

TMD Fragmentation Functions

CPHI 2022
March 9, 2022

Ralf Seidl (RIKEN)

Single hadron FF

Unpolarized ingredients	Polarized ingredients	Flavor sensitivity
<p>Single hadron cross sections: $e^+e^- \rightarrow hX$ $D_{1,\textcolor{red}{q}}^{\textcolor{blue}{h}}(\textcolor{violet}{z}, Q^2)$</p> <p>PRL111 (2013) 062002 PRD101(2020) 092004</p>	<p>Azimuthal asymmetries: $e^+e^- \rightarrow (h)(h)X$, $\cos(\phi_1 + \phi_2)$ $H_{1,\textcolor{red}{q}}^{\perp(1)\textcolor{blue}{h}}(\textcolor{violet}{z}, Q^2)$</p> <p>PRL 96 (2006) 232002 PRD 78 (2008) 032011</p>	<p>Unpol SIDIS, pp: $\frac{d\sigma}{dz}$ $e^+e^- \rightarrow (h)(h)X$</p> <p>PRD92 (2015) 092007 PRD101(2020) 092004</p> <p>and scale dependence</p>
<p>Transverse momentum dependent FFs: $e^+e^- \rightarrow (h)X$ $D_{1,\textcolor{red}{q}}^{\textcolor{blue}{h}}(\textcolor{violet}{z}, \textcolor{brown}{k}_T, Q^2)$</p> <p>PRD 99 (2019) 112006</p>	<p>Transverse momentum dependent asymmetries $e^+e^- \rightarrow (h)(h)X$, $\cos(\phi_1 + \phi_2), Q_t$ $H_{1,\textcolor{red}{q}}^{\perp h}(\textcolor{violet}{z}, \textcolor{brown}{k}_T, Q^2)$</p> <p>PRD100 (2019) 92008</p>	<p>Polarizing Λ fragmentation</p> <p>PRL 122 (2019), 042001</p> <p>$D_{1,\textcolor{red}{q}}^{\perp h}(\textcolor{violet}{z}, \textcolor{brown}{k}_T, Q^2)$</p>

Dihadron FF (IFF)

Unpolarized ingredients	Polarized ingredients	Flavor sensitivity
<p>Dihadron cross sections $e^+e^- \rightarrow (hh)X$ $D_{1,\textcolor{red}{q}}^{\textcolor{blue}{h}_1\textcolor{blue}{h}_2}(\textcolor{violet}{z}, \textcolor{teal}{m}, Q^2)$</p> <p>PRD96 (2017) 032005</p>	<p>Azimuthal asymmetries: $e^+e^- \rightarrow (hh)(hh)X$, $\cos(\phi_1 + \phi_2)$, $H_{1,\textcolor{red}{q}}^{\textcolor{blue}{h}_1,\textcolor{blue}{h}_2,\triangleleft}(\textcolor{violet}{z}, Q^2, M_h)$</p> <p>PRL107 (2011) 072004</p>	<p>Unpol SIDIS, pp:</p> $\frac{d^2\sigma}{dzdm}$

Single hadron FF

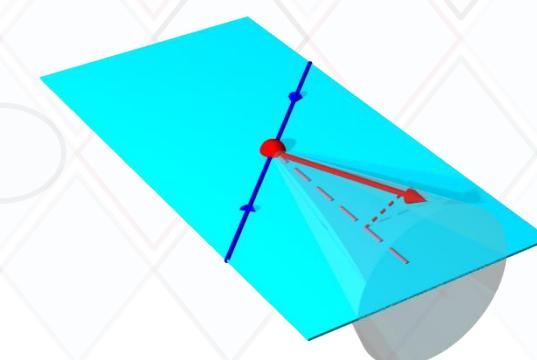
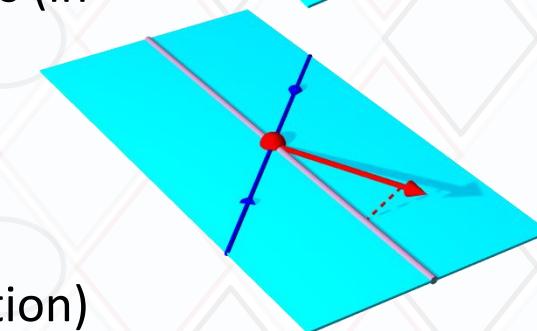
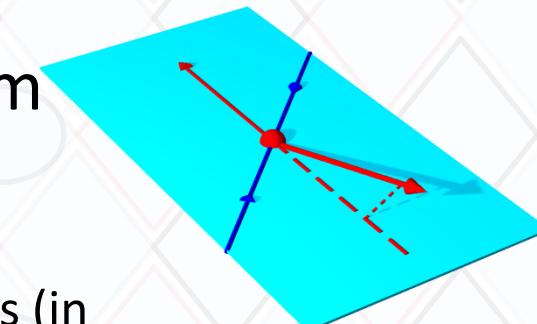
Unpolarized ingredients	Polarized ingredients	Flavor sensitivity
<p>Single hadron cross sections: $e^+e^- \rightarrow hX$ $D_{1,\textcolor{red}{q}}^{\textcolor{blue}{h}}(\textcolor{violet}{z}, Q^2)$</p> <p>PRD 88 (2013) 032011 (Babar)</p>	<p>Azimuthal asymmetries: $e^+e^- \rightarrow (h)(h)X,$ $\cos(\phi_1 + \phi_2)$ $H_{1,\textcolor{red}{q}}^{\perp(1)\textcolor{blue}{h}}(\textcolor{violet}{z}, Q^2)$</p> <p>PRD 92 (2015) 111101 (Babar K) PRL 116 (2016) 042001 (BESIII)</p>	<p>Unpol SIDIS, pp: $\frac{d\sigma}{dz}$ $e^+e^- \rightarrow (h)(h)X$</p> <p>and scale dependence</p>
<p>Transverse momentum dependent FFs: $e^+e^- \rightarrow (h)X$ $D_{1,\textcolor{red}{q}}^{\textcolor{blue}{h}}(\textcolor{violet}{z}, \textcolor{red}{k}_T, Q^2)$</p>	<p>Transverse momentum dependent asymmetries $e^+e^- \rightarrow (h)(h)X,$ $\cos(\phi_1 + \phi_2), Q_t$ $H_{1,\textcolor{red}{q}}^{\perp h}(\textcolor{violet}{z}, \textcolor{red}{k}_T, Q^2)$</p> <p>PRD 90 (2014) 052003 (Babar)</p>	 

Dihadron FF (IFF)

Unpolarized ingredients	Polarized ingredients	Flavor sensitivity
<p>Dihadron cross sections $e^+e^- \rightarrow (hh)X$ $D_{1,\textcolor{red}{q}}^{\textcolor{blue}{h}_1 \textcolor{blue}{h}_2}(\textcolor{violet}{z}, \textcolor{violet}{m}, Q^2)$</p>	<p>Azimuthal asymmetries: $e^+e^- \rightarrow (hh)(hh)X,$ $\cos(\phi_1 + \phi_2),$ $H_{1,\textcolor{red}{q}}^{\textcolor{blue}{h}_1, \textcolor{blue}{h}_2, \triangleleft}(\textcolor{violet}{z}, Q^2, M_h)$</p>	<p>Unpol SIDIS, pp:</p> $\frac{d^2\sigma}{dz dm}$

K_T Dependence of FFs in e^+e^-

- Gain also sensitivity into transverse momentum generated in fragmentation
- Two ways to obtain transverse momentum dependence
 - Traditional 2-hadron FF
 - use transverse momentum between two hadrons (in opposite hemispheres)
 - Usual convolution of two transverse momenta
 - Single-hadron FF wrt to Thrust
 - No convolution
 - Need correction for $q\bar{q}$ axis (similar to a Jet function)
 - Single-hadron FF wrt jet axis
 - No convolution
 - Need Jet function

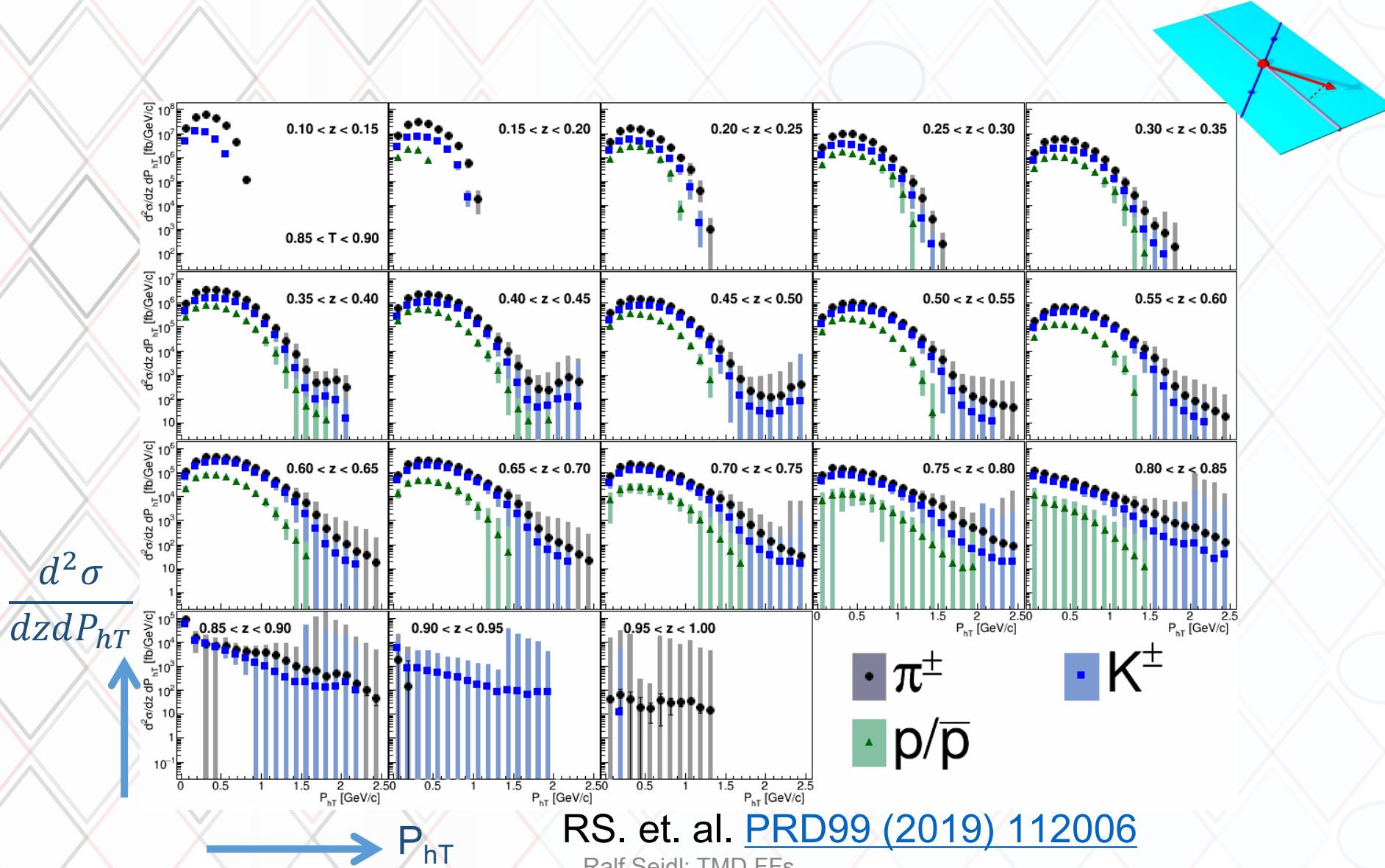


Ongoing
(nearly finished)

Published

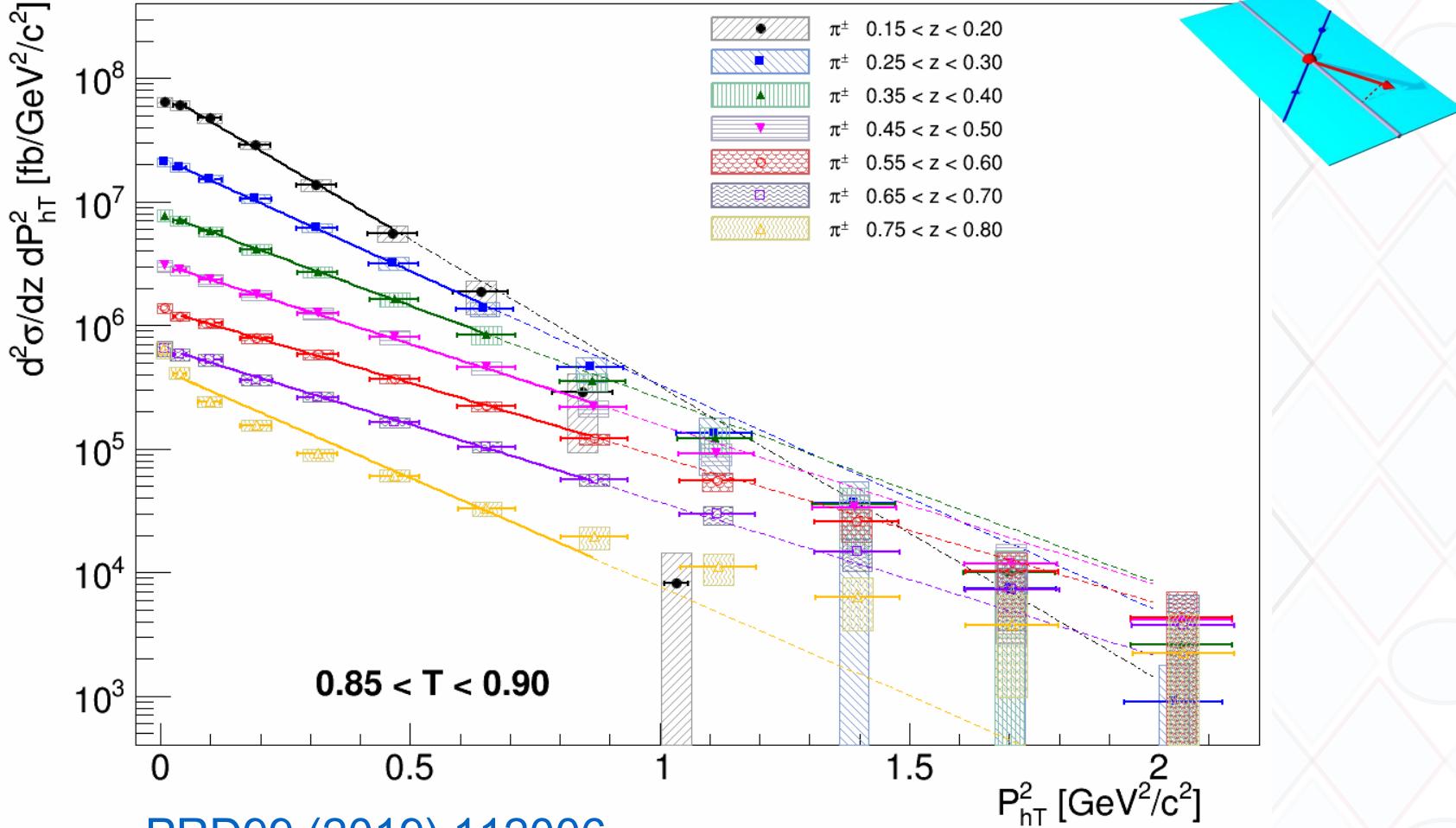
Ongoing
(started)

Cross sections various hadrons



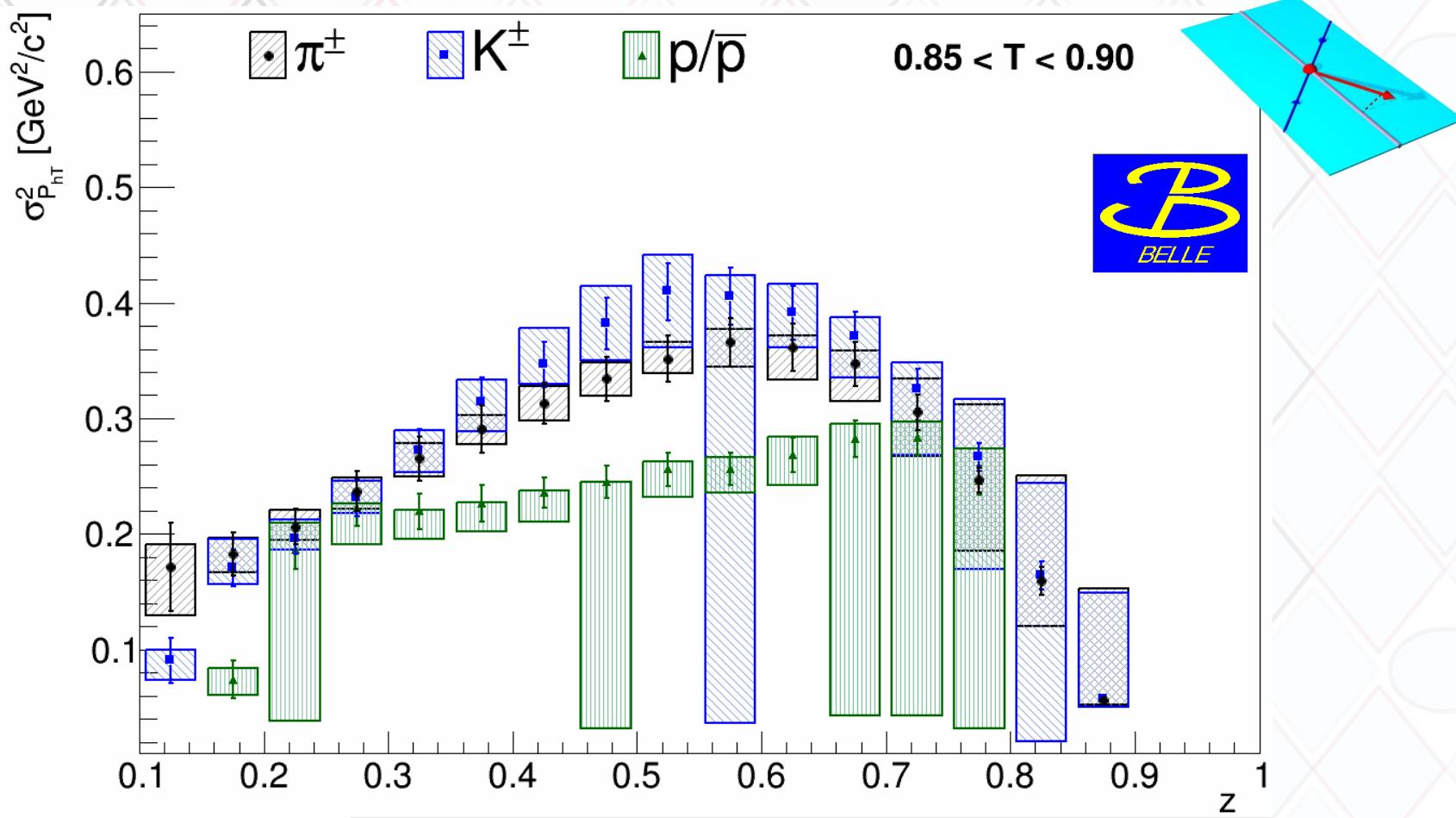
Fits vs P_{hT}^2

Fit exponential to smaller transverse momenta for Gaussian P_{hT} dependence and power low at higher P_{hT}



Transverse momentum dependent unpol FFs:

- First direct (no convolutions) measurement of z and kt dependence
- Extraction of Gaussian kt widths



[PRD99 \(2019\) 112006](#)

Current phenomenological models: no or linear z dependence only

Gaussian widths comparison to MC

first direct (no convolutions) measurement of z dependence of Gaussian widths

Pythia6
MSTP(21):

0.28

0.325

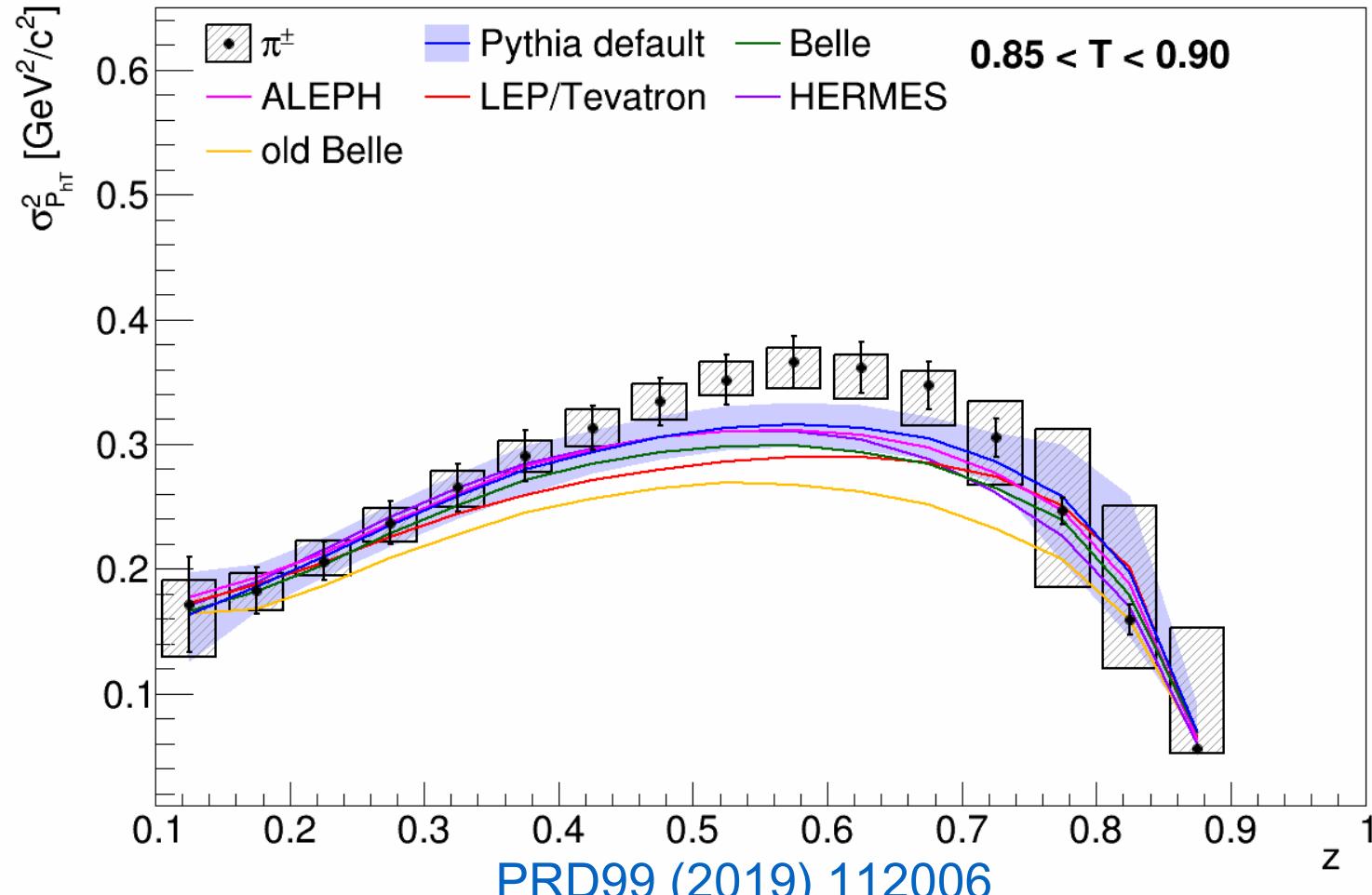
0.36

0.36

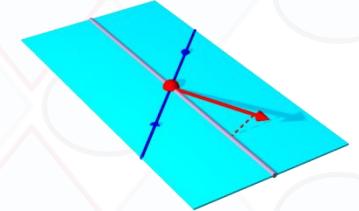
0.37

0.40

3/9/2022



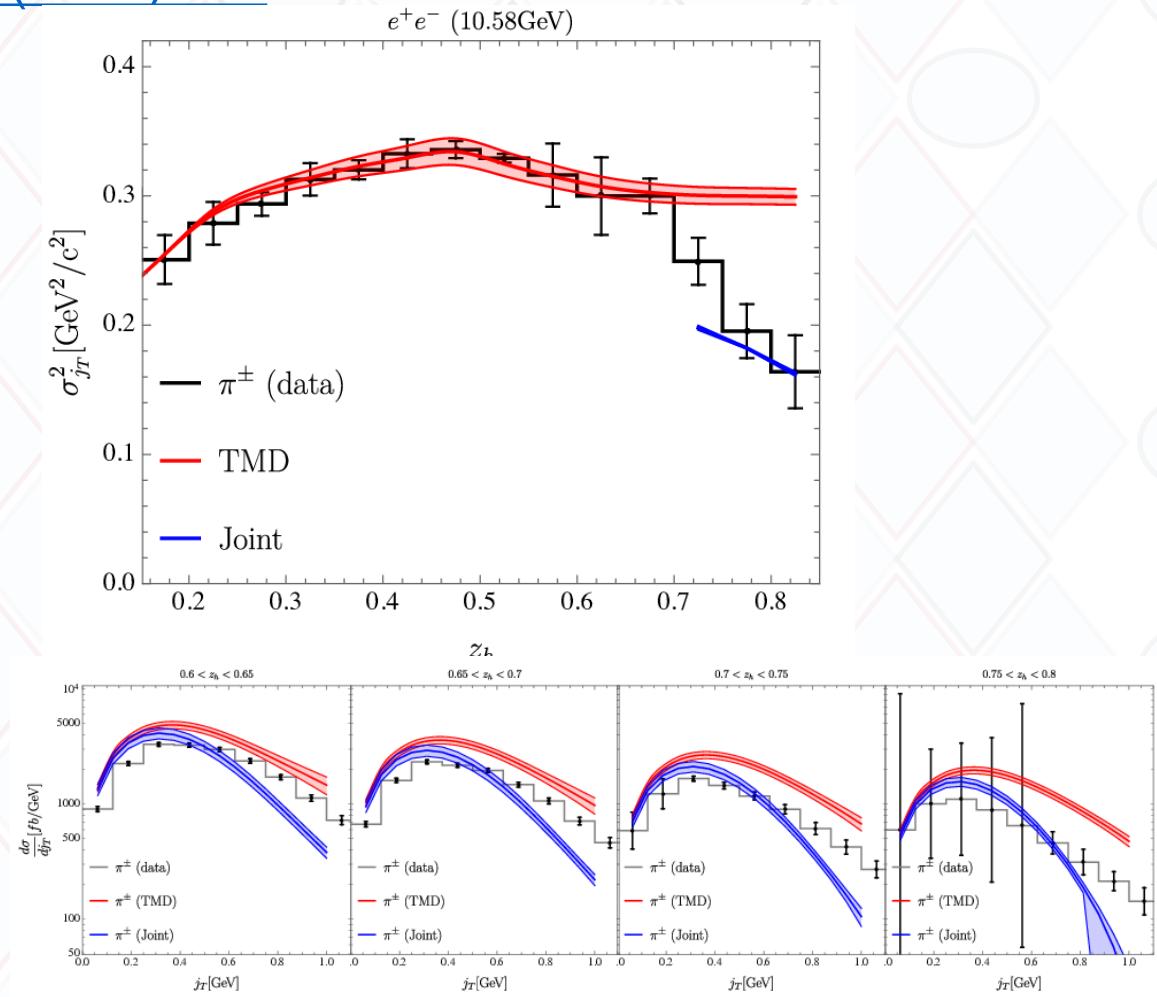
Ralf Seidl: TMD FFs



Phenomenological Fits of cross sections I

Kang, et. al. JHEP 12 (2020) 127

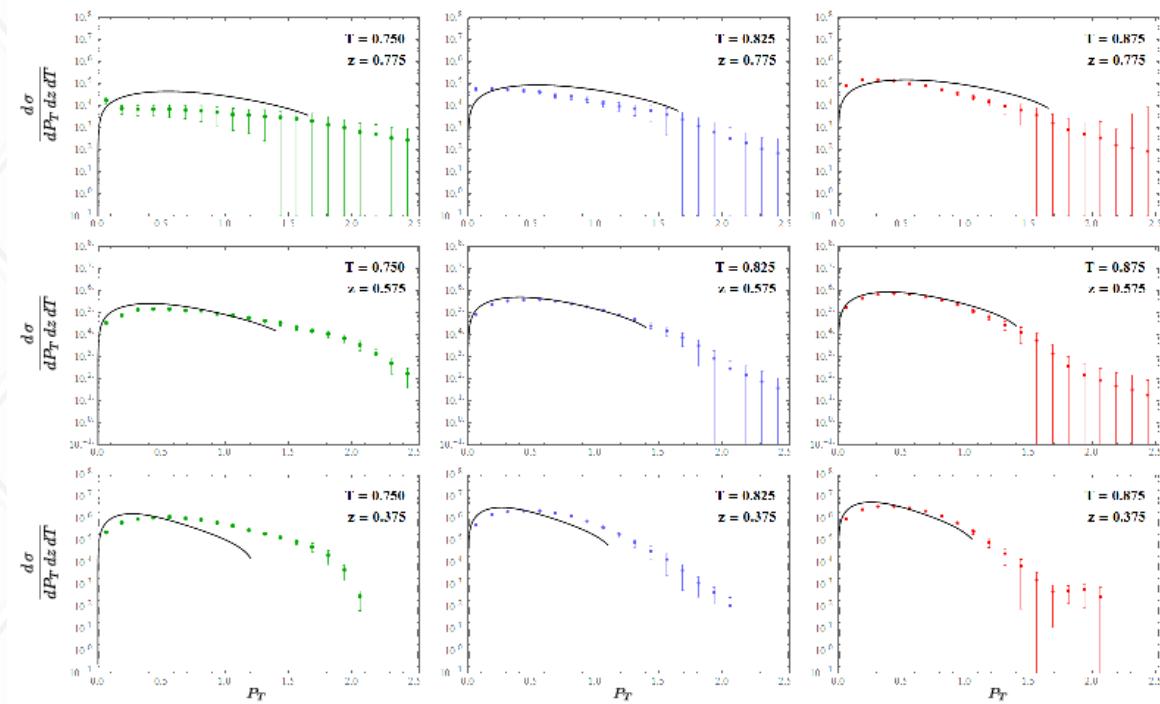
- SCET formalism
- Inclusion of Thrust axis possible in similar way to Jet functions
TMD and threshold resummation needed
- TMD region of $j_T \ll Q$
- Additional description for high-z region



Phenomenological Fits of cross sections II

[Boglione, Simonelli JHEP 02 \(2021\) 076](#)

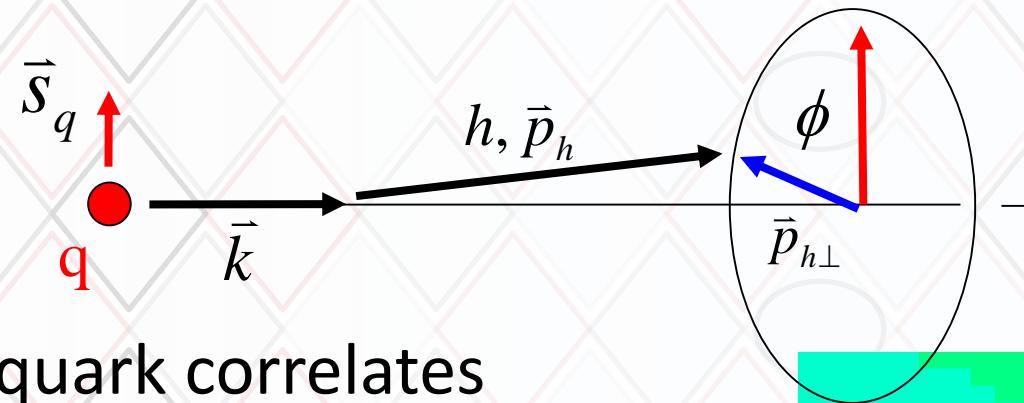
- NLO and NLL description of cross sections, based on NNFF1.0_NLO
- Collinear parts of phase space need to be cut out (esp. high P_T)
- Intermediate Thrust range can be described well
- High thrust and high z range would need different pheno treatment



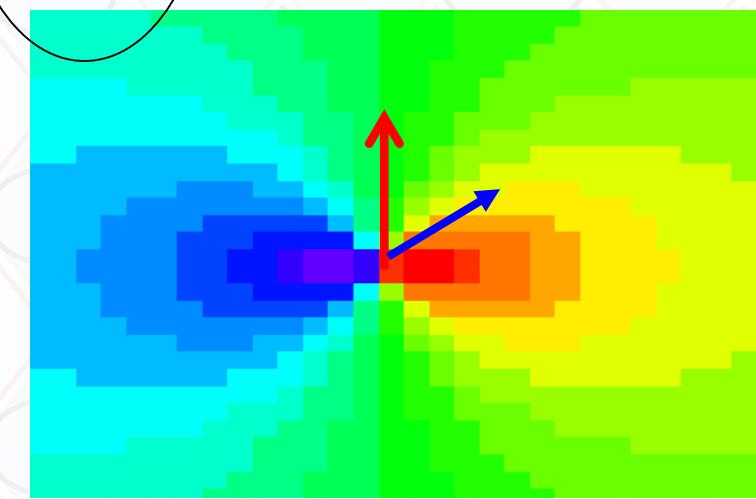
Collins fragmentation function

J. Collins, Nucl. Phys. B396, (1993) 161

$$D_{q\uparrow}^h(z, P_{h\perp}) = D_{1,q}^h(z, P_{h\perp}^2) + H_{1,q}^{\perp h}(z, P_{h\perp}^2) \frac{(\hat{\mathbf{k}} \times \mathbf{P}_{h\perp}) \cdot \mathbf{S}_q}{z M_h}$$



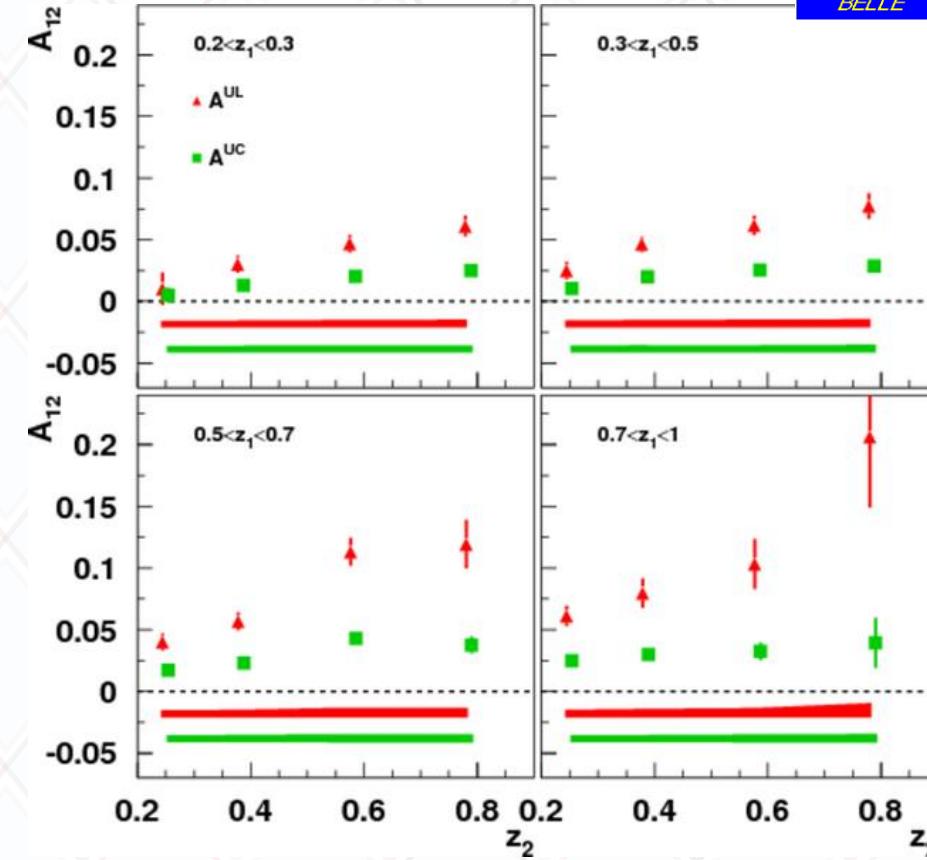
- Spin of quark correlates with hadron transverse momentum
- translates into azimuthal anisotropy of final state hadrons



Belle Collins asymmetries



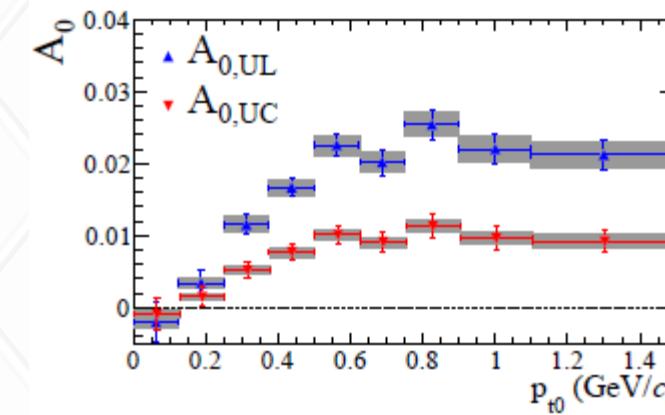
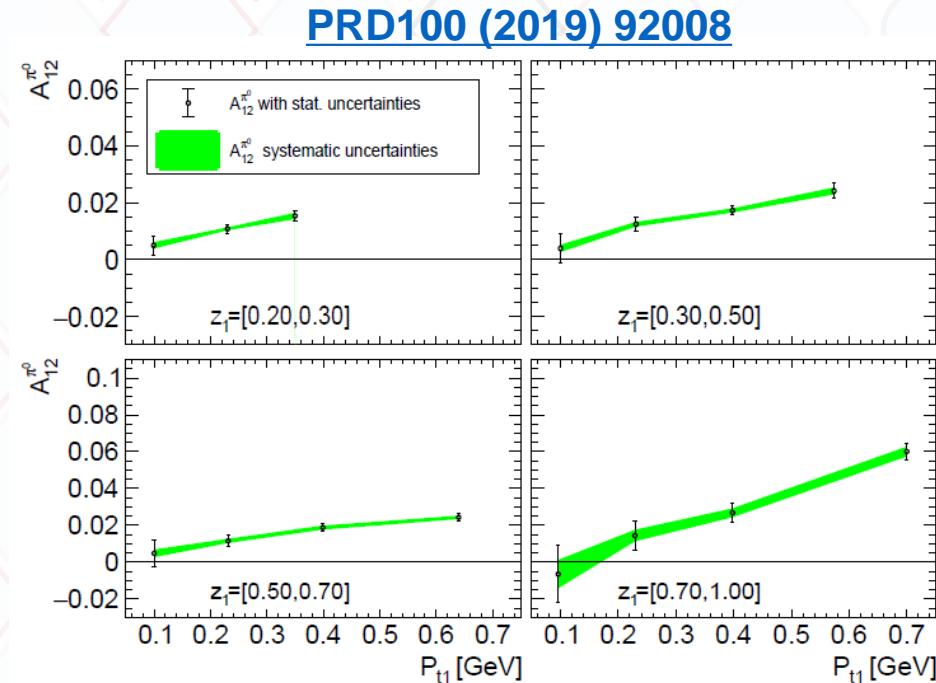
- Red points : $\cos(\phi_1 + \phi_2)$ moment of **Unlike** sign pion pairs over **like** sign pion pair ratio : A^{UL}
- Green points : $\cos(\phi_1 + \phi_2)$ moment of **Unlike** sign pion pairs over **any charged** pion pair ratio : A^{UC}
- Collins fragmentation is large effect
- Consistent with SIDIS indication of sign change between favored and disfavored Collins FF



RS et al (Belle), PRL96: 232002
PRD 78:032011, Erratum D86:039905

Transverse momentum

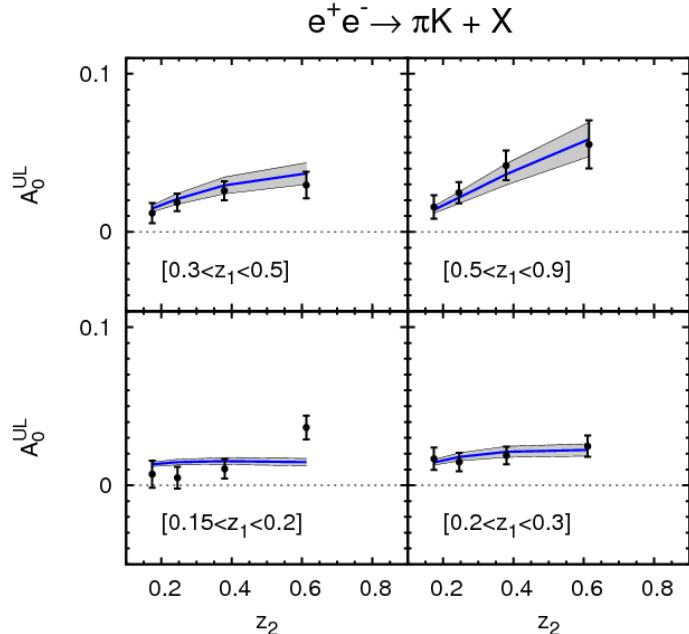
- Add transverse momentum to Collins asymmetries' z dependence
- Currently only 1 or 2-dimensional extractions available (q_t , $z_1 \times z_2$, $p_{t1} \times p_{t2}$, $z_1 \times p_{t1}$)
- Increasing asymmetries with both z and pt, but pt reach limited
- Multidimensional extractions needed



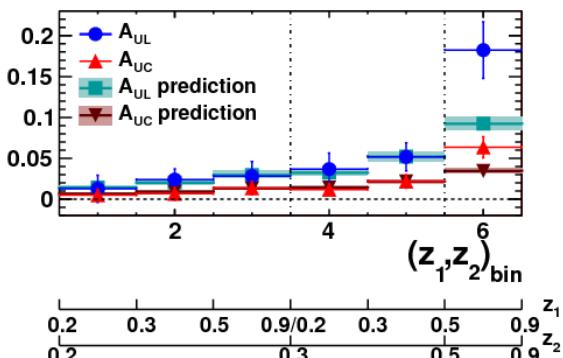
Quark transversity via Collins: Kaons

BABAR: [PRD 92 \(2015\) 111101](#)

Anselmino et al: [PRD 93 \(2016\) 034025](#)



BESIII: [PRL 116 \(2016\) 042001](#)



- Addition of kaon Collins fragmentation strongly needed for flavor decomposition of quark transversity
- Large amount of potentially participating FFs well described by light and “heavy” favored and disfavored FFs
- Allows inclusion of HERMES and COMPASS kaon asymmetries (+eventually EIC) in fits
- Also: pion Collins at lower scale(BESIII) consistent with TMD evolution

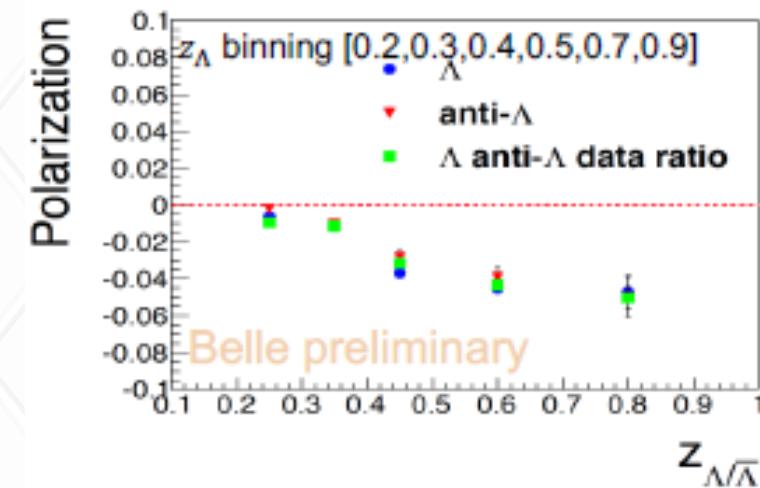
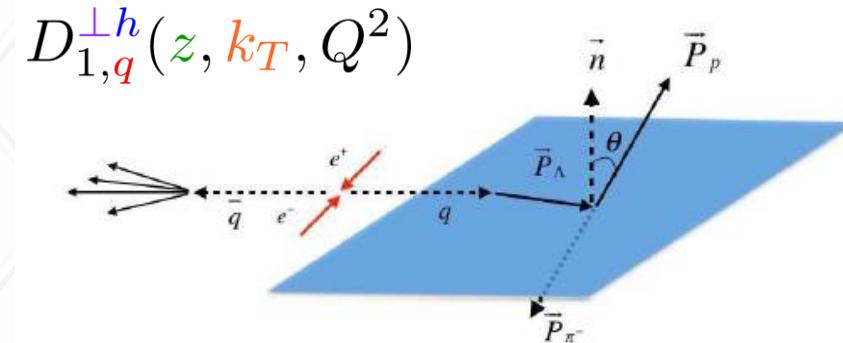
Ongoing work: Collins multidimensional analysis and Kaon combinations

- Currently revisiting kaon combinations of the Collins asymmetries
- While doing so, try to perform a full multi-dimensional analysis:
 - Consider :
 - $6(z_1) \times 6(z_2) \times 5(k_{t1}) \times 5(k_{t2}) \times 1(\text{costheta}) \times 8(\text{phi})$ for A_{12} method
 - $6(z_1) \times 6(z_2) \times 10(q_t) \times 1(\text{costheta}) \times 8(\text{phi})$ for A_0 method
 - Perform most correction steps similar to recent analyses (PID, smearing)
 - Possibly simplified smearing unfolding as each z_1-z_2 bin separately (z smearing almost nonexistent in such a binning)
 - non-qqbar removal, charm removal, ISR correction and acceptance might require introduction of nonzero MC asymmetries

Single Λ polarization measurements

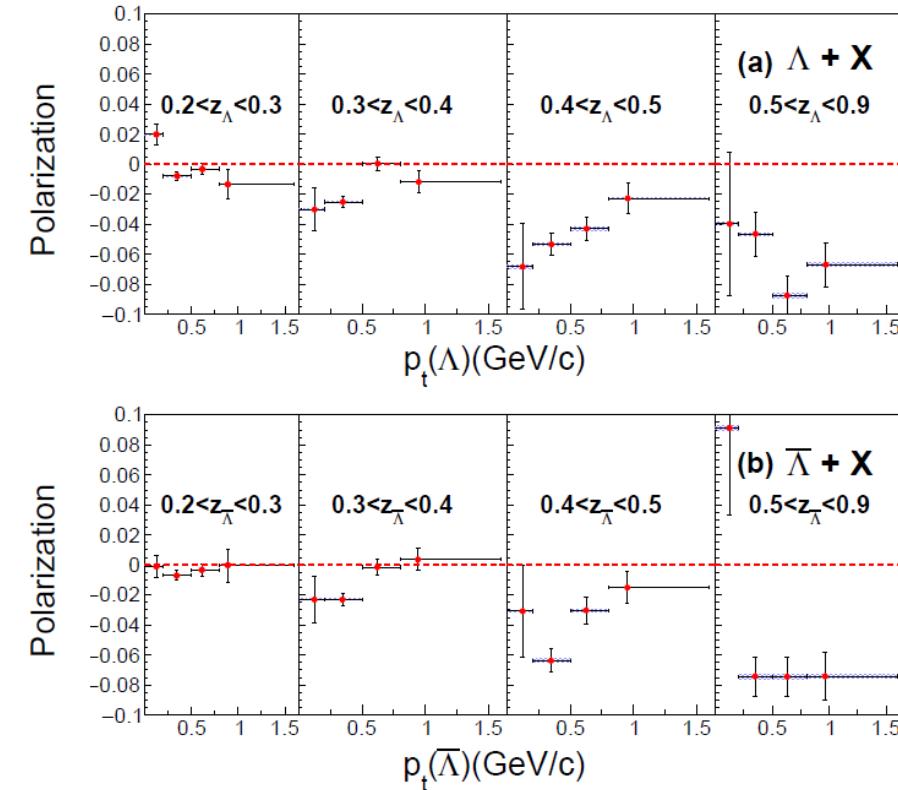
- Related to open question about Λ polarization in hadron collisions from 40 years ago!
- Fragmentation counterpart to the Sivers Function:
 - unpolarized parton fragments into transversely polarized baryon with transverse momentum wrt to parton direction
- Reconstruct Λ , its transverse momentum and polarization

YingHui Guan (Indiana/KEK):
PRL 122 (2019), 042001



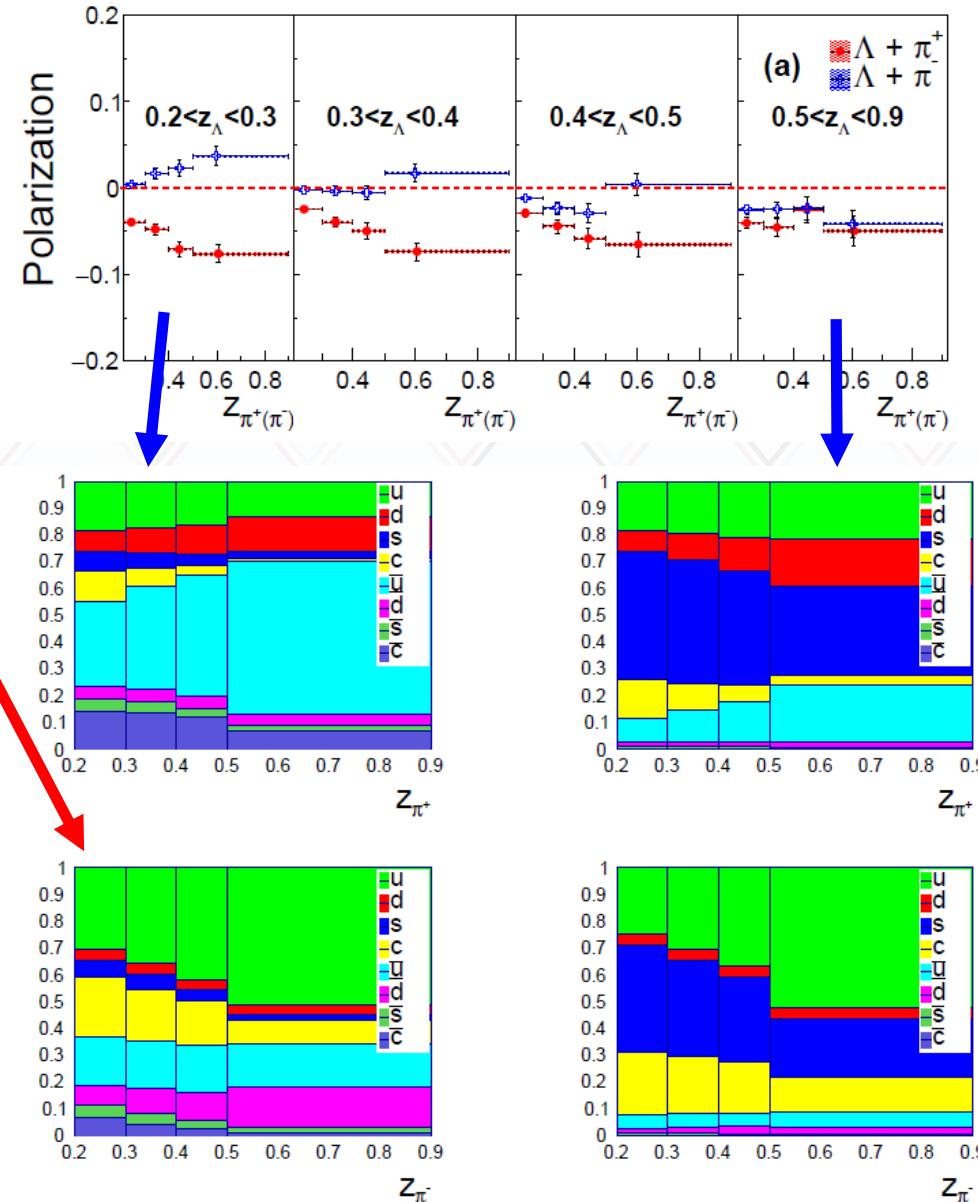
Transverse momentum dependence

- Different behavior for low and high-z :
- At low z small
- At intermediate z falling Polarization with P_t
- At high z increasing polarization with P_t



Opposite hemisphere pion correlation

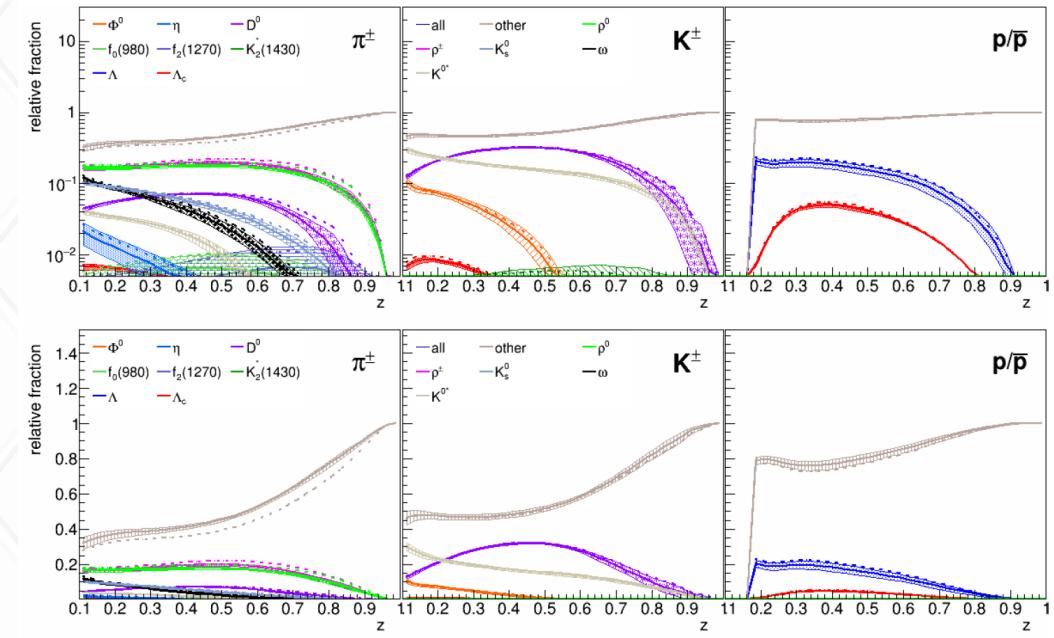
- Interesting z_π and z_Λ dependence :
- At low z_Λ light quark fragmentation dominant, some charm in $\pi^- \rightarrow$ different signs
- At high z_Λ strange + charm fragmentation more relevant \rightarrow same signs
- Several fits to data with slightly different results



Not TMD(yet) but indirectly related: Weak and strong decay feed-down

- Hadrons from Weak decays technically not part of FF definition, but often included
- Strong decays part of total sum over hadronic final state
- Both can affect the z (and transverse momentum) dependence of the detected hadrons:
 - naturally included in unpolarized MC,
 - in part added to polarized generators (\rightarrow Albi)
 - How does PHENO handle this (additional parameters?)

Decaying hadron fractions in light hadrons at $\sqrt{s} = 10.58 \text{ GeV}$ (PYTHIA6):

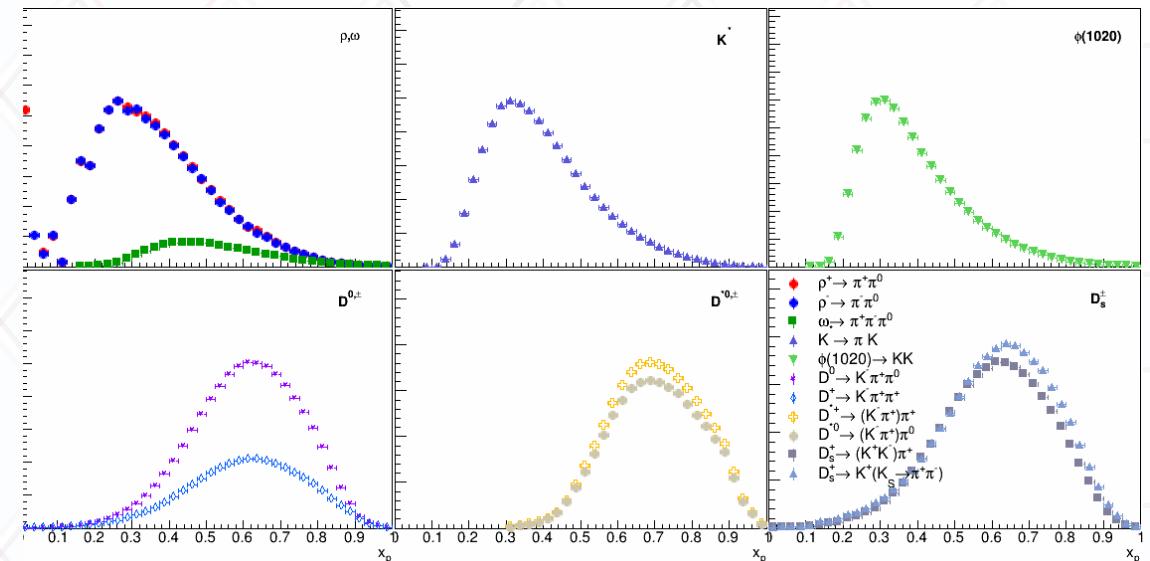


Bands: various Pythia tunes, including PARJ(11) range from 0.3-0.55
Dashed lines: default, but PARJ(11) = 0.6

Ongoing: Decaying particle FFs

- Study the explicit differential cross sections for VMs, D mesons as a function of x_p
- Mostly mass distributions and fits well-behaved, except for $\rho - \omega$ (interference) and more exotic resonances
- Also of interest for ultra high-energetic cosmic ray air shower research (muon problem)

- Example from MC at Belle energies (within Barrel acceptance):

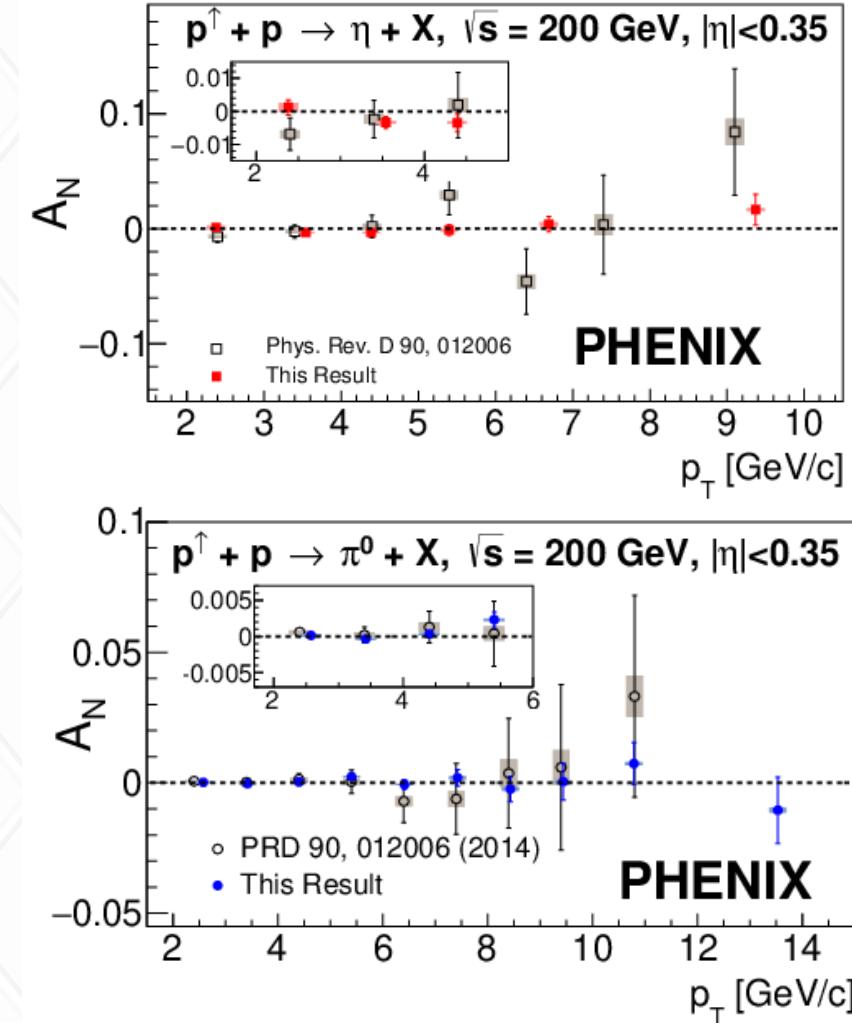


Next/other steps: PHENIX/sPHENIX and EIC

Central rapidity pion and eta A_Ns

[PRD 103 \(2021\) 052009](#)

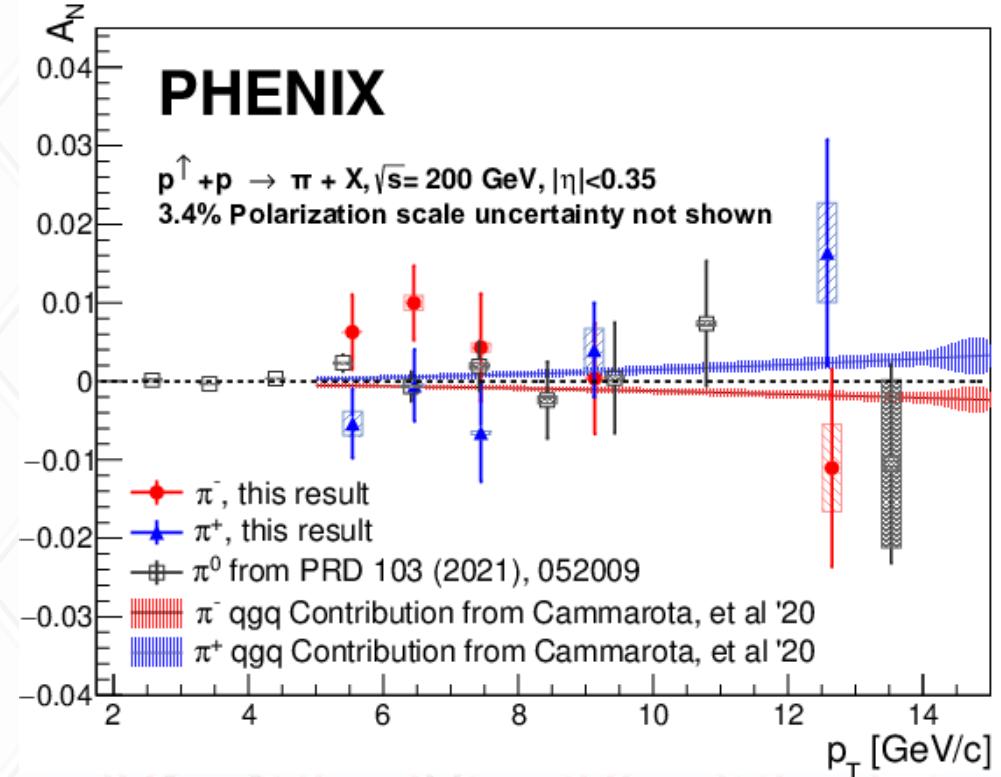
- Central asymmetries consistent with zero
- sensitive to quark-gluon and tri-gluon correlation functions in **initial and final state effects**
- Possible reasons for small asymmetries: Cancelations between flavors or initial/final state effects, lower x than forward (valence effects)
- Possible effects pushed below the 1% level
- Substantial updates for π^0 and η single spin asymmetries at central rapidity



Charged pion A_N s at mid-rapidity

- Charged pion A_N consistent with zero and π^0 results for each charge
- But indication of differences between charges seen → could be an indication of flavor dependent effect in initial (**up** vs **down** quarks) or final state ($u \rightarrow \pi^+$ vs $u \rightarrow \pi^-$)

[arXiv:2112.05680 \(accepted for PRD\)](https://arxiv.org/abs/2112.05680)



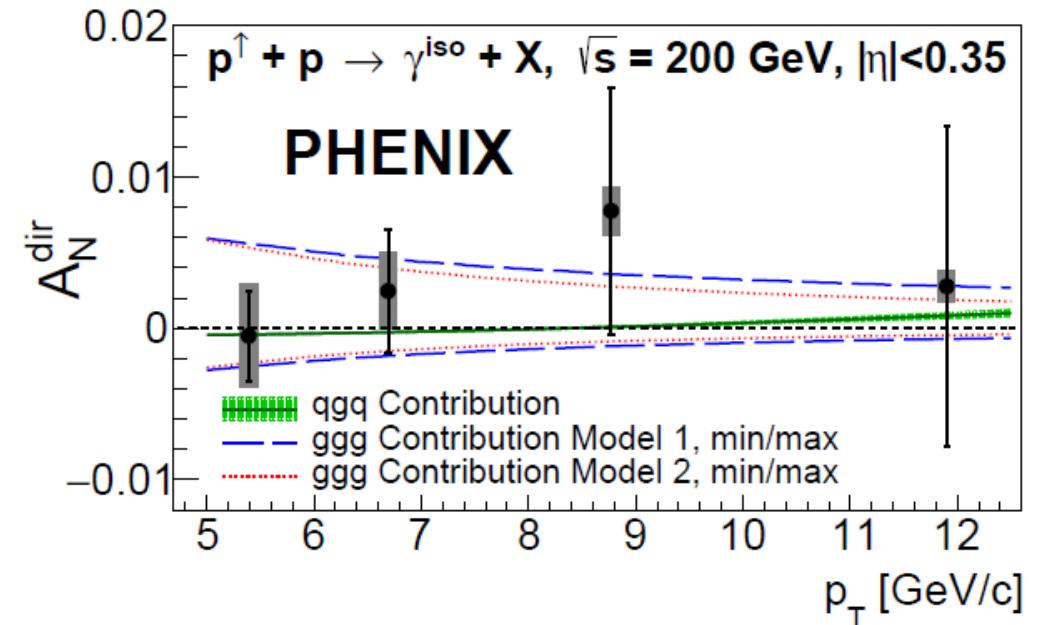
First direct photon A_N^S

- First direct photon A_N^S extracted at RHIC
- Mostly sensitive to initial state effects (no fragmentation) → quark-gluon and gluon-gluon correlation functions
- Power to constrain gluon-gluon correlation function well, since quark impact expected to be small

3/9/2022

Ralf Seidl: TMD FFs

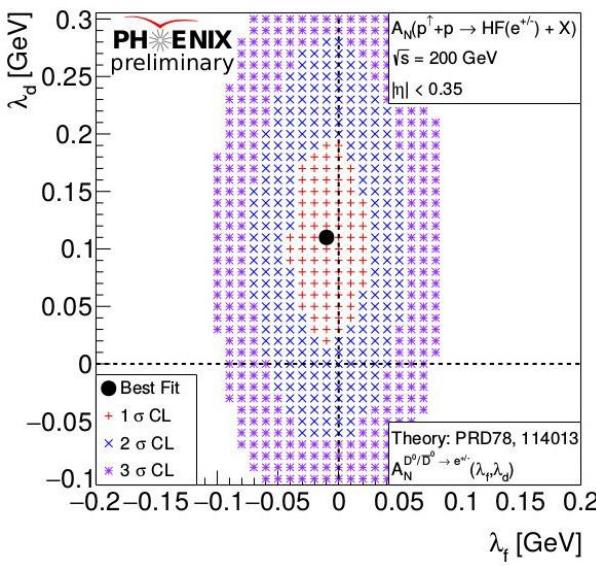
[Phys.Rev.Lett. 127 \(2021\) 162001](#)



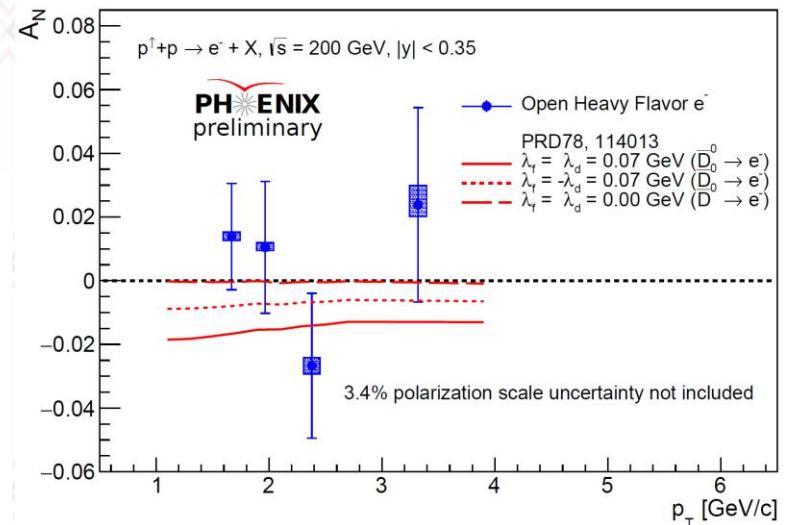
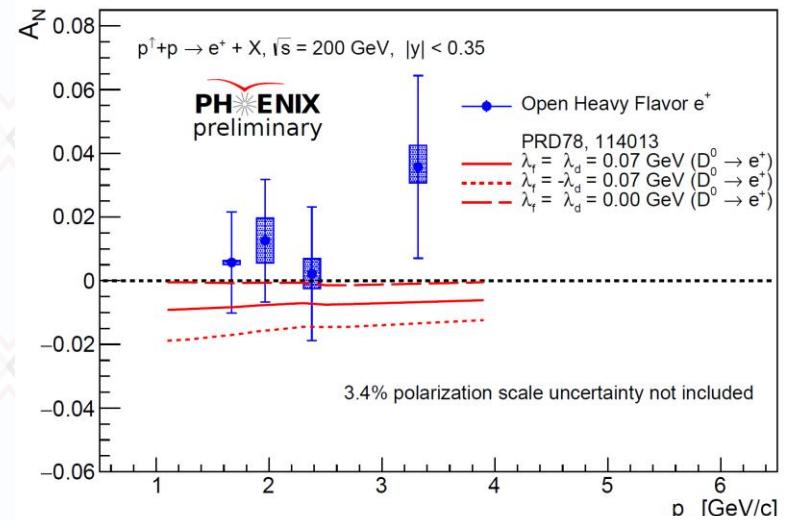
24

Heavy Flavor electron A_N s

- Sensitive to tri-gluon correlators
- Potential to constrain parameter ranges in D meson A_N theory calculations: [PRD78, 114013](#)
(Z.B. Kang, J.W. Qiu, W. Vogelsang, F. Yuan)
- Final result will have added lower P_T data points

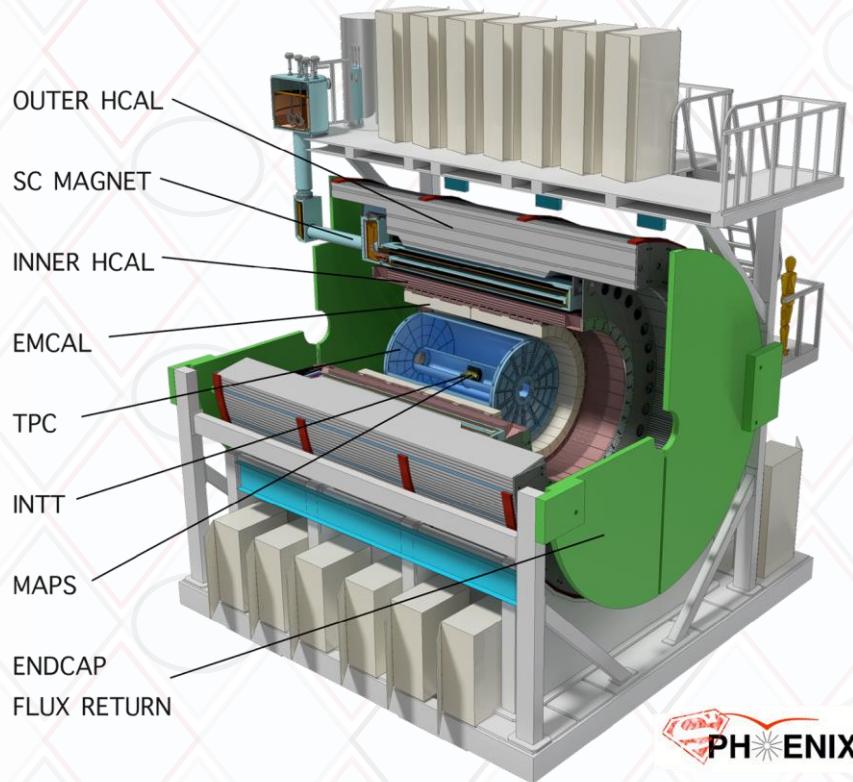


Ralf Seidl: TMD FFs



sPHENIX

- Compact detector with good Jet and tracking capabilities over large range ($|\eta| < 1.4$) using BaBar 1.5 T solenoid
- Main purpose for remaining HI physics such as jet and Upsilon state R_{AA} measurements
- Many cold-QCD possibilities



Proposed run schedule, year 1-3

sPHENIX asked to consider
20-28 week runs in 2024

- (Trans-)polarized $p + p$, $p + A$ with streaming readout for 28 weeks in Run24
- But short Run24 would endanger the $p + A$ data!

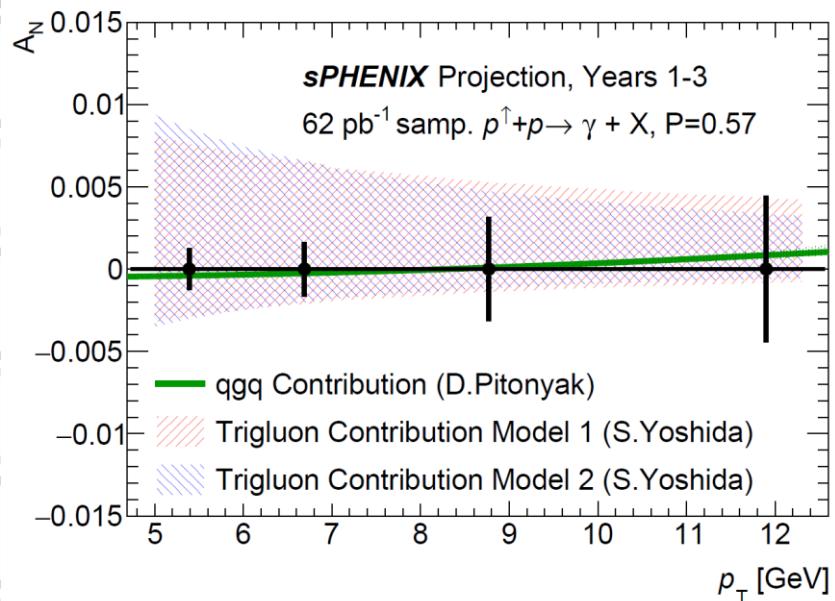
[sPHENIX BUP2021 \[sPH-TRG-2021-001\]](#), 24 (& 28) cryo-week scenarios

Year	Species	$\sqrt{s_{NN}}$ [GeV]	Cryo Weeks	Physics Weeks	Rec. Lum. $ z < 10 \text{ cm}$	Samp. Lum. $ z < 10 \text{ cm}$
2023	Au+Au	200	24 (28)	9 (13)	$3.7 (5.7) \text{ nb}^{-1}$	$4.5 (6.9) \text{ nb}^{-1}$
2024	$p^{\uparrow} p^{\uparrow}$	200	24 (28)	12 (16)	$0.3 (0.4) \text{ pb}^{-1} [5 \text{ kHz}]$ $4.5 (6.2) \text{ pb}^{-1} [10\%-str]$	$45 (62) \text{ pb}^{-1}$
	$p^{\uparrow} + \text{Au}$	200	-	5	$0.003 \text{ pb}^{-1} [5 \text{ kHz}]$ $0.01 \text{ pb}^{-1} [10\%-str]$	0.11 pb^{-1}
2025	Au+Au	200	24 (28)	20.5 (24.5)	$13 (15) \text{ nb}^{-1}$	$21 (25) \text{ nb}^{-1}$

Gluon dynamics via γ , HF TSSA

[sPHENIX BUP2021 \[sPH-TRG-2021-001\]](#)

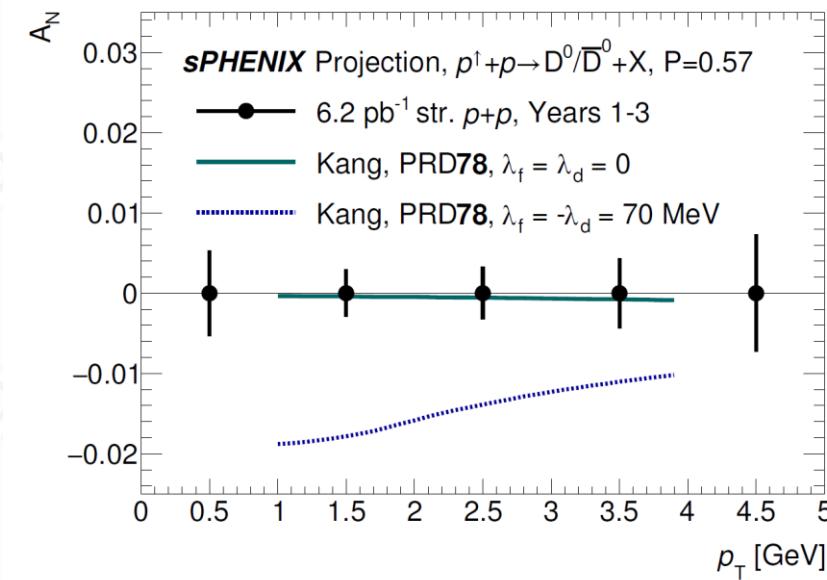
TSSA of prompt photon EMCal-based trigger



3/9/2022

Ralf Seidl: TMD FFs

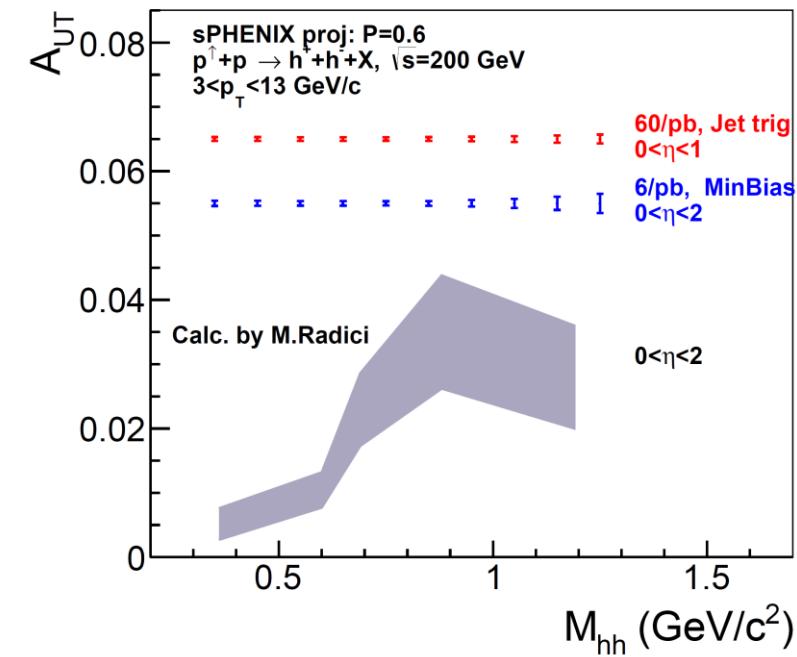
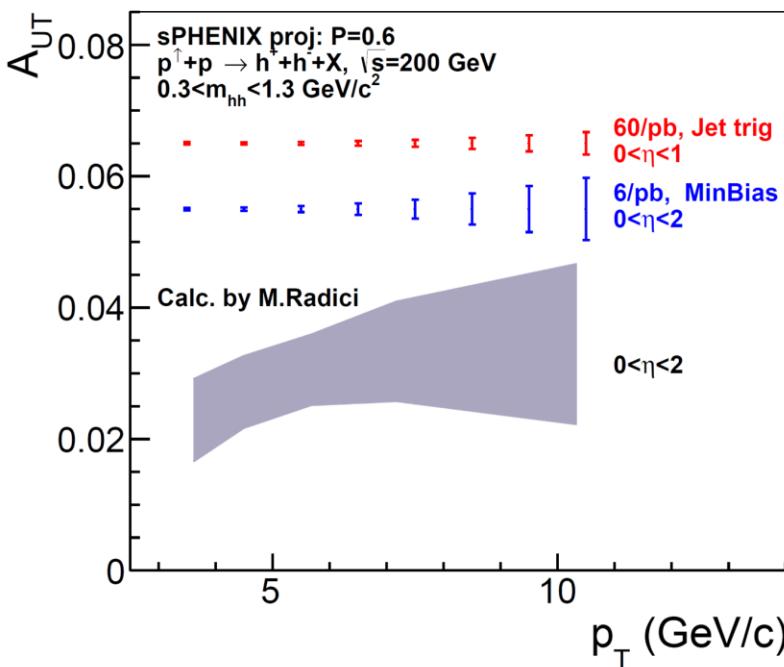
TSSA of prompt $D^0 \rightarrow \pi K$ Enabled by streaming readout



28

Transversity via charged particle IFF

- Tremendous stat. enabled by both calorimetric **jet trigger** and **streaming readout**
- Need theory collaboration in the treatment of no-PID charged tracks & multi-dim binning
- Similarly: Sensitivity via Collins fragmentation function (hadron in jet measurements)



Summary

- Many Belle/Babar/BES3 TMD fragmentation related measurements available:
 - Unpolarized single hadrons wrt thrust axis
 - Collins asymmetries (kt dependent, kaons)
 - Polarizing L fragmentation
- More measurements on going for:
 - Other venues for unpolarized TMDs (opposite hemisphere dihadrons, hadron in jet)
 - Multi-dimensional extractions of Collins asymmetries
 - Other studies ongoing on the fragmentation of VMs and Ds
- study of quark/gluon dynamics at PHENIX/sPHENIX also closely related to TMD FFs