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# BESIII track reconstruction algorithm based on machine learning

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# Outline

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**01** Motivation

**02** Methodology

➤ Filtering Noise via GNN

➤ Clustering of Tracks Based on DBSCAN and RANSAC

**03** Preliminary Results

**04** Summary

◆ Beijing electron-positron collider (BEPCII)

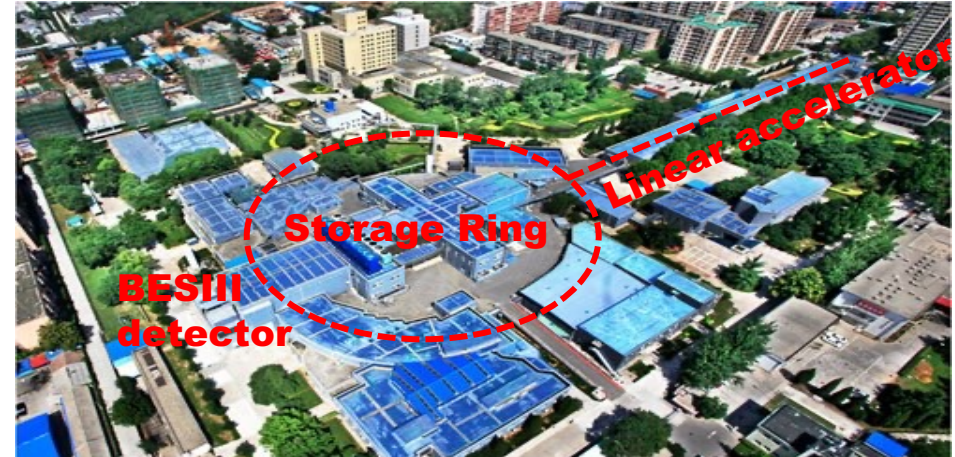
- Peak luminosity :  $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
- CMS: 2.0 - 4.95 GeV,  $\tau$ -charm region
- World's largest  $J/\psi$  dataset : 10 billion

◆ Beijing Spectrometer (BESIII)

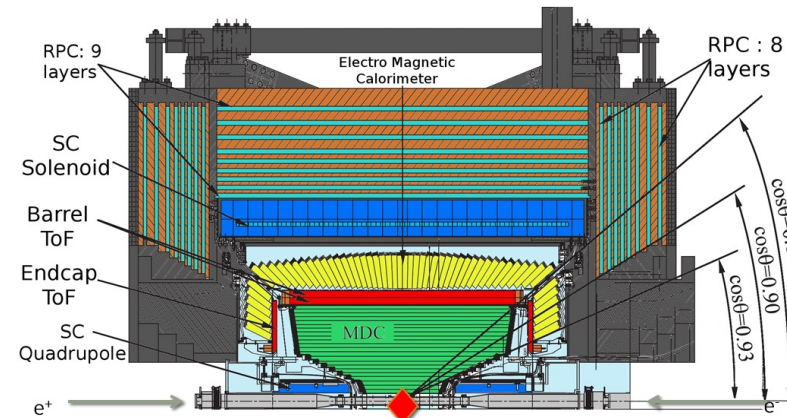
- Study the electroweak and strong interactions
- Search for new physics

◆ Main Drift Chamber (MDC)

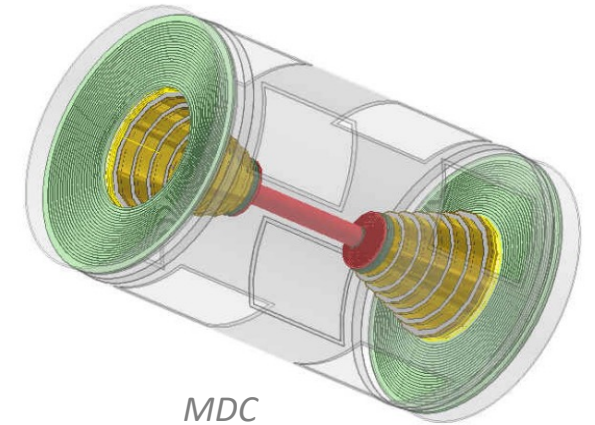
- 43 sense wire layers
- $dE/dx$  resolution : 6%
- Momentum resolution :  $0.5\% @ 1\text{GeV}/c$



Aerial view of the BEPCII

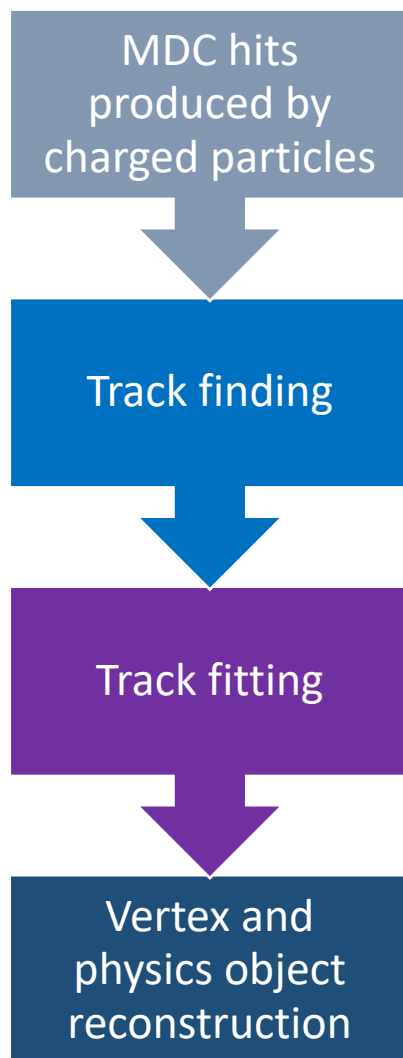


BESIII detector



MDC

# Traditional tracking of BESIII drift chamber



◆ Identify measurements to individual tracks

- Global method : Hough transform (HOUGH)

*Affect by energy loss and overlapping track*

- Local method : Template matching for segment (PAT)

*Sensitive to wire inefficiency, layer arrangement and momentum*

Seeding and road following (TCurlFinder)

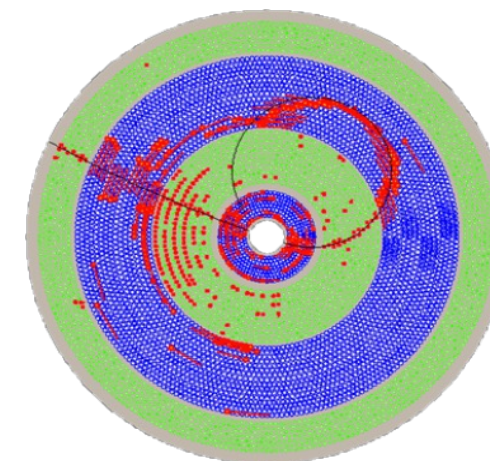
*Affect by noise or background along the track path*

◆ Estimate the track parameters

- Kalman filter

◆ Estimate charged particles properties

- Momentum and direction
- Charge



# 01 Motivation

## ◆ Further optimizations: Increase the tracking efficiency

and performance for special events

- Low transverse momentum
- Large dip angle
- Secondary vertex

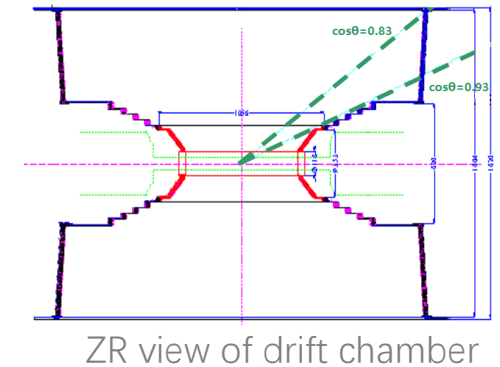
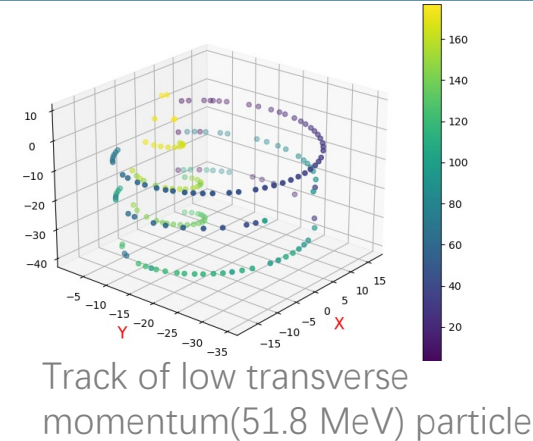
## ◆ New Challenge: Higher Background and noise with the upgrade of BEPCII

- Noise hit resistance

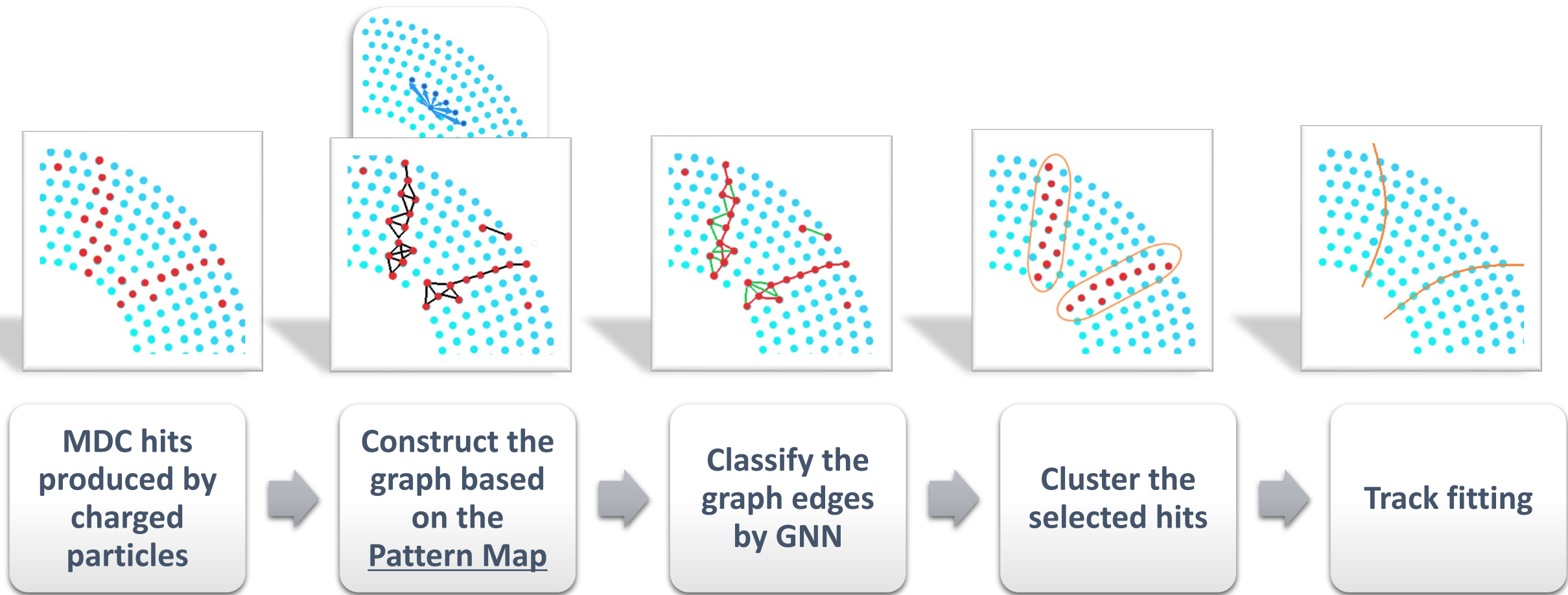
## ◆ But the optimization of the traditional tracking algorithm could be **very challenging**

## ◆ Goals of this study

- Explore the new tracking method with novel technologies
  - GNN, DBSCAN...
- **Develop** experiment independent tracking with 2-D measurement (drift chamber)  
for other experiments (i.e. STCF, CEPC ...)



# 02 Methodology: workflow



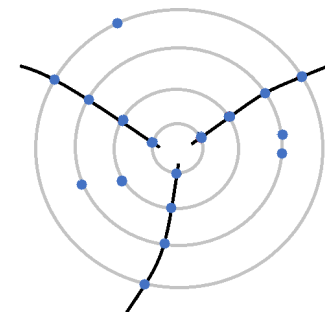
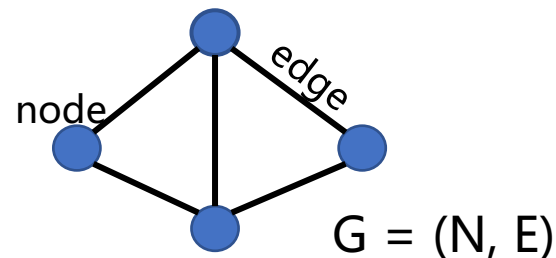
# 02 Graph Neural Network

◆ A type of neural network that are specifically designed to operate on graph-structured data

◆ Graph: nodes, edges

◆ Graph  $\rightarrow$  Track

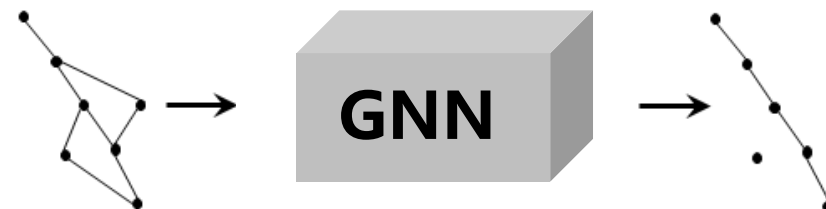
- Nodes  $\rightarrow$  Hits
- edges  $\rightarrow$  track segments



◆ GNN key idea: propagate information across the graph using a set of learnable functions that operate on node and edge features

◆ Graph Neural Network edge classifier

- High classification score  
 $\rightarrow$  *the edge belongs to a true particle track*
- Low classification score  
 $\rightarrow$  *it is a spurious or noise edge*



# 02 Graph construction

## Pattern Map based on MC simulation

*To reduce the number of fake edges during graph construction*

### ◆ Definition of valid neighbors

- Hits on the same layer
  - Two adjacent sense wires on the left and right
- Hits on the next layer

The collection of sense wires that could potentially represent two successive hits on a track

### ◆ MC sample used to build pattern map

- Two million single tracks produced with BESIII offline software (BOSS)
- 5 types of charged particles ( $e^\pm$ ,  $K^\pm$ ,  $\mu^\pm$ ,  $p^\pm$ ,  $\pi^\pm$ )
- $0.05 \text{ GeV}/c < P < 3 \text{ GeV}/c$

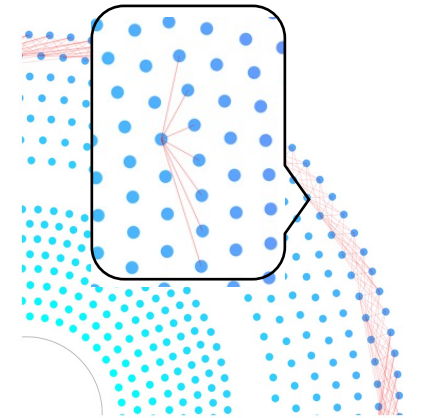
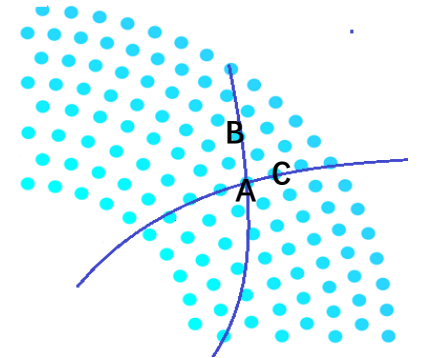
### ◆ Edge assignment based on Pattern Map

- Hit with its neighbors on the **same layer** and **next layer**
- Hit with its neighbors' neighbors on **one layer apart**

### ◆ To reduce the size of the graphs, the Pattern Map is further reduced based on a **probability cut**

### ◆ Graph representation

- Node features (raw drift time, position coordinates  $r$ ,  $\phi$  of the sense wires), adjacency matrices, edge labels



*A wire on layer13 and its neighbors on layer14*



# 02 GNN edge Classifier based on PyTorch

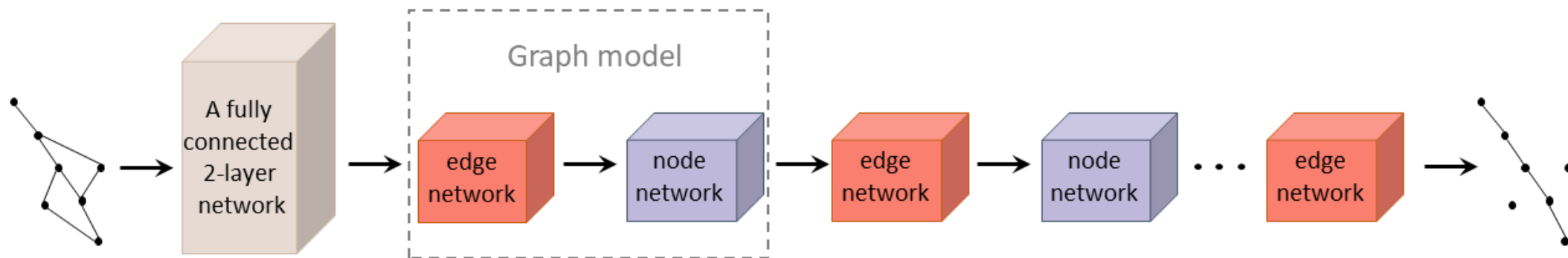
## ◆ Input network

- Node features embedded in latent space

## ◆ Graph model

- Edge network computes **weights for edges** using the features of the start and end nodes
- Node network computes **new node features** using the edge weight aggregated features of the connected nodes and the nodes' current features
- MLPs
- 8 graph iterations

## ◆ Strengthen important connections and weaken useless or spurious ones



# 02 Performance of filtering noise

## ◆ Dataset

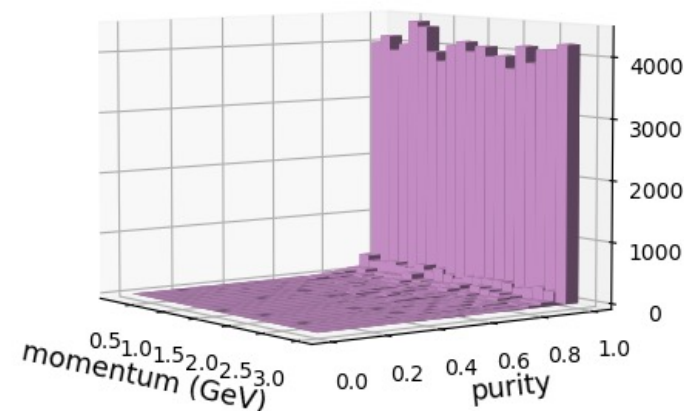
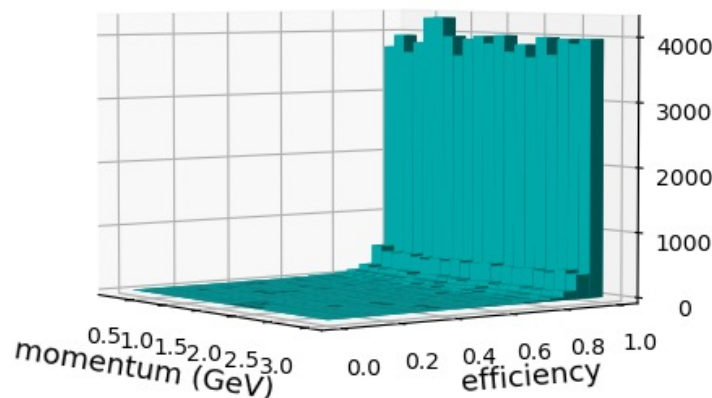
- Single-particle ( $e^\pm$ ,  $K^\pm$ ,  $\mu^\pm$ ,  $p^\pm$ ,  $\pi^\pm$ ) MC sample
- $0.2 \text{ GeV}/c < P < 3.0 \text{ GeV}/c$
- Mixed with BESIII random trigger data as background (~45% hits)
- Train: Validation: Test = 4: 1: 1

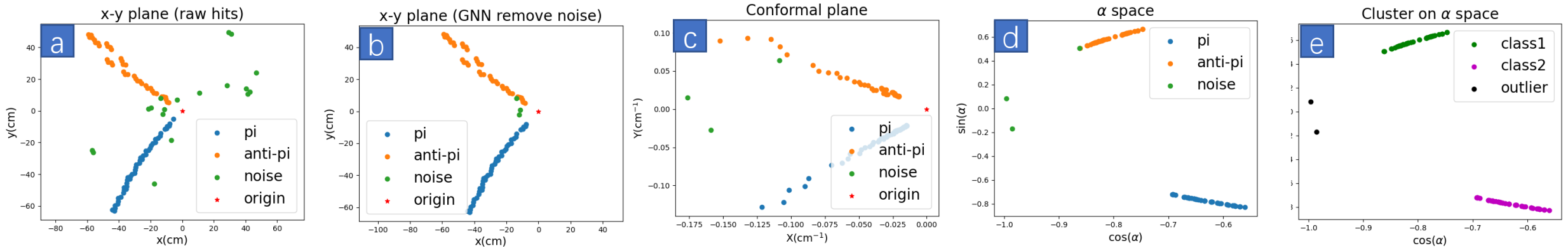
## ◆ Hit selection performance

- The preliminary results show that GNN provides high efficiency and purity of hits selection

- *Hit selection Efficiency* :  $\frac{N_{signal}^{predicted}}{N_{signal}^{real}}$

- *Hit selection Purity* :  $\frac{N_{signal}^{predicted}}{N_{all}^{predicted}}$





### a) Original MC data sample

- $J/\Psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$
- $\pi^+, \pi^-$  : Pt (0.2GeV - 1.4GeV)

### b) Remove noise via GNN

### c) Transform to Conformal plane

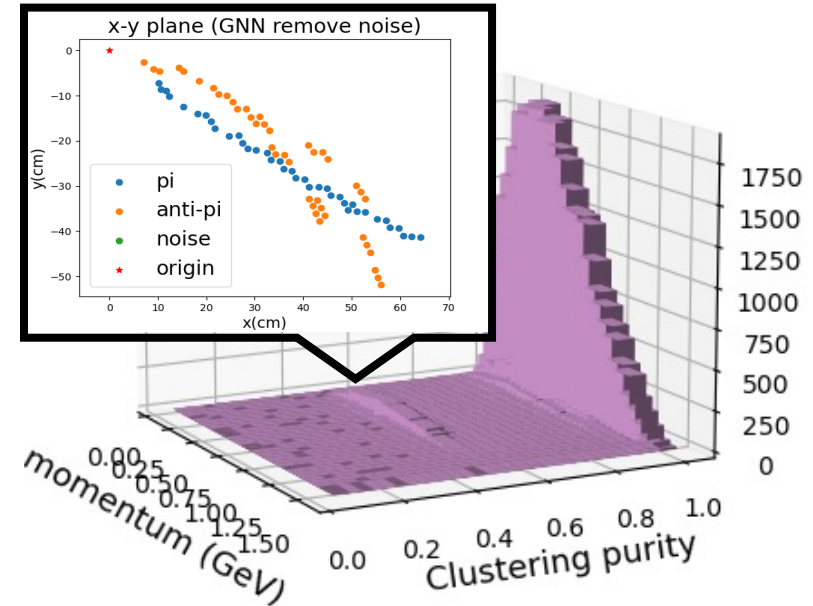
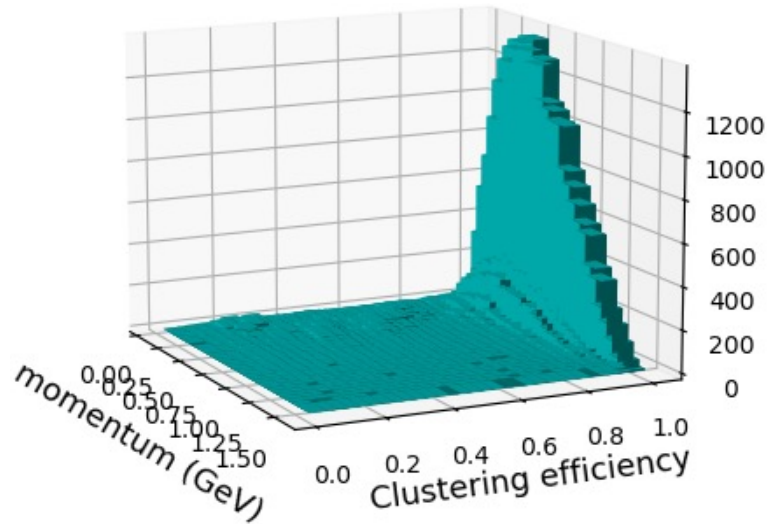
- $X = \frac{2x}{x^2+y^2} \quad Y = \frac{2y}{x^2+y^2}$
- Circle passing the origin transform into a straight line

### d) Transform to ' $\alpha$ ' parameter plane

- Hits connected in the X-Y plane in a straight line
- $\alpha$  as the angle between the straight line and X axis
- The parameter space as  $\cos\alpha$  and  $\sin\alpha$

### e) DBSCAN clustering in ' $\alpha$ ' parameter plane

- Density-Based Spatial Clustering of Application with Noise
- Hits in a cluster are considered to be in the same track



◆ DBSCAN can achieve high clustering efficiency ( $\frac{N_{track}^{predicted}}{N_{track}^{real}}$ )

◆ An obvious bulge at the purity ( $\frac{N_{cluster}^{real}}{N_{cluster}^{all}}$ ) of about 0.5

- Can not separate hits from the two very close tracks
- It accounts for about 3.5%

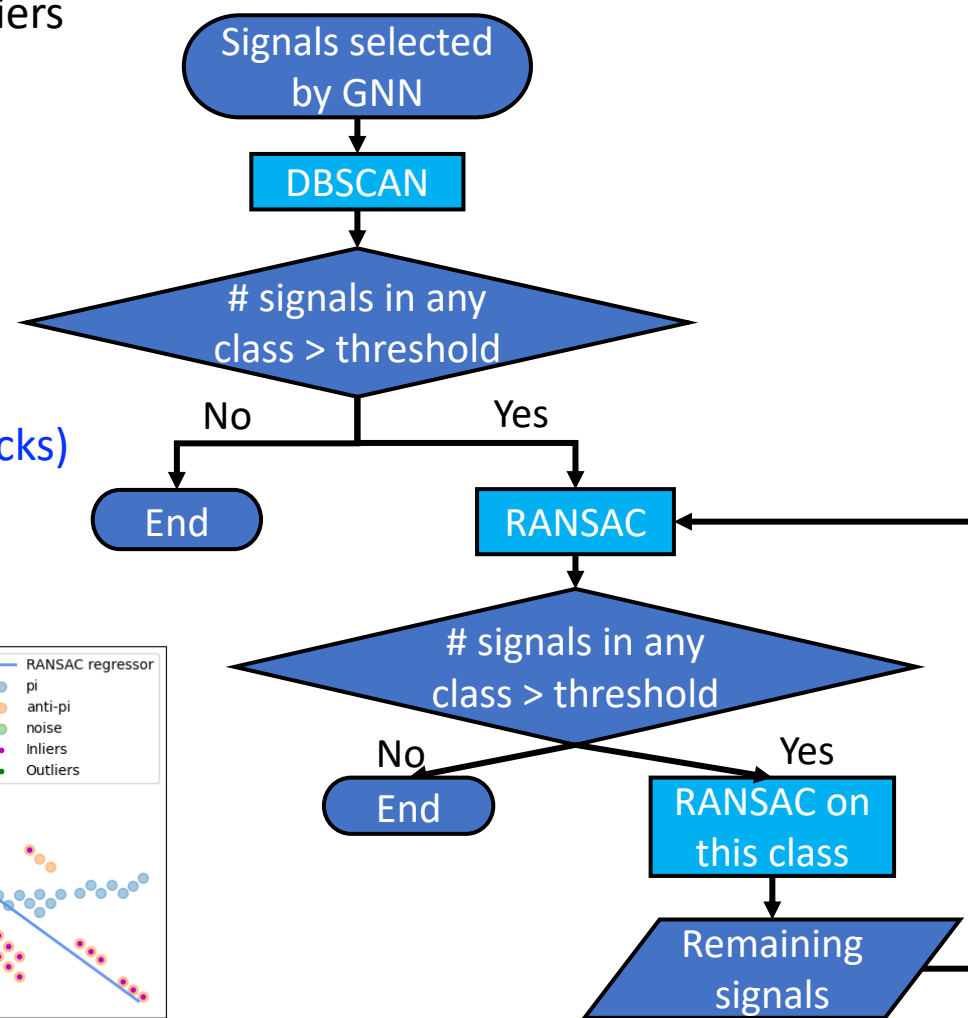
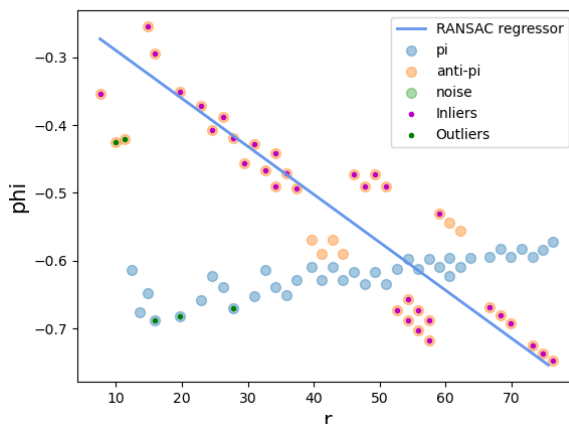
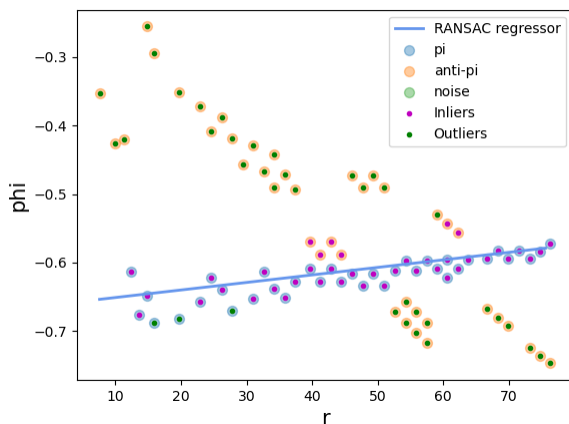
# 02 Optimizations

## ◆ Random sample consensus (RANCAS)

- Estimate a mathematical model from the data that contains outliers
- Its good robustness to noise and outliers
- Model can be specified

## ◆ RANCAS is triggered by the events that DBSCAN processing fails

- Polar coordinate space
- linear model (being optimized to a more suitable model for tracks)
- Inliers  $\rightarrow$  a track , outliers  $\rightarrow$  other tracks
- Stop condition: outliers  $<$  threshold

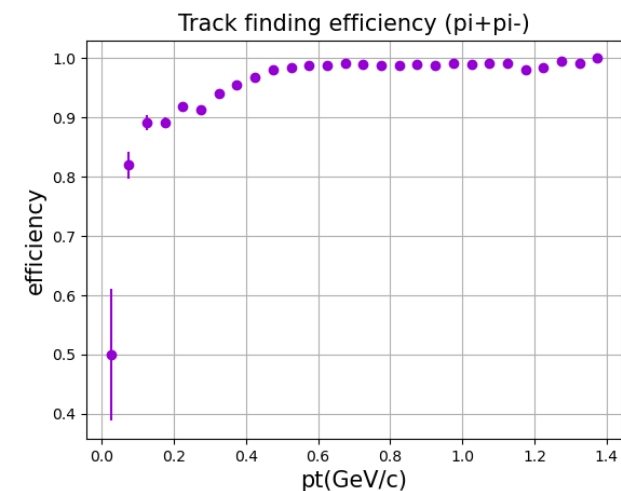
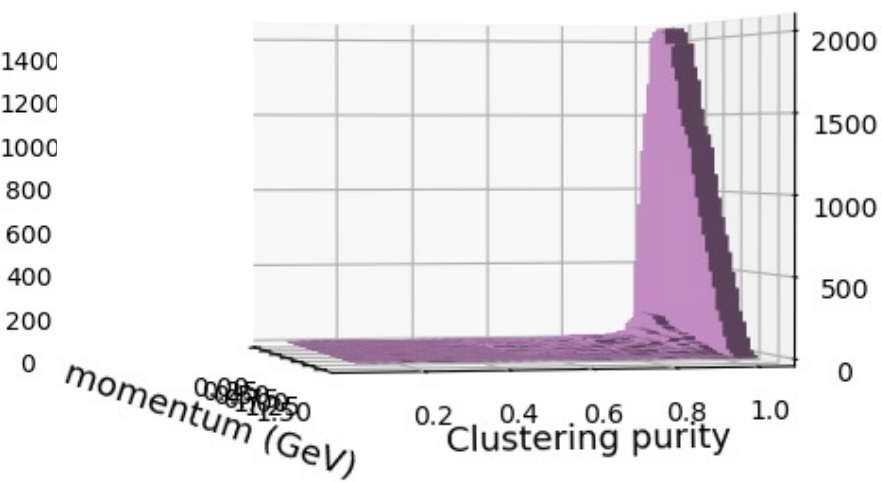
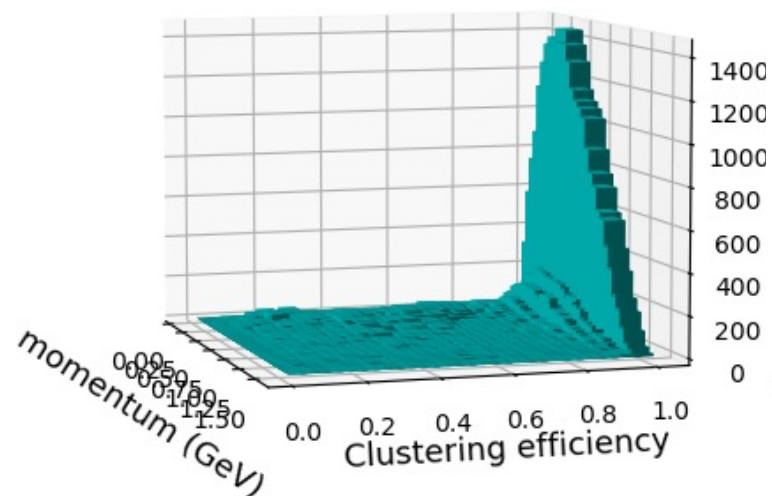


# 02 Results after Optimizations

◆ Removed bulges at purity

◆ Track finding efficiency

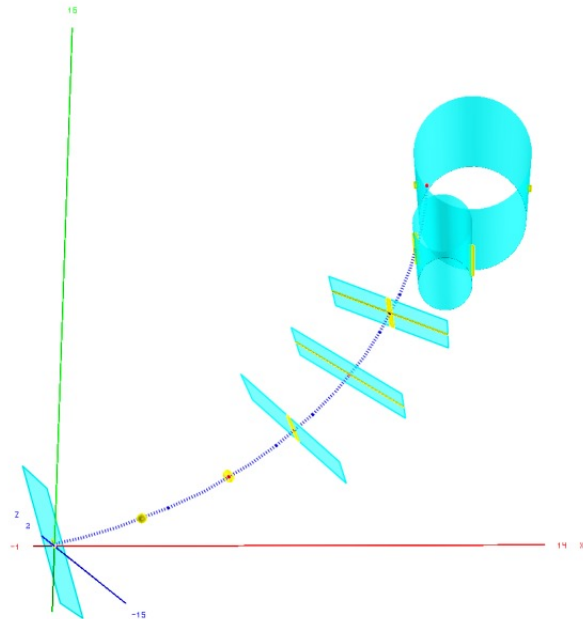
- $track\ eff = \frac{N_{rec\ tracks}}{N_{total\ tracks}}$
- $Pt > 0.2\ GeV/c$  , track eff > 90%
- $Pt > 0.45\ GeV/c$  , track eff > 98%



# 02 Track fitting

## ◆ Genfit2

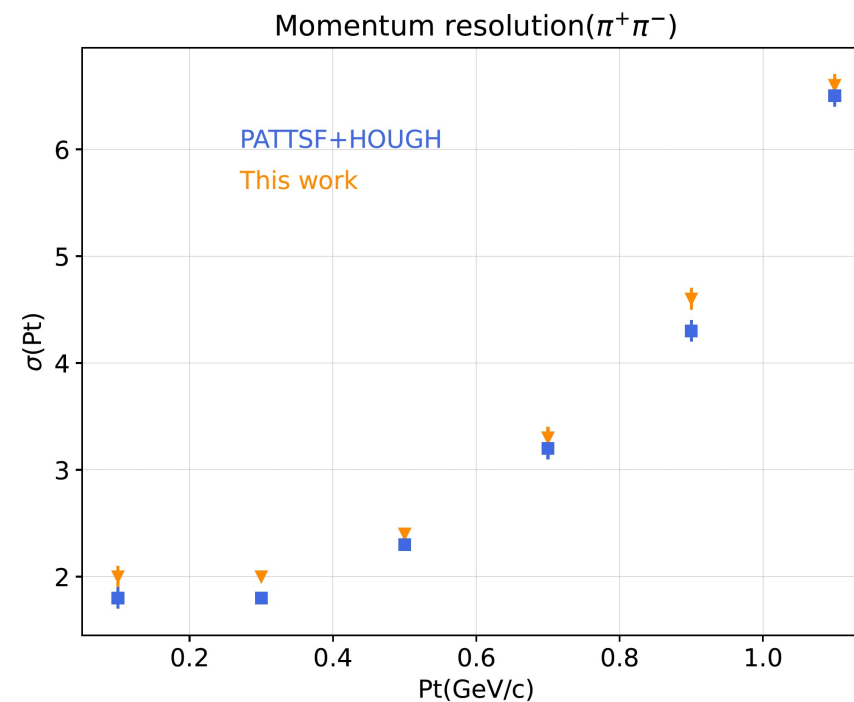
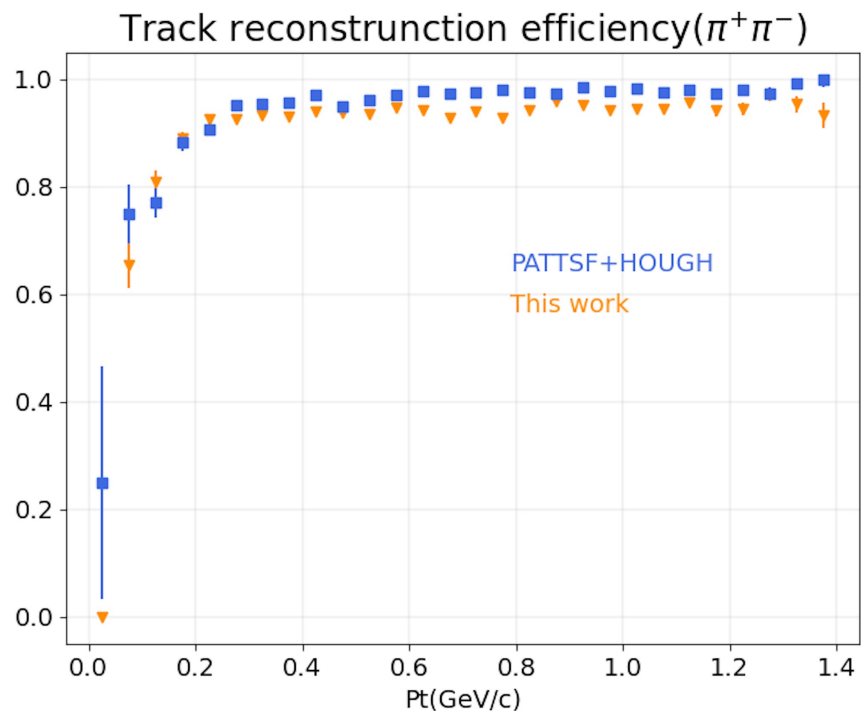
- A Generic Track-Fitting Toolkit
- Experiment-independent framework
- PANDA, Belle II, FOPI and other experiments
- Deterministic annealing filter (DAF) to resolving the left-right ambiguities of wire measurements



# 03 Preliminary Results

## ◆ Particle reconstructed performance

- $J/\psi \rightarrow \rho^0 \pi^0 \rightarrow \gamma \gamma \pi^+ \pi^-$  from MC simulation
- The preliminary results presents promising performance



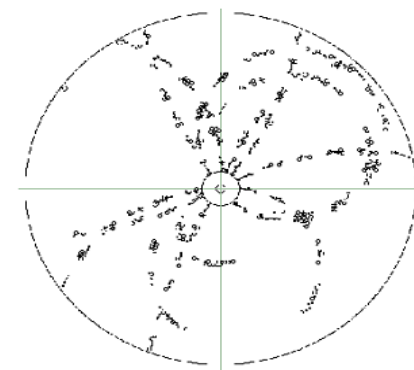


# 04 Summary

- ◆ A novel tracking algorithm prototype based on machine learning method at BESIII is under development
  - GNN to distinguish the hit-on-track from noise hits.
  - Clustering method based on DBSCAN and RANSAC to cluster hits from multiple tracks
- ◆ Preliminary results on BESIII MC data shows promising performance

## Outlook

- ◆ Further optimization of the model is needed
  - To improve performance for low PT tracks
- ◆ Performance verification concerning events with more tracks





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# Thank you !

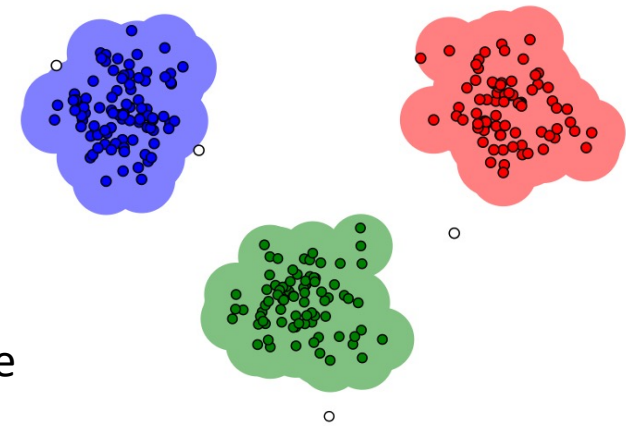
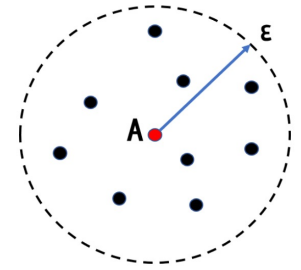
Xiaoqian Jia



**Back up**

# DBSCAN (Density-Based Spatial Clustering of Applications with Noise)

- ◆ A density-based clustering algorithm that can automatically discover clusters of arbitrary shapes and identify noise points
- ◆ Robust to outliers
- ◆ Not require the number of clusters to be told beforehand
- ◆ Parameter
  - Epsilon (radius of the circle to be created around each data point)
  - MinPoints (the minimum number of data points required inside that circle for that data point to be classified as a Core point)
  - Choose MinPoints based on the dimensionality ( $\geq \text{dim}+1$ ), and epsilon based on the elbow in the k-distance graph



# RANSAC (Random Sample Consensus)

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- ◆ Basic idea: randomly select a subset of data points, fit a model based on these points, and then judge whether the remaining data points belong to the inlier set by calculating their distances to the model
- ◆ Accurately estimate model parameters even in the presence of noise and outliers
- ◆ The specific steps
  - Randomly select a small subset of data, called the inlier set
  - Fit a model based on the inlier set
  - Calculate the distances between the remaining data points and the model, and classify these points as inliers or outliers based on a certain threshold
  - If the number of inliers reaches a preset threshold, the algorithm exits and the current model is considered good
  - If the number of inliers is not enough, repeat steps 1-4 until the maximum iteration times are reached
- ◆ Parameters such as threshold and iteration times need to be preset