

The transverse Λ polarization in e^+e^- collisions and SIDIS

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EIC User Group Early Career Workshop 2022



Istituto Nazionale di Fisica Nucleare

Motivations and Contents

Observation of Transverse $\Lambda/\bar{\Lambda}$ Hyperon
Polarization in e^+e^- Annihilation at Belle
• 2 data set @ $\sqrt{s} = 10.58$ GeV
[Y. Guan et al., Phys. Rev. Lett. 122. 042001 (2019)]

Double hadron production:
• $e^+e^- \rightarrow \Lambda\pi/K + X$: 128 points - bins of the energy fractions $z_\Lambda - z_{\pi,K}$
Single-inclusive hadron production:
• $e^+e^- \rightarrow \Lambda(jet) + X$: 32 points - $\Lambda(jet)$, in bins of $z_\Lambda - p_\perp$

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First extraction of the Λ pFF

[D'Alesio, Murgia, Zaccheddu, Phys. Rev. D 102, 054001 (2020)]

- $e^+e^- \rightarrow h_1^\uparrow h_2 X \rightarrow X_{dof}^2 = 1.26$
- $e^+e^- \rightarrow h_1^\uparrow h_2 X$ and $e^+e^- \rightarrow h_1^\uparrow(jet)X \rightarrow X_{dof}^2 = 1.94$

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Critical Issues:

- No evolution equations;
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Contents:

- Convolutions and Polarization: $e^+e^- \rightarrow h_1^\uparrow h_2 X$
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- Fit results: 2-h and (2-h +1-h)
- Opal data
- Conclusions

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Convolutions: Double-hadron production

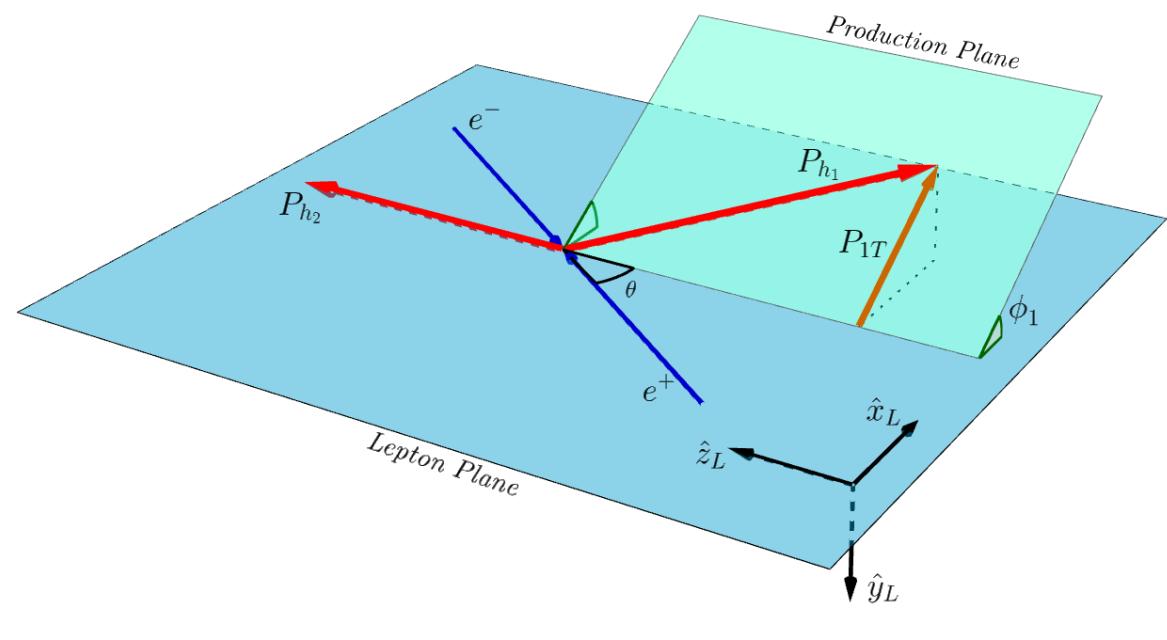
Convolutions for the transverse polarization in b_T - space

$$F_{UU} = \mathcal{F}[D_1 \bar{D}_1] = \mathcal{B}_0 \left[\tilde{D} \tilde{\bar{D}} \right]$$

$$= \sum_q e_q^2 \int \frac{db_T}{(2\pi)} b_T J_0(b_T q_T) \tilde{D}_1(z_1, b_T) \tilde{\bar{D}}_1(z_2, b_T)$$

$$F_{TU}^{\sin(\phi_1 - \phi_{S1})} = \mathcal{F} \left[\frac{\hat{h} \cdot \mathbf{k}_T}{M_{h_1}} D_{1T}^\perp \bar{D}_1 \right] = M_{h_1} \mathcal{B}_1 \left[\tilde{D}_{1T}^{\perp(1)} \tilde{\bar{D}}_1 \right]$$

$$= M_{h_1} \sum_q e_q^2 \int \frac{db_T}{2\pi} b_T^2 J_1(q_T b_T) \boxed{\tilde{D}_{1T}^{\perp(1)}(z_1, b_T)} \tilde{\bar{D}}_1(z_2, b_T)$$



Polarizing FF first moment

$$\Delta^N D_{h^\uparrow/q}(z, p_\perp) = \frac{p_\perp}{zM_h} D_{1T}^\perp(z, p_\perp)$$

$$D_{1T}^{\perp(1)}(z) = \int d^2 \mathbf{p}_\perp \frac{p_\perp}{2zM_h} \Delta^N D_{h^\uparrow/q}(z, p_\perp)$$

Transverse Polarization:

$$P_T^h(z_1, z_2) = \frac{\int d^2 \mathbf{q}_T F_{TU}^{\sin(\phi_1 - \phi_S)}}{\int d^2 \mathbf{q}_T F_{UU}} = \frac{M_{h_1} \int d^2 \mathbf{q}_T \mathcal{B}_1 \left[\tilde{D}_{1T}^{\perp(1)} \tilde{\bar{D}} \right]}{\int d^2 \mathbf{q}_T \mathcal{B}_0 \left[\tilde{D} \tilde{\bar{D}} \right]}$$

Polarization 2-h: Double-hadron Production

Solving the CSS evolution equations we obtain the full form of convolutions

$$\begin{aligned}\mathcal{B}_1 \left[\tilde{D}_{1T}^{\perp(1)} \tilde{D}_1 \right] = & \frac{\mathcal{H}^{(e^+e^-)}(Q)}{z_1^2 z_2^2} \sum_q e_q^2 \int \frac{db_T}{(2\pi)} b_T^2 J_1(b_T q_T) \tilde{D}_{1T}^{\perp(1)}(z_1; \bar{\mu}_b) d_{\bar{q}/h_2}(z_2; \bar{\mu}_b) \\ & \times M_D^\perp(b_c(b_T); b_{\max}) M_{D_2}(b_c(b_T); b_{\max}) \exp \left\{ -g_K(b_c(b_T); b_{\max}) \ln \left(\frac{Q^2 z_1 z_2}{M_{h_1} M_{h_2}} \right) \right\} \\ & \times \exp \left\{ \tilde{K}(b_*; \bar{\mu}_b) \ln \frac{Q^2}{\bar{\mu}_b^2} + \int_{\bar{\mu}_b}^Q \frac{d\mu'}{\mu'} \left[2\gamma_D(g(\mu'); 1) - \gamma_K(g(\mu')) \ln \frac{Q^2}{\mu'^2} \right] \right\},\end{aligned}$$

$$\begin{aligned}\mathcal{B}_0 \left[\tilde{D} \tilde{D} \right] = & \frac{\mathcal{H}^{(e^+e^-)}(Q)}{z_1^2 z_2^2} \sum_q e_q^2 \int \frac{db_T}{(2\pi)} b_T J_0(b_T q_T) d_{q/h_1}(z_1; \bar{\mu}_b) d_{\bar{q}/h_2}(z_2; \bar{\mu}_b) \\ & \times M_{D_1}(b_c(b_T); b_{\max}) M_{D_2}(b_c(b_T); b_{\max}) \exp \left\{ -g_K(b_c(b_T); b_{\max}) \ln \left(\frac{Q^2 z_1 z_2}{M_{h_1} M_{h_2}} \right) \right\} \\ & \times \exp \left\{ \tilde{K}(b_*; \bar{\mu}_b) \ln \frac{Q^2}{\bar{\mu}_b^2} + \int_{\bar{\mu}_b}^Q \frac{d\mu'}{\mu'} \left[2\gamma_D(g(\mu'); 1) - \gamma_K(g(\mu')) \ln \frac{Q^2}{\mu'^2} \right] \right\},\end{aligned}$$

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Polarizing FF first moment

$$\tilde{D}_{1T, \Lambda/q}^{\perp(1)}(z; \mu_b) = \mathcal{N}_q^p(z) d_{q/\Lambda}(z; \mu_b)$$

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Different $g_K(b_T)$ functions

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Unpolarized FFs

Unp. FF models

$$\times M_{D_1}(b_c(b_T); b_{\max}) M_{D_2}(b_c(b_T); b_{\max}) \exp \left\{ -g_K(b_c(b_T); b_{\max}) \ln \left(\frac{Q^2 z_1 z_2}{M_{h_1} M_{h_2}} \right) \right\}$$

$$\times \exp \left\{ \tilde{K}(b_*; \bar{\mu}_b) \ln \frac{Q^2}{\bar{\mu}_b^2} + \int_{\bar{\mu}_b}^Q \frac{d\mu'}{\mu'} \left[2\gamma_D(g(\mu'); 1) - \gamma_K(g(\mu')) \ln \frac{Q^2}{\mu'^2} \right] \right\}$$

Different models

Different $g_K(b_T)$ functions

Perturbative Sudakov factor

Polarization: Single hadron with thrust

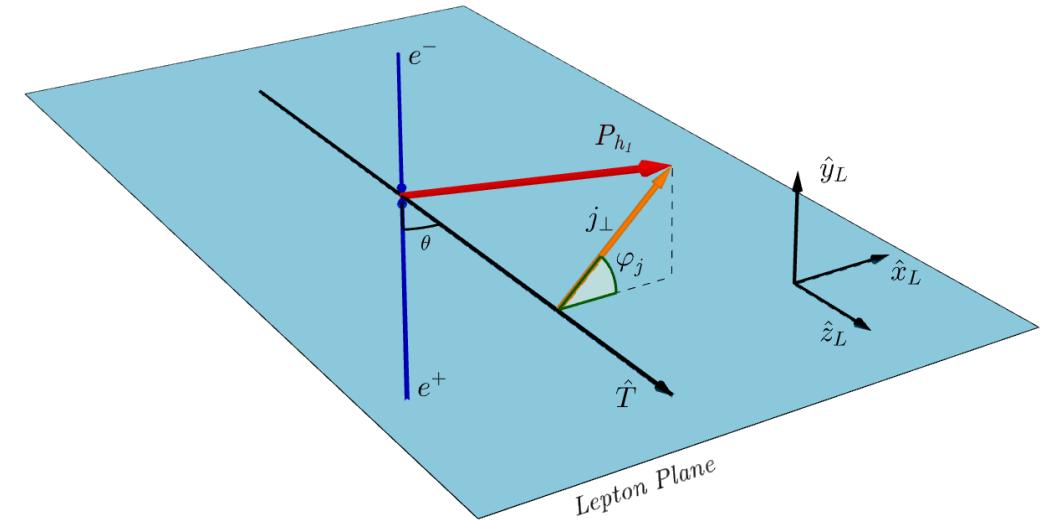
Z.-B. Kang, D.Y. Shao, F. Zhao, J. High Energy Phys. 12 (2020) 127

L. Gamberg et al., Phys.Lett.B 818 (2021) 136371

$$\mathcal{P}(z_1, p_\perp) = \frac{d\Delta\sigma/dz_1 d^2 p_\perp}{d\sigma/dz_1 d^2 p_\perp}$$

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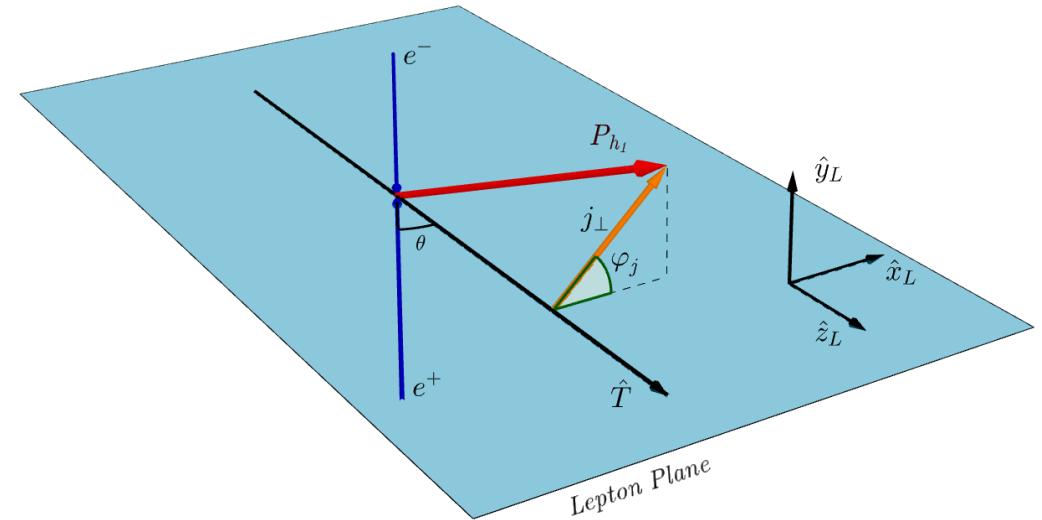
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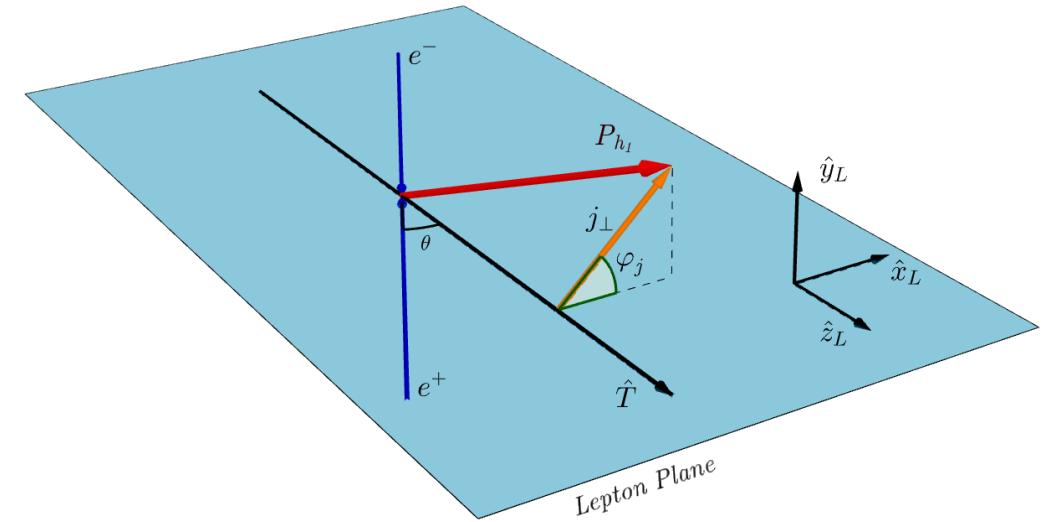
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M. Dasgupta, G.P. Salam, Phys. Lett. B 512 (2001) 323 $u = \frac{1}{\beta_0} \ln \left[\frac{\alpha_s(\mu_b)}{\alpha_s(Q)} \right]$

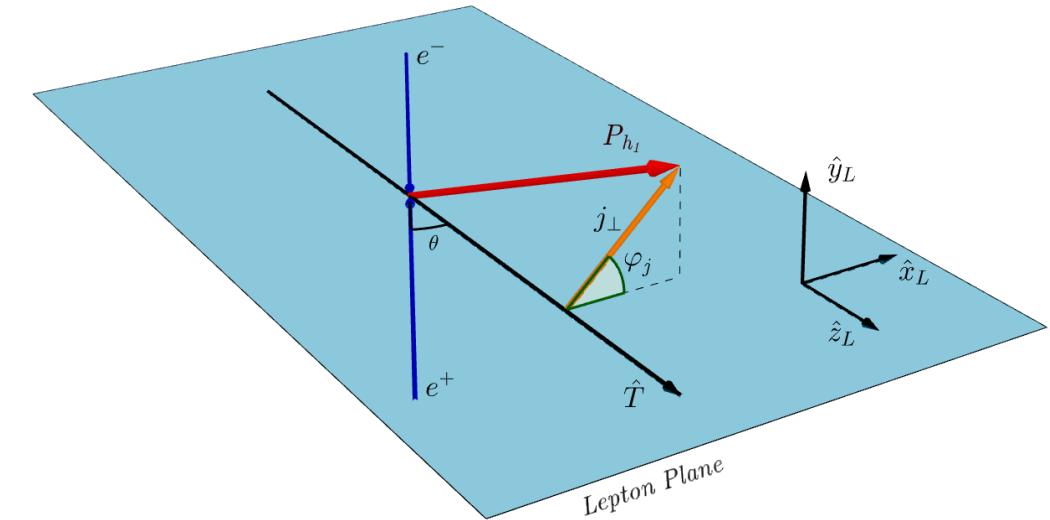
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In general the FF in 2-h and 1-h could not be the same, but at NLO the FFs in 2-h and 1-h are equal.

$g_K(b_T)$ Non-perturbative Function

- It cannot be computed from first principles, but it has to be extracted from Fit;
- Universal Function

Functions employed:

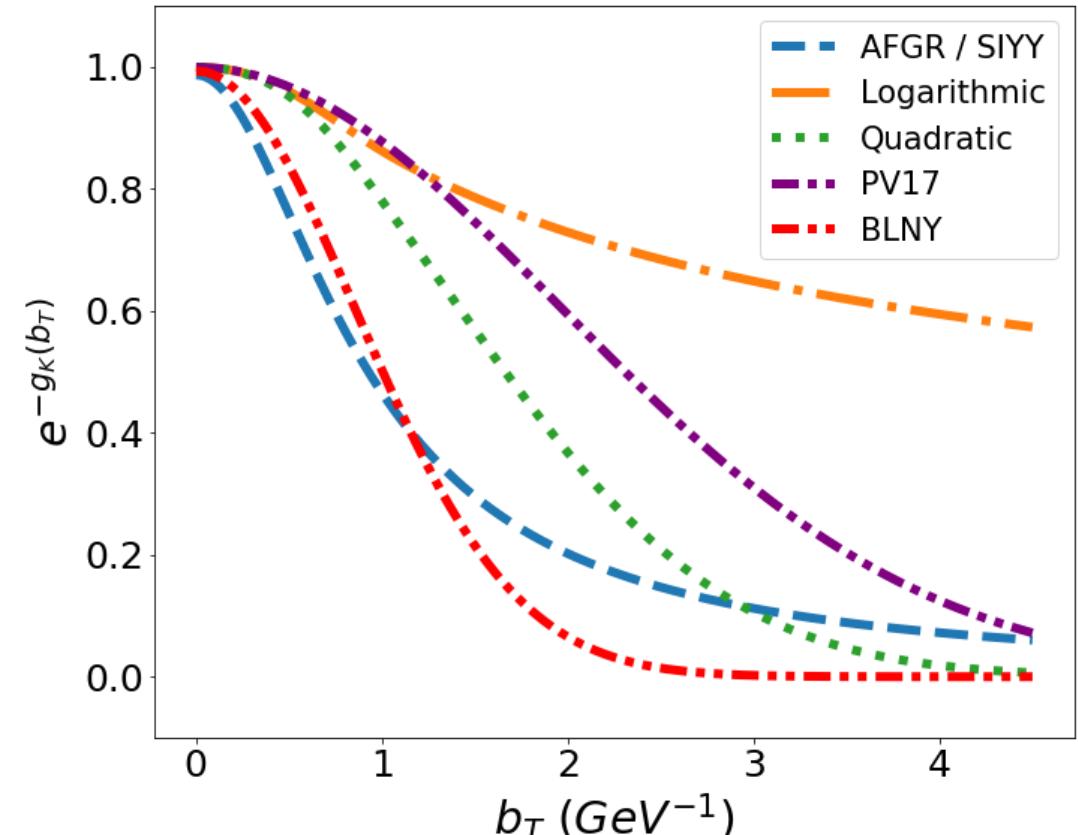
$$g_K(b_T; b_{\max}) = \frac{g_2 b_T^2}{2}; \quad g_2 = 0.68 \text{ GeV}^2 \quad \text{BLNY} \quad [3]$$

$$g_K(b_T; b_{\max}) = \frac{C_F}{\pi} \frac{b_T^2}{b_{\max}^2} \alpha_s(\mu_{b_*}) \quad \text{Quadratic} \quad [2]$$

$$g_K(b_T; b_{\max}) = \frac{\alpha_s(C_1/b_*) C_F}{\pi} \ln(1 + b_T^2/b_{\max}^2) \quad [2] \quad \text{Logarithmic}$$

$$g_K(b_T; b_{\max}) = g_2 \ln \left(\frac{b_T}{b_*} \right) \quad g_2 = 0.84 \quad \text{AFGR / SIYY} \quad [1]$$

$$g_K(b_T; b_{\max}) = -\frac{g_2 b_T^2}{2}; \quad g_2 = 0.13 \text{ GeV}^2 \quad \text{PV17} \quad [4]$$



[1] C.A. Aidala, B. Field, L.P. Gamberg, T.C. Rogers, Phys. Rev. D 89 (2014) 094002
P. Sun, J. Isaacson, C.P. Yuan, F. Yuan, Int. J. Mod. Phys. A 33 (2018) 1841006

[2] J. Collins, T. Rogers, Phys. Rev. D 91 (2015) 7, 074020
[3] F. Landry et al., Phys. Rev. D 67 (2003)
[4] Bacchetta et al., JHEP 06 (2017) 081

$M_D(b_T)$ Hadronic Models Parameterizations

- They cannot be computed from first principles, but they have to be extracted from Fit;
- Universal Function (for same hadron)

Unpolarized π/K

Gaussian Model

$$M_D(b_T) = \exp\left(-\frac{\langle p_\perp^2 \rangle b_T^2}{4z_p^2}\right)$$

Unpolarized Λ

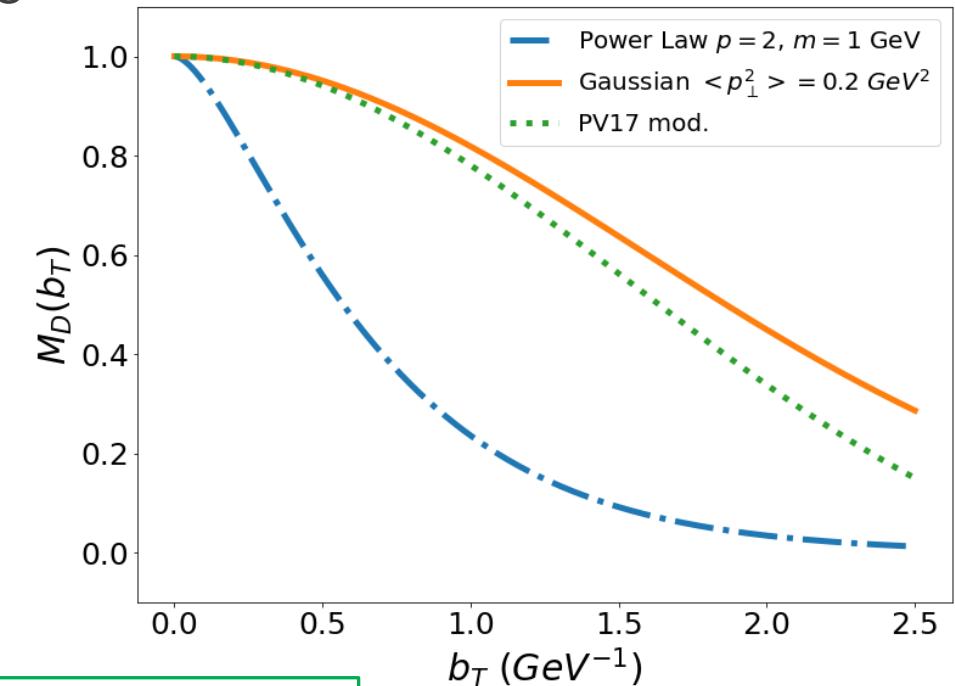
PV17 hadron model

$$M_D(b_T) = \frac{g_3 e^{-b_T^2 \frac{g_3}{4z^2}} + \frac{\lambda_F}{z^2} g_4^2 (1 - g_4 \frac{g_4}{4z^2}) e^{-b_T^2 \frac{g_4}{4z^2}}}{g_3 + \frac{\lambda_F}{z^2} g_4^2}$$

Polarized Λ

Power Law Model

$$M_D(b_T, p, m) = \frac{2^{2-p}}{\Gamma(p-1)} (b_T m / z_p)^{p-1} K_{p-1}(b_T m / z_p)$$



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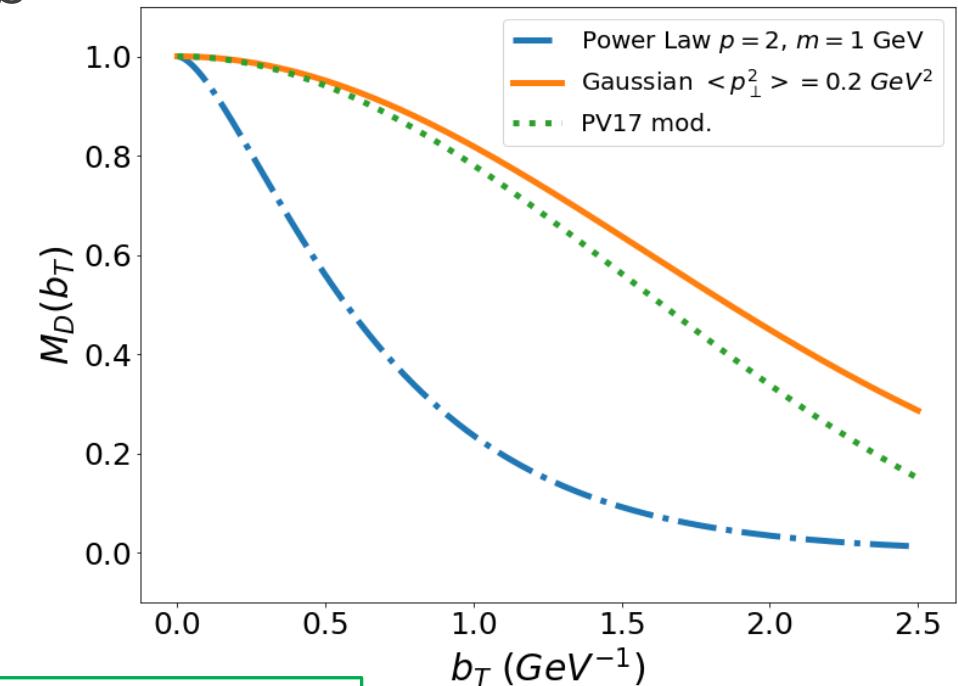
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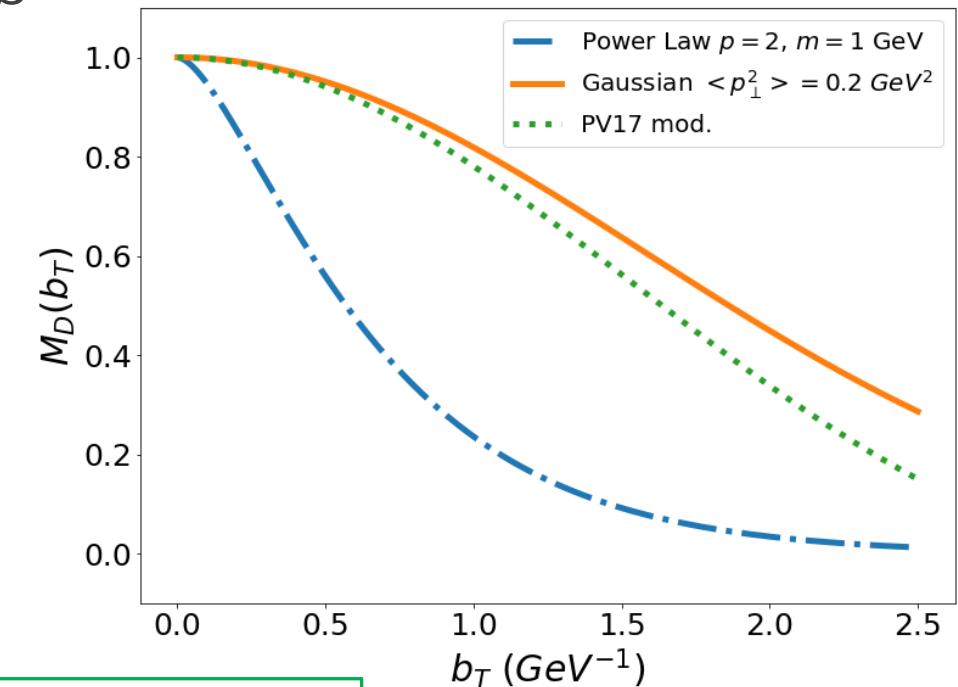
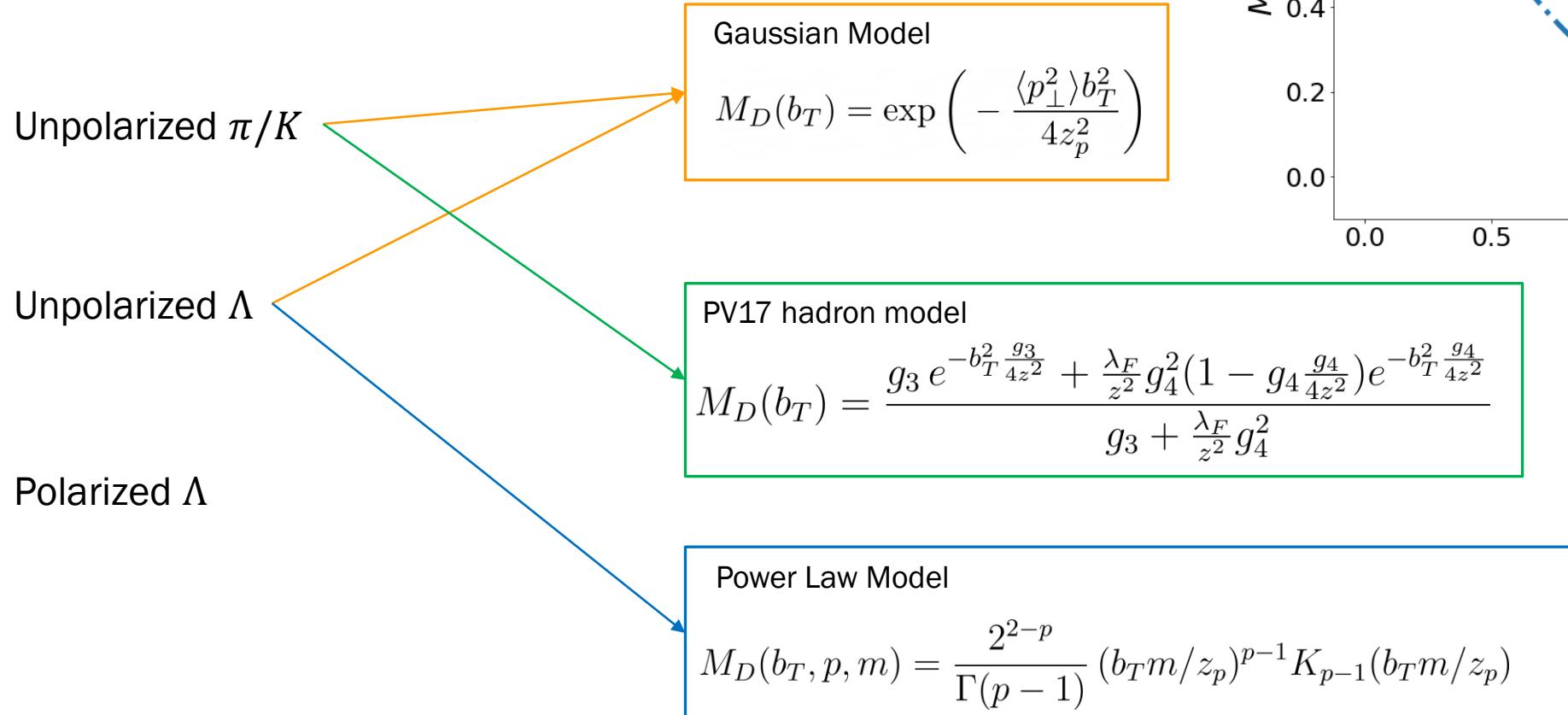
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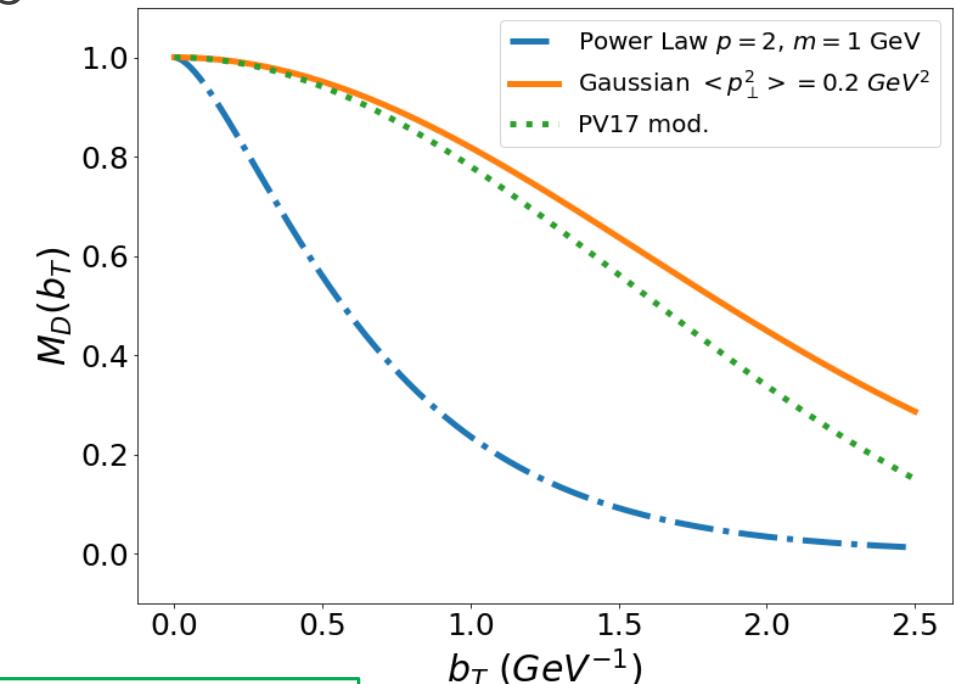
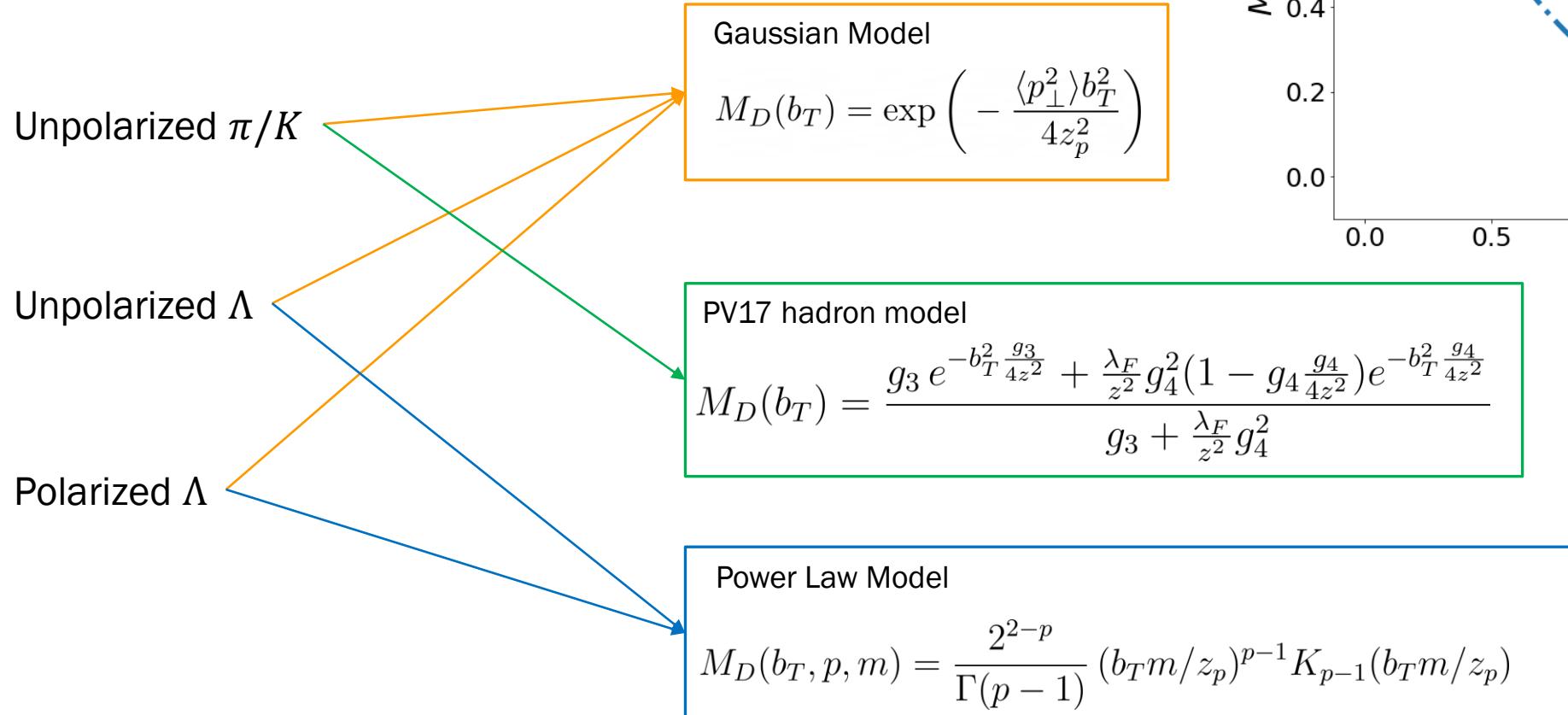
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- $\Lambda + \pi/K$: $z_{\pi.K} = [0.5 - 0.9]$ bin excluded $\rightarrow 96$ data points

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Around 40 different combinations and Fit

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$\langle p_\perp^2 \rangle_p$
p
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Gaussian width

Power-Law parameters

Double-hadron production (2-h) data Fit

Best Results

Polarizing	Unpolarized	g_K	$M_D^{h_2}$	χ^2_{dof} (2-h)
Gaussian	Power-Law	Logarithmic	Gaussian	1.192
Power-Law	Power-Law	Logarithmic	Gaussian	1.21
Gaussian	Power-Law	PV17	PV17	1.198

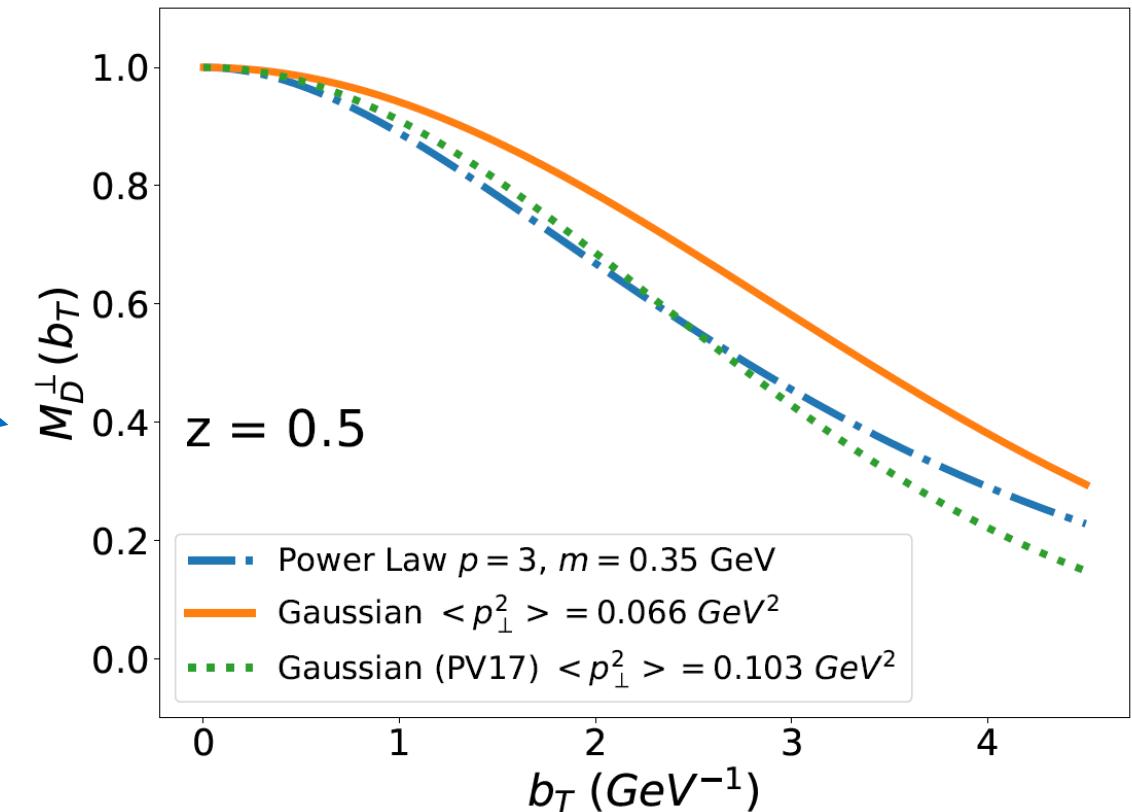
- $\chi^2_{dof} \simeq 1.2$
- First moment parameters are consistent;
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- The M_D^\perp models are compatible

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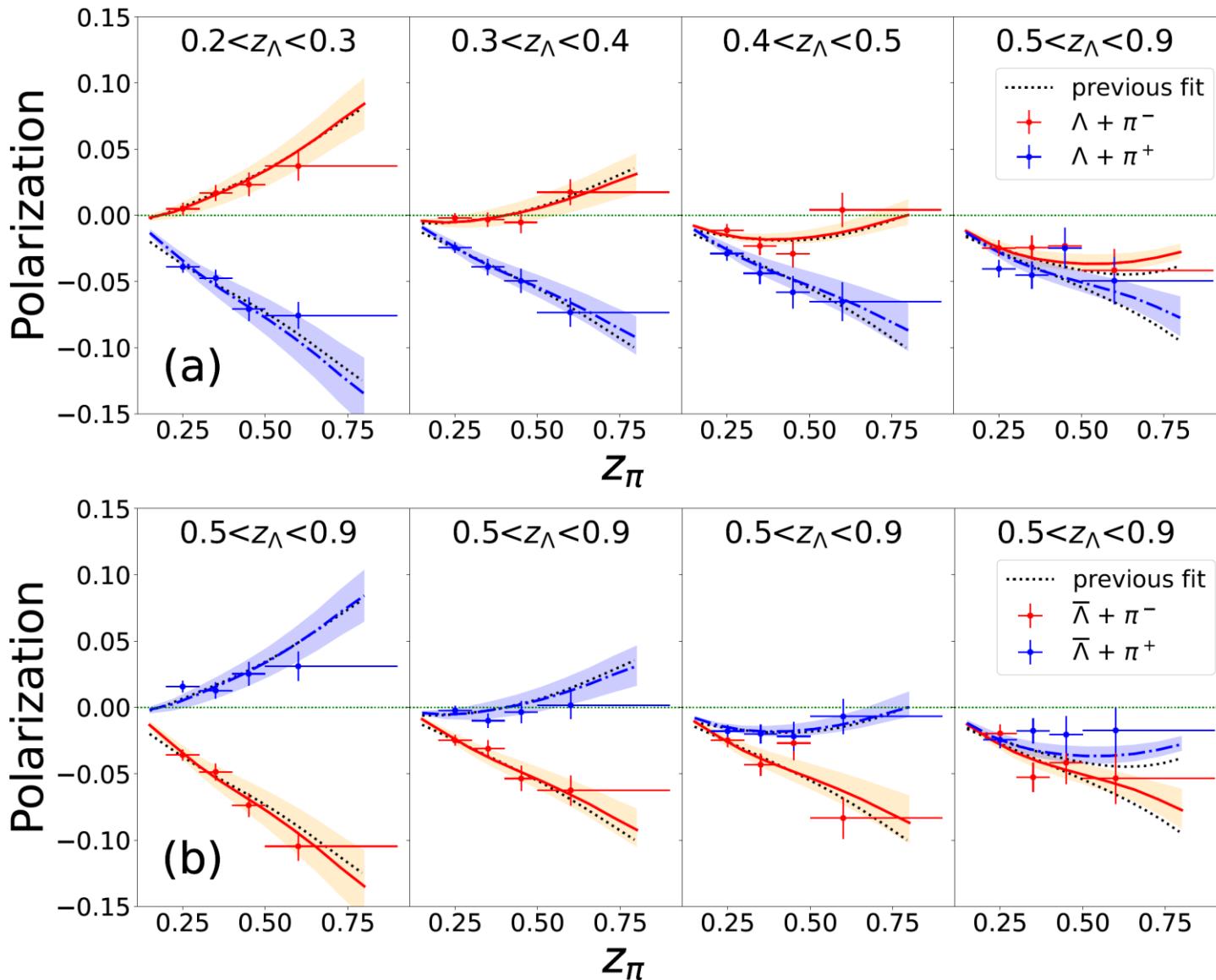
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Lambda – pion: fit comparison



Bin excluded
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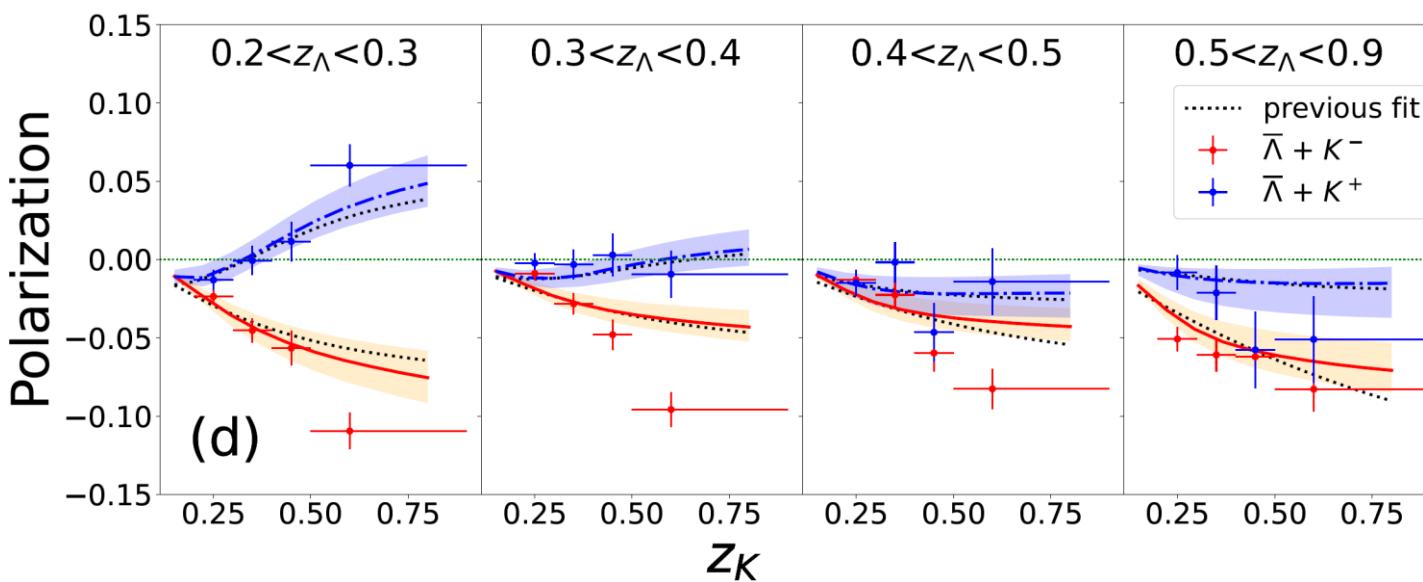
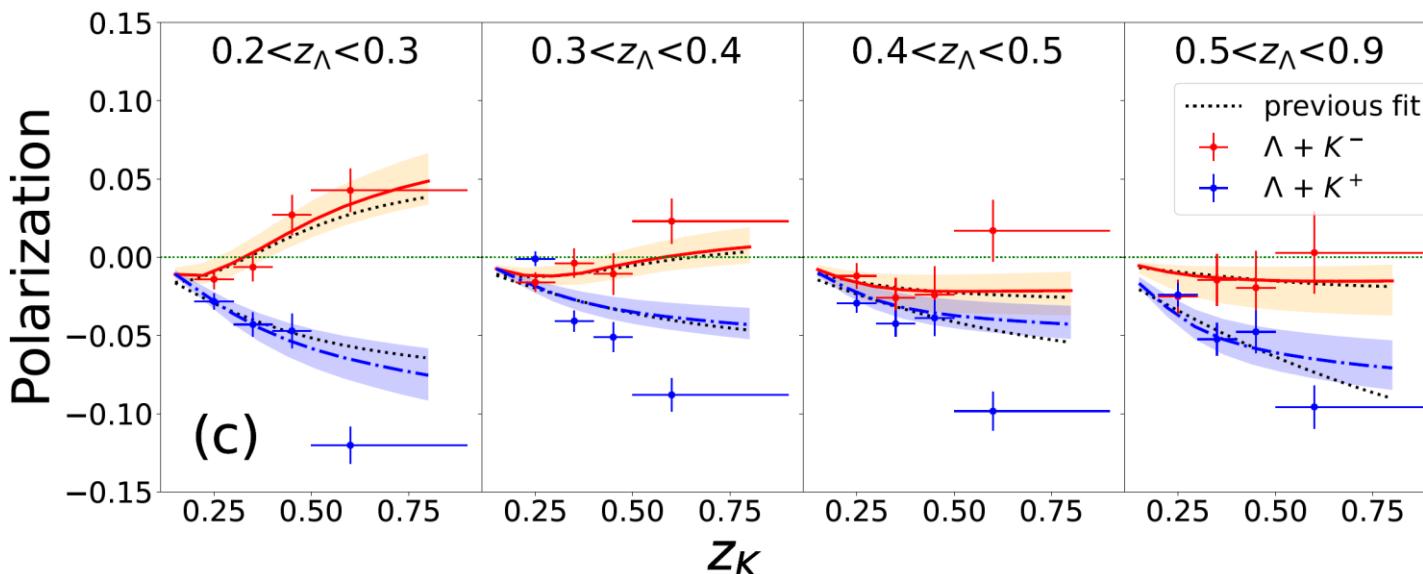
Gaussian Model

$$\chi^2_{dof} = 1.192$$

- Reference Fit [4]

[4] D'Alesio, Murgia, Zaccheddu, Phys. Rev. D 102, 054001 (2020)

Lambda – kaon: fit comparison



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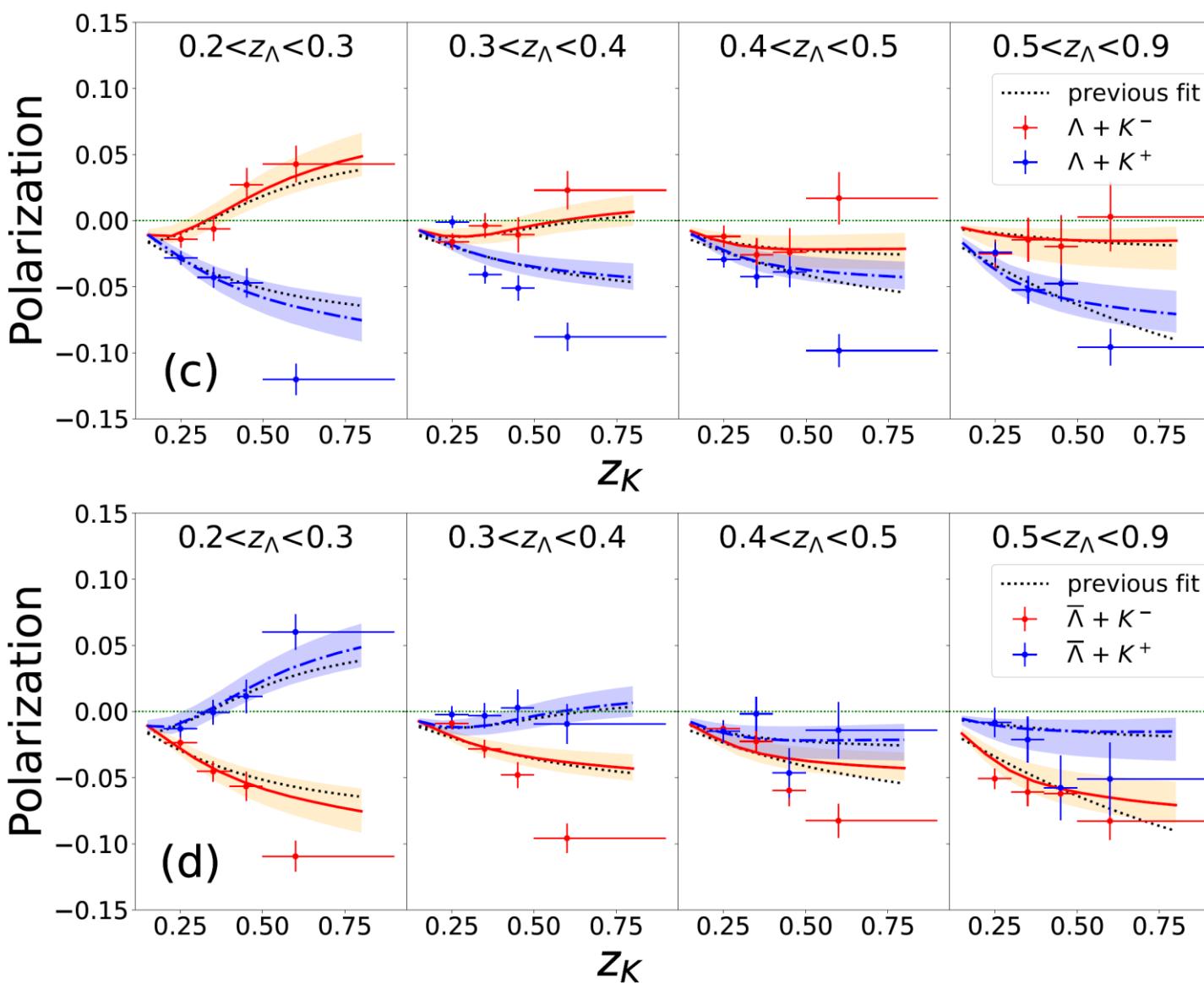
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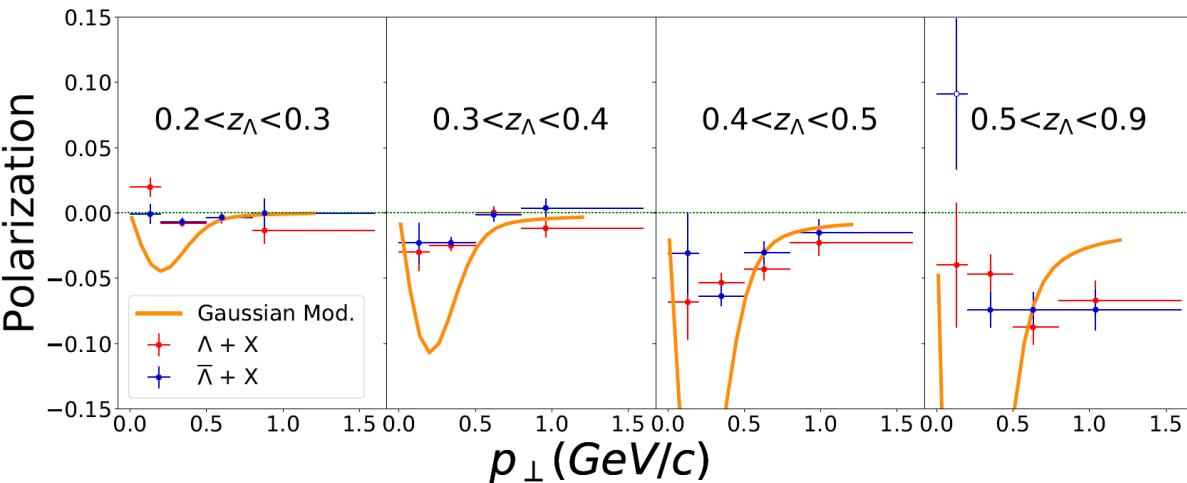
- Reference Fit [4]

[4] D'Alesio, Murgia, Zaccheddu, Phys. Rev. D 102, 054001 (2020)

- Both (old and new) fits present problems in describing $\Lambda K^+ - \bar{\Lambda} K^-$;
- TMD evolution does not help;
- To be further investigated: heavier quark flavors contribution?

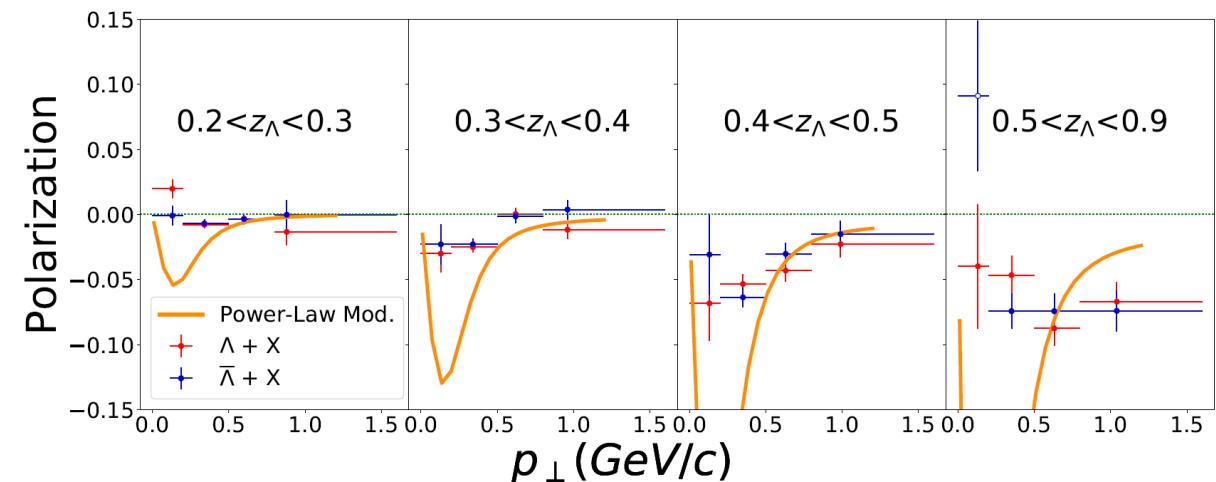
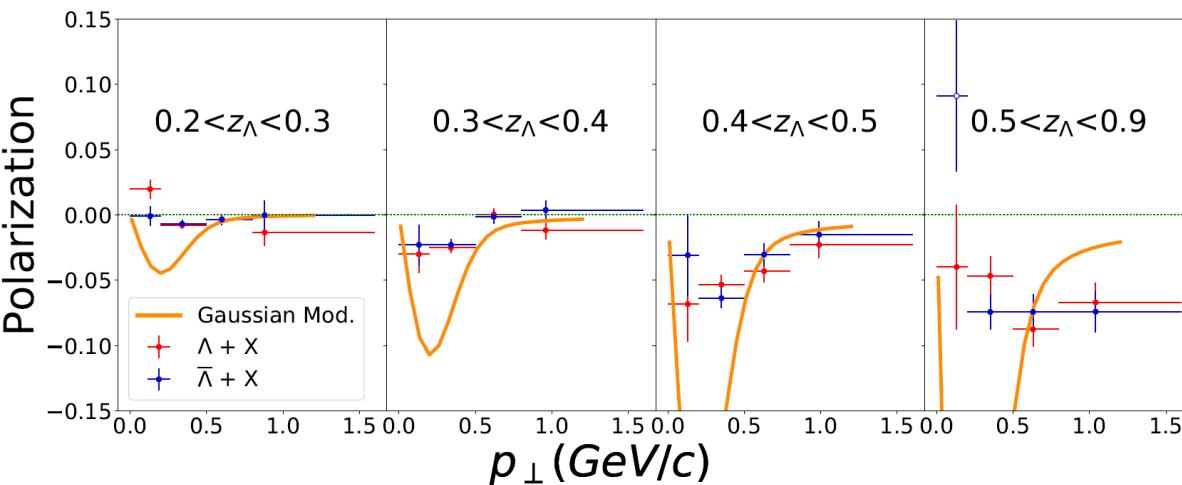
Single-inclusive Polarization

The parameters extracted in 2-h Fit cannot reproduce the 1-h data



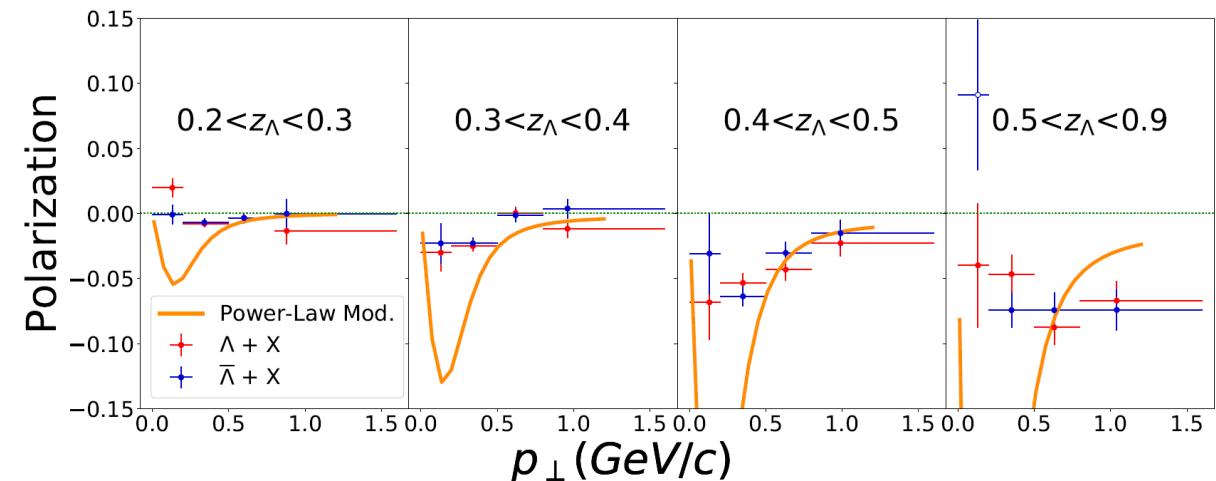
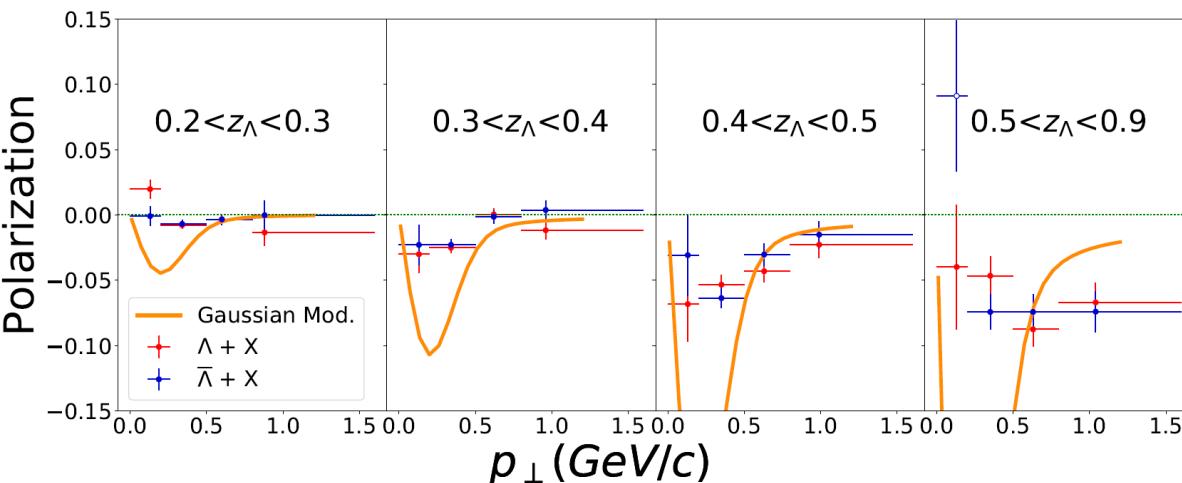
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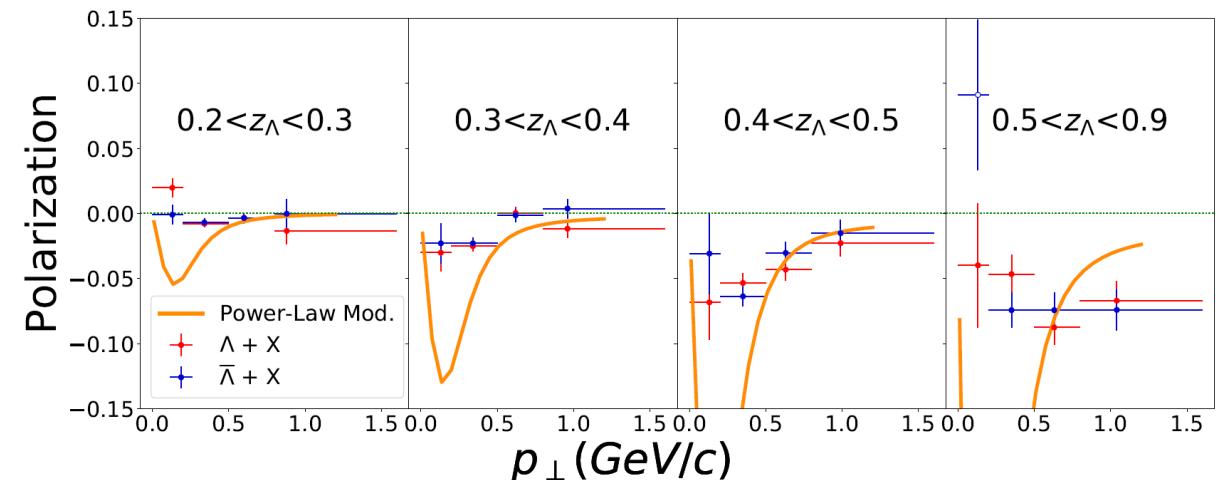
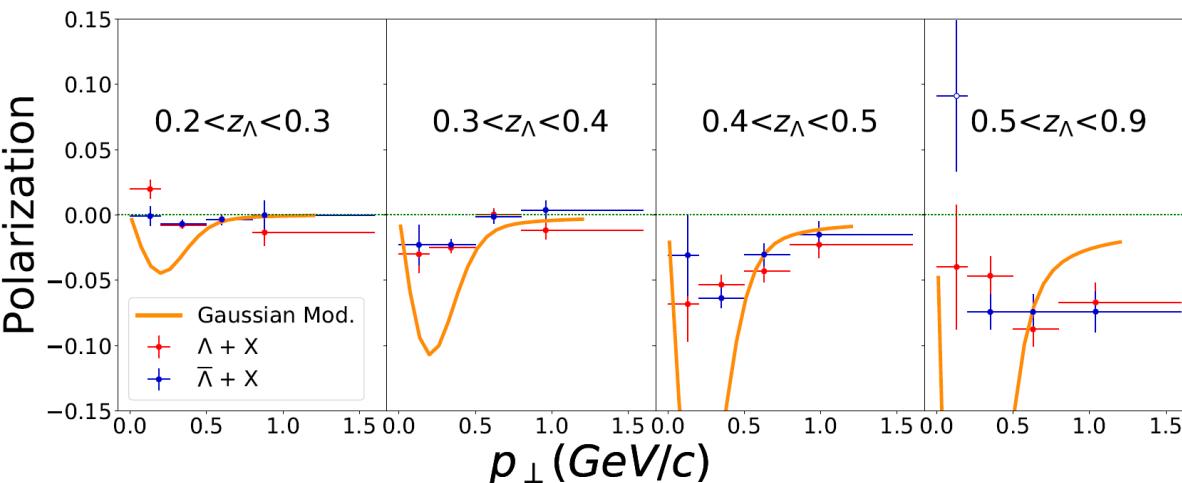
If we include 1-h data

Polarizing	Unpolarized	g_K	$M_D^{h_2}$	χ^2_{dof} (2-h)	χ^2_{dof} (2-h + 1-h)
Gaussian	Power-Law	Logarithmic	Gaussian	1.192	2.813
Power-Law	Power-Law	Logarithmic	Gaussian	1.21	2.39
Gaussian	Power-Law	PV17	PV17	1.198	3.159

Different combinations of NP functions fits give $\chi^2_{\text{dof}} = [2.4 - 5.4]$

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Different factorization or
different hadronic model?

Different combinations of NP functions fits give $\chi^2_{\text{dof}} = [2.4 - 5.4]$

Combined Fit: Double Model

- Same parametrization for $D_{1T}^{\perp(1)}(b_T)$
- Two set of parameters for hadron models

Gaussian mod.

$$\langle p_\perp^2 \rangle$$

A diagram showing two horizontal arrows pointing from the text "Gaussian mod." to the labels "1-h" and "2-h".

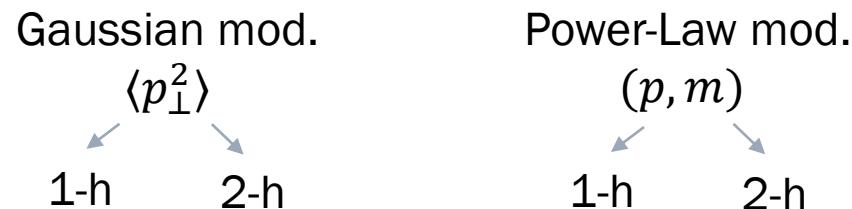
Power-Law mod.

$$(p, m)$$

A diagram showing two horizontal arrows pointing from the text "Power-Law mod." to the labels "1-h" and "2-h".

Combined Fit: Double Model

- Same parametrization for $D_{1T}^{\perp(1)}(b_T)$
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Gaussian	Power-Law
$\chi^2_{dof} = 1.801$	$\chi^2_{dof} = 1.565$

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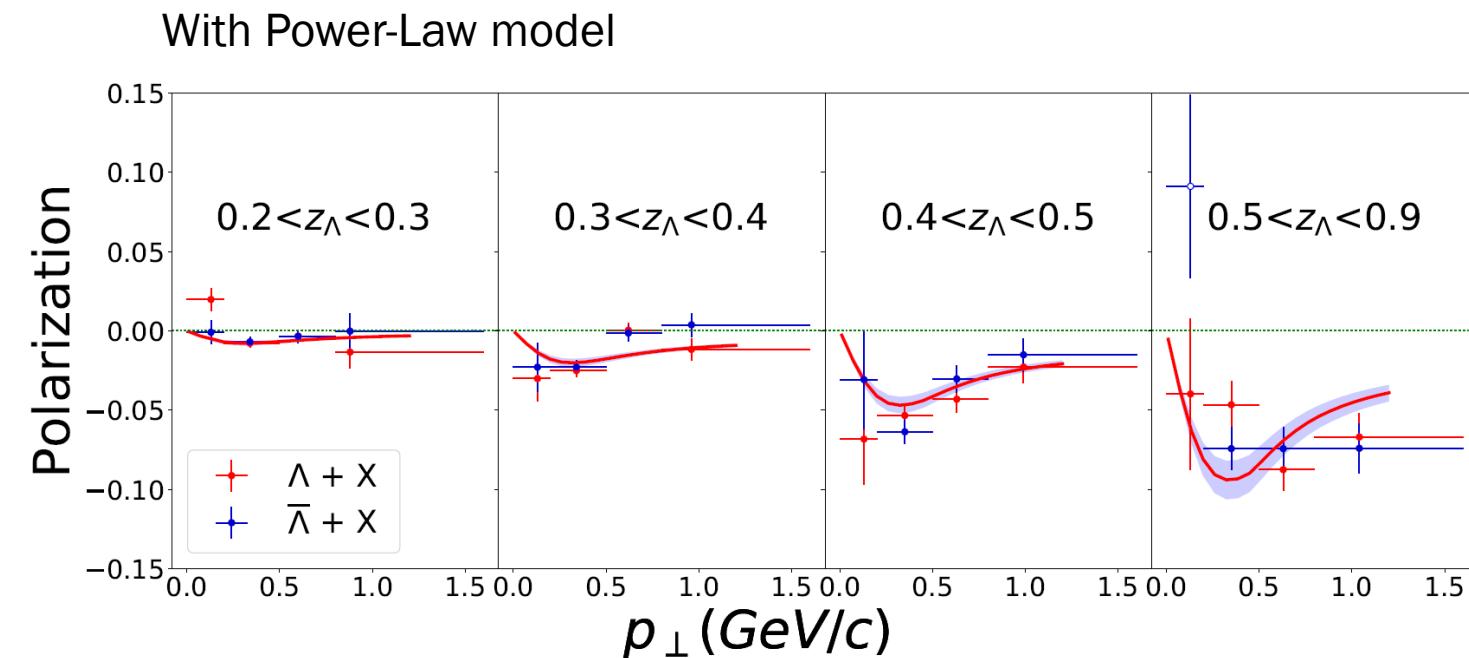
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1-h 2-h

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1-h 2-h



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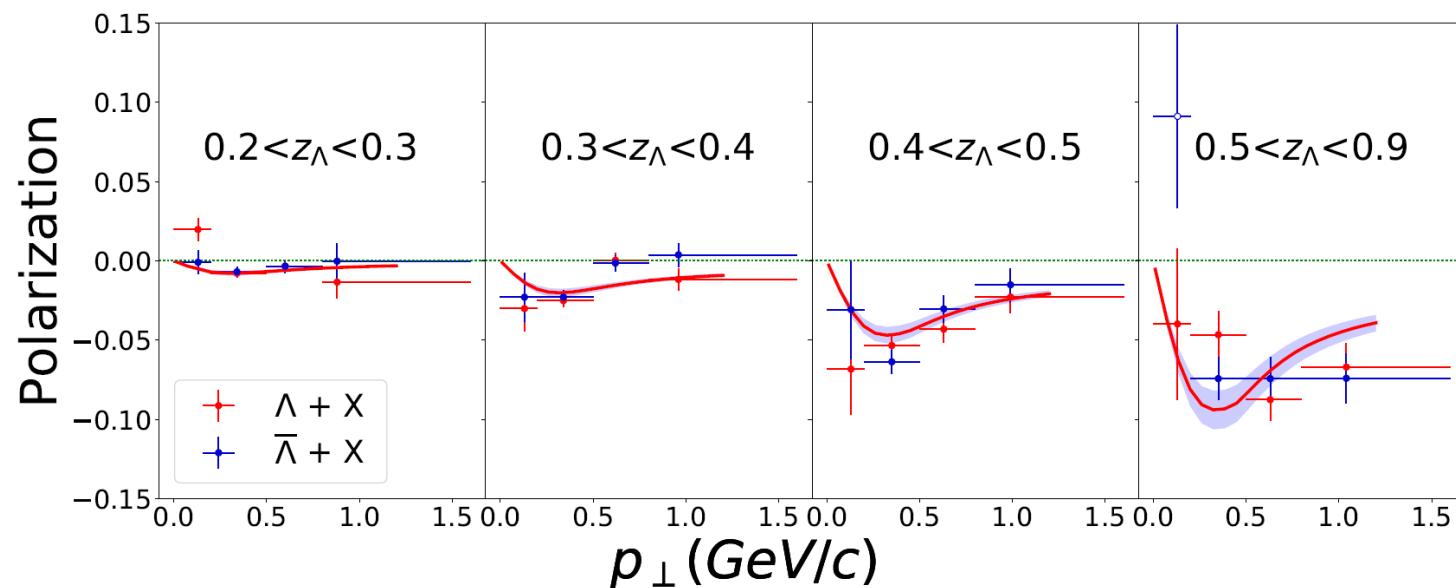
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$$(p, m)$$

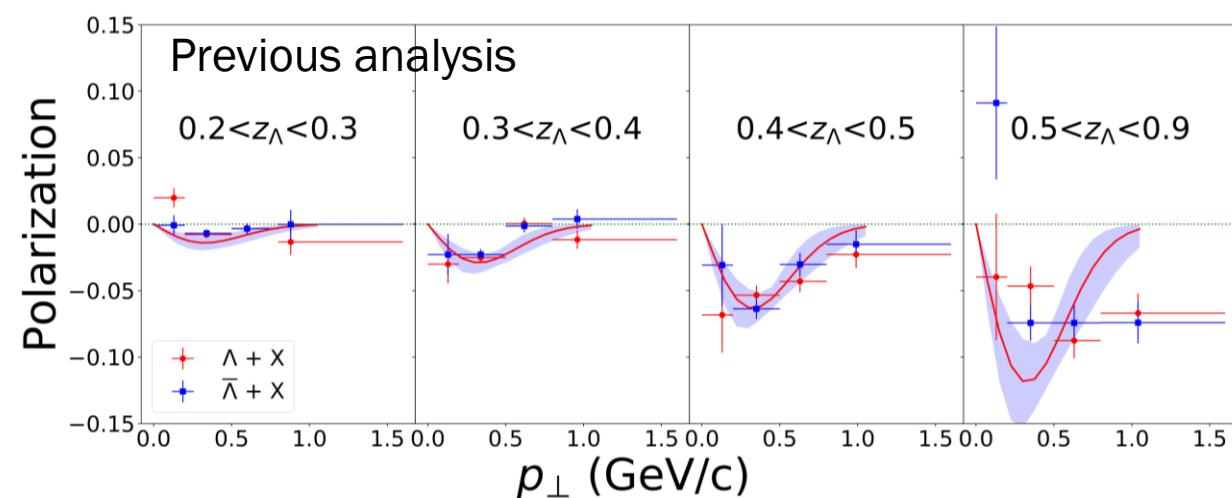
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With Power-Law model

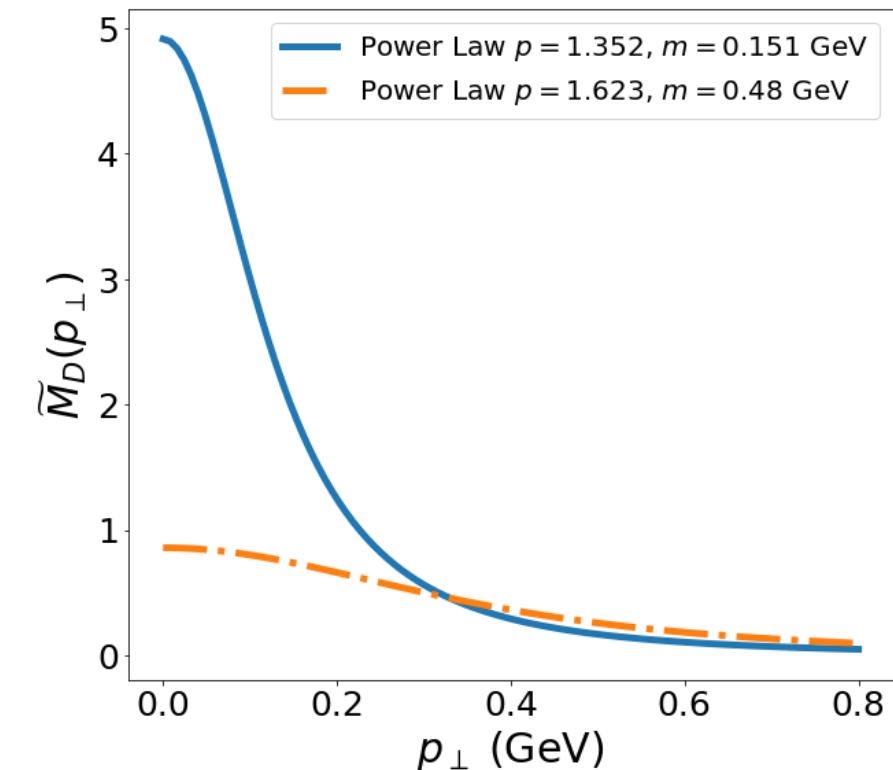
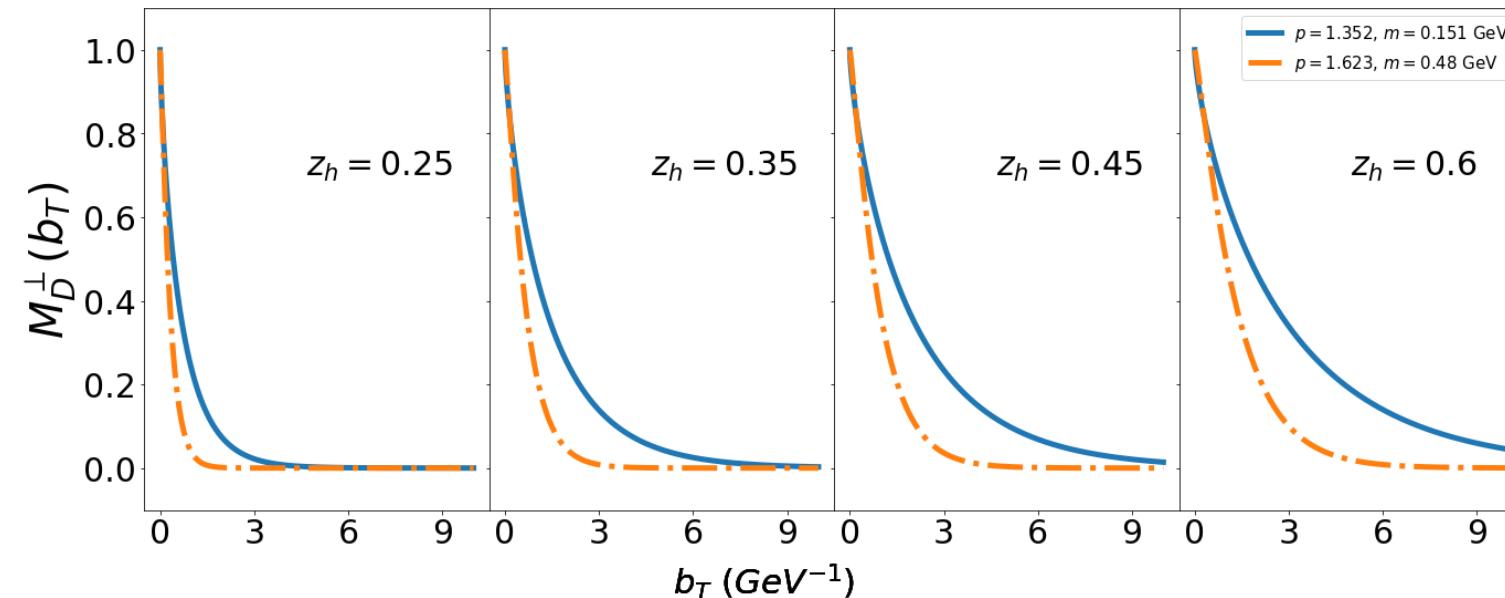


Previous analysis



Combined Fit: Double Model

- 2-h Power-Law model
- 1-h Power-Law model



- Both models have same value at small b_T \longrightarrow collinear limit
- In p_{\perp} -space: same value at large p_{\perp}
- 2-h wider than 1-h: different behaviour at large b_T
- In p_{\perp} -space: different value at small p_{\perp}
- Possible different contribution from Soft gluons

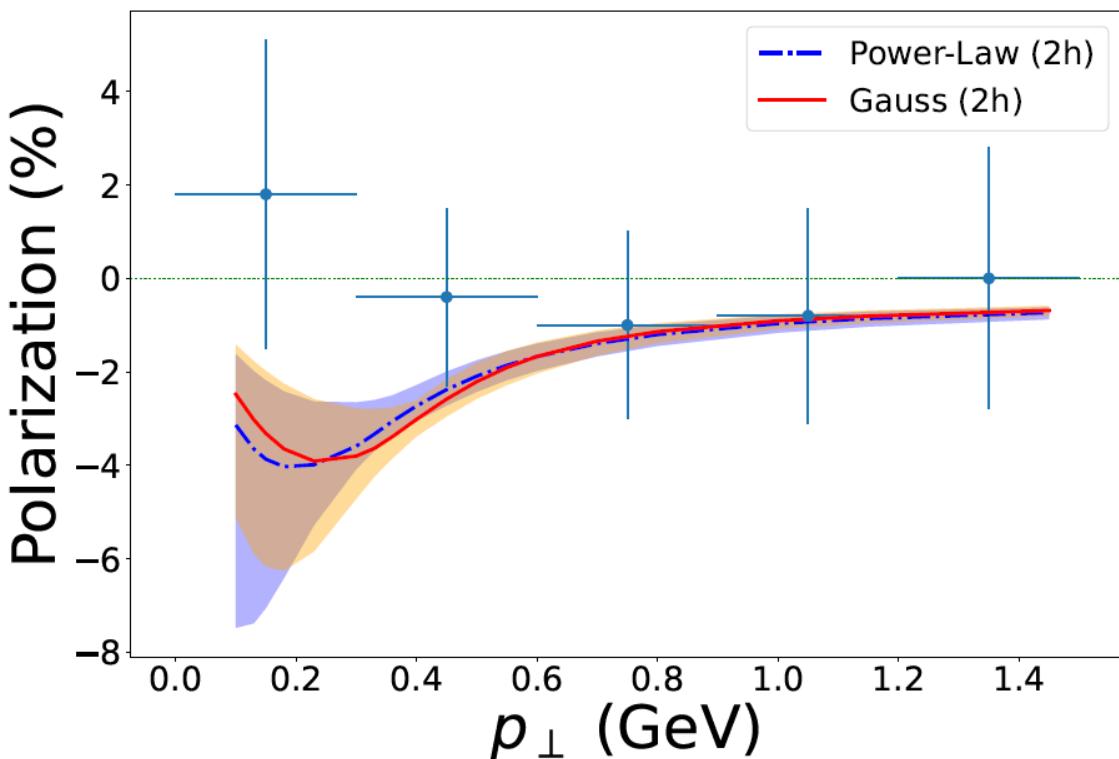
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- 5 points: bins of p_\perp
- $\sqrt{s} = M_Z$
- Integrated over energy fractions $z_h = [0.15 - 1]$
- Good data set to check the TMD evolution

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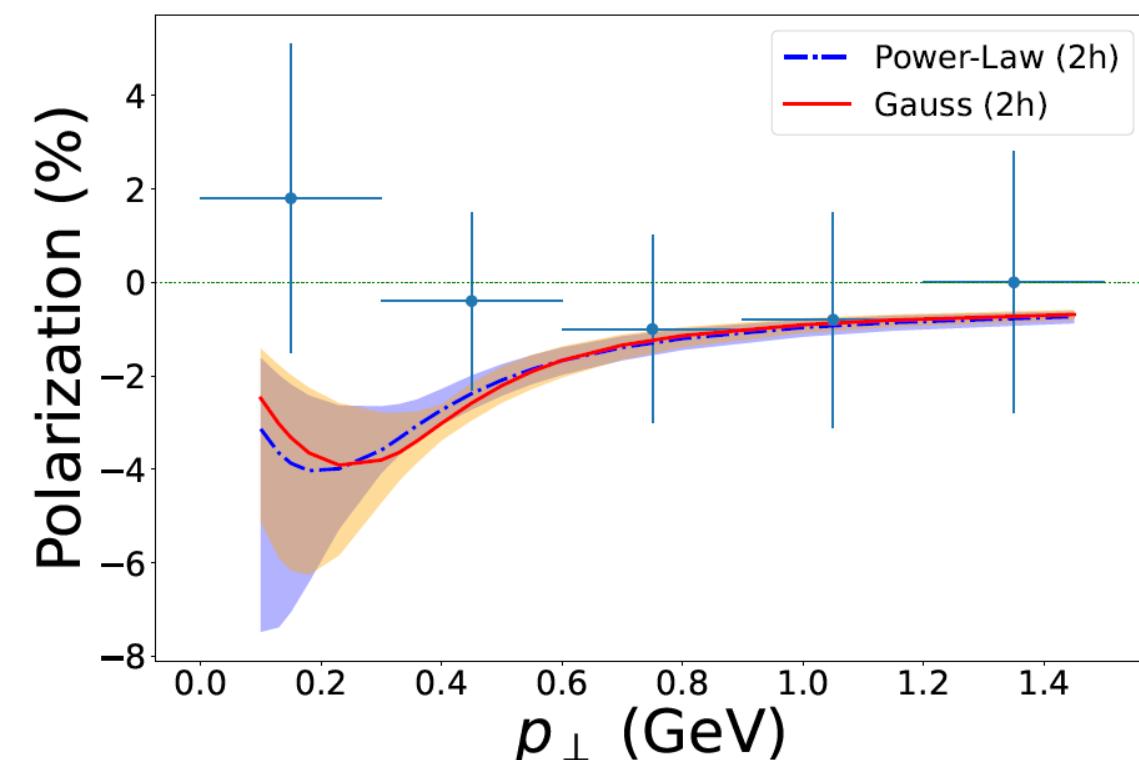
With models extracted in 2-h fit



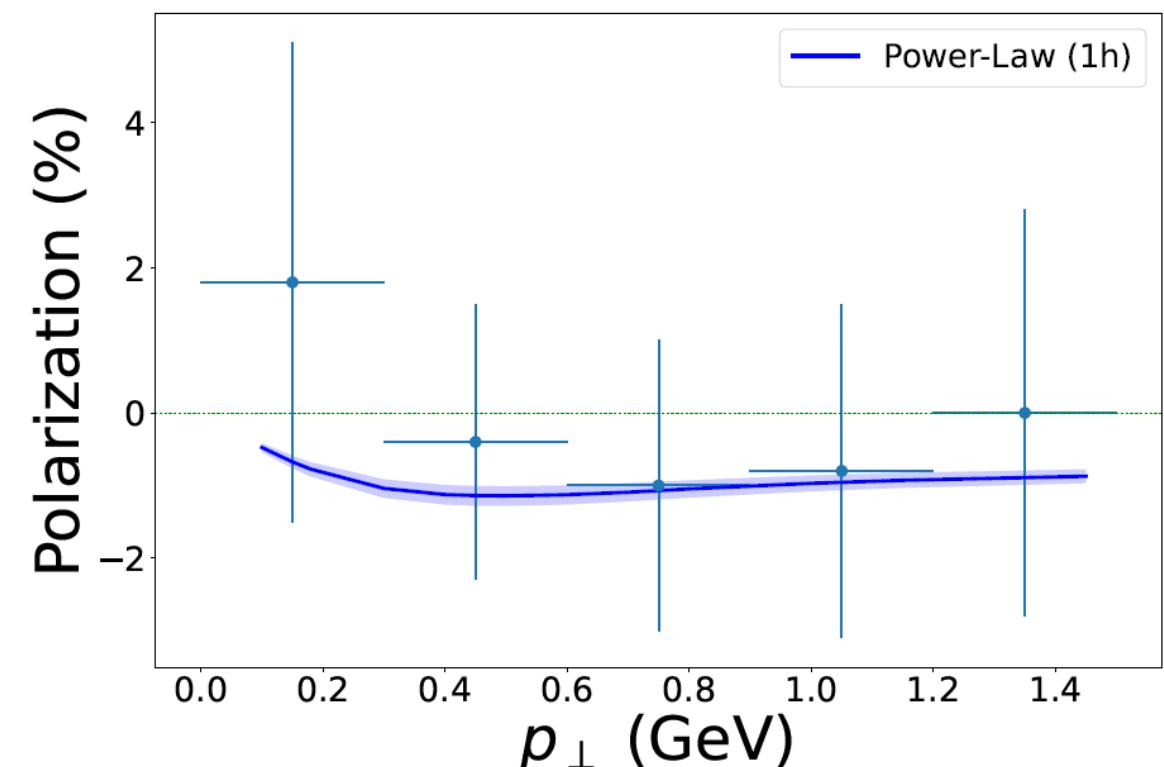
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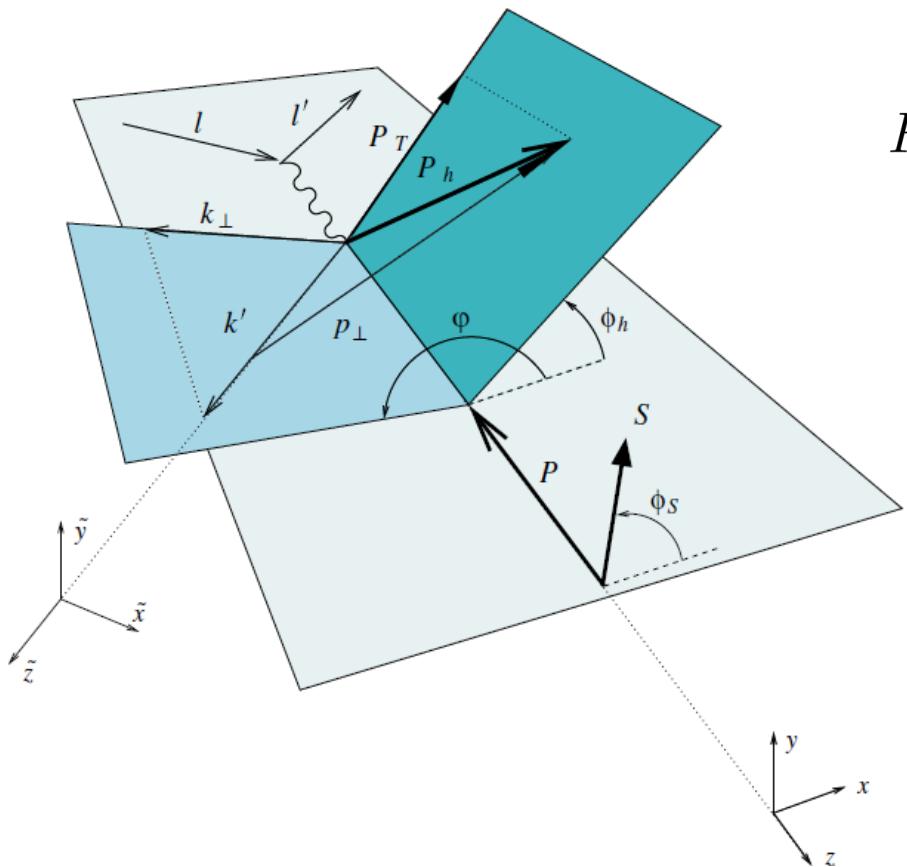


With model 1-h in “double-model” fit



SiDIS – Polarized Lambda Production

$$e^- P \rightarrow e^- \Lambda$$



$$F_{UU} = \sum_q e_q^2 \int d^2 \mathbf{k}_\perp d^2 \mathbf{p}_\perp \delta^{(2)}(\mathbf{P}_T - \xi_p \mathbf{k}_\perp - \mathbf{p}_\perp) f_{q/p}(x, k_\perp) D_{h/q}(z, p_\perp)$$

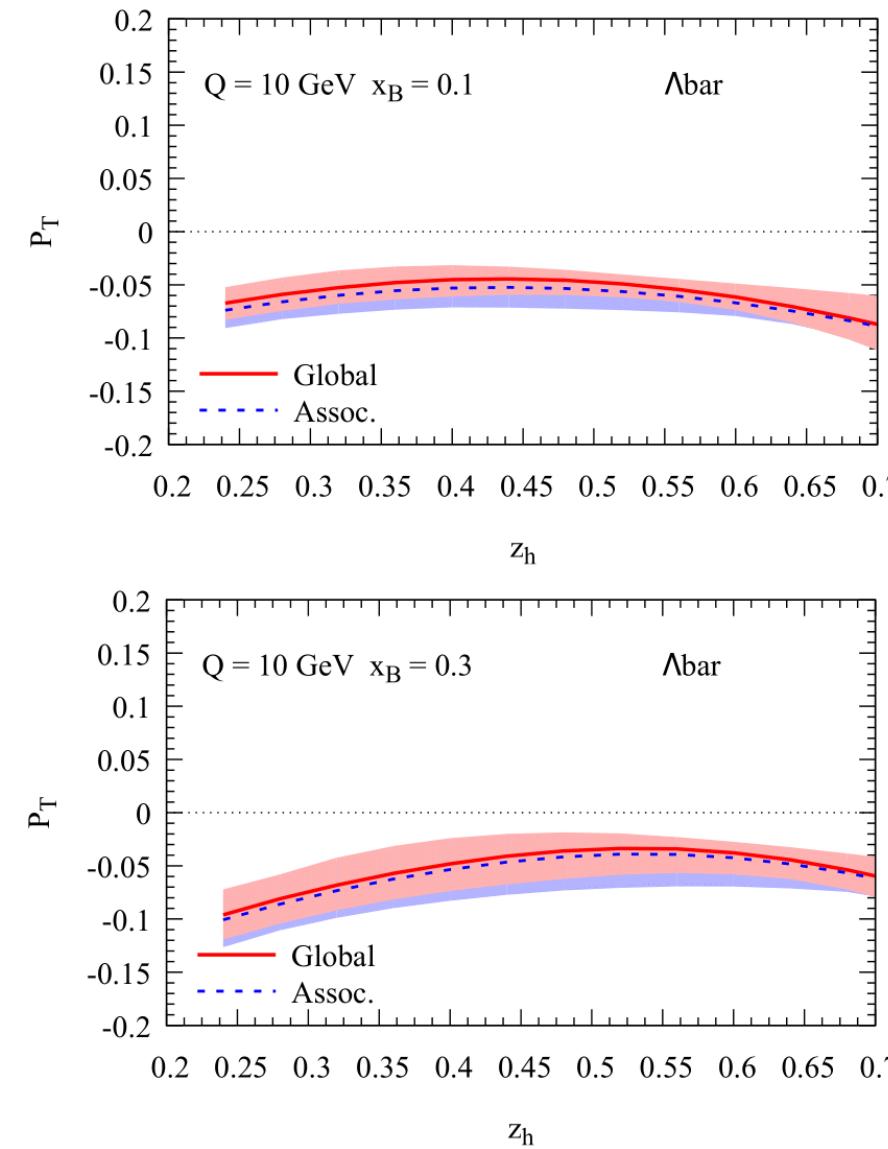
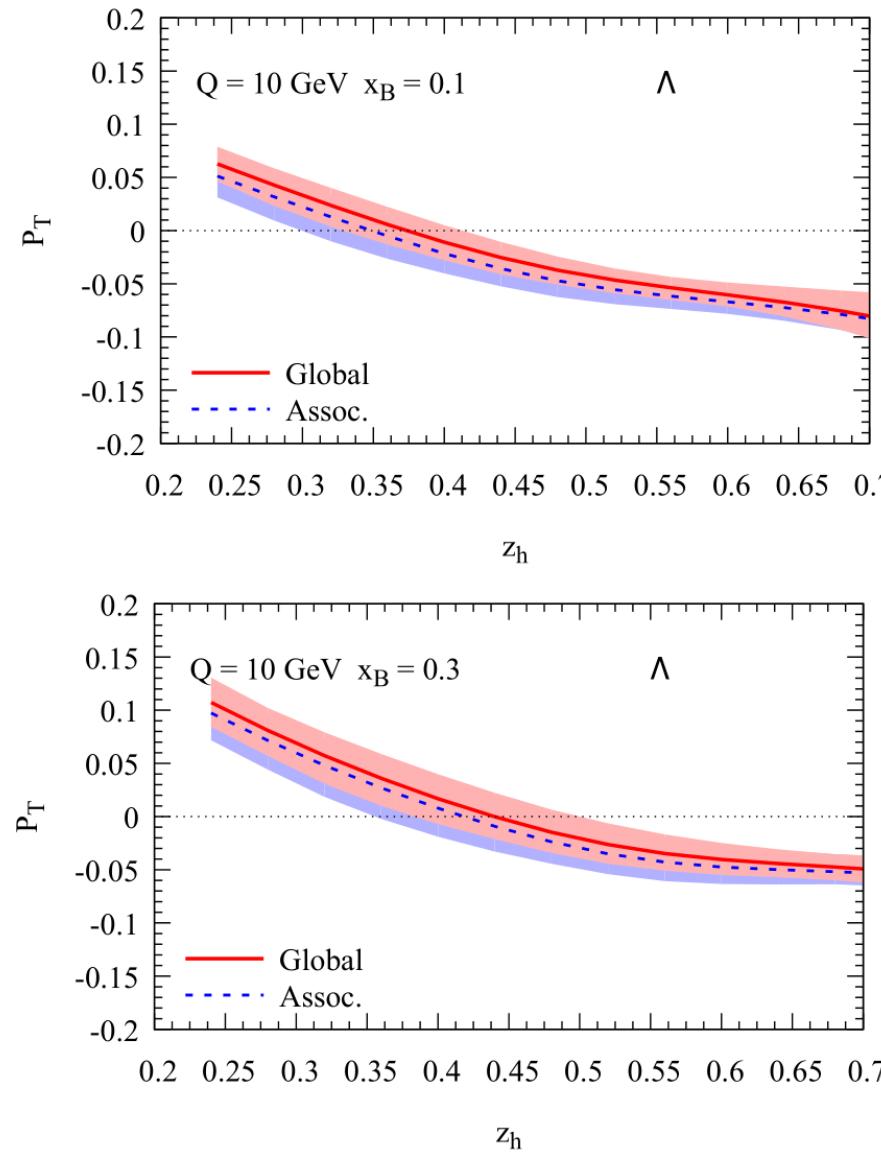
$$F_{TU}^{\sin(\phi_1 - \phi_{S_h}^L)} = \sum_q e_q^2 \int d^2 \mathbf{k}_\perp d^2 \mathbf{p}_\perp \delta^{(2)}(\mathbf{P}_T - \xi_p \mathbf{k}_\perp - \mathbf{p}_\perp) \\ \times \left[\xi_p \frac{\mathbf{k}_\perp \cdot \hat{\mathbf{P}}_T}{p_\perp} - \frac{P_T}{p_\perp} \right] f_{q/p}(x, k_\perp) \Delta^N D_{h^\dagger/q}(z, p_\perp)$$

$$P_n^{h_1}(x, z) = \frac{\int d^2 \mathbf{P}_T F_{TU}^{\sin(\phi_1 - \phi_{S_h}^L)}}{\int d^2 \mathbf{P}_T F_{UU}}$$

x_B Bjorken-x
 z_h energy fraction

$$\xi_p = z_h \left(1 - \frac{m_h^2}{z_h^2 Q^2} \frac{x_B}{1 - x_B} \right)$$

SiDIS – Polarized Lambda Production



Prediction for the Λ polarization:

- $x_B = 0.1$

- $x_B = 0.3$

Polarization $\approx 10\%$

Conclusions

Conclusions

- Convolutions with CSS Evolution Equations in b_T -space
- Fit Results: 2-h
- Comparison with previous fit;

Conclusions

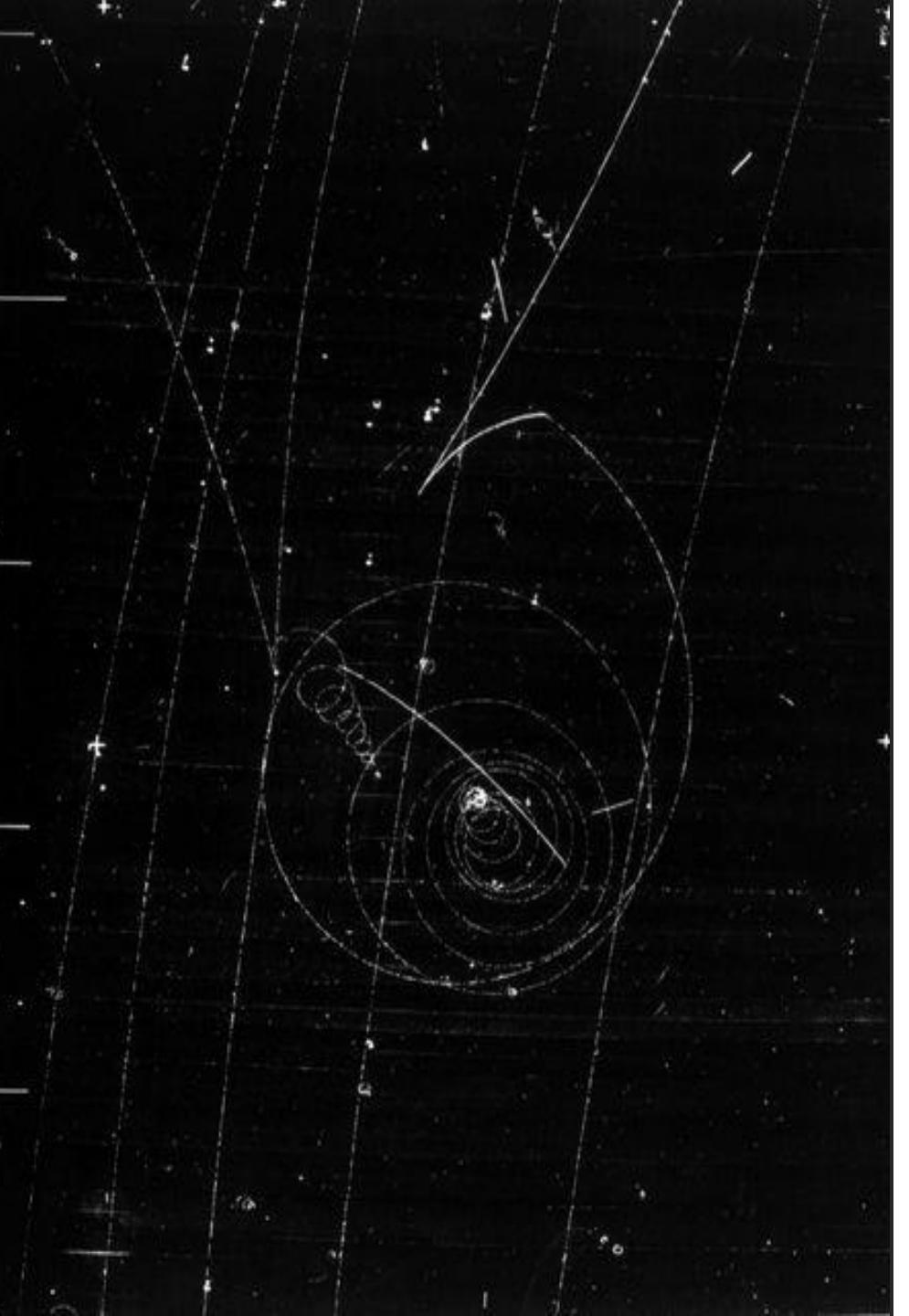
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- Results compatible with previous analysis
- Not able to describe $\Lambda + K$ data
- $pFF(2\text{-}h) \neq pFF(1\text{-}h)$
- Good description with different models



Thanks for your attention!

Backup Slides

Polarization 2-h: Double-hadron Production

$$\begin{aligned} \mathcal{B}_1 \left[\tilde{D}_{1T}^{\perp(1)} \tilde{D}_1 \right] = & \frac{\mathcal{H}^{(e^+e^-)}(Q)}{z_1^2 z_2^2} \sum_q e_q^2 \int \frac{db_T}{(2\pi)} b_T^2 J_1(b_T q_T) \tilde{D}_{1T}^{\perp(1)}(z_1; \bar{\mu}_b) d_{\bar{q}/h_2}(z_2; \bar{\mu}_b) \\ & \times M_D^\perp(b_c(b_T); b_{\max}) M_{D_2}(b_c(b_T); b_{\max}) \exp \left\{ -g_K(b_c(b_T); b_{\max}) \ln \left(\frac{Q^2 z_1 z_2}{M_{h_1} M_{h_2}} \right) \right\} \\ & \times \exp \left\{ \tilde{K}(b_*; \bar{\mu}_b) \ln \frac{Q^2}{\bar{\mu}_b^2} + \int_{\bar{\mu}_b}^Q \frac{d\mu'}{\mu'} \left[2\gamma_D(g(\mu'); 1) - \gamma_K(g(\mu')) \ln \frac{Q^2}{\mu'^2} \right] \right\}, \end{aligned}$$

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$J_{0,1}(b_T q_T)$ Bessel Function

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$$\boxed{\int_0^{q_{T_{\max}}} dq_T q_T J_1(b_T q_T) = \frac{\pi q_{T_{\max}}}{2b_T} \{ J_1(b_T q_{T_{\max}}) H_0(b_T q_{T_{\max}}) - J_0(b_T q_{T_{\max}}) H_1(b_T q_{T_{\max}}) \}}$$

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Polarization 2-h: Double-hadron Production

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$$q_{Tmax} = Q * \eta$$

$$\eta = [0,15 - 0,3]$$

Region of
TMD factorization

Double-hadron production (2-h) data Fit

Best Results

Polarizing	Unpolarized	g_K	$M_D^{h_2}$	χ^2_{dof} (2-h)
Gaussian	Power-Law	Logarithmic	Gaussian	1.192
Power-Law	Power-Law	Logarithmic	Gaussian	1.21
Gaussian	Power-Law	PV17	PV17	1.198

- $\chi^2_{\text{dof}} \simeq 1.2$
- First moment parameters are consistent;
- Up pFF is positive
- Up and Down: opposite contribution
- The M_D^\perp models are compatible

Parameters	Gaussian	Power-Law	Gaussian
N_u	0.093	0.100	0.168
N_d	-0.100	-0.107	-0.138
N_s	-0.117	-0.115	-0.161
N_{sea}	-0.055	-0.058	-0.104
a_s	2.19	2.12	2.19
b_u	3.5	3.5	4.02
b_{sea}	2.3	2.3	2.91
$\langle p_\perp^2 \rangle_p$	0.066		0.103
p		3.0	
m		0.35	

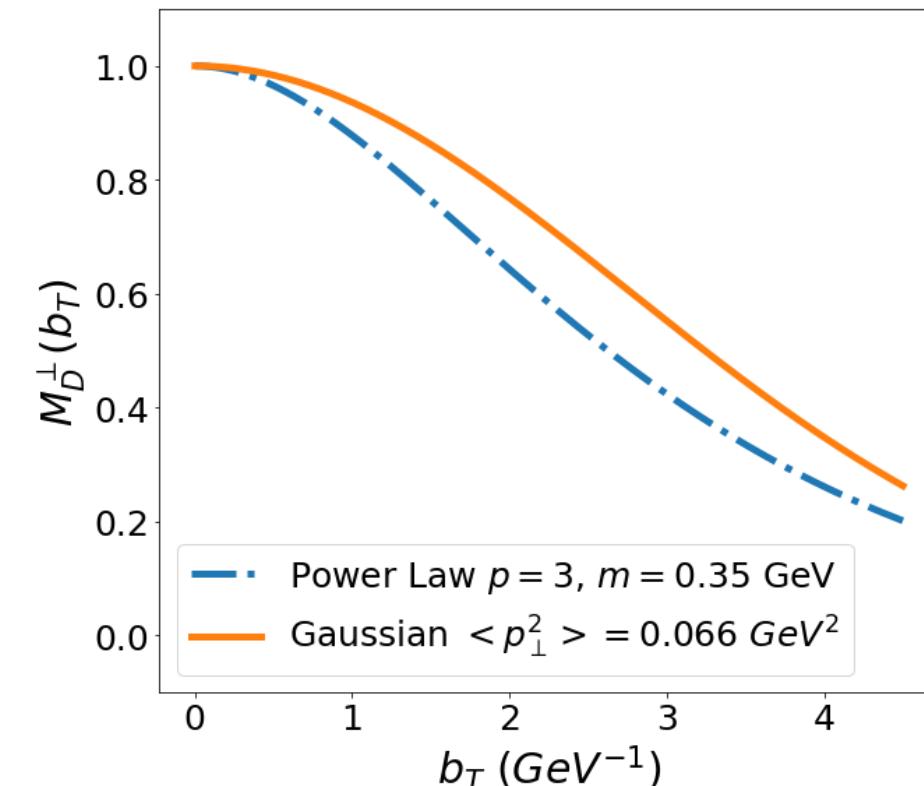
Double-hadron production (2-h) data Fit

Best Results

Polarizing	Unpolarized	g_K	$M_D^{h_2}$	χ^2_{dof} (2-h)
Gaussian	Power-Law	Logarithmic	Gaussian	1.192
Power-Law	Power-Law	Logarithmic	Gaussian	1.21
Gaussian	Power-Law	PV17	PV17	1.198

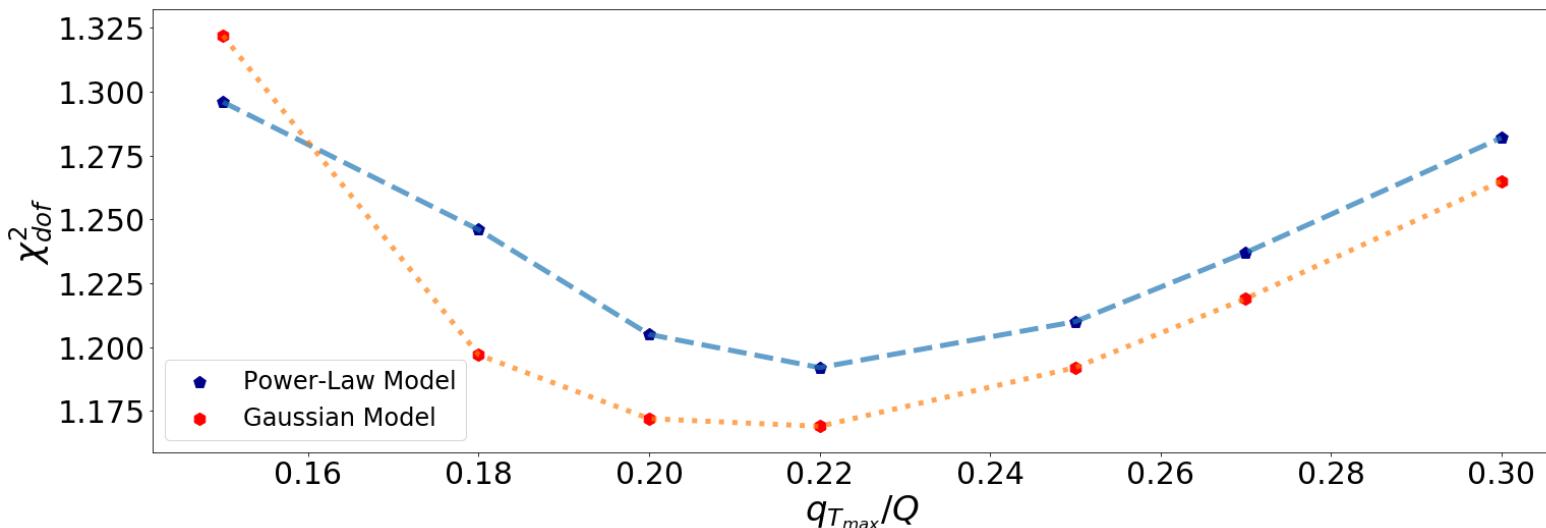
Parameters	Gaussian	Power-Law	Gaussian
N_u	0.093	0.100	0.168
N_d	-0.100	-0.107	-0.138
N_s	-0.117	-0.115	-0.161
N_{sea}	-0.055	-0.058	-0.104
a_s	2.19	2.12	2.19
b_u	3.5	3.5	4.02
b_{sea}	2.3	2.3	2.91
$\langle p_\perp^2 \rangle_p$	0.066		0.103
p		3.0	
m		0.35	

- $\chi^2_{\text{dof}} \simeq 1.2$
- First moment parameters are consistent;
- Up pFF is positive
- Up and Down: opposite contribution
- The M_D^\perp models are compatible



Double-hadron production (2-h) data Fit

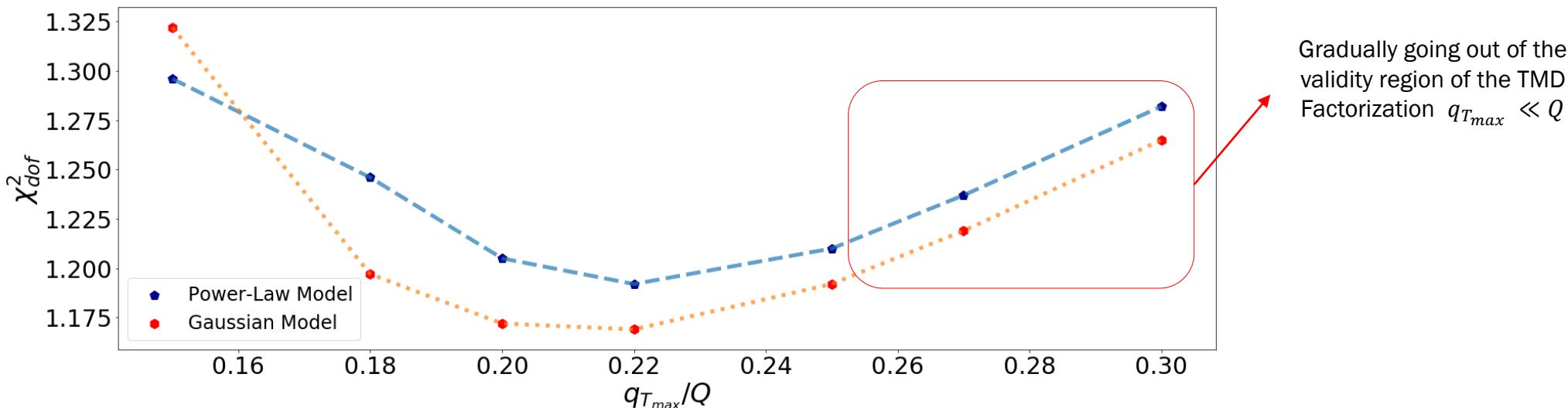
Impact of different $q_{T_{max}}/Q$ values on the quality of the fit:



- Gaussian model gives a smaller χ^2_{dof} than Power-Law model
- Both models reach their minimum at $\frac{q_{T_{max}}}{Q} = 0.22$

Double-hadron production (2-h) data Fit

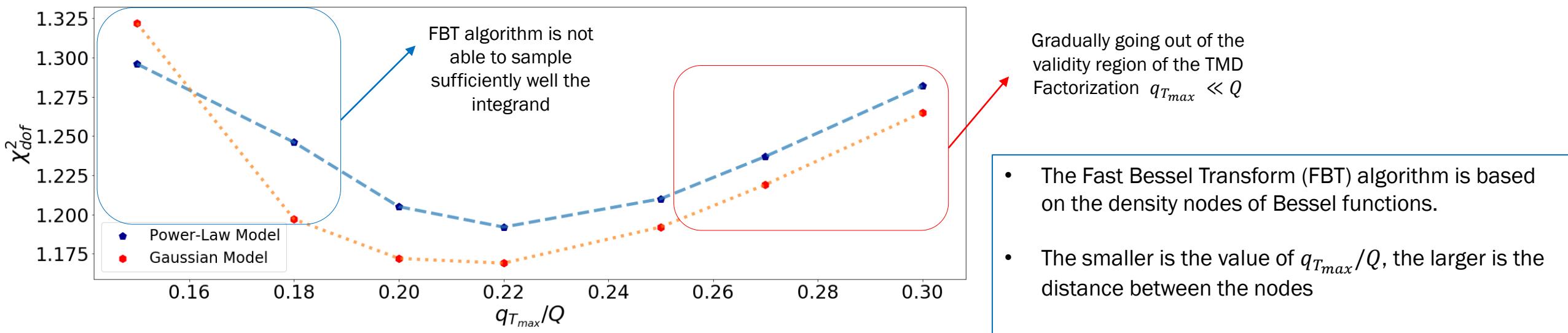
Impact of different $q_{T_{max}}/Q$ values on the quality of the fit:



- Gaussian model gives a smaller χ^2_{dof} than Power-Law model
- Both models reach their minimum at $\frac{q_{T_{max}}}{Q} = 0.22$

Double-hadron production (2-h) data Fit

Impact of different $q_{T_{max}}/Q$ values on the quality of the fit:



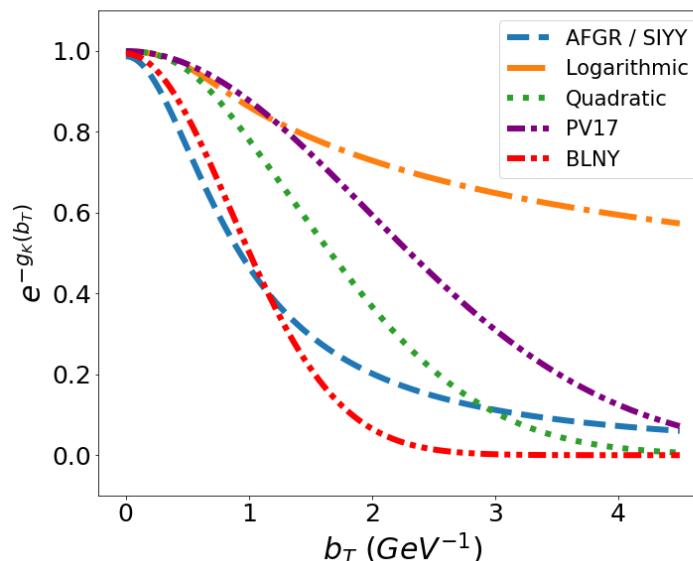
- Gaussian model gives a smaller χ^2_{dof} than Power-Law model
- Both models reach their minimum at $\frac{q_{T_{max}}}{Q} = 0.22$

Double-hadron production (2-h) data Fit

g_K	χ^2_{dof} range
Logarithmic	1.192 - 1.287
Quadratic	1.4 - 1.472
AFGR	1.474 - 1.514
BLNY	1.67 - 1.783

- Extractions are stable when employing the same $g_K(b_T)$
- Best fits with Logarithmic function $\chi^2_{dof} < 1.3$
- Quadratic/AFGR $\chi^2_{dof} = [1.4 - 1.5]$
- Worst fits with BLNY $\chi^2_{dof} > 1.7$

$g_K(b_T)$ better if it goes like a constant for large b_T

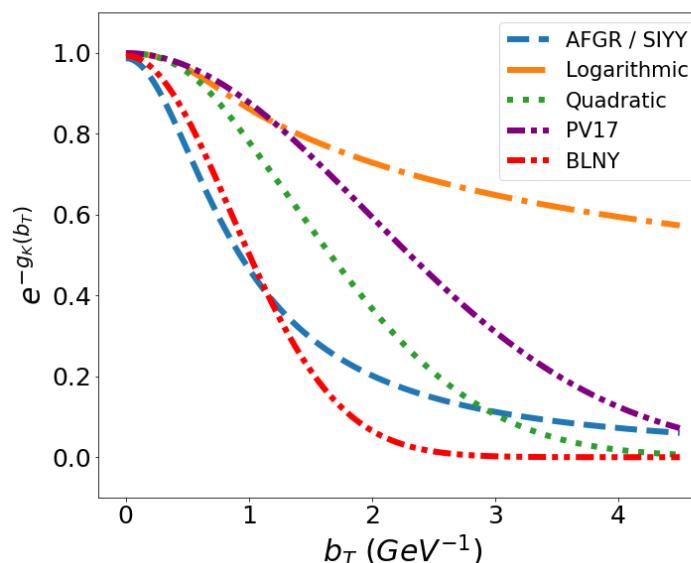


Double-hadron production (2-h) data Fit

g_K	χ^2_{dof} range
Logarithmic	1.192 - 1.287
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We have 36 different fits that can describe 2-h data well enough.

Can they also describe the 1-h data set?