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Theory and phenomenology of TMDs: progress and open questions



Correlations in Partonic and Hadronic Interactions

March 7, 2022

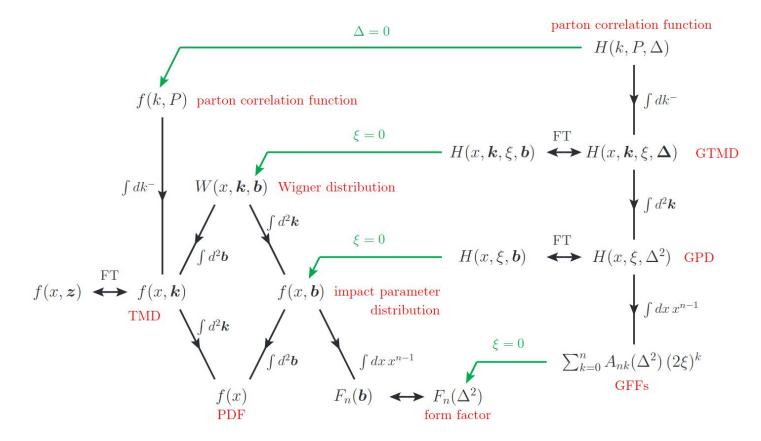
1. Theory

Outline

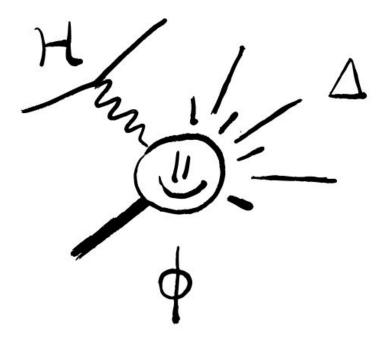
2. Phenomenology

3. (Computational tools)

A beautiful landscape



Credit picture: M. Diehl - [arXiv 1512.01328]



Theory

Quarks

Drell-Yan / Z / W production (hh)

Semi-Inclusive DIS (eh)

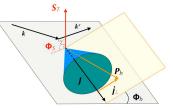
2h-inclusive e+e- annihilation



Hadron **"in jet"**: (eh, hh, e+e-)

(e.g. jet SIDIS, di-jet SIDIS)

Jets:



X

 q_{μ}

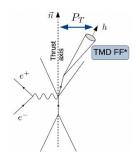
www

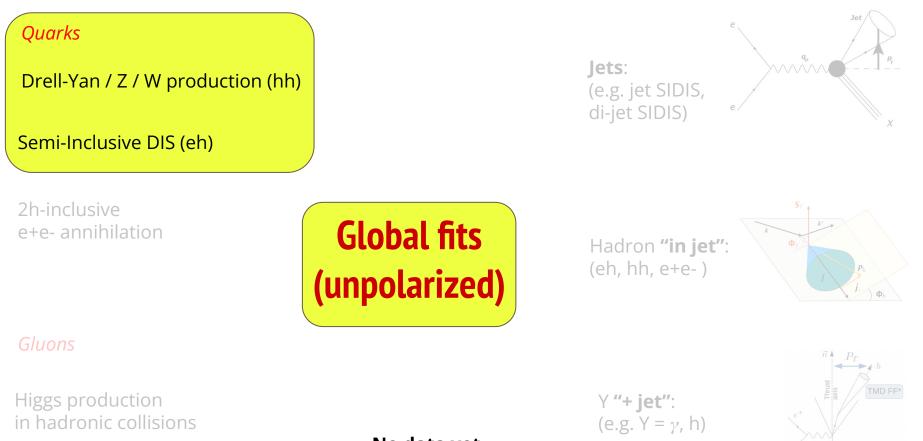
Gluons

Higgs production in hadronic collisions

Quarkonium production (e.g. $\eta_{b,c}$ in hadronic collisions)

Y **"+ jet"**: (e.g. Y = γ, h)





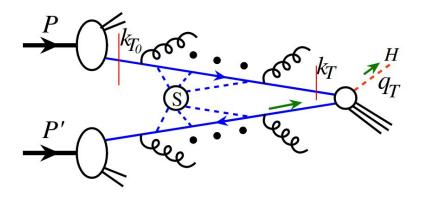
Quarkonium production ($\eta_{b,c}$) in hadronic collisions

No data yet (or not enough) for the other processes!

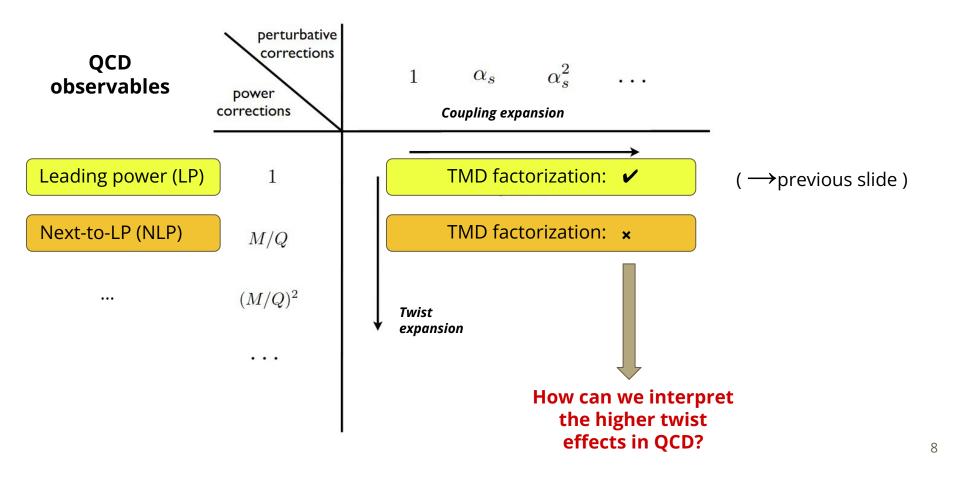
TMD factorization $q_T \ll Q$ $pp \longrightarrow \gamma^{\cdot} / Z \longrightarrow l \bar{l} + X$

 $\frac{d\sigma}{dq_T} \sim \mathcal{H} f_1(x_a, k_{Ta}, Q, Q^2) f_1(x_b, k_{Tb}, Q, Q^2) \,\delta^{(2)} \big(q_T - k_{Ta} - k_{Tb}\big) + \mathcal{O}(q_T/Q) + \mathcal{O}(\Lambda/Q)$

- TMDs & partonic cross section: same IR poles = same non-perturbative physics
- **observed transverse momentum** : transverse momenta of **quarks**
- quark transverse momentum : **radiative** (perturbative) and **intrinsic** (non-perturbative) components
- Renormalization = **evolution** equations tell us how to distinguish between the two



Sub-leading power (twist)



Sub-leading power (twist)

Transverse momentum dependent operator expansion at next-to-leading power

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ABSTRACT: We develop a method of transverse momentum dependent (TMD) operator expansion that yields the TMD factorization theorem on the operator level. The TMD operators are systematically ordered with respect to TMD-twist, which allows a certain separation of kinematic

and genuine power corrections. The process dependence enters via the boundary conditions for the background fields. As a proof of principle, we derive the effective operator for hadronic tensor in TMD factorization up to the next-to-leading power ($\sim q_T/Q$) at the next-to-leading order for any process with two detected states.

"Derivation of hadronic tensor in TMD factorization at NLP and NLO"

Sub-leading power (twist)

Factorization for Azimuthal Asymmetries in SIDIS at Next-to-Leading Power

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ABSTRACT: Differential measurements of the semi-inclusive deep inelastic scattering (SIDIS) process with polarized beams provide important information on the three-dimensional structure of hadrons. Among the various observables are azimuthal asymmetries that start at subleading power, and which give access to novel transverse momentum dependent distributions (TMDs). Theoretical predictions for these distributions are currently based on the parton model rather than a rigorous factorization based analysis. Working under the assumption that leading power Glauber interactions do not spoil factorization at this order, we use the Soft Collinear Effective Theory to derive a complete factorization formula for power suppressed hard scattering effects in SIDIS. This yields generalized definitions of the TMDs that depend on two longitudinal momentum fractions (one of them only relevant beyond tree level), and a complete proof that only the same leading power soft function appears and can be absorbed into the TMD distributions at this order. We also show that perturbative corrections can be accounted for with only one new hard coefficient. Factorization formulae are given for all spin dependent structure functions which start at next-to-leading power. Prospects for improved subleading power predictions that include resummation are discussed.

https://inspirehep.net/literature/1991138

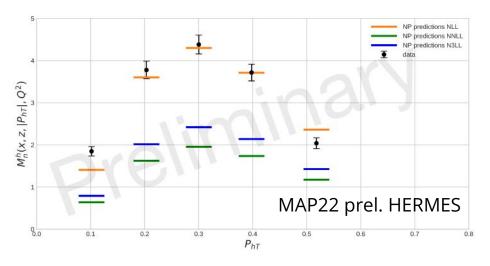
Factorization for SIDIS at NLP, with one strong assumption

Only the same LP soft function appears

Only one extra hard function at NLP

(two out of the most recent papers, but not the only ones available)

Normalization issues



Small transverse momentum

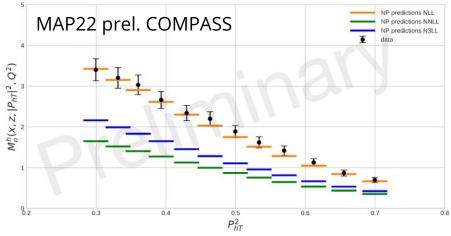
Beyond the NLL, the **theoretical** prediction is **way too low**

Who to blame:

- hard function (large coeffs.)
- low Q

But **no consensus** in literature, even about the problem

- **SV 19** : *not seen;* power corrections from the start?
- MAP 22 : power corrections from pre-computed normalization coefficients



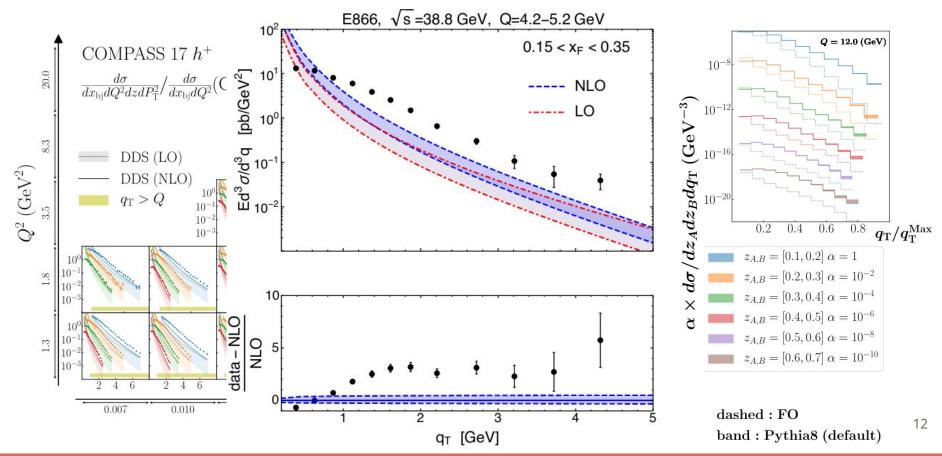
Normalization issues

https://inspirehep.net/literature/1723777

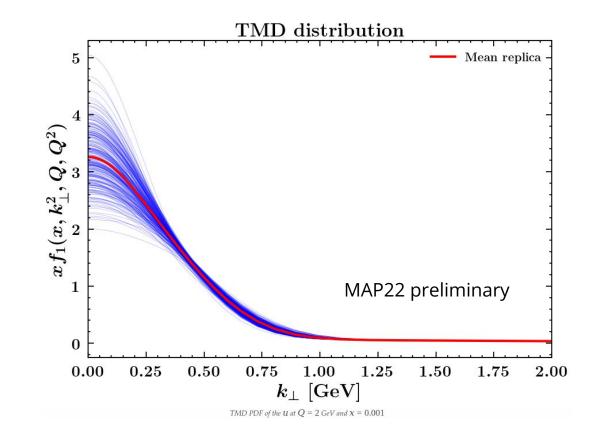
https://inspirehep.net/literature/1716140



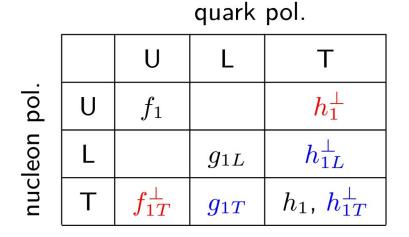
https://inspirehep.net/literature/1752934



TMDs & recent phenomenology



TMD PDFs for quarks in nucleon



$$egin{array}{ll} \Phi_{ij}(k,P) \,=\, {
m F.T.} ig\langle P \Big| \, \overline{\psi_j}(0) \, U \, \psi_i(\xi) \Big| P ig
angle \end{array}$$

At leading twist: 8 TMD PDFs

(similar classification for gluons and for FFs)

- Black: time-reversal even AND collinear
- Blue: time-reversal even
- **Red**: time-reversal odd (*process dependence*)

The **symmetries of QCD** play a crucial role in this classification

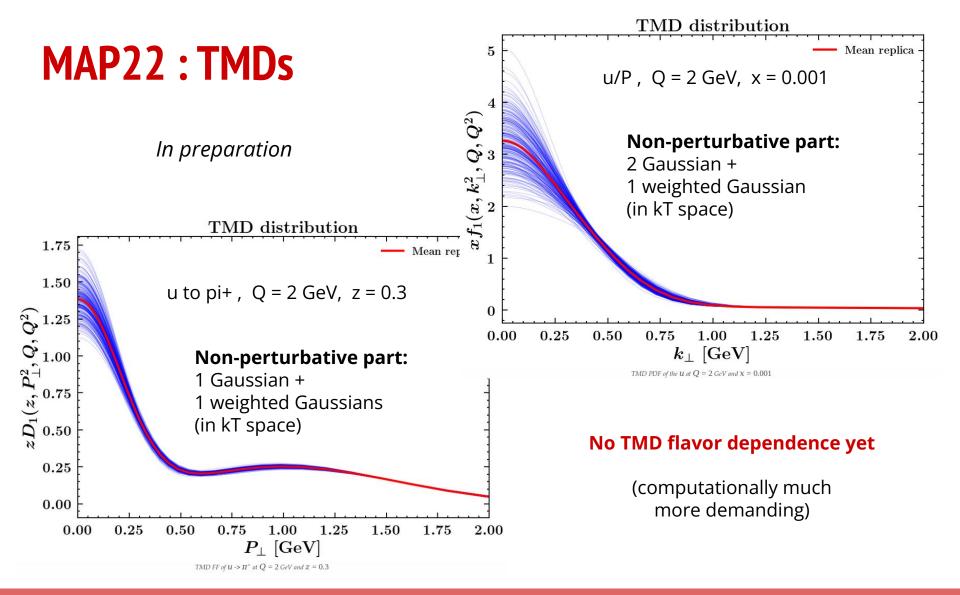
$$\begin{aligned} & \textbf{OCD evolution of a TMD PDF} \\ F_a(x, b_T^2; \mu, \zeta) &= F_a(x, b_T^2; \mu_0, \zeta_0) & \rightarrow \text{TMD distribution} \\ & \times & \exp\left[\int_{\mu_0}^{\mu} \frac{d\mu'}{\mu'} \gamma_F\left(\alpha_s(\mu'), \frac{\zeta}{\mu'^2}\right)\right] & \rightarrow \text{ evolution in } \mu \end{aligned}$$

$$\begin{aligned} & \textbf{Calculable in pQCD} \\ & \times & \left(\frac{\zeta}{\zeta_0}\right)^{-\left[\underbrace{D(b_T\mu_0, \alpha_s(\mu_0))}{\gamma} + g_K(b_T; \lambda)\right]} & \rightarrow \text{ evolution in } \zeta \end{aligned}$$

$$\begin{aligned} & \textbf{Non-pert. corrections} \\ & (large bT) \\ & \textbf{F}_a(x, b_T^2; \mu_0, \zeta_0) &= \sum_b \underbrace{C_{a/b}(x, b_T^2, \mu_0, \zeta_0)}_{b} \otimes \underbrace{f_b(x, \mu_0)}_{F_{NP}(b_T; \lambda)} \end{aligned}$$

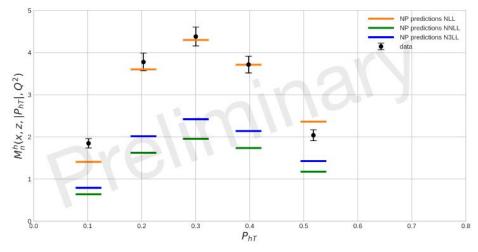
$$\begin{aligned} & \textbf{Prior knowledge} \\ & \textbf{assumed (?)} \end{aligned}$$

See e.g. <u>https://inspirehep.net/literature/1785810</u> (but also JCC book and many other references)



MAP22 : SIDIS normalization at low q_{τ}

In preparation



 $\frac{\overline{dxdQ^2dz}}{\int Wd^2\boldsymbol{a}}$ w(x, z, Q)

Beyond NLL:

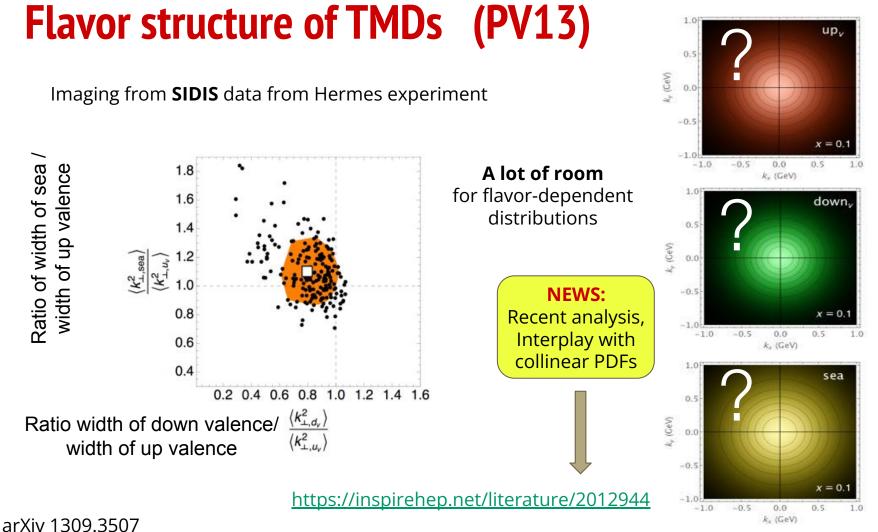
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• The integral over qT of **SIDIS TMD** cross section **does not** yield the **collinear** cross section

The hard part **heavily suppresses** the TMD cross section

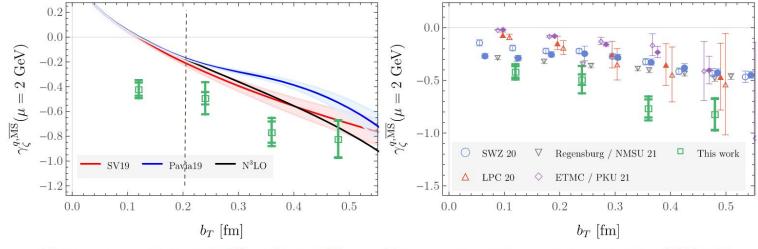
We **enhance** the predictions with this factor that **restores** the correct normalization

Effectively a ~1/Q correction & no dependence on fit parameters



Lattice input: non-perturbative evolution

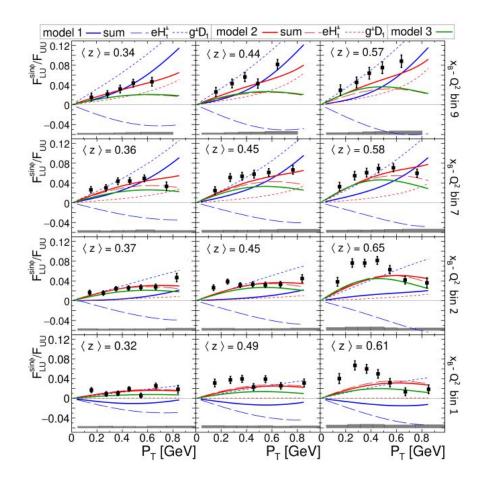
Lattice QCD can also calculate some of the quantities that we are trying to extract from experimental data: e.g. **gK**



(a) Comparison with the SV19 4 and Pavia19 5
 phenomenological parameterizations and the
 next-to-next-to-leading order (N³LO) perturbative
 result 42, 43.

(b) Comparison with quenched results of Ref. 19 (SWZ), as well as results from the LPC 20, Regensburg/NMSU 21, and ETMC/PKU 22 collaborations. Different sets of points with the same color show different sets of results from the same collaboration.

Higher twist: beam-spin asymmetry @ CLAS12



Among the first CLAS12 publications

https://inspirehep.net/literature/1840207

Models can reproduce at least the size of the signal (not always the sign)

Higher twist: beam-spin asymmetry

$$F_{LU}^{\sin\phi_h} = \frac{2M}{Q} \mathcal{C} \left[-\frac{\hat{\boldsymbol{h}} \cdot \boldsymbol{k}_T}{M_h} \left(x \underline{e} \underline{H}_1^\perp + \frac{M_h}{M} \underline{f}_1 \frac{\underline{\tilde{G}}^\perp}{z} \right) \right]$$

$$+\frac{\hat{\boldsymbol{h}}\cdot\boldsymbol{p}_{T}}{M}\left(\underline{x}\underline{g}^{\perp}\underline{D_{1}}+\frac{M_{h}}{M}\,\underline{h}_{1}^{\perp}\frac{\underline{\tilde{E}}}{z}\right)$$

Leading twist parts:

- f1, D1 : known with good precision from global anaylises
- Collins and Boer-Mulders : accessible and partially known



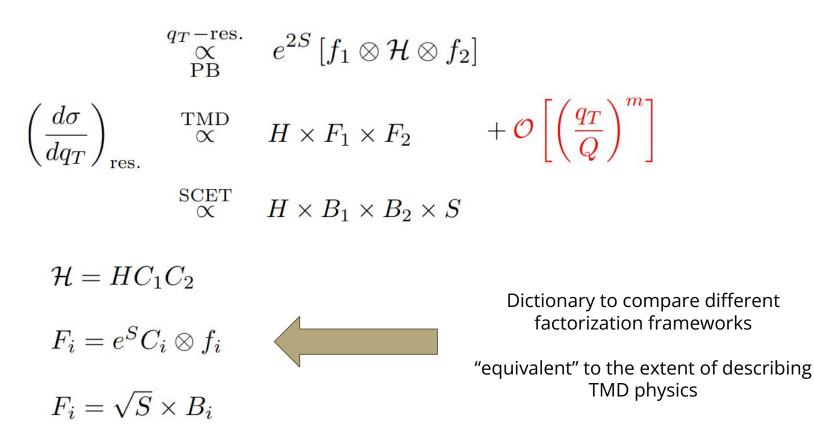
We can tackle the **higher twist** parts

TMD factorization at **NLP** (see the theory section)

Tools for TMD physics



Different frameworks, same observable





SCETlib [https://confluence.desy.de/display/scetlib]

CuTe [https://cute.hepforge.org/]

SCET

TMD factorization

arTeMiDe [https://teorica.fis.ucm.es/artemide/]

Nanga Parbat [https://github.com/MapCollaboration/NangaParbat]

DYRes/DYTurbo, DYqT, etc. [https://gitlab.cern.ch/DYdevel/DYTURBO]

ReSolve [https://github.com/fkhorad/reSolve]

ResBos [https://resbos.hepforge.org/]

qT resummation

Parton branching

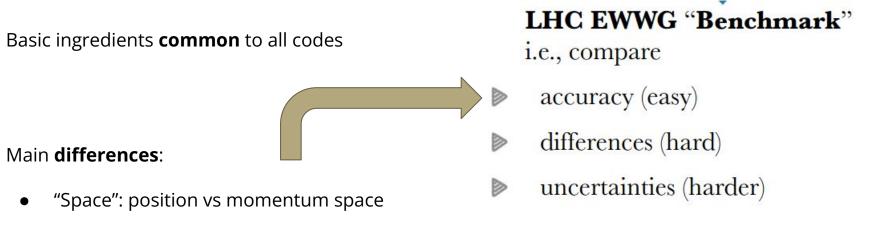
RadISH [https://arxiv.org/pdf/1705.09127.pdf]

PB-TMDs [https://arxiv.org/pdf/1906.00919.pdf]

G. Bozzi at SarWors 2021 - https://agenda.infn.it/event/27742/



Excellent accuracy **BUT** only unpolarized and leading twist!



- Perturbative QCD: PDF evolution, scale variation, matching with fixed-order
- Non-perturbative QCD: treatment of Landau pole, intrinsic-kT



https://inspirehep.net/literature/1852038

https://tmdlib.hepforge.org/

TMDlib is hosted by Hepforge, IPPP Durham

- Home
- TMDplotter
- Source Code Download
- PDF sets (names)
- PDF sets Download (New)
- Updates/News
- Source Code Download (Old)
- TMD-Project
- CCFM uPDF evolution code
- Contact

TMDlib

TMDlib2 and TMDplotter: a platform for 3D hadron structure studies

NEW manual released 2103.09741

- TMDplotter
- Download source from TMDlib 2.X
- Download source from TMDlib 1.X
- Any questions or comments should be directed to tmdlib@projects.hepforge.org.
- TMDlib1 Doxygen Documentation

TMDlib

PB TMDs, etc

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4 [63]	4) ccfm-setA0	101010			
4 [63]	4) ccfm-setB0	101020			
1 64	1	ccfm-JH-set1	101001			
1 64	1	ccfm-JH-set2	101002			
1 64	1	ccfm-JH-set3	101003			
13 [65]	13	ccfm-JH-2013-set1	101201			
13 65	13	ccfm-JH-2013-set2	101301			
1 66	1	MD-2018	101401			
1 67	1) KLSZ-2020	101410			
35 43	35) PB-NLO-HERAI+II-2018-set1	102100			
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isot LuPDE/TMD sot

Cubcata | Daf

https://inspirehep.net/literature/1852038

https://tmdlib.hepforge.org/

TMD factorization:

Unpolarized TMDs (PV13, PV17, SV19) Sivers TMD PDF (PV20, BPV20)

SIDIS structure functions (PV17, PV20)

Event generators

Based on TMDs:

- Cascade (PB TMDs) [https://cascade.hepforge.org/]
- gmctrans/TMDgen
 - parton model level TMDs
 - includes polarization and higher twist, but no evolution: too primitive for EIC?
 - semi-inclusive

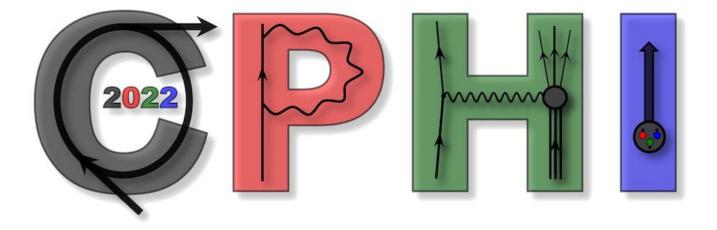
[https://wiki.bnl.gov/eic/index.php/Gmc_trans]

Hermes collaboration + independent work]

Exclusive generators with transverse momentum effects

- Pythia [https://pythia.org/]
- Herwig [https://herwig.hepforge.org/]
- Geneva [https://stash.desy.de/projects/GENEVA]

...



Enjoy the workshop!



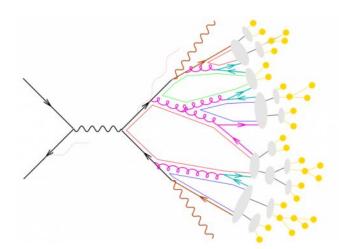
U. of Pavia, 23-27 May 2022

https://agenda.infn.it/event/19219/



Hadronization and fragmentation functions (FFs)

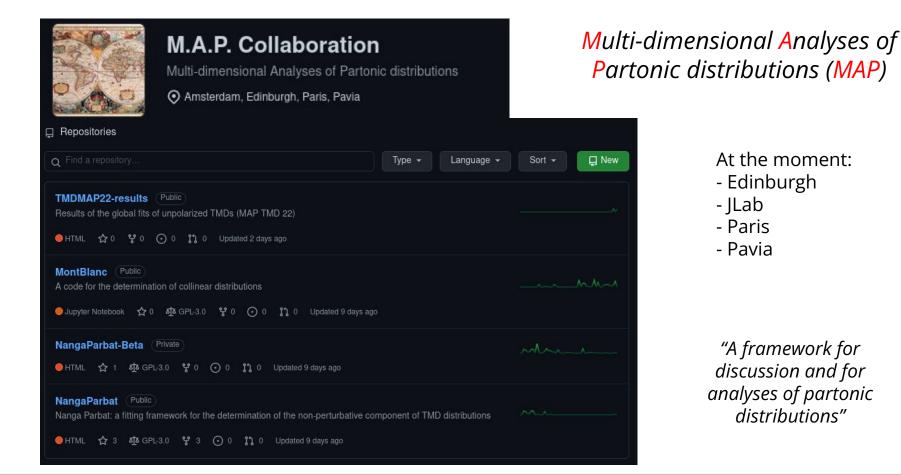
"Maps" of hadron formation in momentum space



 $D_1^h(z)$ single-hadron collinear FF single-hadron TMD FF $D_1^h(z, P_T^2)$ $D_1^{\,h_1\,h_2}(z,\zeta)$ di-hadron FF J(s)inclusive jet FF $\mathcal{G}^h(s,z)$ in-jet FF

MAP collaboration

https://github.com/MapCollaboration



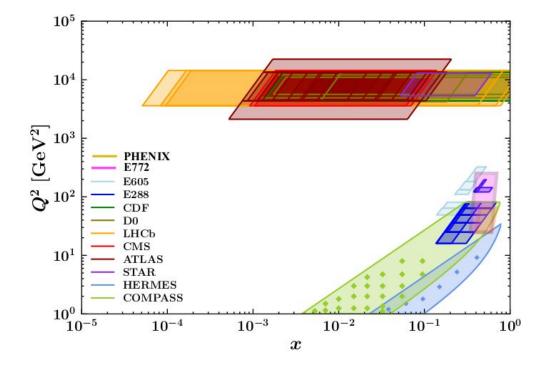
A selection of recent fits

		Framework	HERMES	COMPASS	DY	Z production	N of points	χ^2/N_{points}
	Pavia 2017 arXiv:1703.10157	NLL	2	۲	2	2	8059	1.55
	SV 2017 arXiv:1706.01473	NNLL'	×	×	2	2	309	1.23
	BSV 2019 arXiv:1902.08474	NNLL'	×	×	2	٢	457	1.17
	SV 2019 arXiv:1912.06532	NNLL'	2	٢	2	۲	1039	1.06
2	Pavia 2019 arXiv:1912.07550	N ³ LL	×	×	2	2	353	1.02

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"MAP22" fit : kinematic coverage

In preparation



"*Global*" fit of *unpolarized TMDs* at *N3LL* accuracy

Drell-Yan/Z and SIDIS data

2031 data 21 parameters

Global chi2: 1.00

MAP22 : TMD region

https://inspirehep.net/literature/2021571

TMD region COMPASS 20.0 ${}^{4}N_{10}$ 10^{-2} 8.3 0.01.01.5 q_T/Q $Q^2 ({
m GeV}^2)$ 0.5 1.0 0.5 1.0 1.8 0.5 1.0 $0.24 < z_h < 0.30$ $0.30 < z_h < 0.40$ 1.3 $0.40 < z_h < 0.50$ $0.65 < z_h < 0.70$ 0.0 0.5 1.0 0.0 0.5 1.0 0.0 0.5 1.0 0.0 0.5 1.0 0.5 1.0 0.0 0.007 0.016 0.03 0.040.150.270.0100.07 $x_{
m Bi}$

Approximate region included in MAP22 fit

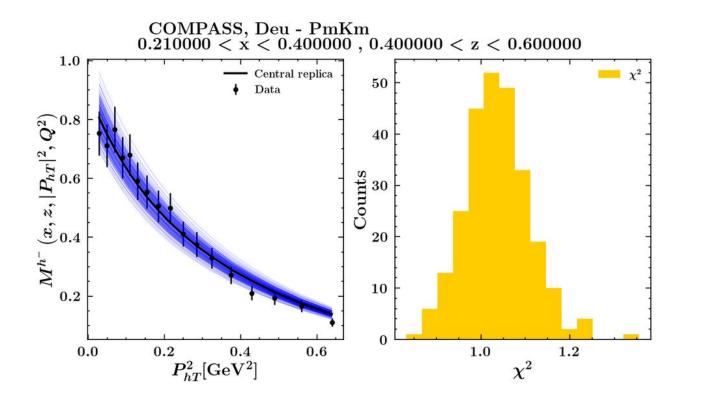
MAP22 implementation of TMD region for SIDIS:

see A. Bacchetta, recent "CLAS collaboration meeting"

qT < Q at most

MAP22 : comparison with data

In preparation



300 Monte Carlo replicas (bootstrap)

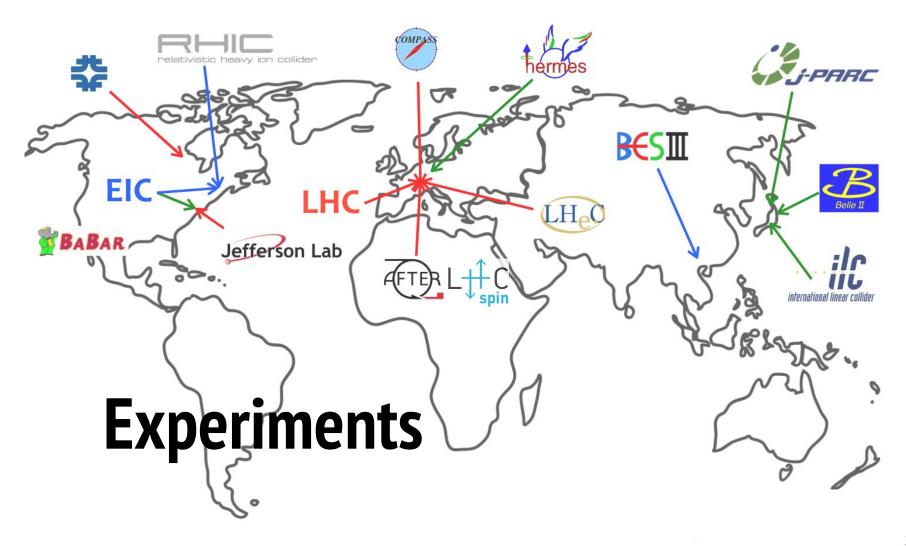
SIDIS data: overall satisfactory

Drell-Yan data: major problems with ATLAS data

The normalization coefficients play a crucial role **BUT** they do **not** depend on q_{τ} and the fit parameters

Conclusions and outlook

- We are working hard to build "maps" of hadron structure and formation: parton distribution and fragmentation functions and the like, connected to fundamental properties of QCD
- Crucial input is provided by experiments. The Electron-Ion Collider is the next experimental frontier of QCD and will provide us with a wealth of information: we have to be ready for that!
- Which tools for TMD physics are most needed?
 How can theorists and experimentalists work together to develop these tools?
- 4. Can we define **"best practices"** for these tools? Standard formats, availability, etc.?





See https://inspirehep.net/literature/1801417

2020 PDFLATTICE REPORT

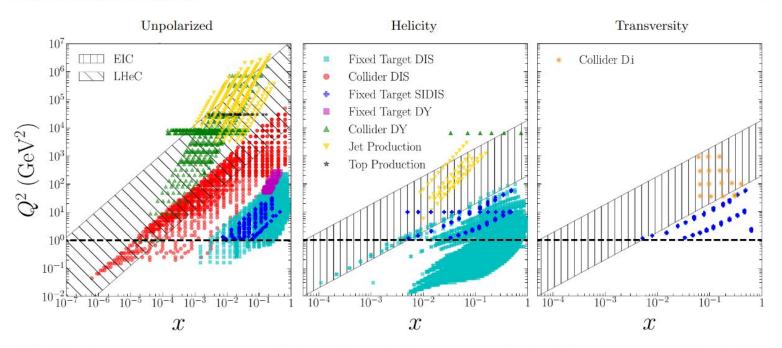
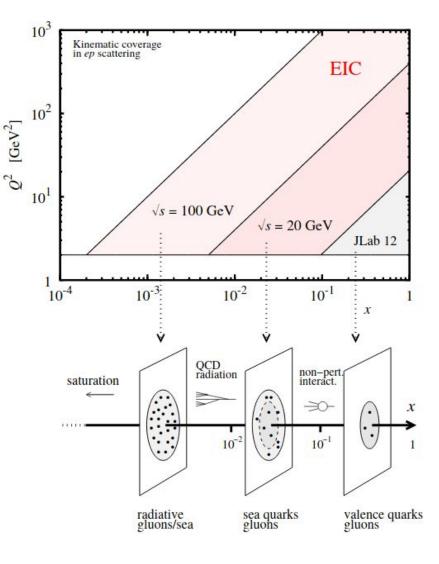


FIG. 1 The kinematic coverage in the (x, Q^2) plane of the hadronic cross-section data for the processes commonly included in global QCD analyses of collinear unpolarized, helicity, and transversity PDFs. The extended kinematic ranges attained by the LHeC and the EIC are also displayed. See Fig. 1 of Ref. (Ethier and Nocera, 2020) for unpolarized nuclear PDFs.

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SIDIS coverage

Importance of complementary experiments

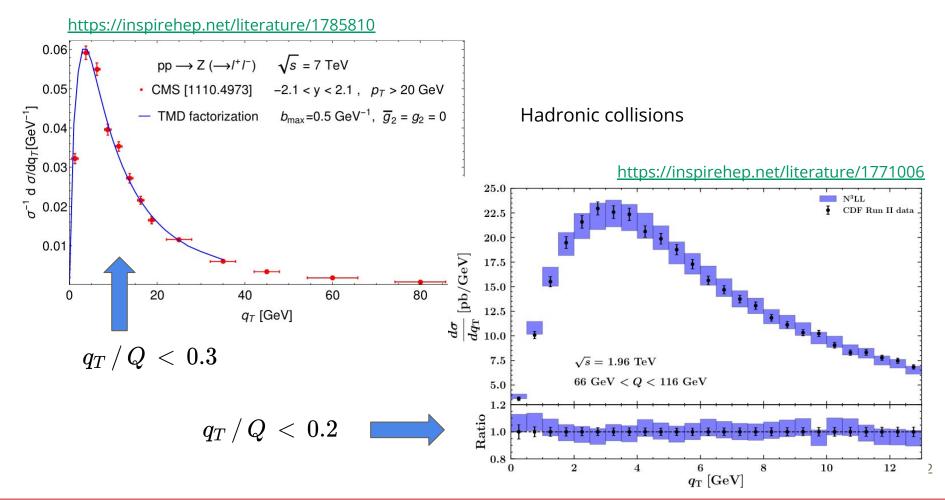


from JLab 12 GeV, Hermes, Compass to the EIC

zooming into hadron structure

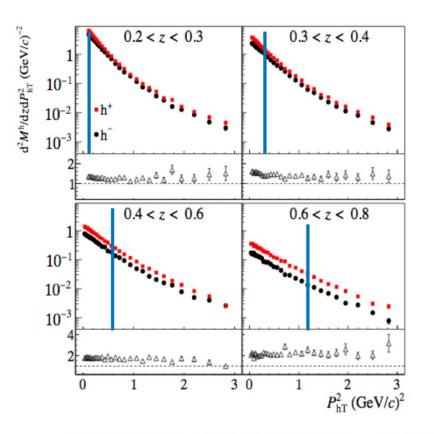
TMD region: low transverse momentum





TMD region: low transverse momentum





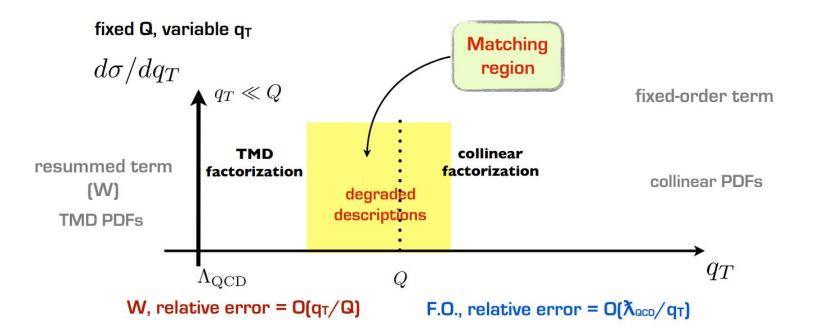
SIDIS - TMD region
$$P_{hT}^2/z^2 \ll Q^2$$

Let's highlight $P_{hT}^2/z^2 \sim 0.25 \ Q^2$

One of the bins with highest Q: $\begin{array}{l} \langle Q^2 \rangle = 9.78 \,\, {\rm GeV}^2 \\ \langle x \rangle = 0.149 \end{array}$

COMPASS unpolarized SIDIS multiplicities - arxiv 1709.07374

Matching TMD and collinear factorization



Matching schemes

• "Subtraction" schemes :

cross section = W + (FO - ASY) = W + Y

cross section = W * FO / ASY

At low Q (e.g. SIDIS) these cancellations do not work well as expected

• "Average" scheme : AS et al. <u>https://inspirehep.net/literature/1646273</u>

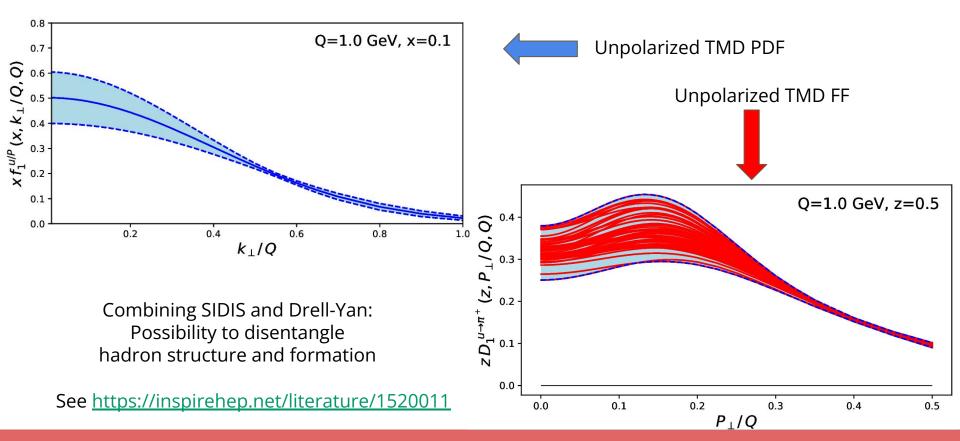
Cross section = **a W** + **b FO**

a, b : weights related to power corrections to factorization theorems

(weighted average scheme: model-dependence better under control)

Unpolarized TMDs: PV17

Imaging from **SIDIS** data (Hermes and Compass) and **Drell-Yan** data (fixed-target & Z production @ Fermilab)



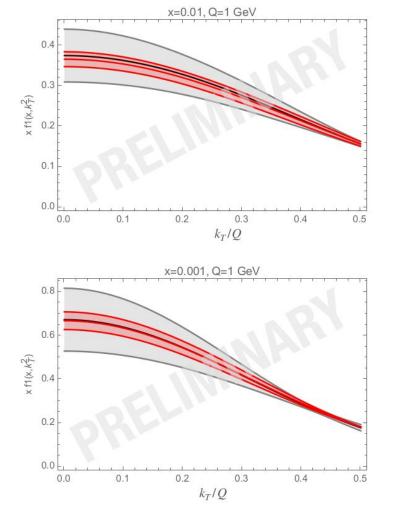
TMD impact studies: PV17

200 replicas are compared with pseudodata

$$\chi_k^2 = \chi_{k,\rm EIC}^2 + \chi_{k,\rm PV17}^2$$
 foriginal' χ^2 with respect to PV17 data weights $w_k \propto \mathcal{P}(f_k|\chi_k) \propto \chi_k^{n-1} e^{-\frac{1}{2}\chi_k^2}$

Reweighting technique (no fit of EIC pseudo-data)

(see C. Bissolotti's talk at DIS 2021)

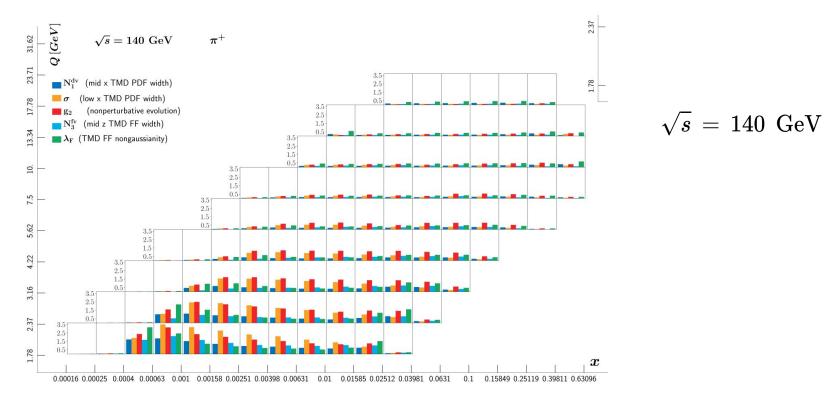


TMD impact studies: PV17

(see C. Bissolotti's talk at DIS 2021)

 $S[f_i, \mathcal{O}] = \frac{\langle \mathcal{O} \cdot f_i \rangle - \langle \mathcal{O} \rangle \langle f_i \rangle}{\delta \mathcal{O} \Delta f_i}$

O: e.g. a SIDIS structure function fi : the non-perturbative TMD parameters

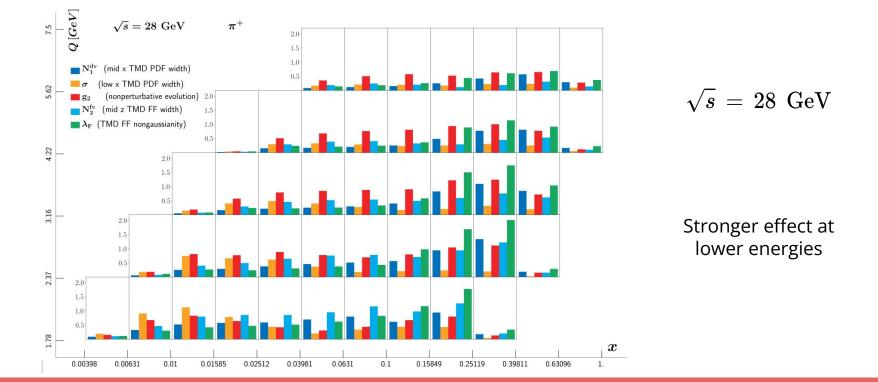


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Unpolarized TMDs: SV19

Extraction from **SIDIS** (Hermes, Compass) and **Drell-Yan** data (Phenix, fixed-target at Fermilab, CDF, DO, ATLAS, CMS, LHCb)

No problems with normalization in SIDIS - several source of power corrections

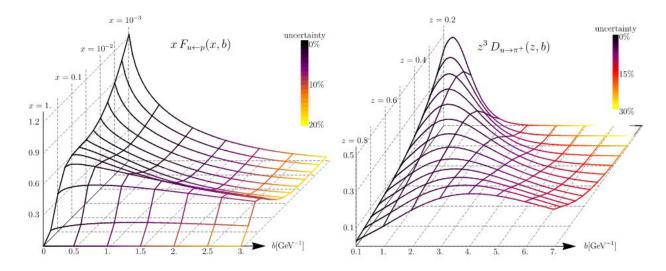


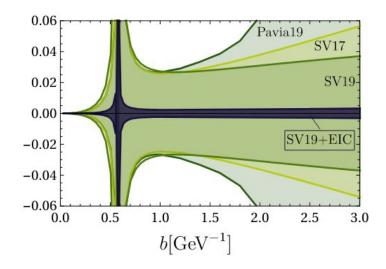
Figure 24. Example of extracted (optimal) unpolarized TMD distributions. The color indicates the relative size of the uncertainty band

TMD impact studies: SV19

See https://inspirehep.net/literature/1851258

$$\left(\frac{\zeta}{\zeta_0}\right)^{-D(b_T\mu_0,\alpha_s(\mu_0))} \xrightarrow{+g_K(b_T;\lambda)} \to \text{ evolution in } \zeta$$

Non-pert. corrections (large bT)

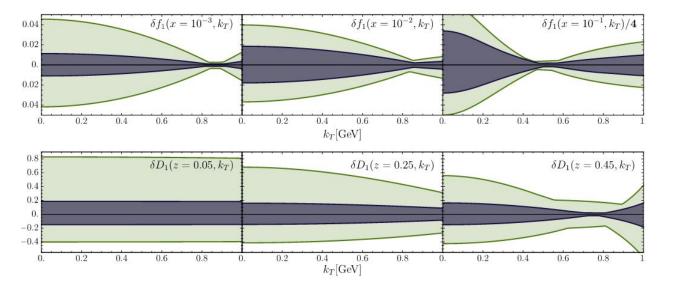


Typically a function of bT² with one or two parameters (with variations of course)

Huge impact of EIC SIDIS program on non-perturbative TMD evolution

TMD impact studies: SV19

See https://inspirehep.net/literature/1851258



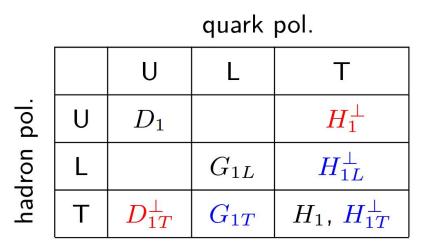


Up to pion+ TMD FF

Figure 7.52: Comparison of relative uncertainty bands (i.e. uncertainties normalized by central value) for up-quark unpolarized TMD PDFs (upper panel) and $u \rightarrow \pi^+$ pion TMD FFs (lower panel), at different values of *x* and *z* as a function of k_T , for $\mu = 2$ GeV. Lighter band is the SV19 extraction, darker is SV19 with EIC pseudodata.

Fit with EIC pseudo-data

Collinear and TMD single-hadron FFs



At leading twist: 8 TMD FFs and 3 collinear FFs (diagonal)

The **symmetries of QCD** play a crucial role in this classification

Universality..!

Separating small and large bT

One needs to "separate" the small (perturbative) bT region from the large (non-perturbative) bT region:

$$lpha_s(\mu\,=\,\mu_b\sim\,1/b_T)~~{\longrightarrow}~~b_T\,<\,b_{max}$$

Avoid the Landau pole of QCD

$$\int_{\mu_b\,\sim\,1/b}^Q\,\gamma_F\;,\;\;\mu_b\,<\,Q\;\;\longrightarrow\;\;b_T\,>\,b_{
m min}$$

Otherwise gluon "absorption" instead of "emission"

Separating small and large bT

One needs to "separate" the small (perturbative) bT region from the large (non-perturbative) bT region:

$$\hat{b}(b_T; b_{\min}, b_{\max}) = b_{\max} \left(\frac{1 - e^{-b_T^4/b_{\max}^4}}{1 - e^{-b_T^4/b_{\min}^4}} \right) \xrightarrow{b_{\max}, b_T \to +\infty} \\ b_{\min}, b_T \to 0 \\ \hat{b}_{\min} = 2e^{-\gamma_E} \\ b_{\min} = 2e^{-\gamma_E}/Q \\ \text{These choices guarantee that for} \\ \text{Q=1 GeV the TMD coincides with} \\ \text{the NP model} \\ \hat{b}_T \xrightarrow{b_T} \\ \frac{1}{2} \underbrace{Q=2 \text{ GeV}}_{0} \underbrace{Q=2 \text{ GeV}}_{0} \underbrace{b_T (\text{GeV}^{-1})} \\ \frac{1}{2} \underbrace{Q=2 \text{ GeV}}_{0} \underbrace{Q=2 \text{ GeV}}_{0} \underbrace{b_T (\text{GeV}^{-1})} \\ \frac{1}{2} \underbrace{Q=2 \text{ GeV}}_{0} \underbrace{Q=2 \text{ GeV}$$

Some open questions

A non-exhaustive *personal* list of open questions:

- deepen our understanding of **sea** quarks
- **flavor structure** of TMDs
- experimental confirmation of **sign change** relation
- **gluon** observables and **spin-1** effects
- what can hadronization teach us about confinement?
- interplay between **nuclear/hadron** and **high-energy** physics
- ••