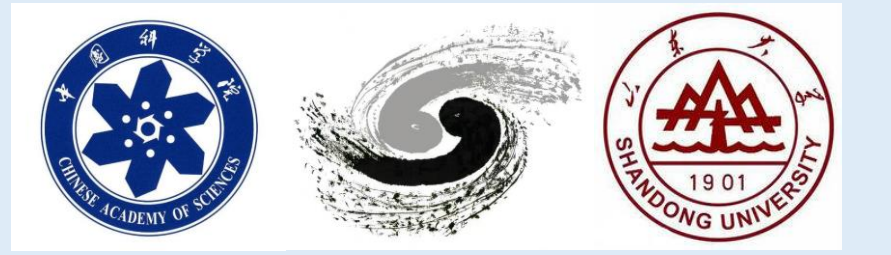


# Application of Machine Learning to Particle Identification at the BESIII experiment

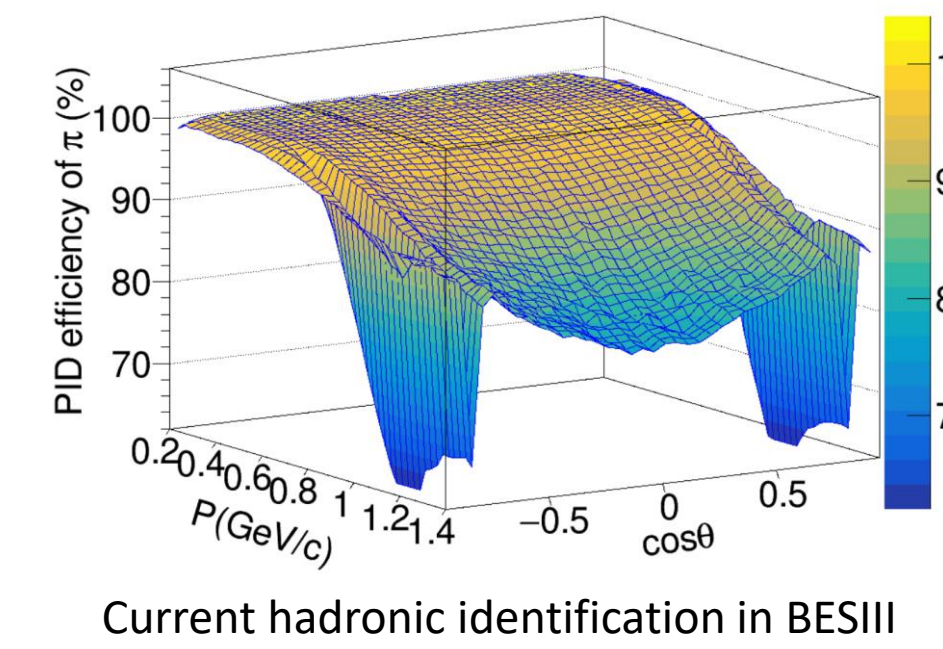
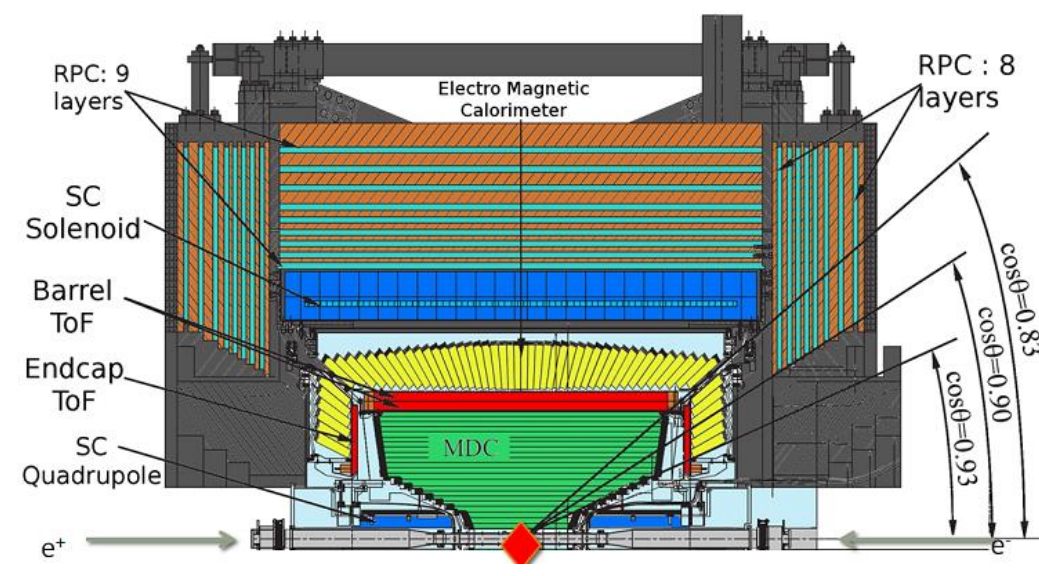
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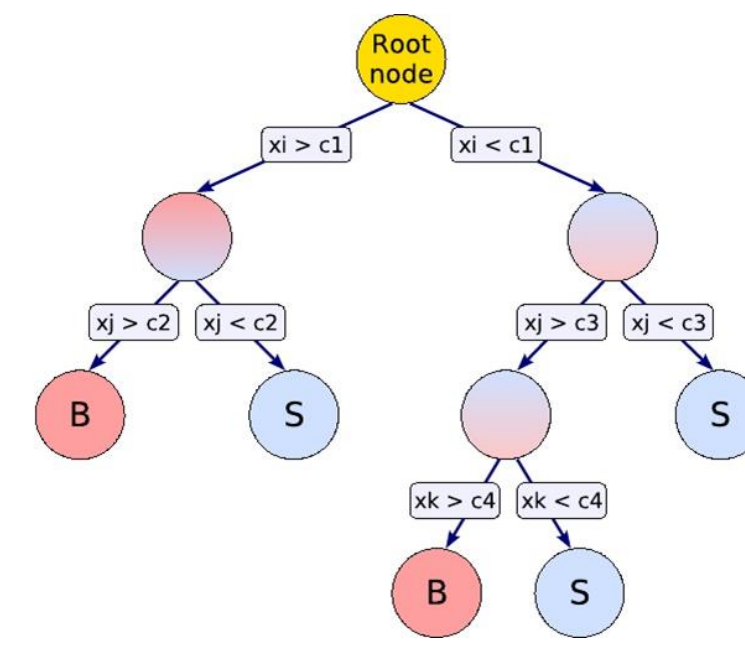
## Introduction

- Particle identification (PID) is an important ingredient to particle physics experiments
- Efficient hadronic identification usually requires the combination of variables from several dedicated detectors
- Machine learning algorithms have been developing to use computational methods to "learn" information directly from data
- With high statistic and high purity data samples, BESIII experiment offers an unique opportunity to take advantages of machine learning techniques to obtain a better performance of particle identification

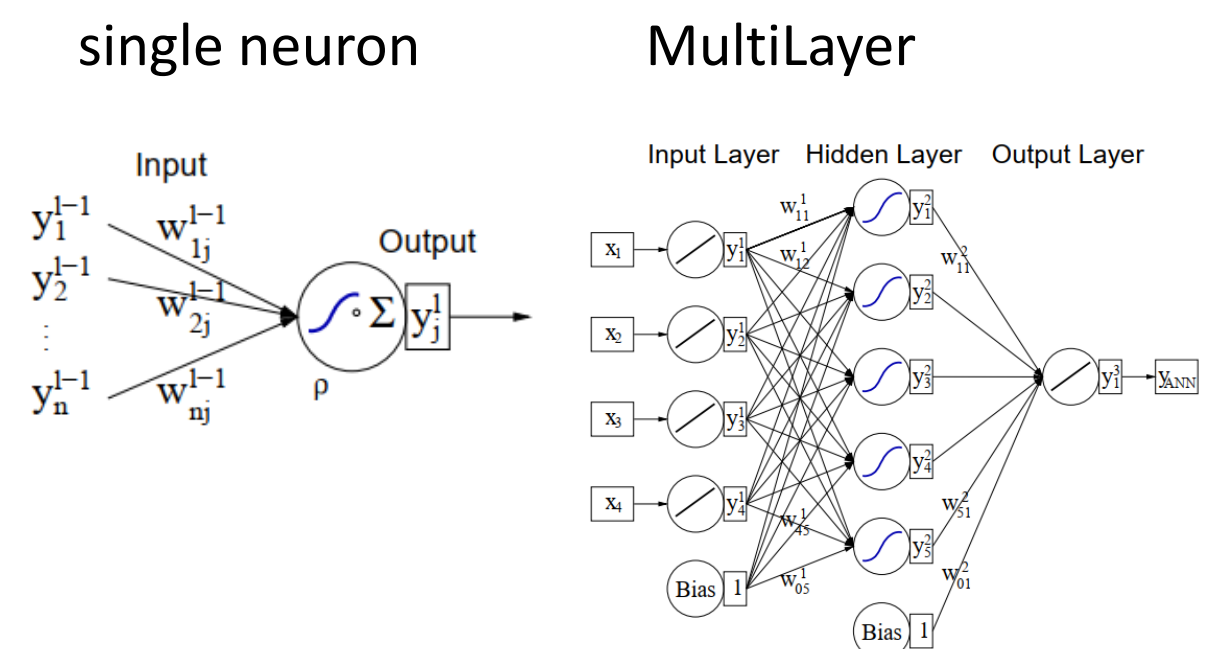


## Machine learning models

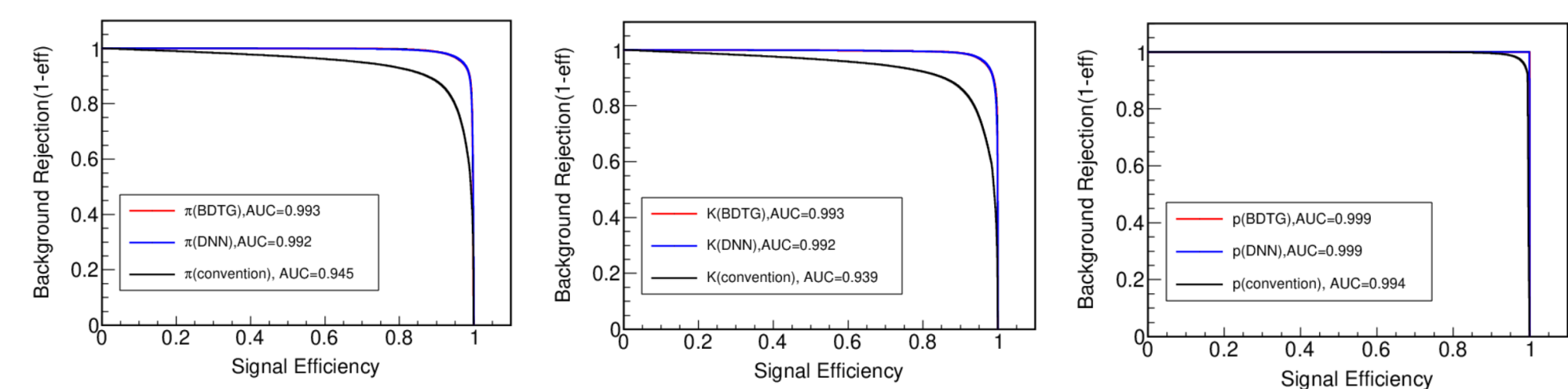
Gradient boosted decision trees (BDTG)



Deep neural networks (DNN)



- Receiver Operating Characteristic (ROC) curve



The performance of BDTG is slightly better than DNN!

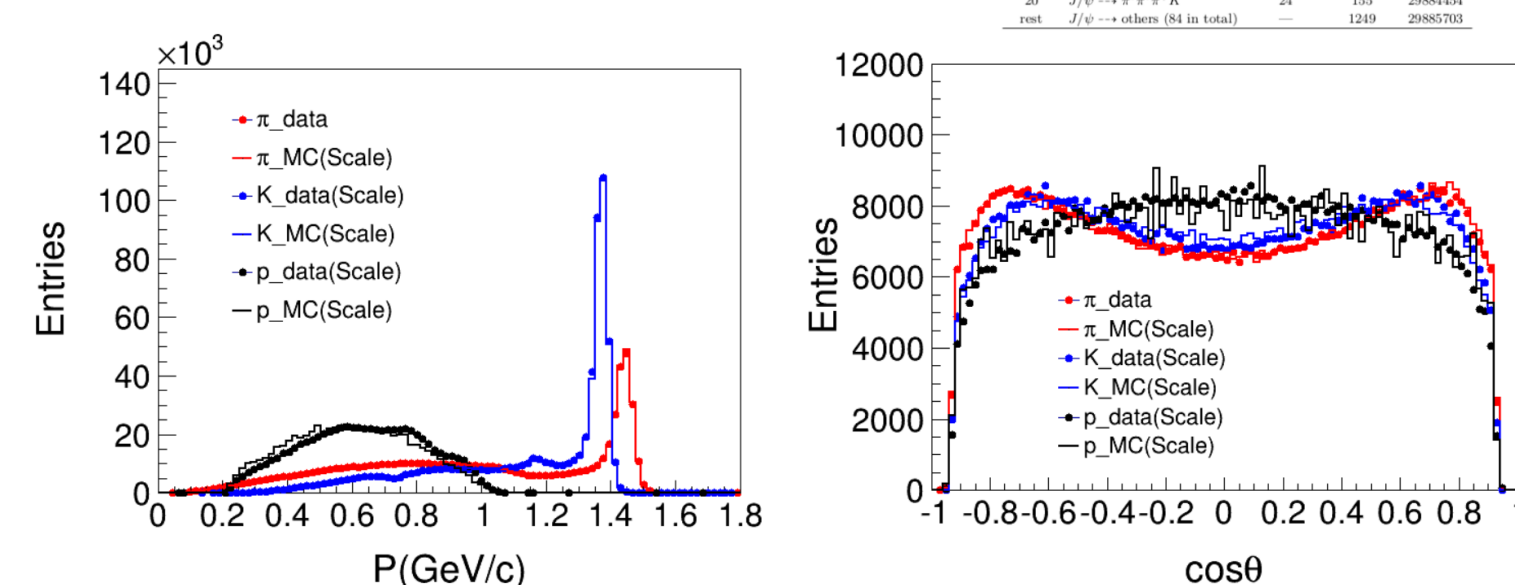
## Dataset

- The quality of data has a huge impact on machine learning tasks
- High statistic, wide range of momentum and solid angle and high purity hadron samples are required
- Event selection from 10 billion  $J/\psi$  events without conventional particle identification



Topology analysis on MC samples

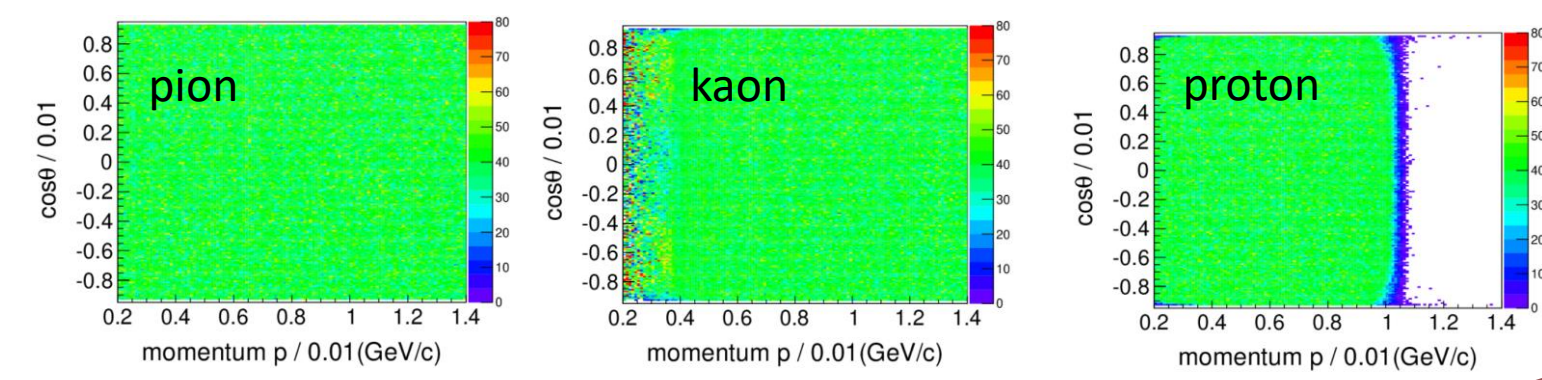
Particle	Purity
$\pi$	> 99.9%
K	> 99.4%
p	> 99.6%



- $J/\psi \rightarrow \pi^+ \pi^- \pi^0, \pi^0 \rightarrow \gamma \gamma$
- $J/\psi \rightarrow K_S^0 K^\pm \pi^\mp, K_S^0 \rightarrow \pi^+ \pi^-$
- $J/\psi \rightarrow p \bar{p} \pi^+ \pi^-$

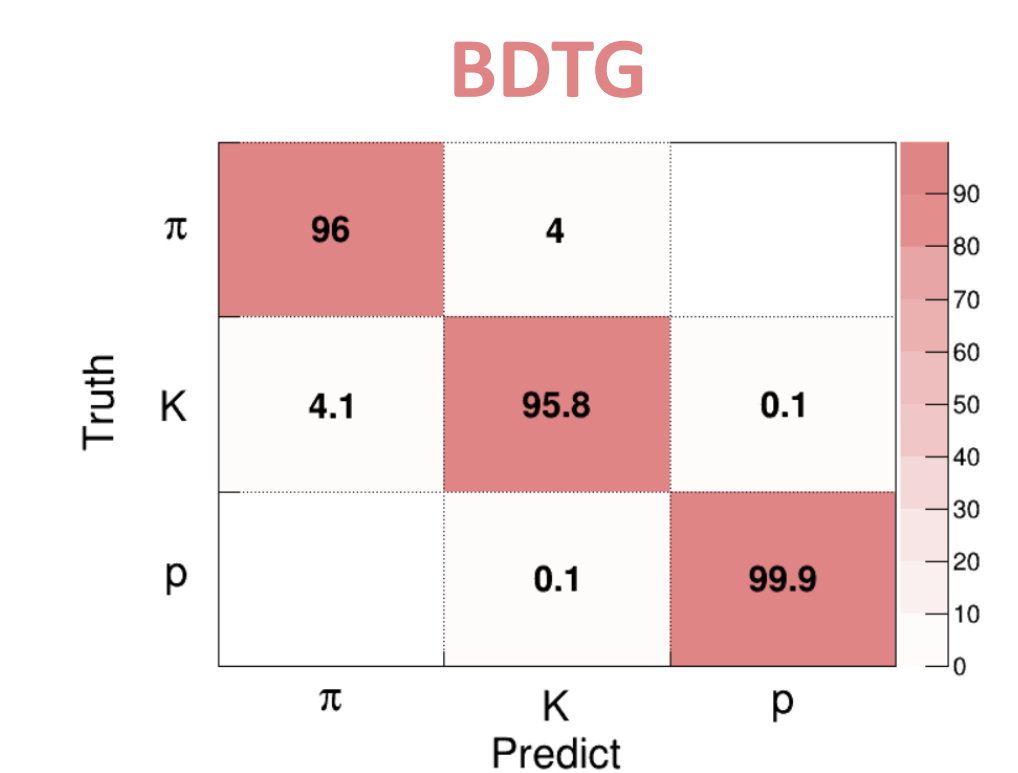
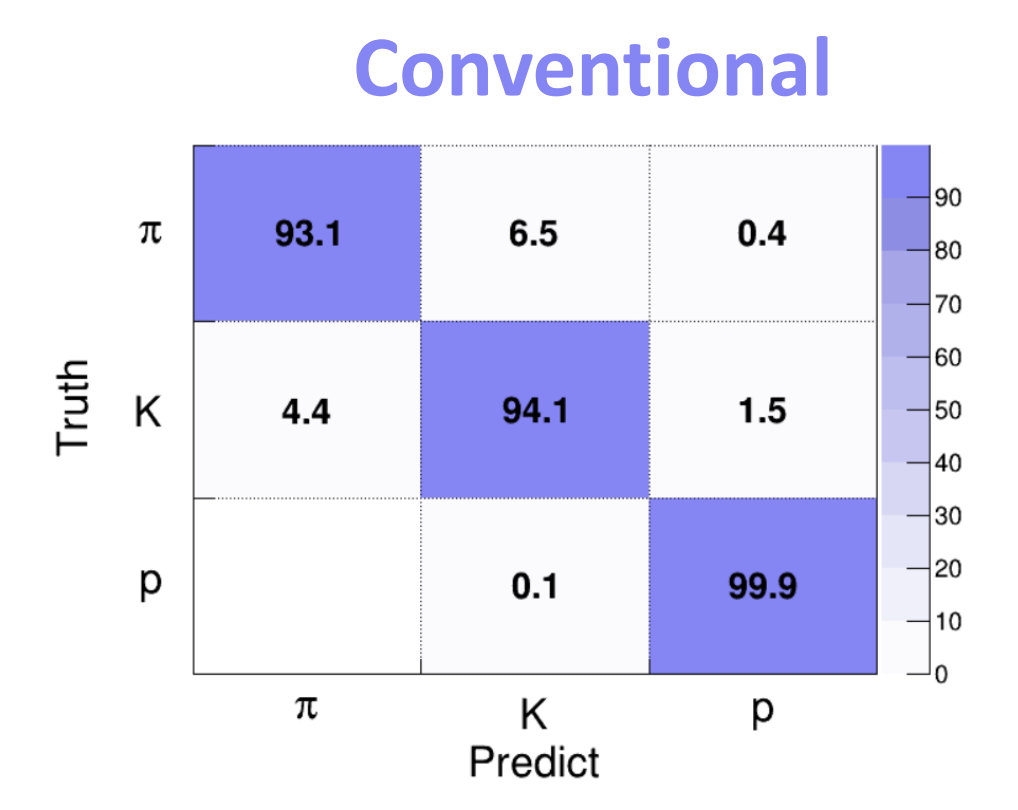
- It is essential to do data preprocessing, the steps we go through are:

- data cleaning
- data transformation
- class balance

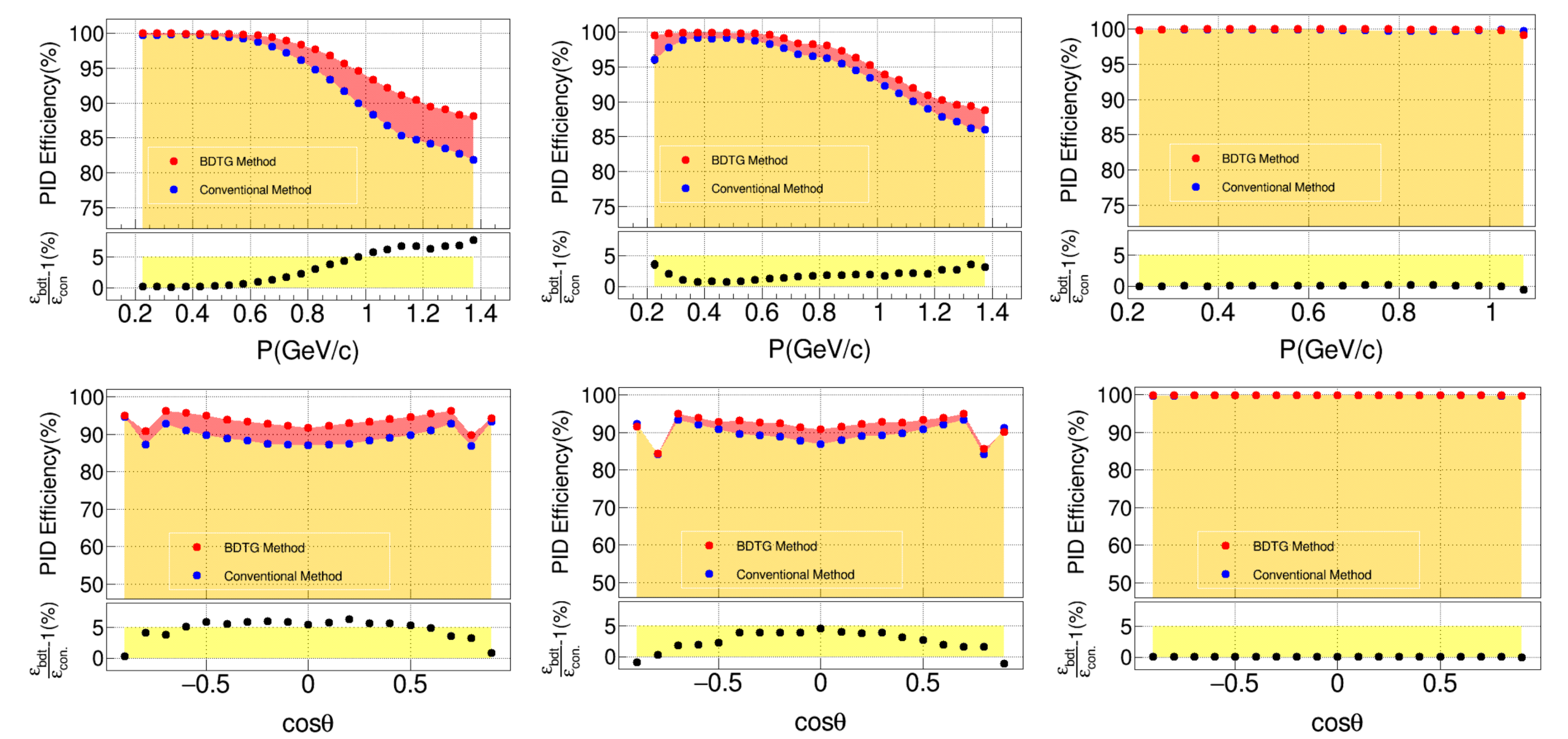


## Performance

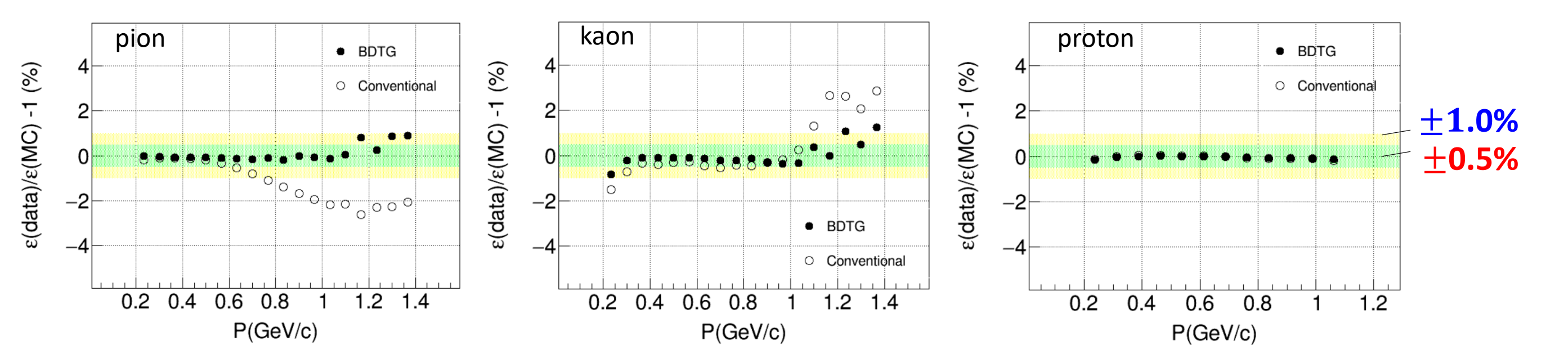
- Binary classification performed for particle identification with momentum between 0.2-1.4 GeV/c
- Cells show the ratio of particle labeled as column predicted as particle labeled as row
- Both for real data and MC samples, using BDTG method, true positive/negative rate improved and false positive/negative rate declined



- The particle identification efficiency  $\epsilon$  different with momentum and  $\cos \theta$



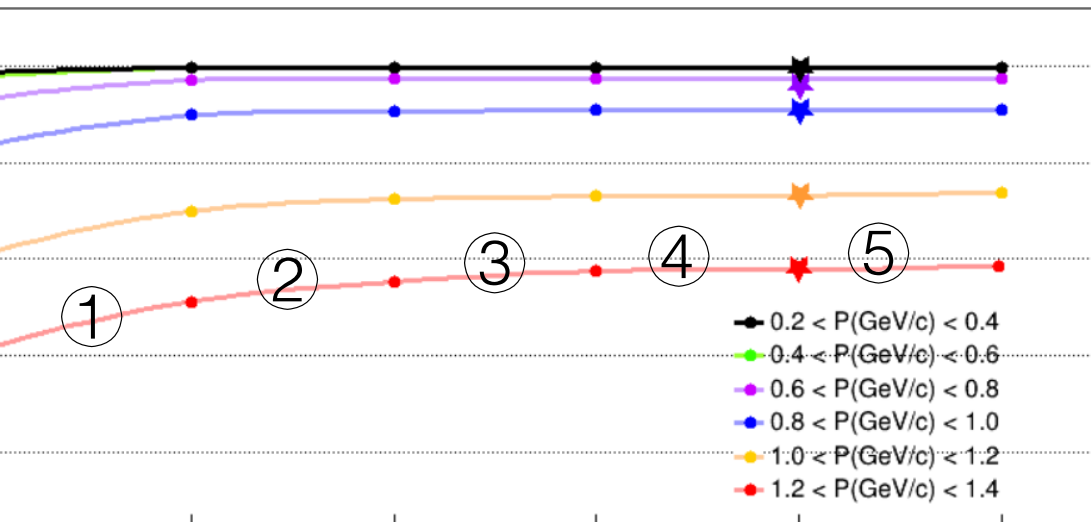
- Systematic error:  $\Delta \epsilon = \frac{\epsilon(\text{data}) - \epsilon(\text{MC})}{\epsilon(\text{MC})}$



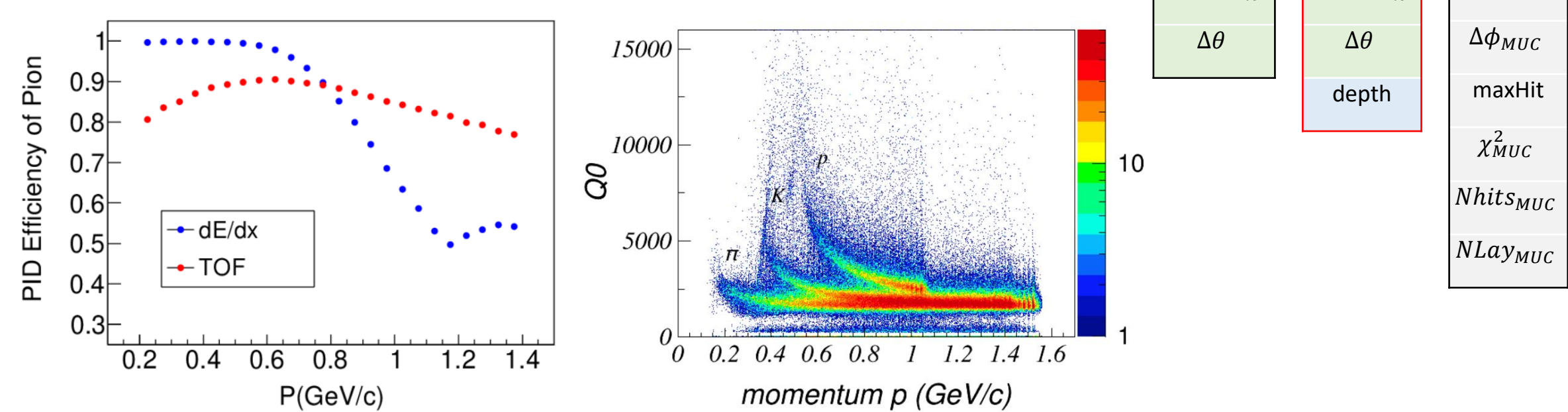
- Systematic error of particle identification is improved using BDTG
  - For the pion with momentum larger than 1.0 GeV/c, systematic error decreased from 2% to 1%
  - Below 1.1 GeV/c, the systematic error less than 0.5% both for pion and kaon
  - The systematic error of proton maintain very low

## Feature selection

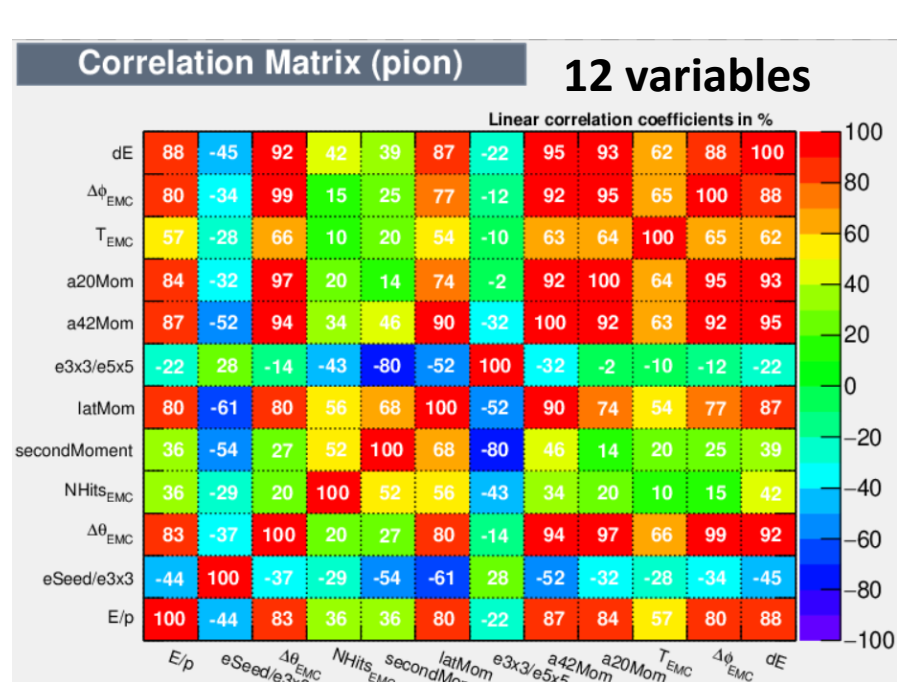
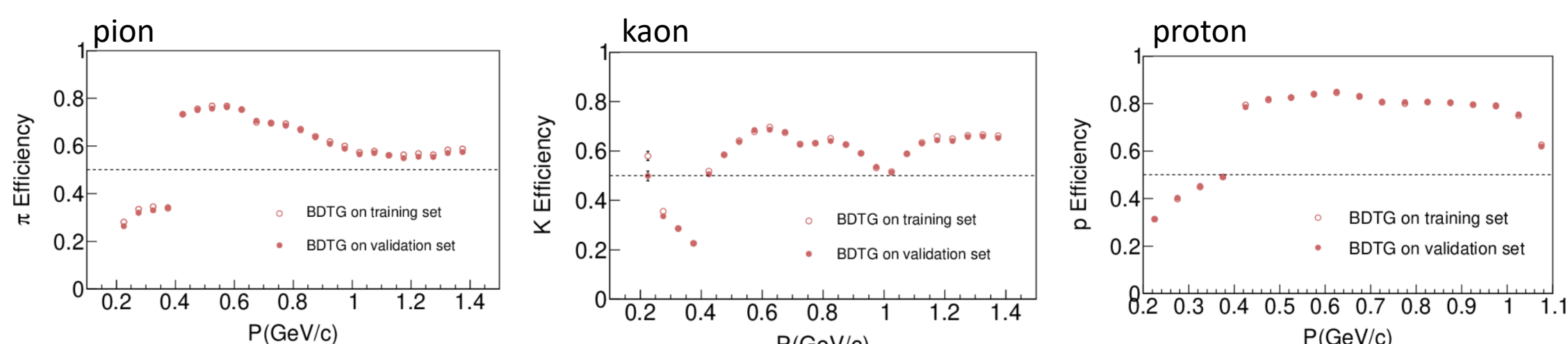
PID efficiency =  $\frac{n}{N}$   
n: number of  $\pi, K$  and  $p$  identified correctly  
N: total number of  $\pi, K$  and  $p$



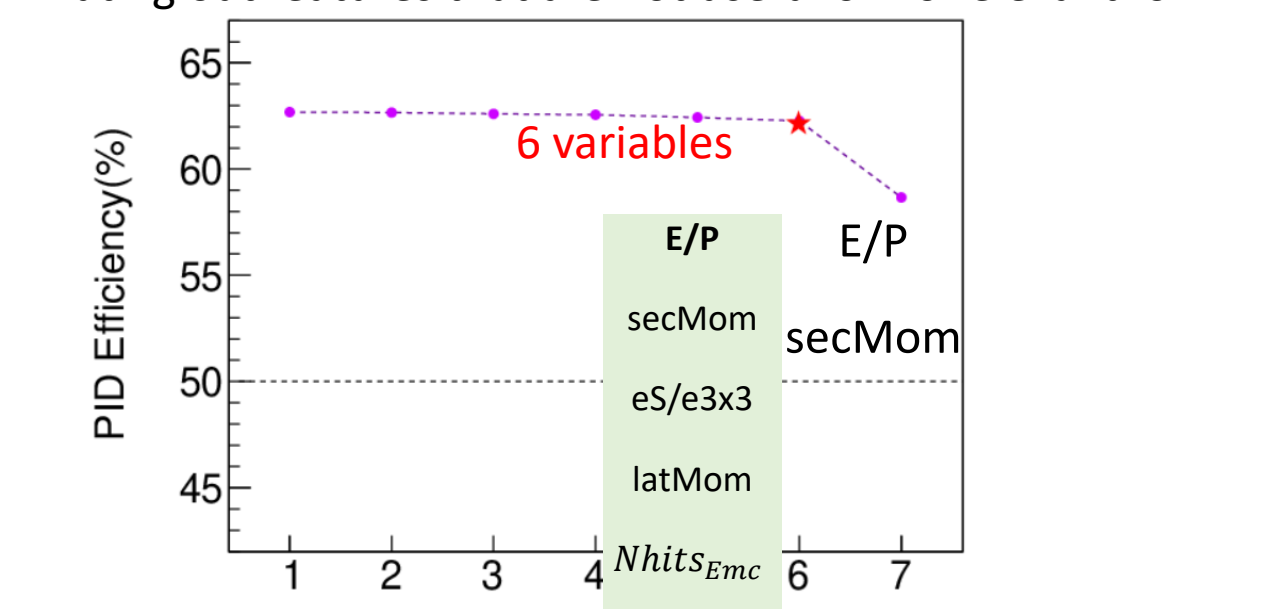
Fea.1	Fea.2	Fea.3	Fea.4	Fea.5
P	P	P	P	P
$\cos \theta$	$\cos \theta$	$\cos \theta$	$\cos \theta$	nghits
charge	charge	charge	charge	e3/e5
$\chi_{dE/dx}$	$\chi_{dE/dx}$	$\chi_{dE/dx}$	$\chi_{dE/dx}$	a42Mom
$\epsilon_{1,1,1,2,2,1,2,2}$	$\epsilon_{1,1,1,2,2,1,2,2}$	$\epsilon_{1,1,1,2,2,1,2,2}$	$\epsilon_{1,1,1,2,2,1,2,2}$	a20Mom
$Q_{TOF}$	$Q_{TOF}$	$Q_{TOF}$	$Q_{TOF}$	$\Delta \phi$
E/P	E/P	E/P	E/P	Time
eS/e3x3	eS/e3x3	eS/e3x3	eS/e3x3	dE
secMom	secMom	secMom	secMom	energy
latMom	latMom	latMom	latMom	$\Delta \phi_{MUC}$
Nhits <sub>Emc</sub>	Nhits <sub>Emc</sub>	Nhits <sub>Emc</sub>	Nhits <sub>Emc</sub>	$\chi_{MUC}$
$\Delta \theta$	$\Delta \theta$	$\Delta \theta$	$\Delta \theta$	Nhits <sub>MUC</sub>
depth	depth	depth	depth	NLay <sub>MUC</sub>



- The measurements of EMC are also contribute to particle identification



Muting out features that are not useful or no relevant for PID



- The weights of  $dE/dx$  and TOF could be "learned"
- It is feasible to use  $Q_0$  of TOF to identify the hadrons
- The measurements of EMC are also contribute to particle identification
- Depth measured by MUC are also be used for particle identification
- Many other variables (Fea.5) are also tested, the result shows that more variables are useless for particle identification

## Conclusion

- With high quality data samples, particle identification are demonstrated using gradient boosted decision trees (BDTG) and deep neuron networks (DNN) method
- Combining information from the BESIII main drift chamber, time of flight detector, electromagnetic calorimeter and muon chamber allow to achieve higher particle identification efficiency for hadrons
- Training two models for real data and MC samples, systematic error of particle identification improved using boosted decision trees
- The application of more advanced machine learning techniques will be extended and optimized