

Global Timing Specifications and Fast Control System for EIC detector

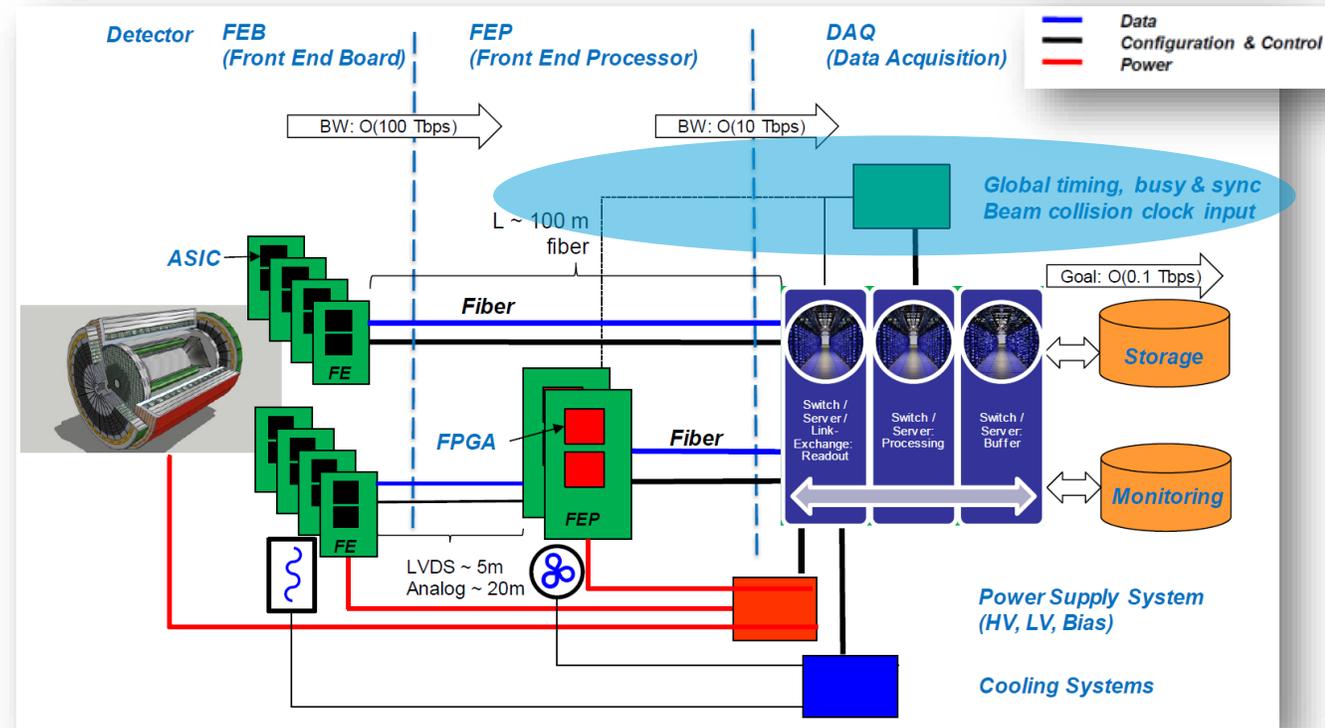
Outline • Discussion on the specification • Possible hardware for realization

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Introduction

- ▶ Fast control systems, for control/feedback/sync that is at $<O(10\mu\text{s})$ level
 - Distinct from slow control
 - Require routes of fixed timing constraints
- ▶ Fast controls topics:
 - Beam crossing counter
 - Precision timing distribution
 - Synchronization and fast control bits
 - Time bucketing
 - Busy feedback and flow control



Ref: EIC-CDR

A discussion: Specification on fast control



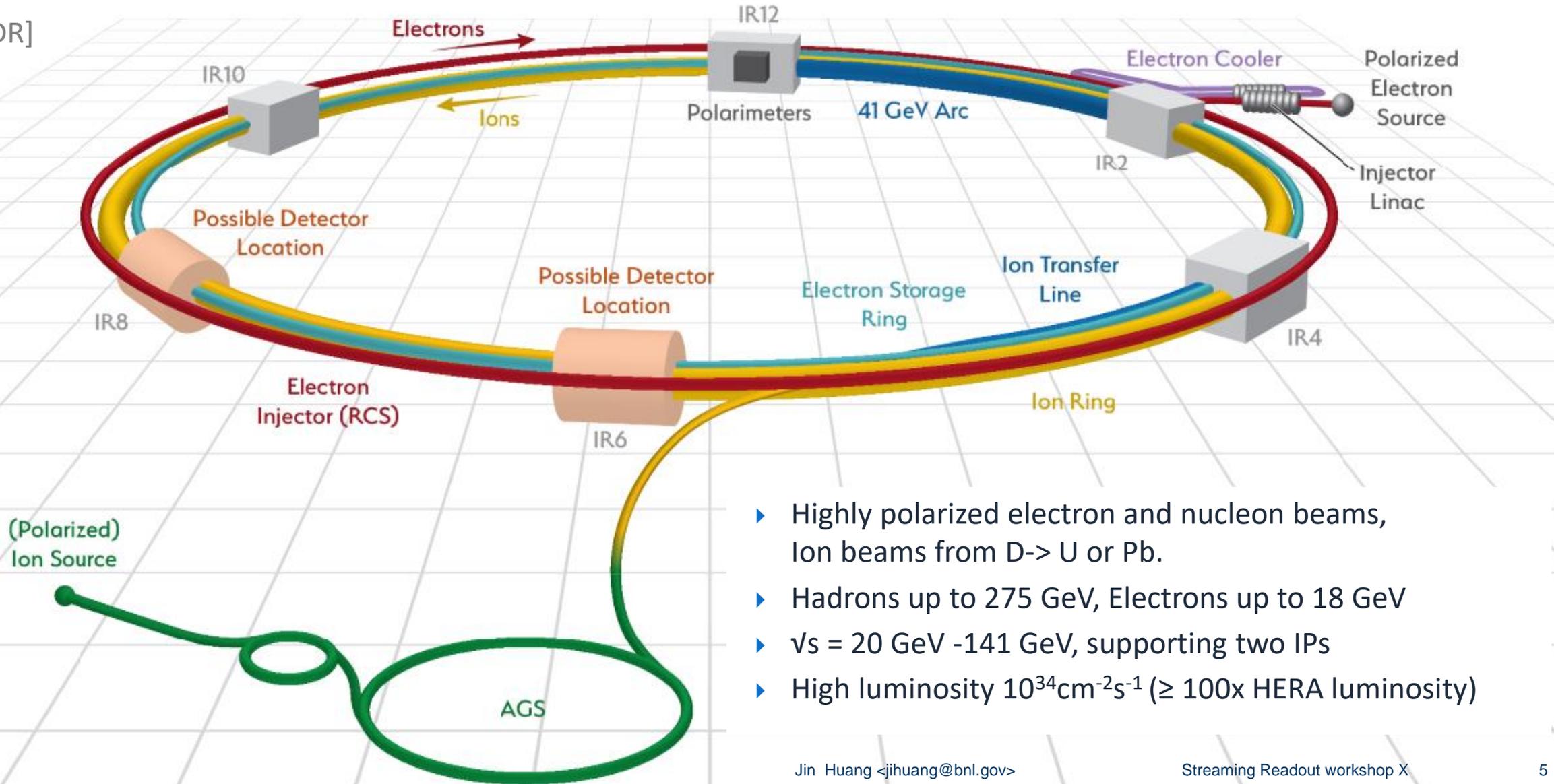
- Beam crossing counter
- Precision timing distribution
- Synchronization and fast control bits
- Time bucketing
- Busy feedback and flow control

Synchronization to beam crossing

- ▶ For a collider experiment, **absolute time** is not directly useful (e.g picoseconds count to some reference time)
 - ms would be sufficient for calibration tracing
- ▶ What matters is **relative time**:
 - Hit correlation between detectors at bunch separation $O(10\text{ns})$, time of flight clock distribution uncertainty requirement at $O(10\text{ps})$
 - Correlation of detector hit with the origin of the beam bunch crossing at $O(10\text{ns}) \rightarrow$
- ▶ Why tagging streaming hits with **beam bunch crossing (98.5Mhz)**
 - Necessity to trace back to physical quantity that could change between neighboring bunches: spin state, luminosity, polarization, etc.
 - “Free” boost of precision from 10ns counter to 100ps bunch interaction time

Beams of the EIC

[EIC CDR]



- ▶ Highly polarized electron and nucleon beams, Ion beams from D- > U or Pb.
- ▶ Hadrons up to 275 GeV, Electrons up to 18 GeV
- ▶ $\sqrt{s} = 20 \text{ GeV} - 141 \text{ GeV}$, supporting two IPs
- ▶ High luminosity $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ($\geq 100 \times$ HERA luminosity)

EIC beam crossing clock features

- ▶ 1260 RF bunch, 98.5254 MHz beam crossing clock
 - In a friendly range for many FPGA-optical transceivers base clock:
e.g. 6Gbps transmit 8Byte per beam clock
 - E.g. sPHENIX beam clock was envisioned to transmit at 56.4 or 112.8MHz (56.4 was final choice)
 - Upstream signals: beam clock, revolution tick tag bunch zero
- ▶ Clock signal originating from **accelerator RF source**
 - High precision clock source for operation of RF cavities (rebuilding RHIC 28 MHz RF cavities as 24.5 MHz resonators), which in turn defines the bunch in time
 - High precision clock signal routed through the accelerator site, installed for sPHENIX operation
- ▶ EIC beam clock has fixed frequency **without ramp variation** [EIC CDR sec 3.3.2]:
 - Electron beam does not change frequency during acceleration
 - Hadron beam change *orbit* to match electron beam frequency
 - Simplification compared with hadron colliders:
 - RHIC (9.34-9.38 MHz) and LHC (40.078422 to 40.078970 MHz), tricky configuration for transceiver and PLLs
 - Caveat: possibility of change of frequency operation point for low sqrt-s EIC operation, TBD

EIC beam crossing counter

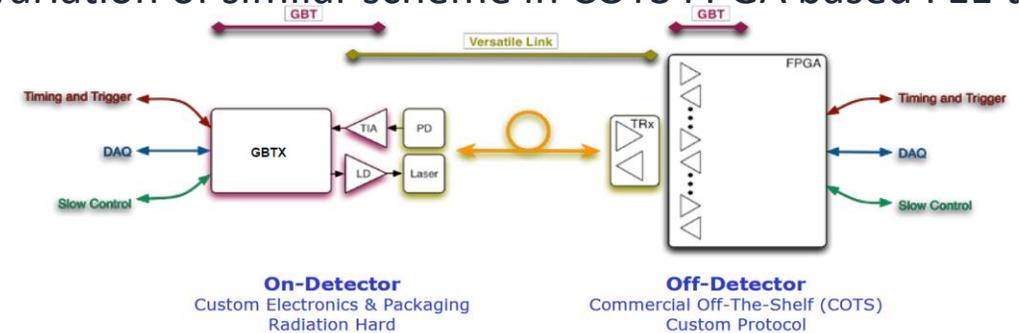
- ▶ Given the use of beam clock, the beam crossing counter and its harmonics can be conveniently used for detector hit synchronization
- ▶ Global timing system should maintain a **master beam crossing counter**
 - For example, 64bit integer: never roll over in lifetime of EIC (6k years)
 - Indexing for event, run period, calibration validation periods
- ▶ **Sync the subsystem and their FEEs to the beam crossing counter**
 - *Minimal requirement*: front end maintain a (shorter) beam clock counter, synchronized to master clock counter (with fixed offset) at initialization with a fast signal (Clock START).
 - *More robust practice*: broadcast of a section of beam clock counter (20-40 bits) to FEE and directly embed into hit data

Precision specification for clock distribution

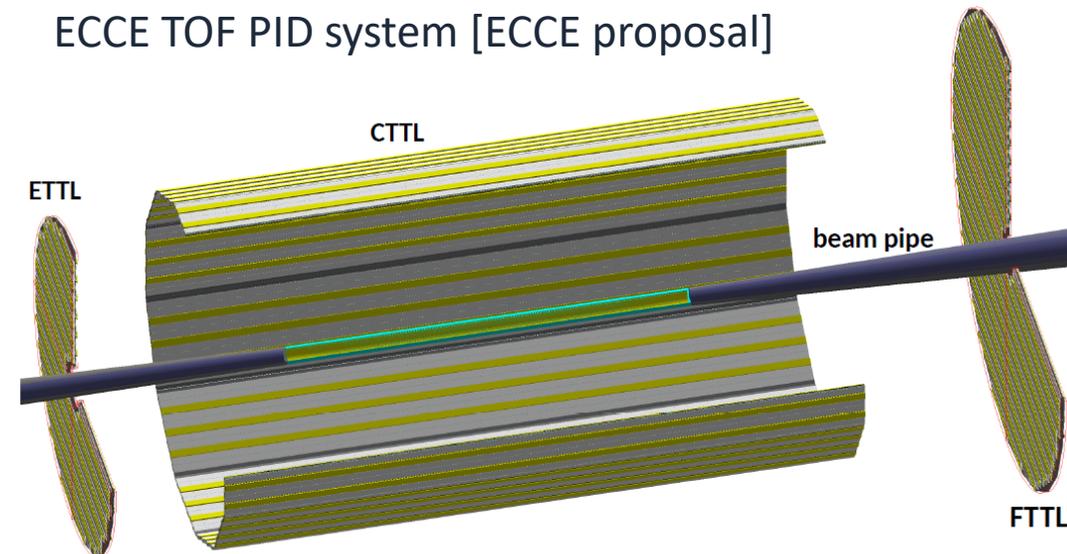
- ▶ **Most subsystem:** it would be sufficient to use clock recovered from optical link that run at harmonics of beam clock
 - Stabilized beam clock with PLL
 - Just need to be stable enough to maintain link: $O(<10)$ ps stability, with caveat of larger uncertainty between components of a large system →
- ▶ One significant exception is high precision **TOF** both used in ATHENA and ECCE:
 - AC-LGAD tracker and beam line det. of $dT \sim 30$ ps
 - Require stable clock distribution to $O(10)$ ps
 - For TOF, we could consider a dedicated clock signal, PLL, and clock signal splitting tree

Embedded clock in GBTx [GBT manual]

Variation of similar scheme in COTS FPGA based FEE too



ECCE TOF PID system [ECCE proposal]



Synchronization and fast control bits

More information can be broadcasted synchronized tagging bits to clocks: e.g. 6Gbps transmit 8 Byte per beam clock

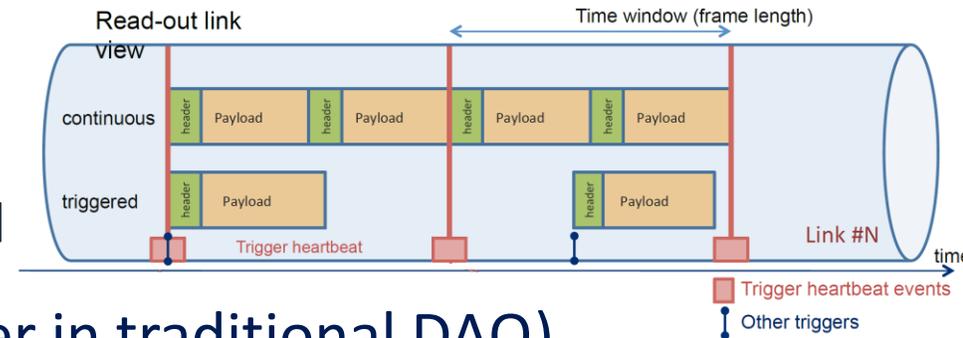
- ▶ Calibration, e.g. fire laser calibration during abort gap
- ▶ FEE clock counter restarts
- ▶ Trigger or data stream throttle (high background contingency)
- ▶ Hit time-bucketing (next section)
- ▶ Methods: mode Bits

Example: sPHENIX clock data embedding at 6x 9.4MHz beam clock, 12Byte/beam clock [sPHENIX TDR, M. Purschke]

clock count		0	1	2	3	4	5
bits 0-7	mode bits/BCO	mode bits	BCO bits 0-7	BCO bits 8-15	BCO bits 16-23	BCO bits 24-31	BCO bits 32-39
bit 8	beam clock	1	0	0	0	0	0
bit 9	LVL1 accept	X	0	0	0	0	0
bit 10	endat0	X	X	X	X	X	X
bit 11	endat1	X	X	X	X	X	X
bit 12	modebit en.	1	0	0	0	0	0
bits 13-15		3 user bits	0	1	2	3	4

Time bucketing

Example:
ALICE heartbeat frame
O(20)ms [ALICE-TDR-019]

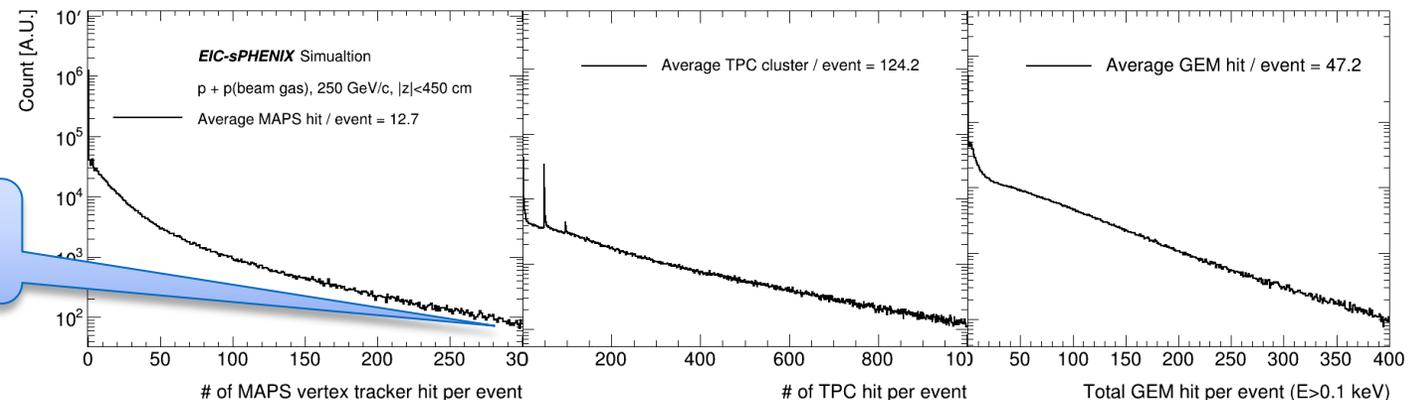


- ▶ Hit grouping in time period (instead of event trigger in traditional DAQ)
 - Used by many streaming system: e.g. heartbeat frame in ALICE, time slice in CBM
 - Efficient time encoding, i.e. each hit do not need to encode full beam clock counter, just relative counter inside a time bucket
 - For downstream processing, data can be processed and combined in chunks of 1-2 time bucket to obtain a complete set of event; unit for bookkeeping (calib/QA)
 - Easier handling in simulation sample preparation
- ▶ For EIC, we could *consider* a time frame of
 - $2^{16} = 65536$ beam crossings
 - 0.7ms in absolute time
 - At top luminosity, containing about 300 collisions and 30 beam gas interactions
 - Convenient size of 8MB of raw zero-suppressed collision signal data + background data load

Busy feedback and flow control

- ▶ Event at small probability, readout congestion may happen
 - There is chances of very high multiplicity events or collection of events, background splash, etc.
 - Collision in shared resources, e.g. network routing, CPU cycles
- ▶ For readout, important to not to drop events with a bias (particular important for EIC sys. ctrl.)
 - Always readout current event
 - So, analysis do not carry rare bias, e.g. low efficiency for high multiplicity events
- ▶ One common solutions:
 - buffers with high water mark in readout pipeline aggregators
 - Send busy signal, fast enough $O(10)$ us to stop the data taking of the whole experiment for the coming (non-biased) events. Clear the buffer processing → Dedicated feedback lines (fiber, copper)

Very long tails to
1000 hits at $P=10^{-6}$



Hardware realization the system

»» With a focus on hardware today

Envisioned system in EIC proposals

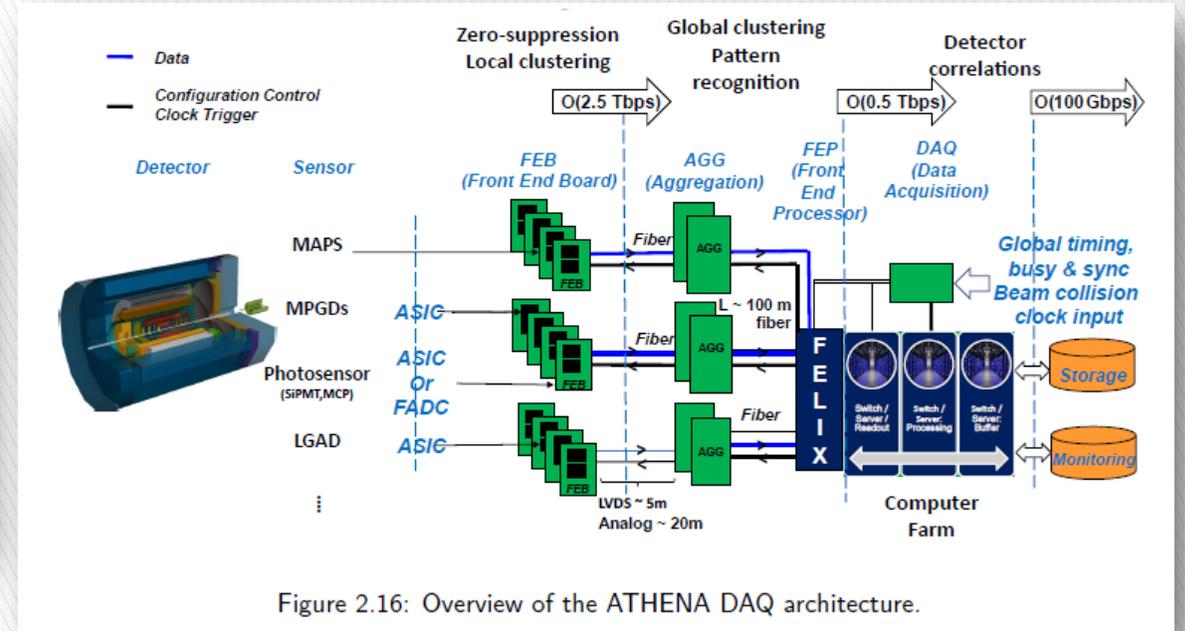
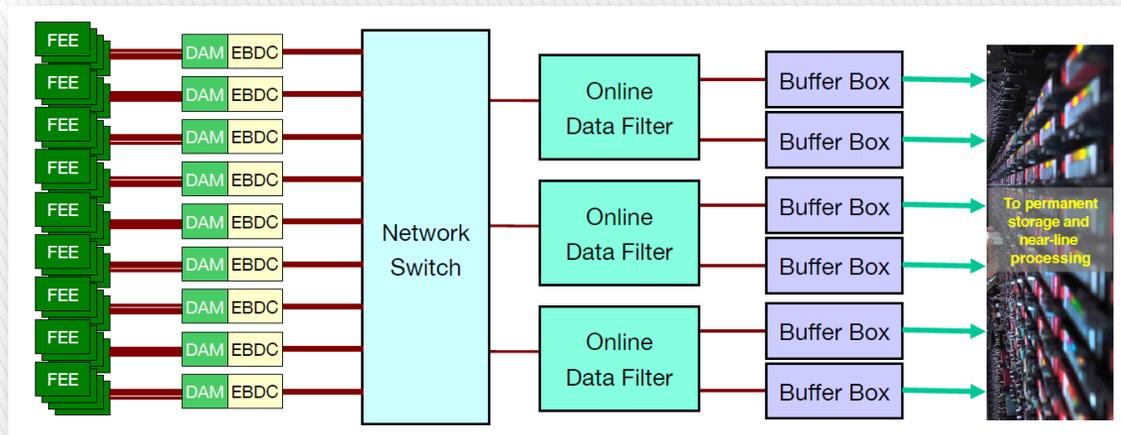


Figure 2.16: Overview of the ATHENA DAQ architecture.

ECCE DAQ architecture
[proposal, Talk by M. Purschke]

ATHENA DAQ architecture
[proposal, Talk by J. Landgraf]

sPHENIX-related streaming readout electronics

Associated test projects

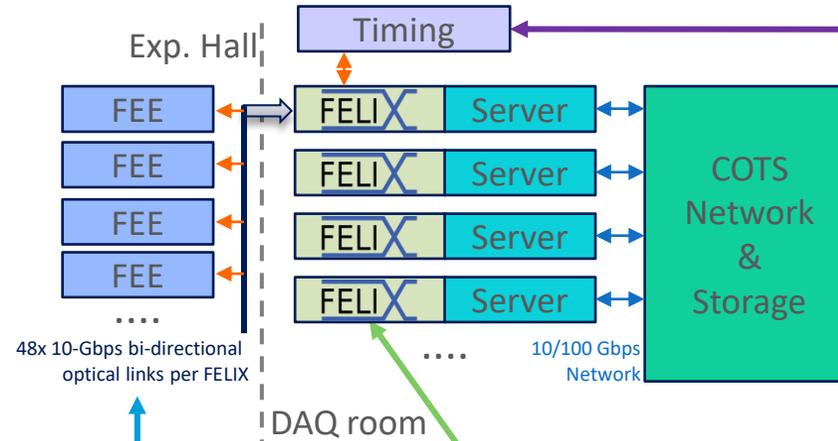
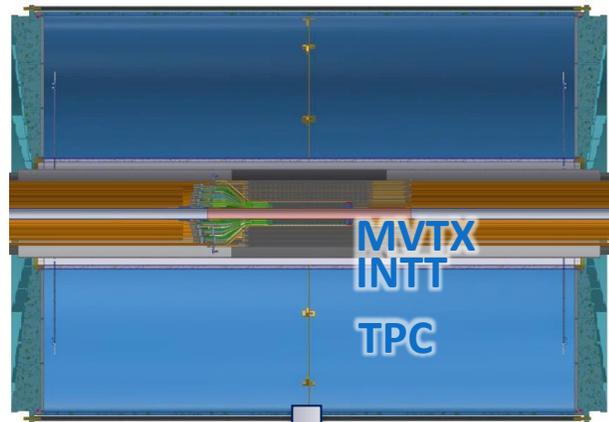
sPHENIX streaming DAQ for tracker



Global Timing Module (NSLS II/SPHENIX) Receiving from RHIC RF low glitter clock source



Precision timing digitizer DRS4GIO (SBIR/LDRD)



High density multiplexer+ ADC RFSoc Digitizer (LDRD)



MVTX RU, 200M ch ALPIDE (ALICE/sPHENIX), FPHX (PHENIX)



INTT ROC, 400k ch



TPC FEE, 160k ch SAMPv5 (ALICE/sPHENIX)

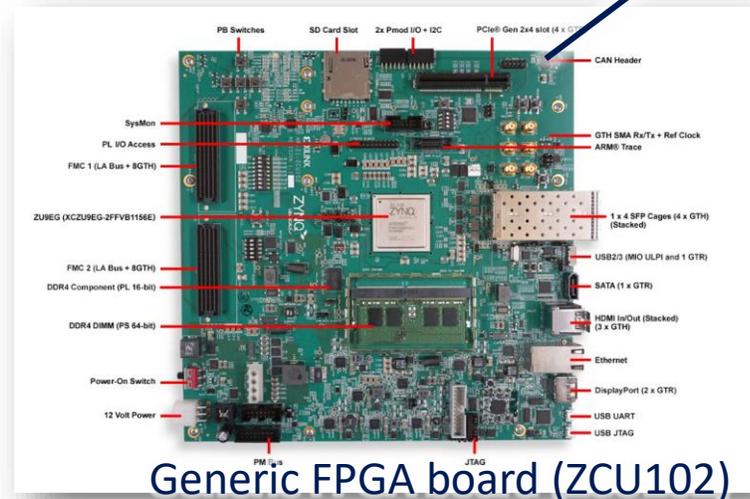


BNL-712 / FELIX v2 x38 (ATLAS/sPHENIX)

FELIX Ref: [10.1109/tim.2019.2947972](https://tim.2019.2947972)
Similar role as PICE40 in LHCb [R. Aaij's talk]

sPHENIX global timing module (GTM)

- ▶ ZCU102-based + fanout board
 - Receive the accelerator RF bucket clock and revolution tick
 - Maintain master clock counter
 - Broadcast sync and clock to all subsystems via fiber links
 - Receive busy feedback
- ▶ See also talk M. Purschke, A. Camsonne



FPGA-PCIe interface

- ▶ Dedicated transceiver to allow receive timing and fast control channel and provide busy feedback
- ▶ Jitter cleaner (next slides)
- ▶ Send clock and control to FEE via optical links
 - GBT family ASIC
 - Custom SFP+ link encoding clock + data
- ▶ As EIC version of FELIX-like device develops, EIC could benefit from a large pool of current FELIX (712v2) for large scale testing after the sPHENIX operation concludes



FELIX in develop [FLX-181, H. Xu SRO IX]



FELIX 712v2, ATLAS Phase-1/sPHENIX production

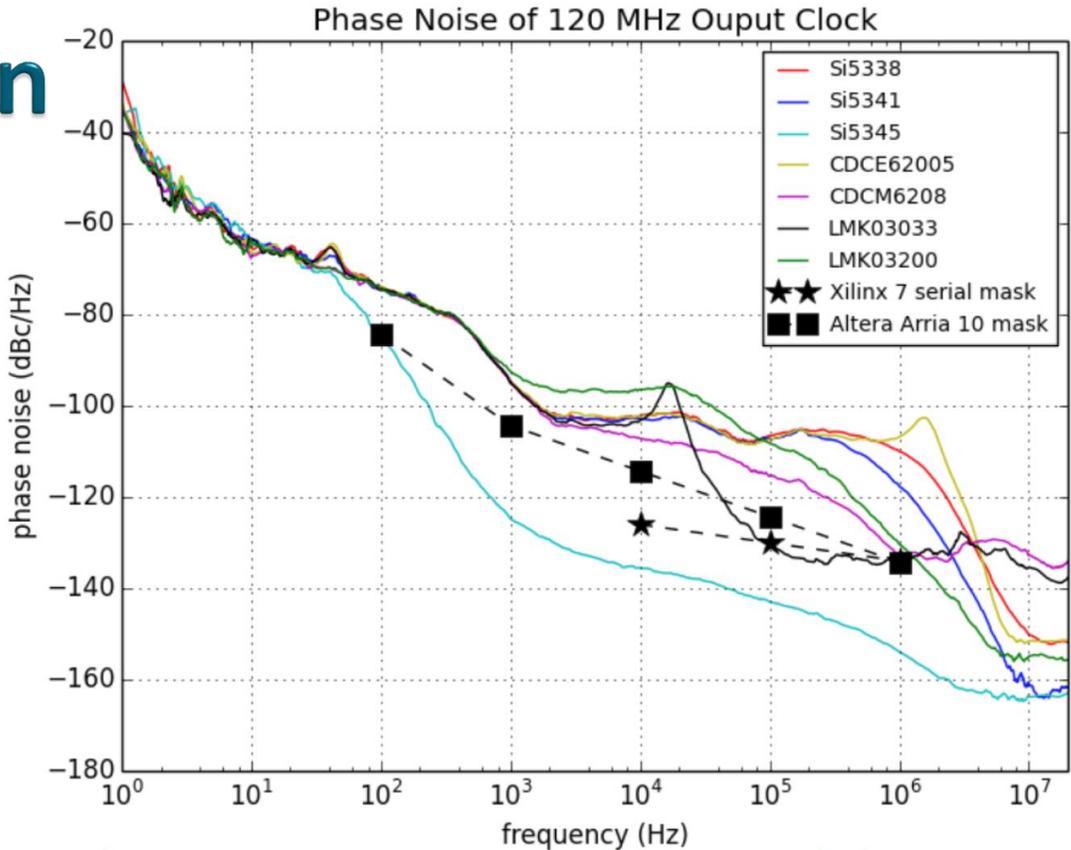
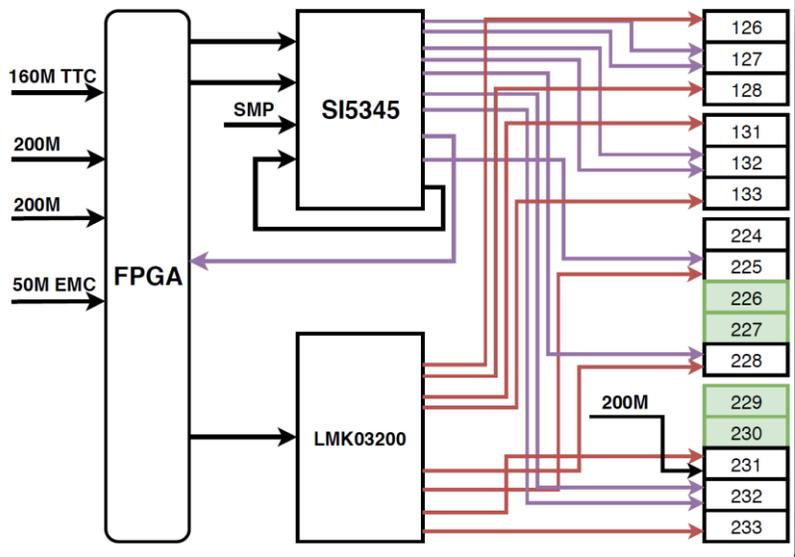


PCIe40, LHCb/ALICE, [N. Neufeld]

Clock distribution precision

- ▶ FELIX is designed with clock jitter to provide stable clock to FEE
- ▶ Precise enough for robust transceiver lock, ps-level at 10kHz-1MHz in current part selection
- ▶ Caveat is sync over many FELIX's clock over many detector components and all frequency ranges, e.g AC-LGAD TOF and beam line detector.
 - Contribute to the uncertainty of TOF measurement, 20-30 ps total uncertainty
 - Therefore, ToF should consider a dedicated precision clock distribution, monitoring and calibration

FELIX v712 clock tree [K. Chen, IEEE TIM. 69 (2019) 7, 4569-4577]



Device	SI5338	SI5345	SI5341
Jitter (ps)	8.58	0.09	6.39
Device	CDCM6208	LMK03200	LMK03033
Jitter (ps)	2.06	5.91	2.74
Device	CDCE62005		
Jitter (ps)	8.61		

The jitter from 10 kHz to 1 MHz



Summary for Global Timing Specifications

- ▶ Timing tag: follow and tag hits with beam crossing counter
- ▶ Clock precision: maintain stable link, which is sufficient for most detector
 - TOF may require dedicated clock distribution to control variation: 10 ps
- ▶ Fast control: provide additional bits in sync to beam clock for fast control in timing system
- ▶ Hit grouping: time bucketing hits, e.g. 2^{16} crossing wide / 0.6ms
- ▶ Provide fast O(1)us busy feedback and flow control

Discussions Please!

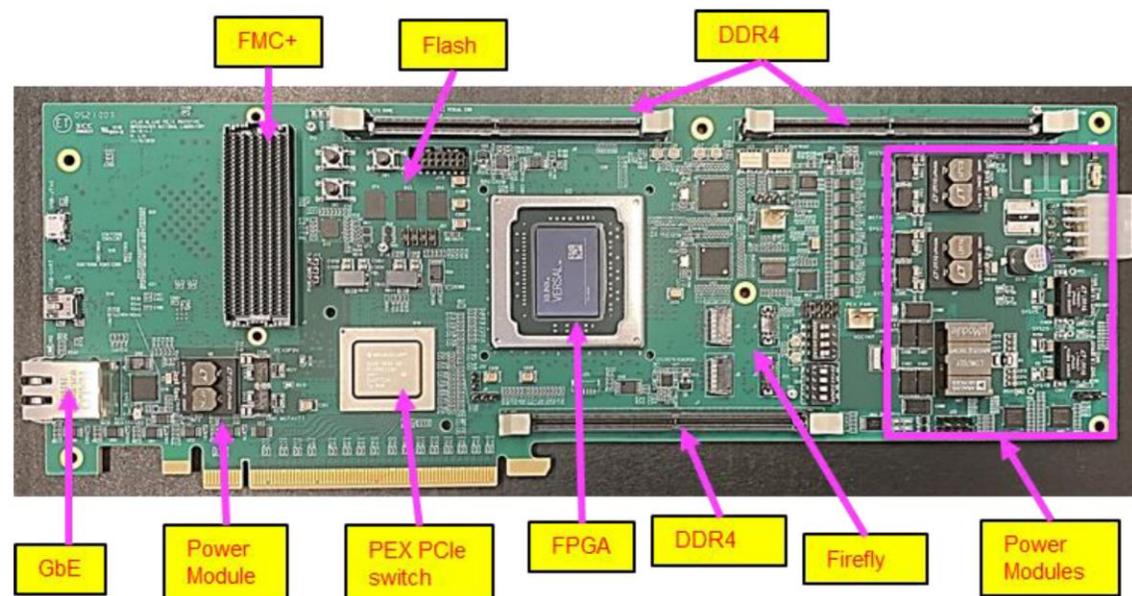
Extra information



FELIX for ATLAS Phase-2 Upgrade

FPGA: Xilinx Versal XCVM1802

- High speed optical links
 - 12 x FE-Links: 1 pair of Samtec Firefly 25Gb/s 12-ch modules
 - 16 GTY links @ 25Gb/s on FMC+
- Dual PCIe Gen4 x 8, up to 256 GT/s
 - 16 x GTY links
- 3 x Mini-UDIMM DDR4 Modules
 - Accessible by both PL and PS through NoC
- FMC+ mezzanine card
 - 34 x differential pairs from XPIO banks
 - 16 x GTY links
- Peripherals:
 - Micro SD 3.0 and QSPI flash for system boot
 - USB - I2C/UART
 - GbE RJ45



Picture of assembled FLX-181

Status of FLX-181

Hardware

- Three boards have been produced for firmware development
 - One is being used for ATLAS firmware development at Nikhef
 - One is being used for DUNE firmware development at CERN
 - One is kept at BNL for hardware and firmware development

Firmware

- PCIe Gen4x16 has been developed
- 24 channel GBT mode is being implemented



Photo of FLX-181 with 25Gbps FireFly FMC+

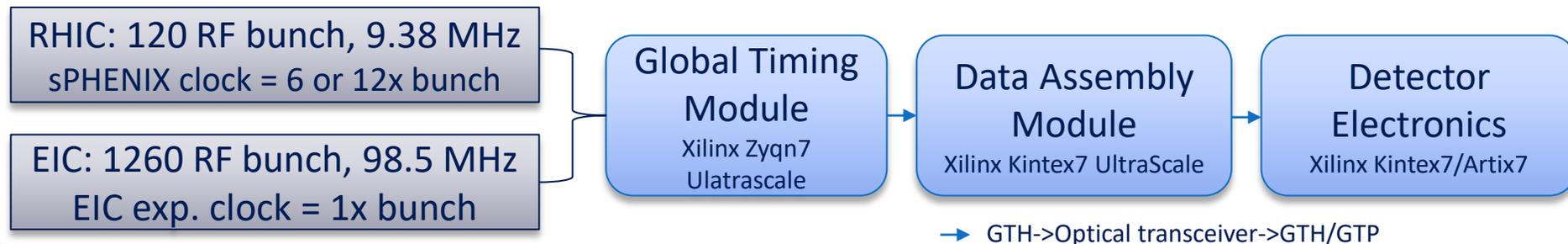
Development Plan

- **FLX-182**
 - Schematics design is done. Layout design will be finished by March 2022.
 - FLX-182 will be available in summer 2022 for firmware development.

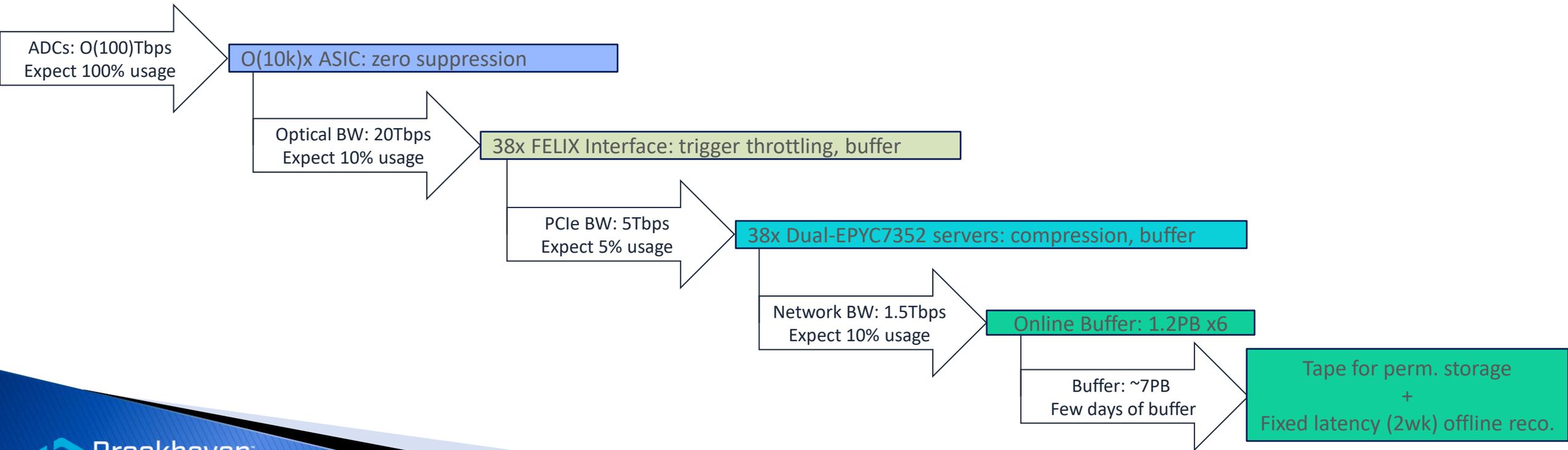
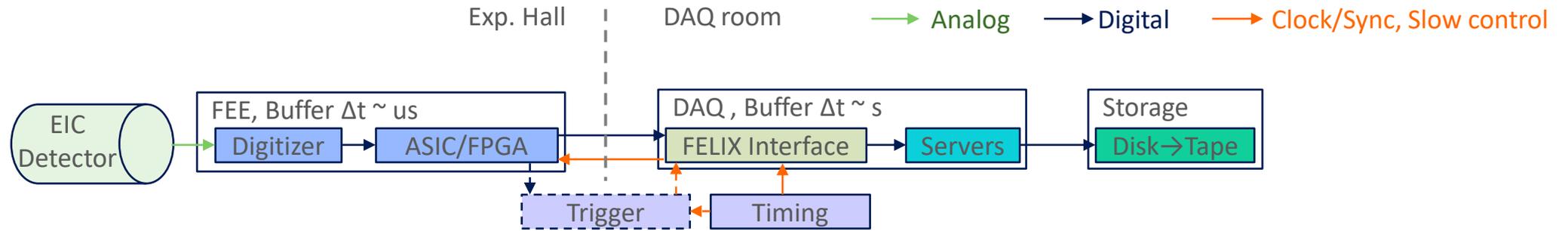
- **48-ch FELIX**
 - FPGA: Versal Premium
 - Transceivers: Up to 100+ GTYP/GTM
 - PCIe Gen 5 up to 16 lanes
 - Design will start in Q4 of 2022, first board is expected to be available in Q3 2023.

Timing and synchronization

- ▶ Precision timing is relative (e.g. ToF, TPC, coincidence), with exception that we need to know which bunch is colliding
- ▶ Therefore, collider experiment DAQ and FEE usually sync to beam bunch RF/collision clock
 - Then we need to be able to handle variation in beam clock. EIC clock variation seems simpler than RHIC (no ramp, weaker energy dependence), but design still on going
- ▶ EIC RF : modifying or rebuilding RHIC 28 MHz RF cavities as 24.5 MHz resonators
 - In discussion with RHIC RF group on testing sPHENIX timing distribution chain at RF clock source
- ▶ Timing system also collect/distribute busy, to ensure loss is uncorrelated with event type



sPHENIX Streaming data flow



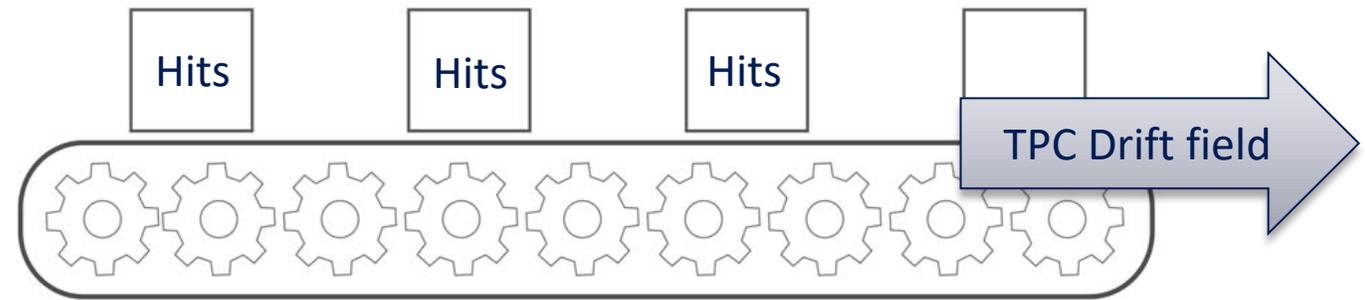
Streaming readout status at sPHENIX

- ▶ All three sPHENIX tracking detector uses streaming readout
- ▶ Developed plan to take streaming data for heavy flavor physics program (next slides), commended by RHIC PAC.
- ▶ Completed construction of sPHENIX FELIX DAQ interface (~50) and procurement of DAQ servers, network infrastructure and online disk buffers
- ▶ Data taking start in 2023!

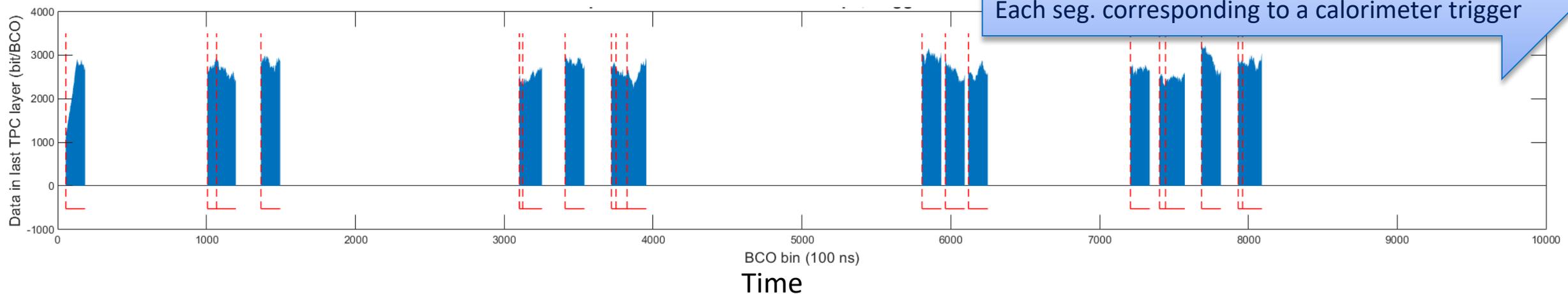
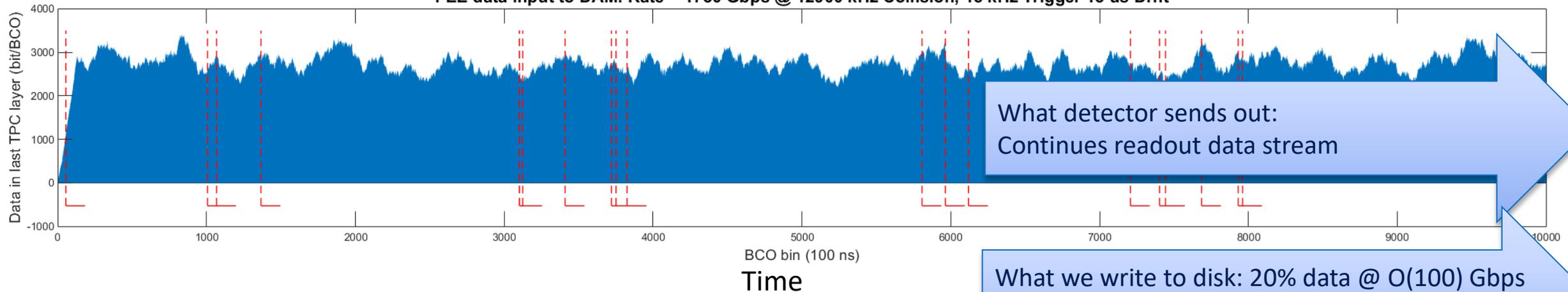
RHIC PAC 2020 report

We commend sPHENIX for developing the continuous streaming readout option for the detector, which increases the amount of data that can be collected in Run-24 by orders of magnitude. In particular in the sector of open heavy flavor, this technique will give access to a set of qualitatively novel measurements that would otherwise not be accessible. Given the tight timeline for completing the RHIC physics program before construction of the EIC begins, this is a tremendous and highly welcome achievement.

TPC data stream in sPHENIX triggered DAQ



FEE data input to DAM. Rate = 1730 Gbps @ 12900 kHz Collision, 15 kHz Trigger 13 us Drift

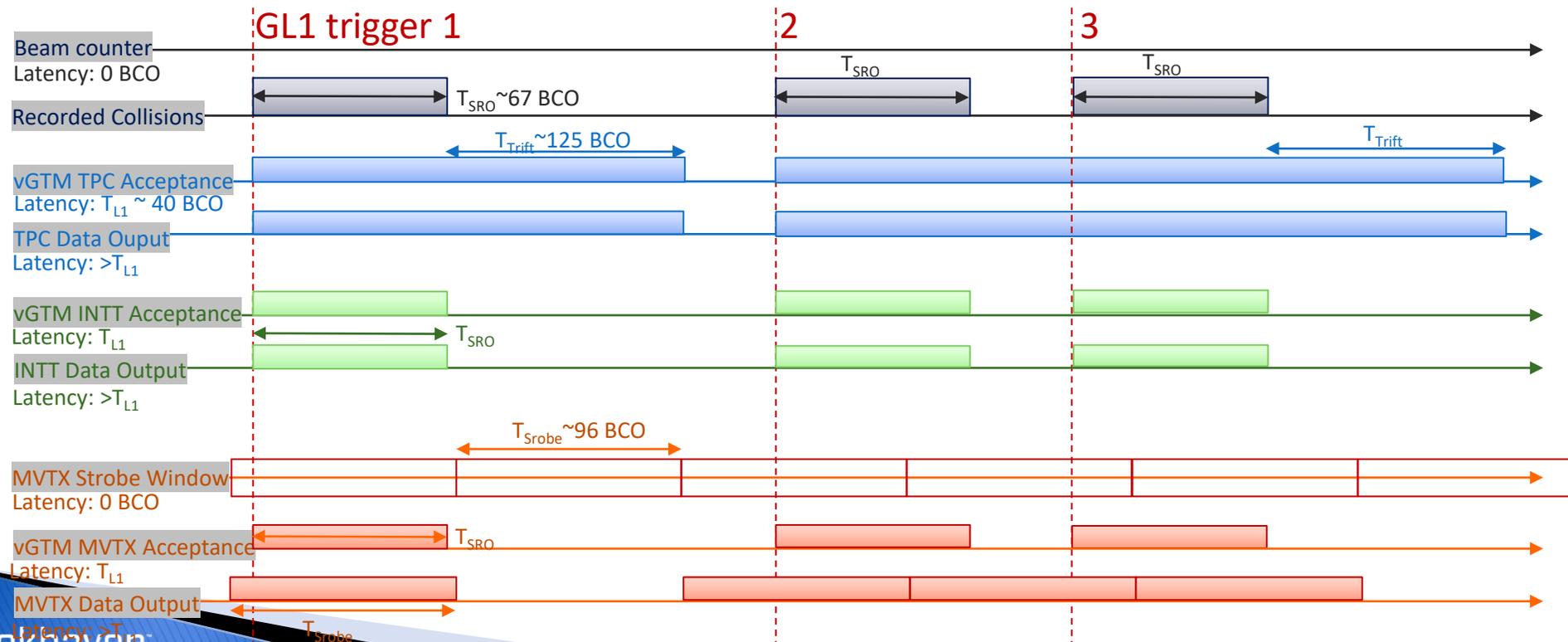


SRO-Mode1-Simple [Recommended]

Simply prolong L1-Acceptance signal to each subsystem, from 1 BCO to $T_{SRO} \sim 67$ beam crossings ($\sim 7\mu s$ or 10% SRO data)

→ x500 increase of hard-to-trigger p+p sample

→ at cost only 50% increase in data vol. (by piggy back on long TPC readout window of 13 μs)

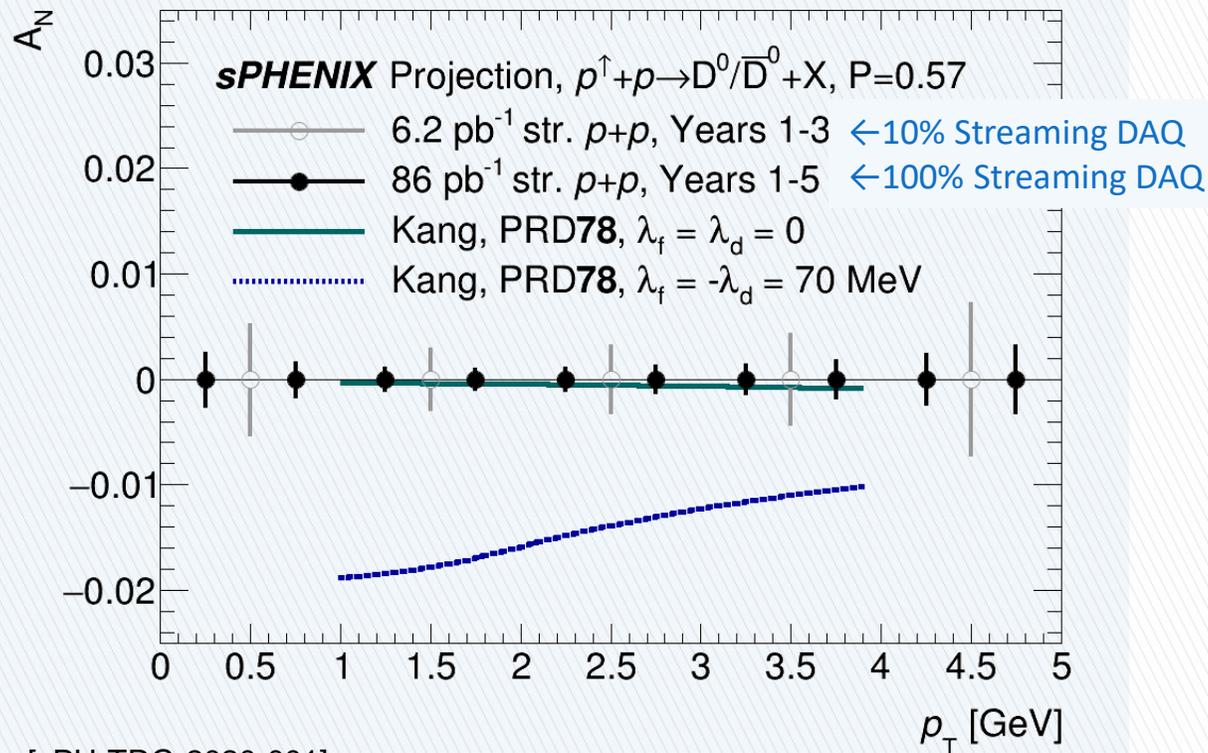


Streaming-DAQ enabled scientific connection: e.g. gluon dynamics via heavy flavor A_N

← Universality test on gluon Sievers →

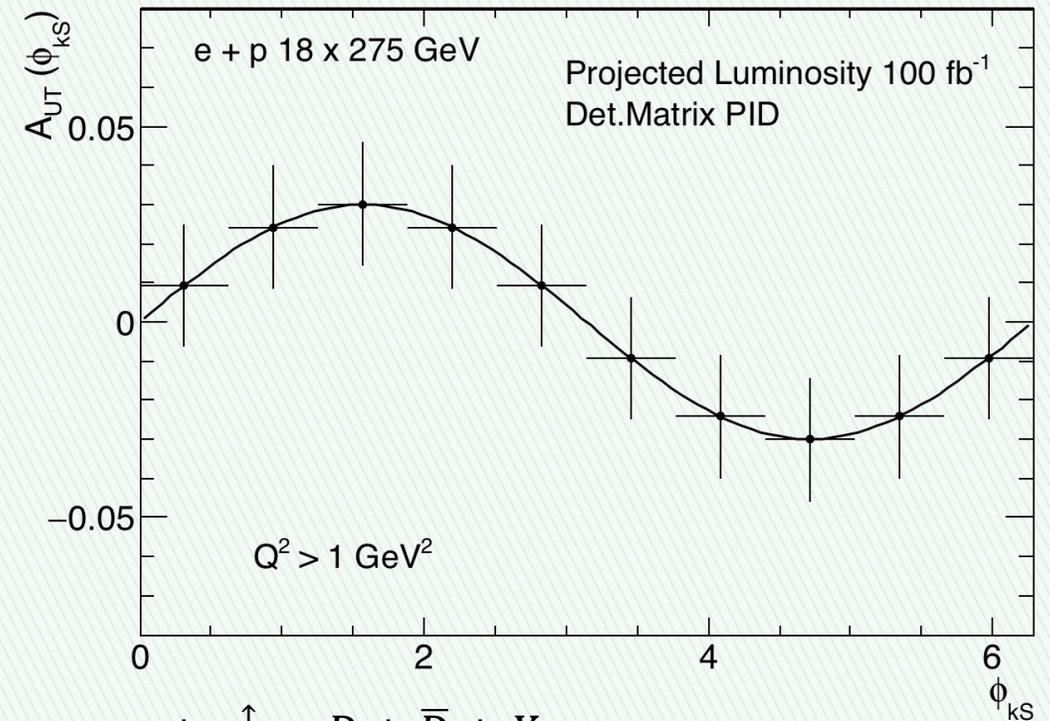
sPHENIX D^0 trans. spin asymmetry, $A_N \rightarrow$ Gluon Sievers via tri-g cor.

EIC SIDIS D^0 transverse spin asymmetry \rightarrow Gluon Sievers



[sPH-TRG-2020-001]

Model: 10.1103/PhysRevD.78.114013



$e + p^\uparrow \rightarrow D + \bar{D} + X$

[CNFS HF@EIC workshop, Nov 4-6, 2020]

EIC: unique collider

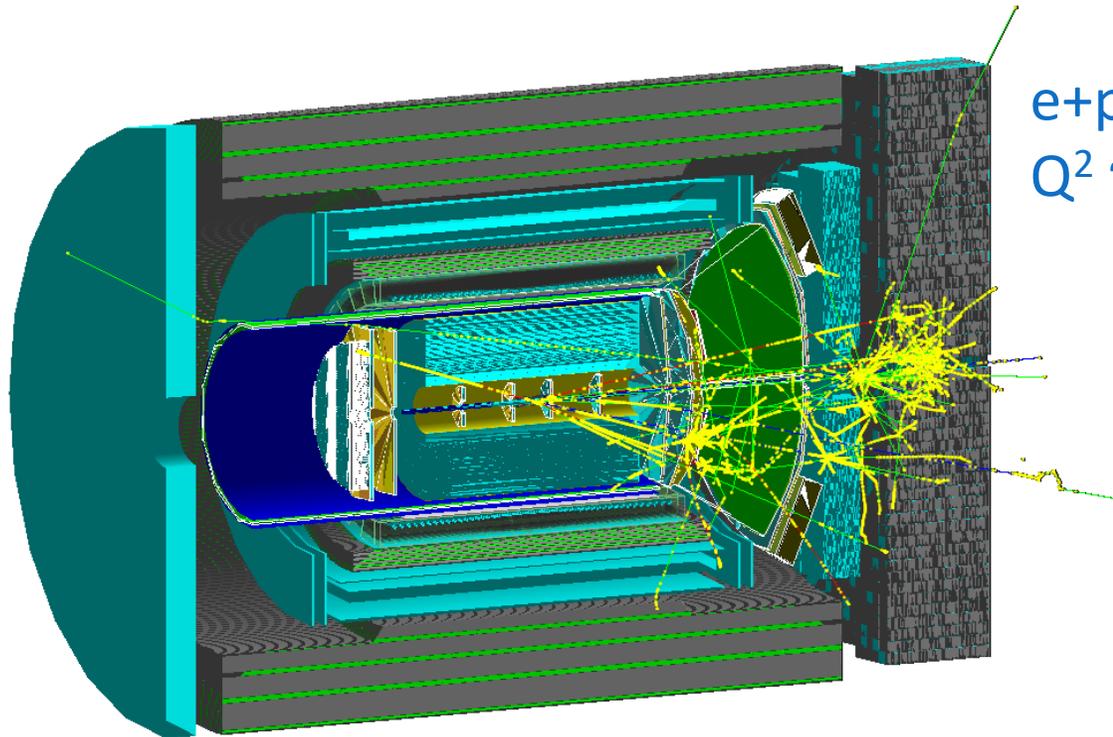
→ unique real-time system challenges

	EIC	RHIC	LHC → HL-LHC
Collision species	$\vec{e} + \vec{p}, \vec{e} + A$	$\vec{p} + \vec{p}/A, A + A$	$p + p/A, A + A$
Top x-N C.M. energy	140 GeV	510 GeV	13 TeV
Bunch spacing	10 ns	100 ns	25 ns
Peak x-N luminosity	$10^{34} \text{ cm}^{-2} \text{ s}^{-1}$	$10^{32} \text{ cm}^{-2} \text{ s}^{-1}$	$10^{34} \rightarrow 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$
x-N cross section	50 μb	40 mb	80 mb
Top collision rate	500 kHz	10 MHz	1-6 GHz
$dN_{\text{ch}}/d\eta$ in p+p/e+p	0.1-Few	~3	~6
Charged particle rate	4M N_{ch}/s	60M N_{ch}/s	30G+ N_{ch}/s

- ▶ EIC luminosity is high, but collision cross section is small ($\propto \alpha_{\text{EM}}^2$) → low collision rate
- ▶ But events are precious and have diverse topology → hard to trigger on all process
- ▶ Background and systematic control is crucial → avoiding a trigger bias

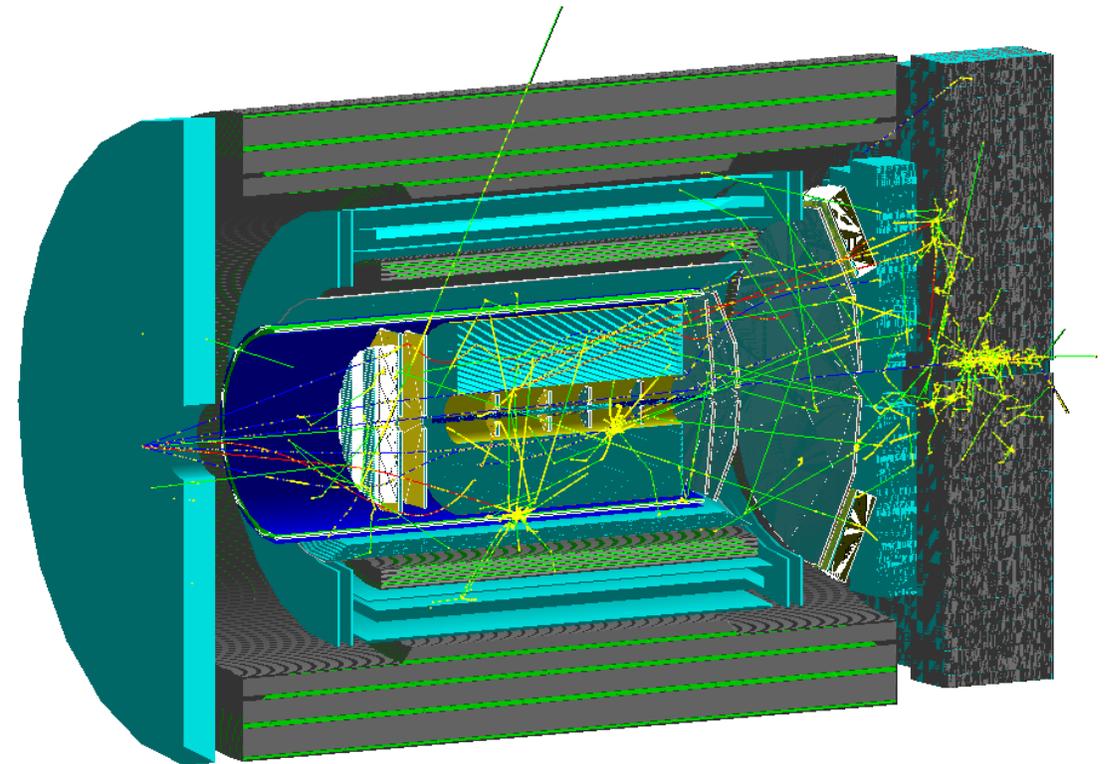
EIC DAQ in Fun4All-EIC simulation

Refs: EIC CDR, sPH-cQCD-2018-001: <https://indico.bnl.gov/event/5283/>



e+p DIS 18+275 GeV/c
 $Q^2 \sim 100 \text{ (GeV/c)}^2$

Beam gas event
p + p(gas), 275 GeV/c
at z=-4 m



Data Rate

MAPS silicon tracker

TPC

Forward/backward GEM

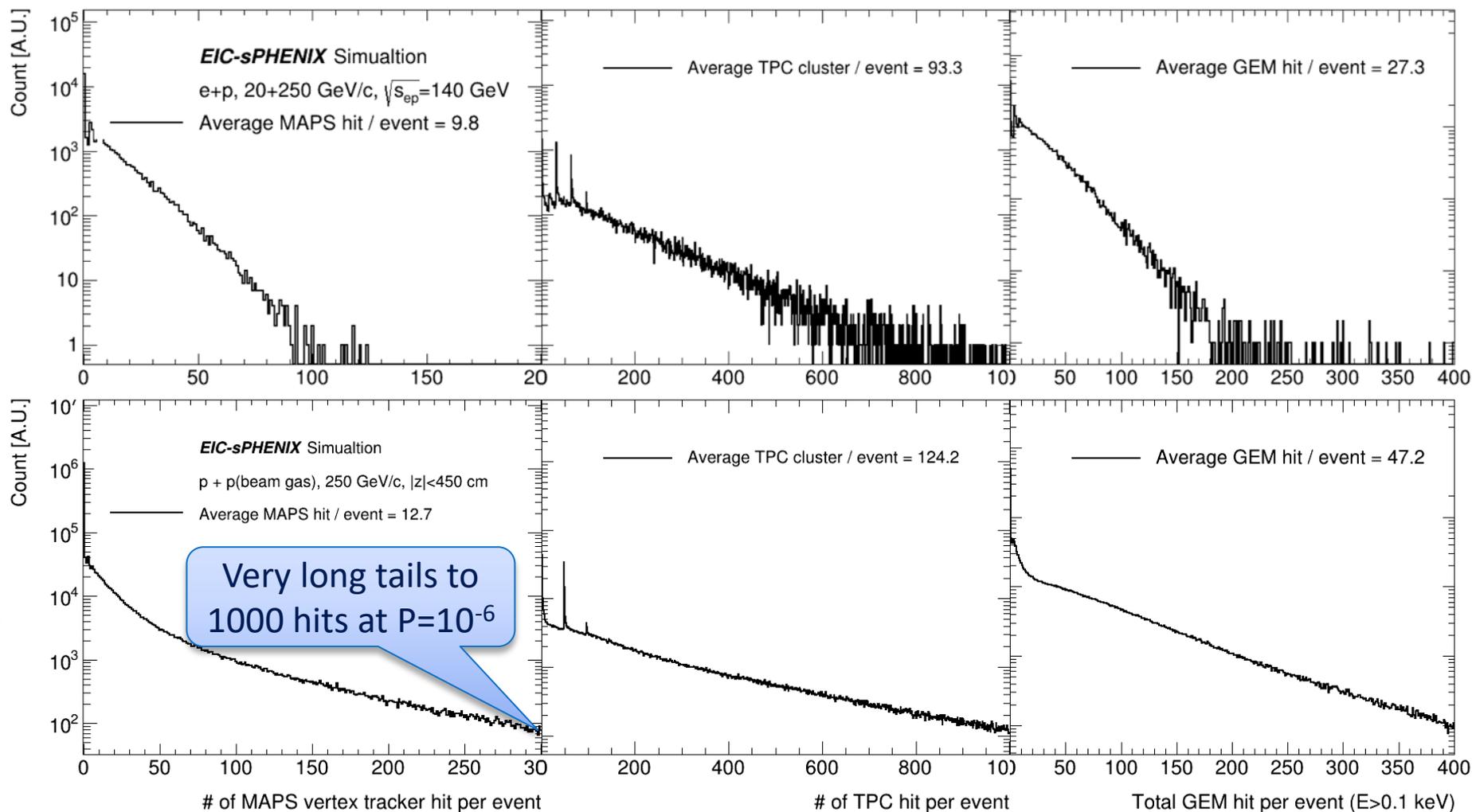
Raw data: 16-24 bit / MAPS hit
(3-layer ALPIDE model)

Raw data: 3x5 10 bit / TPC hit
+ headers (60 bits)

Raw data: 3x5 10 bit / GEM hit
+ headers (60 bits)

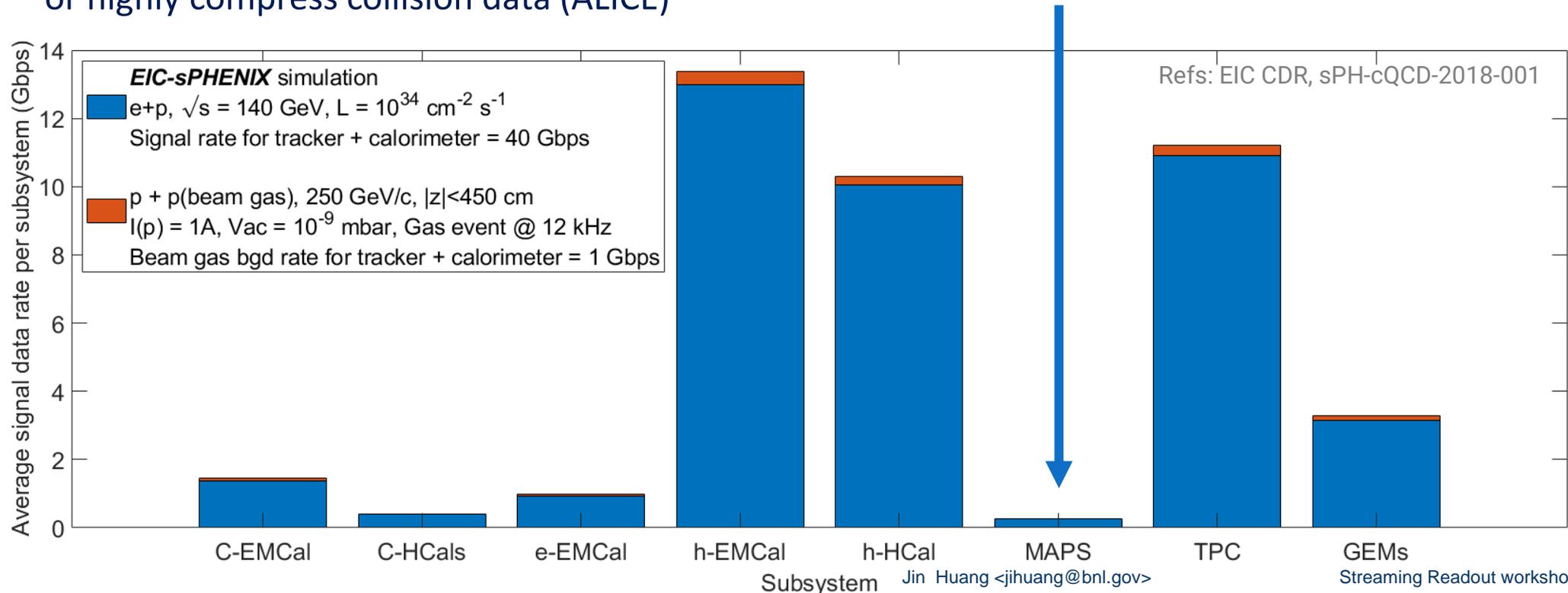
e+p, Pythia6 Q2>0

p+p(gas) Pythia8



Signal data rate -> DAQ strategy

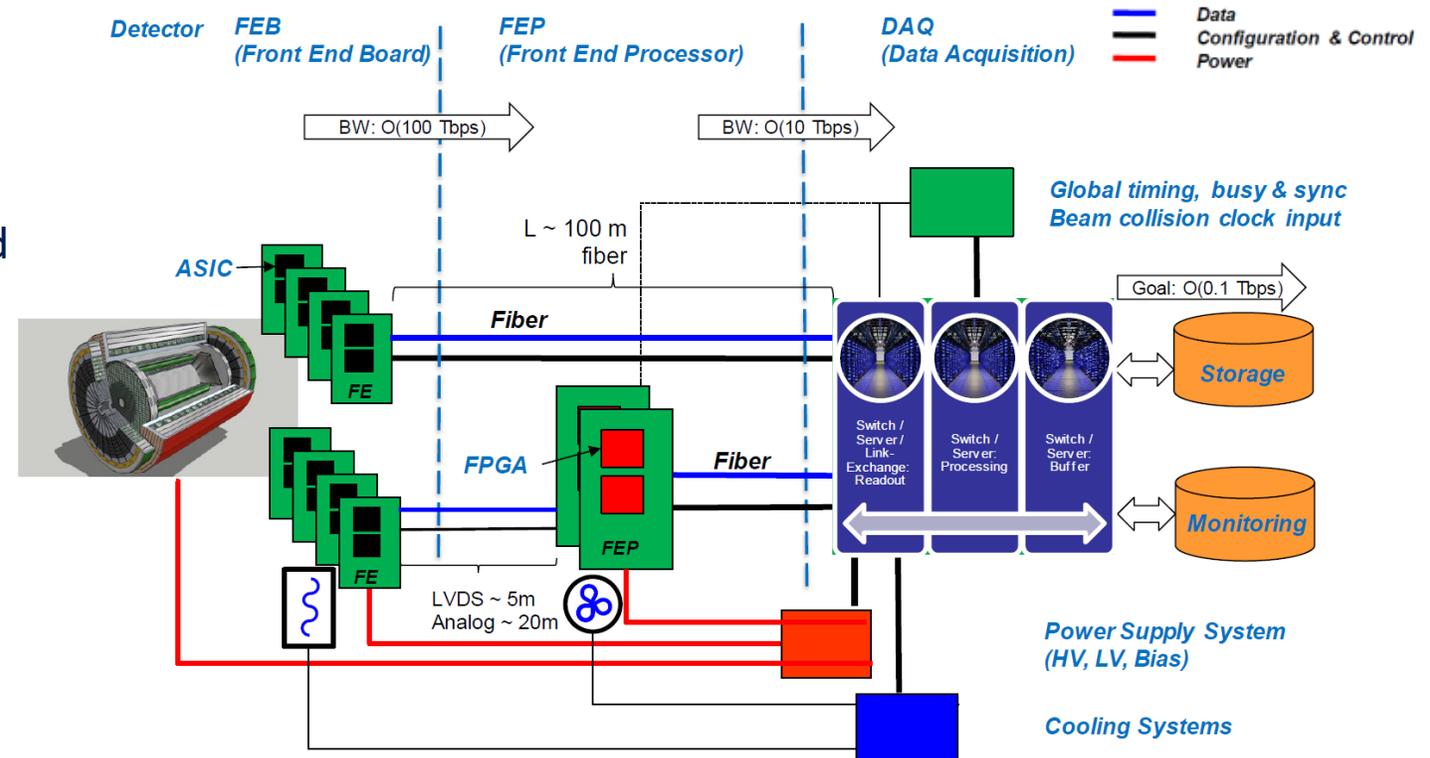
- ▶ What we want to record: total collision signal ~ 100 Gbps @ 10^{34} cm $^{-2}$ s $^{-1}$
 - Assumption: sPHENIX data format, 100% noise, Less than sPHENIX peak disk rate. 10^{-4} comparing to LHC collision
- ▶ Therefore, we could choose to stream out all EIC collisions data
 - In addition, DAQ may need to filter out excessive beam background and electronics noise, if they become dominant.
 - Very different from LHC, where it is necessary to filter out uninteresting p+p collisions (CMS/ATLAS/LHCb) or highly compress collision data (ALICE)



Strategy for an EIC real-time system

▶ EIC streaming DAQ

- Triggerless readout front-end (buffer length : μs)
- DAQ interface to commodity computing (e.g. FELIX/CRU).
Background filter if excessive background rate
- Disk/tape storage of streaming time-framed zero-suppressed raw data (buffer length : s)
- Online monitoring and calibration (latency : minutes)
- Final Collision event tagging in offline production (latency : days+)

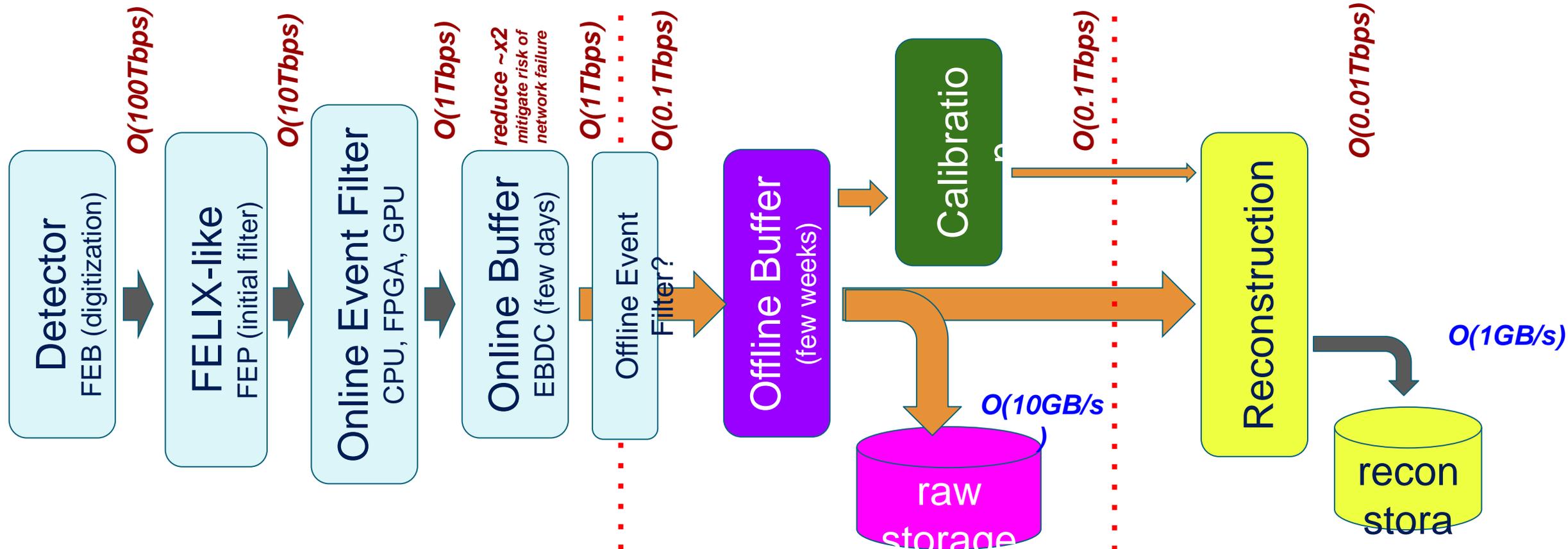


Ref: EIC-CDR

Blurred boundary with offline computing

See also: last talk M. Battaglieri

Courtesy: David Lawrence
ECCE computing model [\[link\]](#)



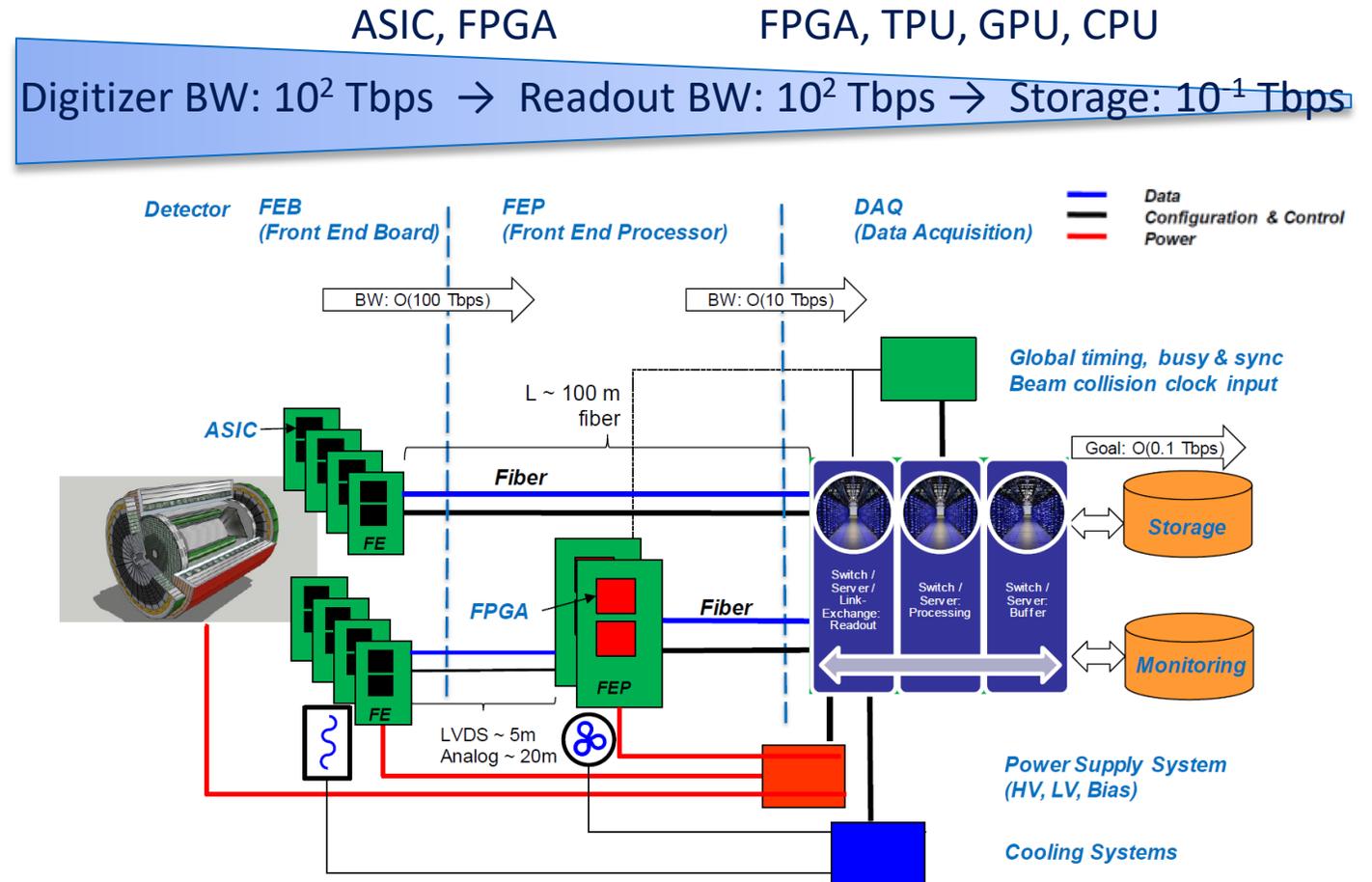
Experimental Hall and
Counting House (Project
Funds)

Data Center(s): SDCC
[JLab, ...]
(Operations Funds)

HTC Compute
Facilities
SDCC, JLab, ...
(Operations)

Real-time computing for streaming data pipeline

- ▶ Despite low signal rate, the raw data rate can be filled with noises and background
 - Need low background & low noise detector & electronics design
- ▶ An essential job of EIC real-time computing: **reliable streaming data reduction** to fit permanent storage (next topics)
- ▶ And more traditional roles for online/offline server farm:
 - Online monitoring/fault det.
 - Calibration
 - Production → Initial analysis pass



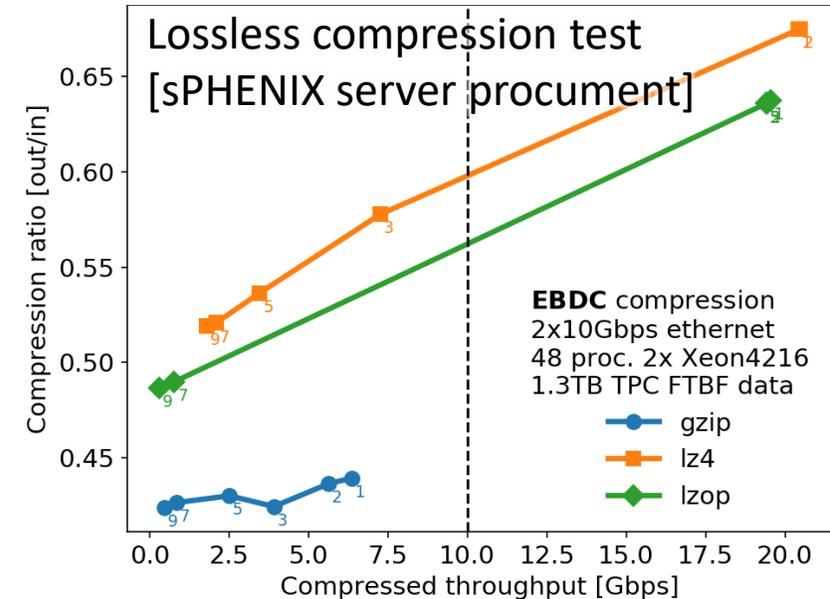
[EIC CDR]

Online computing for streaming data – trigger throttling

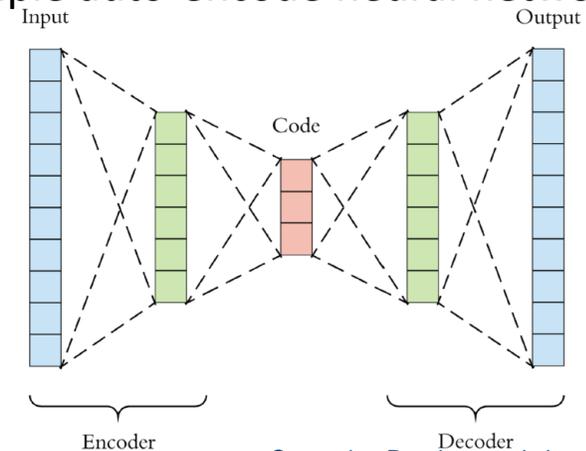
- ▶ At the beginning of the EIC operation, background & noise rate could be unpredictable and high
- ▶ A contingency method: throttling streaming data with triggering
 - Immediately reduce streaming data by orders of magnitudes
 - Widely used hardware producing trigger, fix latency or HLT (Aaji's talk)
 - Has physics loss, added systematic uncertainty for hardware trigger efficiency
- ▶ Can utilize ML to produce more complex triggering on FPGA
 - PID trigger, e.g. ref: S. Furletov @ streaming workshop VIII [\[link\]](#)
 - Tracking-event topology trigger: D. Yu @ AI4EIC workshop [\[link\]](#)

Online computing for streaming data - compression

- ▶ Lossless compression
 - Compress by $\sim 1/2$
 - Well established fast compression algorithm
- ▶ Lossy compression
 - Opportunity for unsupervised machine learning based on data, e.g.
 - Auto-encoder on ASIC for HGCal @ CMS [\[link\]](#)
 - Bicephalous Convolutional Neural Encoder for zero-suppressed data (next)

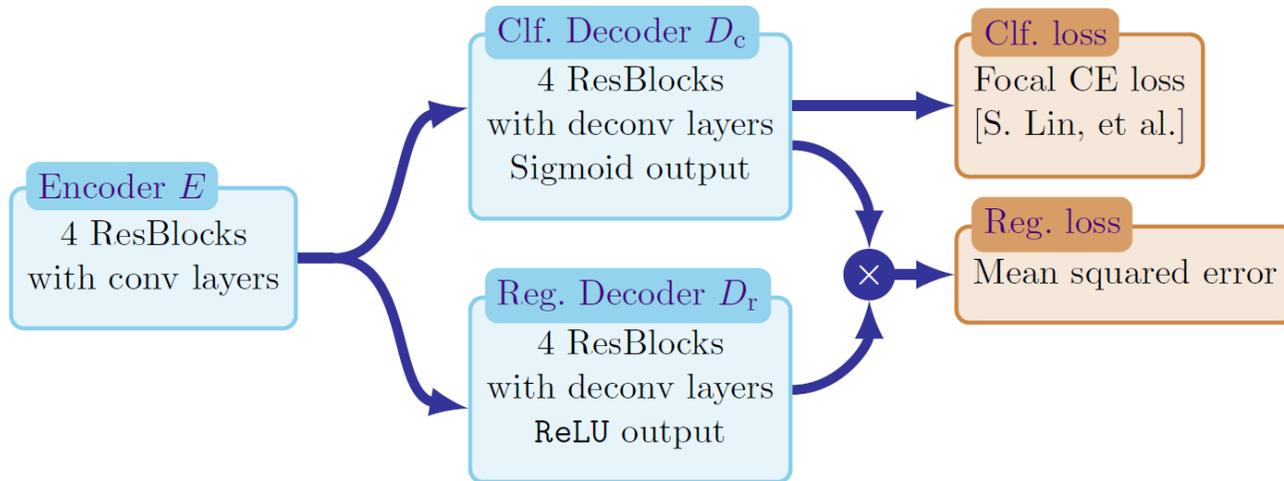


Simple auto-encode neural network

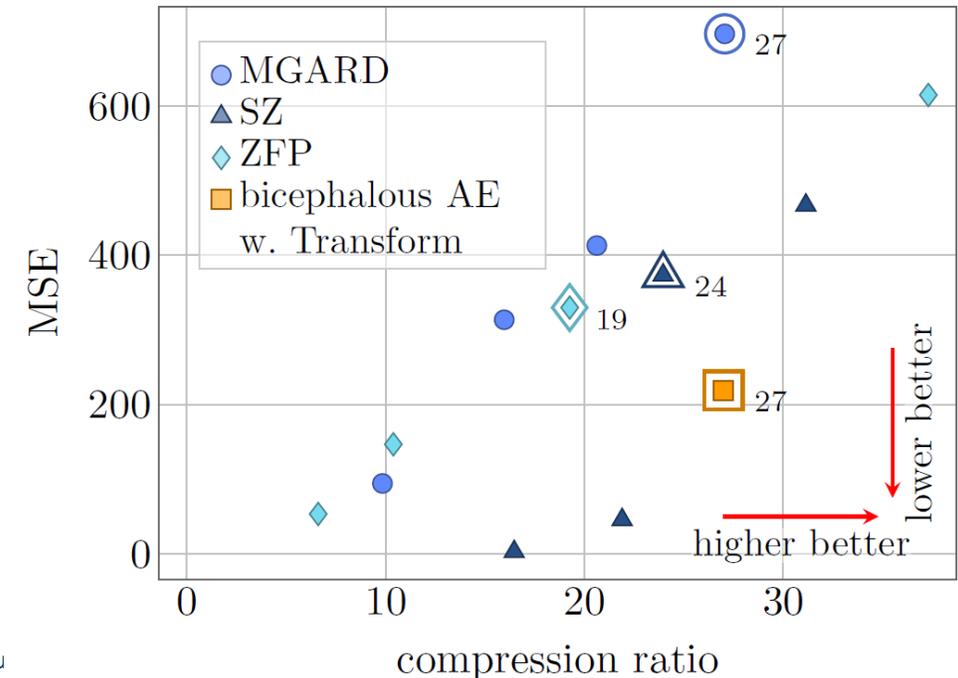


Bicephalous Convolutional Auto-Encoder for zero-suppressed data

- ▶ Some detector ADC data is challenging for Auto-Encoder, e.g. features such as zero-suppression cut off
- ▶ A dual-output auto encoder is designed to output both a region of interest and decompressed ADC. Possibility for further noise filtering
- ▶ Ref: Y. Huang @ AI4EIC workshop [[link](#)]



Compression comparison with published compressor tested on busiest sPHENIX TPC timeframes



Online computing for streaming data - feature building

- ▶ Another effective way of suppressing background is feature building, e.g.
- ▶ Clustering on calorimeter
 - Effective in suppressing single tower noise
 - e.g. CLAS12 test as in M. Battaglieri's talk
- ▶ Tracklet building on tracker:
 - Effective in suppressing isolated noise, such as the synchrotron background
 - e.g. ALICE TPC streaming data [arXiv:1910.12214]. D. Yu @ AI4EIC workshop [[link](#)]
- ▶ ADC timeseries -> amplitude extraction
 - e.g. Specialized filters: C. Crawford @ Streaming readout VIII [[link](#)];
 - Neural network on ASIC: S. Miryala @ Streaming readout VIII [[link](#)]

EIC x-sec : further quantification [Courtesy E. Aschenauer]

▶ Inelastic e+p scattering x-sec:

- For a luminosity of $10^{34} \text{ cm}^{-2}\text{s}^{-1}$ 50ub corresponds to **500 kHz**

▶ Elastic e+p cross-section:

- For EIC central barrel, elastic cross section is **small** comparing to the inclusive QCD processes

▶ Beam gas interaction:

- Beam proton – beam gas fix target inelastic interactions. The pp elastic cross section is smaller (~7 mb)
- For a vacuum of 10^{-9} mbar in the detector volume (10m) this gives

a rate of **14 kHz**

Beam [GeV]	HERA	5 x 50	10 x 100	18 x 275
$Q^2 > 10^{-9} \text{ GeV}$	65.6	29.9	41.4	54.3 ub
$Q^2 > 1 \text{ GeV}$	1.29	0.45	0.65	0.94 ub

Beam [GeV]	HERA	5 x 50	10 x 100	18 x 275
$\sigma [y_{\text{Exp}} > -4]$	5 pb	5 ub	0.7 ub	0.06 ub
$\sigma [y_{\text{Exp}} > -6]$	11 ub	420 ub	100 ub	29 ub

E_p :	50 GeV	100 GeV	275 GeV	920 GeV
	38.4 mb	38.4 mb	39.4 mb	41.8 mb