N' | T_{\text{grav}}^{\mu\nu} | N \rangle$ \rightarrow $A(t), B(t), D(t)$ \rightarrow M, J, D, \dots

global properties:

$$\begin{aligned} Q_p &= 1.602176487(40) \times 10^{-19} \text{C} \\ \mu_p &= 2.792847356(23) \mu_N \\ g_A &= 1.2694(28) \\ g_P &= 8.06(0.55) \\ M_p &= 938.272013(23) \text{ MeV} \\ J_p &= \frac{1}{2} \\ \textcolor{blue}{D} &= ? \end{aligned}$$

first insights on D of π^0 and proton

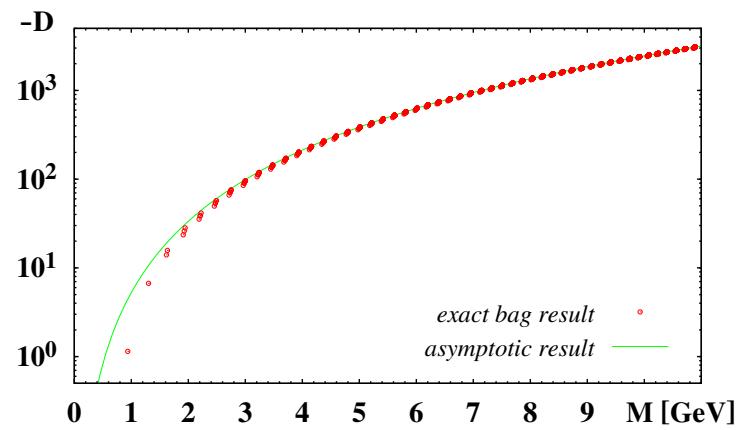
Kumano, Song, Teryaev, PRD97, 014020 (2018)

Burkert, Elouadrhiri, Girod, Nature 557, 396 (2018)

Selected theory results on the D -term

- **free spin- $\frac{1}{2}$ theory** $D = 0$ Donoghue et al (2002), Hudson, PS (2018)
- **Goldstone bosons** chiral symmetry breaking $D = -1$
Novikov, Shifman; Voloshin, Zakharov (1980); Polyakov, Weiss (1999)
- **nuclei** (liquid drop model, Walecka model) $D \propto A^{7/3} \rightarrow$ DVCS with nuclei!
Polyakov (2002), Guzey, Siddikov (2006); Liuti, Taneja (2005)
- **Q -balls** N^{th} excited Q -ball state: $M \propto N^3$ but $D \propto N^8$ Mai, PS (2012)
- **nucleon, bag model** $D = -1.15 < 0$ Ji, Melnitchouk, Song (1997)
- **chiral quark soliton** Goeke et al, PRD75 (2007) (see next slides)
- **χ PT** Belitsky, Ji (2002), Alharazin, Djukanovic, Gegelia, Polyakov PRD102 (2020) 7, 076023
- **lattice QCD** Göckeler et al, PRL92 (2004), ...
Shanahan, Detmold (2019)
- **dispersion relations**
Pasquini, Polyakov, Vanderhaeghen (2014)
- **excited states**
in bag model Neubelt et al (2019)
- **review** Polyakov and PS, (2018)

of all properties, D -term most sensitive
details of interaction \Rightarrow **dynamics!**



Experimental results on GFFs

- determining $A^a(t)$, $B^a(t)$ of nucleon from data difficult \rightsquigarrow not possible to “deconvolute” $CFF = \text{Re}\mathcal{H}(\xi, t) + i\text{Im}\mathcal{H}(\xi, t) = \sum_q e_q^2 \int_{-1}^1 dx \left[\frac{1}{\xi-x-i\epsilon} - \frac{1}{\xi+x-i\epsilon} \right] H_q(x, \xi, t)$ to get GPDs

- for $D^a(t)$ situation somewhat better \rightsquigarrow fixed- t dispersion relation
 $\text{Re}\mathcal{H}(\xi, t) = \Delta(t, \mu^2) + \frac{1}{\pi} \text{P.V.} \int_0^1 d\xi' \left[\frac{1}{\xi-\xi'} - \frac{1}{\xi+\xi'} \right] \text{Im}\mathcal{H}(\xi', t)$ and $\Delta(t, \mu^2) \xrightarrow{\mu^2 \rightarrow \infty} 5 \sum_q e_q^2 D^q(t, \mu^2) + \dots$
 Anikin & Teryaev 2008, Diehl & Ivanov 2007

- proton $D^q(t)$ from JLab DVCS data

Burkert, Elouadrhiri, Girod, Nature 557, 396 (2018)

assume corrections small (NLO, $\mathcal{E}(\xi, t)$, d_3^q , d_5^q , ...)

$\text{Im}\mathcal{H}$ \rightsquigarrow BSA Girod et al PRL 100 (2008) 162002

$\text{Re}\mathcal{H}$ \rightsquigarrow σ_{unp} Jo et al PRL 115 (2015) 212003

fit: $\Delta(0, 1.5 \text{ GeV}^2) = -2.27 \pm 0.16 \pm 0.36$

$M^2 = 1.02 \pm 0.13 \pm 0.21 \text{ GeV}^2$

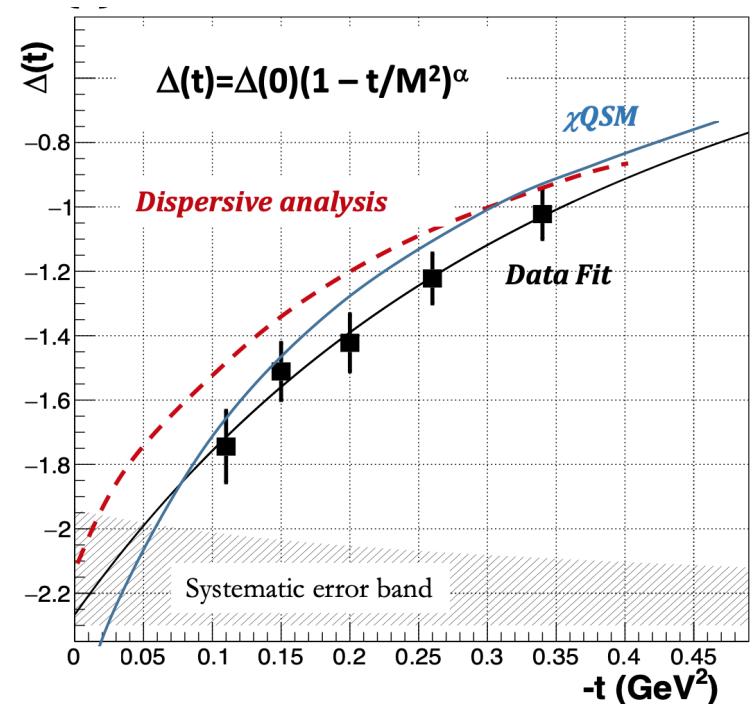
$\alpha = 2.76 \pm 0.23 \pm 0.48$

at $\langle Q^2 \rangle = 1.5 \text{ GeV}^2$

$D_{u+d}(0) = -1.63 \pm 0.11 \pm 0.26 + \text{syst using large } N_c$

cf. chiral quark soliton model (χ QSM) Goeke et al 2008, dispersion relations Pasquini et al 2014

lattice (gluon Shanahan, Detmold 2019); fits: KM 2015, Kumerički Nature 2019 \rightsquigarrow JLab 22 GeV



- D -term of π^0

EMT form factors of unstable particles

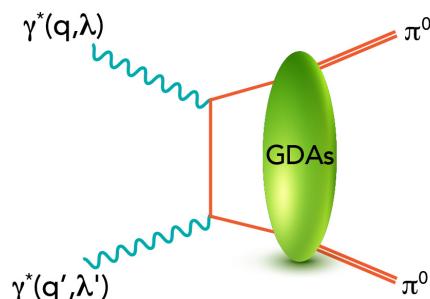
generalized distribution amplitudes (analyt. cont. of GPDs)

in $\gamma\gamma^* \rightarrow \pi^0\pi^0$ in e^+e^- Belle, PRD 93, 032003 (2016)

$D_{\pi^0}^Q \approx -0.7$ at $\langle Q^2 \rangle = 16.6 \text{ GeV}^2$

Kumano, Song, Teryaev, PRD97, 014020 (2018)

compatible with soft pion theorem: total $D_{\pi^0} = -1$ for $m_\pi \rightarrow 0$



- gluon GFFs of proton

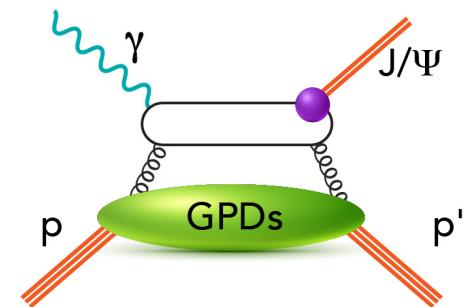
~~ threshold J/ψ photo-production

Kharzeev 1995, 2021; Guo et al 2021

factorization for heavy vector mesons Ivanov et al, 2004,

does it apply at threshold? Sun, Tong, Yuan 2021

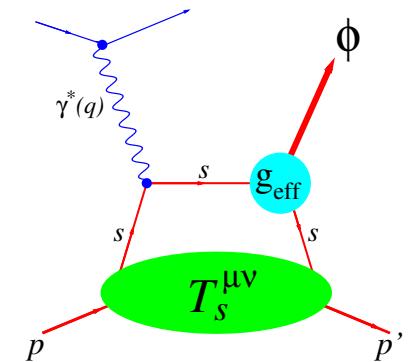
→ Monday talks by Kiminad Mamo and Lubomir Pentchev



- $D^s(t)$ of proton from $ep \rightarrow e'p'\phi$ at threshold

effective approach (not colinear factorization)

Hatta and Strikman 2021 → talk by Mark Strikman, Wednesday(?)



Interpretation of GFFs

- **static 3D EMT** in Breit frame $\Delta^\mu = (0, \vec{\Delta})$ $T_{\mu\nu}(\vec{r}) = \int \frac{d^3 \vec{\Delta}}{2E(2\pi)^3} e^{-i\vec{\Delta}\cdot\vec{r}} \langle P' | \hat{T}_{\mu\nu} | P \rangle$
M.V.Polyakov, PLB 555 (2003) 57

$$\int d^3r T_{00}(\vec{r}) = M \quad \text{known}$$

$$\int d^3r \varepsilon^{ijk} s_i r_j T_{0k}(\vec{r}, \vec{s}) = \frac{1}{2} \quad \text{known}$$

$$-\frac{2}{5} M \int d^3r \left(r^i r^j - \frac{r^2}{3} \delta^{ij} \right) T_{ij}(\vec{r}) \equiv D \quad \text{new!}$$

with: $T_{ij}(\vec{r}) = s(r) \left(\frac{r_i r_j}{r^2} - \frac{1}{3} \delta_{ij} \right) + p(r) \delta_{ij}$ **stress tensor**

$s(r)$ related to distribution of *shear forces*
 $p(r)$ distribution of *pressure* inside hadron } \rightarrow “mechanical properties”

- **relation to stability:** EMT conservation $\Leftrightarrow \partial^\mu \hat{T}_{\mu\nu} = 0 \Leftrightarrow \nabla^i T_{ij}(\vec{r}) = 0$

\hookrightarrow necessary condition for stability $\int_0^\infty dr \mathbf{r}^2 p(r) = 0$ (von Laue, 1911)

$$D = -\frac{16\pi}{15} M \int_0^\infty dr r^4 s(r) = 4\pi M \int_0^\infty dr \mathbf{r}^4 p(r) \rightarrow$$
 balance of internal forces

- 2D interpretation Lorcé et al (2019); Freese, Miller (2021)

Abel transformations Panteleeva, Polyakov (2021)

mechanical radius

- $T_{ij}(\vec{r}) = s(r) \left(\frac{r_i r_j}{r^2} - \frac{1}{3} \delta_{ij} \right) + p(r) \delta_{ij}$ = symmetric 3×3 matrix \rightarrow diagonalize:
 $\frac{2}{3} s(r) + p(r)$ = normal force (eigenvector \vec{e}_r) \rightsquigarrow **positive definite!**
 $-\frac{1}{3} s(r) + p(r)$ = tangential force ($\vec{e}_\theta, \vec{e}_\phi$, degenerate for spin 0 and $\frac{1}{2}$)
 - **mechanical stability** \Leftrightarrow normal force directed towards outside
 $\Leftrightarrow T^{ij} e_r^j dA = \underbrace{[\frac{2}{3} s(r) + p(r)]}_{>0} e_r^i dA \Rightarrow D < 0$ Perevalova et al (2016)
 - $\langle r^2 \rangle_{\text{mech}} = \frac{\int d^3r \ r^2 [\frac{2}{3} s(r) + p(r)]}{\int d^3r [\frac{2}{3} s(r) + p(r)]} = \frac{6D(0)}{\int_{-\infty}^0 dt D(t)}$ vs $\langle r_{\text{ch}}^2 \rangle = \frac{6G'_{E,p}(0)}{G_{E,p}(0)}$ “anti-derivative”
 - proton: $\langle r^2 \rangle_{\text{mech}} \approx 0.75 \langle r_{\text{ch}}^2 \rangle$ from chiral quark soliton model, Goeke et al 2007 (\rightarrow V. Burkert)
 - neutron: same $\langle r^2 \rangle_{\text{mech}}$ as proton while $\langle r_{\text{ch}}^2 \rangle_{\text{neut}} = -(0.11 \text{ fm})^2$ insightful, but not particle size!
 - in chiral limit $\langle r^2 \rangle_{\text{mech}}$ finite (!) vs $\langle r_{\text{ch}}^2 \rangle$ divergent
- \Rightarrow **mechanical radius better concept for particle size than electric charge radius**

2D vs 3D interpretation

- **3D density** not exact, “relativistic corrections” for $r \lesssim \lambda_{\text{Compt}} = \frac{\hbar}{mc}$
2D densities exact partonic probability densities
known since earliest days:
 - Yennie, Levy, Ravenhall, Rev. Mod. Phys. 29 (1957) 144
 - Sachs, Physical Review 126 (1962) 2256
 - Belitsky, Radyushkin, Phys. Rept. 418, 1 (2005), Sec. 2.2.2
 - X.-D. Ji, PLB254 (1991) 456 (Skyrme model, not a big effect)
 - G. Miller, PRC80 (2009) 045210 (toy model, very dramatic effect)
 - Lorcé, PRL 125 (2020) 232002 **quasi-probabilistic phase-space average á la Wigner**
 - Jaffe, arXiv:2010.15887 (not possible to measure spatial dependence of nucleon matrix elements)
 - Freese, Miller, 2102.01683 (expectation value of a local operator within spatially-localized state)
 - Epelbaum, Gegelia, Lange, Meißner, Polyakov, arXiv:2201.02565
- **2D densities = partonic probability densities** (unitarity)
must (and better be) exact! → M. Burkardt (2000)
apply to any particle (including the light pion)

3D densities = mechanical response functions
correlation functions (\neq probabilities!)
if corrections “reasonably small” → we do not need to worry

relative correction for $\langle r_E^2 \rangle = \int d^3r r^2 T_{00}(r)/m$ is $\delta_{\text{rel}} = 1/(2m^2 R^2)$ Hudson, PS PRD (2007)

numerically $\underbrace{\text{pion}}_{220\%}$, $\underbrace{\text{kaon}}_{25\%}$, $\underbrace{\text{nucleon}}_{3\%}$, $\underbrace{\text{deuterium}}_{1 \times 10^{-3}}$, $\underbrace{{}^4\text{He}}_{5 \times 10^{-4}}$, $\underbrace{{}^{12}\text{C}}_{3 \times 10^{-5}}$, $\underbrace{{}^{20}\text{Ne}}_{6 \times 10^{-6}}$, $\underbrace{{}^{56}\text{Fe}}_{5 \times 10^{-7}}$, $\underbrace{{}^{132}\text{Xe}}_{6 \times 10^{-8}}$, $\underbrace{{}^{208}\text{Pb}}_{2 \times 10^{-8}}$

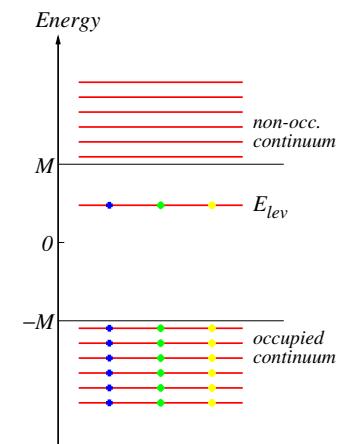
- for nucleon 3D description strictly justified in large- N_c limit
S. Coleman: “ $1/N_c$ only small parameter in QCD at all energies” (in Aspects of Symmetry)
- **important:** **nucleon mass → heavy, quark mass → anything**

illustration in chiral quark-soliton model

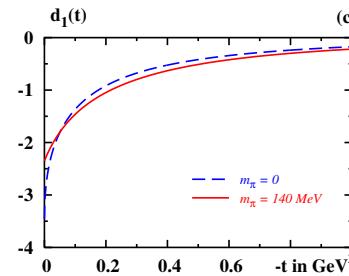
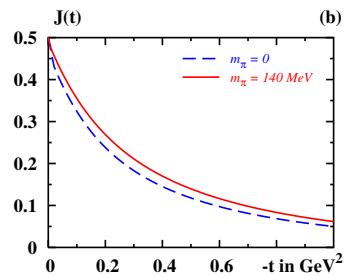
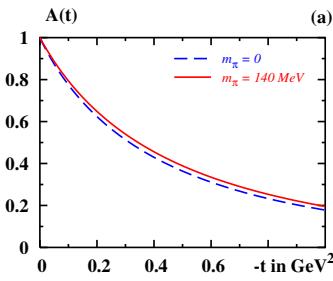
- $\mathcal{L}_{\text{eff}} = \bar{\Psi} (i \not{\partial} - M U^{\gamma_5}) \Psi, \quad U = \exp(i \tau^a \pi^a / f_\pi)$
 Diakonov, Petrov, Pobylitsa, NPB 306, 809 (1988)

solve in large- N_c limit, where $U(x) \rightarrow U(\vec{x})$ static mean field
 Witten NPB 223 (1983) 433

Hamiltonian $H = -i\gamma^0\gamma^i\nabla^i + \gamma^0 M U^{\gamma_5}$ with $H\Phi_n(\vec{x}) = E_n\Phi_n(\vec{x})$
 spectrum discrete level and continua



$$\begin{aligned}
 \langle N' | \hat{T}_{\mu\nu} | N \rangle &= \bar{u}(\vec{p}') \left[\frac{P_\mu P_\nu}{M_N} A(t) + \frac{i(P_\mu \sigma_{\nu\rho} + P_\nu \sigma_{\mu\rho}) \Delta^\rho}{2M_N} J(t) + \frac{\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2}{4M_N} D(t) \right] u(\vec{p}) \\
 &= \lim_{T \rightarrow \infty} \frac{\int \mathcal{D}\Psi \mathcal{D}\bar{\Psi} \mathcal{D}U J_N(\frac{T}{2}) \hat{T}_{\mu\nu} J_N^\dagger(-\frac{T}{2}) e^{-\int d^4x_E \mathcal{L}_{\text{eff}}}}{\int \mathcal{D}\Psi \mathcal{D}\bar{\Psi} \mathcal{D}U J_N(\frac{T}{2}) J_N^\dagger(-\frac{T}{2}) e^{-\int d^4x_E \mathcal{L}_{\text{eff}}}} \\
 &= 2M_N \int d^3x e^{i(\vec{p}' - \vec{p})\vec{x}} N_c \sum_n \bar{\Phi}_n(\vec{x}) (i\gamma^\mu \partial^\nu + i\gamma^\nu \partial^\mu) \Phi_n(\vec{x}) + \mathcal{O}(1/N_c) \\
 &= 2M_N \int d^3r e^{i\vec{\Delta}\vec{r}} T_{\mu\nu}(\vec{r}) + \mathcal{O}(1/N_c^2) \quad \text{Goeke et al, PRD75 (2007) 094021}
 \end{aligned}$$



recall $2J(t) = A(t) + B(t)$, $d_1(t) = \frac{4}{5} D(t)$

visualization of forces

- liquid drop model for large nuclei

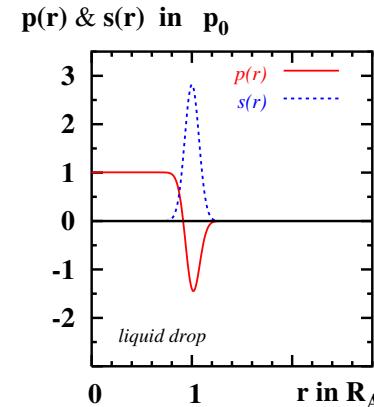
$$p(r) = p_0 \Theta(R_A - r) - \frac{1}{3} p_0 R_A \delta(r - R_A), \quad s(r) = \gamma \delta(r - R_A)$$

$$R_A = R_0 A^{1/3}, \quad m_A = m_0 A, \quad \text{surface tension } \gamma = \frac{1}{2} p_0 R_A$$

$$D\text{-term } D = -\frac{4\pi}{3} m_A \gamma R_A^4 \approx -0.2 A^{7/3}$$

M.V.Polyakov PLB555 (2003)

Guzey, Siddikov (2006); Liuti, Taneja (2005)

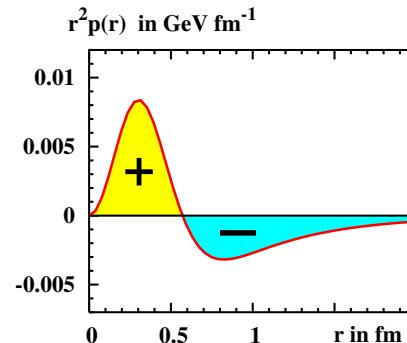


- chiral quark soliton model

Goeke et al, PRD75 (2007) 094021

$$p(r) > 0 \text{ for } r > r_0 = 0.57,$$

$$p(r) < 0 \text{ for } r < r_0$$

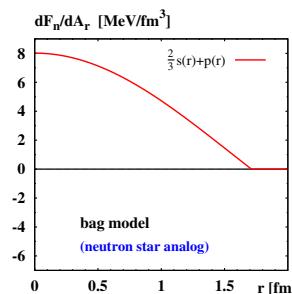


- bag model

Neubelt et al PRD101 (2020) 034013

normal force directed towards outside

$$dF_n^i = T^{ij} e_r^j dA_r = \underbrace{\left[\frac{2}{3} s(r) + p(r) \right]}_{>0} e_r^i dA_r$$



- first visualization based on data → talk by Volker Burkert
role of gluons → lattice studies → Shanahan, Detmold 2019
many model interesting studies; interesting include Coulomb forces, D -term divergent Kubis & Meissner (2000), Donoghue et al (2002), Varma & PS (2020), Metz et al (2021)

Conclusions

- **EMT** crucial operator \rightsquigarrow gravitational form factors (GFFs)
important applications: mass & spin decompositions + more!
- **measurability** $A(t)$ and $B(t)$ difficult (cannot “invert CFF”)
 $D(t)$ from fixed- t dispersion relation more directly and less model-dependently
- **crucial: scale dependence + wide range of ξ** \rightsquigarrow measurement at different energies
EIC good energies but ξ -coverage? Crucial compare JLab 6, **JLab 12**, **JLab 22**
- **D -term least known** global property, for fermions generated dynamically
negative: Goldstone bosons, models, dispersion relations, lattice QCD, experiment
- **3D interpretation** strictly correct in large N_c and intuitive (pressure is 3D!)
2D formalism can be given a meaning, mathematically equivalent (Abel transform)
- **mechanical stability** \rightsquigarrow normal force $\frac{2}{3} s(r) + p(r)$ positive definite
more than analogy & fully consistent
- **visualization of internal forces**
appealing and insightful application
- **mechanical radius** $\langle r_p^2 \rangle_{\text{mech}} = \langle r_n^2 \rangle_{\text{mech}}$
smaller than $\langle r_p^2 \rangle_{\text{el}}$ in physical situation, finite in chiral limit
- **mechanical properties** \rightsquigarrow many fascinating lessons to learn about hadrons

Thank you!

Support slides

D-term in theory

- free spin-0 particle $D = -1$

Pagels 1966; Hudson, PS 2017

- free spin $\frac{1}{2}$ particle $D = 0$

Donoghue et al, (2002), Hudson, PS PRD97 (2018) 056003

- Goldstone bosons chiral symmetry breaking $D = -1$

Novikov, Shifman; Voloshin, Zakharov (1980); Polyakov, Weiss (1999)

$$\begin{aligned} D_\pi &= -1 + 16a \frac{m_\pi^2}{F^2} + \frac{m_\pi^2}{F^2} I_\pi - \frac{m_\pi^2}{3F^2} I_\eta + \mathcal{O}(E^4) \\ D_K &= -1 + 16a \frac{m_K^2}{F^2} + \frac{2m_K^2}{3F^2} I_\eta + \mathcal{O}(E^4) \\ D_\eta &= -1 + 16a \frac{m_\eta^2}{F^2} - \frac{m_\pi^2}{F^2} I_\pi + \frac{8m_K^2}{3F^2} I_K + \frac{4m_\eta^2 - m_\pi^2}{3F^2} I_\eta + \mathcal{O}(E^4) \end{aligned}$$

$$a = L_{11}(\mu) - L_{13}(\mu)$$

$$I_i = \frac{1}{48\pi^2} (\log \frac{\mu^2}{m_i^2} - 1)$$

$$i = \pi, K, \eta.$$

$$D_\pi = -0.97 \pm 0.01$$

$$D_K = -0.77 \pm 0.15$$

$$D_\eta = -0.69 \pm 0.19$$

Donoghue, Leutwyler (1991)
estimates: Hudson, PS (2017)

- **nuclei** (liquid drop model, Walecka model) $D \approx -0.2 \times A^{7/3} \rightarrow$ DVCS with nuclei!

Polyakov (2002),
 Guzey, Siddikov (2006);
 Liuti, Taneja (2005)

^{12}C	:	$D = -6.2$
^{16}O	:	$D = -115$
^{40}Ca	:	$D = -1220$
^{90}Zr	:	$D = -6600$
^{208}Pb	:	$D = -39000$

- **Q -balls** N^{th} excited Q -ball state: mass $M \propto N^3$ but $D \propto N^8$

Mai, PS PRD86, 096002 (2012)

- **nucleon, bag model** $D = -1.15 < 0$

Ji, Melnitchouk, Song (1997)

- **chiral quark soliton**

Goeke et al, PRD75 (2007)

$$d_1(m_\pi) = \overset{\circ}{d}_1 + \frac{5 k g_A^2 M}{64 \pi f_\pi^2} m_\pi + \dots$$

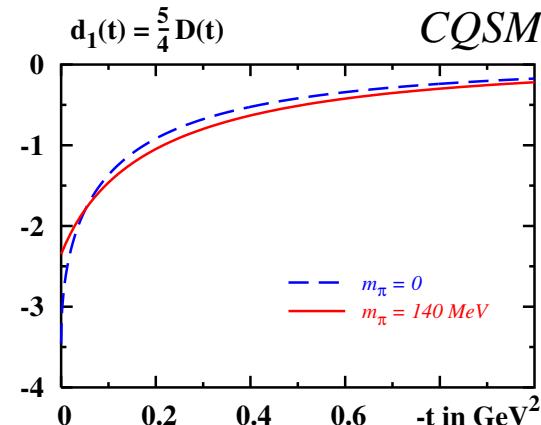
$$\overset{\circ}{d}'_1(0) = -\frac{k g_A^2 M}{32 \pi f_\pi^2 m_\pi} + \dots \quad k = \begin{cases} 1, & N_c \text{ finite} \\ 3, & N_c \rightarrow \infty \end{cases}$$

- **χPT**

Belitsky, Ji (2002), Diehl et al (2006),
 Alharazin, Djukanovic, Gegelia, Polyakov PRD102 (2020) 7, 076023

- **non-relativistic limit** $D = -N_c^2 \frac{4\pi^2 - 15}{45} = -4.89$

Neubelt et al (2019) (in bag)



- **lattice:** QCDSF

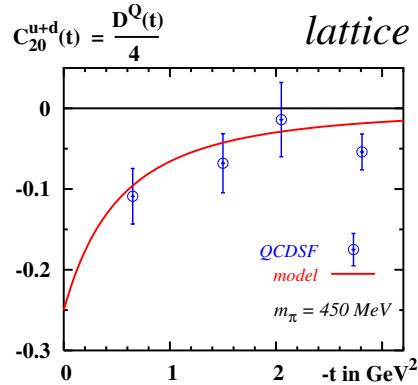
Göckeler et al, PRL92 (2004)

$\mu = 2 \text{ GeV}$, $m_\pi = 450 \text{ MeV}$

disconnected diagrams neglected recently:

$D^g(t) < 0$ with $|D^g(t)| > |D^Q(t)|$

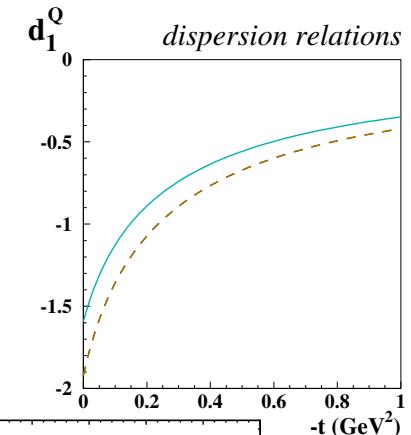
Shanahan, Detmold, PRD99 (2019)



- **dispersion relations** $d_1^Q(t) = \frac{5}{4} D^Q(t)$

Pasquini, Polyakov, Vanderhaeghen (2014)

pion PDFs are input, scale $\mu^2 = 4 \text{ GeV}^2$

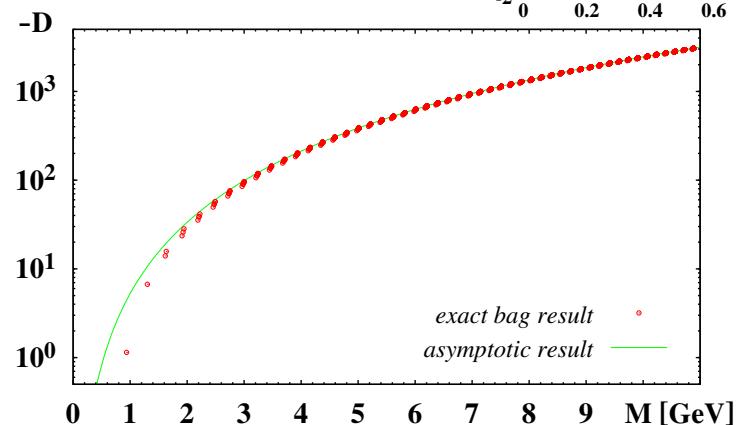


- **excitated states**

in bag model Neubelt et al (2019)

M over 1 order of magnitude

D over 3 orders of magnitude



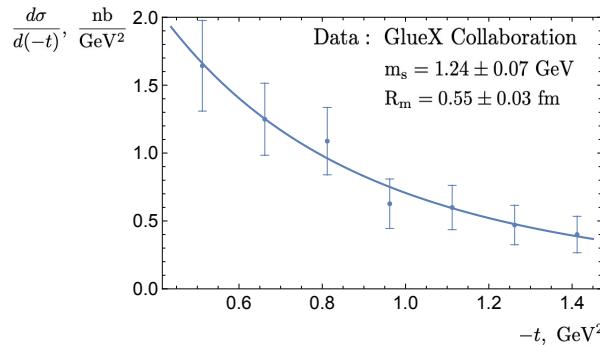
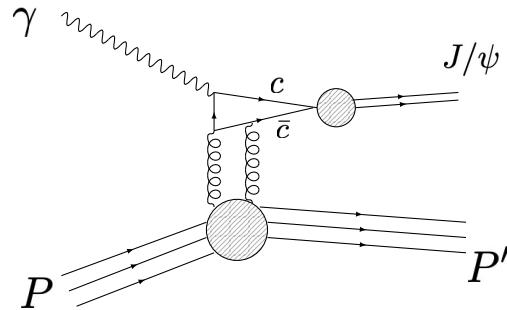
of all properties, D -term most sensitive (parameters, excitations)

⇒ dynamics!

keep in mind: free spin $\frac{1}{2}$ theory $\rightarrow D = 0$;

i.e. D -term of nucleon due to dynamics!

- form factor of $\hat{T}_\mu^\mu = \frac{\beta(g)}{2g} F^2 + \mathcal{O}(m_q)$ from J/ψ photoproduction at threshold
Hatta 2019, Kharzeev 2021



GlueX PRL 123, 072001 (2019).

$$\sqrt{\langle r_{\text{trace}}^2 \rangle} = 0.55 \pm 0.03 \text{ fm} < \text{charge radius} \sim 0.84 \text{ fm}$$

$$\sqrt{\langle r_{\text{traceless}}^2 \rangle_g} \sim (0.3-0.35) \text{ fm} \text{ of } A^g(t) = A^g(0) + \frac{1}{6} t \langle r_{\text{traceless}}^2 \rangle_g + \dots \text{ from QCD sum rules}$$

Braun, Górnicki, Mankiewicz, Schäfer, PLB 302, 291 (1993)

explanation:

$\langle r_{\text{trace}}^2 \rangle_g$ due to one-instanton contributions, vs $\langle r_{\text{traceless}}^2 \rangle_g$ from instanton-anti-instanton i.e. suppressed by instanton packing fraction Diakonov, Polyakov, Weiss (1996)

relation to other EMT form factors:

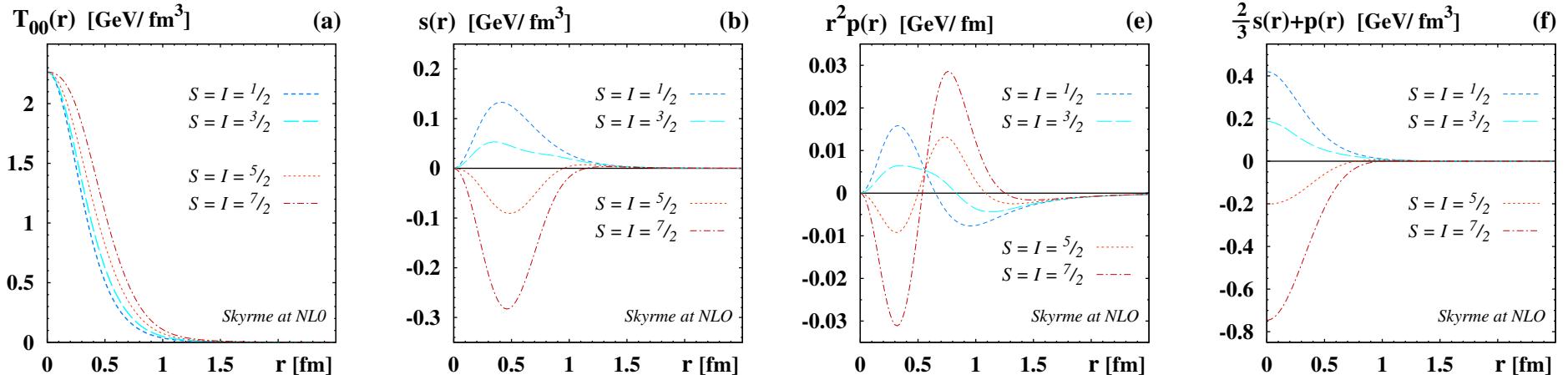
form factor $\langle p' | \hat{T}^\mu_\mu | p \rangle = \bar{u}(p') u(p) F_{\text{tr}}(t)$ where $F_{\text{tr}}(t) = 1 + \frac{1}{6} t \langle r_{\text{tr}}^2 \rangle + \mathcal{O}(t^2)$

$$F_{\text{tr}}(t) = A(t) + \frac{t}{4M^2} B(t) - \frac{3t}{4M^2} D(t) = 1 + t \left(\frac{dA(0)}{dt} - \frac{3D}{4M^2} \right) + \mathcal{O}(t^2)$$

$$\langle r_{\text{trace}}^2 \rangle = 6 A'(0) - \frac{9D}{2M^2} \quad \text{"mass radius"}$$

Skyrme model nucleon, Δ vs large- N_c artifacts Witten 1979

- in large N_c baryons = rotational excitations of soliton with $S = I = \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots$
- observed artifacts



$$M_B = M_{\text{sol}} + \frac{S(S+1)}{2\Theta}$$

nucleon $s(r) \neq \gamma\delta(r-R)$
 Δ much more diffuse

\Rightarrow particles with positive D unphysical!!!

$$\int_0^\infty dr r^2 p(r) = 0$$

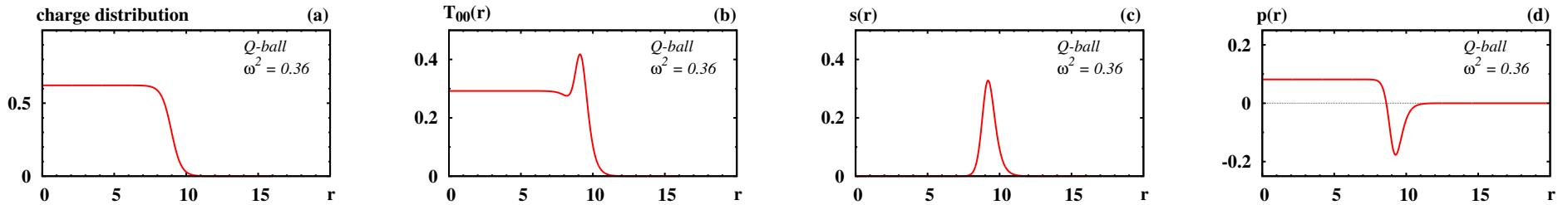
stability requires:
 $p(r) > 0$ in center,
negative outside
okay for nucleon, Δ
 \implies implies $D < 0$

mechanical stability
 $T^{ij} da^j \geq 0$
 $\Leftrightarrow \frac{2}{3} s(r) + p(r) \geq 0$
artifacts do not satisfy!
 \Rightarrow have positive D -term!
So do not exist!
dynamical understanding
Perevalova et al (2016)

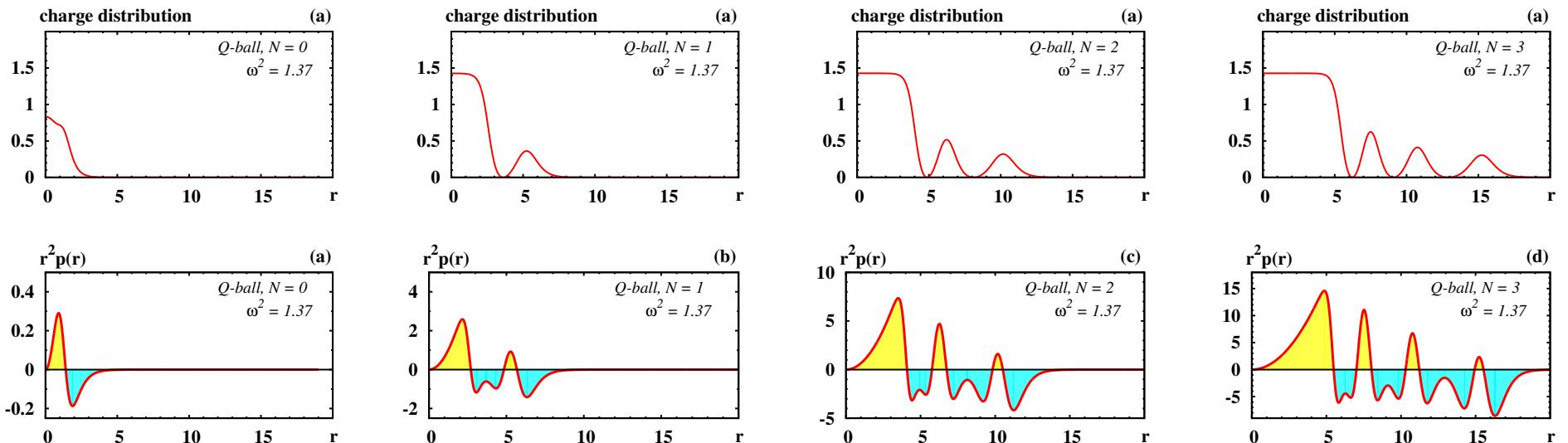
Q -balls $\mathcal{L} = \frac{1}{2} (\partial_\mu \Phi^*) (\partial^\mu \Phi) - V$, $V = A (\Phi^* \Phi) - B (\Phi^* \Phi)^2 + C (\Phi^* \Phi)^3$

global U(1) symmetry, solution $\Phi(t, \vec{r}) = e^{i\omega t} \phi(r)$

- ground state properties for large Q -ball



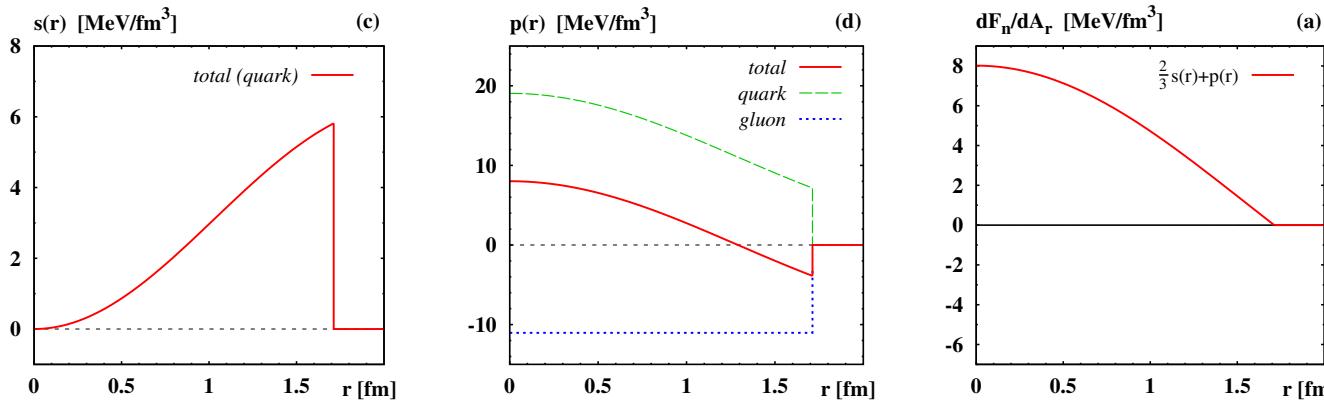
- excitations: $N = 0$ ground state, $N = 1$ first excited state, etc [Volkov, Wohner 2002; Mai, PS 2012](#)
charge density exhibits N shells, $p(r)$ exhibits $(2N + 1)$ zeros



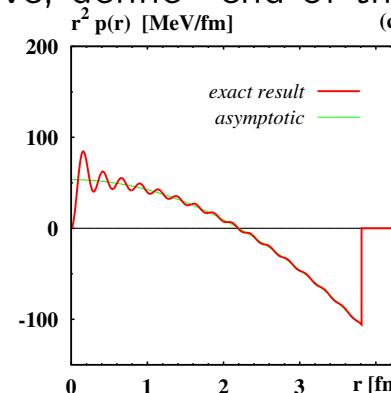
excited states unstable, but $\int_0^\infty dr r^2 p(r) = 0$ always valid, and D -term always negative!

bag model Neubelt, Sampino, Hudson, Tezgin, PS, PRD101 (2020) 034013

- free quarks + boundary condition, formulated in large- N_c
- $T^{\mu\nu}(r) = T_{\text{quarks}}^{\mu\nu}(r) + T_{\text{bag}}^{\mu\nu}(r)$
- $T_{\text{bag}}^{\mu\nu}(r) = B \Theta(R - r) g^{\mu\nu}$ binding effect (“mimics gluons” Jaffe & Ji 1991)
- all densities defined with Θ -functions, assume non-zero values at $r = R$



- only exception:
the normal force $= \frac{2}{3}s(r) + p(r) > 0$ for $r < R$, becomes exactly zero at $r = R$
- this is how one determines the radius of a neutron star:
solve Tolman-Oppenheimer-Volkoff equations with an “equation of state”
where “radial pressure” $\frac{2}{3}s(r) + p(r)$ turns negative, define “end of the system”
- excited states different pattern than Q -balls:
 $p(r)$ has one node (here 3163th excited state)
but $D \sim \text{const} \times M^{8/3}$ bag & Q -balls
deeper reason?



D-term in the presence of long-range forces

Simple relativistic classical model of a finite size particle Białynicki-Birula, Phys. Lett. A 182 (1993) 346

non-interacting “dust particles” within R described by phase-space distribution $\Gamma(\vec{r}, \vec{p}, t)$ feel 3 forces:

- massive scalar field force (attractive, mass m_S , short range $\sim \frac{1}{r} e^{-m_s r}$)
- massive vector field force (repulsive, mass $m_V > m_S$, even shorter range $\sim \frac{1}{r} e^{-m_V r}$)
- massless vector field force (repulsive, Coulomb force, infinite range $\sim \frac{1}{r}$)

$$\begin{aligned} [(m - g_S \phi)(\partial_t + \vec{v} \cdot \vec{\nabla}_r) + m \vec{F} \cdot \vec{\nabla}_p] \Gamma(\vec{r}, \vec{p}, t) &= 0, \\ \partial_\alpha G^{\alpha\beta} + m_V^2 V^\beta &= g_V j^\beta, \\ (\square + m_S^2) \phi &= g_S \rho, \\ \partial_\alpha F^{\alpha\beta} &= e j^\beta, \end{aligned}$$

with $j^\alpha(\vec{r}, t) = \int \frac{d^3 p}{E_p} p^\alpha \Gamma(\vec{r}, \vec{p}, t)$, $\rho(\vec{r}, t) = \int \frac{d^3 p}{E_p} m \Gamma(\vec{r}, \vec{p}, t)$. relativistically invariant.

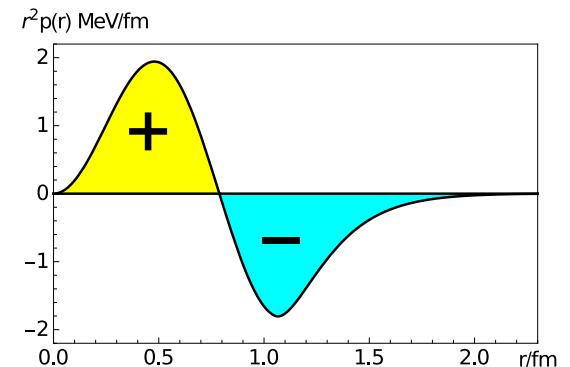
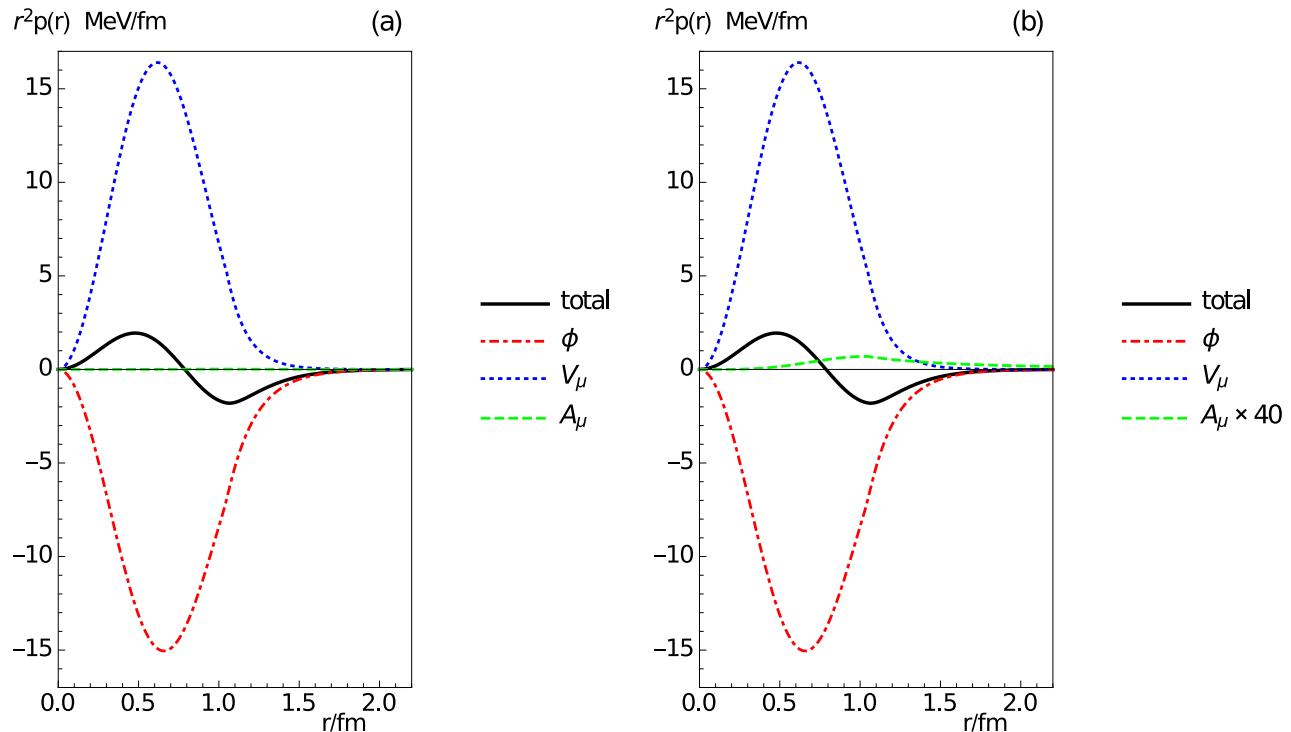
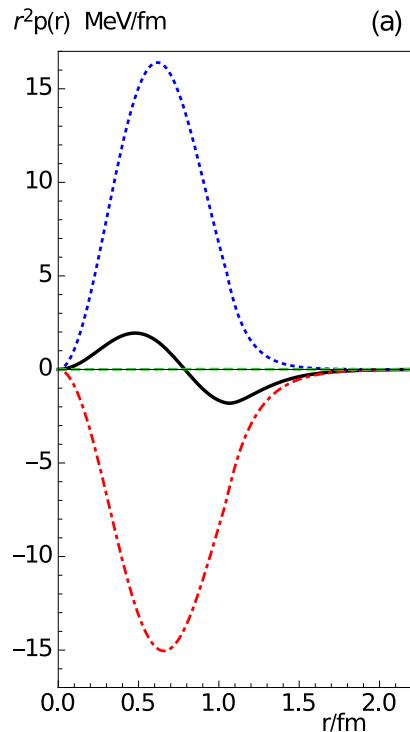
parameters from model QHD-I of the mean field theory of nuclear matter Serot, Walecka (1986)

$$m_S = 550 \text{ MeV}, \quad m_V = 783 \text{ MeV}, \quad \frac{g_S^2}{4\pi\hbar c} = 7.29, \quad \frac{g_V^2}{4\pi\hbar c} = 10.84, \quad \alpha = \frac{e^2}{4\pi\hbar c} = \frac{1}{137},$$

Can be solved analytically, describes particle of charge radius 0.71 fm (“proton”) Białynicki-Birula (1993)

We use it to investigate in consistent framework effects of long-range forces Varma, PS (2020)

- usual features in inner region $r < 2 \text{ fm}$



strong forces (scalar and vector fields ϕ and V^μ) make large contributions about $10 \times$ smaller than in chiral quark soliton ("residual nuclear forces") Coulomb field minuscule contribution, hardly visible

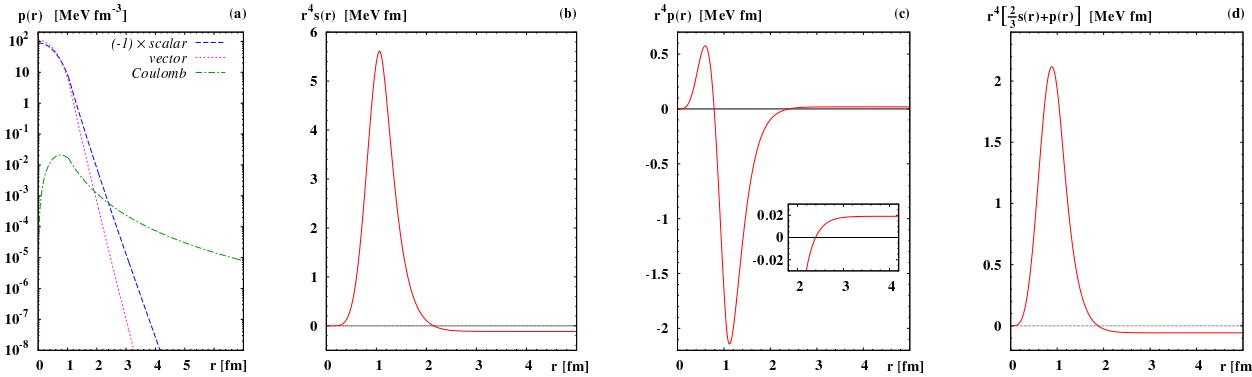
$p(r)$ exhibits node at $r = 0.788 \text{ fm}$, balance of forces:

$$\int dr r^2 p_i(r) = \begin{cases} -10.916 \text{ MeV} & \text{for } i = \text{scalar,} \\ +10.891 \text{ MeV} & \text{for } i = \text{vector,} \\ + 0.025 \text{ MeV} & \text{for } i = \text{Coulomb.} \end{cases}$$

So far, same picture as in systems with short-range forces.

But we are looking at the region of $r < 2 \text{ fm}$. Let's look more closely at larger $r \dots$

- unusual features in outer region $r > 2 \text{ fm}$



- at large $r > 2 \text{ fm}$, Coulomb contribution takes over! Consequences!!
- shear forces $s(r)$ exhibit a node (in short-range systems $s(r) > 0$)
 $p(r)$ has 2nd node at 2.4 fm (short-range systems one node)
normal force turns negative (in short range systems > 0)
- model is still mechanically stable:
dust particles within $R = 1.05 \text{ fm}$
where features “as usual”
- D -term is affected by that ...
(most sensitive to dynamics!!)

- consequences for D -term

$$D(t) = (\text{regular strong part}) + \frac{\alpha}{\pi} \left(-\frac{11}{18} + \frac{\pi^2 M}{4\sqrt{-t}} + \frac{2}{3} \log \frac{(-t)}{M^2} \right) \quad \text{QED part model-independent!}$$

- from QED diagrams [Donoghue, Holstein, Garbrecht, Konstandin](#),
- long-range tail of densities \Leftrightarrow small- t behavior of $D(t)$
due to exchange of massless photons (also the “classical Coulomb potential”)
- model independent features, seen in
[Kubis, Meissner, Nucl. Phys. A 671, 332 \(2000\)](#)
[Metz, Pasquini, Rodini, PLB 820, 136501 \(2021\)](#)
[X. Ji and Y. Liu, arXiv:2110.14781 \[hep-ph\]](#)

Deeper reason:

$$T^{ij}(r) = -E^i E^j + \frac{1}{2} \delta^{ij} \vec{E}^2 = -\sigma^{ij}$$

(σ^{ij} Maxwell stress tensor, with $\vec{E} \sim \frac{1}{r^2}$ for $r > R$)

$$\begin{aligned} T_{00}(r)_{\text{QED}} &= \frac{1}{2} \frac{\alpha}{4\pi} \frac{\hbar c}{r^4} \\ s(r)_{\text{QED}} &= -\frac{\alpha}{4\pi} \frac{\hbar c}{r^4} \\ p(r)_{\text{QED}} &= \frac{1}{6} \frac{\alpha}{4\pi} \frac{\hbar c}{r^4} \end{aligned}$$

Important: in classical model **consistently** incorporated!
balance of forces: von Laue condition $\int_0^\infty dr r^2 p(r) = 0$
consistent nonperturbative solution, proton stable!