

# Numerical Study of Twist-3 Longitudinal-Transverse Double-Spin Asymmetries: a Probe of Quark-Gluon-Quark Correlations in Hadrons



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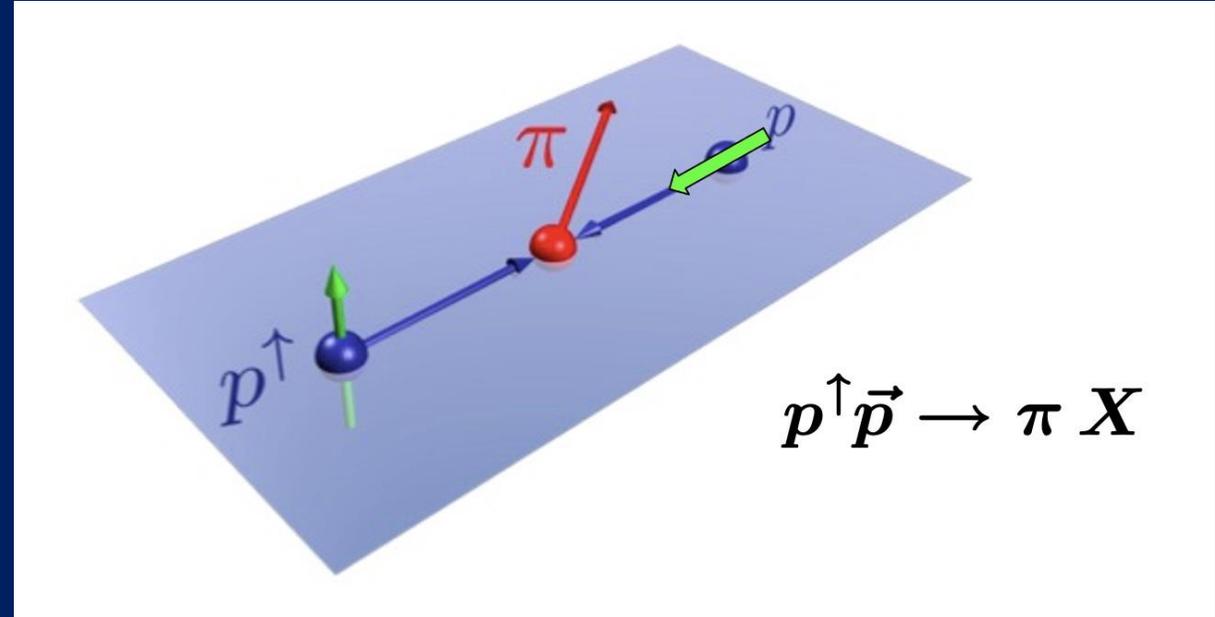
Based on B. Bauer, D. Pitonyak and C. Shay, “Numerical study of the twist-3 asymmetry  $A_{LT}$  in single-inclusive electron-nucleon and proton-proton collisions,” *Phys. Rev. D* 107, 014013 (2023) [arXiv:2210.14334 [hep-ph]]

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# Motivation and Background

- $A_{LT}$  - longitudinally polarized electron or proton colliding with a transversely polarized proton, with a single pion, photon, or jet detected in the final state
- Limited numerical work and only one measurement (from Jefferson Lab Hall A)
- These collisions give access to twist-3 parton distribution functions (PDFs) and fragmentation functions (FFs)
- By generating these predictions, we hope to motivate future experiments in order to gain more insight into the quark-gluon-quark interactions that occur inside of hadrons



$$A_{LT} \equiv \frac{1}{4} \frac{\{ [d\sigma_{LT}(+, \uparrow) - d\sigma_{LT}(-, \uparrow)] - [d\sigma_{LT}(+, \downarrow) - d\sigma_{LT}(-, \downarrow)] \}}{d\sigma_{unp}}$$

- $A_{LT}$  depends on the transverse momentum  $P_T$  and rapidity  $\eta$  of the final-state pion, photon, or jet, as well as the center-of-mass energy of the collision

# Theoretical Input

- We need input for the PDFs and FFs that show up in the analytical calculation of  $A_{LT}$ :

$f_1(x)$  (unpolarized PDF) - Probability to find an unpolarized parton inside an unpolarized nucleon carrying a fraction  $x$  of the nucleon's momentum (use CT18)

$D_1(z)$  (unpolarized FF) - Probability for a parton to fragment into a hadron that carries a fraction  $z$  of the parton's momentum (use DSS14)

$h_1(x)$  (transversity PDF) - Probability to find a transversely polarized quark inside a transversely polarized nucleon carrying a fraction  $x$  of the nucleon's momentum (use JAM3D-22)

$g_1(x)$  (helicity PDF) - Probability to find a longitudinally polarized parton inside a longitudinally polarized nucleon carrying a fraction  $x$  of the nucleon's momentum (use NNPDFpol1.1)

# Theoretical Input

$g_{1T}(x, k_T)$  ("worm gear" TMD PDF) - Probability to find a longitudinally polarized quark inside a transversely polarized nucleon carrying a fraction  $x$  of the nucleon's momentum and transverse momentum  $k_T$  (use Bhattacharya, et al. (2021) and also a Wandzura-Wilczek (WW) approx.)

$g_T(x)$  - This function does not have a simple probabilistic interpretation, but it is related to a quark-gluon-quark (qgq) correlation in a transversely polarized nucleon (use a WW approx. and qgq approx.)

$E(z)$  - This function does not have a simple probabilistic interpretation, but it is related to a quark-gluon-quark correlation in the fragmentation to an unpolarized hadron (use  $H^\sim$  from JAM3D-22)

# Theoretical Input

More about  $E(z)$ ...

$$E^{h/q}(z) = -2z \left( \int_z^\infty \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{\mathcal{R},h/q}(z, z_1)}{\frac{1}{z} - \frac{1}{z_1}} - \frac{m_q}{2M_h} D_1^{h/q}(z) \right)$$

$$\tilde{H}^{h/q}(z) = 2z \int_z^\infty \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{\mathcal{S},h/q}(z, z_1)}{\frac{1}{z} - \frac{1}{z_1}}$$

$$\sum_h \sum_{S_h} M_h \int_0^1 dz E^{h/q}(z) = M_j$$


Connection to dynamical quark mass generation in QCD –  $M_j$  is the mass of a "dressed" quark (Accardi, Signori (2019, 2020))

$$\sum_h \sum_{S_h} M_h \int_0^1 dz \tilde{H}^{h/q}(z) = 0$$

Look at 3 scenarios:  
 $E = H^\sim$ ,  $E = 0$ , or  $E = -H^\sim$

# Theoretical Input

$h_{1L}^\perp(x, k_T)$  ("worm gear" TMD PDF) - Probability to find a transversely polarized quark inside a longitudinally polarized nucleon carrying a fraction  $x$  of the nucleon's momentum and transverse momentum  $k_T$  (use WW approx. to write in terms of  $h_1(x)$ )

$h_L(x)$  - This function does not have a simple probabilistic interpretation, but it is related to a quark-gluon-quark correlation in a longitudinally polarized nucleon (use WW approx. to write in terms of  $h_1(x)$ )

$$h_{1L}^{\perp(1)a/N}(x) \stackrel{\text{WW}}{\approx} x^2 \int_x^1 dy \frac{h_1^{a/N}(y)}{y^2}$$

$$h_L^{a/N}(x) \stackrel{\text{WW}}{\approx} 2x \int_x^1 dy \frac{h_1^{a/N}(y)}{y^2}$$

# Theoretical Input

Electron-nucleon collisions (Kanazawa, et al. (2015), Kanazawa, et al. (2016))

$$A_{LT}^{\vec{e}N^{\uparrow} \rightarrow \pi X} = \frac{\int_{z_{min}}^1 \frac{dz}{z^3} \left( \frac{-4P_T}{S + T/z} \right) \frac{1}{x} \sum_a e_a^2 \left[ \frac{M}{\hat{u}} D_1^{\pi/a}(z) \mathcal{G}^{a/N}(x, \hat{s}, \hat{t}, \hat{u}) + \frac{M_\pi}{z\hat{t}} h_1^{a/N}(x) E^{\pi/a}(z) \left( -\frac{\hat{s}}{\hat{t}} \right) \right]}{\int_{z_{min}}^1 \frac{dz}{z^2} \frac{1}{S + T/z} \frac{1}{x} \sum_a e_a^2 f_1^{a/N}(x) D_1^{\pi/a}(z) \left( \frac{\hat{s}^2 + \hat{u}^2}{\hat{t}^2} \right)}$$

$$\mathcal{G}(x, \hat{s}, \hat{t}, \hat{u}) = \left( g_{1T}^{(1)}(x) - x \frac{dg_{1T}^{(1)}(x)}{dx} \right) \left( \frac{\hat{s}(\hat{s} - \hat{u})}{2\hat{t}^2} \right) + x g_T(x) \left( -\frac{\hat{s}\hat{u}}{\hat{t}^2} \right) + x g_1(x) \left( \frac{\hat{u}(\hat{s} - \hat{u})}{2\hat{t}^2} \right)$$

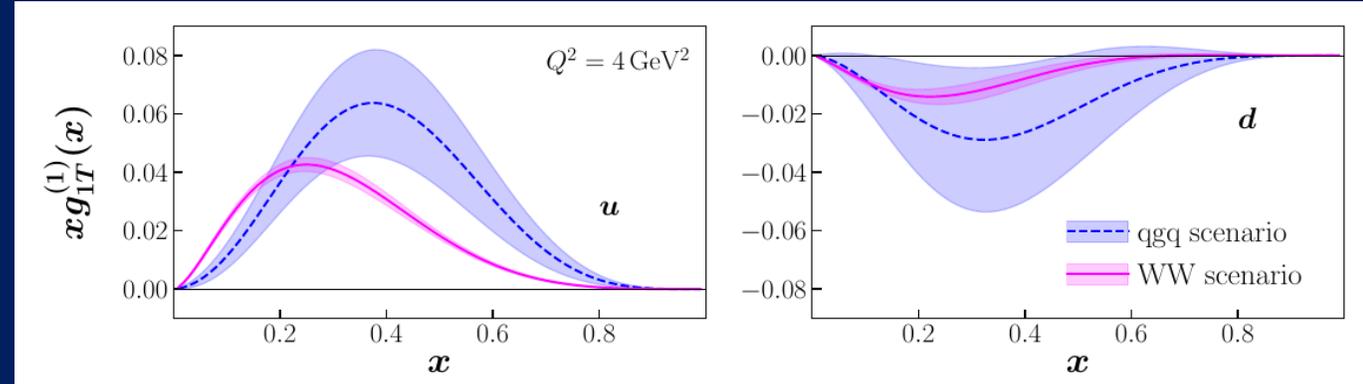
"hard factors" - encode the  
electron-quark scattering

# Numerical Scenarios

## Quark-Gluon-Quark (qgq) scenario:

-Use direct extraction of  $g_{1T}(x, k_T)$  from Bhattacharya, et al. (2021) and the following for  $g_T(x)$  (with  $G_{FT} = 0$ ):

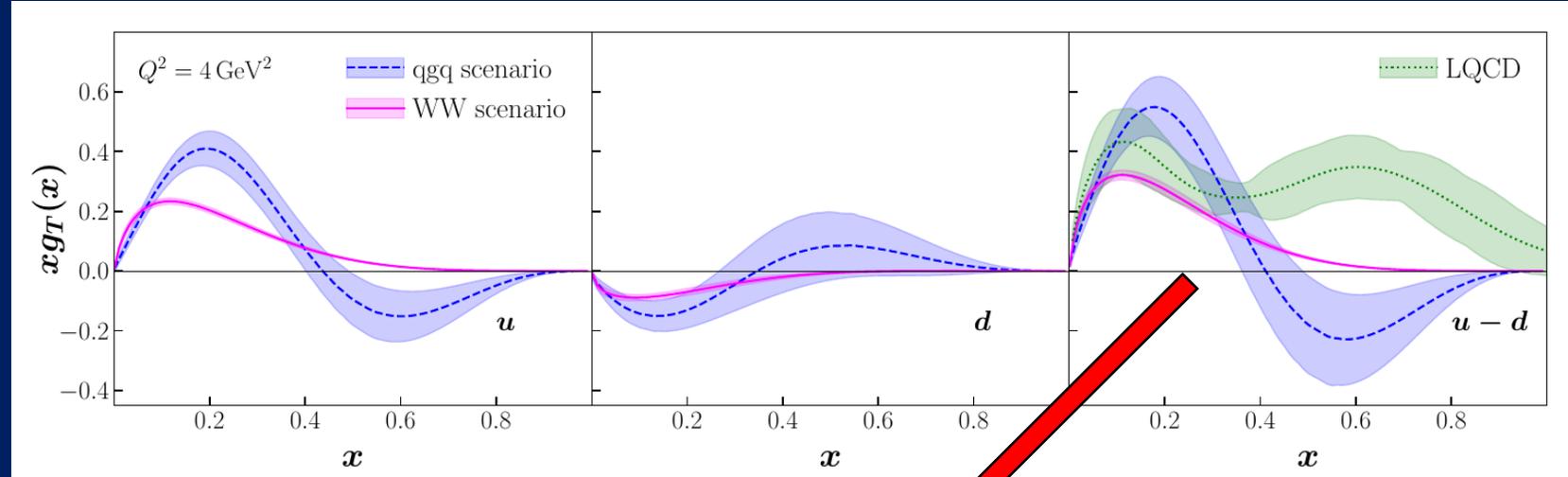
$$g_T^{q/N}(x) = g_1^{q/N}(x) + \frac{dg_{1T}^{(1)q/N}(x)}{dx} - 2\mathcal{P} \int_{-1}^1 dy \frac{G_{FT}^{q/N}(x, y)}{(x-y)^2}$$



## WW scenario:

$$g_{1T}^{(1)a/N}(x) \stackrel{\text{WW}}{\approx} x \int_x^1 dy \frac{g_1^{a/N}(y)}{y}$$

$$g_T^{a/N}(x) \stackrel{\text{WW}}{\approx} \int_x^1 dy \frac{g_1^{a/N}(y)}{y}$$



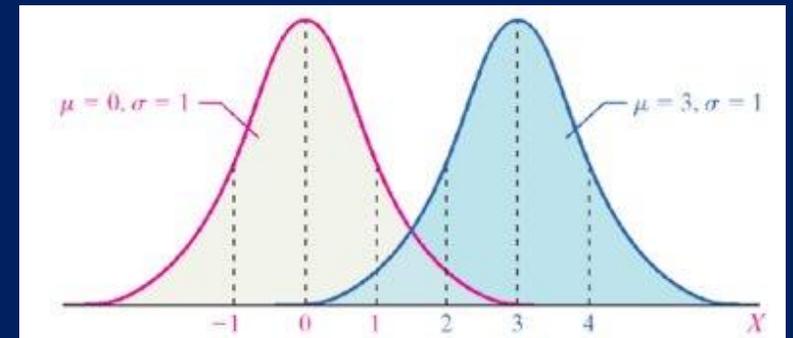
Also,  $E = H\sim$ ,  $E = 0$ , or  $E = -H\sim$  for each

Could possibly use lattice QCD data to extract information about the dynamical twist-3 function  $G_{FT}$

# Quantifying Uncertainties via Bootstrapping

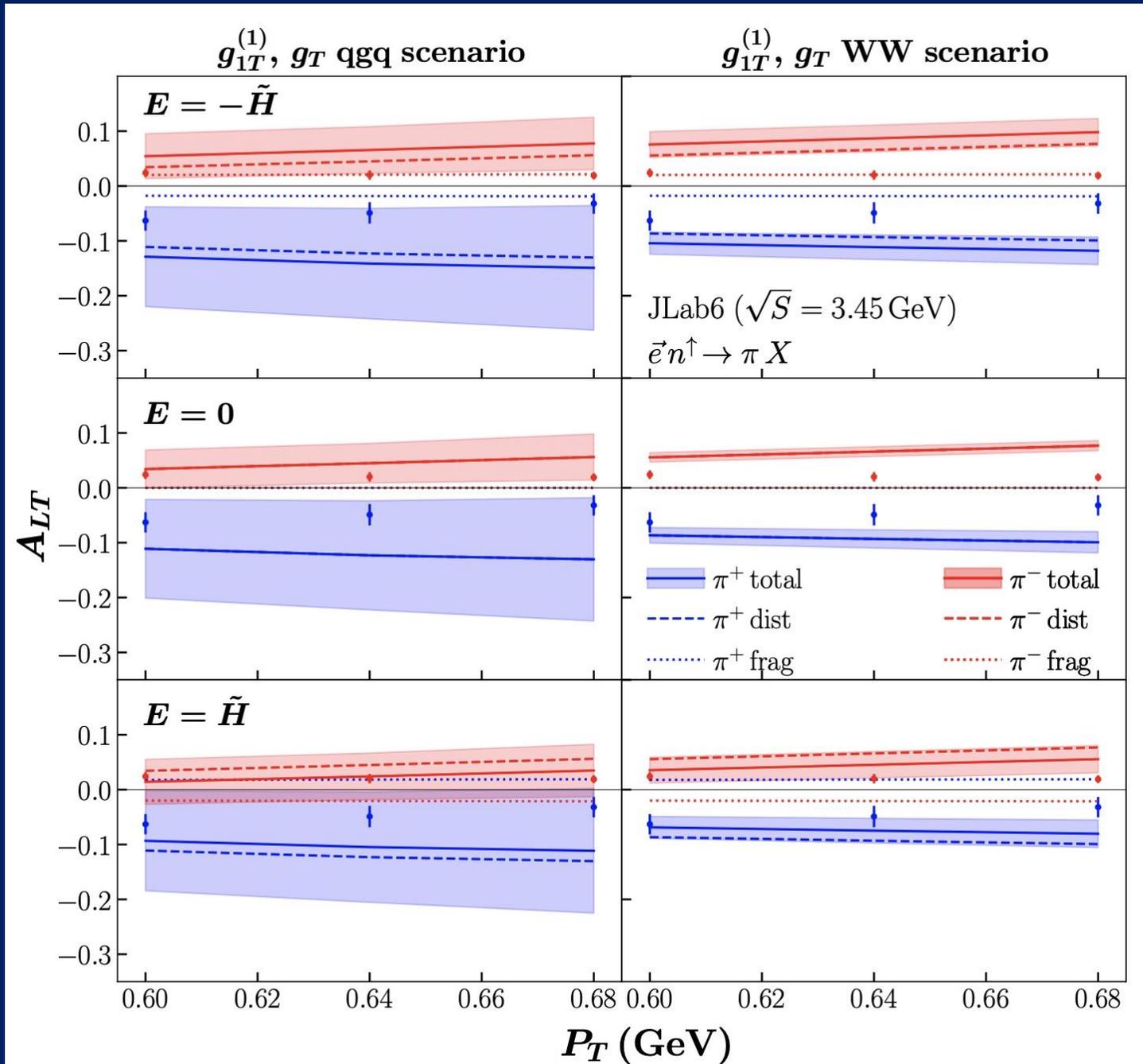
- Since  $A_{LT}$  involves several PDFs and FFs that have been extracted by different groups, it is not reasonable to calculate the full result using all replicas (e.g., 100 replicas for  $g_1$  from NNPDF and 200 replicas for  $g_{1T}$  from Bhattacharya, et al. = 20,000 computations of  $A_{LT}$ ) - need to bootstrap!
- Randomly sample a replica for each function (with replacement) and calculate  $A_{LT}$  vs.  $P_T$ . Repeat this  $N$  times and then  $N'$  times (with  $N' > N$ ). This forms two distributions of  $A_{LT}$  values at each  $P_T$  value.
- Use Welch's t-statistic to determine when the two distributions are "equal" (p-value  $> 0.1$ ) signifying convergence of the resampling

$$t = \frac{\mu_1 - \mu_2}{\sqrt{\sigma_1^2/N_1 + \sigma_2^2/N_2}}$$



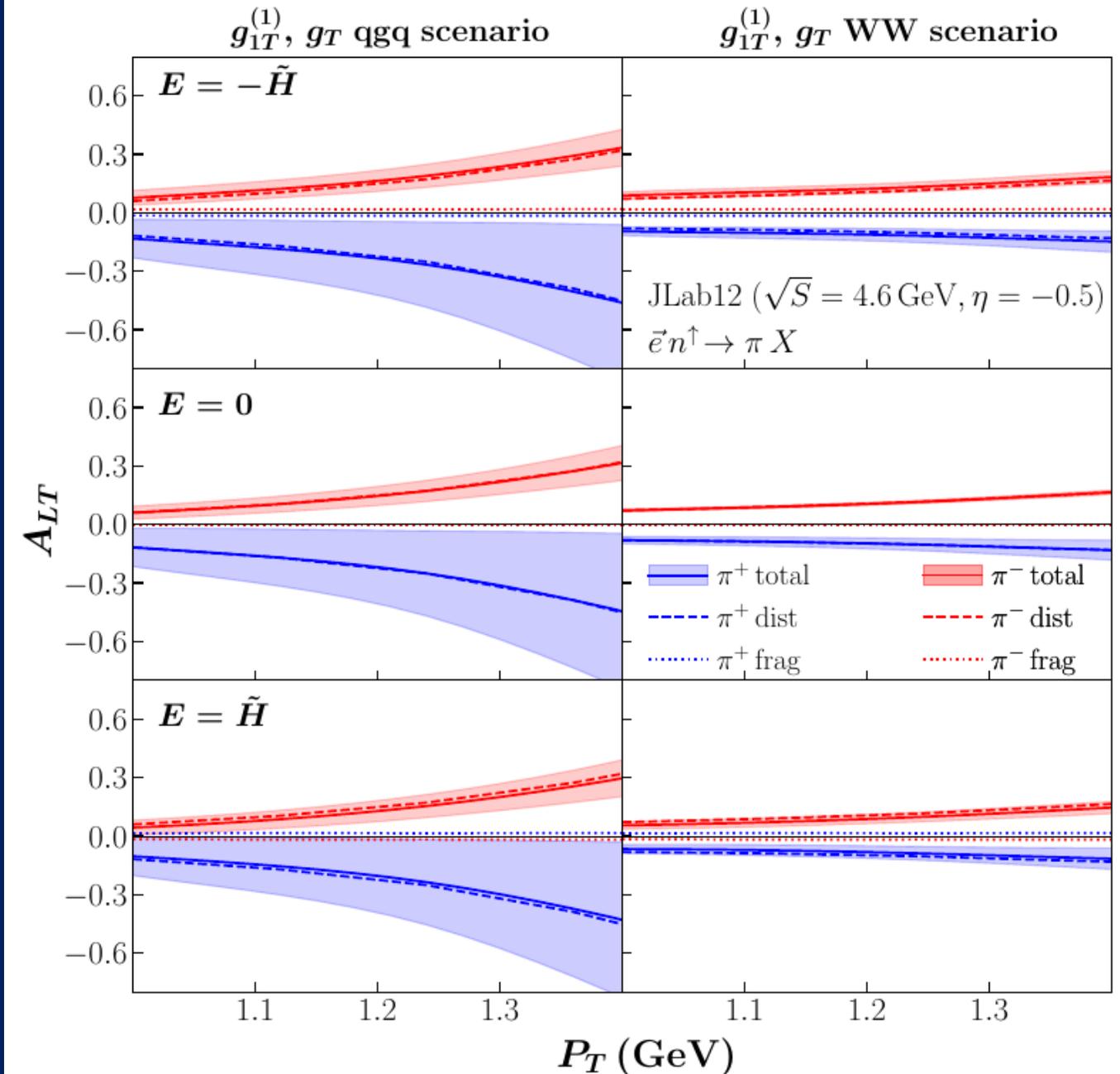
# Comparison With JLab6

- Measurements of  $A_{LT}$  for the electron-neutron case from Jefferson Lab (JLab Hall A (2015))
- We are able to describe the data reasonably well with all scenarios
- Distribution term plays a dominant role over the fragmentation term



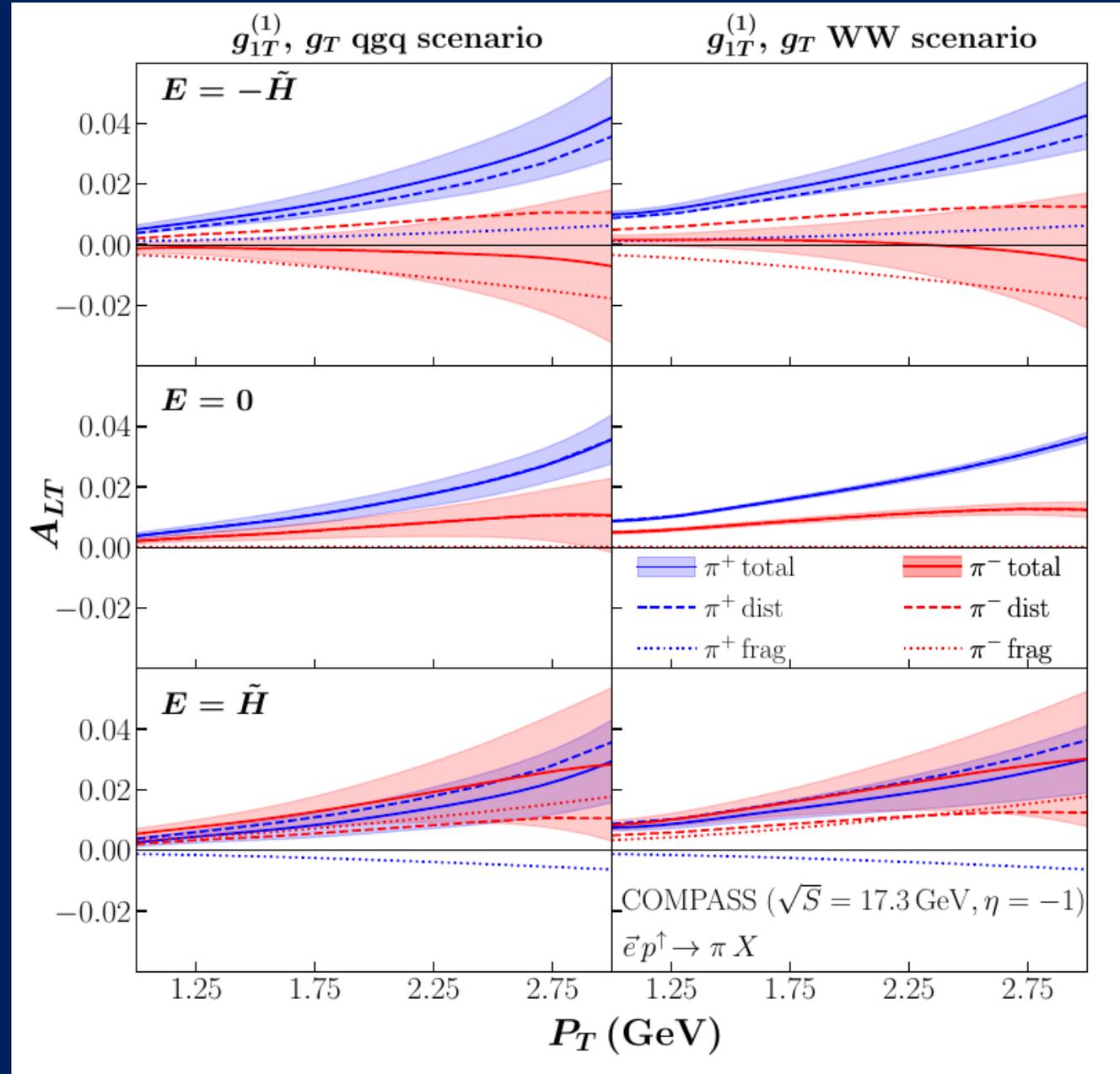
# $A_{LT}$ vs. $P_T$ for JLab12

- Neutron target
- Asymmetries of 15-30% are predicted which grow more substantial with increasing  $P_T$
- $A_{LT}$  is dominated by the distribution term
- One may use JLab12 data to test the WW approximation and extract information about dynamical quark-gluon-quark correlations in the nucleon



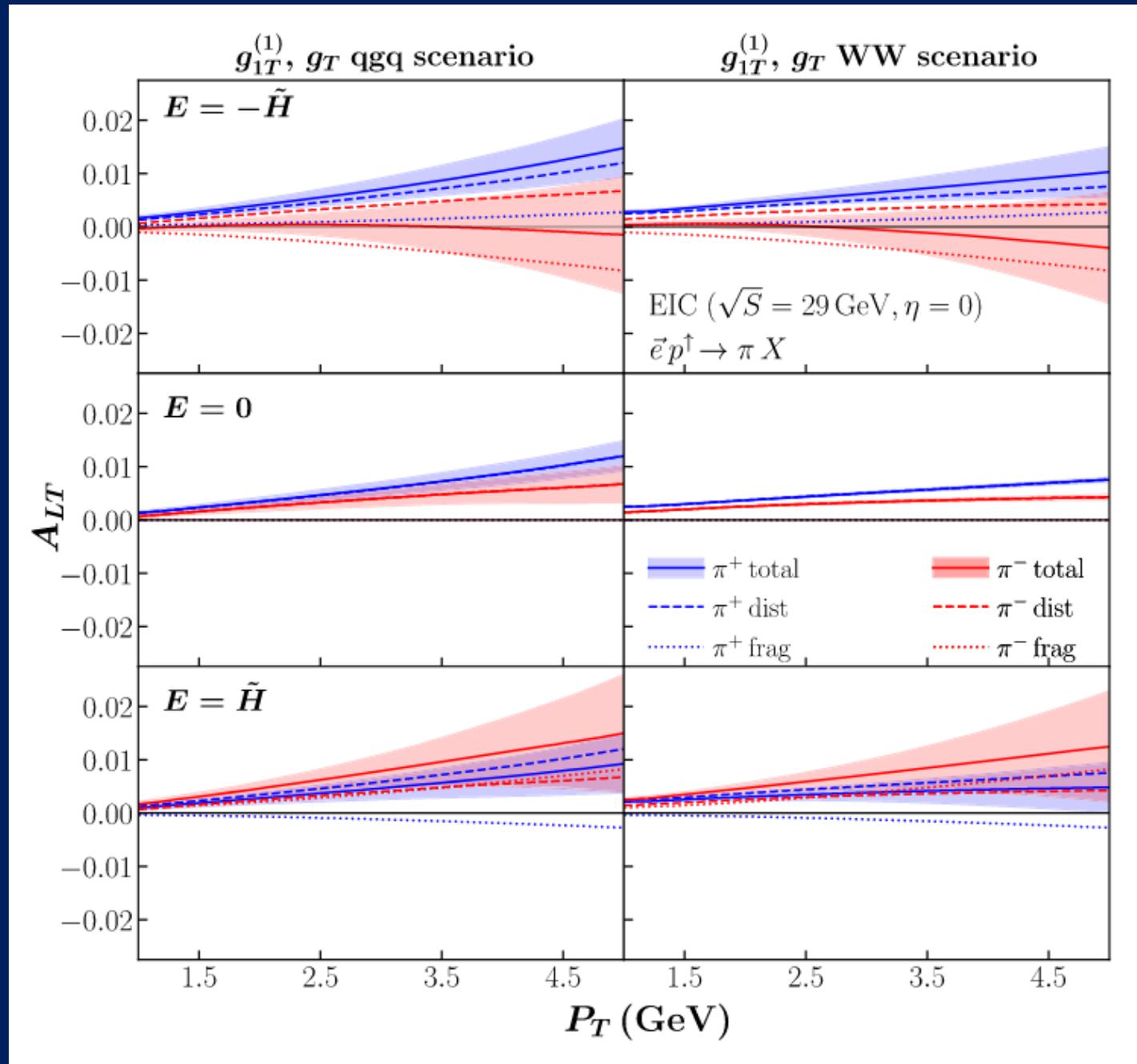
# $A_{LT}$ vs. $P_T$ for COMPASS

- Proton target
- Compass results are measurable at  $\sim 2-4\%$
- $A_{LT}$  fragmentation term can be comparable to the distribution term for  $\pi^-$  production
- $E(z) = 0$  case:  $A_{LT}$  for  $\pi^-$  is positive, so a measured negative asymmetry would be a likely indication of quark-gluon-quark fragmentation effects
- The qgq and WW scenarios may be difficult to distinguish at COMPASS since they give similarly-sized effects



# $A_{LT}$ vs. $P_T$ for Low-Energy EIC

- Predictions at midrapidity show a decrease in the size of the asymmetry compared to JLab12 and COMPASS, with  $A_{LT}$  now  $\sim 0.5-1.5\%$
- A clearly negative signal for  $\pi^-$  production would be caused by  $E(z)$  (quark-gluon-quark fragmentation) - connected to dynamical quark mass generation



# Theoretical Input

## Proton-proton collisions

$$A_{LT}^{p \uparrow \vec{p} \rightarrow \pi X} = \frac{d\sigma_{LT}^{\text{Tdist}} + d\sigma_{LT}^{\text{Ldist}} + d\sigma_{LT}^{\text{frag}}}{d\sigma_{unp}}$$

$$d\sigma_{unp} = \int_{z_{min}}^1 dz \int_{x_{min}}^1 \frac{dx}{x} \frac{1}{x' z^2 (xS + U/z)} \sum_i \sum_{a,b,c} f_1^{a/p}(x) f_1^{b/p}(x') D_1^{\pi/c}(z) H_U^i(\hat{s}, \hat{t}, \hat{u})$$

sum over all "channels" of how quarks and gluons in two protons can interact, e.g., qq --> qq, qg --> qg, etc.

"hard factors" - encode the interactions between quarks and gluons (too lengthy to explicitly write out)

# Theoretical Input

## Proton-proton collisions

$$A_{LT}^{p\uparrow\vec{p}\rightarrow\pi X} = \frac{d\sigma_{LT}^{\text{Tdist}} + d\sigma_{LT}^{\text{Ldist}} + d\sigma_{LT}^{\text{frag}}}{d\sigma_{unp}}$$

Metz, et al. (2012)

$$d\sigma_{LT}^{\text{Tdist}} = -2MP_T \int_{z_{min}}^1 dz \int_{x_{min}}^1 \frac{dx}{x} \frac{1}{x'z^3(xS + U/z)} \sum_i \sum_{a,b,c} \frac{1}{\hat{m}_i} \mathcal{G}_i^{a/p\uparrow}(x, \hat{s}, \hat{t}, \hat{u}) g_1^{b/\vec{p}}(x') D_1^{\pi/c}(z)$$

$$\mathcal{G}_i(x, \hat{s}, \hat{t}, \hat{u}) = \left( g_{1T}^{(1)}(x) - x \frac{dg_{1T}^{(1)}(x)}{dx} \right) H_g^i(\hat{s}, \hat{t}, \hat{u}) + xg_T(x) H_{1,G_{DT}}^i(\hat{s}, \hat{t}, \hat{u}) + \frac{x}{2} (g_1(x) - g_T(x)) H_{3,G_{DT}}^i(\hat{s}, \hat{t}, \hat{u}) \\ + \left[ g_{1T}^{(1)}(x) + \mathcal{P} \int_{-1}^1 \frac{dx_1}{x_1} \frac{x (F_{FT}(x, x_1) + G_{FT}(x, x_1))}{x - x_1} \right] H_{2,G_{DT}}^i(\hat{s}, \hat{t}, \hat{u}).$$

Neglect because no input is available

# Theoretical Input

Proton-proton collisions

$$A_{LT}^{p\uparrow\vec{p}\rightarrow\pi X} = \frac{d\sigma_{LT}^{\text{Tdist}} + d\sigma_{LT}^{\text{Ldist}} + d\sigma_{LT}^{\text{frag}}}{d\sigma_{unp}}$$

Koike, Pitonyak, Yoshida (2016)

$$d\sigma_{LT}^{\text{Ldist}} = -2MP_T \int_{z_{min}}^1 dz \int_{x_{min}}^1 \frac{dx}{x} \frac{1}{z^3(xS + U/z)} \sum_i \sum_{a,b,c} h_1^{a/p\uparrow}(x) \mathcal{H}^{b/\vec{p}}(x', \hat{s}, \hat{t}, \hat{u}) D_1^{\pi/c}(z)$$

$$\mathcal{H}(x', \hat{s}, \hat{t}, \hat{u}) = h_1(x') H_{1L}^i(\hat{s}, \hat{t}, \hat{u}) + h_L(x') H_{2L}^i(\hat{s}, \hat{t}, \hat{u}) + \frac{dh_{1L}^{\perp(1)}(x')}{dx'} H_{3L}^i(\hat{s}, \hat{t}, \hat{u})$$

# Theoretical Input

Proton-proton collisions

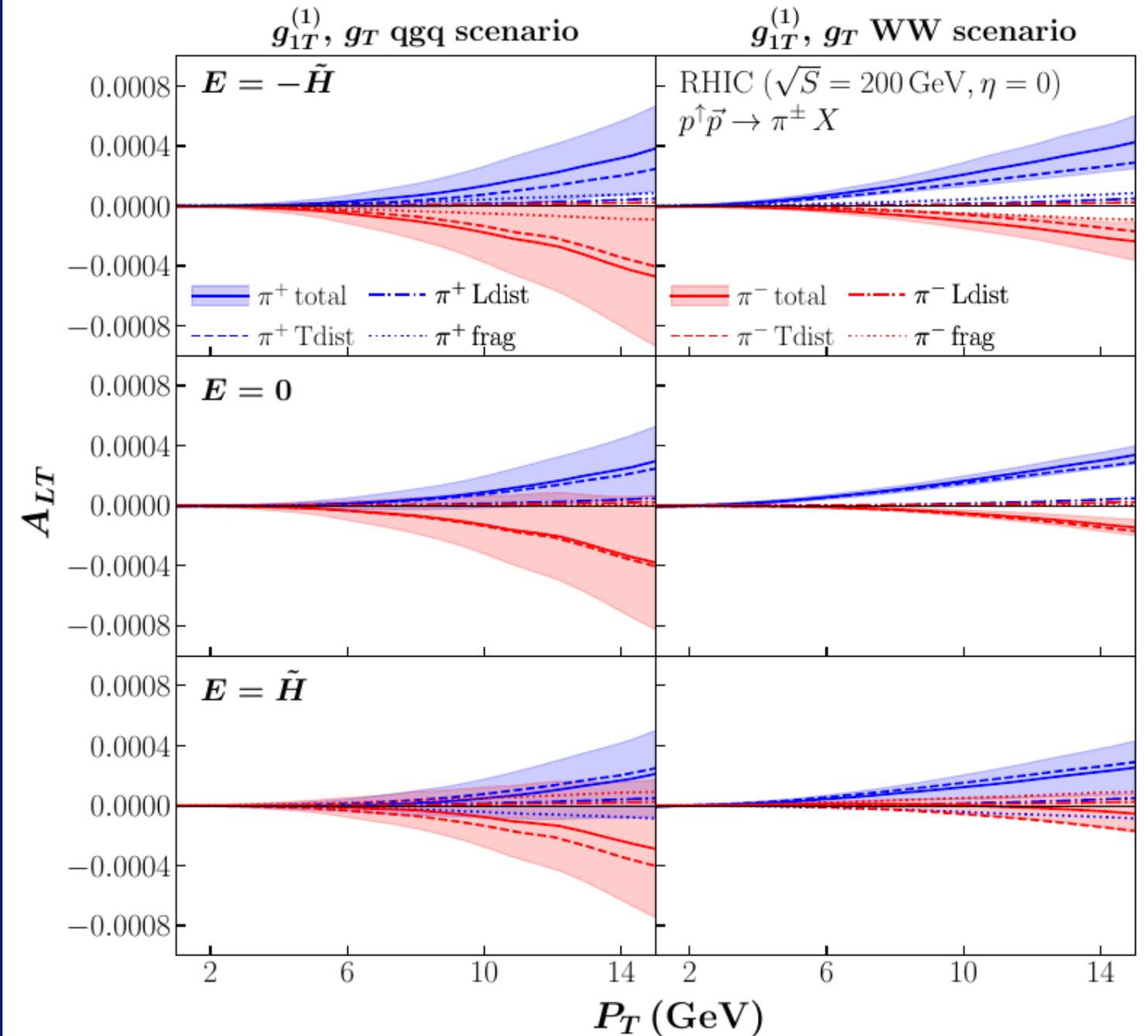
$$A_{LT}^{p\uparrow\vec{p}\rightarrow\pi X} = \frac{d\sigma_{LT}^{\text{Tdist}} + d\sigma_{LT}^{\text{Ldist}} + d\sigma_{LT}^{\text{frag}}}{d\sigma_{unp}}$$

Koike, et al. (2016)

$$d\sigma_{LT}^{\text{frag}} = 2M_h P_T \int_{z_{min}}^1 dz \int_{x_{min}}^1 \frac{dx}{x} \frac{1}{x' z^4 (xS + U/z)} \sum_i \sum_{a,b,c} h_1^{a/p\uparrow}(x) g_1^{b/\vec{p}}(x') E^{\pi/c}(z) H_f^i(\hat{s}, \hat{t}, \hat{u})$$

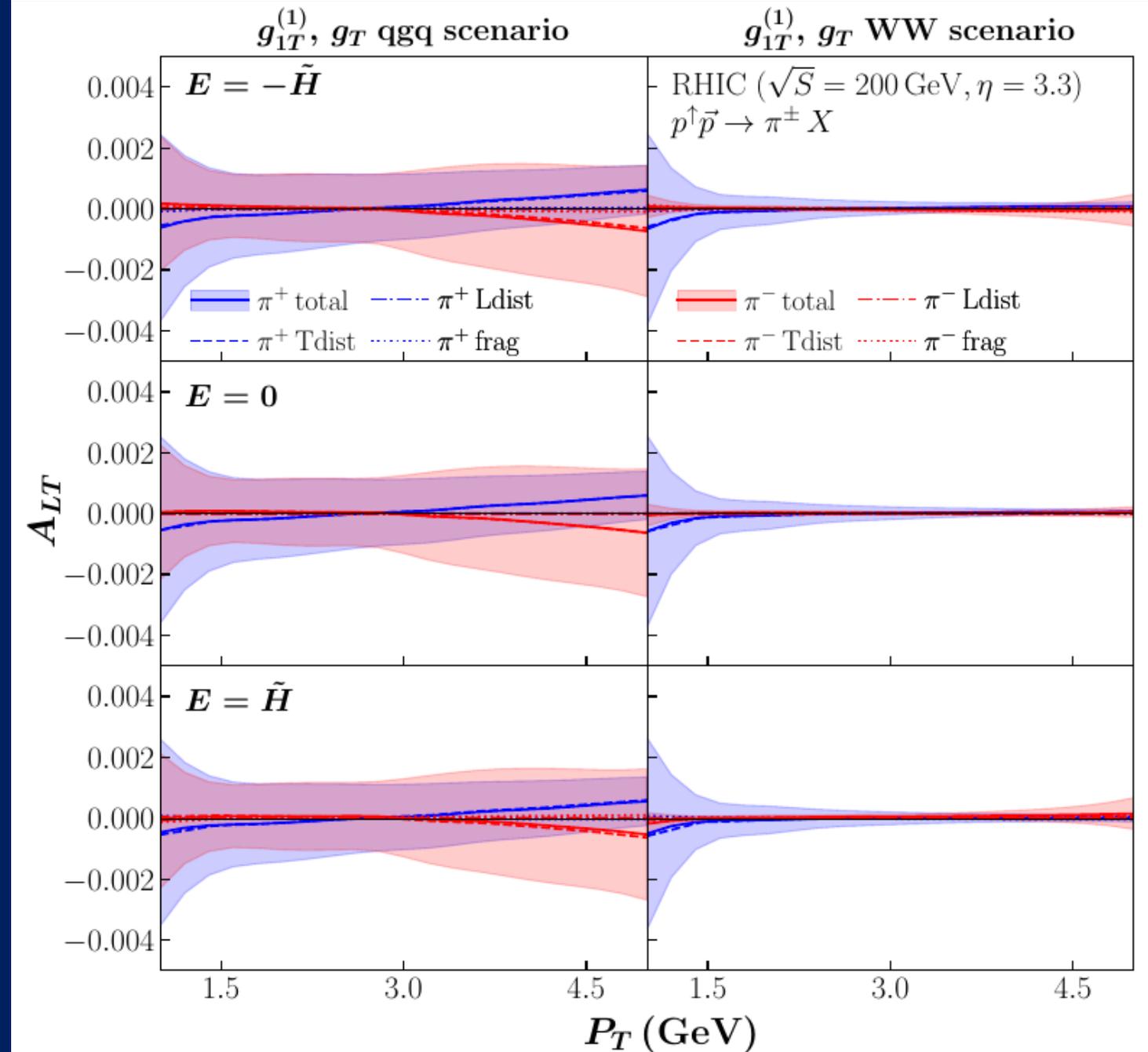
# RHIC Proton-Proton $A_{LT}$ vs. $P_T$

- Predictions for charged pion production at midrapidity ( $\eta=0$ ) reach  $\sim 0.02-0.05\%$  for  $\pi^\pm$  at the highest  $P_T$
- The transverse distribution term gives the largest contribution to  $A_{LT}$
- The fragmentation term plays a non-negligible role



# RHIC Proton-Proton $A_{LT}$ vs. $P_T$

- At forward rapidity ( $\eta=3.3$ ) the qgq scenario has larger error bands that are consistent with zero but range from  $\sim -0.3\%$  to  $+0.2\%$
- WW scenario uncertainties are smaller at larger  $P_T$  and again consistent with zero.
- In either case, the transverse distribution term gives the entirety of  $A_{LT}$  at forward rapidity



# Conclusions and Outlook

- We found good agreement with JLab6 data, which is the only  $A_{LT}$  measurement available (for single-inclusive observables)
- Electron-nucleon collisions - the asymmetry decreases with increasing center-of-mass energy
- If significant deviations from the  $E(z) = 0$  scenario are measured, it could provide direct information on  $E(z)$ , which is connected to dynamical quark mass generation
- One may also be able to test the validity of the Wandzura-Wilczek approximation for  $g_{1T}$ ,  $g_T$  and probe dynamical twist-3 PDFs, especially with precision measurements at the EIC
- Proton-proton collisions – very small asymmetries; any measurable effect at RHIC would be direct evidence of dynamical quark-gluon-quark correlations
- We hope these predictions motivate future measurements