Hampton University Graduate School (HUGs) June 2022, JLab, Newport News, VA.

HUGs Lecture notes:

<u>Topic:</u>



Nuclear Structure and Short-Range Correlations Via Electron Scattering Probes

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Hampton University Graduate School (HUGs) June 2022, JLab, Newport News, VA.



Lectures format

Cover the basics level for PhD students

Little formalism, more conception

Including Basic Experimental information

> Experimental Results and their interpretations

G Style

> Informal, encouraging the interaction

Interrupt any time for questions

Many slides are adapted from my collaborators. Special Thanks to Prof. L. Weinstein, Prof. O. Hen, Prof. D. Day, Prof. A. Schmidt, Dr. R. Cruz Torres, Dr. F. Hauenstein and More

Lectures Outlines:

Lecture1+2: Overview of an electron scattering probe Elastic scattering Charge distribution

- Form factors
- Quasi-elastic scattering
 - Overview of nuclear structure
 - (e,e'), y-scaling
 - (e,e'p) Single nucleon and shell structure

Lecture 3:

- Introduction to Short Range Correlations
 Short-range Correlation Studies
 - (e,e') measurements
 - (e,e'NN) measurement
 - Neutron-rich nuclei

Lecture4+5:

- Nucleon-Nucleon (NN) interactions
 - (e,e'p) measurement on A=3
- □ Short Range Correlations and EMC effect
- Proton visualization

Lecture1 + 2:

• Overview of an electron scattering probe

□ Elastic scattering

- Charge distribution
- Form Factors

Quasi-elastic scattering

- Overview of nuclear structure
- Single nucleon and shell structure

<u>Goal</u>: Study the internal structure (and dynamics) of complex objects



Goal: Study the internal structure (and dynamics) of complex objects

Means:

- Using high energy electron scattering off nuclear target
- Detecting final states particles
- Using detected particle's information to infer the nuclear structure



Scientific Method



Determine Internal Structure with Scattering

Hypotheses





...



...

Scientific Method



<u>Goal:</u> Study the internal structure (and dynamics) of complex objects <u>Means:</u> Using high energy electron scattering

Reaction determined by kinematic variables:

• $Q^2 = -q^2$

•
$$x_B = Q^2 / (2m_p v)$$

Interaction-Scale Dynamics

• $\omega(v) = E - E'$ Energy transfer





<u>Goal</u>: Study the internal structure (and dynamics) of complex objects <u>Means</u>: Using high energy electron scattering

100s eV – 100s keV: Material structure





<u>Goal:</u> Study the internal structure (and dynamics) of complex objects <u>Means:</u> Using high energy electron scattering



Energy vs length

Select spatial resolution and excitation energy independently

- Photon energy v determines excitation energy
- Photon momentum q determines spatial resolution: $\lambda \approx \frac{h}{2}$

Three cases:

Low q

• Photon wavelength λ larger than the nucleon size (R_p)

- □ Medium *q*: 0.2 < *q* < 1 GeV/c
 - $= \lambda \sim R_{\rm p}$
 - Nucleons resolvable

 $\Box High q: q > 1 GeV/c$

 $\sim \lambda < R_{\rm p}$

Nucleon structure resolvable

Why use electrons?

Probe structure understood (point particles)
 Electromagnetic interaction understood (QED)
 Interaction is weak (α = 1/137)
 Theory works!
 First Born Approx / one photon exchange
 Probe interacts only once
 Study the entire nuclear volume

BUT: Cross sections are small Electrons radiate



It's all photons!

• An electron interacts with a nucleus by exchanging a single virtual photon.



Momentum q = energy v

Mass = $Q^2 = |\mathbf{q}|^2 - v^2 = 0$

Real photon:

Scattered e Incident e Virtual photon Virtual photon: Momentum q > energy v $Q^2 = -q_{\mu}q^{\mu} = |\mathbf{q}|^2 - v^2 > 0$ Virtual photon "has mass"!

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(v and \omega are both used for energy transfer)
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Electron beams need ...

□High energy

- $q \sim 2E \sin(\theta_e/2)$
- $\Delta x < 0.2 \text{ fm} \rightarrow q > 1 \text{ GeV/c}$

□High duty cycle (no large beam current variation)

- Reduces accidental coincidences for multiparticle detection
- Reduces detector rates, multiple hits, ...

□ High intensity (since cross sections are small)

□ High resolution to separate nuclear levels

□High polarization (for spin asymmetry measurements)

Luminosities

Electron beam luminosity:

Number of electrons per unit of time (s)

•
$$\mathcal{L}_B[s^{-1}] = \frac{I_B}{q_e} = \frac{N_e}{s}$$

Target Luminosity (Thickness):

Number of particles (N = Nucleon/Nuclear) in a unit of area

•
$$\mathcal{L}_T[\mathrm{cm}^{-2}] = \rho_v \left[\frac{g}{\mathrm{cm}^{-3}}\right] \times \frac{L[\mathrm{cm}]}{A} \times N_{Av} = \frac{N_N}{\mathrm{cm}^2}$$

□ Total (integrated) luminosity:

Number of eN interactions per unit of time, per unit of area

•
$$\mathcal{L}[s^{-1}\mathrm{cm}^{-2}] = \mathcal{L}_B \times \mathcal{L}_T$$

 $\Box \text{ Event rate:} \qquad \qquad N_{evt} = \mathcal{L} \times \sigma$

Determines how much time you need to measure a reaction with a given cross-section σ [cm²]

Electron Accelerators - Worldwide





+ many others (past and present)

Jefferson Lab

Virginia, USA

- 1-11 GeV Electron beam
- Polarized beams and targets (spin study)
- 4 experimental halls



Different electron scattering channels



(e, e'): Detect only scattering electron (e')
 (e, e'P): Detect e' and knock-out proton
 (e, e'NN): Detect e' and two knock-out nucleon

(e,e'): Energy transfer defines physics



Generic Electron Scattering at fixed momentum transfer

(e,e'): Energy transfer defines physics



Everything is interesting...



...But we will focus on 3 regions



Experimental Goals



1. Elastic

- structure of the nucleon/nucleus
 - Form factors, charge distributions
- 2. Quasi-elastic (QE)
 - Shell structure
 - Momentum distributions
 - Occupancies
 - Short Range Correlated nucleon pairs
- 3. Deep Inelastic Scattering (DIS)
 - The EMC Effect and Nucleon modification
 - Quark Hadronization in nuclei







Quick Overview: Elastic



Elastic scattering

- Charge distribution
- Form Factors



Electrons as Waves

Scattering process is quantum mechanical

De broglie wavelength:





Electron energy: $E_e \approx$ $\hbar c = 197$ MeV-fm

$$E_e \approx pc$$

 λ resolving "scale":

$$\lambda = \frac{2\pi (197 \text{ MeV} \cdot \text{fm})}{E_e}$$

Simple analogy for elastic electron scattering.... Classical Fraunhofer Diffraction



Amplitude of wave at screen:

$$\Phi \propto \int_{0}^{a} \int_{0}^{2\pi} \exp(ibr\cos\phi) r d\phi dr$$

Classical Fraunhofer Diffraction



Example: ³⁰Si(e,e')



Elastic Electron Scattering from Nuclei (done formally)



Fermi's Golden Rule $\frac{d\sigma}{dO} = \frac{2\pi}{\hbar} |M_{fi}|^2 D_f$ M_{fi}: scattering amplitude D_f : density of the final states (or phase factor) $M_{fi} = \int \Psi_f^* V(x) \Psi_i d^3 x$ $= \int e^{-k_f \cdot x} V(x) e^{-k_f \cdot x} d^3 x$ $= \int e^{iq \cdot x} V(x) d^3 x$

Plane wave approximation for incoming and outgoing electrons Born approximation (interact only once)

Elastic Electron Scattering from (spin-0) Nuclei



Form Factor and Charge Distribution Using Coulomb potential from a charge distribution, $\rho(x)$, $V(\mathbf{x}) = -\frac{Ze^2}{4\pi\epsilon_o} \int \frac{\rho(\mathbf{x}')}{|\mathbf{x}-\mathbf{x}'|} d^3 \mathbf{x}'$ $M_{fi} = -\frac{Ze^2}{4\pi\epsilon_0} \left[e^{iq\cdot x} \left[\frac{\rho(x')}{|x-x'|} d^3 x' d^3 x \right] \right]$ $= -\frac{Ze^2}{4\pi\epsilon_0} \left[e^{iqR} \left[\left[\frac{e^{iq\cdot x'}\rho(x')}{|R|} d^3x' \right] d^3R \right] \right]$ $= -\frac{Ze^2}{4\pi\epsilon_0} \left[\frac{e^{iq\kappa}}{R} d^3 R \left[e^{iq\cdot x'} \rho(x') d^3 x' \right] \right]$ $F(q) = \int e^{iq \cdot x'} \rho(x') d^3 x'$

Charge form factor F(q) is the Fourrier transform of the charge distribution $\rho(x)$



Diffraction Measurements of Small Radii



From Intuition to Formalism

Lab frame kinematics



Invariants: $p^{\mu}p_{\mu} = M^2$ $Q^2 = -q^{\mu}q_{\mu} = |\vec{q}|^2 - \omega^2$ $W^2 = (q^{\mu} + p^{\mu})^2 = p'_{\mu}p'^{\mu}$
From Intuition to Formalism

Lab frame kinematics



Invariants:

$$p^{\mu}p_{\mu} = M^2$$

 $p_{\mu}q^{\mu} = M\omega$

 $Q^{2} = -q^{\mu}q_{\mu} = |\vec{q}|^{2} - \omega^{2} \qquad W^{2} = (q^{\mu} + p^{\mu})^{2} = p'_{\mu}p'^{\mu}$

Mott cross-section:

$$\boldsymbol{\sigma}_{\boldsymbol{M}} = \frac{\alpha^2 \cos^2\left(\frac{\theta_e}{2}\right)}{4E^2 \sin^4\left(\frac{\theta_e}{2}\right)}$$







 $\begin{array}{c} \begin{array}{c} {\color{black} \textbf{SO}} \\ \textbf{SO} \\ \textbf{SO}$

Form Factors: Unpolarized Cross-Sections

$$\frac{\varepsilon}{\tau}G_{E}^{2}+G_{M}^{2}=\frac{\varepsilon(1+\tau)}{\tau}\left[\frac{d\sigma}{d\Omega}\left(\frac{d\sigma}{d\Omega}\right)_{Mott+recoil}\right]$$

$$\varepsilon = \left[1+2(1+\tau)tan^{2}\left(\frac{\theta_{e}}{2}\right)\right]^{-1}$$
Rosenbluth Separation method
$$G_{E}^{2}=tg\beta$$

$$\tau G_{M}^{2}$$

M.N Rosenbluth, Physical Review (1950)

Form Factors: Recoil polarization method

$$\frac{G_E^2}{G_M^2} = \frac{-P_x}{P_z} \frac{E+E'}{2M} \tan(\theta/2)$$



Form Factors: Polarization Transfer

$$\frac{G_E^2}{G_M^2} = \frac{-P_x}{P_z} \frac{E+E'}{2M} \tan(\theta/2)$$



LARGE Discrepancy! (2 photon exchange?)

Elastic scattering summary



Measurements of charger distribution for nucleon and nucleus
 Measurement for the electromagnetic form factor of Nucleon.

Quick overview: Quasi-elastic scattering



- Momentum distributions
- Occupancies
- Short Range Correlated nucleon pairs

Nuclear structure and NN potential



- □ Attractive force: Moderate distance
- Repulsive force: Short distance

... In Principle

□ Nucleons are bound: Energy distribution, n(E)

- Nucleons are not static: Momentum distribution, n(k)
- Spectral function: Probability of finding a nucleon inside nuclei with a given energy and momentum, S(E, k)



Many-Body Hamiltonian:

$$H = \sum_{i=1}^{A} T + \sum_{i < j}^{A} V_{2N}(i, j) + \sum_{i < j < k}^{A} V_{3N(i, j, k)} + \dots$$

Challenging Many-Body Problem

1. Many-body Schrödinger equation

$$\sum_{i} \left\{ -\frac{\hbar^2}{2m_i} \nabla_i^2 \Psi(\vec{r}_1, \dots, \vec{r}_N, t) \right\} + U(\vec{r}_1, \dots, \vec{r}_N) \Psi(\vec{r}_1, \dots, \vec{r}_N, t) = i\hbar \frac{\partial}{\partial t} \Psi(\vec{r}_1, \dots, \vec{r}_N, t)$$

2. Complex interaction



Challenging Many-Body Problem

1. Many-body Schrödinger equation

$$\sum_{i} \left\{ -\frac{\hbar^2}{2m_i} \nabla_i^2 \Psi(\vec{r}_1, \dots, \vec{r}_N, t) \right\} + U(\vec{r}_1, \dots, \vec{r}_N) \Psi(\vec{r}_1, \dots, \vec{r}_N, t) = i\hbar \frac{\partial}{\partial t} \Psi(\vec{r}_1, \dots, \vec{r}_N, t)$$

2. Complex interaction —> Effective Interaction



Challenging Many-Body Problem

1. Many-body Schrödinger equation



2. Complex interaction —> Effective Interaction



What is a Nucleus ?



Nuclear and Particle Physics An introduction (B.R. Martin)

Fermi gas model:

how simple a model can you make?

Initial nucleon energy: $KE_i = p_i^2 / 2m_p$ Final nucleon energy: $KE_f = p_f^2 / 2m_p = (\vec{q} + \vec{p}_i)^2 / 2m_p$ Energy transfer: $v = KE_f - KE_i = \frac{\vec{q}^2}{2m_p} + \frac{\vec{q} \cdot \vec{p}_i}{m_p}$

e

e

Fermi gas model:

how simple a model can you make?

Initial nucleon energy: $KE_i = p_i^2 / 2m_p$ p_i Final nucleon energy: $KE_f = p_f^2 / 2m_p = (\vec{q} + \vec{p}_i)^2 / 2m_p$

Energy transfer:

$$v = KE_f - KE_i = \frac{\vec{q}^2}{2m_p} + \frac{\vec{q} \cdot \vec{p}_i}{m_p}$$

e

Expect:

- > Peak centroid at $v = q^2/2m_p + \epsilon$
- \blacktriangleright Peak width $2qp_{\text{fermi}}/m_{\text{p}}$
- > Total peak cross section = $Z\sigma_{ep} + N\sigma_{en}$

e

p_f

Early 1970s Quasi-elastic Data

-> getting the bulk features

500 MeV, 60 degrees

R.R. Whitney et al., PRC 9, 2230 (1974).

 $\vec{q} \simeq 500 \text{MeV}/c$



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Inclusive (e.e') Quasi-elastic scattering



O. Benhar, D. Day, Rev. Mod. Phys (2008)

Nuclear mass (A) dependence





Q² dependence

As Q² >> 1 inelastic scattering from the nucleons begins to dominate
 Quasi Elastic scattering is still dominant at low energy transfer, even at high Q²

Scaling

- The dependence of a cross-section, in certain kinematic regions, on a single variable.
 - scaling validates the scaling assumption.
 - Scale-breaking indicates new physics.
 - At moderate Q² and x>1 we expect to see evidence for yscaling, indicating that the electrons are scattering from quasifree nucleons
 - y = minimum momentum of struck nucleon
 - At high Q² we expect to see evidence for x-scaling, indicating that the electrons are scattering from quarks.
 - x = Q²/2mv = fraction of nucleon momentum carried by struck quark (in infinite momentum frame)

y-scaling in inclusive electron scattering from ³He



- Assumption: scattering takes place from a quasi-free proton or neutron in the nucleus.
- y is the momentum of the struck nucleon parallel to the momentum transfer: $y \approx -q/2 + mv/q$ (nonrelativistically)

IF the scattering is quasifree, then F(y) is the integral over all perpendicular nucleon momenta (nonrelativistically).

Goal: extract the momentum distribution n(k) from F(y).

Assumptions & Potential Scale Breaking Mechanisms

- No Final State Interactions (FSI)
- No internal excitation of (A-1)
- Full strength of Spectral function can be integrated over at finite *q*
- No inelastic processes (choose y<0)
- No medium modifications (discussed later)



Final State Interactions (FSI) complicate this simple picture



Benhar et al. PRC 44, 2328 Benhar, Pandharipande, PRC 47, 2218 Benhar et al. PLB 3443, 47

Independent particle shell model



$$H = \underbrace{\begin{bmatrix} T + V_M \end{bmatrix}}_{\text{IPSM}} + \underbrace{\begin{bmatrix} V_{2-body} + V_{3-body} + \dots - V_M \end{bmatrix}}_{\text{neglected in IPSM}}.$$

Assumptions:

□ Nucleon moves in a mean-field created by surrounding nucleons

□ No interaction at a short distance

Nucleons fill up distinct energy level defined by quantum numbers, highest energy level is called Fermi-energy, corresponding to Fermimomentum

Independent particle shell model $H = \begin{bmatrix} T + V_M \end{bmatrix} + \begin{bmatrix} V_{2-body} + V_{3-body} + \dots - V_M \end{bmatrix}.$ neglected in IPSM IPSM Z(E)Z(k) $E_F =$ occupied occupied empty empty F $E_{\rm F}$ k_F k

Pauli's principle:

Forbids nucleon scattering to occupied shell: Suppressed the nucleon interaction

- Ground state energies
- Excitation Spectrum
- Spins
- Parities

Momentum distribution:



Detect the knocked out nucleon (e,e'p)



$$|E| = E_m \quad \vec{p}_{init} = -\vec{p}_m$$

(e,e'p) Plane Wave Impulse Approximation (PWIA)

- 1. Only one nucleon absorbs the virtual photon
- 2. That nucleon does not interact further
- 3. That nucleon is detected



Cross-section factorization

$$\sigma = K \sigma_{ep} S(|\vec{P_i}|, E_i)$$



The missing energy spectrum shows shells occupancy



L. Lapikas, Nuclear Phys. A553, 297c (1993)



L. Lapikas, Nuclear Phys. A553, 297c (1993)


Nucleon went missing??



L. Lapikas, Nuclear Phys. A553, 297c (1993)

Nucleons went missing?



Some strength was detected in the shell above the fermi edge which is predicted to be empty In IPSM



□Long range correlations can not account for the spectroscopic factor difference

□Short Range Correlations (SRCs) is possible solution

Welcome to SRCs

Quasi-elastic Summary



□ Measures shell structure directly

□ Provide information on nucleon momentum distribution

□ Nucleon went missing, provide the hint to SRCs