Diquarks as a QCD Breakthrough into Nuclear Physics (via Short-Range NN Correlations)



Jennifer Rittenhouse West Lawrence Berkeley National Lab Frontiers & Careers in Hadronic Physics 2022



Overview: Fundamental QCD effects in nuclei

- Fundamental QCD degrees of freedom: color-charged quarks, gluons
- fQCD underlies all of nuclear physics but often unnecessary to descend to that level - Effective field theory sufficient
- Experimental puzzle: 1983 EMC effect, mysterious quark behavior in nuclei possible fQCD effects on nuclear scales
- Diquark & Hexadiquark solutions proposed to affect structure functions ${\cal F}_2$ short-range QCD physics appears in nuclei

Electron-Ion Collider

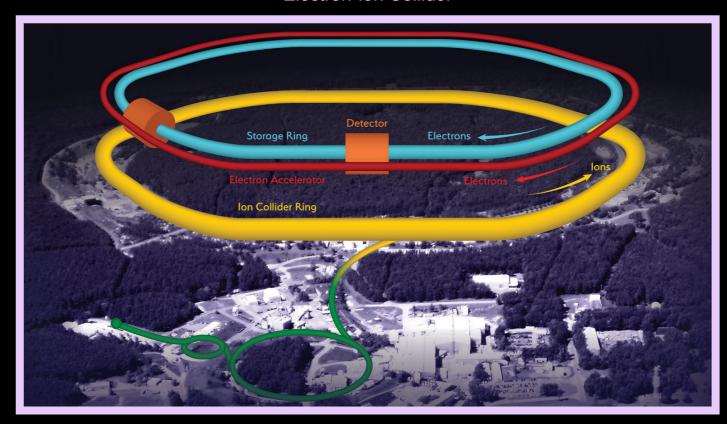
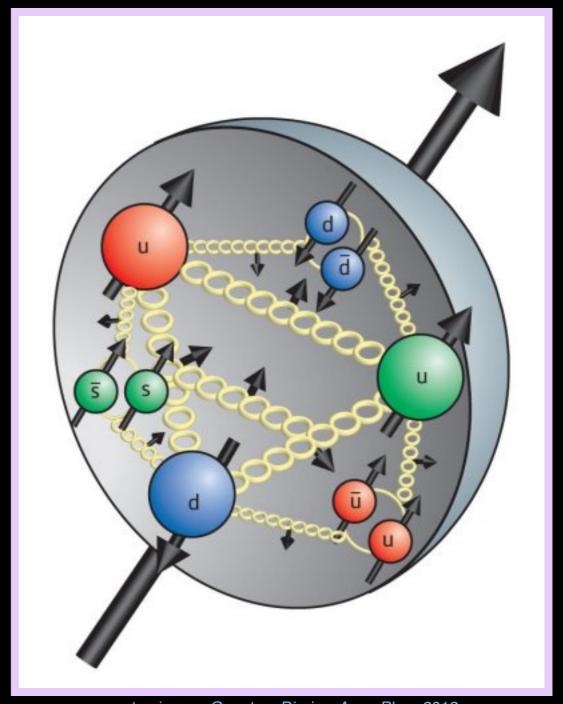


Image credit: Brookhaven National Lab

Motivations for focusing on mysterious quark behavior in nuclei:

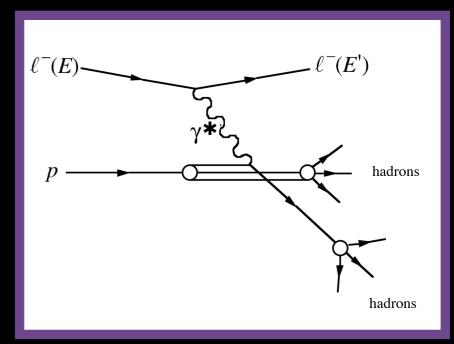
- QCD gives rise to mass, spin and dynamics of protons and neutrons hadronic physics
- If short-range QCD is causing the distorted quark behavior in nuclei - this is the boundary between hadronic and nuclear physics - arguably, at the hadronic frontier as well
- Other important hadronic frontier physics: Sea quark asymmetries, d/u measurements, neutron spin structure, deep dive into SRC & more



proton image: Quantum Diaries, Anna Phan 2012

EMC effect: Deep Inelastic Scattering

- Lepton scatters from target, exchanging virtual photon with 4-momentum q^2 given by: $Q^2 \equiv -q^2 = 2EE'(1-\cos\theta)$
- γ^* strikes quark: We know the fraction of nucleon momentum carried by the struck quark via the Bjorken scaling variable $x_B=\frac{Q^2}{2M_p v}$ where $\nu=E-E'$, M_p =mass of proton, lepton masses neglected
- EMC plots: Ratio of structure functions vs. momentum fraction carried by struck quark x_B



Adapted from Nuclear & Particle Physics by B.R. Martin, 2003

Differential cross section for DIS:

$$\frac{d\sigma}{dxdy}\left(e^{-}p \to e^{-}X\right) = \sum_{f} x \ e_{f}^{2} \left[q_{f}(x) + \overline{q}_{\bar{f}}(x)\right] \cdot \frac{2\pi\alpha^{2}s}{Q^{4}} \left(1 + (1 - y)^{2}\right)$$

where $y = \frac{\nu}{E}$ is the fraction of ℓ^- energy transferred to the target. $F_2(x)$ is the **nucleon structure function**, defined as:

$$F_2(x_B) \equiv \sum_f x_B \ e_f^2 \left(\ q_f(x_B) + \ \overline{q}_{\bar{f}}(x_B) \right)$$

in terms of quark distribution functions $q_f(x)$: probability to find a quark with momentum $x_i \in [x, x + dx]$.

EMC effect: Distortion of nuclear structure functions

Plotting ratio of
$$F_2(x_B) \equiv \sum_f x_B e_f^2 (q_f(x_B) + \overline{q}_{\overline{f}}(x_B)) \text{ vs. } x_B$$

- Predicted $F_2(x_B)$ ratio in complete disagreement with theory
- Why should quark behavior - confined in nucleons at QCD energy scales ~200 MeV - be so affected when nucleons embedded in nuclei, BE ≥ 2.2 MeV?
- Mystery has not been solved to this day.

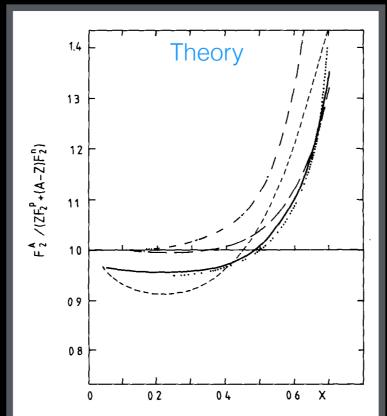


Fig. 1. Theoretical predictions for the Fermi motion correction of the nucleon structure function $F_2^{\mathbf{N}}$ for iron. Dotted line Few-nucleon-correlation-model of Frankfurt and Strikman [9]. Dashed line. Collective-tube-model of Berlad et al. [10] Solid line Correction according to Bodek and Ritchie [8]. Dot-dashed line. Same authors, but no high momentum tail included. Triple-dot-dashed line Same authors, momentum balance always by a A-1 nucleus. The last two curves should not be understood as predictions but as an indication of the sensitivity of the calculations to several assumptions which are only poorly known.

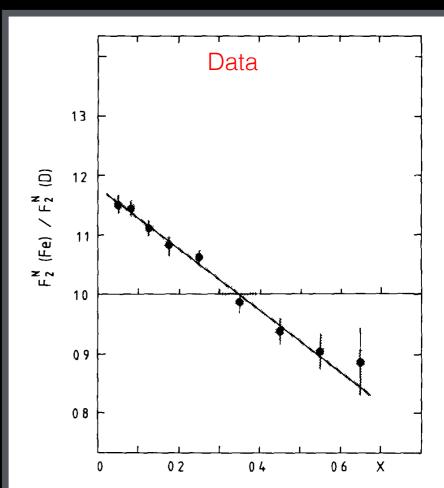


Fig. 2. The ratio of the nucleon structure functions F_2^N measured on iron and deuterium as a function of $x = Q^2/2M_{\rm p}\nu$. The iron data are corrected for the non-isoscalarity of $^{56}_{26}$ Fe, both data sets are not corrected for Fermi motion. The full curve is a linear fit $F_2^N({\rm Fe})/F_2^N({\rm D}) = a + bx$ which results in a slope $b = -0.52 \pm 0.04$ (stat.) ± 0.21 (syst.) The shaded area indicates the effect of systematic errors on this slope.

"THE RATIO OF THE NUCLEON STRUCTURE FUNCTIONS F_2^N FOR IRON AND DEUTERIUM "
The European Muon Collaboration, J.J. AUBERT et al. 1983

EMC effect experiments & explanations

POSSIBLE EXPLANATIONS

- Mean field effects involving the whole nucleus
- · Local effects, e.g., 2-nucleon correlations

Simple mean field effects inconsistent with the EMC effect in light nuclei - MC of $^9\mathrm{Be}$ \Longrightarrow clustering Seely *et al.*, 2009.

"This one new bit of information has reinvigorated the experimental and theoretical efforts to pin down the underlying cause of the EMC effect." *Malace et al., 2014*

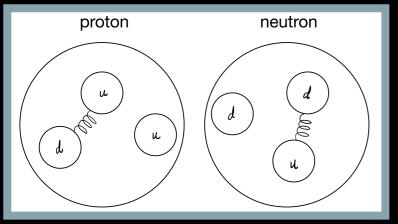


Short-range N-N correlated pairs (SRC) may cause EMC effect (first suggested in *Ciofi & Liuti 1990, 1991*).

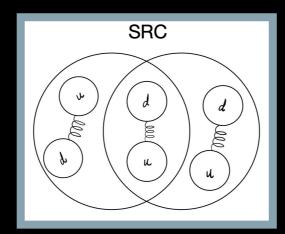
Neutron-proton pairs later found to dominate SRC (CLAS collaboration & others)



New model: **Diquark formation** proposed to create short-range correlations (SRC), modifying quark behavior in the NN pair







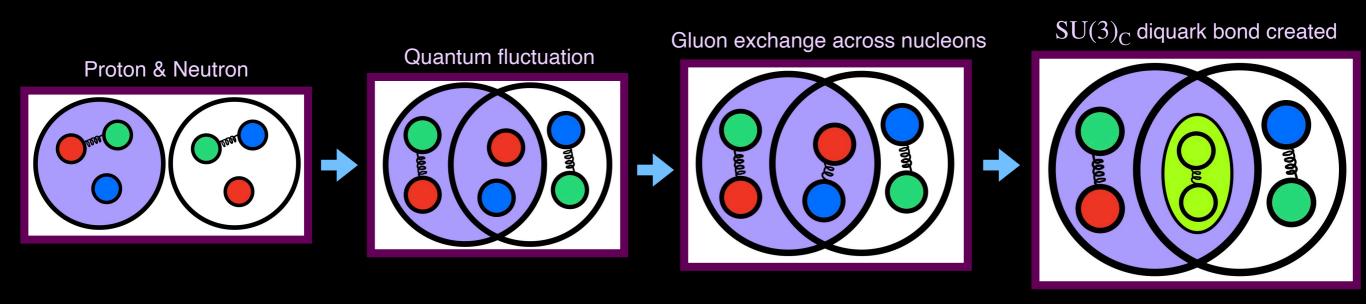
Dozens of experiments CONFIRM EMC EFFECT

Target	Collaboration/			
	Laboratory			
³ He	JLab			
	HERMES			
⁴ He	JLab			
	SLAC			
	NMC			
⁶ Li	NMC			
⁹ Be	JLab			
	SLAC			
	NMC			
$^{12}\mathrm{C}$	JLab			
	SLAC			
	NMC			
	EMC			
^{14}N	HERMES			
	BCDMS			
²⁷ Al	Rochester-SLAC-MIT			
	SLAC			
	NMC			
$^{40}\mathrm{Ca}$	SLAC			
	NMC			
	EMC			
⁵⁶ Fe	Rochester-SLAC-MIT			
	SLAC			
	NMC			
	BCDMS			
⁶⁴ Cu	EMC			
¹⁰⁸ Ag	SLAC			
¹¹⁹ Sn	NMC			
	EMC			
¹⁹⁷ Au	SLAC			
²⁰⁷ Pb	NMC			

Malace, Gaskell, Higinbotham & Cloet, Int.J.Mod.Phys.E 23 (2014)

Overview: Fundamental QCD dynamics in NN pairs

New model: **Diquark formation** proposed to create short-range correlations (SRC), modifying quark behavior in the NN pair



Short-range QCD potentials act on distance scales < 1 fm. Strong NN overlap can bring valence quarks within range.

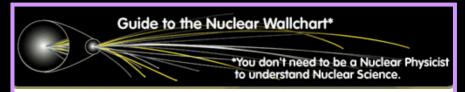
What are SRC?

Short-range correlated nucleon-nucleon pairs

- Nuclei consist of protons and neutrons ~80% of which are organized into shells/ LRC
- Nuclear shell model is a "description of nuclei of atoms by analogy with the Bohr atomic model of electron energy levels.
- It was developed independently in the late 1940s by the American physicist Maria Goeppert Mayer and the German physicist J. Hans D. Jensen, who shared the Nobel Prize for Physics in 1963 for their work."
 William L. Hosch, www.britannica.com

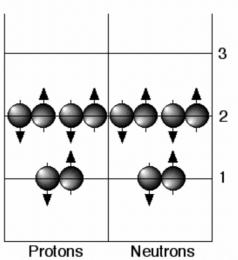
~20% of nucleons are in short-range correlated pairs - not shells/LRC

• SRC have very high relative momentum - nearly all nucleons above the Fermi momentum of the nucleus, $k_F \sim 250~{\rm MeV/c}$, are in SRC



The Shell Model

One such model is the Shell Model, which accounts for many features of the nuclear energy levels. According to this model, the motion of each nucleon is governed by the average attractive force of all the other nucleons. The resulting orbits form "shells," just as the orbits of electrons in atoms do. As nucleons are added to the nucleus, they drop into the lowest-energy shells permitted by the Pauli Principle which requires that each nucleon have a unique set of quantum numbers to describe its motion



When a shell is full (that is, when the nucleons have used up all of the possible sets of quantum number assignments), a nucleus of unusual stability forms. This concept is similar to that found in an atom where a filled set of electron quantum numbers results in an atom with unusual stability—an inert gas. When all the protons or neutrons in a nucleus are in filled shells, the number of protons or neutrons is called a "magic number." Some of the magic numbers are 2, 8, 20, 28, 50, 82, and 126. For example, 116Sn has a magic number of protons (50) and 54Fe has a magic number of neutrons (28). Some nuclei, for example 40Ca and 208Pb, have magic numbers of both protons and neutrons; these nuclei have exceptional stability and are called "doubly magic." Magic numbers are indicated on the chart of the nuclides.

www2.lbl.gov/abc/wallchart/chapters/06/1.html

What is a diquark?

- Strong force described by special unitary group $SU(3)_C$, local symmetry of the strong interaction $\equiv QCD$
- QCD ⇒ Diquark creation:
 Quark-quark bond with single gluon exchange & group theory transformation into a fundamentally different object:

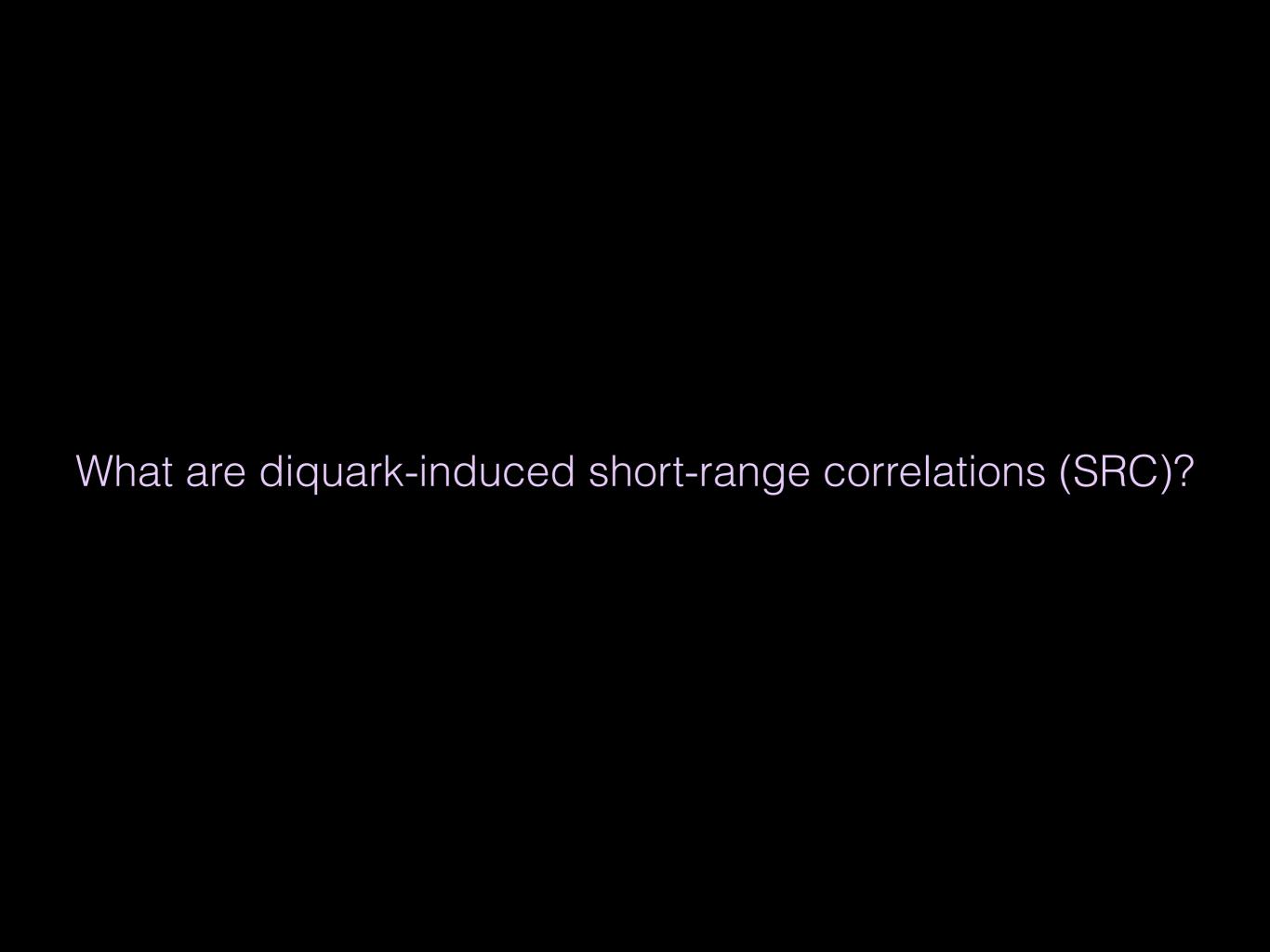
$$3_C \otimes 3_C \rightarrow \overline{3}_C$$



Like quarks and gluons, diquarks carry color charge. They cannot be seen directly due to color confinement. Only $\mathbf{1}_C$ (red+green+blue or red-antired etc.) directly detected.

Therefore there is no direct evidence for diquarks. Work in progress for diquark detection experimental proposals (e.g., diquark jets from DIS increase Λ production)

Strong indirect evidence exists (baryon mass splittings, Regge slopes).



Diquark-induced SRC

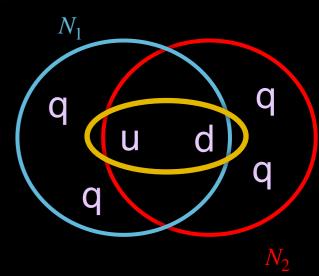
What causes the "short-range" part of short-range NN correlations?

Quantum fluctuations in separation distance between 2 nucleons

Quantum fluctuations in relative momentum between 2 nucleons

What causes the "correlation" in SRC?

- Diquark forms across nucleons
- Valence quarks from different nucleons "fall into" short-range QCD potential V(r)
- Highly energetically favorable [ud] diquark created, a spin-0, isospin-0 qq combination



Why spin-0 [ud] diquark formation?

There are 4 options for diquarks created out of valence quarks in the proton and neutron:

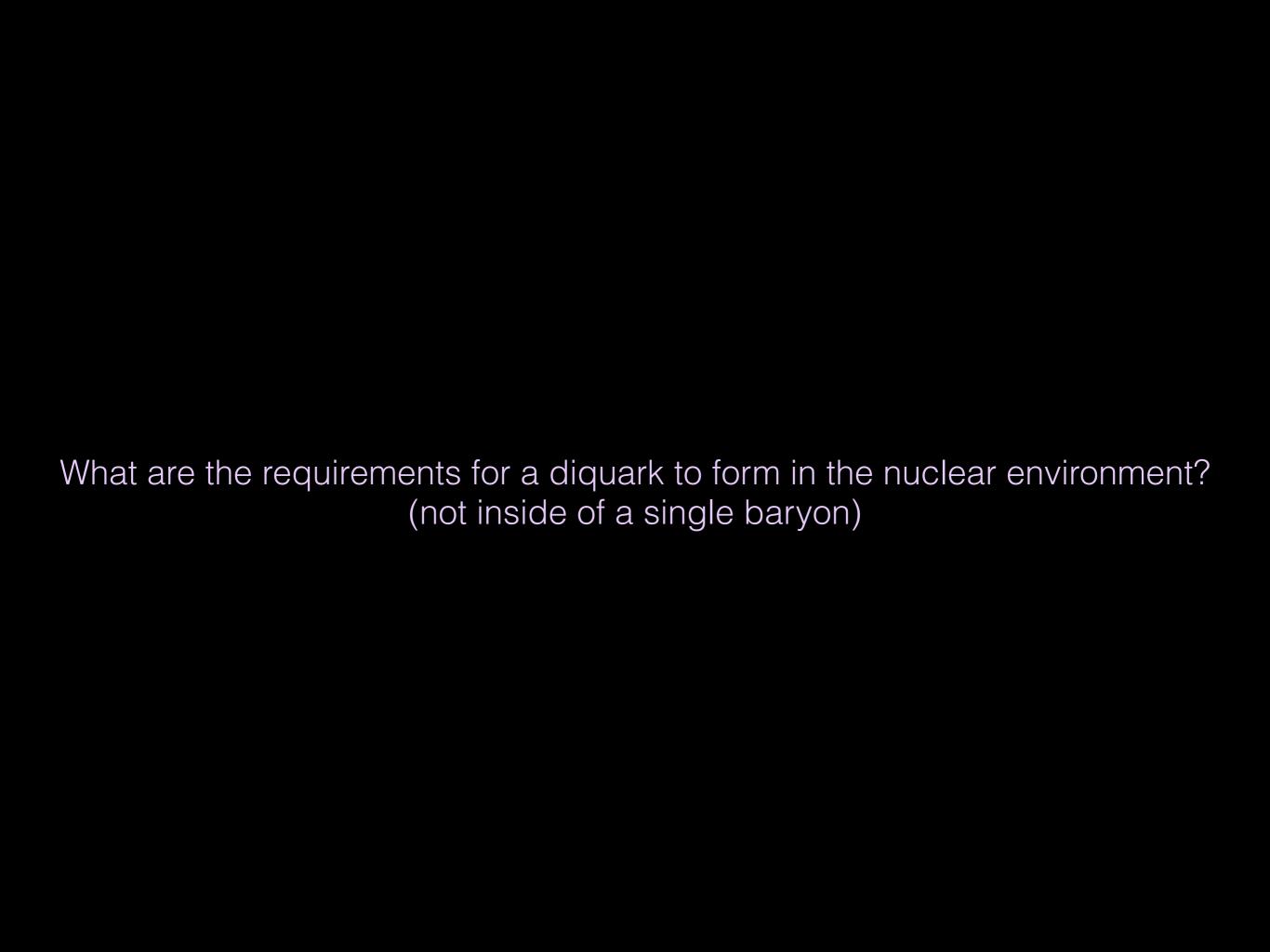
- Spin-0, Isospin-0 [ud]
- Spin-1, Isospin-1 (ud)
- Spin-1, Isospin-1 (uu)
- Spin-1, Isospin-1 (dd)

The scalar [ud] is lower in mass by nearly 200 MeV.

What about a spin-0, isospin-1 [ud]? Doesn't work due to spin-statistics constraints on the diquark wave function:

$$\Psi_{[ud]'} \propto \psi_{
m color} \; \psi_{
m spin} \; \psi_{
m iso} \; \psi_{
m space}$$

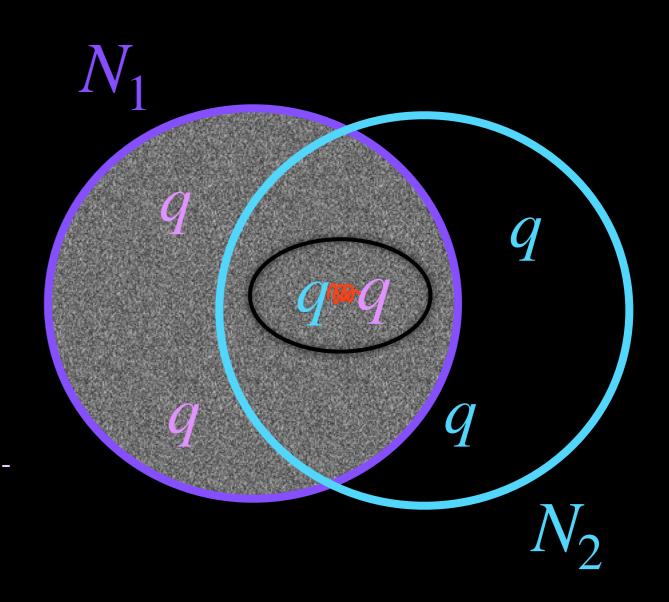
Antisymmetric Symmetric, L=0



Diquark formation across N-N pairs

Requirements for diquark induced SRC:

- 1. Nucleon-Nucleon wavefunctions must STRONGLY overlap
- 2. Attractive short-range QCD potential between valence quarks
- 3. Significant binding energy for diquark to form (much stronger than nuclear binding energies comparable to confinement scale)



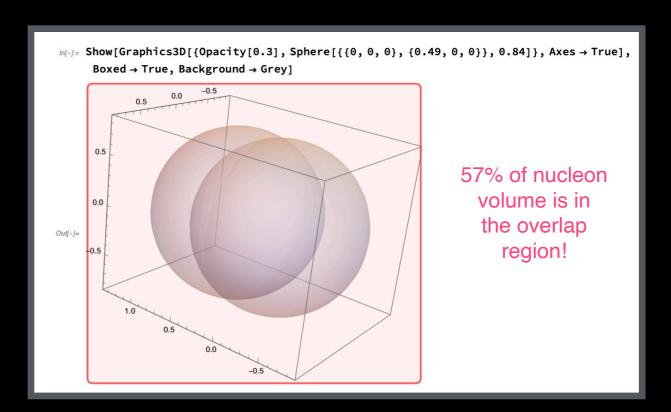
1. SRC 3D-overlap for relative momenta 400~MeV/c & 800~MeV/c

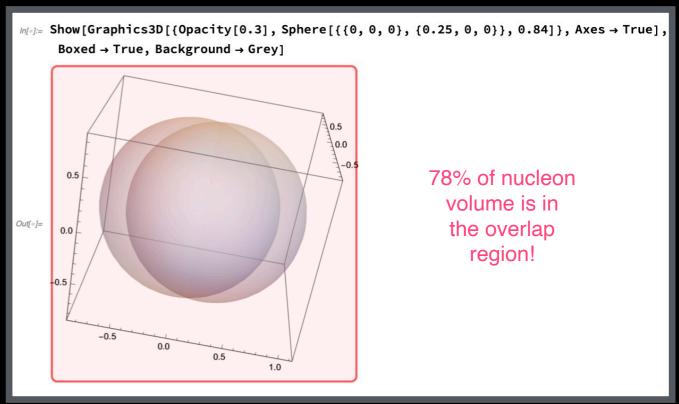
Plot 1: According to the ¹²C measurements from 2021 CLAS, NN tensor force dominates at 400 MeV/c relative momenta. Natural unit conversion gives 0.49 fm = 400 MeV/c.



 Plot 2: Tensor-scalar transition momenta - according to the ¹²C measurements from 2021 CLAS, NN scalar force is in effect at 800 MeV/c relative momenta . Natural unit conversion gives 0.25 fm = 800 MeV/c .







JRW @LBNL, 29 June 2022

2. Quark-quark potential in QCD: V(r) calculation

• The $SU(3)_C$ invariant QCD Lagragian:

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} F^{\mu\nu a} F^a_{\mu\nu} + \bar{\Psi}_f \left(i \gamma^{\mu} D_{\mu} - m \right) \Psi_f$$

where covariant derivative $D_{\mu}=\partial_{\mu}-ig_sA_{\mu}^at^a$ acts on quark fields, t^a are the 3x3 traceless Hermitian matrices (e.g. the 8 Gell-Mann matrices), g_s the strong interaction coupling, $\alpha_s\equiv\frac{g_s^2}{4\pi}$.

• QCD potential for states in representations R and R' is given by:

$$V(r) = \frac{g_s^2}{4\pi r} t_R^a \otimes t_{R'}^a$$

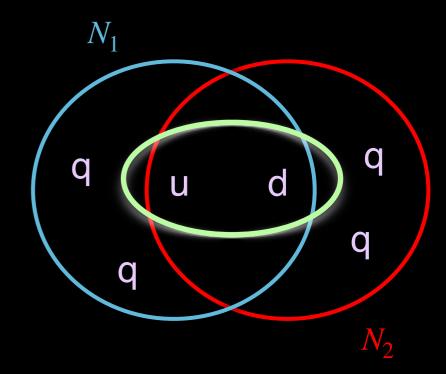
• To compute V(r) for a $3_c \otimes 3_c \to \overline{3}_c$, we use the definition of the scalar $C_2(R)$, $t_R^a t_R^a \equiv C_2(R)$ 1, the *quadratic Casimir operator* (NB: R_f is the final state representation):

$$V(r) = \frac{g_s^2}{4\pi r} \cdot \frac{1}{2} \cdot \left(C_2 \left(R_f \right) - C_2(R) - C_2(R') \right)$$

• Diquarks combine 2 fundamental representation quarks into an antifundamental, $3_{\rm C} \otimes 3_{\rm c} \to \overline{3}_{\rm C}$:

$$V(r) = -\frac{2}{3} \frac{g_s^2}{4\pi r}$$
 \Longrightarrow Diquark is bound!

Diquark induced N-N correlation:



Compare to color singlet attractive potential:

$$q\bar{q}: V(r) = -\frac{4}{3} \frac{g_s^2}{4\pi r}$$

3. Diquark binding energy: Color hyperfine structure

Use Λ^0 baryon to find binding energy of [ud]:

B.E._[ud] =
$$m_u^b + m_d^b + m_s^b - M_{\Lambda^0}$$

Spin-spin interaction contribute to hadron mass; QCD hyperfine interactions:

1.
$$M_{\text{(baryon)}} = \sum_{i=1}^{3} m_i + a' \sum_{i < j} \left(\sigma_i \cdot \sigma_j \right) / m_i m_j$$

2.
$$M_{\text{(meson)}} = m_1 + m_2 + a \left(\sigma_1 \cdot \sigma_2\right) / m_1 m_2$$

(de Rujula, Georgi & Glashow 1975, Gasiorowicz & Rosner 1981, Karliner & Rosner 2014)

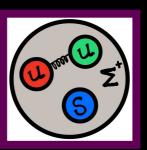
Effective masses of light quarks are found using Eq.1 and fitting to measured baryon masses:

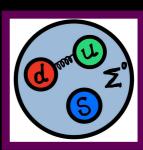
$$m_u^b = m_d^b \equiv m_q^b = 363 \text{ MeV}, \ m_s^b = 538 \text{ MeV}$$

B.E._[ud] =
$$m_u^b + m_d^b + m_s^b - M_{\Lambda} = 148 \pm 9 \text{ MeV}$$

Relevant diquark-carrying baryons: Λ , Σ^+ , Σ^0 , Σ^-







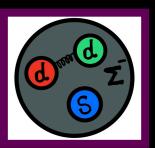


TABLE I: Diquark properties

Diquark Bir	nding Energy (Me	V) Mass (MeV)	Isospii	n I Spin S
[ud]	148 ± 9	\mid 578 \pm 11 \mid	0	0
(ud)	0	$ 776\pm11 $	1	1
(uu)	0	776 ± 11	1	1
(dd)	0	776 ± 11	1	1

Uncertainties calculated using average light quark mass errors $\Delta m_q = 5~MeV$ [37]

TABLE II: Relevant SU(3)_C hyperfine structure baryons [28]

Baryon	Diquark-Quark content Mas	ss (MeV) $ I(J^P) $
Λ	$\left ud\right s \qquad \left 1115.6 \right $	$683 \pm 0.006 \left 0 \left(\frac{1}{2} \right) \right $
Σ^+		$37 \pm 0.07 \left 1 \left(\frac{1}{2}^+ \right) \right $
Σ^0	(ud)s 1192.6	$642 \pm 0.024 \left 1 \left(\frac{1}{2} \right) \right $
Σ^-		$449 \pm 0.030 \left 1 \left(\frac{1}{2} \right) \right $

 $I\left(J^{P}\right)$ denotes the usual isospin I, total spin J and parity P quantum numbers, all have $L\!=\!0$ therefore J=S

"Diquark Induced Nucleon-Nucleon Correlations and the EMC Effect,"

JRW, arXiv:2009.06968

Diquark formation across N-N pairs

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What are the implications of NN diquark formation? Quark flavor dependence of low mass $[ud]$ will affect the np vs. pp SRC!

Diquark formation prediction for A=3 SRC: Isospin

Nucleon wavefunction : $|N\rangle = \alpha |qqq\rangle + \beta |q[qq]\rangle$

Scalar [ud] diquark formation for nucleons with 3-valence quark internal structure $|N\rangle \propto |qqq\rangle$:

$${}^{3}H: 2n+p \to 4u, 5d \Longrightarrow np \supset [ud] \times 10$$

$$\Longrightarrow nn \supset [ud] \times 4 \Longrightarrow 60 \% n-p, 40 \% n-n$$

$${}^{3}He: 2p+n \to 5u, 4d \Longrightarrow np \supset [ud] \times 10$$

$$\Longrightarrow pp \supset [ud] \times 4 \Longrightarrow 60 \% n-p, 40 \% p-p$$

Scalar diquark formation for nucleons in quark-diquark internal configuration $|N\rangle \propto |q[qq]\rangle$:

$$^{3}H: u[ud] + u[ud] + d[ud] \Longrightarrow 100\% n - p$$

$$^{3}He: d[ud] + d[ud] + u[ud] \implies 100\% n - p$$

The number of possible diquark combinations in A=3 nuclei with nucleons in the 3-valence quark configuration is found by simple counting arguments. First, the 9 quarks of $^3\mathrm{He}$ with nucleon location indices are written as:

$$N_1: p \supset u_{11} \ u_{12} \ d_{13}$$

 $N_2: p \supset u_{21} \ u_{22} \ d_{23}$
 $N_3: n \supset u_{31} \ d_{32} \ d_{33}$ (21)

where the first index of q_{ij} labels which of the 3 nucleons the quark belongs to, and the second index indicates which of the 3 valence quarks it is. Diquark induced SRC requires the first index of the quarks in the diquark to differ, $[u_{ij}d_{kl}]$ with $i \neq k$. The 4 possible combinations from p-p SRC are listed below.

$$u_{11}d_{23} \quad u_{12}d_{23} \tag{22}$$

$$u_{21}d_{13} \quad u_{22}d_{13} \tag{23}$$

Short-range correlations from n-p pairs have 10 possible combinations,

$$\begin{array}{cccc} u_{11}d_{32} & u_{12}d_{32} \\ u_{11}d_{33} & u_{12}d_{33} \\ u_{21}d_{32} & u_{22}d_{32} \\ u_{21}d_{33} & u_{22}d_{33} \\ u_{31}d_{13} & u_{31}d_{23} \end{array} \tag{24}$$

which gives the number of p-p combinations to n-p combinations in this case as $\frac{2}{5}$.

Combining these results yields the following inequality for the isospin dependence of N-N SRC:

3
He: $0 \le \frac{\mathcal{N}_{pp}}{\mathcal{N}_{np}} \le \frac{2}{5}$ (25)

where \mathcal{N}_{NN} is the number of SRC between the nucleon flavors in the subscript.

The same argument may be made for ³H due to the quark-level isospin-0 interaction, to find

$$^{3}\mathrm{H}: \ 0 \le \frac{\mathcal{N}_{nn}}{\mathcal{N}_{np}} \le \frac{2}{5}. \tag{26}$$

JRW, arXiv:2009.06968

Combine into isospin dependent SRC ratio predictions:

$$^{3}He: 0 \le \frac{N_{pp}_{SRC}}{N_{np}_{SRC}} \le \frac{2}{5}, \quad ^{3}H: 0 \le \frac{N_{nn}_{SRC}}{N_{np}_{SRC}} \le \frac{2}{5}, \quad Maximum 40\%!$$

Diquark formation induced SRC inequality tentatively confirmed: JLab experiment E12-11-112 A=3 mirror nuclei results

Preliminary results from JLab:
$$\frac{\mathcal{N}_{nn}}{\mathcal{N}_{np}} = \frac{1}{4.23} \sim 0.24$$

Individual nucleon wavefunctions at lowest order are dominated by two Fock states with unknown coefficients; the 3 valence quark configuration and the quark-diquark configuration,

$$|N\rangle = \alpha |qqq\rangle + \beta |q[qq]\rangle,$$
 (27)

where square brackets indicate the spin-0 [ud] diquark. The full A=3 nuclear wavefunction is given by

$$|\Psi_{A=3}\rangle \propto (\alpha|qqq\rangle + \beta|q[qq]\rangle)(\alpha|qqq\rangle + \beta|q[qq]\rangle)$$

$$(\gamma|qqq\rangle + \delta|q[qq]\rangle)$$
(28)

where the proton and the neutron are allowed to have different weights for each valence quark configuration. This expands out to

$$|\Psi_{A=3}\rangle \propto \alpha^{2} \gamma |qqq\rangle^{3} + 2\alpha\beta\gamma |qqq\rangle^{2} |q[qq]\rangle$$

$$\alpha^{2} \delta |qqq\rangle^{2} |q[qq]\rangle + \beta^{2} \gamma |qqq\rangle |q[qq]\rangle^{2} + (29)$$

$$2\alpha\beta\delta |qqq\rangle |q[qq]\rangle^{2} + \beta^{2}\delta |q[qq]\rangle^{3},$$

with mixed terms demonstrating that it is not straightforward to map the $\frac{\mathcal{N}_{pp}}{\mathcal{N}_{np}}$ ratio to precise coefficients for each nucleon's Fock states. A perhaps reasonable simplification is to assume that the proton and the neutron have the same coefficients for their 2-body and 3-body valence states, i.e. to set $\gamma = \alpha$ and $\delta = \beta$ in Eq. 28. In this case, the nuclear wavefunction reduces to

$$|\Psi_{A=3}\rangle \propto \alpha^{3}|qqq\rangle^{3} + 3\alpha^{2}\beta|qqq\rangle^{2}|q[qq]\rangle + 3\beta^{2}\alpha|qqq\rangle|q[qq]\rangle^{2} + \beta^{3}|q[qq]\rangle^{3}.$$
 (30)

JRW, arXiv:2009.06968

Isospin dependent SRC ratio inequalities from diquark induced SRC:

$$^{3}He: \quad 0 \le \frac{N_{pp}_{SRC}}{N_{np}_{SRC}} \le 0.4$$

$$^{3}H: \quad 0 \le \frac{N_{nn_{SRC}}}{N_{np_{SRC}}} \le 0.4$$

 \implies Nucleon wavefunction MAY contain both $|qqq\rangle$ and $|q[ud]\rangle$ with approximately equal coefficients

Diquark formation – single gluon exchange & $SU(3)_C$ transformation – favored over quark and diquark exchange.

Nuclear structu	re functions F_2	$\chi(x_B)$ from the α	diquark model?

Likely wrong: Diquark formation modification of F_2 from Fermi motion of quarks in 2-nucleon SRC

Recall quark momentum distribution functions
$$q(x_B)$$
: $F_2(x_B) \equiv \sum_f x_B e_f^2 \left(q_f(x_B) + \overline{q}_f(x_B) \right)$

Fermi energy:
$$E_F = \frac{p_F^2}{2m}$$

Fermi momentum :
$$p_F = \sqrt{2mE_F} \propto m^{\frac{1}{2}}$$

- Diquarks lower the mass of the system
- Effective masses of quarks in nucleons: $m_{\nu} = m_{d} = 363 \text{ MeV}$
- [ud] diquark mass: $m_{[ud]} = 578 \text{ MeV}$
- Therefore each quark loses 75 MeV and its Fermi

momentum is depleted:
$$m_{\text{final}} = \sqrt{m_q - \frac{BE}{2}}$$

Momentum ratio of quark in diquark to free quark:

$$\frac{p_{\text{final}}}{p_{\text{initial}}} = \sqrt{\frac{m_{\text{f}}}{m_{\text{i}}}} \approx 0.89$$

JRW @LBNL, 29 June 2022 23

Diquark structures in nuclei: X17 anomaly



New work on arXiv →

Effects of Hexadiquark Fock state in ⁴He nuclear wavefunction

Based on "QCD hidden-color hexadiquark in the core of nuclei," *jrw, Brodsky, de Teramond, Goldhaber & Schmidt, 2021*

HdQ predicts a bump in EMC effect for each α particle

jennifer@lbl.gov

Quantum Chromodynamics Resolution of the ATOMKI Anomaly in ⁴He Nuclear Transitions

Valery Kubarovsky, ¹ Jennifer Rittenhouse West, ^{2,3} and Stanley J. Brodsky ⁴

¹ Thomas Jefferson National Accelerator Laboratory, Newport News, VA 23606, USA

² Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA

³ EIC Center at Thomas Jefferson National Accelerator Laboratory, Newport News, VA 23606, USA

⁴ SLAC National Accelerator Laboratory, Stanford University, Stanford, CA 94309, USA

(Dated: Wednesday 29th June, 2022)

Recent observations of the angular correlation spectra in the decays $^4\mathrm{He}^* \to ^4\mathrm{He} + e^+e^-$ and $^8\mathrm{Be}^* \to ^8\mathrm{Be} + e^+e^-$ have been suggested as due to the creation and subsequent decay to an electron-positron pair of a new light particle with a mass of ~ 17 MeV. In this work, we present a calculation of the invariant mass $m_{e^+e^-}$ spectrum of the electromagnetic transition of an excited state of helium and estimate the differential and total width of the decay. We investigate the possibility that the source of the signal is an e^+e^- pair created by a new electromagnetic decay of $^4\mathrm{He}$ caused by a proposed 12-quark hidden-color Fock state in the $^4\mathrm{He}$ nuclear wavefunction, the "hexadiquark." We find that we can fit the shape of the signal with the QCD Fock state at excitation energy $\mathrm{E}^* \simeq 17.9$ MeV and a Gaussian form factor for the electromagnetic decay. We address the physical issues with the fit parameters using properties of the hexadiquark state. In light of this work, we emphasize the need for independent experimental confirmation or refutation of the ATOMKI results as well as further experiments to detect the proposed new excitation of $^4\mathrm{He}$.

Introduction

Observations by the ATOMKI collaboration of anomalous angular correlations in electron-positron pairs produced in the nuclear decays ${}^4\mathrm{He}^* \to {}^4\mathrm{He} + e^+e^-$ [1, 2] and ${}^8\mathrm{Be}^* \to {}^8\mathrm{Be} + e^+e^-$ [3] have been attributed to the creation and subsequent decay of a new light particle to an e^+e^- pair of a new light particle with mass of ~ 17 MeV, dubbed the X17 or simply X. Recently, the same group reported observations of the lepton pair in the off-resonance region of ${}^7\mathrm{Li}(\mathrm{p},\mathrm{e^+e^-})^8\mathrm{Be}$ direct proton-capture reactions [4]. Our work in this article will focus on the ${}^4\mathrm{He}$ experiment in which the observed invariant mass $m_{e^+e^-}$ of the lepton pair was found to be $m_{\mathrm{X}} = 16.94 \pm 0.12 \mathrm{(stat.)} \pm 0.21 \mathrm{(syst.)}$ MeV. The Feynman diagram for this transition is shown in Fig. 1] The signal has generated a great deal of theoretical interest in both the particle and nuclear physics communities [5+25].

The light-front Fock state expansion of QCD has led to new perspectives for the nonperturbative eigenstructure of hadrons, including the quark-antiquark structure of mesons, the quark-diquark structure of baryons (such as the $|u[ud]\rangle$ composition of the proton) and the diquark-antidiquark structure of tetraquarks. In the case of nuclear physics, the color-singlet |[ud][ud][ud][ud][ud][ud][ud] "hexadiquark" Fock state has the same quantum numbers as the ⁴He nucleus. The existence of the hexadiquark Fock state in the eigensolution of the ⁴He nuclear eigenstate [27] can explain the anomalously large binding energy of the α particle. QCD also predicts orbital and radial excitations of the hexadiquark and thus novel excitations of ⁴He beyond the standard excitations predicted by nucleonic degrees of freedom. The excitation energy of the hexadiquark can be below the energy required to produce hadronic decays such as $p+^3$ H and thus have evaded detection.

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[hep-ph] 29 Jun 2022

such as $p + ^{5}$ H and thus have evaded detection.

physics, the color-singlet |[ud][ud][ud][ud][ud][ud]| "hexadiquark" Fock state has the same quantum numbers as the ⁴He nucleus. The existence of the hexadiquark Fock state in the eigensolution of the ⁴He nuclear eigenstate [27] can explain the anomalously large binding energy of the α particle. QCD also predicts orbital and radial excitations of the hexadiquark and thus novel excitations of ⁴He beyond the standard excitations predicted by nucleonic degrees of freedom. The excitation energy of the hexadiquark can be below the energy required to produce hadronic decays

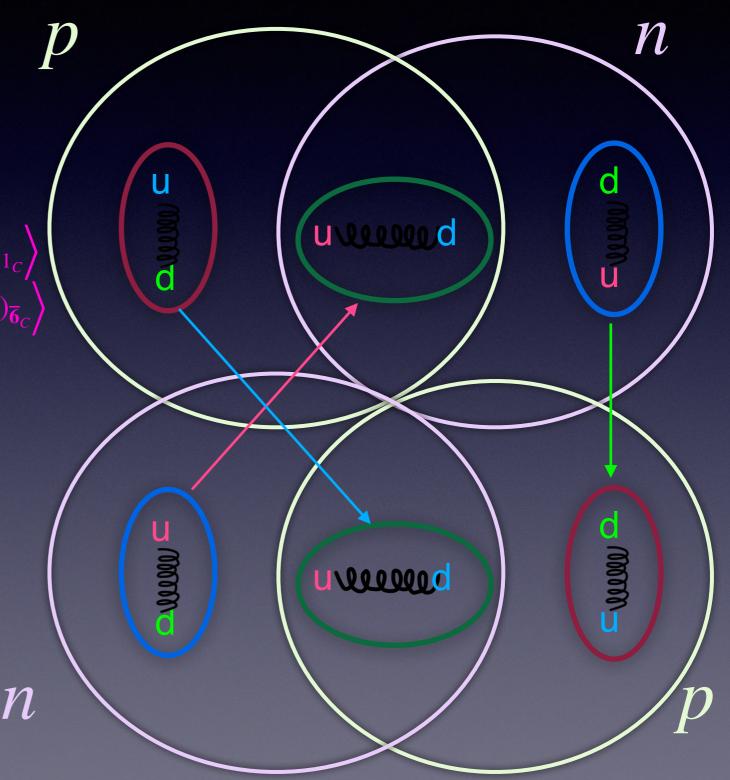
Hexadiquark (HdQ) color singlet in A≥4 nuclei

jrw, S.J.Brodsky, G. de Teramond, I.Schmidt, F.Goldhaber, 2004.14659, Nuc. Phys A 2021

• ⁴He nuclear wavefunction a linear combination of nnpp and 6-diquark state, the hexadiquark

 $|\alpha\rangle = C_{pnpn} \left| (u[ud])_{1_C} (d[ud])_{1_C} (u[ud])_{1_C} (d[ud])_{1_C} \right\rangle$ $+ C_{HdQ} \left| ([ud][ud])_{\overline{\mathbf{6}}_C} ([ud][ud])_{\overline{\mathbf{6}}_C} ([ud][ud])_{\overline{\mathbf{6}}_C} \right\rangle$

- n-p dominance of SRC required by the HdQ model
- EIC and JLab experiments to determine if the HdQ exists isospin dependence of SRC

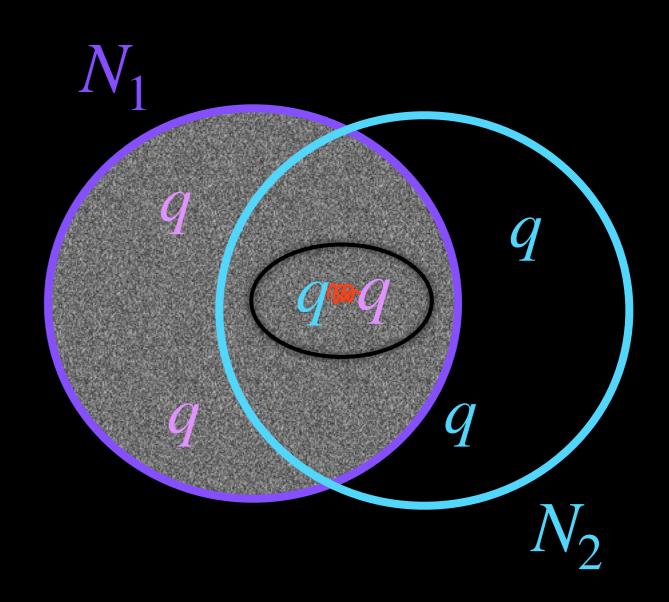


Summary

- Diquark formation proposed to cause short-range correlated nucleon pairs
- Diquark-induced SRC may be a viable explanation for the EMC effect
- Direct calculations of F_2 ideal; Complete $F_2 \, \forall$ nuclear targets missing for 39 years:

$$F_2(x) \equiv \sum_f x_B \ Q_f^2 \left(\ q_f(x_B) + \ \overline{q}_{\bar{f}}(x_B) \right)$$

- Approach the problem obliquely & look for indirect evidence of NN diquarks like the SRC flavor inequality
- Aim: Calculate the ~20% SRC from QCD - this needs both imaging and dynamics of nucleons



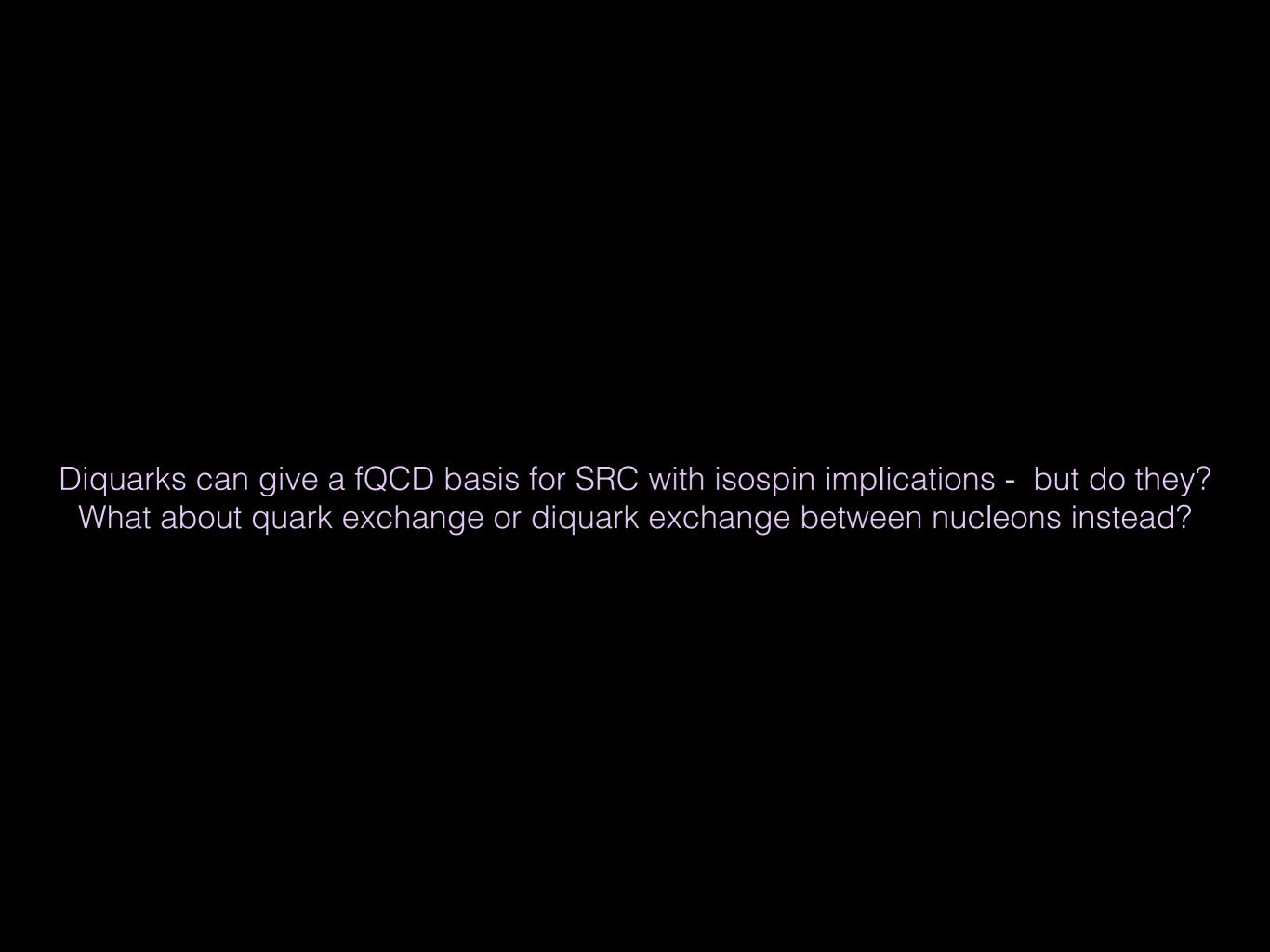
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Jennifer Rittenhouse West Berkeley Lab & EIC Center @JLab Frontiers & Careers in Hadronic Physics @MIT 6 August 2022





backup slides



Preliminary: Diquark exchange prediction for A=3 SRC flavors

Scalar [ud] diquark exchange for nucleons with 3-valence quark internal structure $|N\rangle \propto |qqq\rangle$:

$$^3H: 2n+p \rightarrow each \ nucleon \supset 1 \ [ud] \implies 66\% \ np, 33\% \ nn$$

$$^{3}He: 2p + n \rightarrow each \ nucleon \supset 1 \ [ud] \implies 66\% \ np, 33\% \ pp$$

Same values for scalar diquark exchange for nucleons in quark-diquark internal configuration, $|N\rangle \propto |q[qq]\rangle ;$

$$^{3}H: u[ud] + d[ud] + d[ud] \implies 66\% np$$

$$^{3}He: u[ud] + u[ud] + d[ud] \Longrightarrow 66\% np$$

Preference for np SRC:
$$A = 3$$
 nuclei $\Longrightarrow \frac{\mathcal{N}_{nn/pp}}{\mathcal{N}_{np}} = \frac{1}{2}$

Preliminary: Quark exchange prediction for A=3 SRC flavors

Quark exchange for nucleons with 3-valence quark internal structure $|N\rangle \propto |qqq\rangle$:

$$^3H: 2n+p \rightarrow 9$$
 exchangeable quarks $\implies 66\%$ np, 33 % nn

$$^{3}He: 2p + n \rightarrow 9$$
 exchangeable quarks \implies 66 % np, 33 % pp

Same values for quark exchange for nucleons in quark-diquark internal configuration $|N\rangle \propto |q[qq]\rangle$:

$$^{3}H: u[ud] + u[ud] + d[ud] \implies 66\% n - p$$

$$^{3}He: d[ud] + d[ud] + u[ud] \implies 66\% n - p$$

Preference for np SRC:
$$A = 3$$
 nuclei $\Longrightarrow \frac{\mathcal{N}_{nn}}{\mathcal{N}_{np}} = \frac{\mathcal{N}_{pp}}{\mathcal{N}_{np}} \lesssim \frac{1}{2}$

Quark exchange depends upon quark flavor. Identical quark exchange is favored over u exchanged for d, e.g., under $u \longleftrightarrow d$ interchange:

$$p + p \to n + \Delta^{++}$$
, where $m_{\Delta^{++}} = 1232 \text{ MeV} > m_p = 938 \text{ MeV}$

Further into: Quark exchange prediction for A=3 SRC flavors

Quark exchange for nucleons with 3-valence quark internal structure $|N\rangle \propto |qqq\rangle$:

$$^{3}H: 2n+p \rightarrow 9$$
 exchangeable quarks \implies 66 % np , 33 % nn

 $^{3}He: 2p + n \rightarrow 9$ exchangeable quarks \implies 66 % np, 33 % pp

Same values for quark exchange for nucleons in quark-diquark internal configuration $|N\rangle \propto |q[qq]\rangle$.

Preference for np SRC via identical quark exchange: A = 3 nuclei $\Longrightarrow \frac{\mathcal{N}_{nn}}{\mathcal{N}_{np}} = \frac{\mathcal{N}_{pp}}{\mathcal{N}_{np}} = \frac{1}{2}$

 $u \leftrightarrow d$ quark exchange: Higher mass states created in pp and nn SRC, np SRC favored.

Under $u \longleftrightarrow d$ interchange:

pp SRC: With multiplicity 4, $p+p \rightarrow n+\Delta^{++}$, where $m_{\Delta^{++}}=1232~{\rm MeV}~(m_p=938~{\rm MeV})$.

np SRC: With multiplicity 4, under $u \longleftrightarrow d$ interchange, $n+p \to n+p$. However, with multiplicity 1, $n+p \to \Delta^- + \Delta^{++}.$

Favors np SRC (5 np states, 1 with 588 MeV higher mass than np) over pp (4 states each with 294 MeV higher mass than pp).

Quark exchange for A = 3 nuclei $\Longrightarrow \frac{\mathcal{N}_{nn}}{\mathcal{N}_{np}} = \frac{\mathcal{N}_{pp}}{\mathcal{N}_{np}} \le \frac{1}{2}$

Nuclear physics: Diquark formation should account for *pieces* of the known NN potential

Argonne v_{18} nucleon-nucleon potential: $V = \sum_{n=1}^{18} V_n(r) O_n$

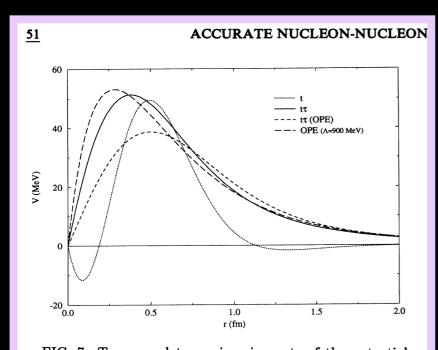


FIG. 7. Tensor and tensor-isospin parts of the potential. Also shown are the OPE contribution to the tensor-isospin potential, and for comparison an OPE potential with a monopole form factor containing a 900 MeV cutoff mass.

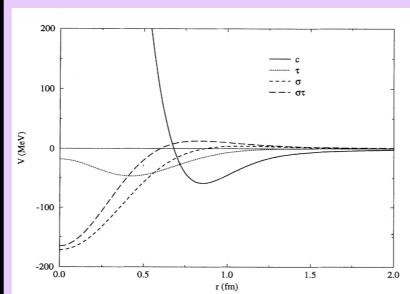


FIG. 6. Central, isospin, spin, and spin-isospin components of the potential. The central potential has a peak value of 2031 MeV at r=0.

Wiringa, Stoks, Schiavilla 1995

"The NN potential is written as a sum of an electromagnetic (EM) part, a one-pion-exchange (OPE) part, and an intermediate- and short-range phenomenological part:"

$$v(NN) = v^{\text{EM}}(NN) + v^{\pi}(NN) + v^{R}(NN)$$

The short and intermediate range pieces are a sum of central + tensor + (others, L^2 , spin-orbit, quadratic spin-orbit) \Longrightarrow diquark potentials within tensor piece

The Argonne V18 potential has the form

$$V = \sum_{n=1}^{18} V_n(r)O_n \tag{1.1}$$

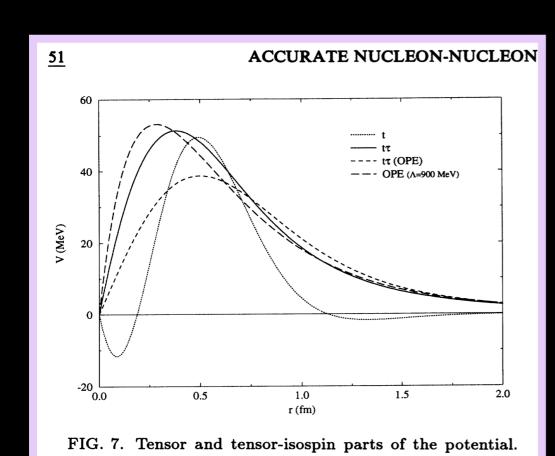
where $V_n(r)$ are rotationally-invariant coefficient functions of the relative coordinate of the nucleons and the O_n are the eighteen spin-isospin operators given in Table 1.,

Table 1: Argonne V18 spin-isospin operators

in coordinate-space Term spin-isospin Operator in r-space $(\boldsymbol{ au}_1 \cdot \boldsymbol{ au}_2)$ $(\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2),$ $(\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2)(\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2)$ $S_{12} = 3(\boldsymbol{\sigma}_1 \cdot \hat{\mathbf{r}})(\boldsymbol{\sigma}_2 \cdot \hat{\mathbf{r}}) - \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2$ $S_{12}(\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2),$ $(\mathbf{L} \cdot \mathbf{S})$ $(\mathbf{L} \cdot \mathbf{S})(\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2)$ $(\mathbf{L} \cdot \mathbf{L})$ $(\mathbf{L}\cdot\mathbf{L})(oldsymbol{ au}_1\cdotoldsymbol{ au}_2)$ $(\mathbf{L} \cdot \mathbf{L})(\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2)$ $(\mathbf{L} \cdot \mathbf{L})(\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2)(\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2)$ $(\mathbf{L} \cdot \mathbf{S})^2$ $(\mathbf{L}\cdot\mathbf{S})^2(\boldsymbol{ au}_1\cdot\boldsymbol{ au}_2)$ $T_{12} = (3\tau_{1z}\tau_{2z} - \boldsymbol{\tau} \cdot \boldsymbol{\tau})$ $(\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) T_{12}$ $S_{12}T_{12}$ $(\tau_{1z} + \tau_{2z})$

S. Veerasamv and W. N. Polvzou 2011

Argonne v_{18} nucleon-nucleon potential: $V = \sum_{n=1}^{16} V_n(r) O_n$



Wiringa, Stoks, Schiavilla 1995

Also shown are the OPE contribution to the tensor-isospin potential, and for comparison an OPE potential with a monopole

form factor containing a 900 MeV cutoff mass.

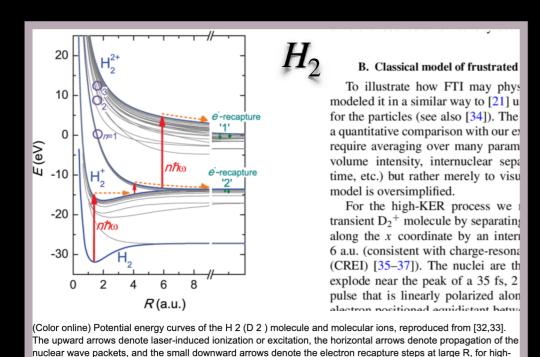
\exists a dip in tensor V(r) at r = 0.1 fm

$$V(0.1) \approx -10 \, \text{MeV}$$

- QCD short-range potentials ~200 MeV
 - The scale problem is here too!
- Solution: In a strongly overlapping N-N pair, the diquark V(r) is not the only potential acting in the system linear combination of QCD potentials just like the nuclear $V_{
 m total}$
- Valence quark q_1 from N_1 , fluctuating into a short distance from valence quark q_2 from N_2 , senses the diquark potential as well as its "home" color singlet potential:

$$V(r_{q_1-q_2}) = -\frac{2}{3} \frac{g_s^2}{4\pi r_{12}} \text{ vs. } V(r_{q_1-dq_1}) = -\frac{4}{3} \frac{g_s^2}{4\pi r_{11}}$$

AV18: Argonne v_{18} nucleon-nucleon potential



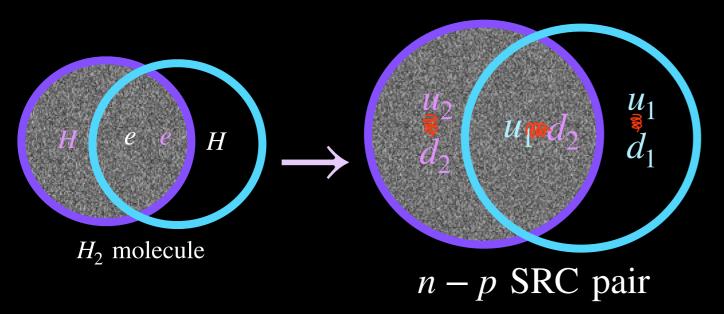
McKenna et al. 2011

KER ("1") and low-KER ("2") gain. The circled areas show the points of projection to the excited D 2 + n = 1, n =

- N-N system nucleons in quarkdiquark configuration - analogous to the hydrogen molecule
- Complicated problem. Proof of principle only.

2D 2-body approximation. Set V to 10 MeV, solve for separation distances:

$$V_{\text{total}} = -\frac{2}{3} \frac{g_s^2}{4\pi r_{12}} - \frac{4}{3} \frac{g_s^2}{4\pi r_{11}} = -10 \text{ MeV}$$



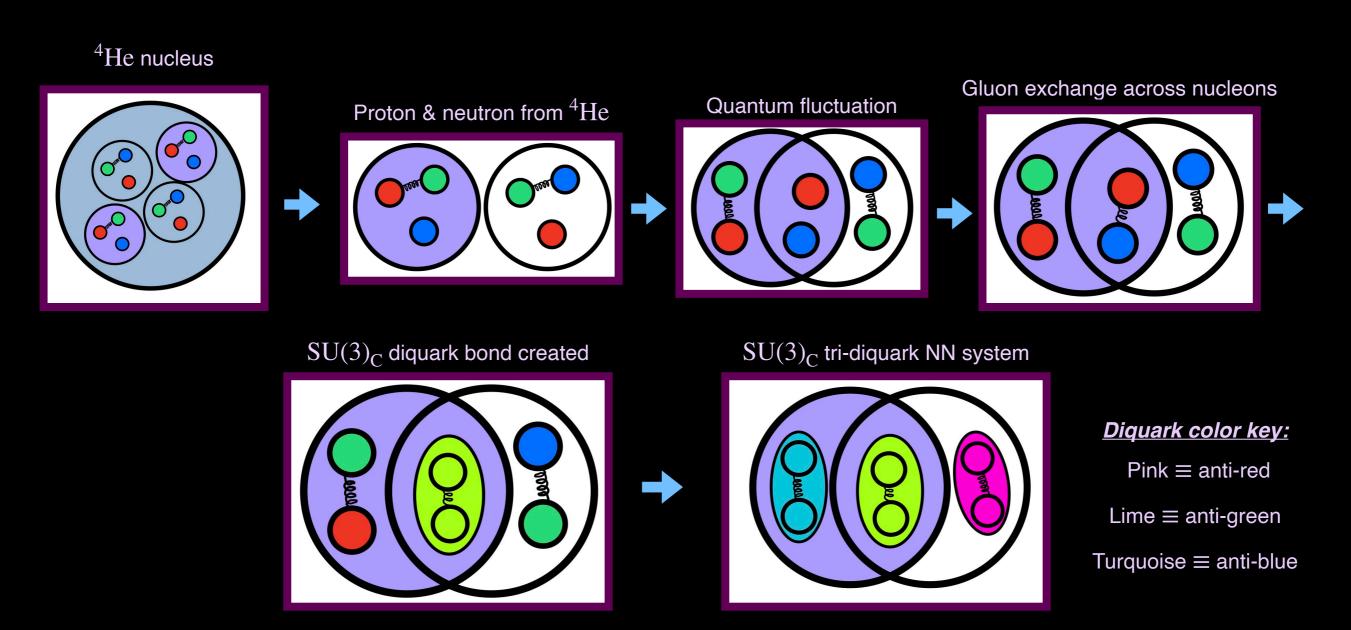
- For separation distance between quarks in the newly formed diquark: $r_{q_1-q_2} = 0.1 \; \mathrm{fm}$
- Solving for separation distance between q_1 and $[u_1d_1]$: $r_{q_1-dq_1}\approx 0.65~{\rm fm}$

Reasonable. Work in progress.

2, and n = 3 states

Diquark formation across NN pairs with color charge schematic:

Evolution of NN pair: Diquark formation creates correlations between overlapping nucleons, modifying quark behavior in the NN pair



Triple diquark in the NN system viable color singlet $(\bar{r} + \bar{g} + \bar{b} \rightarrow 1_C)$ - but L=0, S=0 wavefunction does not obey spin-statistics theorem. Must use either S=1 or L=1 to form viable state.

EMC effect in ${}^4\text{He}$: 12-quark color-singlet HEXADIQUARK proposed in the core of all A>3 nuclei

Hexadiquark (HdQ) color singlet in A≥4 nuclei

JRW, S.J.Brodsky, G. de Teramond, I.Schmidt, F.Goldhaber, arXiv:2004

- ⁴He nucleus proposed to contain a new 12 quark color singlet state
- 6 scalar diquarks strongly bound together to form a color singlet
- May be the reason ⁴He is strongly bound, 28 MeV B.E.

$$\left|\psi_{\mathrm{HdQ}}\right\rangle \propto \left|[ud][ud][ud][ud][ud]\right\rangle$$

$$[ud] \equiv \frac{1}{\sqrt{2}} \epsilon_{abc} \left(u^b \uparrow d^c \downarrow - d^b \uparrow u^c \downarrow \right)$$

Quark indices a, b, c = 1, 2, 3 are color indices in the fundamental $SU(3)_C$ representation.

Diquarks are in the anti-fundamental representation: $3_{\rm C} \otimes 3_{\rm c} \to \overline{3}_{\rm C}$

Hexadiquark wavefunction:

The HdQ is a $J^P = 0^+$, I = 0 state which is a component of the $^4{\rm He}$ nuclear wavefunction:

$$|\alpha\rangle = C_{pnpn} \left| (u[ud])_{1_C} (d[ud])_{1_C} (u[ud])_{1_C} (d[ud])_{1_C} \right\rangle + C_{HdQ} \left| ([ud][ud])_{\overline{\mathbf{6}}_C} ([ud][ud])_{\overline{\mathbf{6}}_C} ([ud][ud])_{\overline{\mathbf{6}}_C} \right\rangle$$

All quantum numbers of the HdQ and the ⁴He ground state are identical: Q=2, B=4, I=0, J=0

Important: HdQ requires 2 neutron-proton pairs. The effect of the HdQ is "isophobic" because [ud] diquarks form only across n-p pairs in the quark-diquark nucleon configuration. EMC effect must be n-p dominated.

To construct the HdQ wave function we follow the three-step procedure described above. The scalar diquark $\psi_a^{[ud]}$ is given by the spin-isospin singlet product

$$\psi_a^{[ud]} = [ud]_a$$

$$= \frac{1}{\sqrt{2}} \epsilon_{abc} (u^b \uparrow d^c \downarrow - d^b \uparrow u^c \downarrow),$$
(6)

where the indices a, b, c = 1, 2, 3 are color indices in the fundamental SU(3)_C representation. The scalar diquark is a $J^P = 0^+$, I = 0 object which transforms as color $\overline{\bf 3}$.

In the second step we construct the DdQ, $\psi^{[udud]}$, the product $\overline{\bf 3}_C \otimes \overline{\bf 3}_C$ from two scalar diquarks. It is the sum of a ${\bf 3}_C$ and a $\overline{\bf 6}_C$ represented by the symmetric tensor (A.3). The DdQ, $\psi^{[udud]}$, is thus given by the symmetric tensor operator

$$\psi_{ab}^{[udud]} = \psi_a^{[ud]} \psi_b^{[ud]}, \tag{7}$$

an isospin singlet state which transforms in the symmetric $\overline{\bf 6}$ color representation under $SU(3)_C$ transformations. The DdQ itself is also an effective scalar boson since it is the product of two scalar bosons: It transforms as a $J^P = 0^+$ state under SO(3) rotations.

Lastly, we construct the HdQ which is the color singlet product of three DdQ in the $\overline{\bf 6}_C$. To this end, we first construct the symmetric ${\bf 6}_C$ out of the product of two $\overline{\bf 6}_C$ in the complex conjugate representation: $\overline{\bf 6}_C \otimes \overline{\bf 6}_C \to {\bf 6}_C$. It is given by the symmetric tensor (A.11). The HdQ wave function, ψ_{HdQ} , is thus the color singlet

$$\psi_{HdQ} = \epsilon^{acf} \epsilon^{bdg} \psi_{ab}^{[udud]} \psi_{cd}^{[udud]} \psi_{fg}^{[udud]}, \tag{8}$$

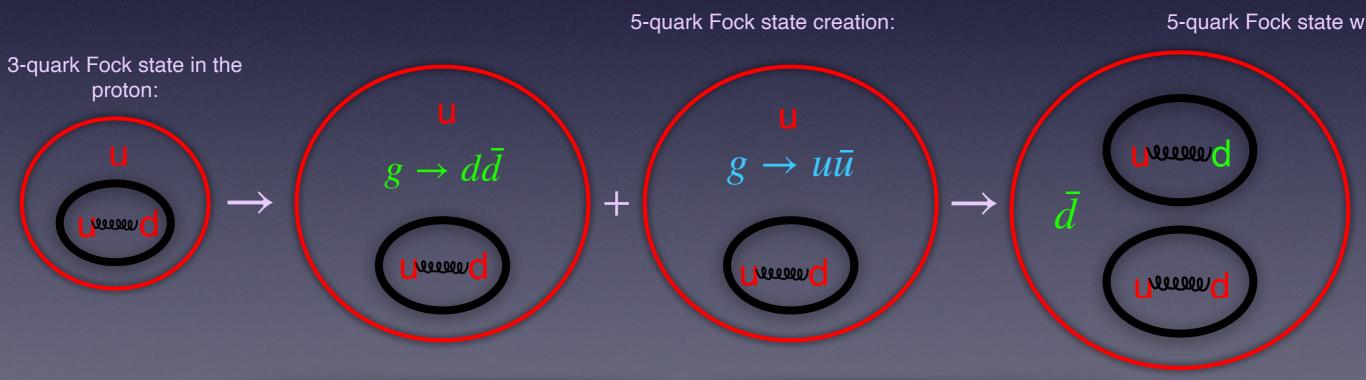
which, as required, is fully symmetric with respect to the interchange of any two bosonic duo-diquarks. The HdQ spatial wave function must also be totally symmetric with respect to the exchange of any two DdQs in order for the total wavefunction to obey the correct statistics. The HdQ is a $J^P = 0^+$, I=0 color singlet state, matching the quantum numbers of the ⁴He nucleus ground state.

"QCD hidden-color hexadiquark in the core of nuclei," JRW, Brodsky, de Teramond, Goldhaber, Schmidt, Nucl. Phys. A 1007 (2021), arXiv:2004.14659

Diquark dynamics in the nucleon sea: Diquark capture from gluon $\to q\overline{q}$ processes

Antiquark spin in proton: Diquark induced $d > \overline{u}$ spin

- Gluons in nucleon pair produce $q\bar{q}$ in addition to the 3-valence quarks
- This is the 5-quark Fock state in the nucleon wavefunction
- $g \rightarrow u\bar{u}, g \rightarrow d\bar{d}$ produced equally
- Diquark formation [ud] has ~150 MeV binding energy valence u may capture the d quark from $d\bar{d}$ pair
- Creates residual \bar{d} in the quark sea in the quark-diquark configuration of the proton, \bar{d} *must* carry more of the proton's spin than \bar{u} STAR experiment may contradict this



L=1 duo-diquark, S=1/2 \overline{d} , anti-aligned \Longrightarrow S=1/2 proton

Jennifer Rittenhouse West

Diquark formation implications: SeaQuest Antimatter Asymmetry

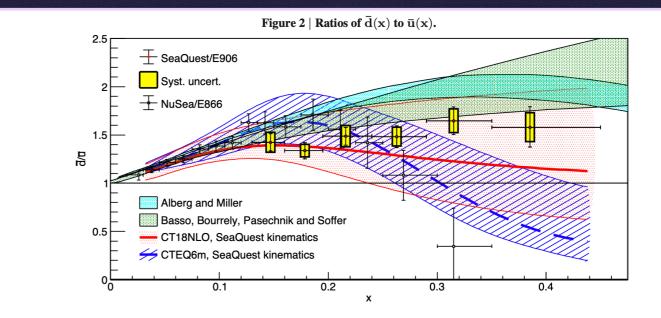
Antimatter asymmetry in the quark sea of protons Excess of \bar{d} quarks over \bar{u}

- Gluons produce quark-antiquark pairs in nucleons.
- ullet $uar{u}$ and $dar{d}$ pairs form in equal amounts
- Binding energy of spin-0 [ud] diquarks is ~148 MeV
- Proton's valence u (when proton in quark-diquark structure) binds with d from gluon-to- $d\bar{d}$ it is captured into a $\lceil ud \rceil$ diquark
- Leaves a \bar{d} quark behind excess \bar{d} in proton
- Predicts excess of $ar{u}$ in the neutron sea
- SpinQuest prediction: \bar{d} carries more of the spin of the proton for the 5-quark Fock state than \bar{u} :

 $[ud][ud] \bar{d}$

$$\int_0^1 \frac{dx}{x} \left[F_2^p(x) - F_2^n(x) \right] = \frac{1}{3} + \frac{2}{3} \int_0^1 dx [\bar{u}(x) - \bar{d}(x)]$$

$$\int_{0}^{1} dx [\bar{d}(x) - \bar{u}(x)] = 0.147 \pm 0.039, \text{ New Muon Collaboration}$$
$$= 0.118 \pm 0.012, \text{ NuSea}$$

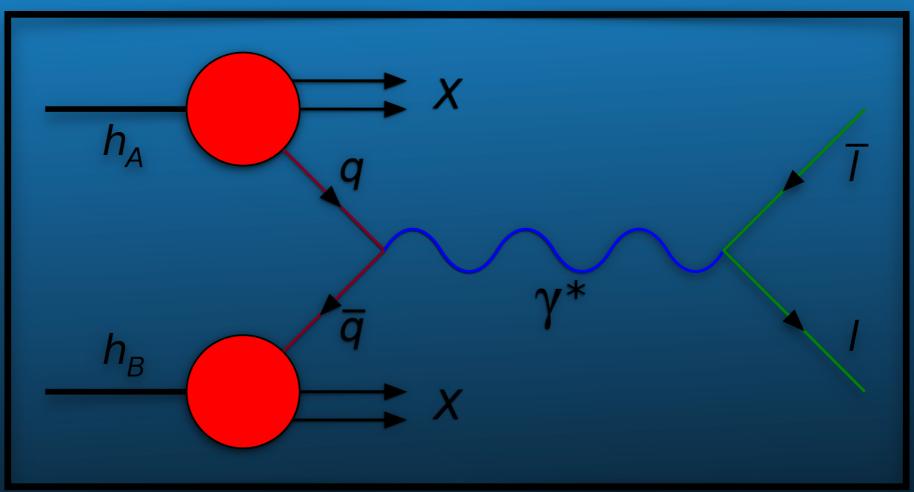


Ratios of $\bar{d}(x)$ to $\bar{u}(x)$ in the proton (red filled circles) with their statistical (vertical bars) and systematic (yellow boxes) uncertainties extracted from the present data based on next-to-leading order calculations of the Drell-Yan cross sections. Also shown in the open black squares are the results obtained by the NuSea experiment with statistical and systematic uncertainties added in quadrature $\overline{}^{4}$. The cyan band shows the predictions of the meson-baryon model of Alberg and Miller $\overline{}^{26}$ and the green band shows the predictions of the statistical parton distributions of Basso, Bourrely, Pasechnik and Soffer $\overline{}^{22}$. The red solid (blue dashed) curves show the calculated ratios of $\overline{d}(x)$ to $\overline{u}(x)$ with CT18 $\overline{}^{30}$ (CTEQ6 $\overline{}^{36}$) parton distributions at the scales of the SeaQuest results. The horizontal bars on the data points indicate the width of the bins.

Dove et al. 2021

Drell-Yan process for sea quark studies

$$\frac{\sigma^{pd}}{2\sigma^{pp}} = \frac{1}{2} \left[1 + \frac{\bar{d}(x)}{\bar{u}(x)} \right], \quad \frac{\sigma_{W^{+}}}{\sigma_{W^{-}}} \approx \frac{u(x_{1})\bar{d}(x_{2}) + u(x_{2})\bar{d}(x_{1})}{d(x_{1})\bar{u}(x_{2}) + d(x_{2})\bar{u}(x_{1})}$$



"The Drell—Yan process occurs in high energy hadron—hadron scattering. It takes place when a quark of one hadron and an antiquark of another hadron annihilate, creating a virtual photon or Z boson which then decays into a pair of oppositely-charged leptons. Importantly, the energy of the colliding quark-antiquark pair can be almost entirely transformed into the mass of new particles. This process was first suggested by Sidney Drell and Tung-Mow Yan in 1970 to describe the production of lepton—antilepton pairs in high-energy hadron collisions. Experimentally, this process was first observed by J.H.

Christenson et al.^[2] in proton—uranium collisions at the Alternating Gradient Synchrotron."

- Image & text from wikipedia