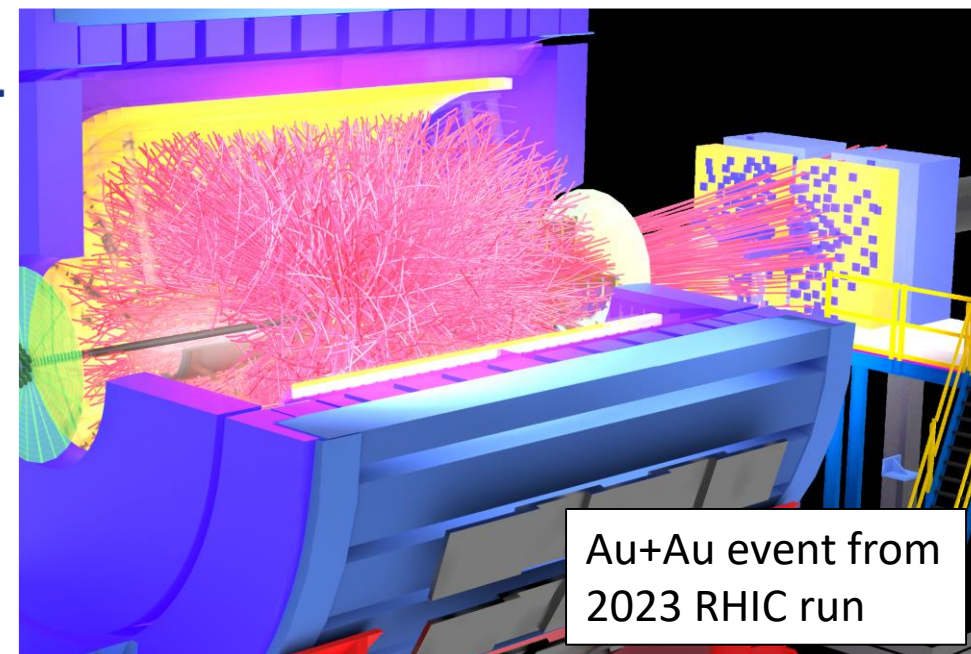




25TH INTERNATIONAL
SPIN PHYSICS
SYMPOSIUM



The *STAR* Forward Upgrade

Carl Gagliardi

Texas A&M University
for the *STAR* Collaboration

Supported in part by:

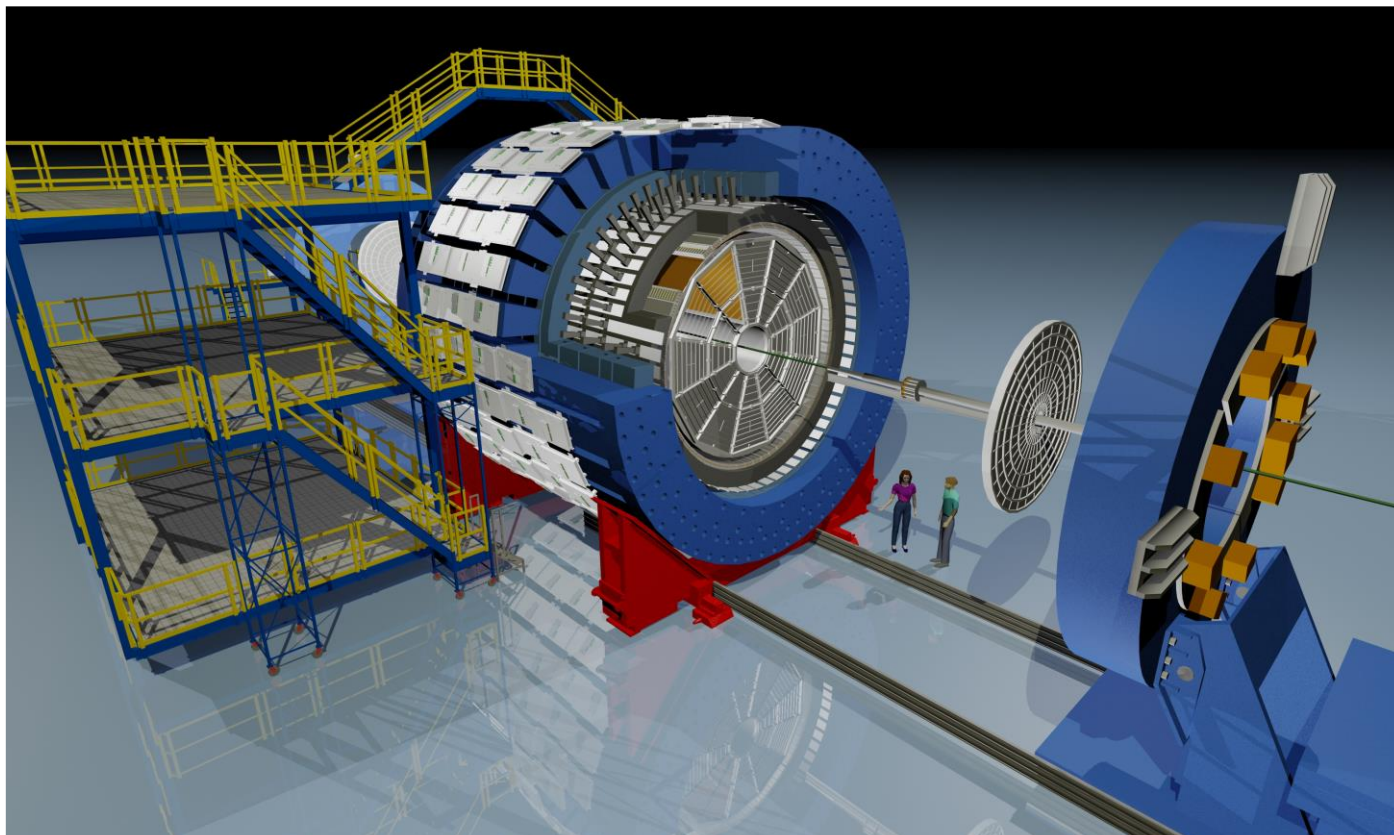


Outline

- What is it?
- What science is it doing?

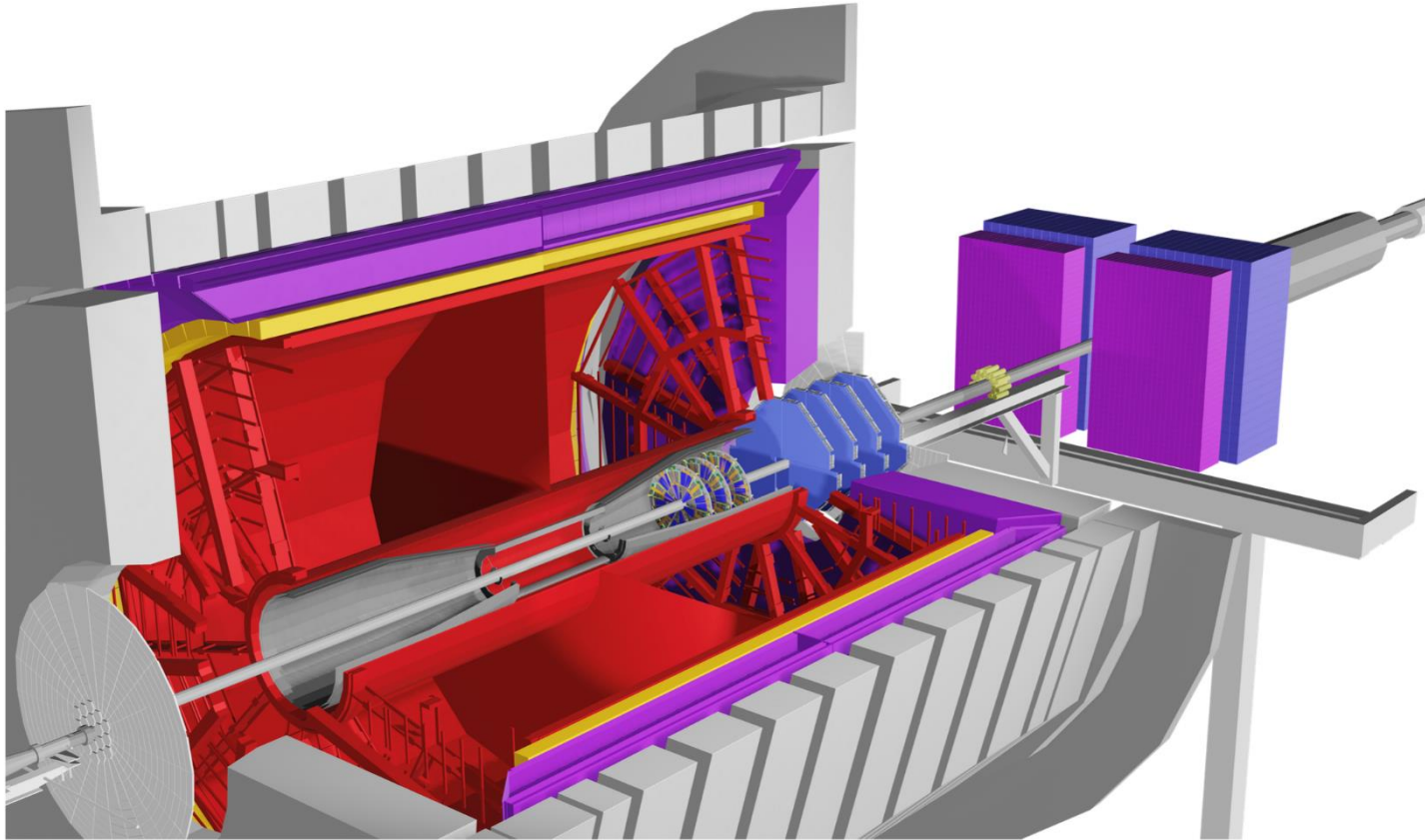
What is the *STAR* Forward Upgrade?

The *STAR* detector



- TPC provides tracking for $|\eta| < 1.5$
- Particle identification with dE/dx combined with Time-of-Flight
- Surrounded by electromagnetic calorimetry covering $-1 < \eta < 4$
- Complemented by many ancillary subsystems

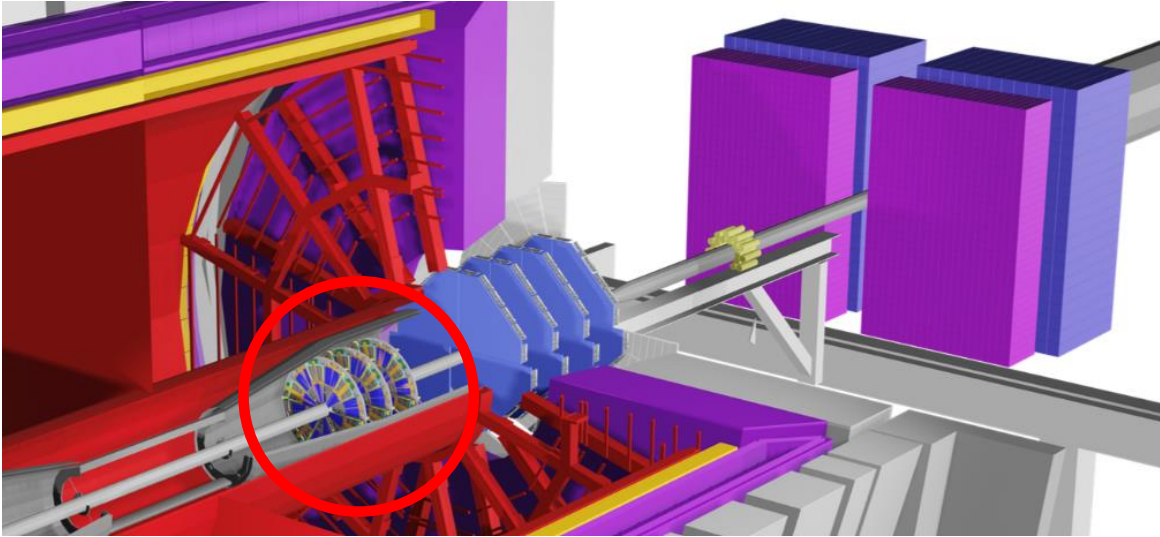
The *STAR* Forward Upgrade



- Covers the pseudorapidity region $2.5 < \eta < 4$ where *STAR* formerly only had Pb-glass electromagnetic calorimetry
 - Rapidity coverage is the same as the EIC hadron arm
- Combines:
 - Charged particle tracking using Si detectors and small-strip Thin Gap Chambers (sTGC)
 - Electromagnetic and hadronic calorimetry with SiPM readout and new ADC+trigger electronics
- Measures $h^{+/-}$, $e^{+/-}$ (with good e/h discrimination), photons, π^0 , jets

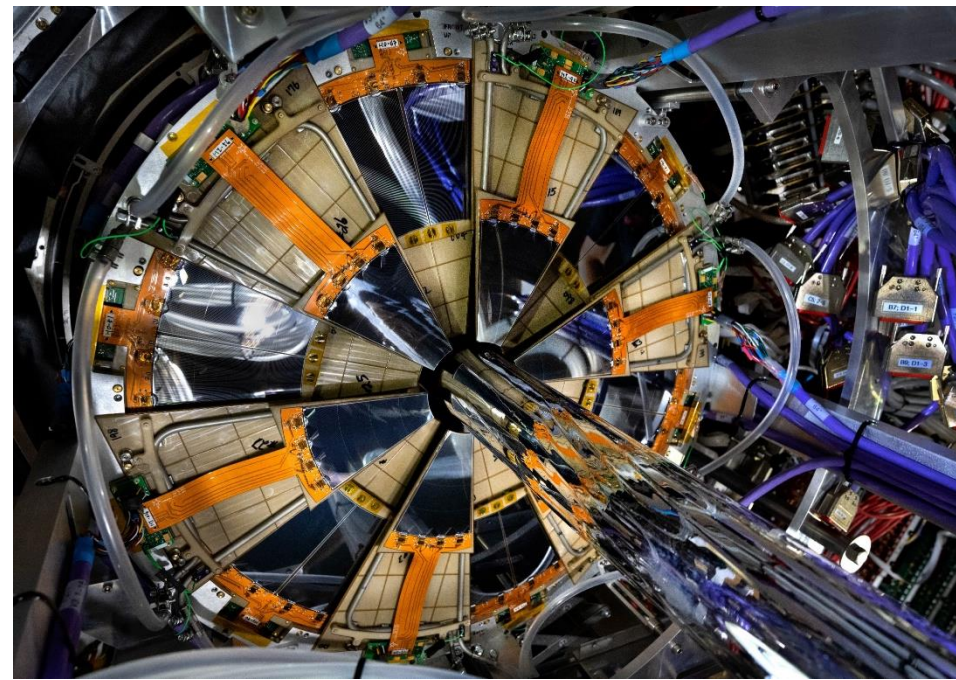
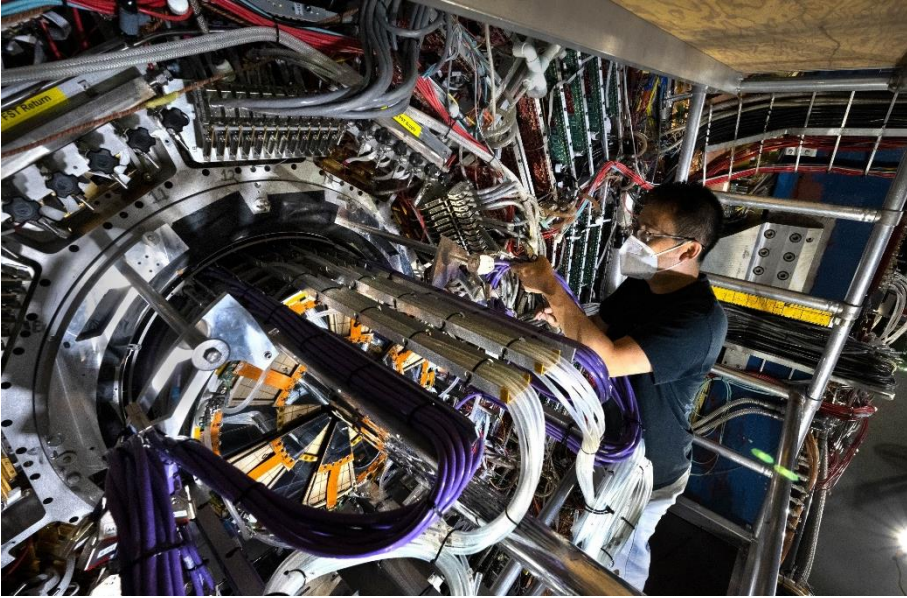
Detector	pp and pA	AA
ECal	$\sim 10\%/ \sqrt{E}$	$\sim 20\%/ \sqrt{E}$
HCal	$\sim 50\%/ \sqrt{E} + 10\%$	---
Tracking	charge separation photon suppression	$0.2 < p_T < 2 \text{ GeV}/c$ with 20-30% $1/p_T$

Silicon detector

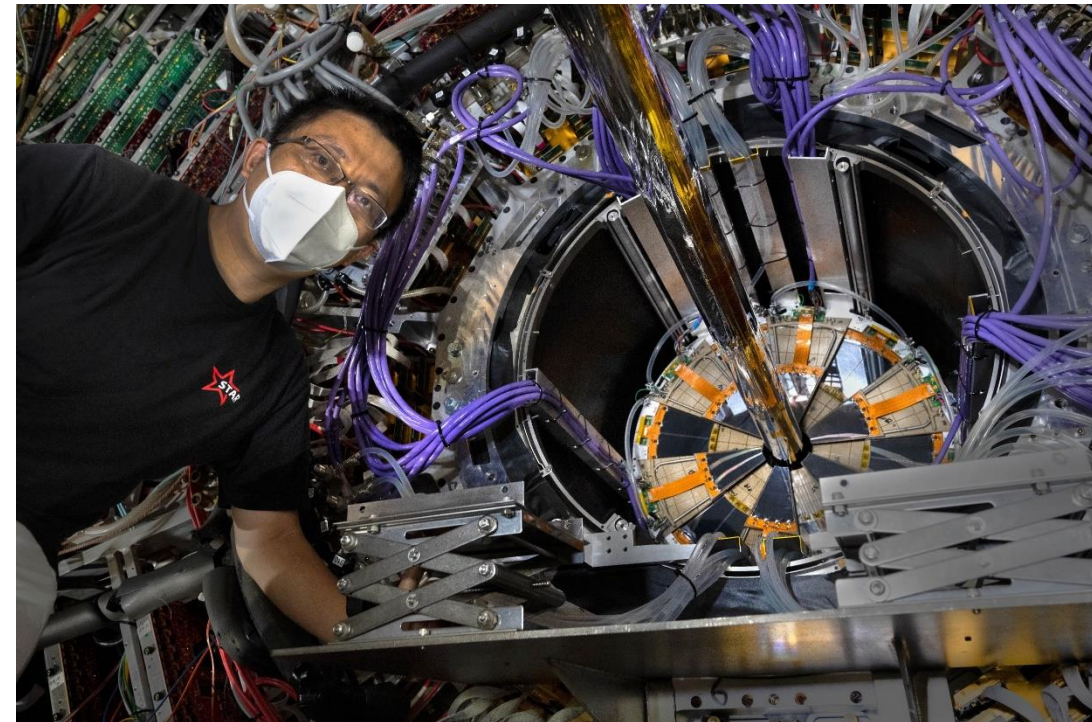


- Three disks, each with 12 modules
- Each module includes 3 single-sided double-metal mini-strip sensors (Si from Hamamatsu)
 - Fine granularity in φ and coarse in R
- Material budget $\sim 1.5\% X_0$ per disk
- Technology is similar to **STAR** Intermediate Silicon Tracker
 - Same APV25-S1 front-end chip
 - Reuses the IST data acquisition and cooling systems

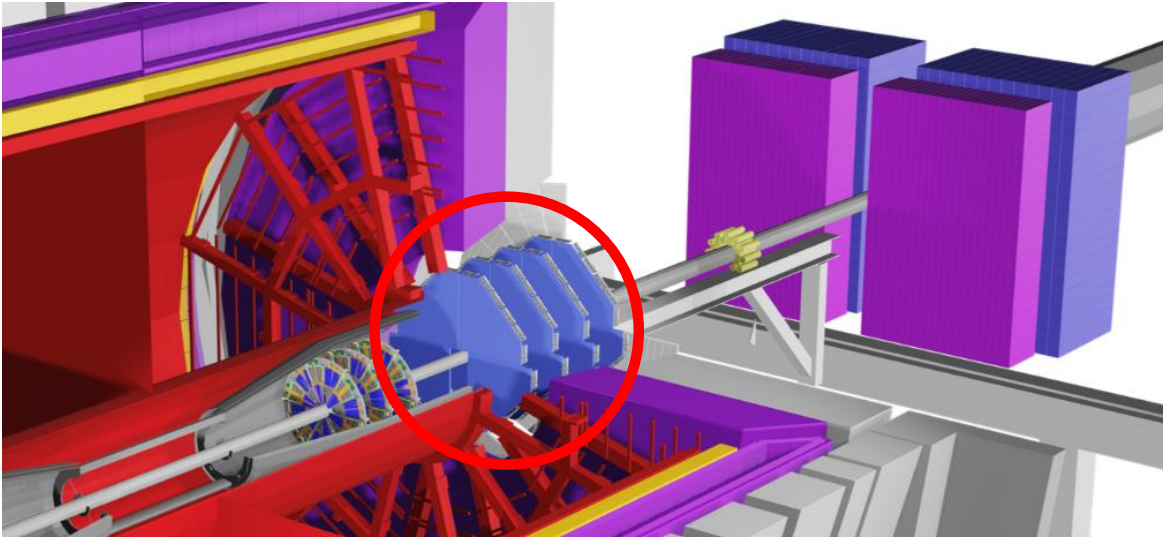
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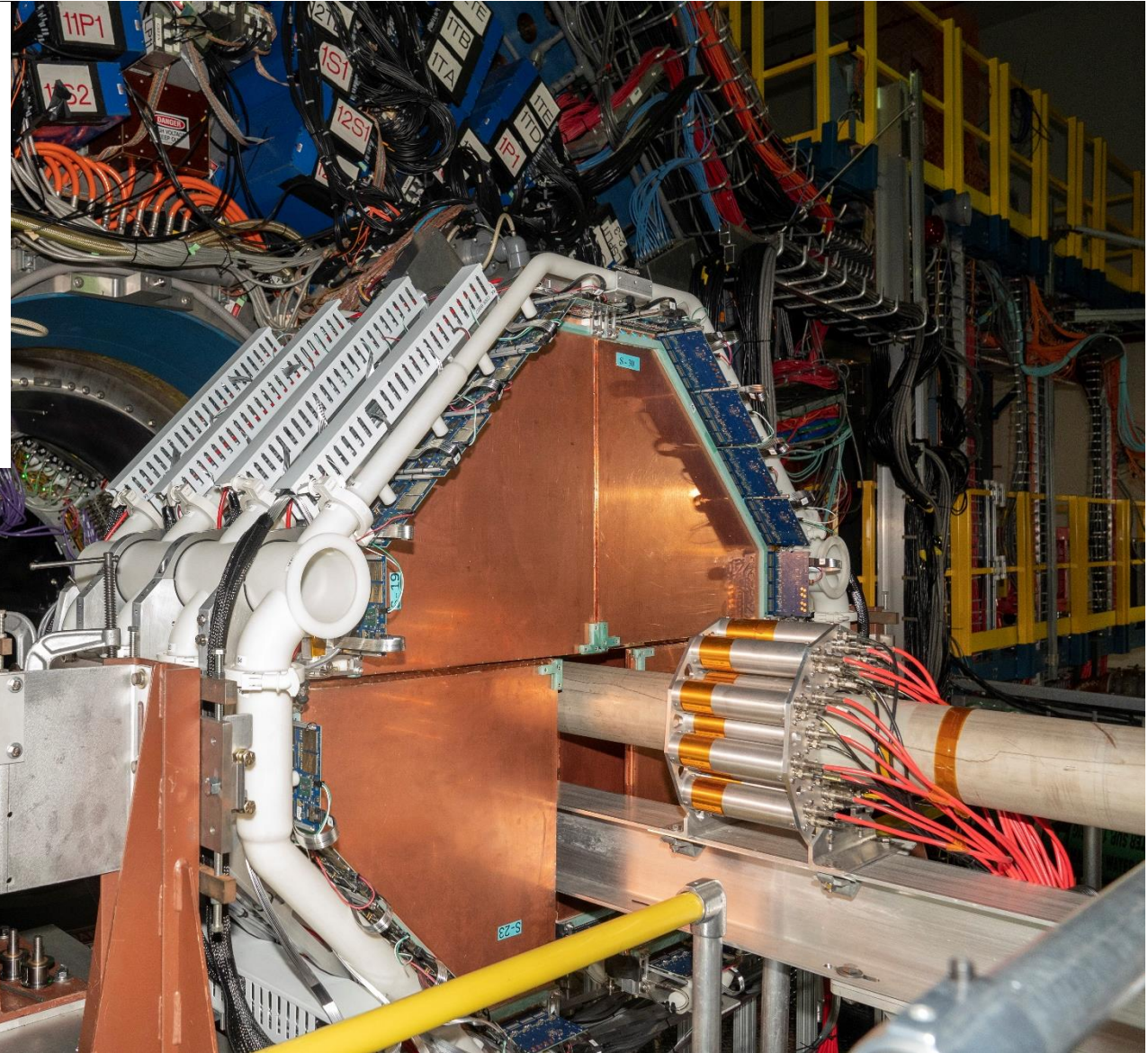
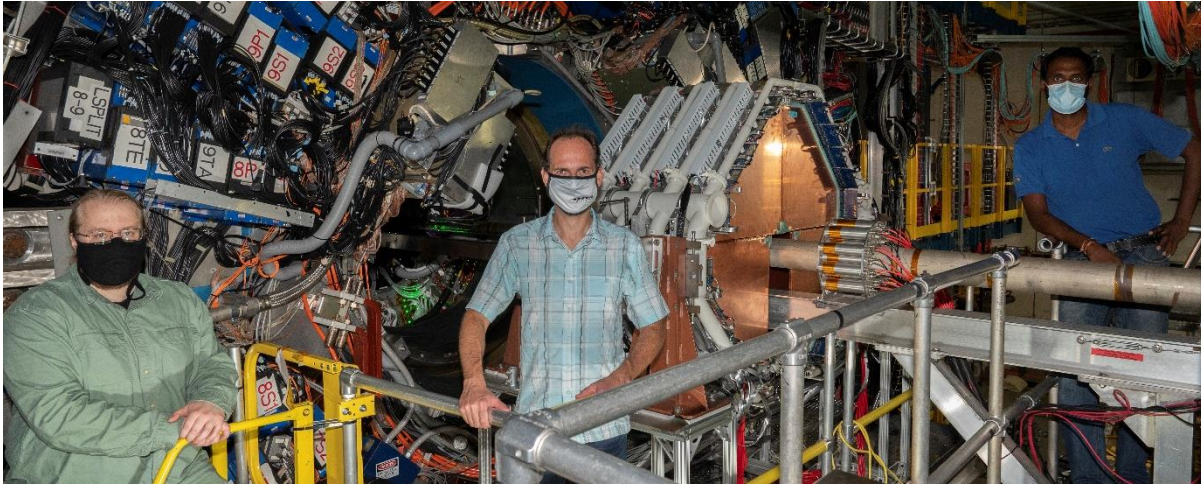


Small-strip Thin Gap Chambers



- Four planes, each consisting of four pentagonal modules
 - Double-sided sTGC with diagonal strips give x, y, u in each layer
 - Position resolution $< 200 \mu\text{m}$
- Material budget $\sim 0.5\% X_0$ per layer
- Readout based on VMM chips
- Similar to the ATLAS sTGC system

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sTGC gas system

Gas cabinet



Front of the controls cabinet



Gas distribution panel



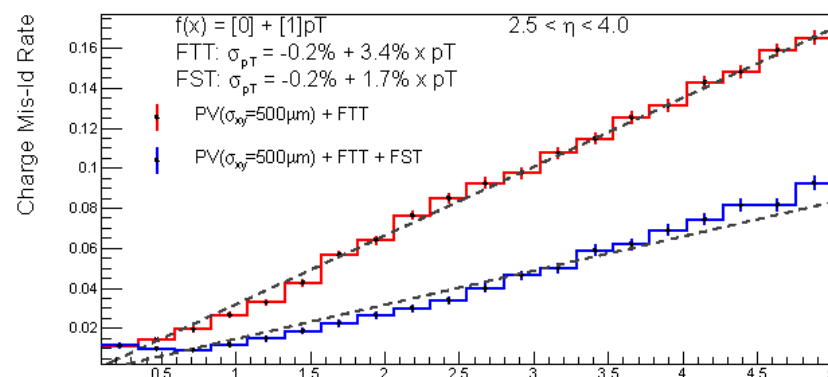
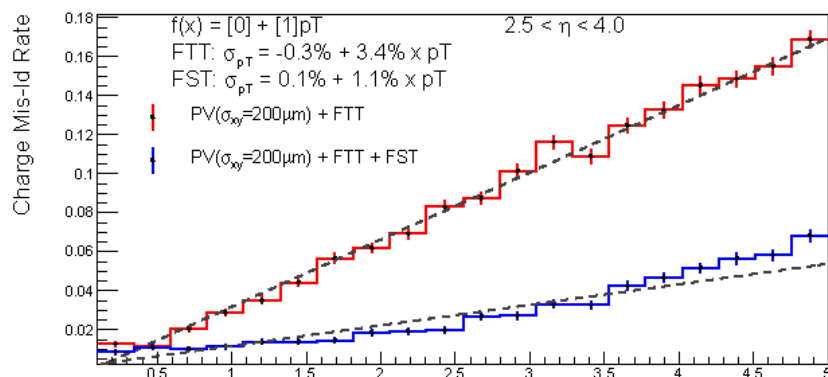
- sTGCs use a mixture of CO₂ and n-pentane
 - **Extreme care needed for the highly flammable n-pentane!**
 - Flash point 14 °C; explosive limits 1.5 – 7.8%
 - Boiling point of 36 °C further complicates things
- Has **operated extremely well** through major power failures and big storms

Simulated performance of the Forward Tracker

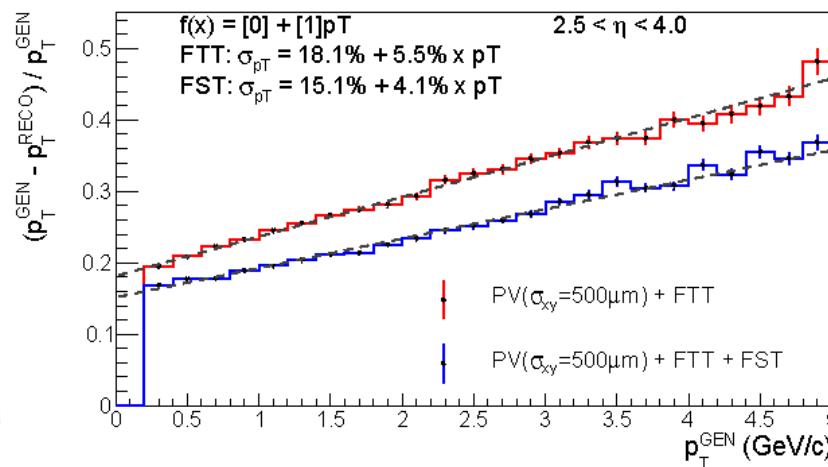
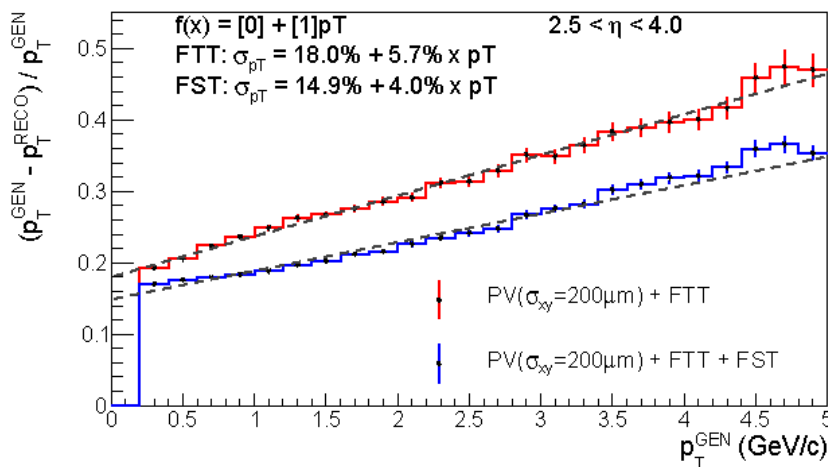
$\sqrt{s} = 510$ GeV

$\sqrt{s} = 200$ GeV

Charge mis-ID
rate vs. p_T



p_T resolution
vs. p_T

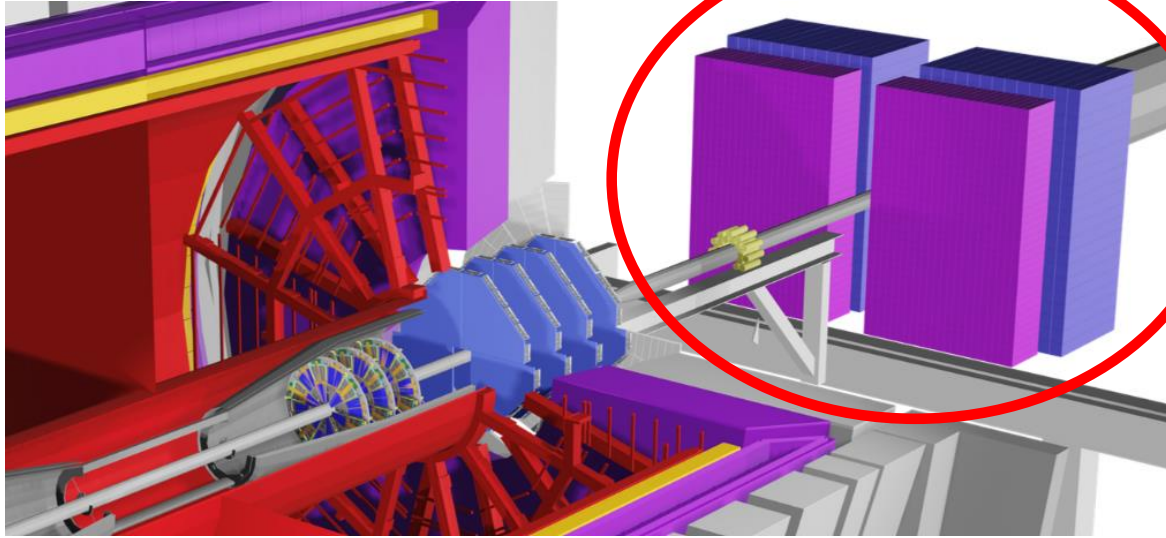


Red: sTGCs only

Blue: sTGCs+Si disks

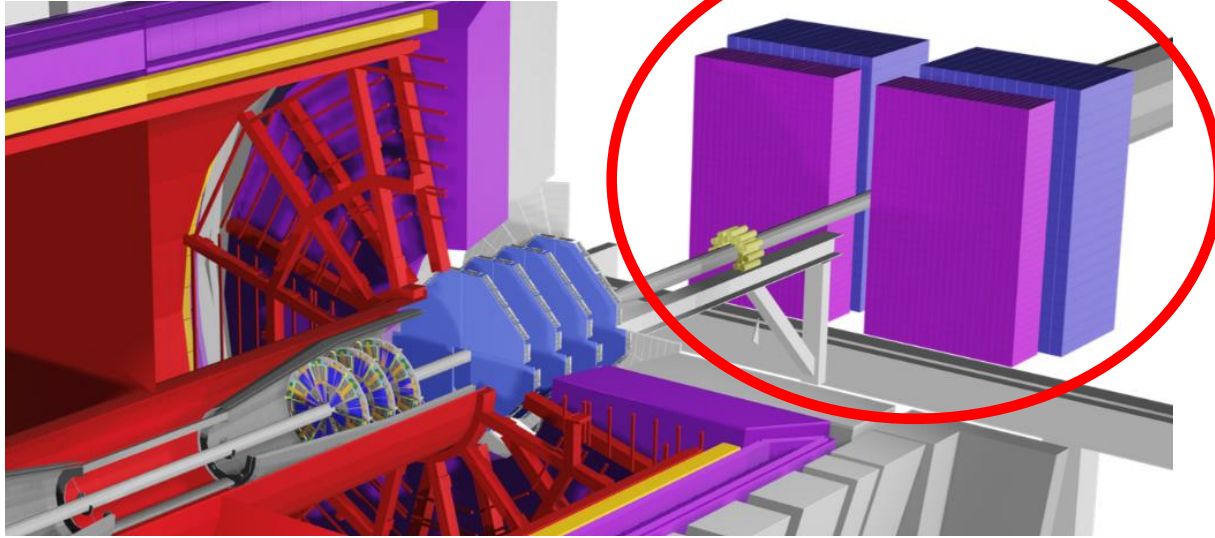
- Charge mis-ID rate less than 6% (8%) for $p_T < 5$ GeV/c and $\sqrt{s} = 510$ GeV (200 GeV)
- p_T resolution better than 35% for $p_T < 5$ GeV/c for both beam energies

Forward Calorimeter System (FCS)



- 7 m from the center of **STAR**
 - Split into 2 movable halves
 - Slightly projective
- ECal:
 - Reuse PHENIX Pb-Scintillator calorimeter
 - 1496 channels: $5.52 \times 5.52 \times 33 \text{ cm}^3$
 - 66 sampling cells with 1.5 mm Pb / 4 mm Sc
 - 36 wavelength-shifting fibers per cell
 - $18 X_0$; 0.85 nuclear interaction lengths
 - Replaced PMTs with SiPM readout
- HCal:
 - Fe/Sc (20 mm/3 mm) sandwich
 - 520 channels: $10 \times 10 \times 84 \text{ cm}^3$
 - Approximately 4.5 nuclear interaction lengths
 - Uses same SiPM readout as ECal
 - Developed in collaboration with EIC R&D
- Preshower:
 - Split signals off from **STAR** EPD for triggering

Forward Calorimeter System (FCS)

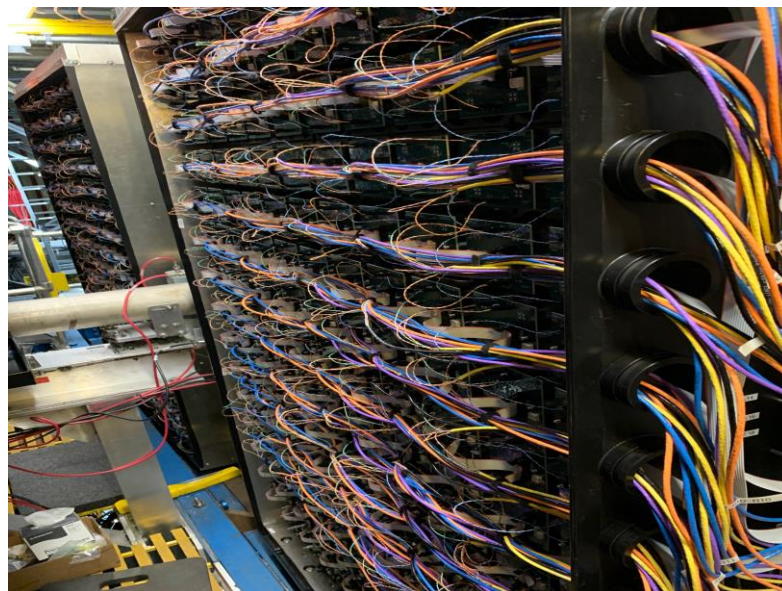


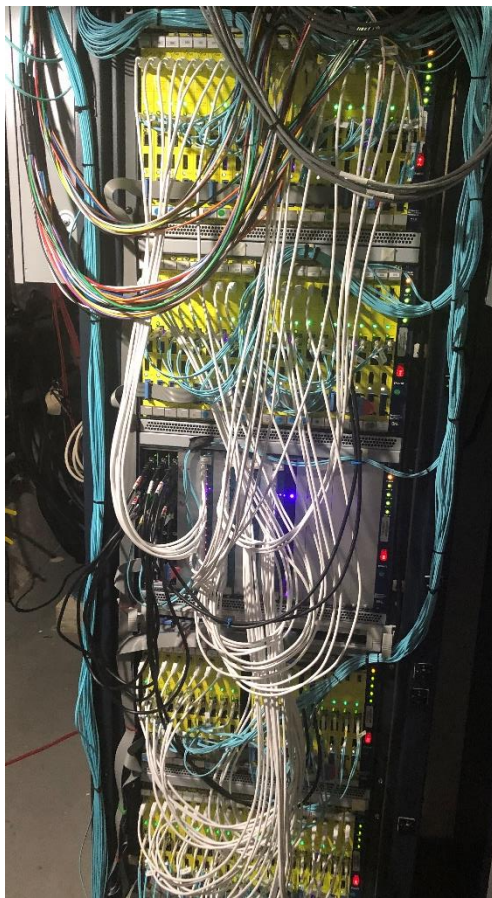
- **Entire FCS (ECal + HCal + electronics) was installed during 2020**

- Commissioned during the 2021 RHIC run
 - Extensive running with Au+Au at $\sqrt{s_{NN}} = 7.7$ GeV
 - Brief runs with O+O and d+Au at $\sqrt{s_{NN}} = 200$ GeV

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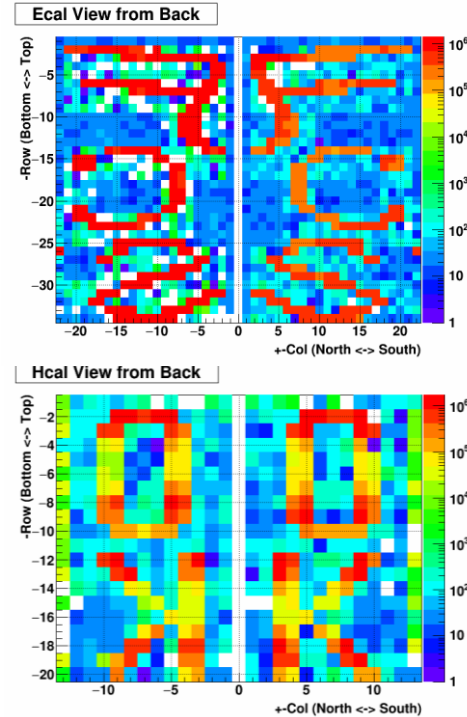




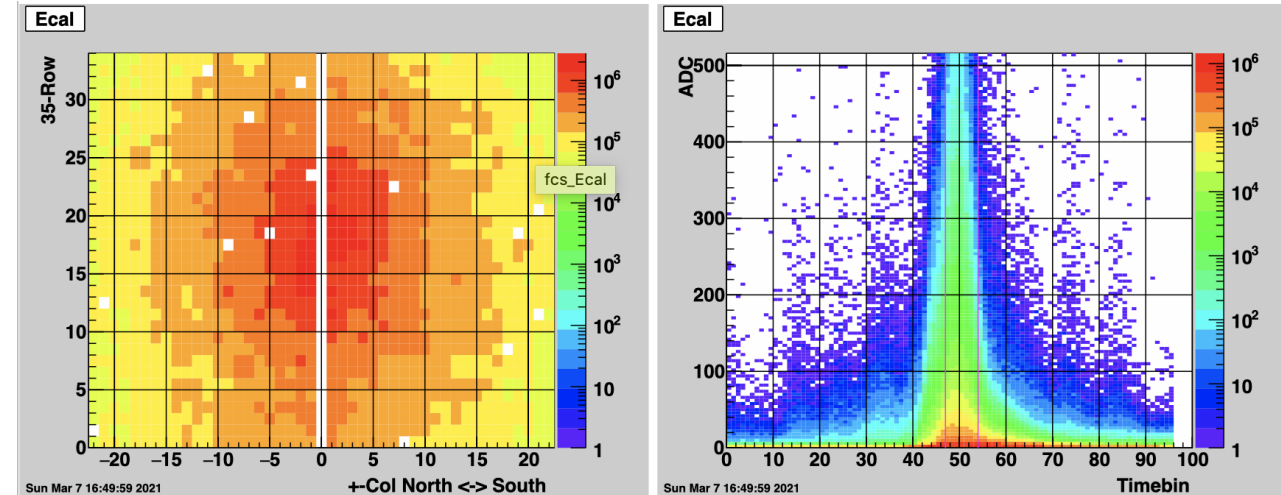
FCS readout and commissioning



LED mapping check



Online monitoring plots during 7.7 GeV Au+Au



- During the 2021 RHIC run, we:
 - Exercised the on-line machinery, monitoring systems, and slow controls
- Was ready to go on Day-1 of the 508 GeV pp run in 2022 (except for some gain tweaks)

Forward Upgrade timeline

- Summer, 2019: final funding was secured
- Fall, 2020: FCS and associated electronics installed
- Spring, 2021: FCS commissioned with beam
- August, 2021: FST installed
- October, 2021: sTGC installed
- November, 2021: FST and sTGC commissioned with cosmic rays
- November 29, 2021: cool down began for the 2022 RHIC run with 508 GeV pp collisions
- December 21, 2021: commissioning with beam completed, physics data-taking began

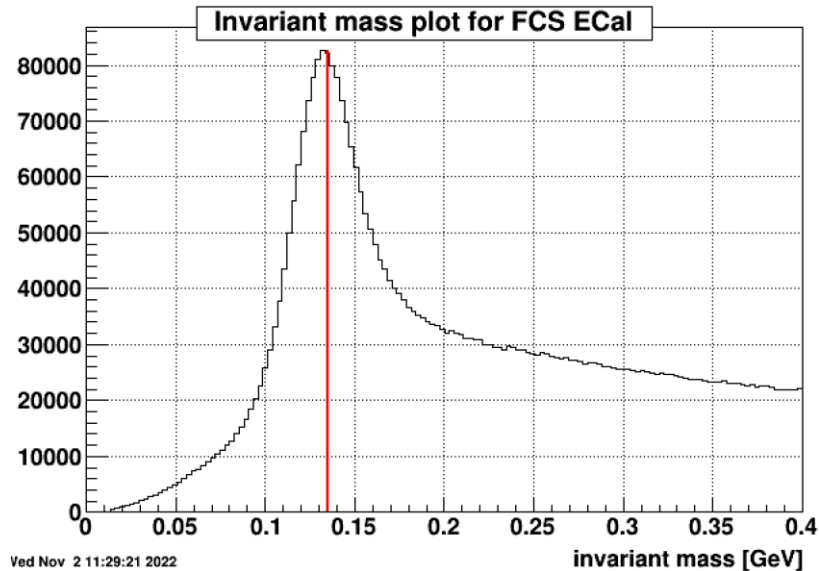
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- The **STAR** Forward Upgrade was **completed on time and on budget, in spite of the pandemic!**
- And since then, it has **operated very smoothly** and taken excellent data **throughout the 2022** (508 GeV polarized pp collisions) **and 2023** (200 GeV Au+Au collisions) RHIC runs

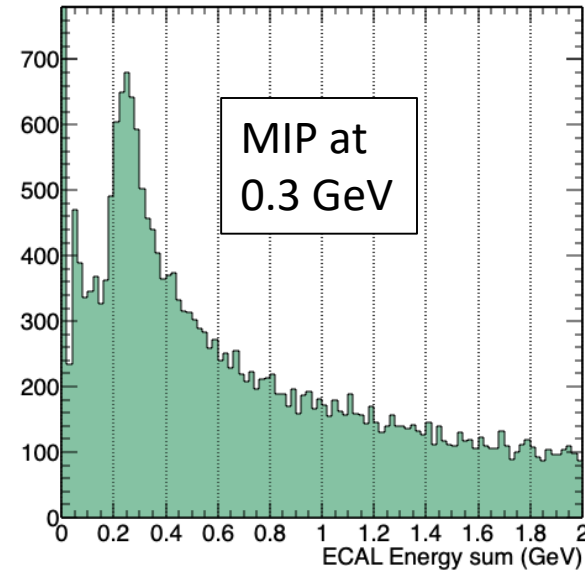
Analysis status for the 2022 RHIC run



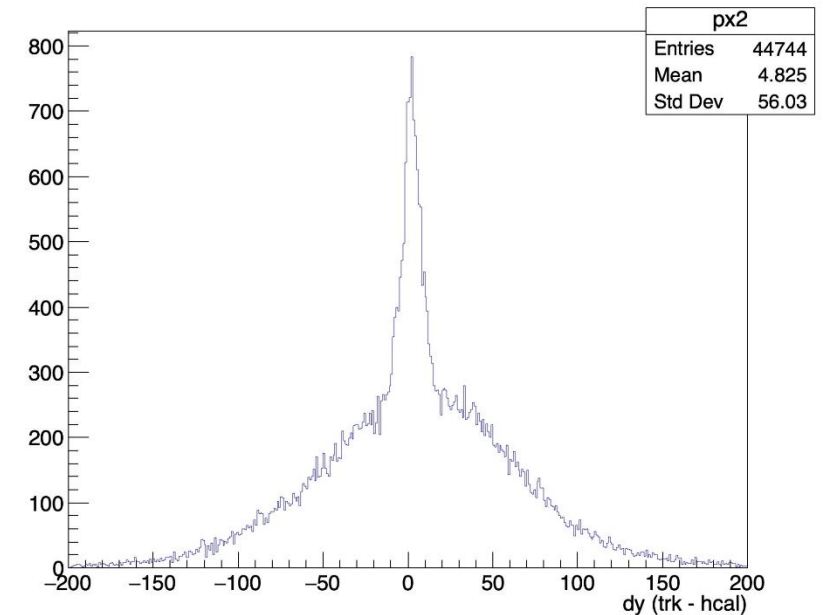
- FCS ECal π^0 calibration complete
- Track finder and fitter can use sTGC first, then add FST, or the reverse
- Find clear correlations between reconstructed tracks and FCS hits
- Working on precise final alignments of sTGC and FST
- Working toward HCal MIP and J/ψ reconstruction
- FCS-only jet reconstruction operational

Energy of small (1-2 cell) ECal cluster with track pointing

Tracking and FCS from same event



Y of track projected to HCal – Y of HCal cluster



What is the science of the *STAR* Forward Upgrade?

STAR Forward Upgrade physics program

Forward-rapidity: $2.5 < \eta < 4$

A+A

Beam:
Full Energy AuAu

Physics Topics:

- Temperature dependence of viscosity through flow harmonics up to $\eta \sim 4$
- Longitudinal decorrelation up to $\eta \sim 4$
- Global Lambda Polarization
→ strong rapidity dependence

p+p & p+A

Beam:
508 GeV: p+p
200 GeV: p+p and p+A

Physics Topics:

- Sivers asymmetries for hadrons, (tagged) jets, and di-jets
- Collins asymmetries at high x transversity → tensor charge
- GPD E_g : gluon spin-orbit correlations
- Gluon PDFs for nuclei
 - R_{pA} for direct photons & DY
- Test of Saturation predictions through di-hadrons, γ -Jets

• Observables:

- Charged and neutral hadrons
- Inclusive jets and di-jets
- Hadrons in jets
- Photons
- Drell-Yan and J/ψ di-electrons
- Lambda's
- Mid-forward and forward-forward rapidity correlations

• Running periods:

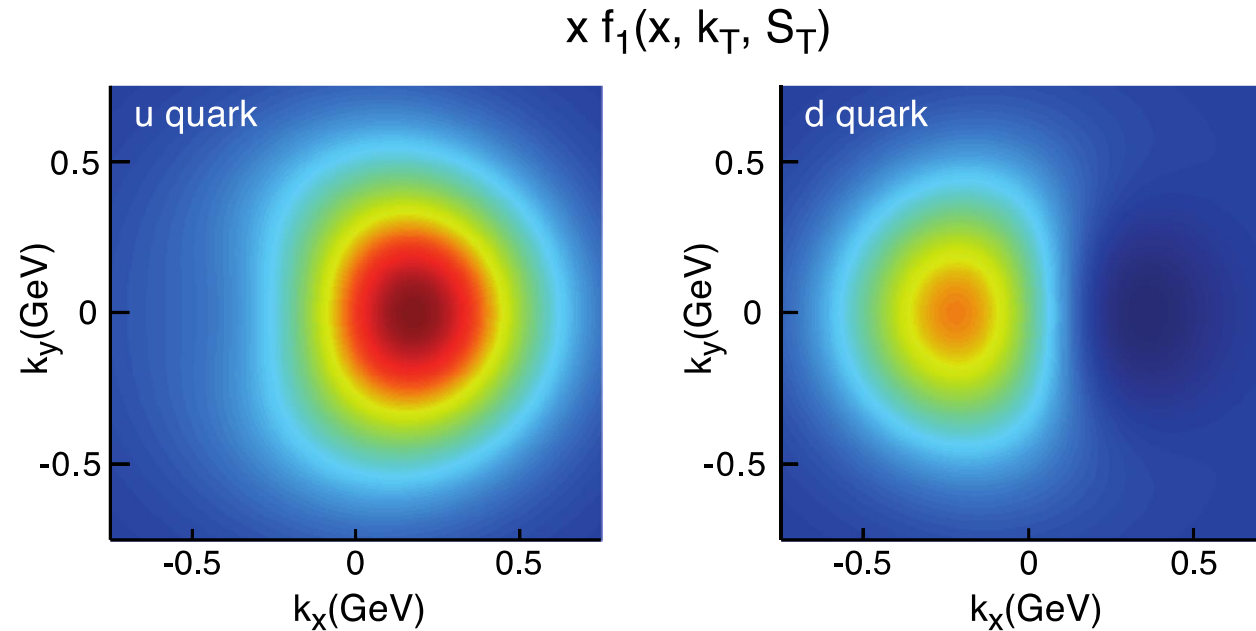
• **STAR** alone:

- 2022 (began late '21): 508 GeV polarized pp

• **STAR** in parallel with sPHENIX:

- 2023 and 2025: 200 GeV Au+Au
- 2024: 200 GeV polarized pp
- Hope for polarized p +Au during 2024 or '25 (but not guaranteed)

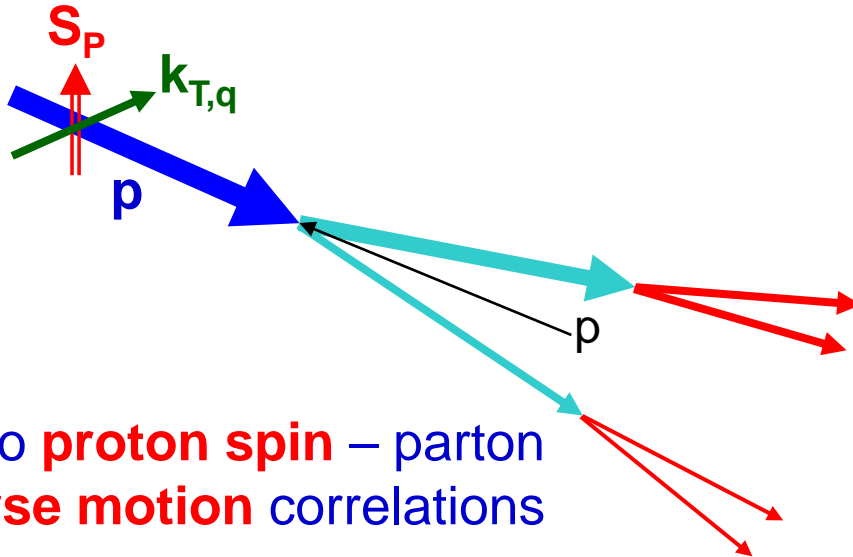
Why Transverse Momentum Dependent (TMD) phenomena?



- Image the transverse and longitudinal (2+1d) structure of the nucleon and nuclei
 - **Tomography of the nucleon!**
- Access to transverse momenta at non-perturbative scales
 - **Probe at the confinement scale**
- Exhibit correlations arising from spin-orbit effects

Separating initial- and final-state effects

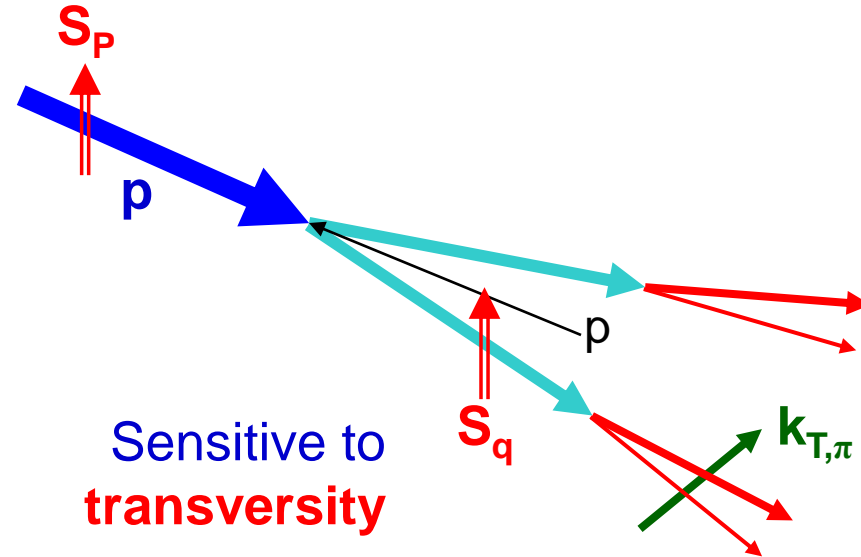
Sivers or twist-3 mechanisms:



Sensitive to **proton spin** – parton **transverse motion** correlations

- Signatures:
 - A_N for jets or direct photons
 - A_N for $W^{+/-}$, Z^0 , Drell-Yan
 - A_N for heavy flavor (gluon)
- Sivers NOT universal
 - Sign change from SIDIS to W, Z, and Drell-Yan

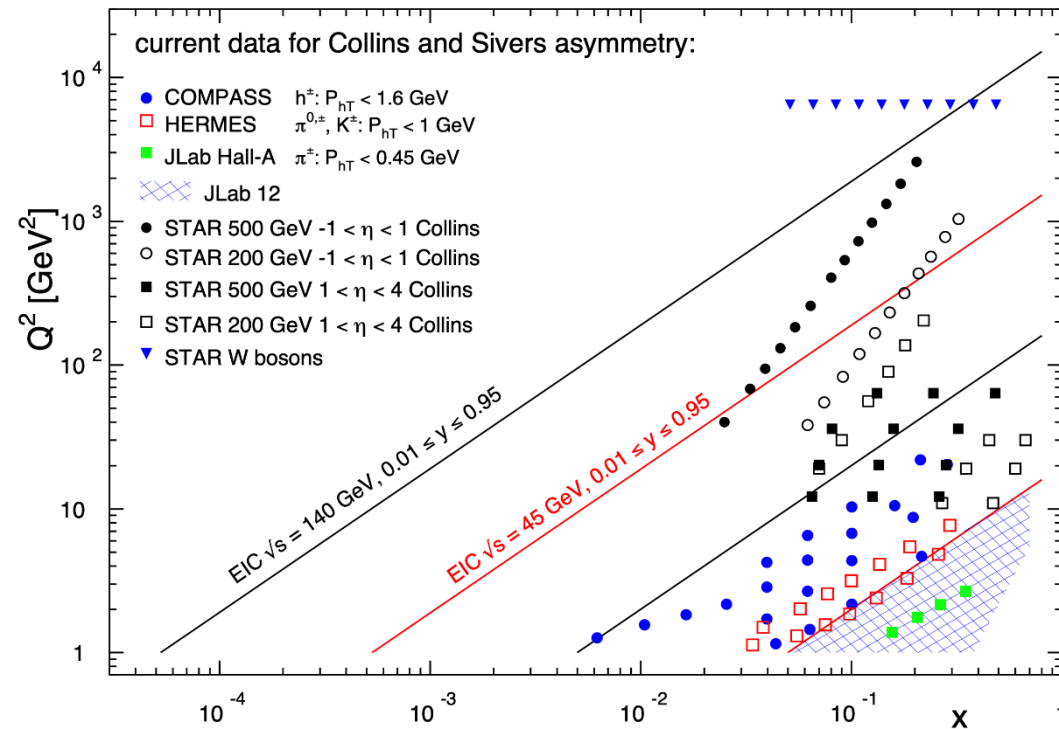
Collins or novel FF mechanisms:



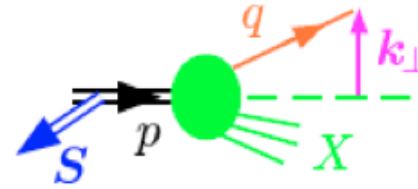
Sensitive to **transversity**

- Signatures:
 - Collins effect
 - Interference fragmentation functions (IFF)
 - A_N for pions \rightarrow novel FF
- Collins predicted to be universal

Transverse momentum dependent PDFs and FFs

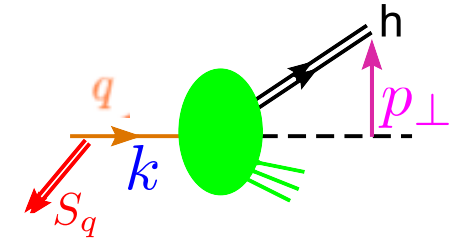


Sivers effect:



Unpolarized partons with a spin-dependent intrinsic k_T

Collins effect:

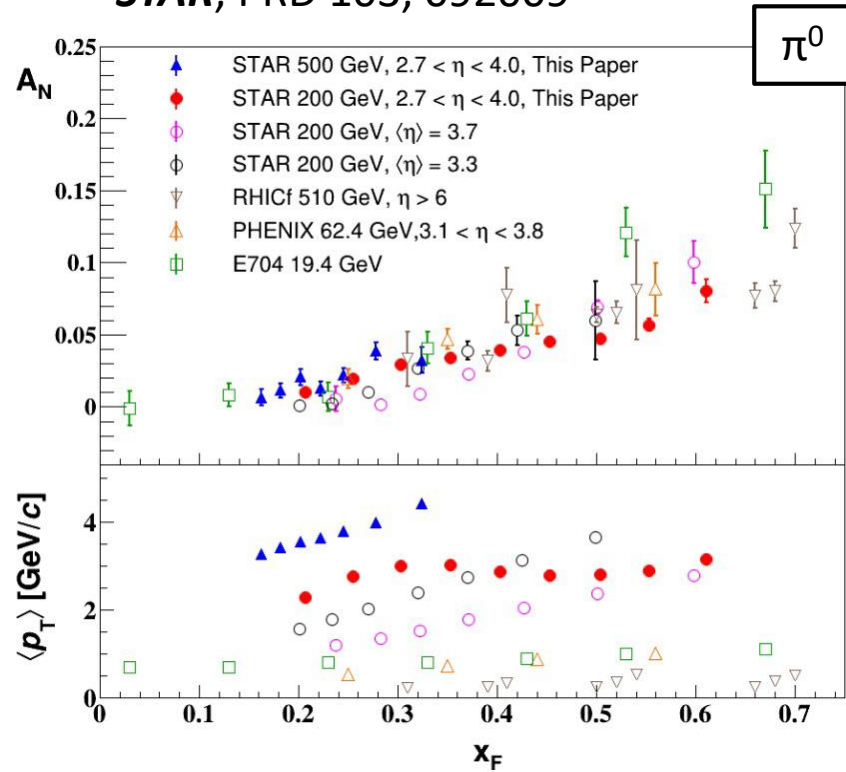


Correlation between the polarization of a scattered quark and the momentum of a hadron fragment transverse to the quark momentum. Requires quark transversity.

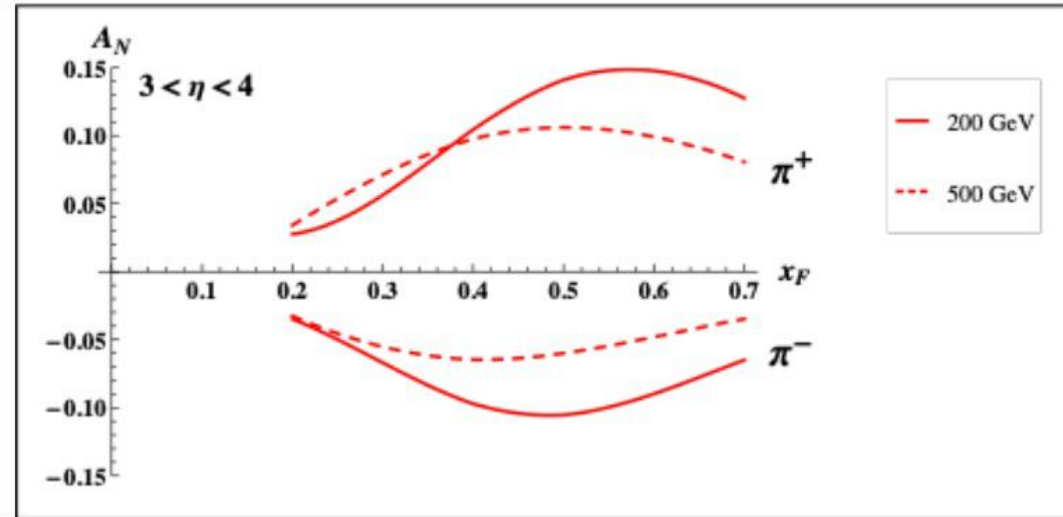
- Before **STAR**, spin-dependent TMDs came only from fixed target data: high x and low Q^2
 - Need measurements at high Q^2 and a broad x range
- STAR** mid- plus forward rapidity provides excellent kinematic overlap with future EIC measurements
 - Forward upgrade provides access to **quarks up to $x \sim 0.5$ and gluons down to $x \sim 0.001$**
 - Need **high precision data in pp and DIS@EIC** to establish universality of TMDs

Inclusive transverse spin asymmetries at forward rapidities

STAR, PRD 103, 092009



Predicted asymmetries for $\pi^{+/-}$ from Kanazawa et al, PRD 89, 111501

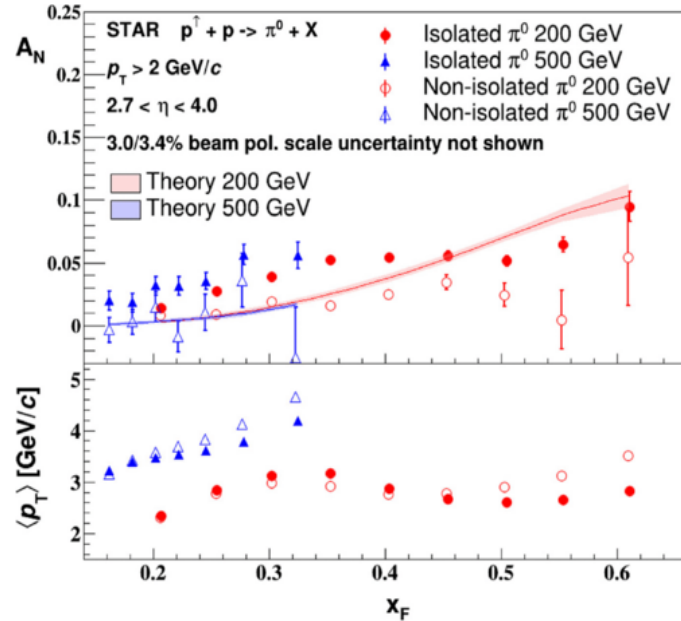


- Described by an interplay of initial-state Sivers distribution or its Twist-3 analog, the Efremov-Teryaev-Qiu-Sterman (ETQS) function, and final-state Collins effect or the related Twist-3 function H_{FU}
- A_N for $h^{+/-}$, direct photon, and π^0 can constrain the evolution and flavor dependence of the ETQS distribution and determine the role of H_{FU}

Underlying mechanism for the large forward rapidity A_N ?

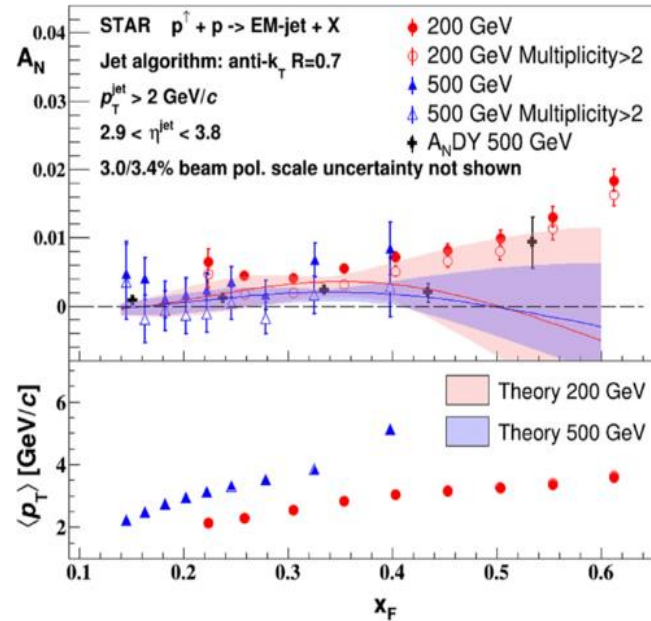
STAR, PRD 103, 092009

$\pi^0 A_N$

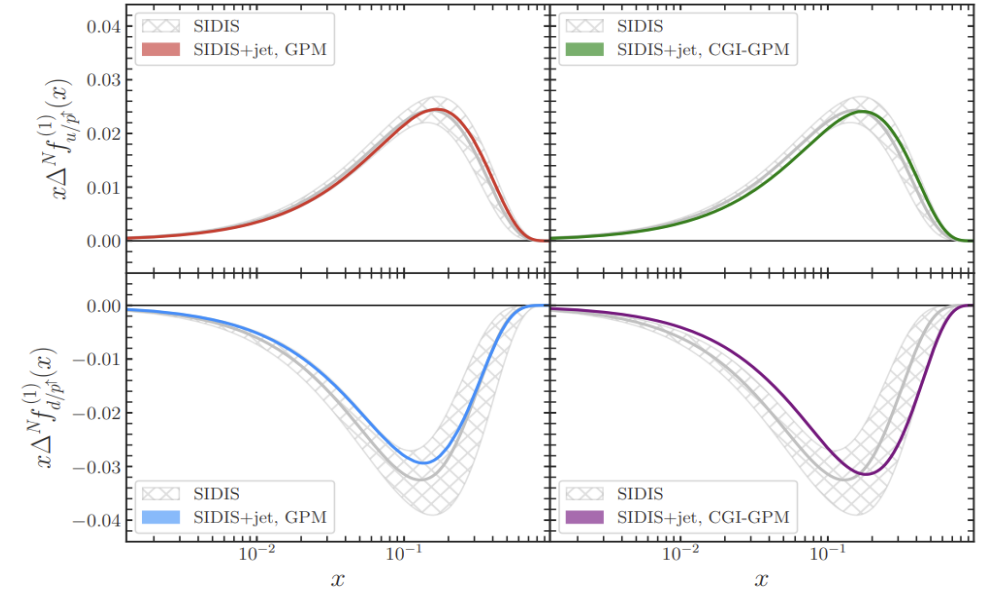


STAR, PRD 103, 092009

EM-jet A_N

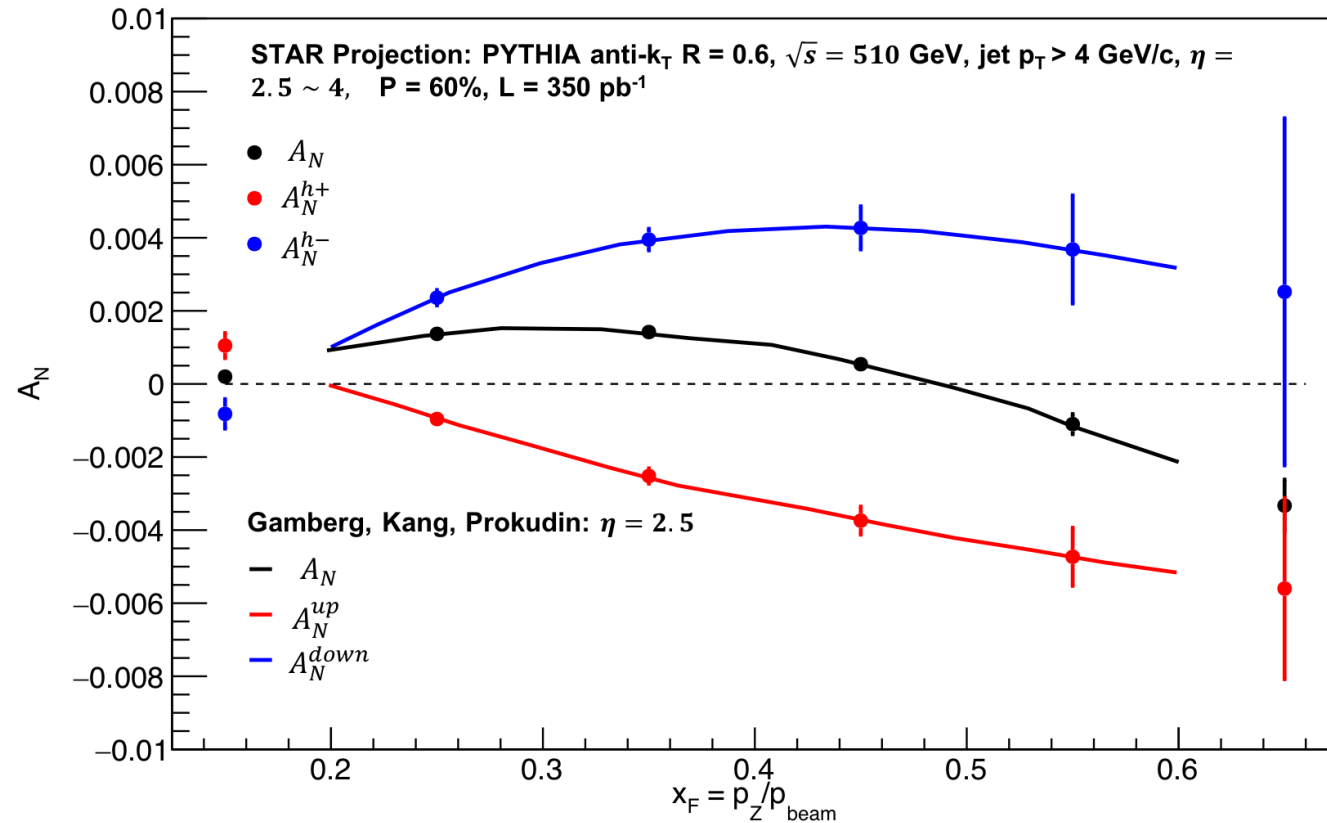


Boglione et al, PLB 815, 136135



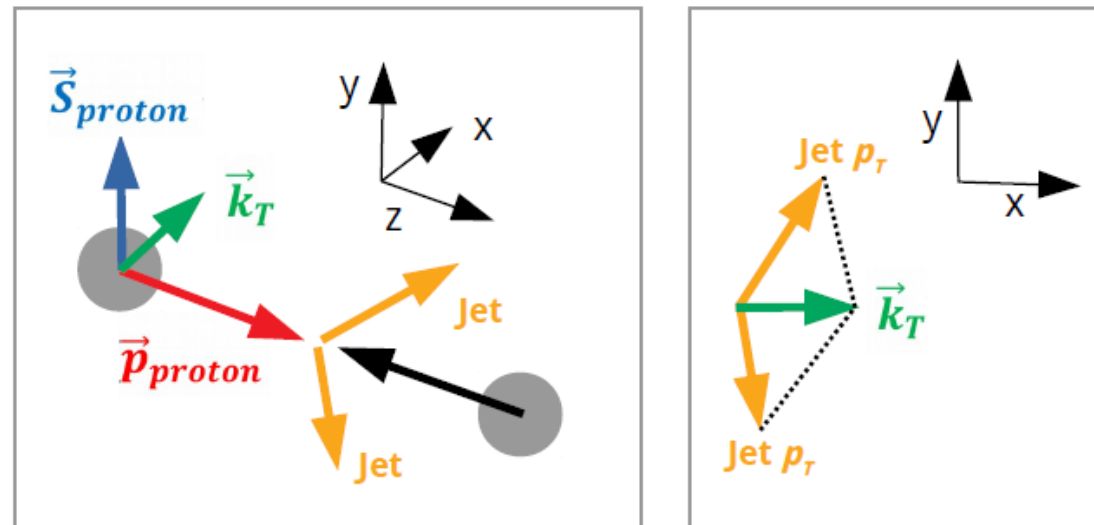
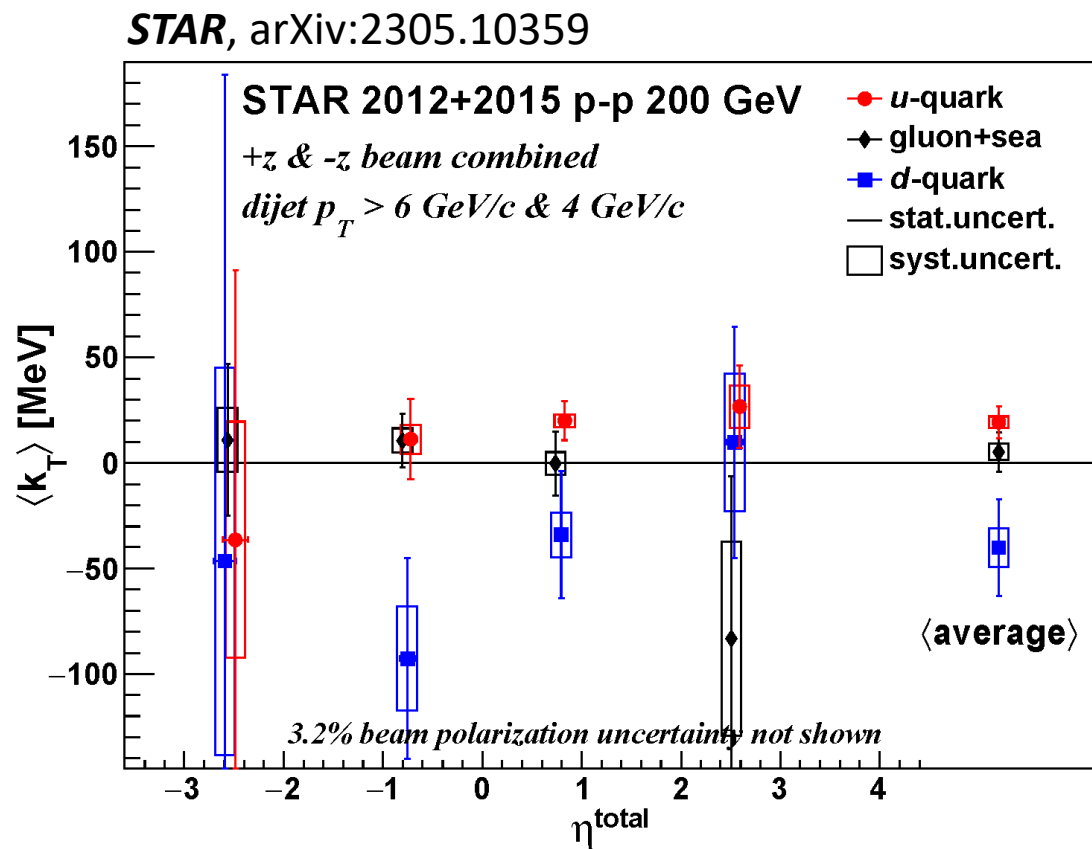
- **STAR** finds A_N lower for non-isolated π^0 and higher multiplicity EM-jets
 - Provide substantial constraints on the Siverson effect at high x
 - Additional mechanism to produce large A_N for isolated π^0 ?
- **STAR** has also measured small Collins asymmetry for π^0 in EM-jet (not shown)
- **STAR** Forward Upgrade will enable forward rapidity asymmetry measurements of charged-tagged jets and di-jets, hadron-in-jet Collins asymmetry, and diffractive processes

How well can the Forward Upgrade do?



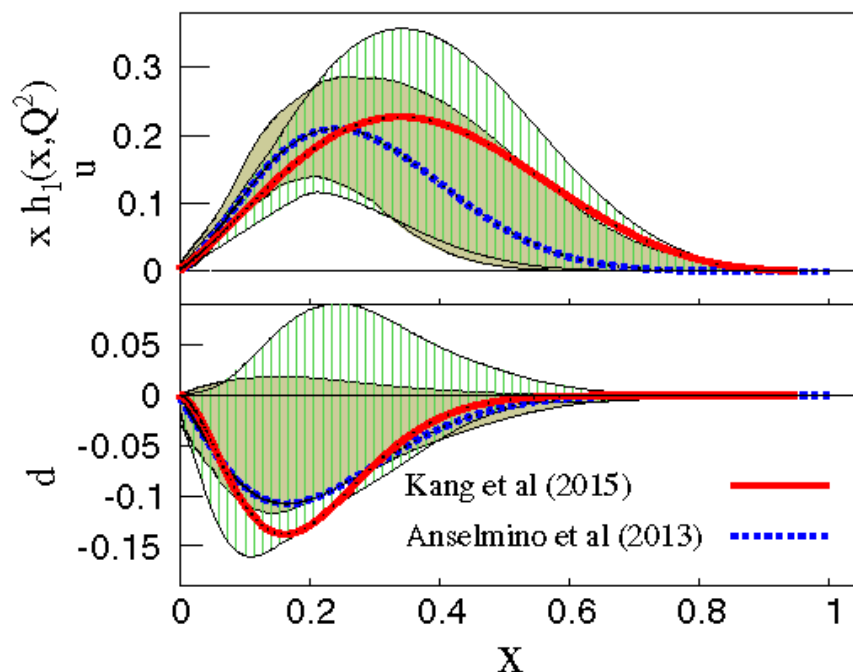
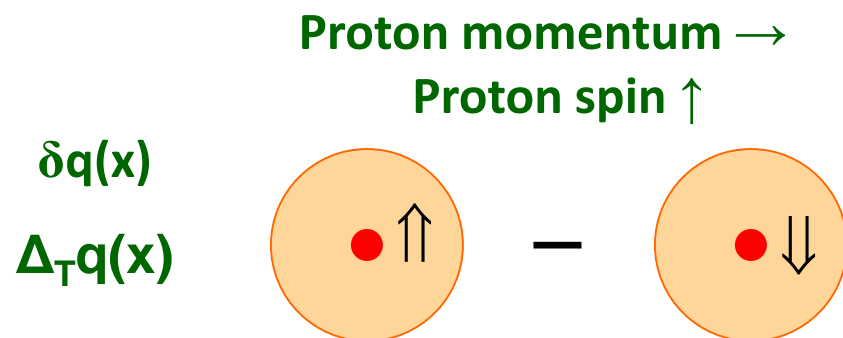
- A_N for full jet reconstruction, combined with charge-sign tagging of a hadron fragment with $z > 0.5$
 - Projected statistical uncertainties drawn on twist-3 predictions from Gamberg et al
 - Up to 10σ separation between plus-tagged and minus-tagged jet A_N

Di-jet Sivers effect



- **STAR** has performed the first ever observation of the Sivers effect in di-jet production
- Mid-rapidity results at $\sqrt{s} = 200 \text{ GeV}$ show that up and down quarks have opposite sign spin-dependent $\langle k_T \rangle$
 - $\langle k_T \rangle_d \sim -2 \langle k_T \rangle_u$
 - Gluon+sea quarks have $\langle k_T \rangle \sim 0$
- $\eta_{\text{total}} = \eta_3 + \eta_4 \sim \ln(x_1/x_2)$
 - Mid-rapidity STAR only covers $|\eta_3 + \eta_4| < 3$
 - Sample x up to 0.21 (and down to 0.02)
- **Forward Upgrade provides access to $|\eta_3 + \eta_4| \sim 6$**
 - **Sample x values from 0.001 to 0.5**

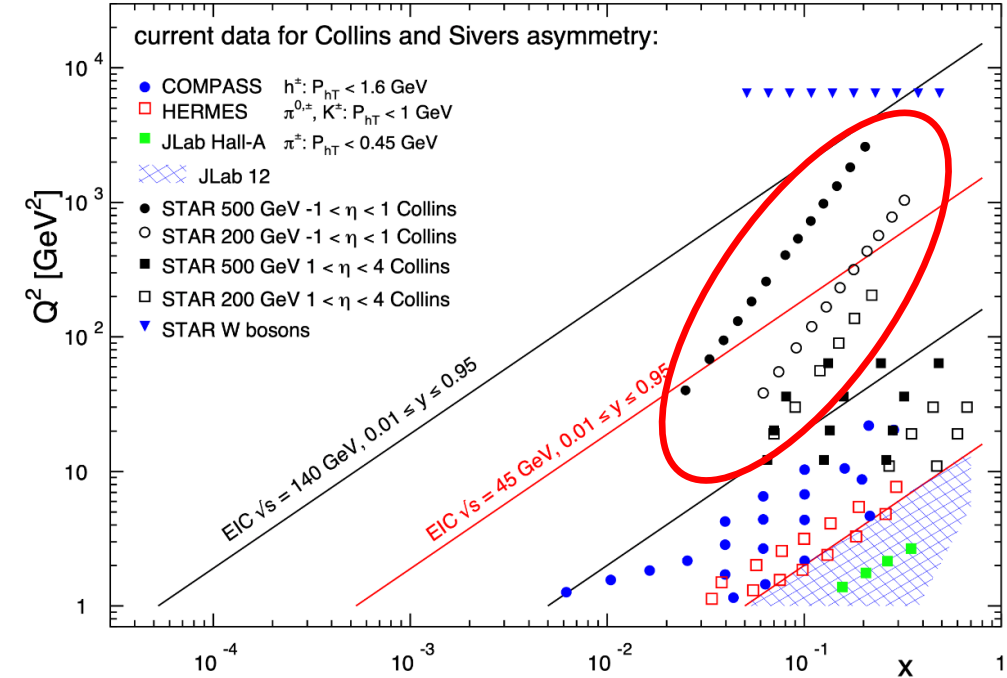
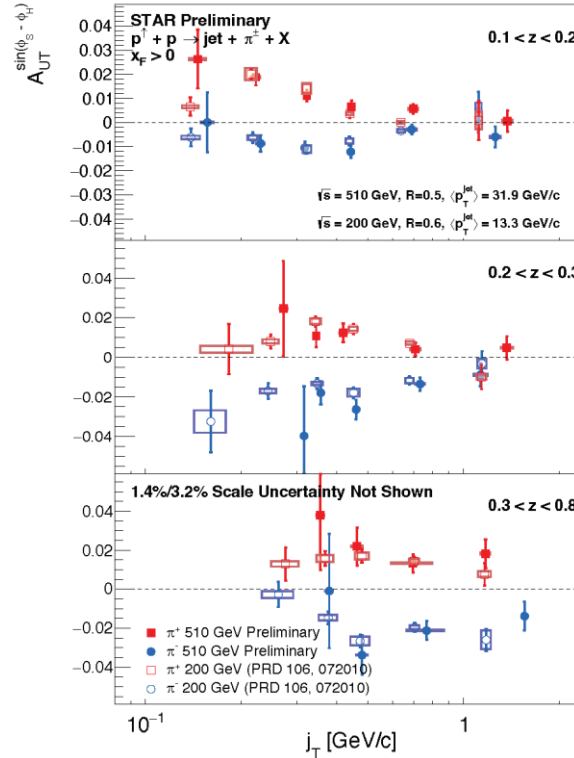
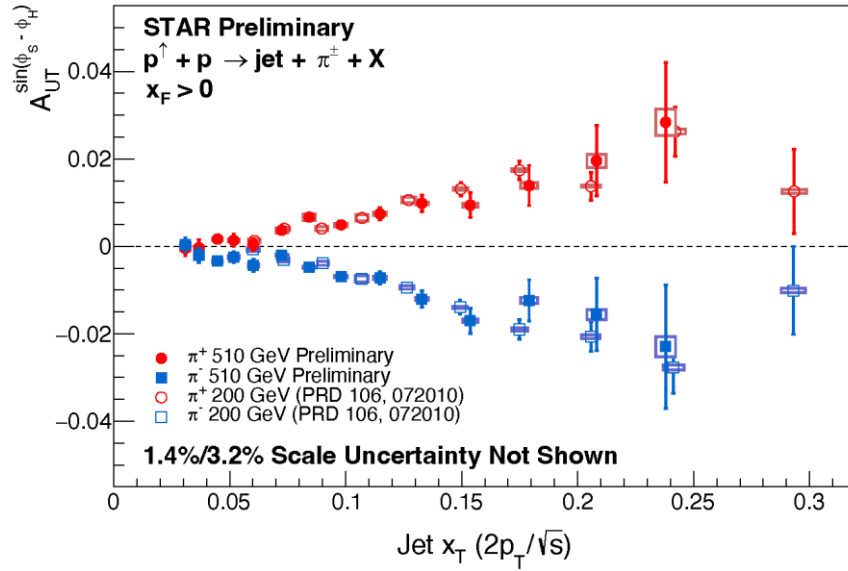
Transversity and the Collins fragmentation function



- Quark polarization along the spin of a transversely polarized proton
 - Third collinear, leading twist distribution
 - Integral gives tensor charge – critical input for low-energy beyond the Standard Model calculations
 - Difference between helicity and transversity directly related to parton orbital angular momentum
- Chiral odd
 - Much less data than for helicity
- Collins FF: azimuthal modulation of hadron fragments
 - Excellent testing ground for TMD factorization, universality, and evolution
- Before **STAR**, transversity only observed in SIDIS + e^+e^-
- Several recent global analyses, including:
 - Collins effect SIDIS input:
 - PRD 93, 014009 (2016)
 - PRD 92, 114023 (2015)
 - PRD 102, 054002 (2020)
 - IFF SIDIS + **STAR** pp input:
 - PRL 120, 192001 (2018)
 - arXiv:2308.14857
 - All show large uncertainties

STAR Collins asymmetry measurements

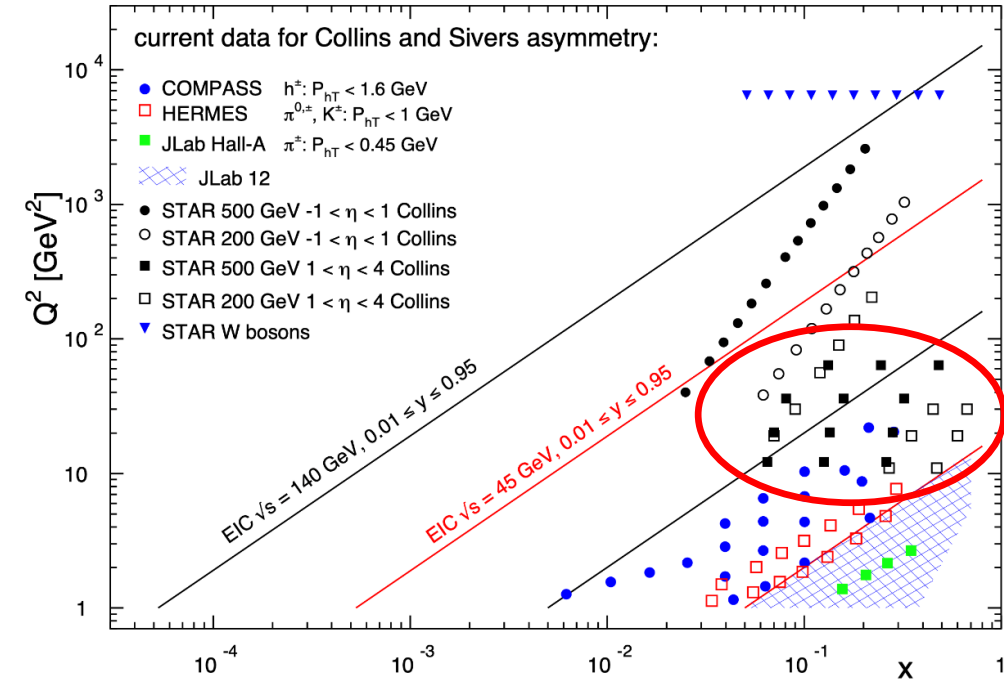
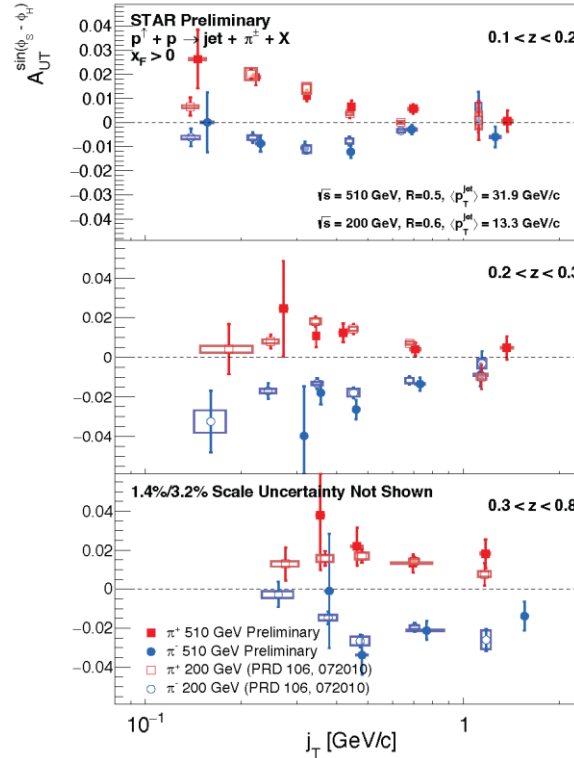
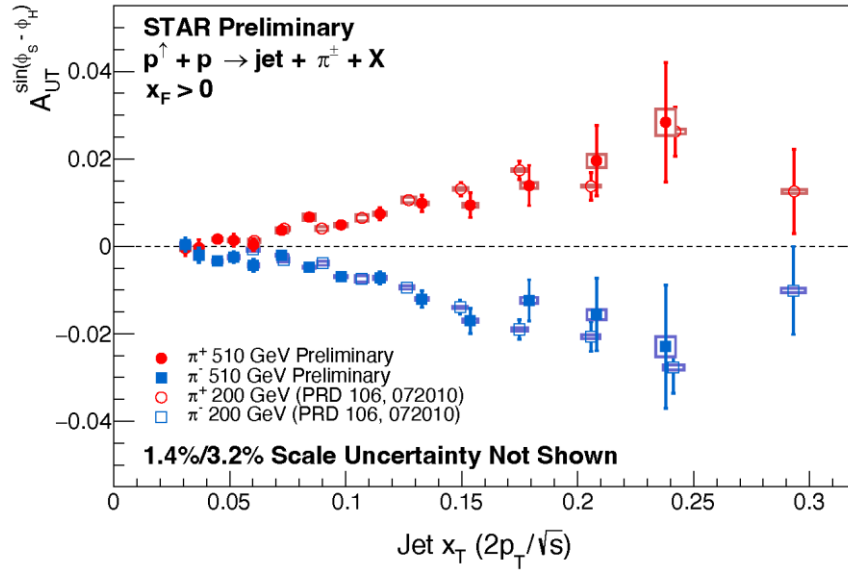
STAR, PRD 106, 070210; and prelim.



- **STAR** has performed detailed measurements of the Collins asymmetry at mid-rapidity in both 200 and 510 GeV pp collisions
 - Span similar x range as existing SIDIS measurements
 - Q^2 values are one to two orders of magnitude higher than SIDIS at the same x

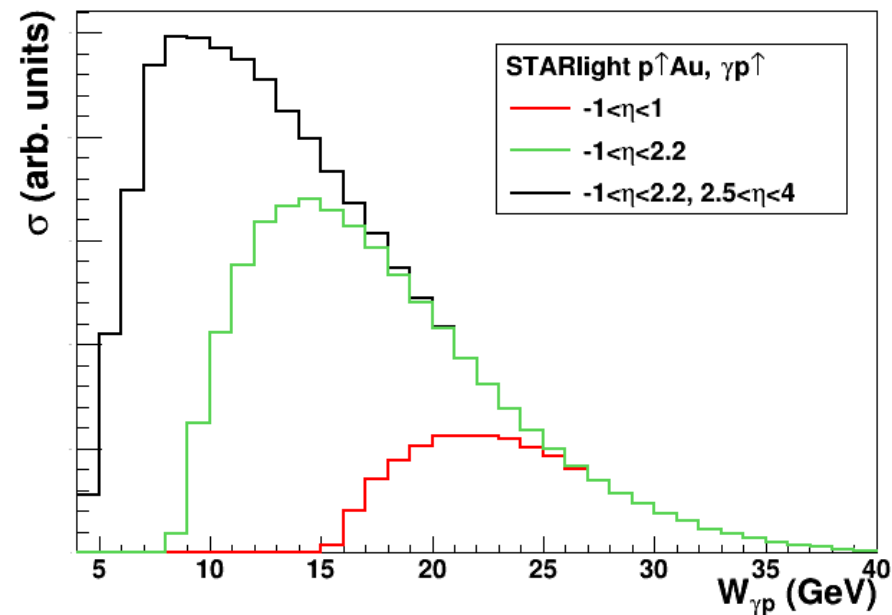
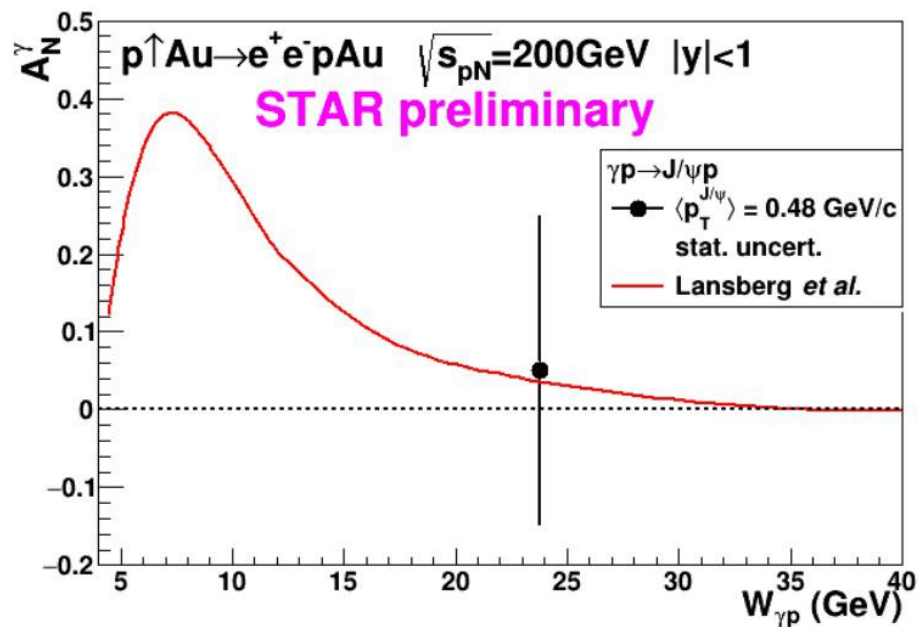
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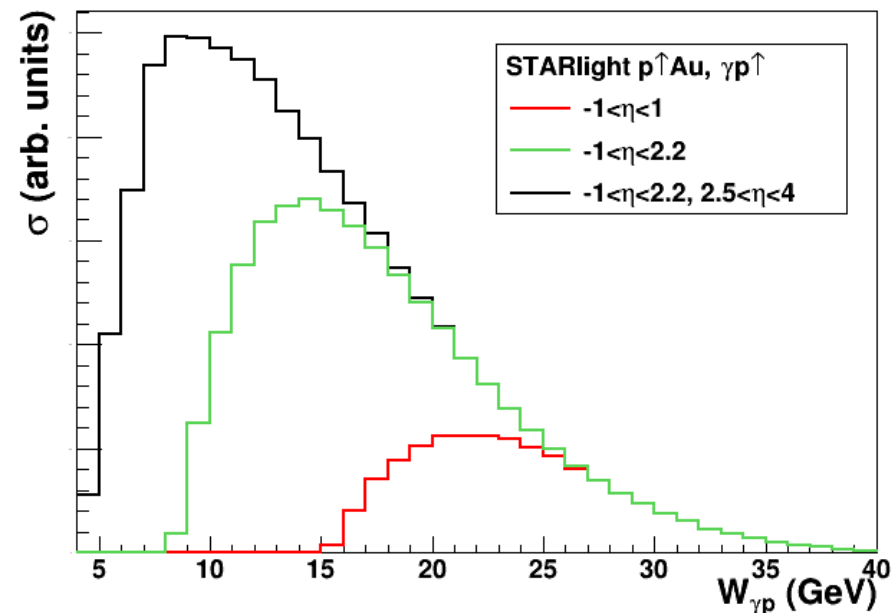
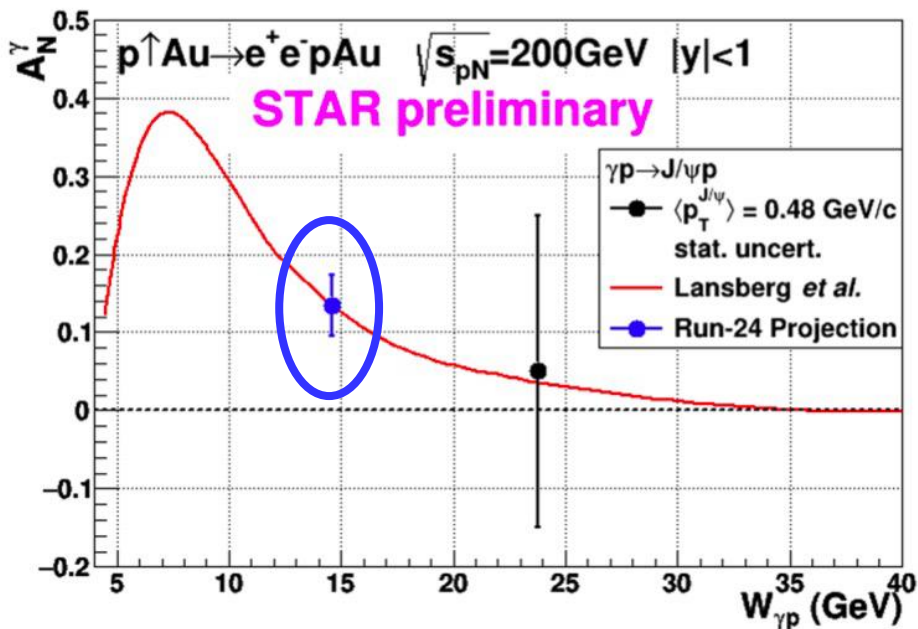
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 - Span similar x range as existing SIDIS measurements
 - Q^2 values are one to two orders of magnitude higher than SIDIS at the same x
- The Forward Upgrade will extend the **x range to above 0.5**, while **filling in the Q^2 region** between SIDIS and mid-rapidity **STAR**
- Essential input for **future universality studies at the EIC**

Generalized parton distribution E_g



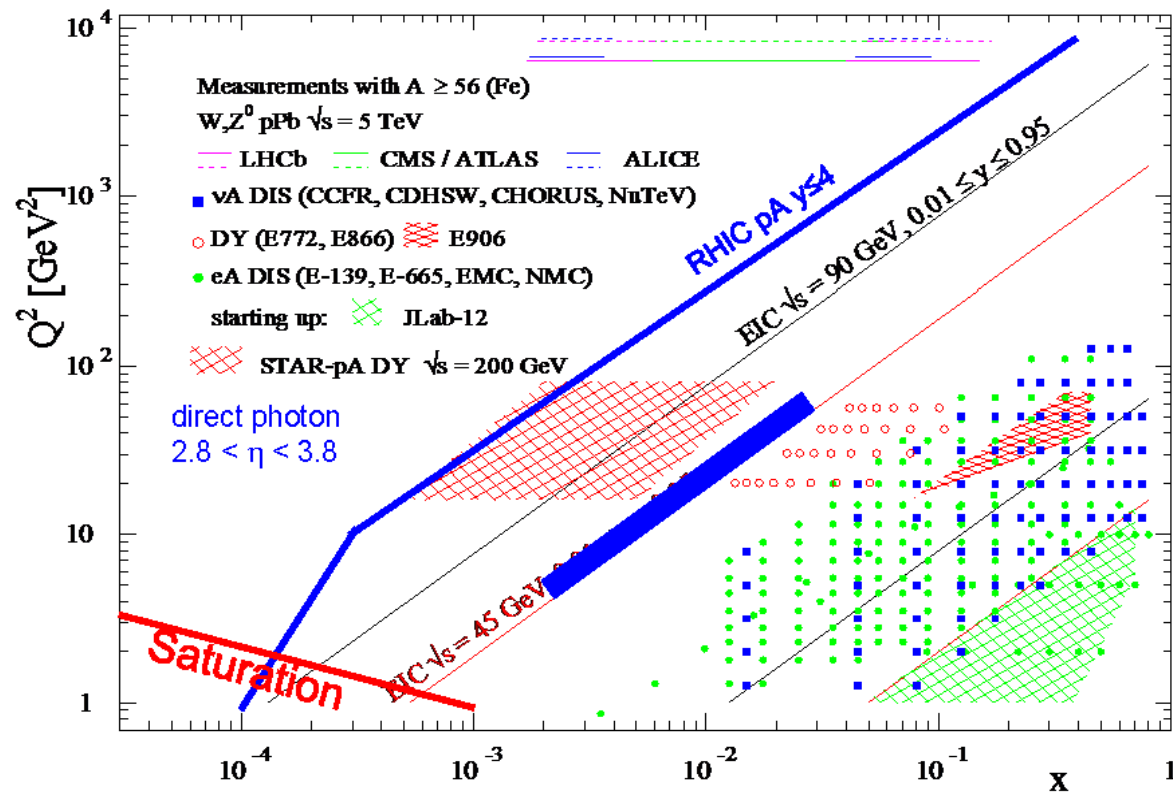
- Exclusive J/ψ A_N in 200 GeV ultra-peripheral p +Au collisions is sensitive to the GPD E_g
 - $Q^2 \sim 10 \text{ GeV}^2$; $10^{-4} < x < 10^{-1}$
 - GPD E_g determines gluon spin-orbit correlations in the proton
- **STAR** performed a proof-of-principle measurement with the TPC during 2015

Generalized parton distribution E_g



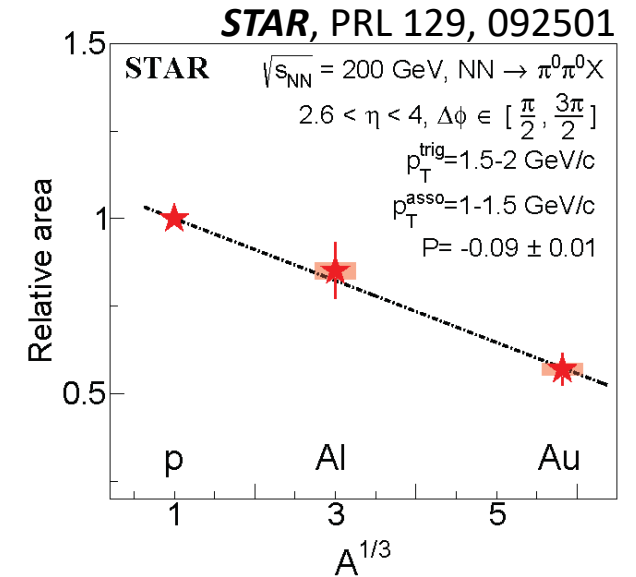
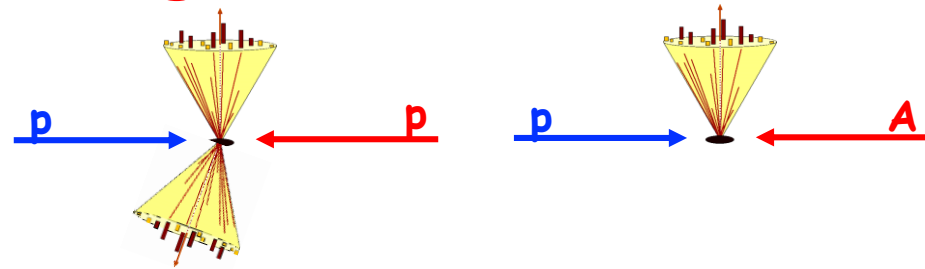
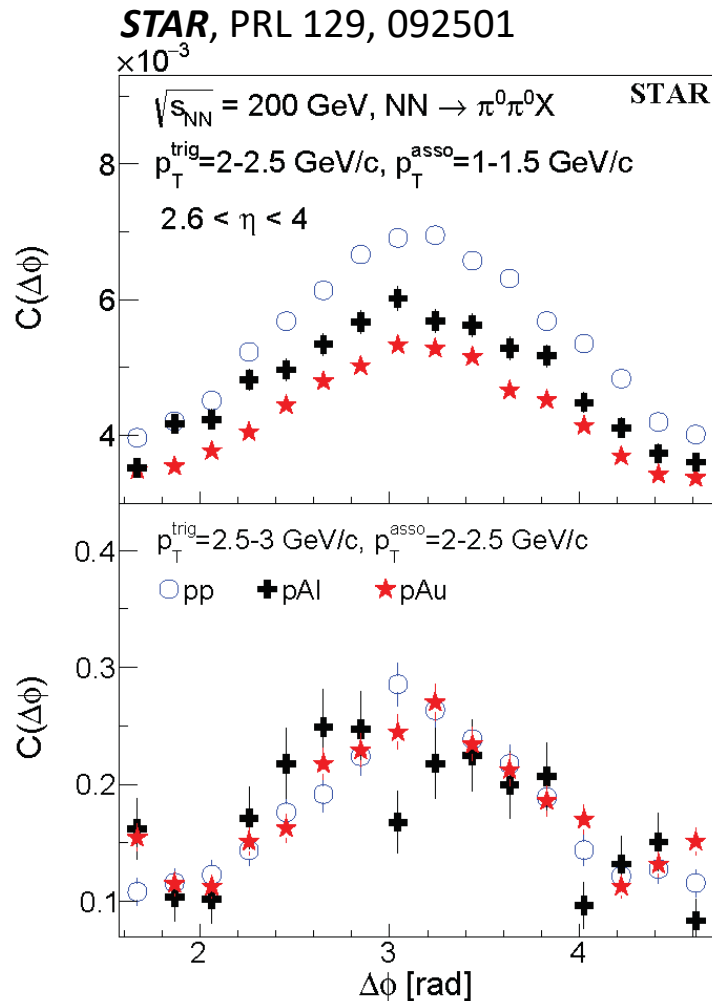
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- **STAR** Forward Upgrade will enable measurement at smaller $W_{\gamma p}$, where both the cross section and the signal are expected to be much larger

Nuclear parton distribution functions



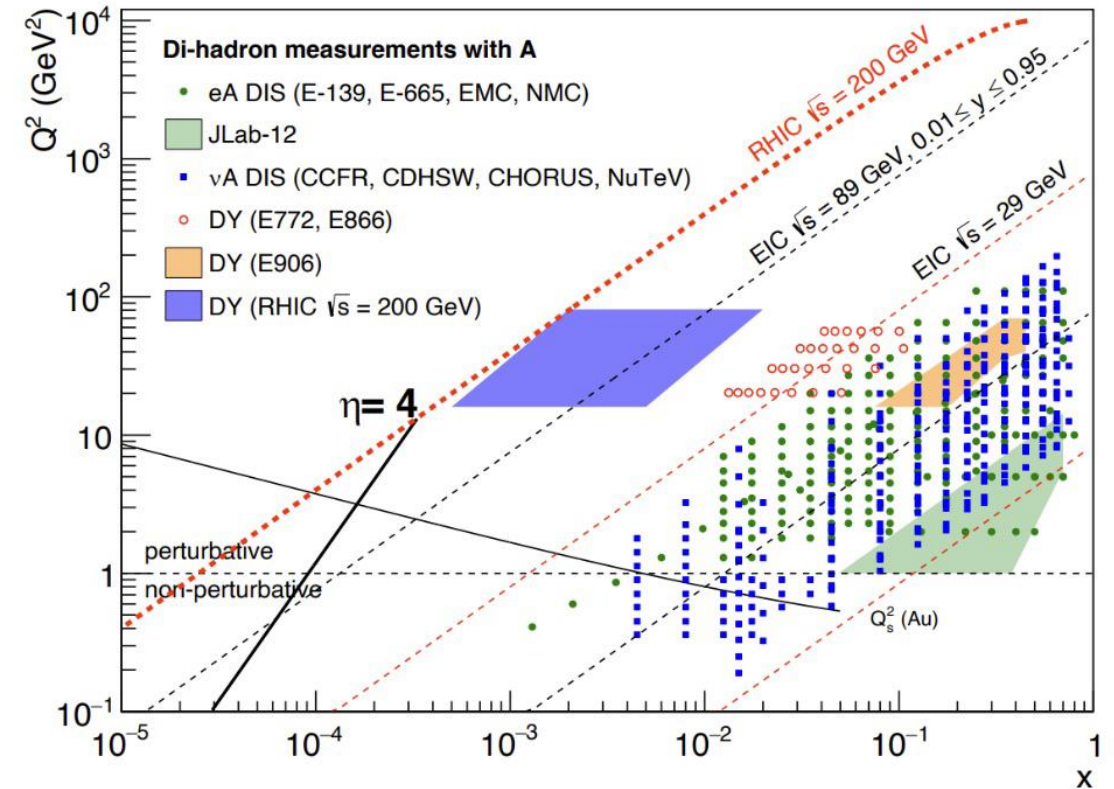
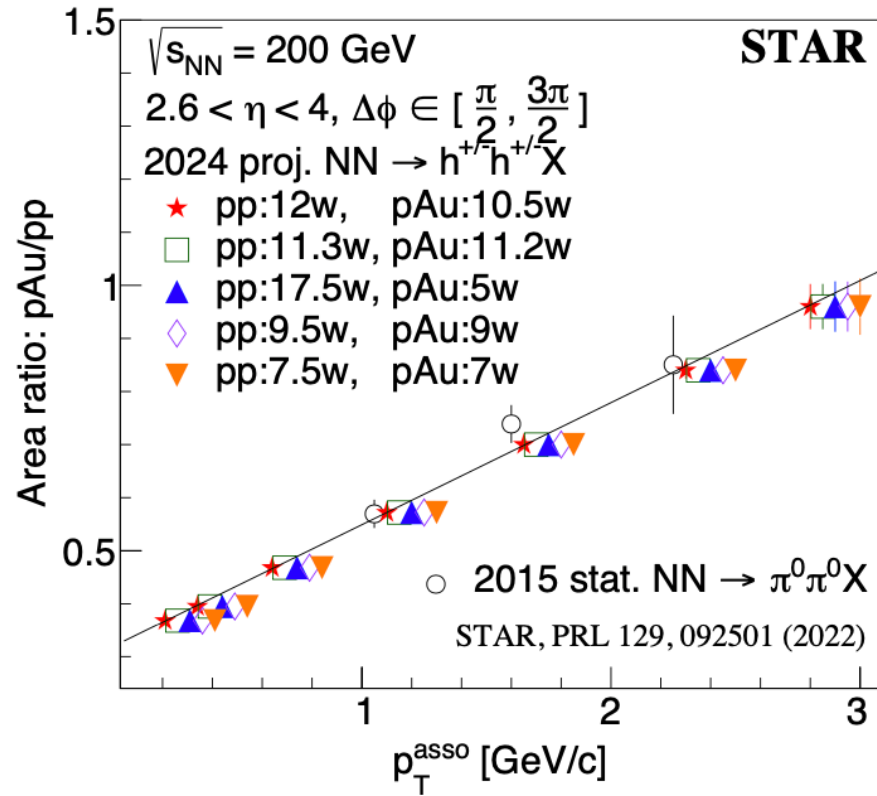
- The Forward Upgrade will enable measurements of R_{pAu} for direct photon and Drell-Yan production at $\sqrt{s}_{NN} = 200$ GeV
 - Direct photons will constrain the nuclear gluon distribution over $0.0025 < x < 0.025$
 - Drell-Yan di-electrons will constrain the nuclear sea quark distribution over $0.001 < x < 0.01$

Probing non-linear effects in QCD



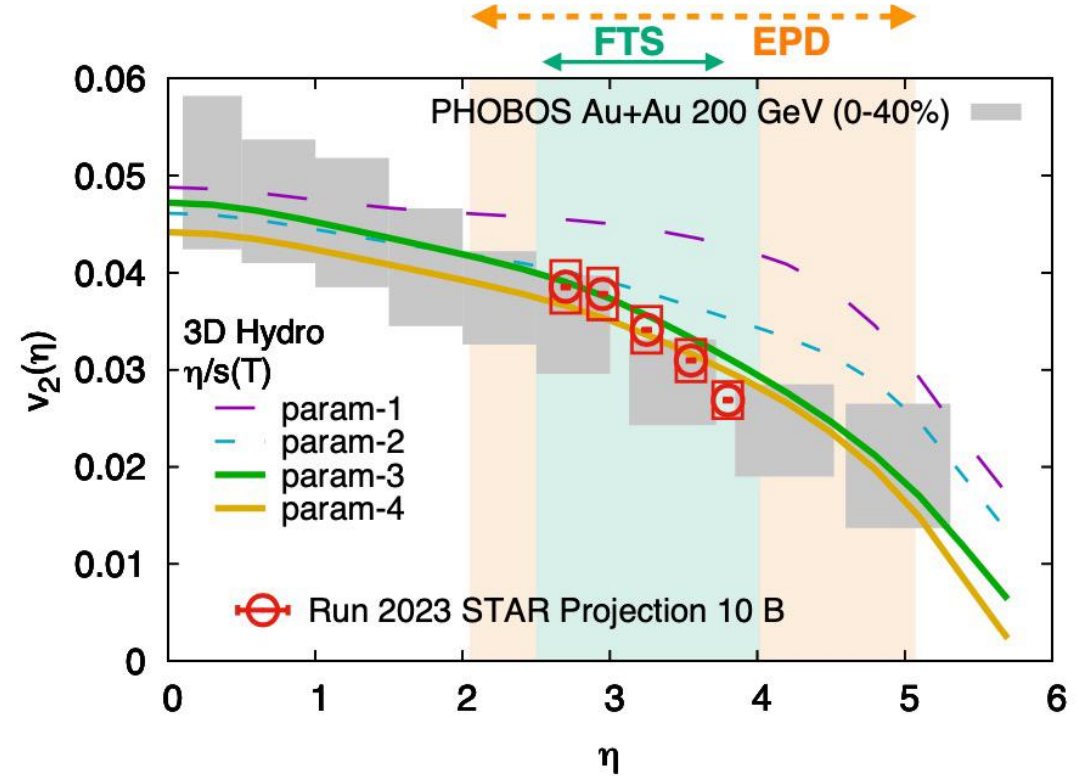
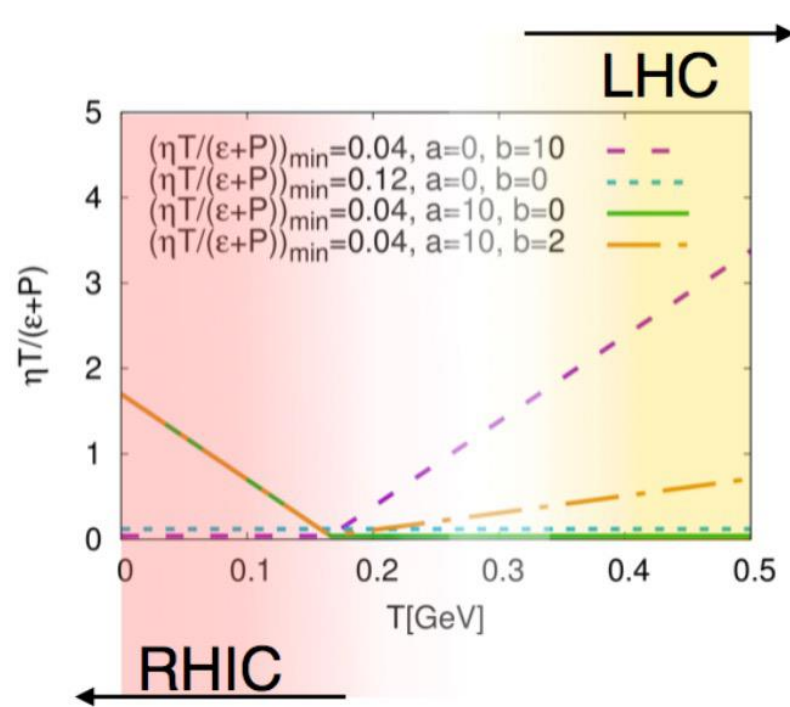
- Forward rapidities at **STAR** provide the unique opportunity to investigate very high gluon densities with an unambiguous probe
 - Disappearance of the backward jet in $p+A$
- STAR** $\pi^0 - \pi^0$ correlations find:
 - Strong suppression at low p_T in $p+A$ where gluon saturation is expected
 - The suppression follows the expected $A^{1/3}$ dependence
 - No suppression at high p_T (larger x) outside the non-linear domain
- Such **hadro-production measurements are essential** to explore the **fundamental universality of non-linear effects at EIC**

Non-linear QCD with the Forward Upgrade



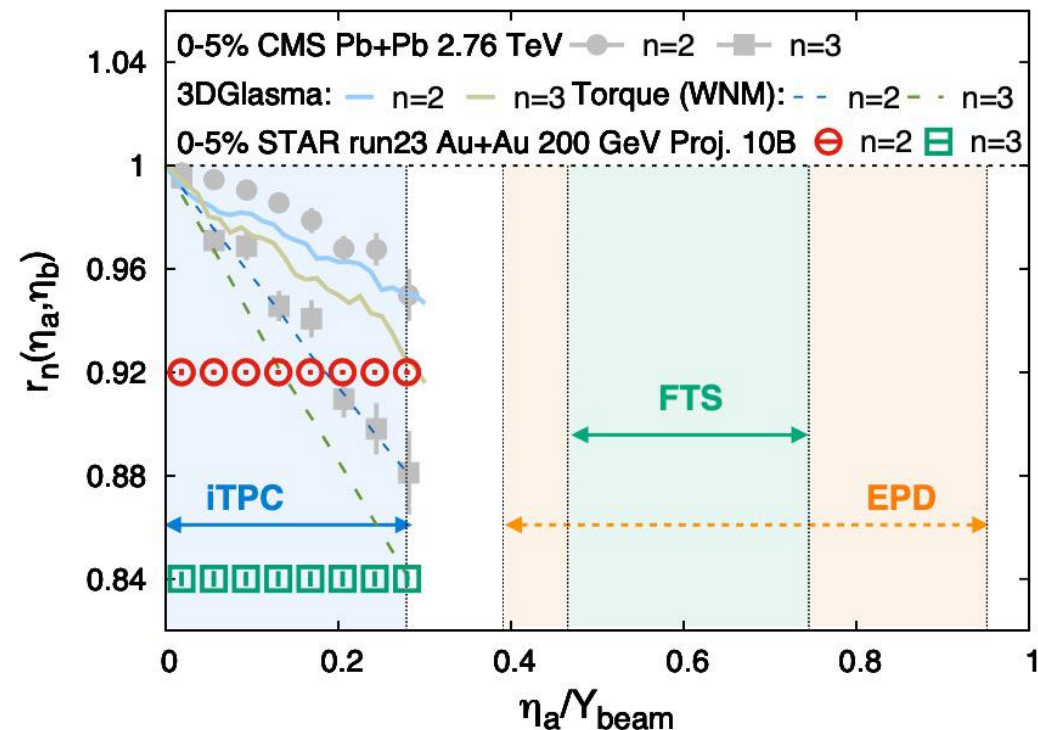
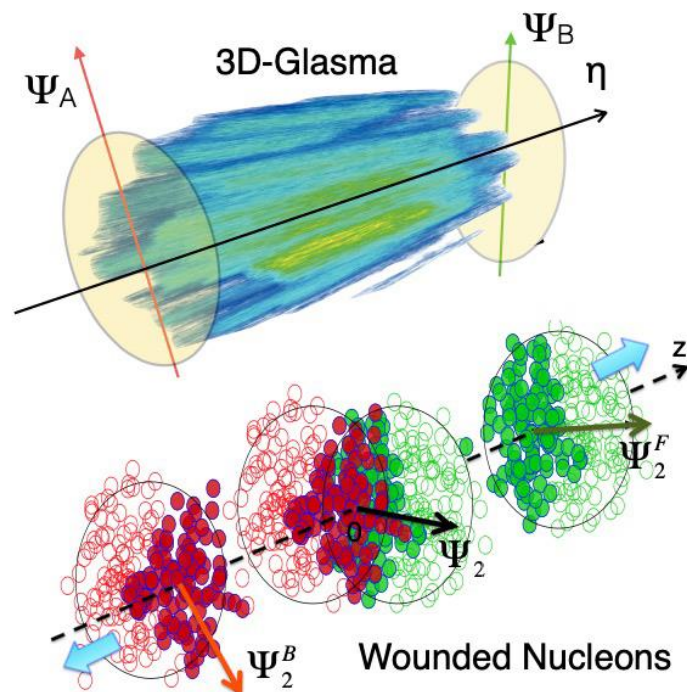
- Previous measurements used $\pi^0 - \pi^0$ correlations
- The Forward Upgrade enables similar studies in $h^{+/-} - h^{+/-}$, di-jets, and γ -jet
- $h^{+/-} - h^{+/-}$ can extend measurements to both lower and higher (x, Q^2) to **map out the Q_s^2 boundary**
- **Di-jet and γ -jet** are important complements: sample **different mixes of WW and dipole gluon** distributions

Flow measurements in Au+Au to constrain η/s



- η/s is expected to be smallest in the RHIC energy regime
- Flow measurements at forward rapidity are sensitive to the temperature dependence of η/s
- **STAR** Forward Upgrade measurements will be far more precise than previous PHOBOS measurements

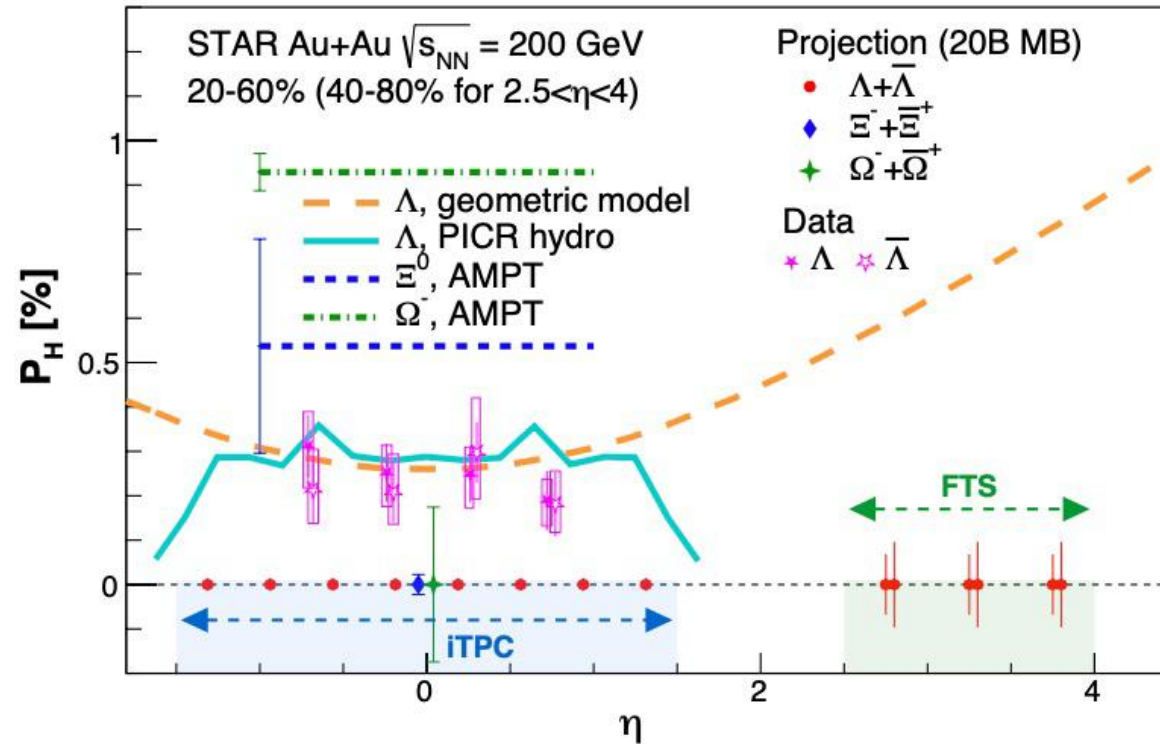
Constrain the longitudinal structure of the initial state



$$r_n(\eta_a, \eta_b) = V_{n\Delta}(-\eta_a, \eta_b)/V_{n\Delta}(\eta_a, \eta_b) \quad \text{where } V_{n\Delta} \text{ is the Fourier coefficient calculated with pairs of particles in different rapidity ranges}$$

- r_n is sensitive to different initial state inputs
 - 3D glasma model: weaker decorrelation, describes CMS r_2 , but not r_3
 - Wounded nucleon model: stronger decorrelation than seen in the data
- Precise measurement over a wide rapidity window will provide a stringent constraint

Global vorticity transfer and Λ global polarization



- How is the global vorticity transferred to the fluid?
- How does the local thermal vorticity of the fluid get transferred to spin angular momentum?
- Rapidity dependence of Λ global polarization will probe the nature of the global vorticity transfer
 - Initial geometry and local thermal vorticity + hydro predict opposite trends

Forward-rapidity: $2.5 < \eta < 4$

A+A

Beam:
Full Energy AuAu

Physics Topics:

- Temperature dependence of viscosity through flow harmonics up to $\eta \sim 4$
- Longitudinal decorrelation up to $\eta \sim 4$
- Global Lambda Polarization
→ strong rapidity dependence

p+p & p+A

Beam:
508 GeV: p+p
200 GeV: p+p and p+A

Physics Topics:

- Sivers asymmetries for hadrons, (tagged) jets, and di-jets
- Collins asymmetries at high x transversity → tensor charge
- GPD E_g : gluon spin-orbit correlations
- Gluon PDFs for nuclei
 - R_{pA} for direct photons & DY
- Test of Saturation predictions through di-hadrons, γ -Jets

Conclusion

- The *STAR* Forward Upgrade was **completed on time** and **on budget**, in spite of the pandemic
- The *STAR* Forward Upgrade has **operated very well** during the 2022 and '23 RHIC runs
- The *STAR* Forward Upgrade **enables** a wide range of **high-impact measurements** in polarized *pp* collisions, in polarized and unpolarized *p+Au* collisions, and in Au+Au collisions
- **Stay tuned!**