

Duke

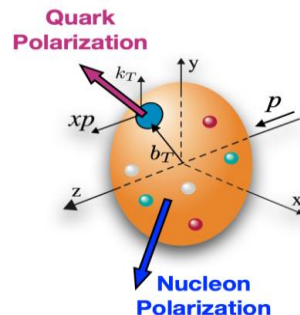


Polarized J/ψ Production in NRQCD

MARSTON COPELAND, REED HODGES, THOMAS MEHEN, ROHIT GUPTA, SEAN FLEMING

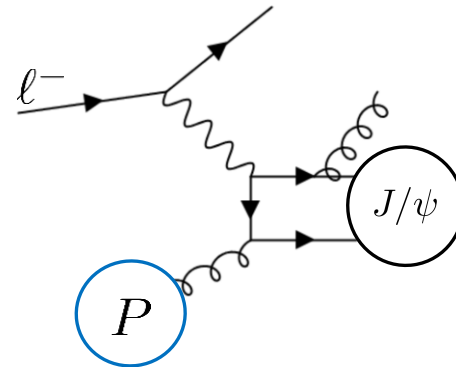
Motivation

- Transverse Momentum Dependent (TMD) PDFs and FFs probe the 3D structure of hadrons.
 - They provide correlations between hadron spin and parton polarization, in addition to the motion of the parton.
- Semi-Inclusive Deep Inelastic Scattering (SIDIS) will be a major focus of the upcoming Electron-Ion Collider.
- Gluon content in the proton is poorly constrained.



Why J/ψ Production?

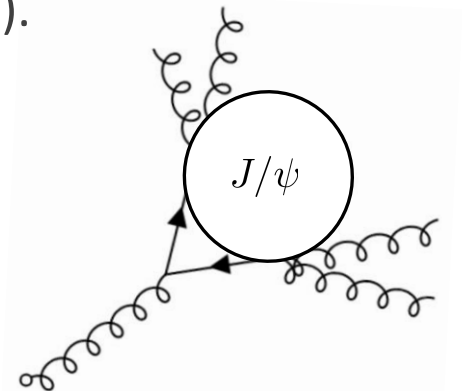
- The J/ψ promises to be one of the most direct probes of gluon TMD PDFs in the proton.
- TMDs benefit from clean observables.
 - J/ψ is easy to identify – decays into $\ell^+\ell^- \sim 15\%$ of the time.
- Something we can actually calculate!
 - The large heavy quark masses allow for the non-perturbative dynamics to be studied using Non-Relativistic QCD (NRQCD).



NRQCD

- Non-Relativistic QCD is an effective field theory of QCD where heavy quarks are treated as non-relativistic.
- Calculation involves a double expansion in α_S and in v (small relative velocity of $c\bar{c}$).
- NRQCD factorization theorem separates quarkonium production into short distance coefficients ($d_{i \rightarrow c\bar{c}}$) and a NRQCD TMD Fragmentation function ($D_{c\bar{c} \rightarrow J/\psi}$).

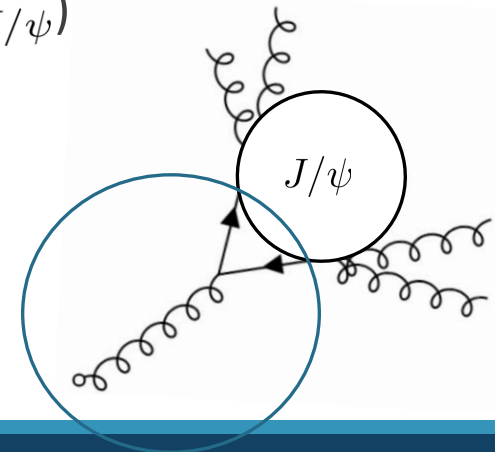
$$\Delta_{i \rightarrow J/\psi}(z, \mathbf{k}_\perp) \rightarrow \int d^2 \mathbf{p}_\perp d^2 \mathbf{q}_\perp d_{i \rightarrow c\bar{c}}(z, \mathbf{q}_\perp) D_{c\bar{c} \rightarrow J/\psi}(\mathbf{p}_\perp) \delta^{(2)}(\mathbf{q}_\perp - \mathbf{k}_\perp + \mathbf{p}_\perp)$$



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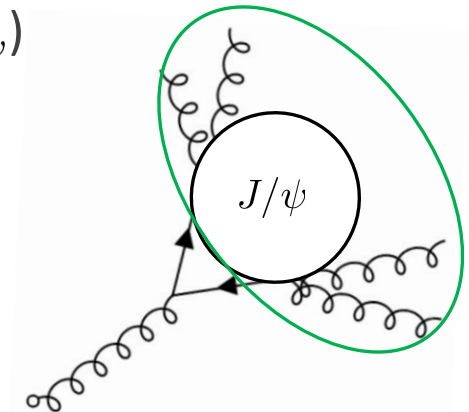
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NRQCD

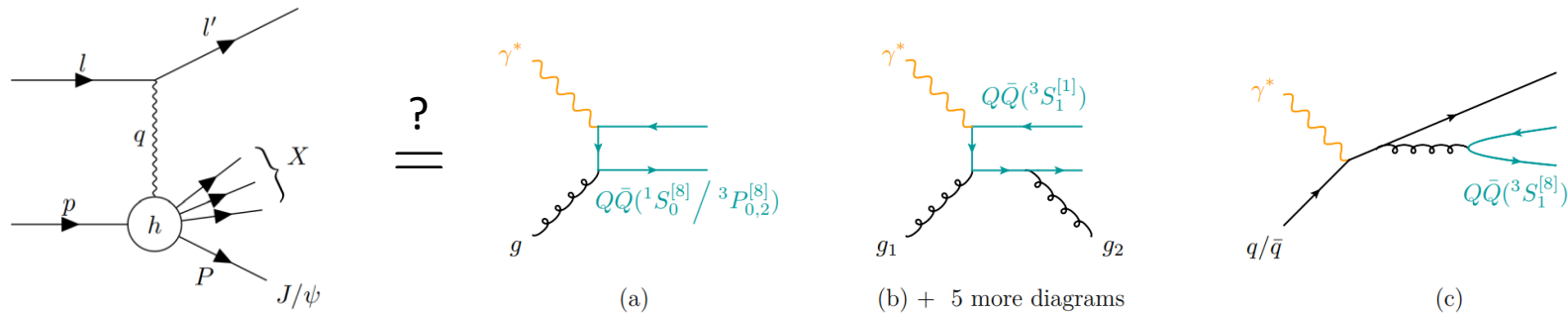
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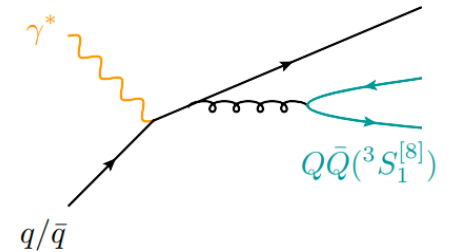
Production Mechanisms

- In SIDIS there are two ways to produce a J/ψ at leading order.
- Photon-gluon fusion or quark fragmentation.
 - Mechanisms compete at different kinematic regions - need to be disentangled.
- Photon-gluon fusion is a probe of gluon structure at leading twist!



Fragmentation Functions

- Fragmentation functions tell us how a parton “i” hadronizes into “H” + other stuff “X”.
- Provide information on how hadrons emerge from energetic quarks and gluons.
- Like PDFs, defined by non-perturbative matrix elements, are used in factorization theorems, and are universal.



$$\sigma_{\text{SIDIS}} \propto \left| \text{Diagram 1} \right|^2 \approx \left| \text{Diagram 2} \right|^2 \otimes \left| \text{Diagram 3} \right|^2 \otimes \left| \text{Diagram 4} \right|^2$$

The equation shows the factorization of the SIDIS cross-section into four squared matrix elements, each represented by a diagram in brackets:

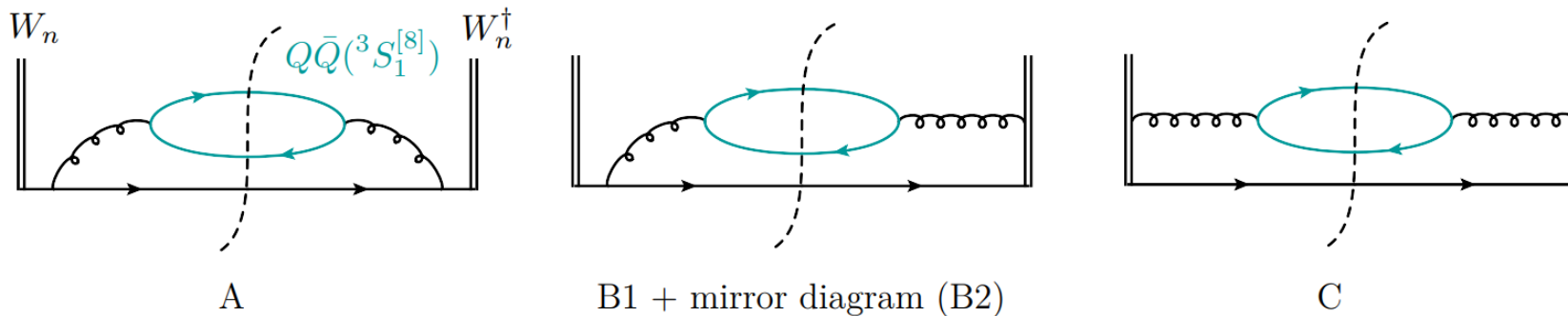
- Diagram 1:** Shows an incoming lepton l (blue line) and an outgoing lepton l' (blue line) connected by a virtual photon q (green wavy line). The photon interacts with a quark k (black line) inside a nucleon P (orange circle). The quark then produces a hadron P_h and other stuff X . The outgoing quark line is labeled k' .
- Diagram 2:** Shows a nucleon P (orange circle) with an incoming quark $\xi P, k_T$ (black line) and an outgoing quark $\xi P, k_T$ (black line).
- Diagram 3:** Shows a lepton l (blue line) and an outgoing lepton l' (blue line) connected by a virtual photon q (green wavy line). The photon interacts with a quark k' (black line) inside a nucleon $\xi P, k_T$ (orange circle).
- Diagram 4:** Shows a nucleon $\frac{P_h}{\zeta}, k'_T$ (orange circle) with an incoming quark P_h (black line) and an outgoing quark k'_T (black line).

Quark Fragmentation

- Production of a $c\bar{c}$ pair fragmenting from a light quark gives 3 possible diagrams (plus mirrors) at lowest order.

$$\Delta_{q \rightarrow J/\psi} = \frac{1}{2N_C z} \text{Tr} \left[\int \frac{db^-}{2\pi} e^{ib^- P^+ / z} \sum_X \Gamma^{\alpha\alpha'} \langle 0 | W_n^\dagger(b) \psi_i^{\alpha 0} | J/\psi, X \rangle \langle J/\psi, X | \bar{\psi}_i^{0\alpha'} W_n(0) | 0 \rangle \right]$$

- Only unpolarized quark to unpolarized J/ψ TMD FF has been studied before [2].



Quark Polarizations

- Quark can be unpolarized ($\frac{\gamma^+}{2}$), longitudinally polarized ($\frac{\gamma^+\gamma_5}{2}$), or and transversely polarized ($\frac{1}{2}\sigma^{\alpha+}\gamma_5$).
- Project out these states by completing spin trace in definition.

$$\Delta_{q \rightarrow J/\Psi} = \frac{1}{2N_C z} \text{Tr} \left[\int \frac{db^-}{2\pi} e^{ib^- P^+ / z} \sum_X \Gamma^{\alpha\alpha'} \langle 0 | W_n^\dagger(b) \psi_i^{\alpha 0} | J/\psi, X \rangle \langle J/\psi, X | \bar{\psi}_i^{0\alpha'} W_n(0) | 0 \rangle \right]$$
$$\Gamma \in \frac{\gamma^+}{2}, \frac{\gamma^+\gamma_5}{2}, \frac{1}{2}\sigma^{\alpha+}\gamma_5$$

J/ψ Polarizations

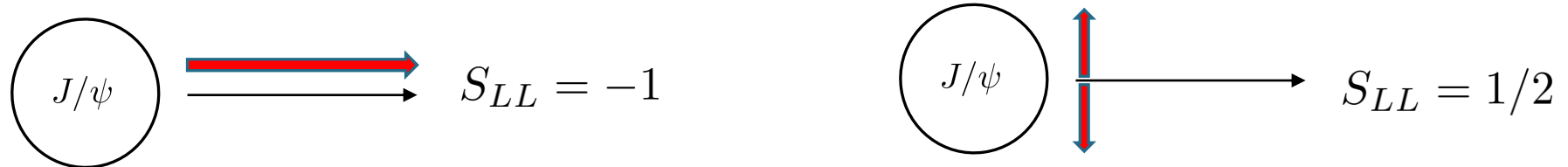
- Project out J/ψ polarization by parameterizing polarization vectors (like tensor decomposition).

$$\epsilon^i \epsilon^{*j} = \frac{1}{3} \delta^{ij} - \frac{i}{2} \epsilon^{ijk} S_k - T^{ij}$$

- J/ψ can be unpolarized, longitudinally, or transversely polarized.
 - Determined by values for spin parameters [4].

$$\vec{S} = (S_T^x, S_T^y, S_L) \quad T_{ij} = \frac{1}{2} \begin{pmatrix} -\frac{2}{3} S_{LL} + S_{TT}^{xx} & S_{TT}^{xy} & S_{LT}^x \\ S_{TT}^{yx} & -\frac{2}{3} S_{LL} - S_{TT}^{xx} & S_{LT}^y \\ S_{LT}^x & S_{LT}^y & \frac{4}{3} S_{LL} \end{pmatrix}.$$

- Polarized fragmentation functions are defined as the objects proportional to these spin parameters.



Quark Fragmentation Functions

- There are 18 polarized quark to J/ψ TMD fragmentation functions.
 - At leading order in the strong coupling, only six FFs survive!

[3]

- Unpolarized quark:

$$D_1(z, \mathbf{k}_T; \mu) = \frac{2\alpha_s^2(\mu)}{9\pi N_c M^3 z} \frac{\mathbf{k}_T^2 z^2 (z^2 - 2z + 2) + 2M^2(z - 1)^2}{[z^2 \mathbf{k}_T^2 + M^2(1 - z)]^2} \left\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \right\rangle ,$$

$$D_{1LL}(z, \mathbf{k}_T; \mu) = \frac{2\alpha_s^2(\mu)}{9\pi N_c M^3 z} \frac{\mathbf{k}_T^2 z^2 (z^2 - 2z + 2) - 4M^2(z - 1)^2}{[z^2 \mathbf{k}_T^2 + M^2(1 - z)]^2} \left\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \right\rangle ,$$

$$D_{1LT}(z, \mathbf{k}_T; \mu) = \frac{2\alpha_s^2(\mu)}{3\pi N_c M} \frac{(2 - z)(1 - z)}{[z^2 \mathbf{k}_T^2 + M^2(1 - z)]^2} \left\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \right\rangle ,$$

$$D_{1TT}(z, \mathbf{k}_T; \mu) = \frac{2\alpha_s^2(\mu)}{3\pi N_c M} \frac{z(z - 1)}{[z^2 \mathbf{k}_T^2 + M^2(1 - z)]^2} \left\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \right\rangle .$$

		Quark polarization		
		Unpolarized	Longitudinal	Transverse
Hadron polarization	Unpolarized	D_1		H_1^\perp
	Longitudinal		G_1	H_{1L}^\perp
	Transverse	D_{1T}^\perp	G_{1T}^\perp	H_1, H_{1T}^\perp
	LL	D_{1LL}		H_{1LL}^\perp
	LT	D_{1LT}	G_{1LT}	H_{1LT}^\perp, H'_{1LT}
	TT	D_{1TT}	G_{1TT}	H_{1TT}^\perp, H'_{1TT}

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$$D_{1LT}(z, \mathbf{k}_T; \mu) = \frac{2\alpha_s^2(\mu)}{3\pi N_c M} \frac{(2 - z)(1 - z)}{[z^2 \mathbf{k}_T^2 + M^2(1 - z)]^2} \left\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \right\rangle ,$$

$$D_{1TT}(z, \mathbf{k}_T; \mu) = \frac{2\alpha_s^2(\mu)}{3\pi N_c M} \frac{z(z - 1)}{[z^2 \mathbf{k}_T^2 + M^2(1 - z)]^2} \left\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \right\rangle .$$

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		Unpolarized	Longitudinal	Transverse
Hadron polarization	Unpolarized	D_1		H_1^\perp
	Longitudinal		G_1	H_{1L}^\perp
	Transverse	D_{1T}^\perp	G_{1T}^\perp	H_1, H_{1T}^\perp
	LL	D_{1LL}		H_{1LL}^\perp
	LT	D_{1LT}	G_{1LT}	H_{1LT}^\perp, H'_{1LT}
	TT	D_{1TT}	G_{1TT}	H_{1TT}^\perp, H'_{1TT}

Quark Fragmentation Functions

- Longitudinally polarized quark:

[3]

$$G_{1L}(z, \mathbf{k}_T; \mu) = \frac{\alpha_s^2(\mu)}{3\pi N_c M^3} \frac{\mathbf{k}_T^2 z^2 (2-z)}{[z^2 \mathbf{k}_T^2 + M^2(1-z)]^2} \left\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \right\rangle ,$$

$$G_{1T}^\perp(z, \mathbf{k}_T; \mu) = \frac{2\alpha_s^2(\mu)}{3\pi N_c M} \frac{z(z-1)}{[z^2 \mathbf{k}_T^2 + M^2(1-z)]^2} \left\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \right\rangle .$$

		Quark polarization		
		Unpolarized	Longitudinal	Transverse
Hadron polarization	Unpolarized	D_1		H_1^\perp
	Longitudinal		G_1	H_{1L}^\perp
	Transverse	D_{1T}^\perp	G_{1T}^\perp	H_1, H_{1T}^\perp
	LL	D_{1LL}		H_{1LL}^\perp
	LT	D_{1LT}	G_{1LT}	H_{1LT}^\perp, H'_{1LT}
	TT	D_{1TT}	G_{1TT}	H_{1TT}^\perp, H'_{1TT}

SIDIS Cross Sections from Fragmentation

- With unpolarized J/ψ , unpolarized beam, and unpolarized target, there is only one contribution to the cross section at leading twist.

$$\frac{d\sigma_{UU}(l + H \rightarrow l' + J/\psi + X)}{dx \, dz \, dy \, d^2\mathbf{P}_\perp} = \frac{4\pi\alpha^2 s}{Q^4} \left(1 - y + \frac{y^2}{2}\right) \mathbf{I}[f_1(3D_1)]$$

where

$$\mathbf{I}[f_1 D_1] = 2z \int d^2\mathbf{k}_T d^2\mathbf{p}_T f_1(x, \mathbf{p}_T) D_1(z, \mathbf{k}_T) \delta^{(2)}(\mathbf{k}_T - \mathbf{p}_T - \mathbf{q}_T)$$

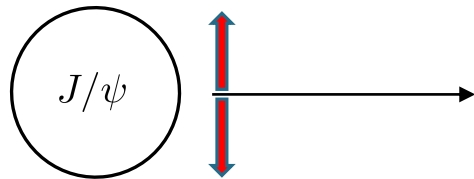
$$\sigma_{\text{SIDIS}} \propto \left| \text{Diagram 1} \right|^2 \approx \left| \text{Diagram 2} \right|^2 \otimes \left| \text{Diagram 3} \right|^2 \otimes \left| \text{Diagram 4} \right|^2$$

Polarized SIDIS cross section

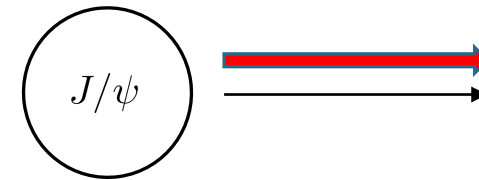
- Polarized J/ψ production is a richer test of QCD!

$$\frac{d\sigma_{UU}(l + H \rightarrow l' + J/\psi + X)}{dx \, dz \, dy \, d^2\mathbf{P}_\perp} = \frac{4\pi\alpha^2 s}{Q^4} \left(1 - y + \frac{y^2}{2}\right) \left\{ \mathbf{I}[f_1 D_1] + S_{LL} \mathbf{I}[f_1 D_{LL}] \right\}$$

$$\frac{d\sigma_{LL}(l + H \rightarrow l' + J/\psi + X)}{dx \, dz \, dy \, d^2\mathbf{P}_\perp} = \frac{4\pi\alpha^2 s}{Q^4} 2\lambda_c S_{qL} y \left(1 - \frac{y}{2}\right) x \left\{ \mathbf{I}[g_{1L} D_1] + S_{LL} \mathbf{I}[g_{1L} D_{1LL}] \right\}.$$



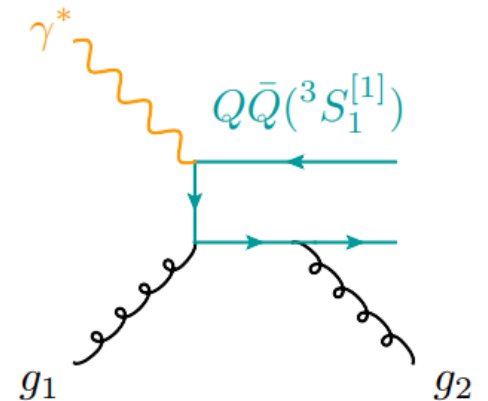
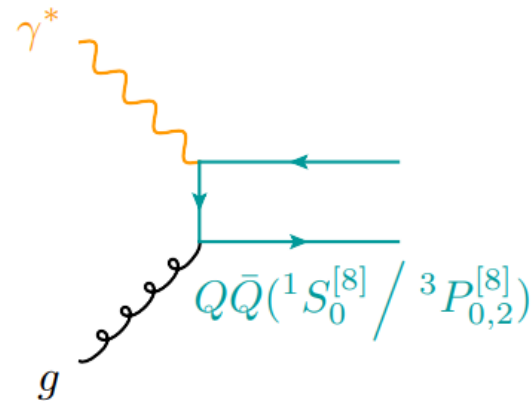
$$S_{LL} = 1/2$$



$$S_{LL} = -1$$

Direct Production

- Photon-gluon fusion accesses the gluon TMD PDFs in the proton.
- Two ways J/ψ can be produced through photon-gluon fusion.
 - J/ψ can either be in a color singlet or a color octet (dominate in different regions of z).
 - Color octet is leading order in α_s .
 - Color singlet is leading order in “ v ”.
- Introduce TMD shape functions to model nonperturbative effects.



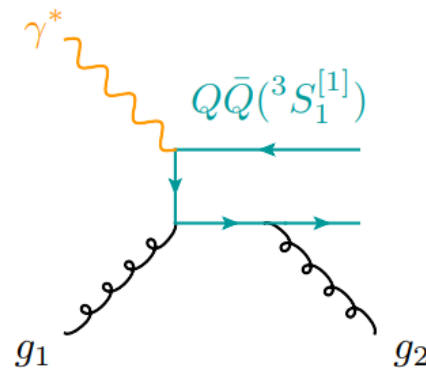
Color Singlet Photon-Gluon Fusion

- 6 diagrams – J/ψ is in the $^3S_1^{[1]}$ state.

$$z = \frac{p_{g1} \cdot P_{J/\psi}}{p_{g1} \cdot q}$$

- Expected to contribute more for $z \ll 1$.
 - Radiated gluon steals momentum from the initial parton.

$$\frac{d\sigma}{dx dz dQ d\mathbf{P}_T^2 d\phi} \propto (A_T + \epsilon A_L + \sqrt{\epsilon(1+\epsilon)} \cos \phi A_\phi + \epsilon \cos 2\phi A_{2\phi}) \otimes f_g(x, \mathbf{p}_T, \mu^2)$$



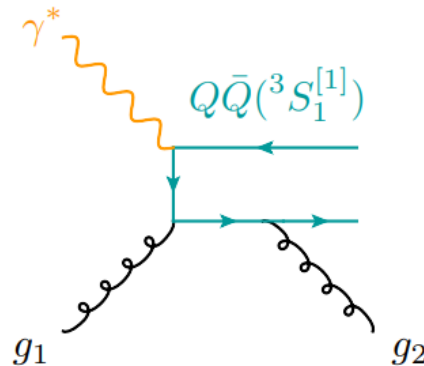
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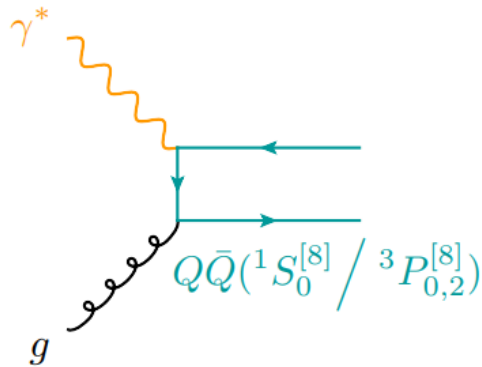


$$f_g(x, \mathbf{p}_T, \mu^2) \sim f_g(x, \mu^2) \left[\delta^2(\mathbf{p}_T) + \mathcal{O}\left(\frac{\mathbf{p}_T^2}{\Lambda}\right) \right]$$

Color Octet Photon-Gluon Fusion

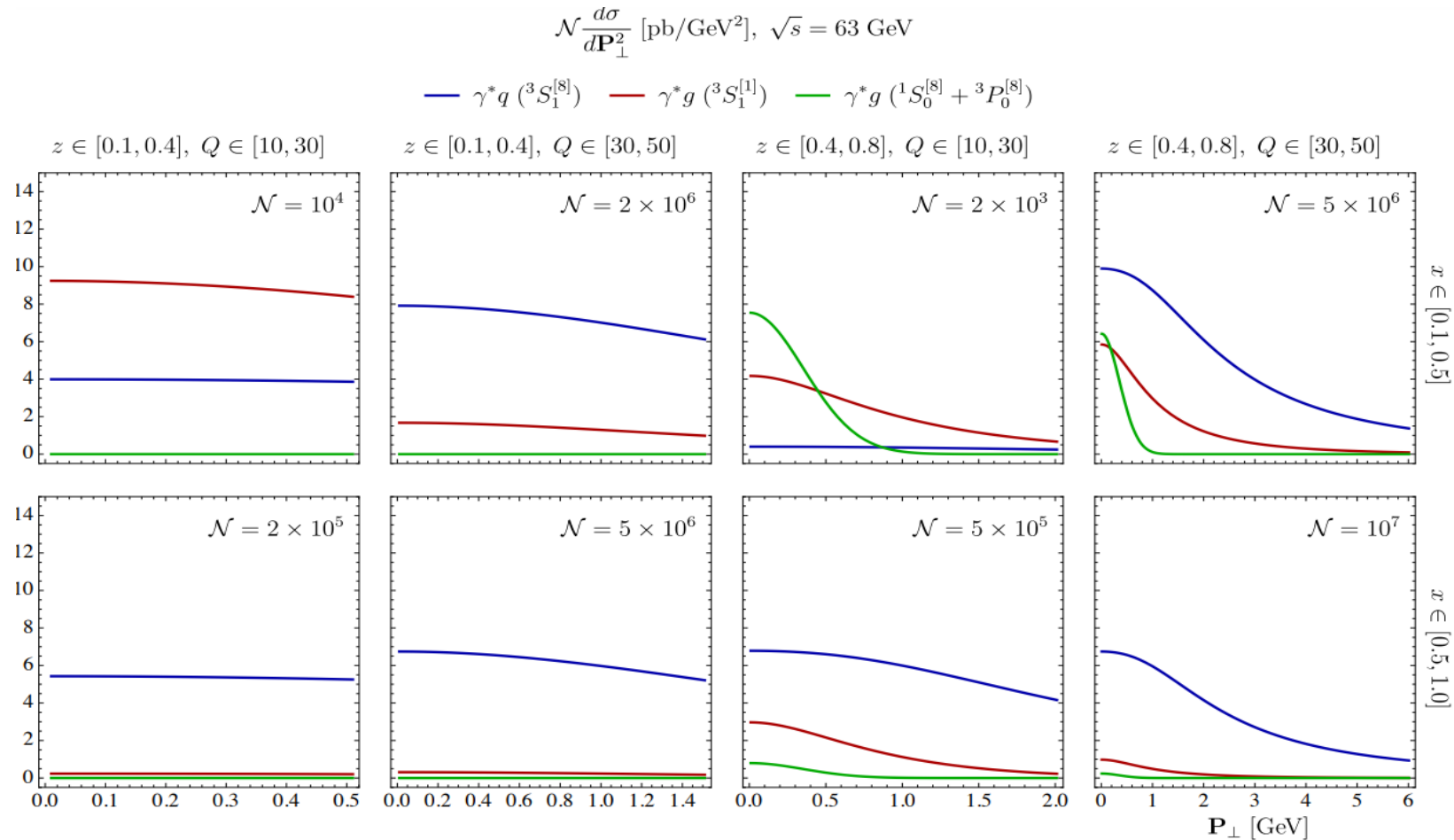
- At leading order in α_s , J/ψ is either in $^1S_0^{[8]}$ or $^3P_J^{[8]}$.
- No gluon is radiated. The J/ψ carries away all of the initial parton momentum.
 - This process dominates as z approaches 1.

$$z = \frac{p_{g1} \cdot P_{J/\psi}}{p_{g1} \cdot q}$$

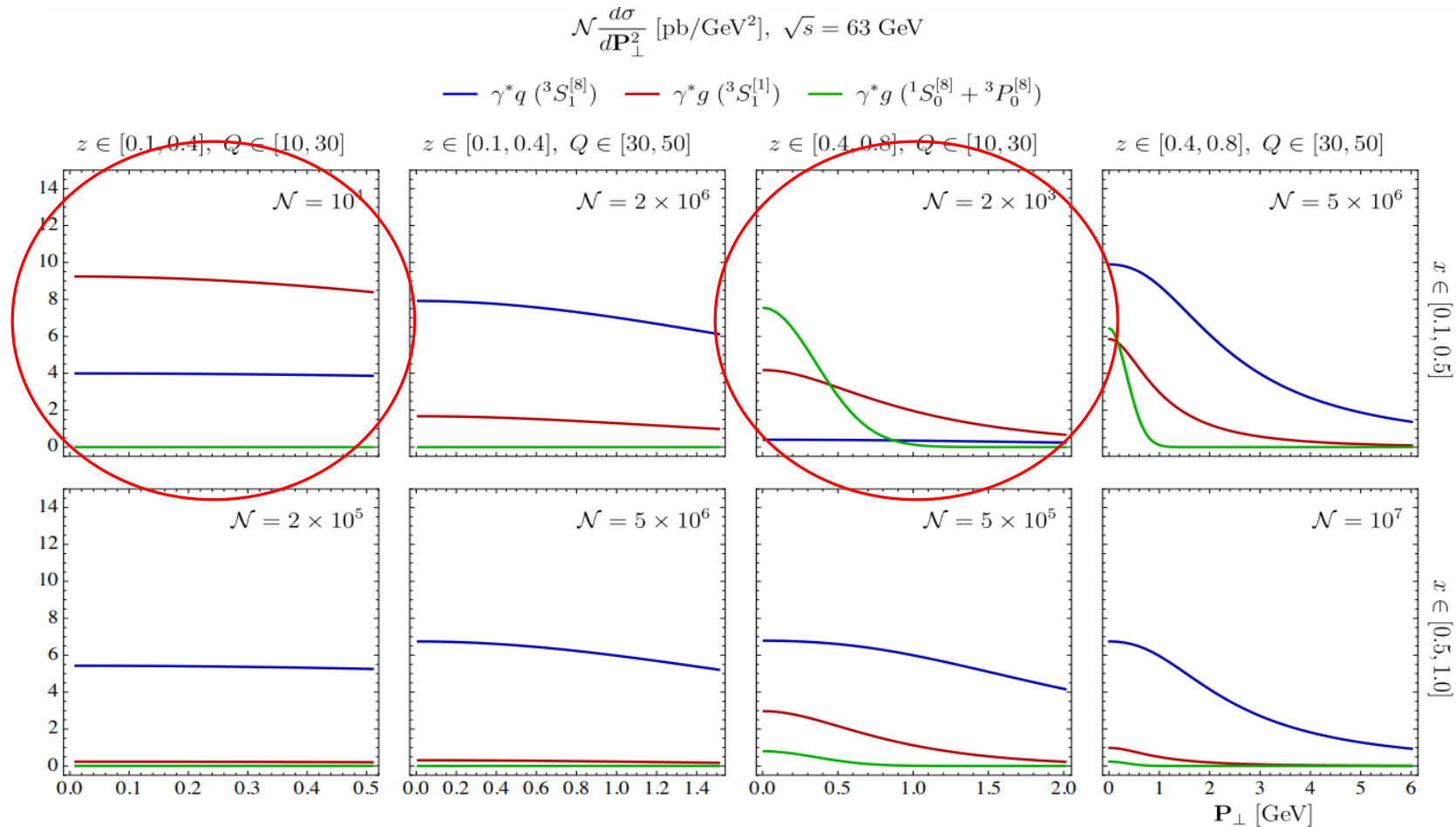


$$d\sigma \propto \delta(1-z)\delta^{(2)}(\mathbf{P}_\perp) \rightarrow \frac{1}{\sqrt{\pi\langle z_0 \rangle}} e^{-(1-z)^2/\langle z_0 \rangle} \frac{1}{\pi\langle \mathbf{P}_\perp \rangle} e^{-\mathbf{P}_\perp^2/\langle \mathbf{P}_\perp \rangle}$$

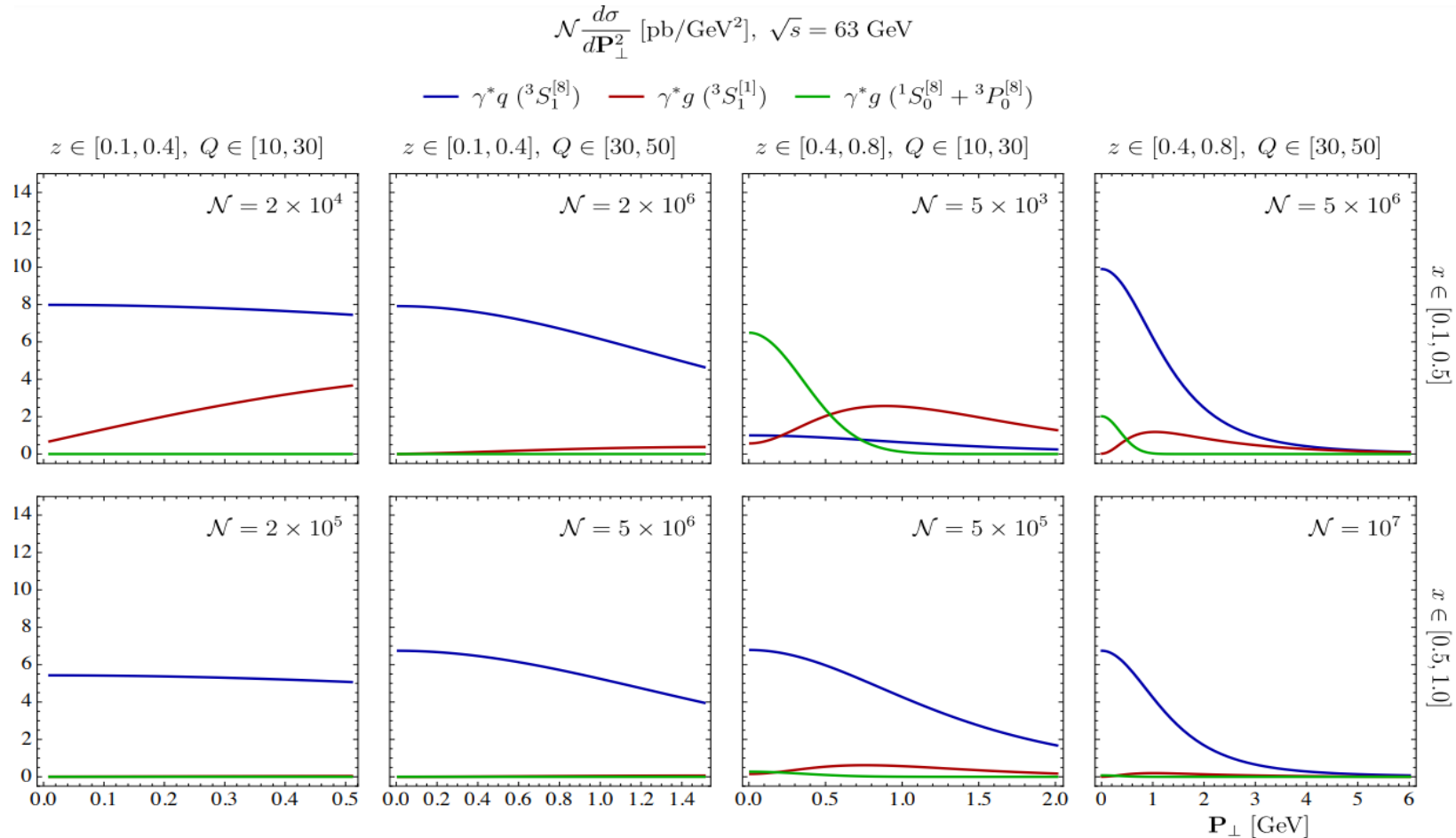
Comparing production mechanisms



Comparing production mechanisms



Comparing production mechanisms (L)



Future Work

- Calculate soft gluon corrections to NRQCD factorization theorems.
 - Important for very small transverse momenta.
- More phenomenological studies (beam asymmetries, identify other interesting observables, etc.)
 - Extract NRQCD long distance matrix elements from fitting to world data.
- Calculate polarized gluon fragmentation at NLO and study quarkonium production in jets.
- Calculate TMD fragmentation functions for other hadrons.
 - Other quarkonia
 - Kaons and pions.

References

- [1] TMD Handbook. Arxiv:2304.03302 (2023)
- [2] Echevarria, Makris, Scimemi. JHEP 10, 164 (2020).
- [3] Copeland, Fleming, Gupta, Hodges, Mehen. Arxiv:2308.08605 (2023)
- [4] Bachetta, Mulders. Phys. Rev. D 57, 5780 (1998).

Back up slides



QCD Factorization

- The parton model allows for “factorization” of cross sections.
- Separate physics taking place at different scales.
 - (a) Process independent non-perturbative contributions given by PDFs and FFs.
 - (b) Perturbatively calculable short-distance partonic cross sections ($\hat{\sigma}$).
- (a) can be extracted from experimental data, calculated on the lattice, or computed using effective field theories.

$$\sigma_{\text{DIS}} \propto \left| \begin{array}{c} l \quad l' \\ q \\ P \quad p \end{array} \right|^2 \approx \left| \begin{array}{c} k \approx \xi P \\ P \quad p \end{array} \right|^2 \otimes \left| \begin{array}{c} l \quad l' \\ q \\ \xi P \end{array} \right|^2$$

Kinematics

- Process can be written in terms of standard DIS variables.

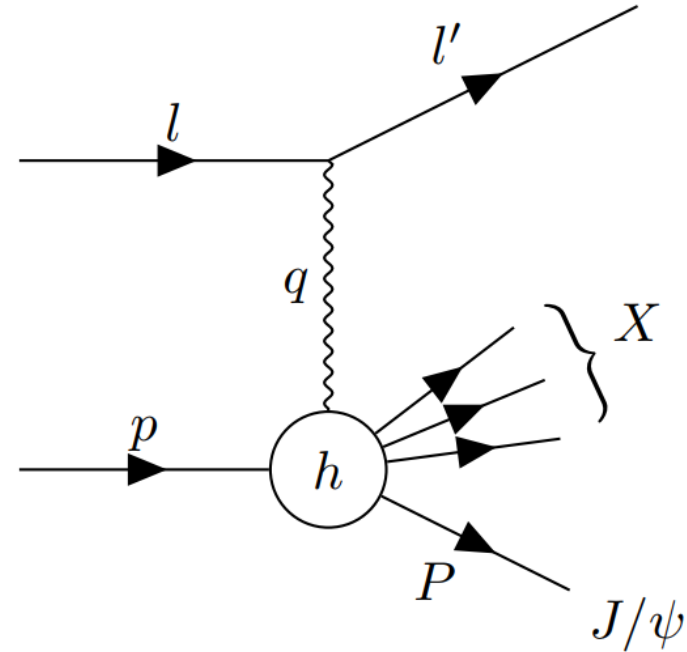
$$x_B = \frac{Q^2}{2p \cdot q}$$

$$q^2 = -Q^2$$

$$y = \frac{p \cdot q}{p \cdot l}$$

$$z = \frac{p \cdot P_{J/\psi}}{p \cdot q}$$

$$l + p \rightarrow l' + P + X$$



NRQCD

- Non-Relativistic QCD is an effective field theory of QCD where heavy quarks are treated as non-relativistic, but gluons and light-quarks are left as the fully relativistic fields.
- Can be derived by making a non-relativistic expansion of the spinors in powers of small relative velocity, of $Q\bar{Q}$ pair, v .
- Calculation involves a double expansion in α_S and in v .

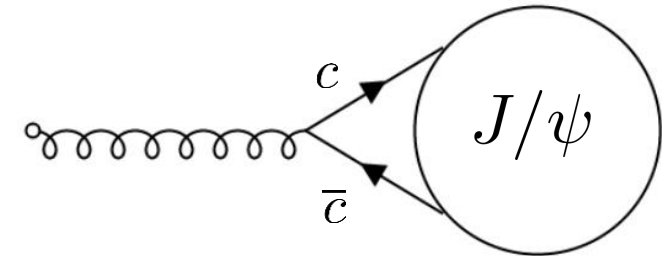
$$\mathcal{L}_{NRQCD} = \sum \bar{q} i \gamma^\mu D_\mu q - \frac{1}{2} \text{Tr} G_{\mu\nu} G^{\mu\nu} + \psi^\dagger \left(i D_t + \frac{\mathbf{D}}{2M} \right) \psi + \chi^\dagger \left(i D_t - \frac{\mathbf{D}}{2M} \right) \chi$$

$$D^\mu = \partial^\mu + i g A^\mu$$

NRQCD Factorization (collinear)

- NRQCD factorization theorem separates quarkonium TMDFF into short distance coefficients ($d_{i \rightarrow c\bar{c}}$) and NRQCD long distance matrix elements ($\langle \mathcal{O}^{J/\psi} \rangle$).
- Short distance coefficients $d_{i \rightarrow c\bar{c}}$ describe production of $c\bar{c}$ from a parton, “ i .”
 - Perturbatively calculable through NRQCD matching.
- NRQCD LDMEs describe the hadronization of a $c\bar{c}$ with specific quantum numbers into a J/ψ .
 - Formally, a NRQCD double parton fragmentation function.
 - In practice, a constant extracted from experiment.

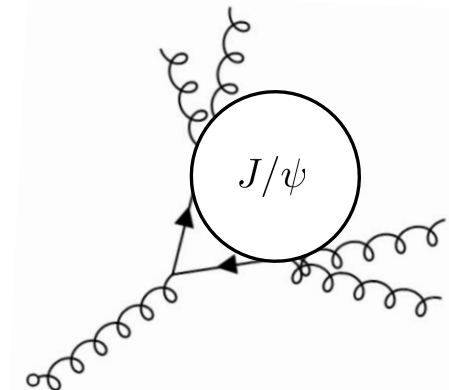
$$\Delta_{i \rightarrow J/\psi}(z) \rightarrow \sum_{L,s,c} d_{i \rightarrow c\bar{c}}^{L,s,c}(z) \langle \mathcal{O}^{J/\psi}(2s+1 L_J^{[c]}) \rangle$$



NRQCD Factorization (TMD)

- TMD NRQCD factorization theorem applies the same principles, but now both $d_{i \rightarrow c\bar{c}}$ and $\langle \mathcal{O}^{J/\psi} \rangle$ have transverse momentum dependence.
- We calculate the TMD short distance matching coefficients, $d_{i \rightarrow c\bar{c}}(\mathbf{k}_\perp)$.
- NRQCD TMDFF ($D_{c\bar{c} \rightarrow J/\psi}(\mathbf{p}_\perp)$) can have additional transverse momentum dependence due to soft gluon radiation.

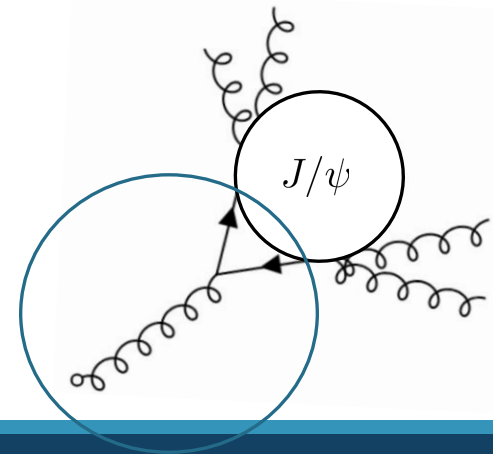
$$\Delta_{i \rightarrow J/\psi}(z, \mathbf{k}_\perp) \rightarrow \int d^2 \mathbf{p}_\perp d^2 \mathbf{q}_\perp d_{i \rightarrow c\bar{c}}(z, \mathbf{q}_\perp) D_{c\bar{c} \rightarrow J/\psi}(\mathbf{p}_\perp) \delta^{(2)}(\mathbf{q}_\perp - \mathbf{k}_\perp + \mathbf{p}_\perp)$$



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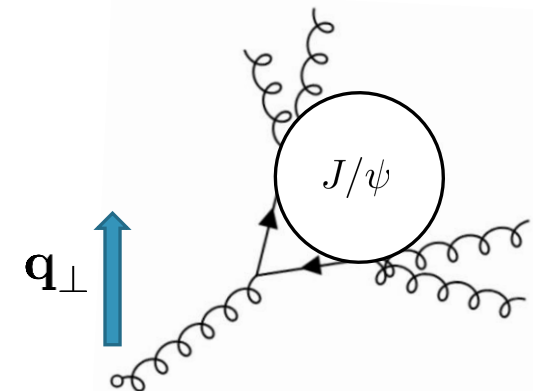
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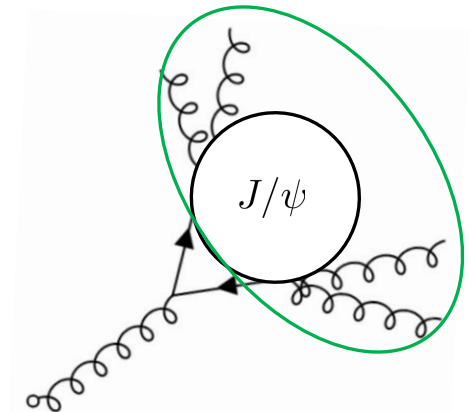
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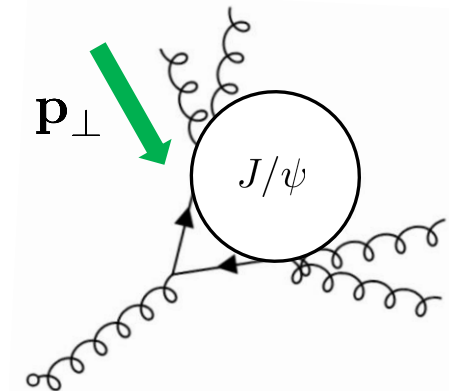
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NRQCD Factorization (TMD)

- If the transverse momentum is sufficiently greater than $\Lambda_s \sim m_c v^2$, then we can expand the NRQCD TMDFF.

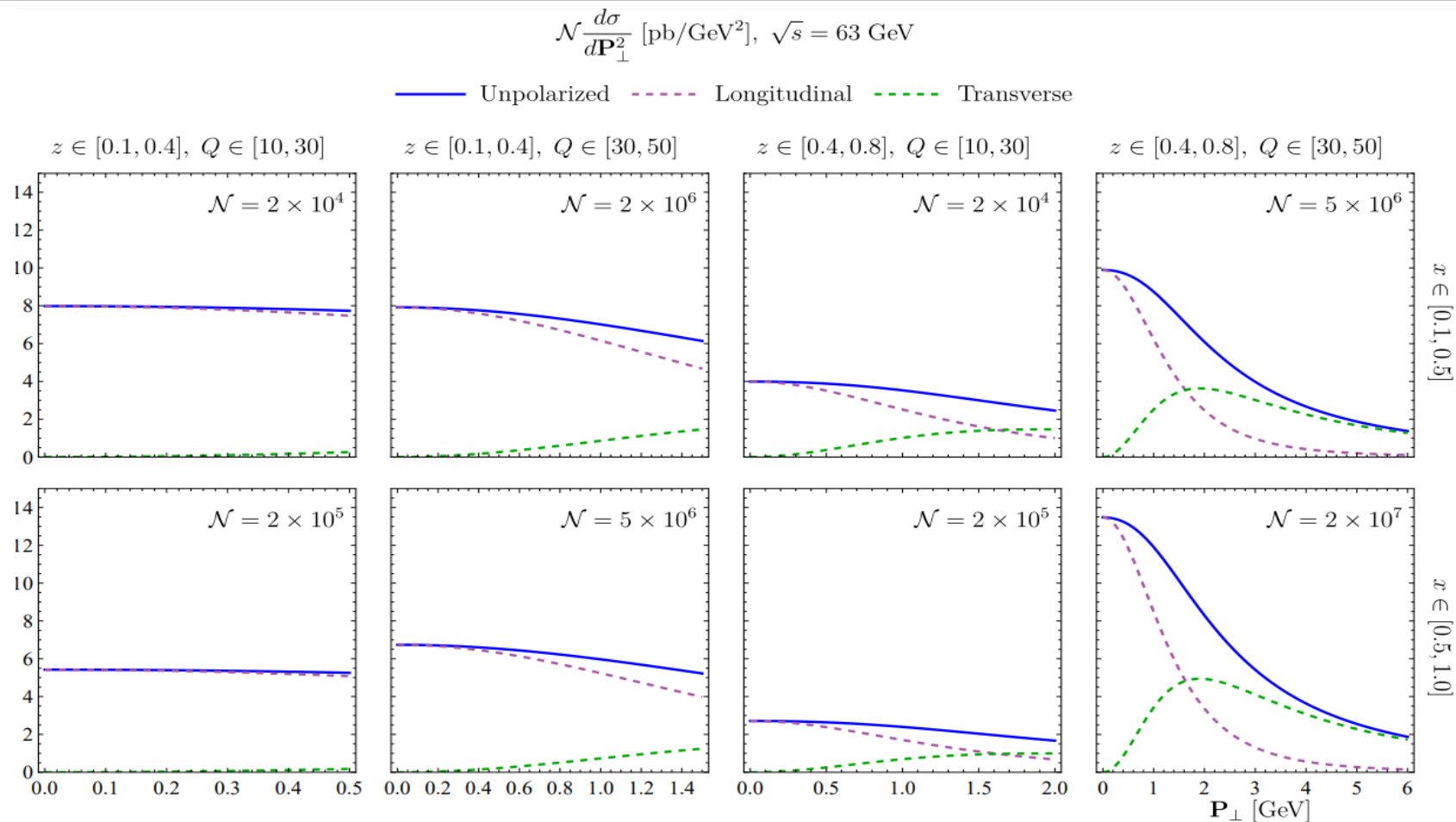
$$D_{c\bar{c} \rightarrow J/\psi}(\mathbf{p}_\perp) \sim \sum_{L,s,c} \langle \mathcal{O}^{J/\psi}(2s+1 L_J^{[c]}) \rangle H_{(L,s;c)}(\mathbf{p}_\perp),$$

$$H_{(L,s;c)}(\mathbf{p}_\perp) = \delta^{(2)}(\mathbf{p}_\perp) + \sum_{n=1}^{\infty} \left(\frac{\alpha_s(\mathbf{p}_\perp)}{4\pi} \right)^n h_{(L,s;c)}^{(n)}(\mathbf{p}_\perp)$$

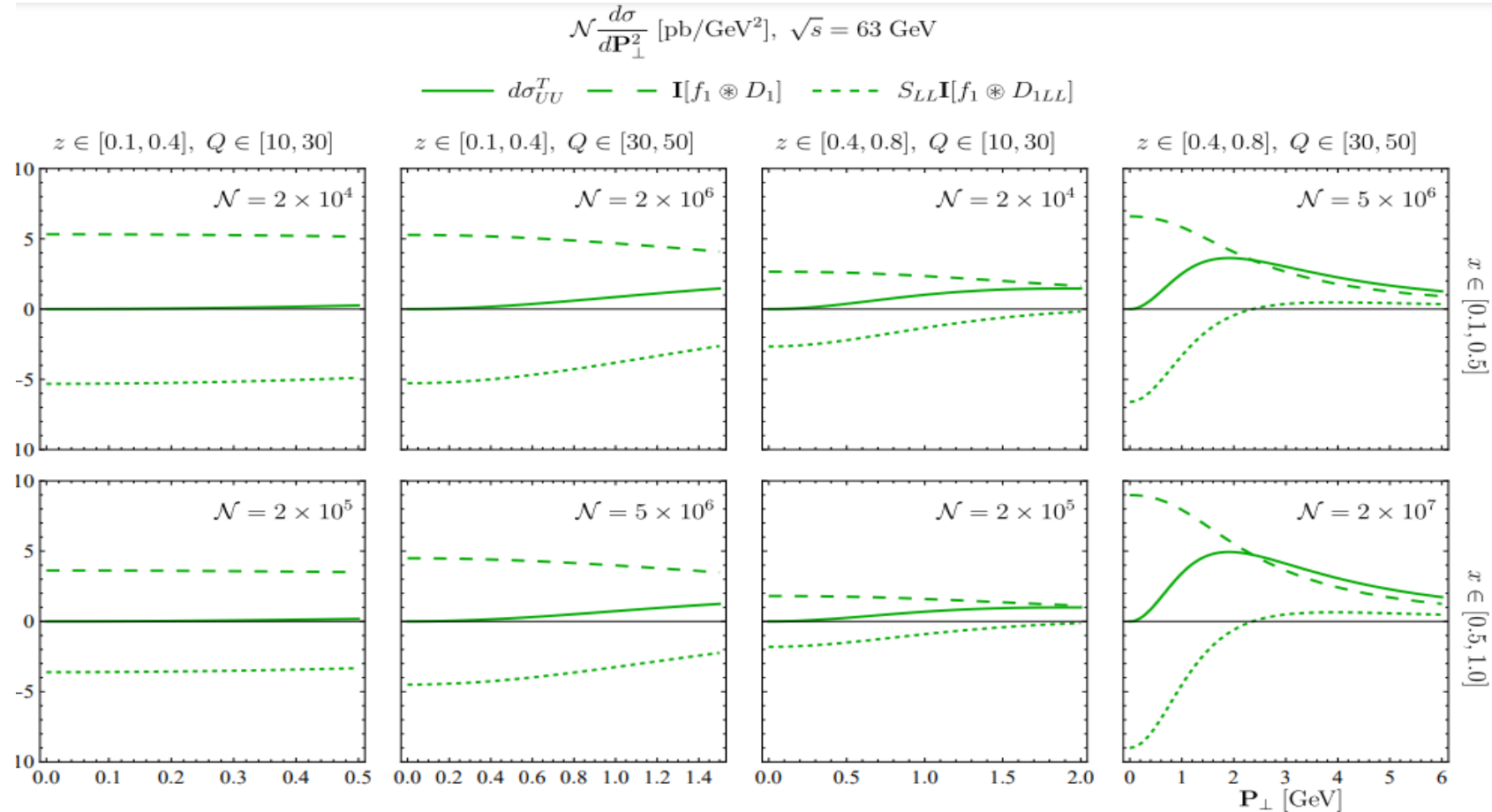
- This puts all of the transverse momentum dependence in the perturbative matching coefficient!

$$\Delta_{i \rightarrow J/\psi}(z, \mathbf{k}_\perp) \rightarrow \sum_{L,s,c} d_{i \rightarrow c\bar{c}}(z, \mathbf{k}_\perp) \langle \mathcal{O}^{J/\psi}(2s+1 L_J^{[c]}) \rangle$$

Fragmentation contribution to $d\sigma_{UU}$



Transversely Polarized J/ψ production



Fragmentation contribution to $d\sigma_{LL}$

$$\mathcal{N} \frac{d\sigma_{LL}}{d\mathbf{P}_\perp^2} [\text{pb/GeV}^2], \sqrt{s} = 63 \text{ GeV}$$

