

PR12-21-004: SIDIS Measurement of A=3 Nuclei with CLAS12 in Hall-B

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On behalf of the spokespeople:

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and the CLAS Collaboration



清華大學

Tsinghua University

07/19/2021, PAC49 Meeting

Tritium is Back!

➤ New Tritium-Targets in Hall-B:

- ❖ Approved E12-20-005 at 6.6 GeV w/ CLAS12 (Tritium-SRC)
- ❖ New Target-System design for D2, H3 and He3

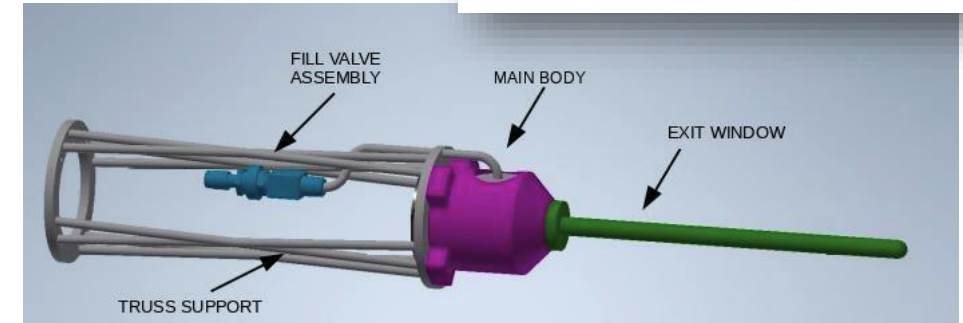
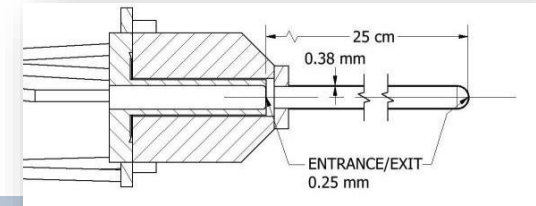
| Material | Tritium | Al Windows | Be Window | Total |
|----------------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Length(g/cm ²) | 0.085 | 0.21 | 0.037 | 0.33 |
| Luminosity | 3.54×10^{34} | 8.42×10^{34} | 1.54×10^{34} | 1.35×10^{35} |

maximum luminosity

- ❖ Lifetime opportunity → What more we can do?
- ❖ DIS with Tritium/He3 Mirror Nuclei using 10.6 GeV electron beam

- SIDIS
 - ✓ Nuclear PDF in A=3, Flavor-Dependent EMC Effect
 - ✓ Nuclear-TMD (nTMD) and Nuclear-Fragmentation Function (nFF)
- DVCS
 - Neutron-GPD (incoherence)
 - Nuclear-GPD (nGPD)) in A=3 (coherence)
- More?
- Same beam time, same measurements, plentiful physics topics!

This proposal



SIDIS with A=3

➤ Standard CLAS12 Setup:

❖ Same target system as E12-20-005 (Tritium-SRC)

❖ Beam-Time request:

| Target: | ² D | ³ He | ³ H | Total |
|-----------------------------------|----------------|-----------------|----------------|-------|
| Measurement Days (10.6 GeV) | 10 | 20 | 20 | 50 |
| Calibration: Luminosity, dummy, H | | | | 5 |
| Target Changes | | | | 2 |
| Torus polarity reversals | | | | 1 |
| Total at 10.6 GeV: | | | | 58 |

❖ Error budget: overall 1% point to point

| | Sectors | Tracking | Vertex | Fiducial | Acceptance |
|-----------------|---------|----------|--------|----------|------------|
| Uncertainty (%) | 0.34 | 0.13 | 0.16 | 0.41 | 0.1 |

❖ Detected scattered electrons and pions (also kaons w/ RICH)

- Flip the Torus field often to minimize the different acceptance of +/- charged particles

❖ Kinematic cuts: $Q^2 > 1 \text{ GeV}^2$, $W^2 > 4 \text{ GeV}^2$, $0.1 < y < 0.85$, $0.3 < z < 0.7$

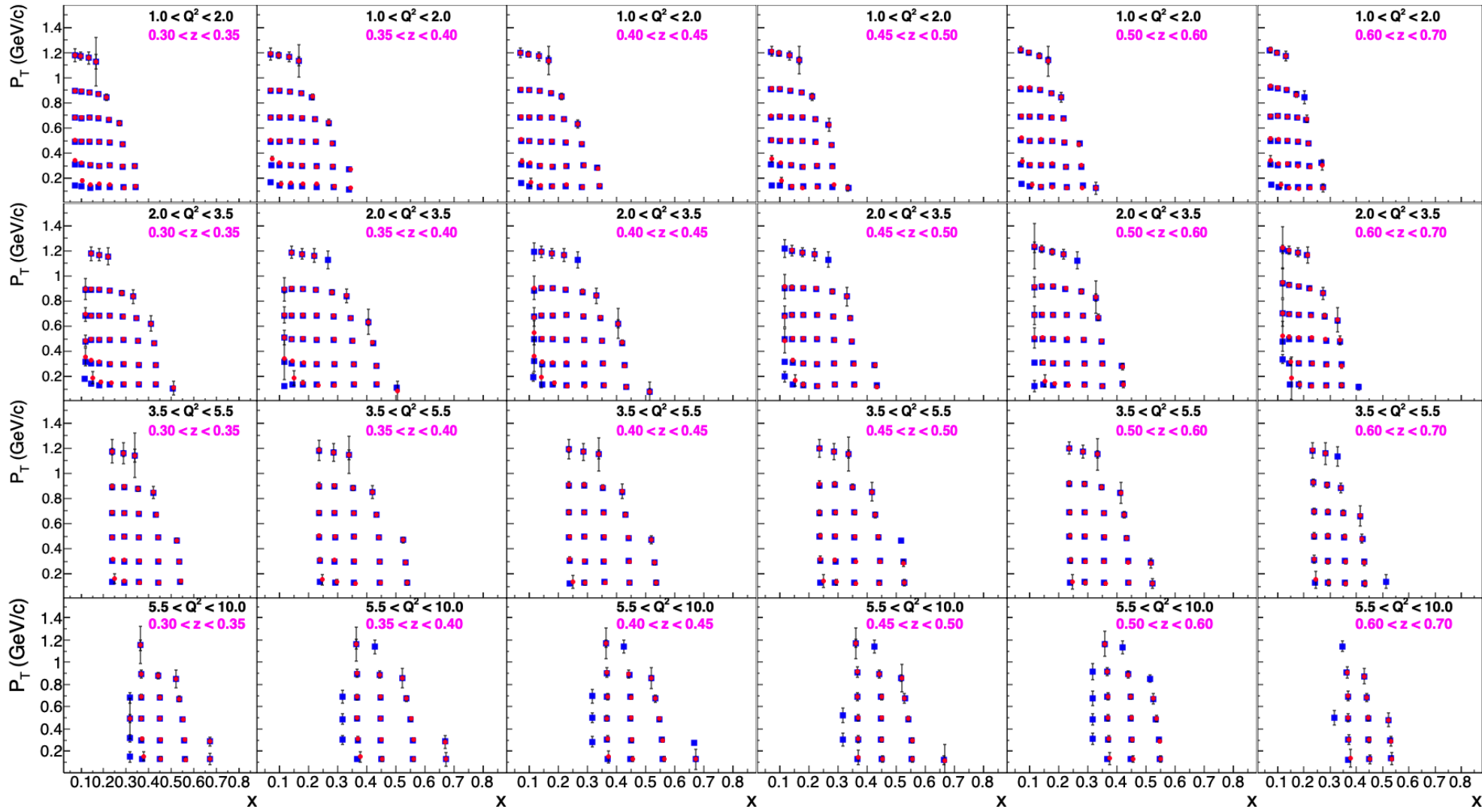
❖ Bin the data in 4D (Q^2 , x , z , P_T)

❖ Observables: SIDIS cross-sections and ratios

Pion Projection

➤ Projected Results of A=3 in SIDIS: Pion Data 4D Binning

π^+
 π^-



❖ High precision, wide kinematic coverage

❖ A=2&3 data almost identical

❖ Crucial and unique to Jlab-12GeV programs

SIDIS with A=3

➤ Nature Fit for Jlab 12GeV:

- ❖ Major goal of Jlab 12GeV: 3D structure of nucleons and nuclei
 - ❑ 3D structure of the hadrons (“free” nucleons)
 - H2 as protons, D2 & He3 as effective neutrons
 - PDF, d/u at high-x
 - 3D TMD, GPD,
 - ❑ Hadrons and cold nuclear matter
 - Nuclear structure, NN interaction
 - Nuclear-PDF, EMC effect
 - Hadronization
- ❑ Gaps: 3D structure of nuclei?
 - Nuclear corrections in D2 & He3
 - Flavor-Dependent EMC effect
 - nTMD, nFF and nGPD

Bob Mckeown, 48 PAC Report, Aug 10th, 2020

12 GeV Experiments by PAC Days (status at May 1st)

| Topic | Hall A | Hall B | Hall C | Hall D | Total |
|---|---------------|---------------|--------------|------------|---------------|
| Hadron spectra as probes of QCD | 0 | 219 | 11 | 540 | 770 |
| Transverse structure of the hadrons | 150.5 | 85 | 146 | 25 | 406.5 |
| Longitudinal structure of the hadrons | 19 | 230 | 211 | 0 | 460 |
| 3D structure of the hadrons | 359 | 872 | 196 | 0 | 1427 |
| Hadrons and cold nuclear matter | 220 | 275 | 205 | 15 | 715 |
| Low-energy tests of the Standard Model and Fundamental Symmetries | 547 | 180 | 0 | 79 | 806 |
| Total Days | 1295.5 | 1861.0 | 769.0 | 659 | 4584.5 |
| Total Days - (includes MOLLER) | 821.5 | 1861 | 769 | 659 | 4110.5 |
| Total Approved Run Group Days (includes SoLID) | 1295.5 | 1026 | 726 | 459 | 3506.5 |
| Total Approved Run Group Days (includes MOLLER) | 821.5 | 1026 | 726 | 459 | 3032.5 |
| Total Days Completed | 240.5 | 283 | 159.0 | 184 | 866.5 |
| Total Days Remaining | 581 | 743 | 567 | 235 | 2166 |

+167 (PAC) days completed in FY20 to date

A DECADE OF EXCELLENT SCIENCE!

3

Jefferson La

❖ A=3: a natural bridge between free-nucleons to heavy nuclei!

SIDIS with A=3

➤ SIDIS Measurements w/ Nucleons & Nuclei:

❖ Unpolarized SIDIS Cross Section (Factorization, LO, P_T integrated):

$$\frac{d\sigma^h}{dx dy dz} = \frac{4\pi\alpha^2 s}{Q^4} \left(1 - y + \frac{y^2}{2}\right) \sum_q e_q^2 \underbrace{[f_1^q(x)]}_{\substack{\text{Free or Nuclear} \\ \text{PDF (nPDF)}}} \underbrace{[D_q^h(z)]}_{\substack{\text{Free or Nuclear} \\ \text{Fragmentation} \\ \text{Function}}}$$

■ Potential:

- Sensitive to flavor-contents
 - By detecting different hadron types
- Access 3D info
 - By measuring P_T distributions

■ Challenges:

- Common for all SIDIS:
 - Factorization Regions, FF poorly known, Theoretical Corrections
- Additions for Nuclei-SIDIS:
 - Nuclear structure, Hadronization

❖ Key: take advantage of the **Potential** while minimizing the **Challenges**

❖ Heavier nuclei more challenges

❖ Need close collaboration with theorists in global analysis

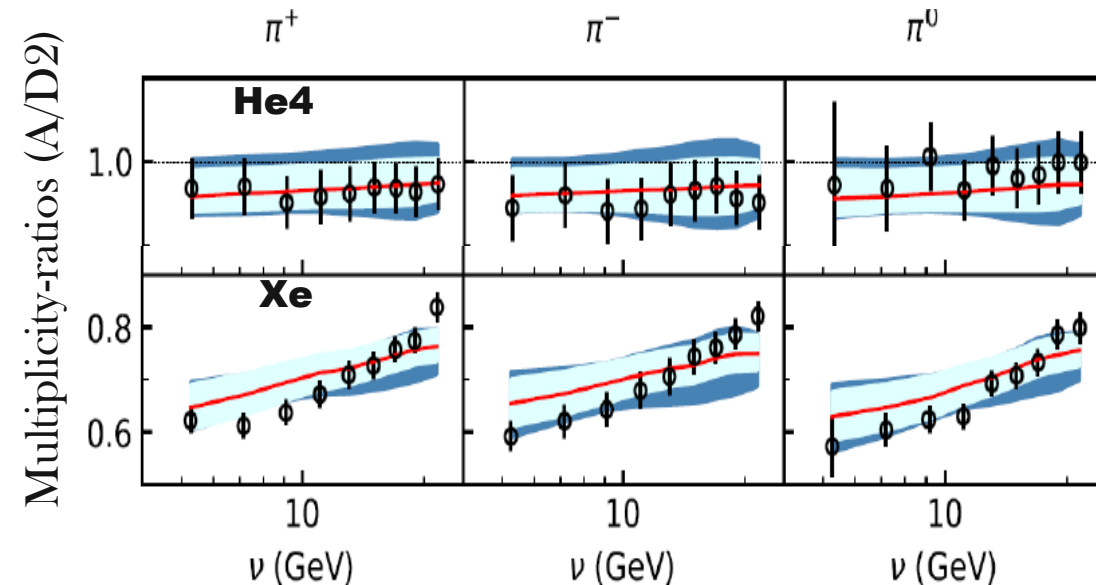
SIDIS with A=3

➤ Tritium and Helium-3:

- ❖ Along with all Jlab SIDIS experiments w/ nucleons, A=3 measurement helps resolving common challenges
- ❖ Complementary to and extension of other efforts, such as MARATHON and Ca-Isotopes
- ❖ Minimize Challenges w/ A=3:
 - ✓ Precise nuclear calculations
 - ✓ Small hadronization effects
 - ✓ Fragmentation functions
 - Expected small & similar nuclear effect (also D2)
 - ✓ Many theoretical corrections are similar

❖ Unique advantage with mirror nuclei: SIDIS cross-section ratios

He4's nFFs have small ($\sim 5\%$ at high-z) medium effects (Pia Zurita, arXiv:2101.01088)



SIDIS with A=3

➤ Proof of Principle: SIDIS cross-section at LO

❖ SIDIS cross section at LO:

$$\frac{d\sigma_A^h}{dx dQ^2 dz} = \frac{4\pi\alpha^2 s}{Q^4} (1-y + \frac{y^2}{2}) \sum_q e_q^2 f_1^{A,q}(x) \cdot D_{A,q}^h(z),$$

Simplify the FFs: $D_u^{\pi^+} = D_d^{\pi^+} = D_d^{\pi^-} = D_{\bar{u}}^{\pi^-} \equiv D^{\text{fav}},$
 $D_d^{\pi^+} = D_{\bar{u}}^{\pi^+} = D_u^{\pi^-} = D_{\bar{d}}^{\pi^-} \equiv D^{\text{unfav}},$

❖ XS-Sum and XS-Difference between π^+ and π^- :

$$(\sigma_A^{\pi^+} \pm \sigma_A^{\pi^-})/A \propto [4(u_A \pm \bar{u}_A) \pm (d_A \pm \bar{d}_A)] \cdot [D_A^{\text{fav}} \pm D_A^{\text{unfav}}],$$

❖ Super-Ratios between two nuclei:

$$R_{A_1/A_2}^{\pi,\pm}(x, z) = \frac{(\sigma_{A_1}^{\pi^+} \pm \sigma_{A_1}^{\pi^-})/A_1}{(\sigma_{A_2}^{\pi^+} \pm \sigma_{A_2}^{\pi^-})/A_2} = \frac{4(u_{A_1} \pm \bar{u}_{A_1}) \pm (d_{A_1} \pm \bar{d}_{A_1})}{4(u_{A_2} \pm \bar{u}_{A_2}) \pm (d_{A_2} \pm \bar{d}_{A_2})} \cdot \frac{D_{A_1}^{\text{fav}} \pm D_{A_1}^{\text{unfav}}}{D_{A_2}^{\text{fav}} \pm D_{A_2}^{\text{unfav}}} = A_{A_1/A_2}^{\pi,\pm}(x) \cdot B_{A_1/A_2}^{\pi,\pm}(z),$$

❖ Similar and small nFF for D2, H3 (“T”) and He3 (“H”): $B_{A_1/A_2}^{\pi,\pm} \cong 1$

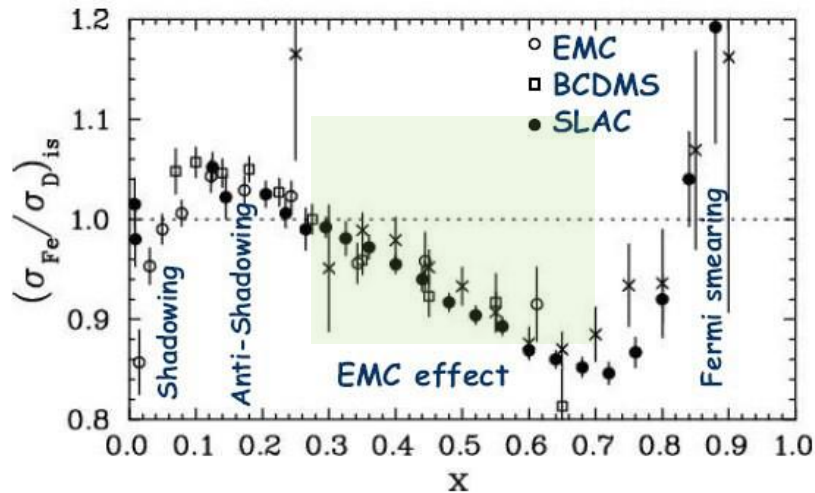
✓ Can also be independently measured (SIDIS multiplicity ratio)

❖ Global analysis to obtain medium effect on the u- and d-quark PDFs and FFs!

Flavor-Dependence EMC Effect

➤ What causes the EMC Effect:

- ❖ Per-nucleon DIS cross-section ratio between a nucleus-A to the deuteron decreases linearly in $0.3 < x < 0.7$



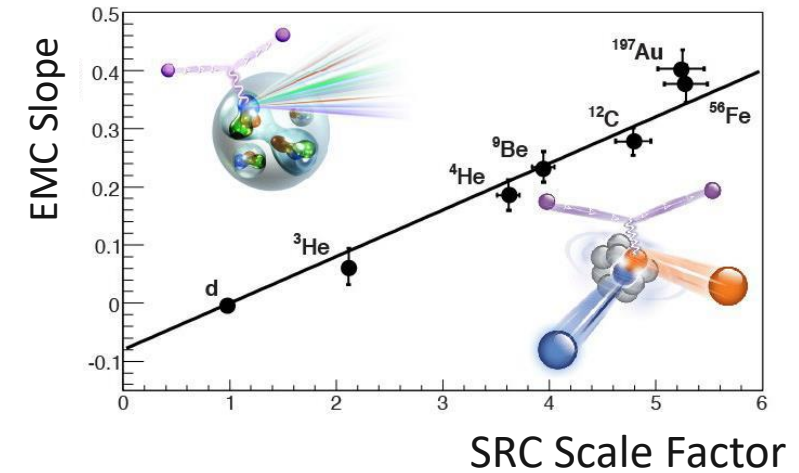
- Nucleon must be modified
- No accepted explanation

- ❖ EMC effect related to short range correlated (SRC) pairs:

L. Weinstein et al, PRL 106, 052301 (2011), O. Hen et al, RMP

SRC pairs

- Predominantly pn
- High relative momentum
- Overlapping?



- ❖ Which nucleons are modified?

- All nucleons?
- Only SRC (pn) pairs?
- Flavor dependent?

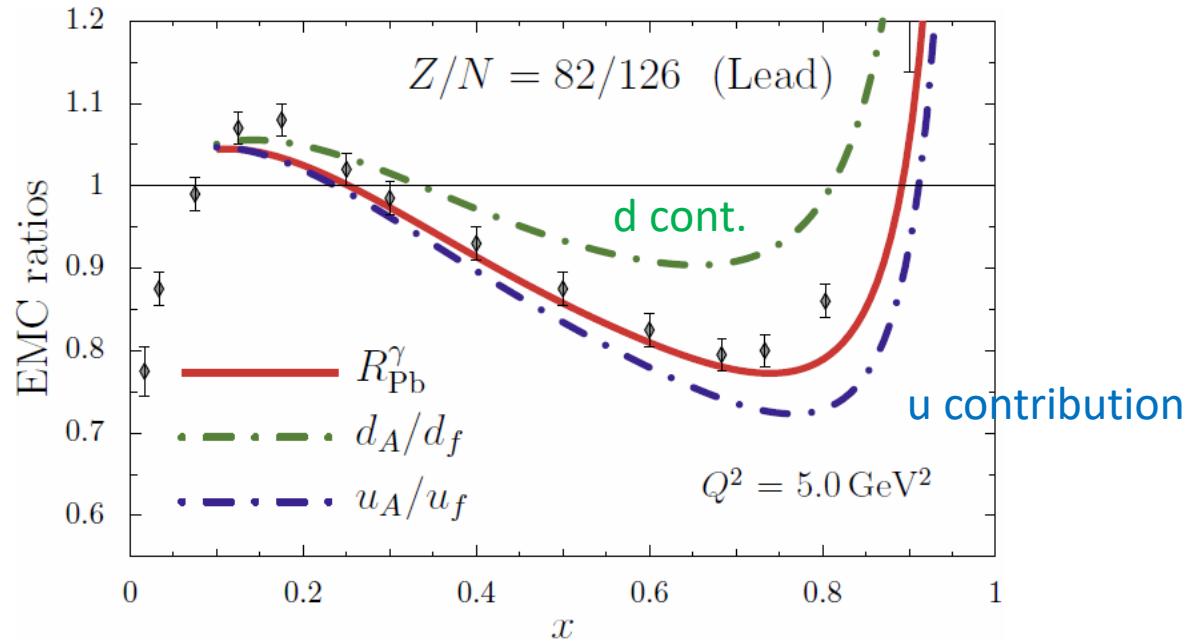
Flavor-Dependence EMC Effect

➤ What causes the EMC Effect:

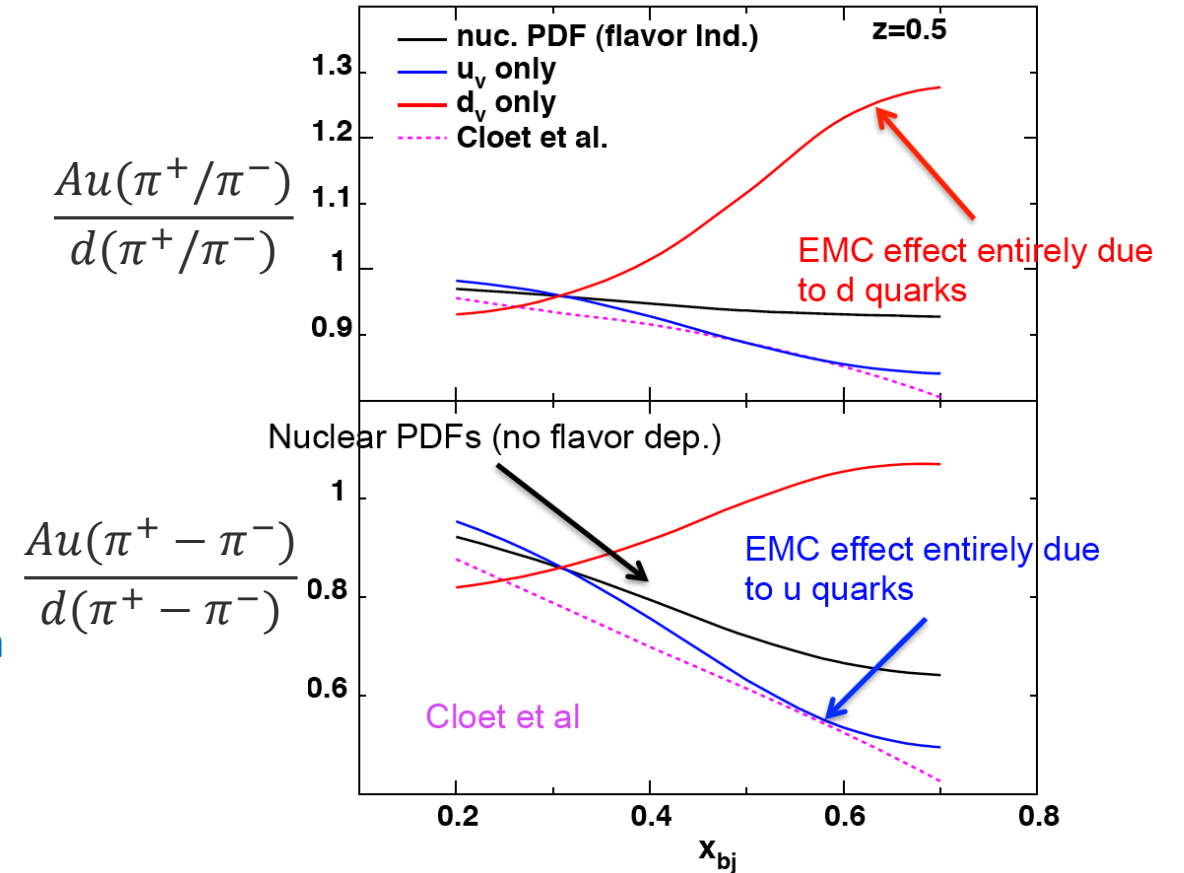
❖ Gold nPDF model is flavor-dependent

✓ If $N > Z$, u-quark is more modified

✓ If $N < Z$, d-quark is more modified



I. Cloet, et al, PRL 109, 182301 (2012);
PRL 102, 252301 (2009)]



Flavor-Dependence EMC Effect

➤ Flavor-Dependent EMC Effect in A=3:

✓ The Super-Ratios are uniquely sensitive to the PDF-contribution:

$$R_{H/D}^{\pi,+}(x) \simeq \frac{4(u_H + \bar{u}_H) + (d_H + \bar{d}_H)}{5(u + \bar{u}) + 5(d + \bar{d})} \quad R_{T/D}^{\pi,+}(x) \simeq \frac{4(u_T + \bar{u}_T) + (d_T + \bar{d}_T)}{5(u + \bar{u}) + 5(d + \bar{d})}$$

$$R_{H/D}^{\pi,-}(x) \simeq \frac{4(u_H - \bar{u}_H) - (d_H - \bar{d}_H)}{3(u - \bar{u}) + 3(d - \bar{d})} \quad R_{T/D}^{\pi,-}(x) \simeq \frac{4(u_T - \bar{u}_T) - (d_T - \bar{d}_T)}{3(u - \bar{u}) + 3(d - \bar{d})}$$

- EMC effect on all nucleons:

$$u_{T,Adep} = \frac{\tilde{u} + 2\tilde{d}}{3}, \quad d_{T,Adep} = \frac{\tilde{d} + 2\tilde{u}}{3},$$

$$u_{H,Adep} = \frac{2\tilde{u} + \tilde{d}}{3}, \quad d_{H,Adep} = \frac{2\tilde{d} + \tilde{u}}{3},$$

- EMC effect only on SRC pairs

$$u_{T,SRC} = \frac{\tilde{u} + \tilde{d} + d}{3}, \quad d_{T,SRC} = \frac{\tilde{d} + \tilde{u} + u}{3},$$

$$u_{H,SRC} = \frac{\tilde{u} + \tilde{d} + u}{3}, \quad d_{H,SRC} = \frac{\tilde{d} + \tilde{u} + d}{3},$$

Flavor Dependent EMC Effect

➤ Projected Results of A=3 in SIDIS :

❖ This experiment is mostly data-driven (lack of existing theoretical predictions)

❖ **More sophisticated theoretical predictions are underway:**

- ✓ Chris Cocuzza, Nobuo Sato, Wally Melnitchouk, et.al (NLO SIDIS Structure-Functions based on arXiv:2104.06946)
- ✓ Pia Zurita, NLO SIDIS cross sections, based on arxiv:2101.01088
- ✓ Jennifer Rittenhouse West, et. al, based on diquark-models, arXiv:2009.06968, Nucl. Phys. A 1007:122134
- ✓ Ian Cloet, et. al. , flavor-dependence, nuclear effect on transverse directions

❖ Models used in this simulation:

- CJ15-LO as free-PDFs (no modification)
- KP Model calculated for MARATHON analysis (A-dependent, flavor-independent)
- SLAC-fit (Phys. Rev. D 49, 4348) + 5% correction to match the Hall-C He3 EMC data (A-dependence, flavor-independent)
- “Toy-Model” for the extreme case → the EMC effect due only to u- or d-quark (extreme flavor-dependent)

✓ 100% u-quark modification

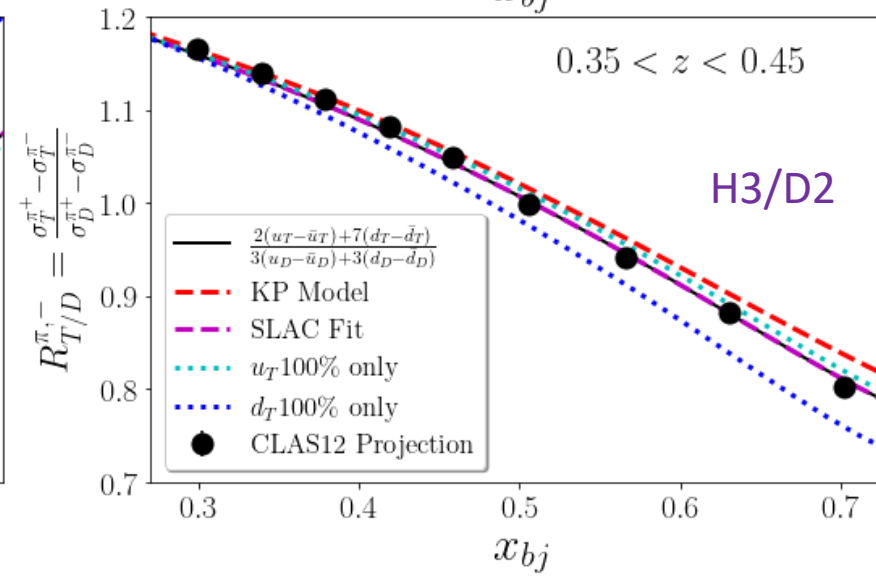
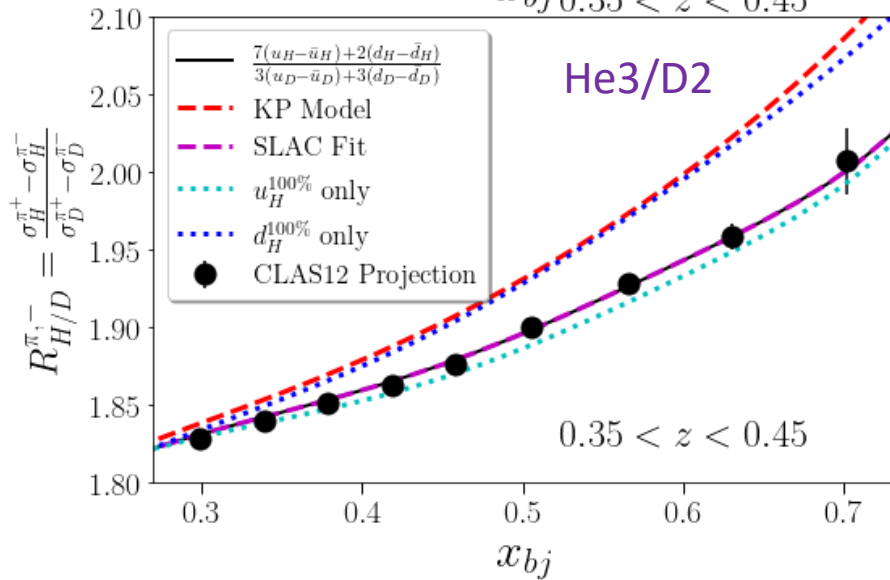
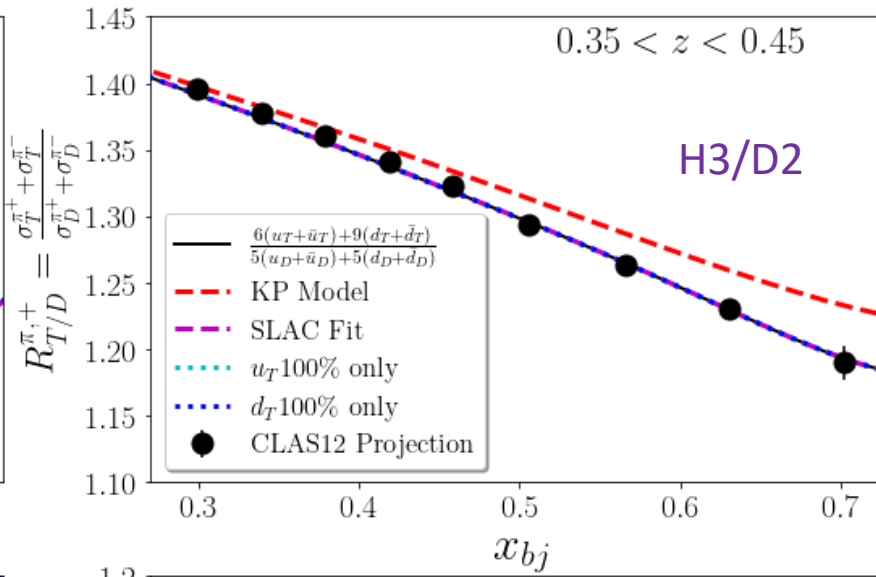
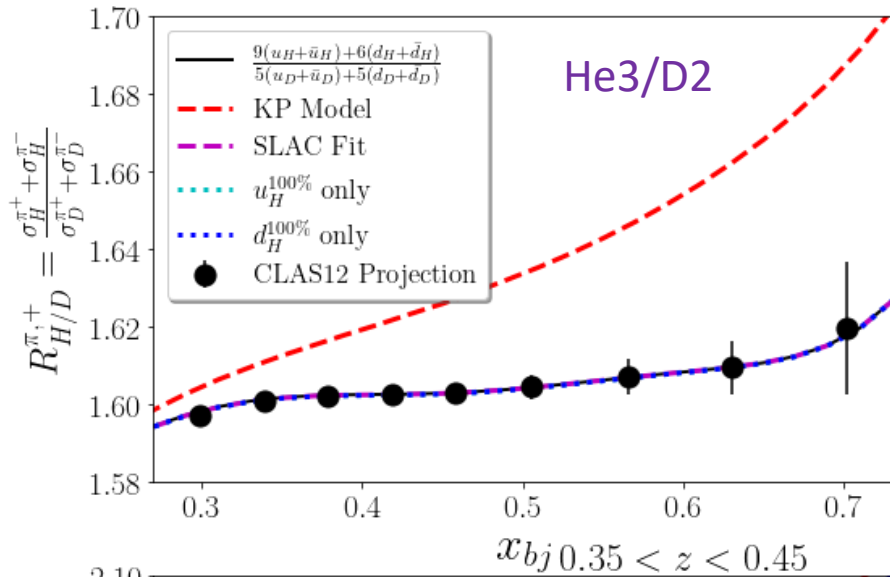
$$F_2^{A,SLAC} = (Ze_u^2 + Ne_d^2)(u_{p,A}^{100\%} + \bar{u}) \\ + (Ze_d^2 + Ne_u^2)(d + \bar{d}) \\ + (Z + N)e_s^2(s + \bar{s}),$$

✓ 100% d-quark modification

$$F_2^{A,SLAC} = (Ze_d^2 + Ne_u^2)(d_{p,A}^{100\%} + \bar{d}) \\ + (Ze_u^2 + Ne_d^2)(u + \bar{u}) \\ + (Z + N)e_s^2(s + \bar{s}),$$

Flavor Dependent EMC Effect

➤ Projected Results of A=3 in SIDIS



- ❖ Integrating P_T and fix z-bins
- ❖ D2, H3 (“T”) and He3 (“H”)
- ❖ Statistical errors only

✓ EMC effect has a strong cancellation XS-Sum ratio(except KP-model)

✓ Flavor-dependence sensitive to XS-Difference ratio

d/u from SIDIS

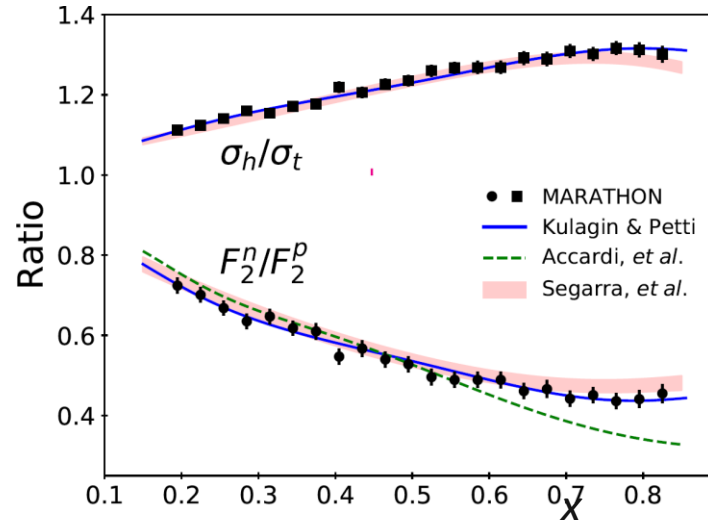
➤ New MARATHON Results

❖ Assume small & similar nuclear effect in p and n

$$F_{H3} = F_{\tilde{p}} \otimes f_p^{H3} + 2F_{\tilde{n}} \otimes f_n^{H3}$$

$$F_{He3} = 2F_{\tilde{p}} \otimes f_p^{He3} + F_{\tilde{n}} \otimes f_n^{He3}$$

$$\Rightarrow \frac{F_2^n}{F_2^p} = \frac{2\mathcal{R} - F_2^{3He}/F_2^{3H}}{2F_2^{3He}/F_2^{3H} - \mathcal{R}}$$



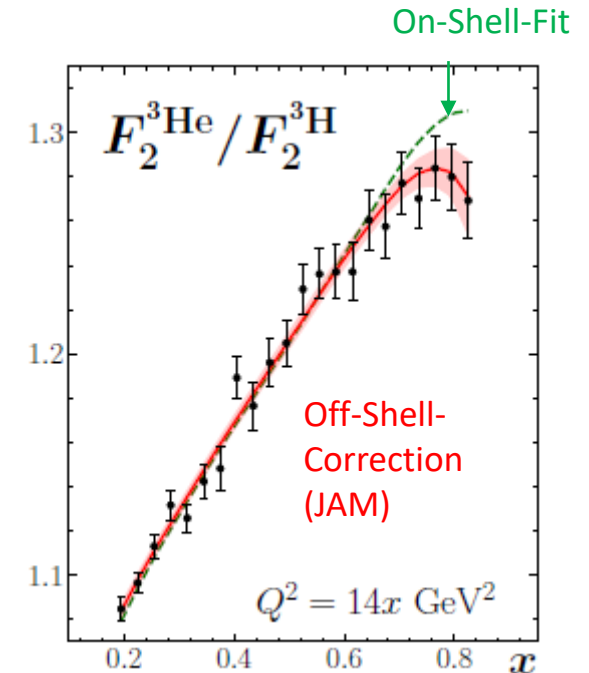
(arXiv:2104.05850)

Figure is replotted by T. Kutz using the same result

❖ Significant different nuclear effects and off-shell uncertainties for extracting d/u from the data

C. Cocuzza, et. al.,
arXiv:2104.06946v1

E.P. Segarra, et. al. Phys.
Rev. Lett.124, 092002,
arXiv:2104.07130v1

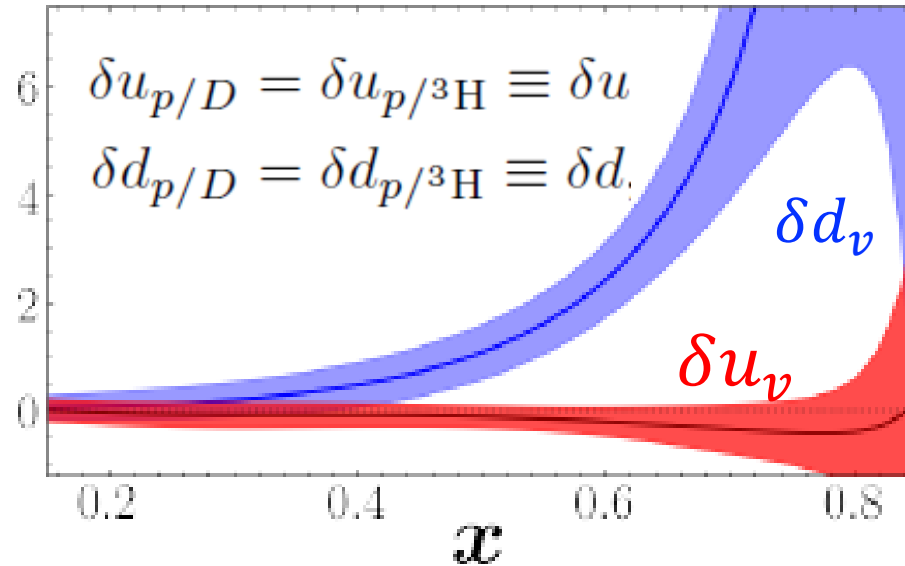


- ❖ Which one is more modified: u or d quark?
- ❖ Different in A=3?

d/u from SIDIS

➤ Use H3 and He3 to extract nucleon-PDFs

- ❖ Model predicts u and d-quark modified differently in H3 and He3



C. Cocuzza, et. al.,
arXiv:2104.06946v1

❖ SIDIS with A=3 provide a new way to measure d/u ratio

- Assuming u (and d) modified similarly in A=3

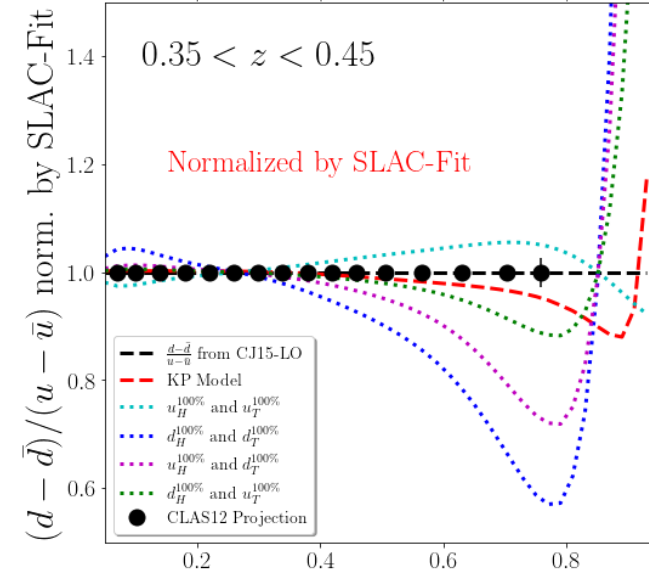
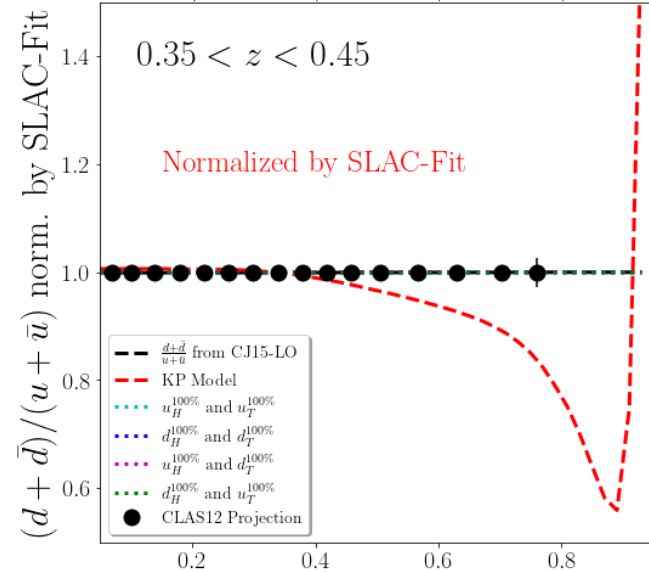
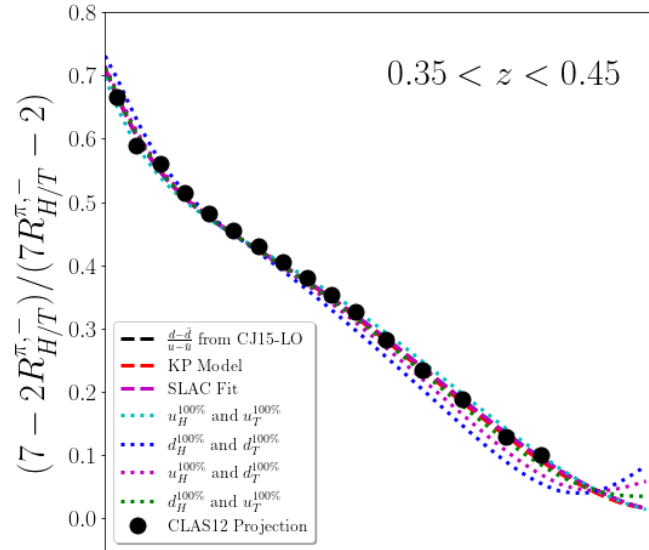
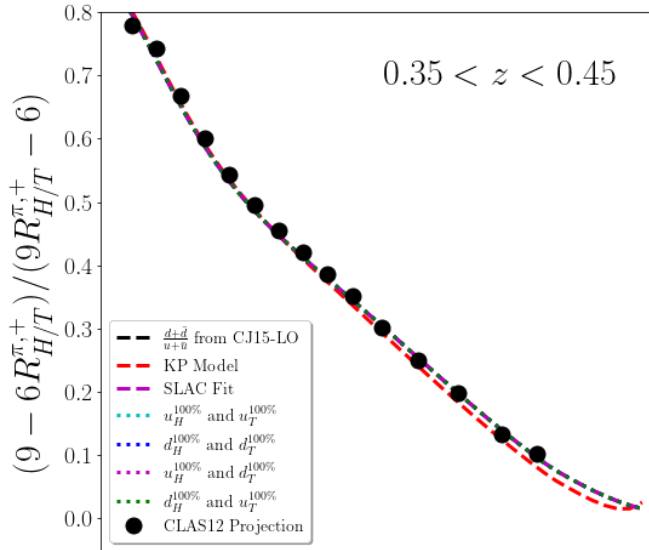
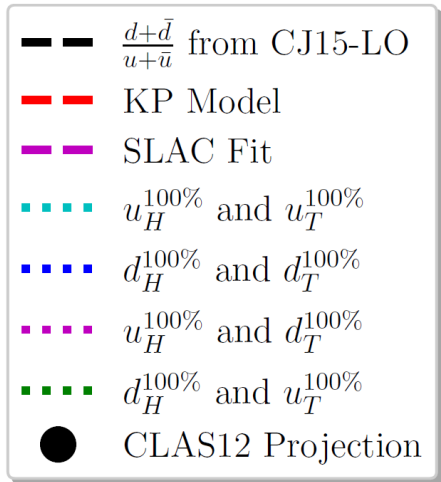
$$\begin{aligned}
 R_{H/T}^{\pi,+} &= \frac{9(\tilde{u} + \tilde{\bar{u}}) + 6(\tilde{d} + \tilde{\bar{d}})}{6(\tilde{u} + \tilde{\bar{u}}) + 9(\tilde{d} + \tilde{\bar{d}})}, & \longrightarrow & \frac{\tilde{d} + \tilde{\bar{d}}}{\tilde{u} + \tilde{\bar{u}}} = \frac{9 - 6R_{H/T}^{\pi,+}}{9R_{H/T}^{\pi,+} - 6} \\
 R_{H/T}^{\pi,-} &= \frac{7(\tilde{u} - \tilde{\bar{u}}) + 2(\tilde{d} - \tilde{\bar{d}})}{2(\tilde{u} - \tilde{\bar{u}}) + 7(\tilde{d} - \tilde{\bar{d}})}, & \longrightarrow & \frac{\tilde{d} - \tilde{\bar{d}}}{\tilde{u} - \tilde{\bar{u}}} = \frac{7 - 2R_{H/T}^{\pi,-}}{7R_{H/T}^{\pi,-} - 2}
 \end{aligned}$$

at large-x

$$\frac{\tilde{d}}{\tilde{u}} \simeq \frac{9 - 6R_{H/T}^{\pi,+}}{9R_{H/T}^{\pi,+} - 6} = \frac{7 - 2R_{H/T}^{\pi,-}}{7R_{H/T}^{\pi,-} - 2}$$

d/u from SIDIS

➤ Use H3 and He3 to extract nucleon-PDFs



- ❖ Integrating P_T and fix z-bins
- ❖ D2, H3 (“T”) and He3 (“H”)
- ❖ Statistical errors only

✓ EMC effect has a strong cancellation XS-Sum ratio(except KP-model)

✓ Models w/ flavor-dependent EMC effect can be distinguished in the XS-Difference ratio

3D TMD/FF in A=3

➤ From 1D to 3D :

❖ The SIDIS SF with additional P_T dependence: $F_{UU}(x, z, P_T) = \sum_q e_q^2 [f_1^q(x, K_\perp) \otimes D_q^h(z, q_T)]$

Unpolarized TMD *Unpolarized FF*

❖ P_T is the only experimentally accessible quantity: $\vec{P}_T = z\vec{k}_\perp + \vec{q}_T + O(k_\perp^2/Q^2)$.

❖ Gaussian ansatz are commonly used but imprecise → Actual P_T distribution needed!

❖ 4D(Q^2, x, z, p_T) SIDIS data using A=3 mirror nuclei

✓ P_T distributions for u and d in A=3

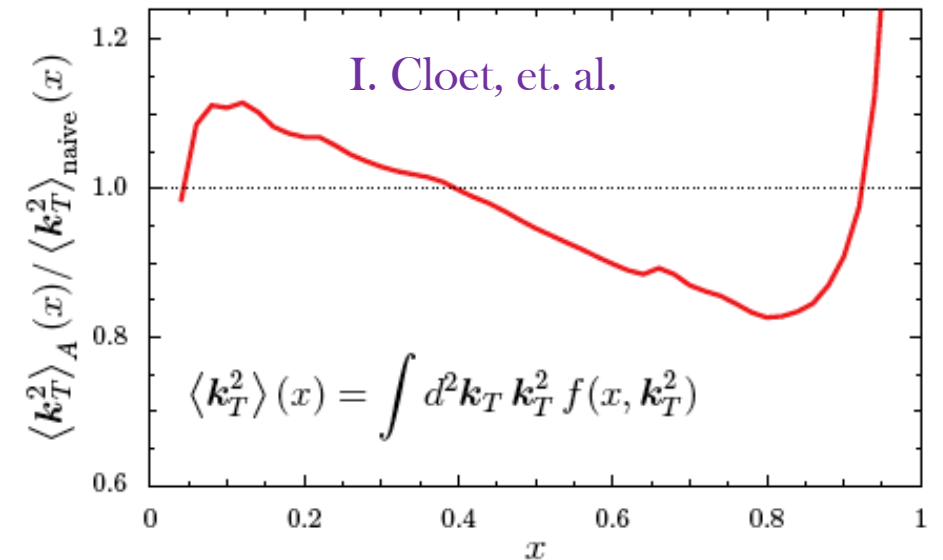
✓ Unpolarized TMDs and FFs in A=3

✓ Factorization Theorem in light nuclei

✓ Hadron Attenuation in light-nuclei

✓ **Medium-modification effects in the transverse directions**

❖ A more comprehensive way of study the nuclear effect in 3D!



Kaon Measurement

➤ Additional Channel: Kaon production in SIDIS with H3 and He3:

- ❖ Probe the unobserved possible medium effect in strangeness

$$R_{A_1/A_2}^{K,\pm}(x, z) = \frac{(\sigma_{A_1}^{K^+} \pm \sigma_{A_1}^{K^-})/A_1}{(\sigma_{A_2}^{K^+} \pm \sigma_{A_2}^{K^-})/A_2}$$

- ❖ Measure s -PDF in $A=3$ and nuclear modification effect

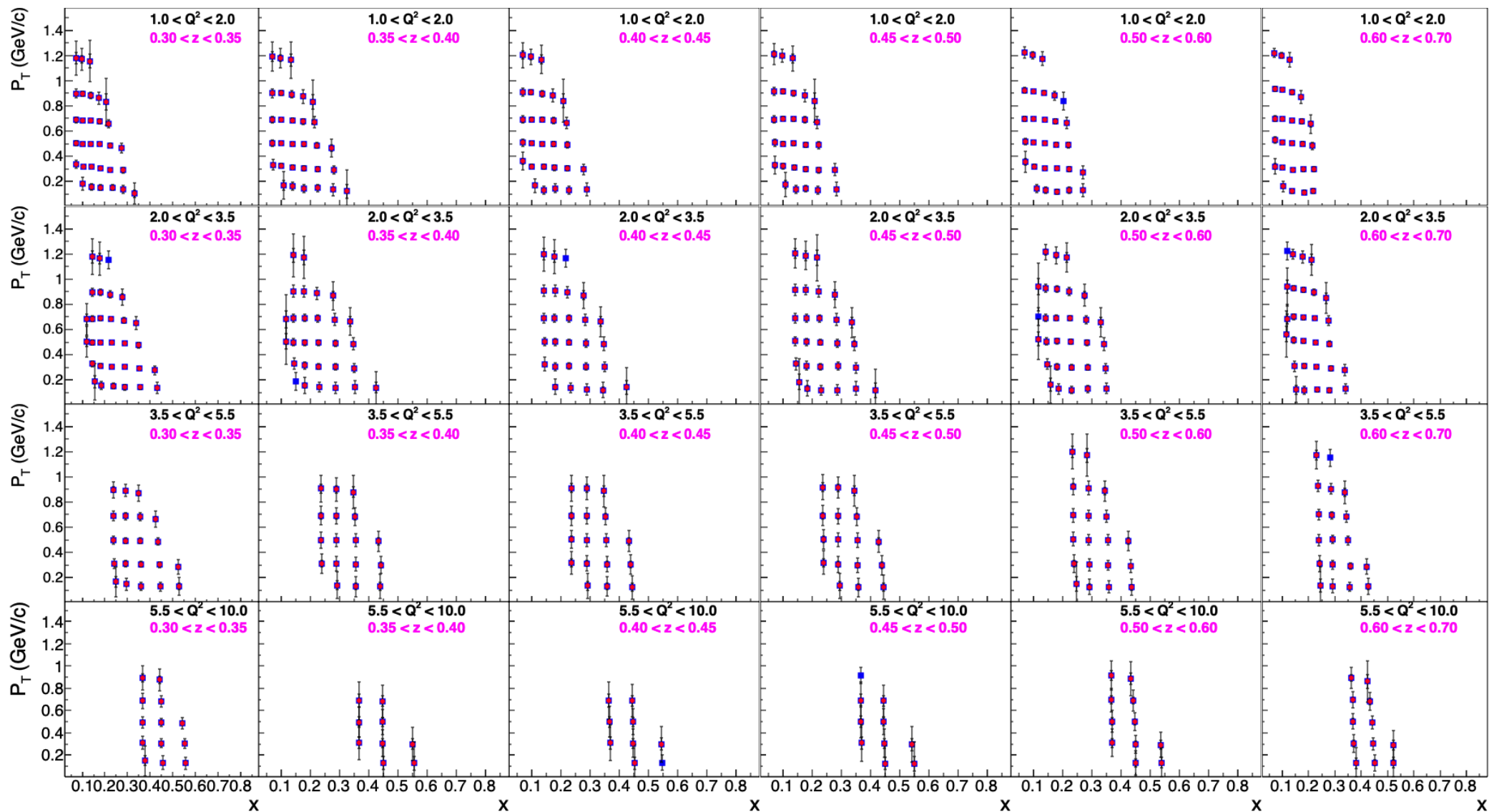
- ❖ Extract 3D strangeness TMD and FFs

❖ A secondary goal of this proposal:

- ✓ One RICH sector installed (more planned)
- ✓ Sophisticated global analysis needed (w/ pion data)
- ✓ Great inputs for future EIC

Kaon Measurement

➤ Projected Results of $A=3$ in SIDIS: Kaon Data 4D Binning



Assumes 6
RICH sectors

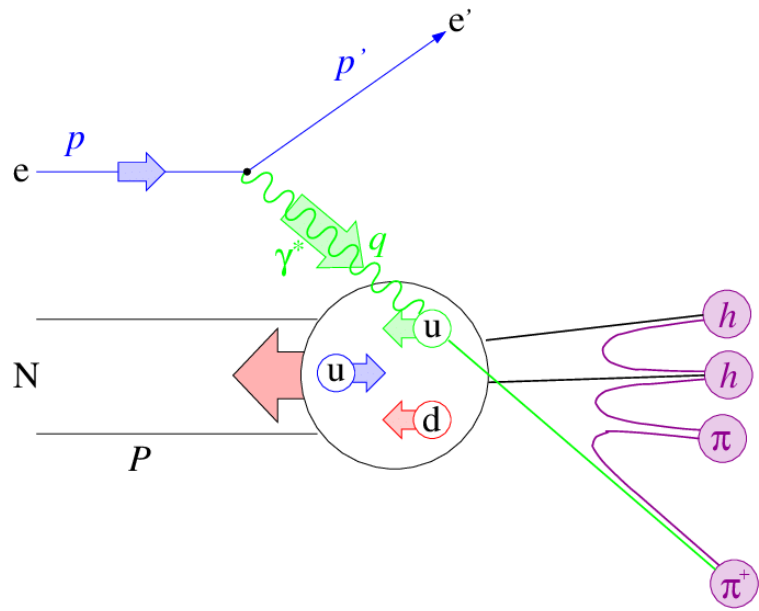
Summary

- Tritium is back → Maximize its usefulness
- The experiment:
 - 10.6 GeV, Standard CLAS12 setup
 - Measure SIDIS ratios of $(e, e'\pi^+)$ and $(e, e'\pi^-)$
 - Targets: D2, H3 and He3
 - Use approved CLAS12 Tritium-SRC target system
 - Measure $(e, e'K^+)$ and $(e, e'K^-)$ using the RICH when available
- The physics:
 - ✓ Resolve flavor-dependent EMC effect
 - ✓ Provide inputs to extract d/u ratio
 - ✓ Measure unpolarized TMDs and FFs, and their nuclear effects in 3D
 - ✓ Explore strangeness
- Data driven → Exploit new observables and new target technologies to resolve important questions
 - ✓ Ongoing theoretical support
 - ✓ Potential several other parallel physics topics (e.g. A=3 DVCS)
- Bridge between nucleons-3D and nuclear-3D structures
- Great input for future EIC

BACKUP

SIDIS with A=3

➤ SIDIS w/ Nucleons:



$$x_B = \frac{Q^2}{2M\nu} = \frac{q \cdot P}{M}$$

$$z = \frac{E_h}{n} = \frac{P \cdot P_h}{P \cdot q}$$

$$y = \frac{n}{E_l} = \frac{q \cdot P}{l \cdot P}$$

$$P_T = \frac{p \cdot P_h}{|q^2|} = p_{h\perp}$$

❖ Unpolarized SIDIS Cross Section (Factorization, LO, P_T integrated):

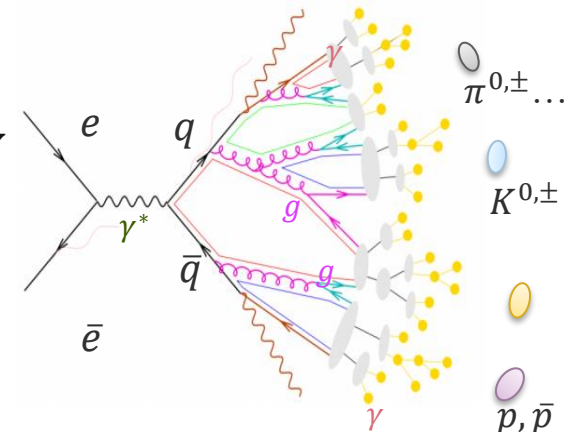
$$\frac{d\sigma^h}{dx dy dz} = \frac{4\pi\alpha^2 s}{Q^4} (1 - y + \frac{y^2}{2}) \sum_q e_q^2 [f_1^q(x)] [D_q^h(z)]$$

PDF (from DIS) Fragmentation Function

❖ Fragmentation Functions (FF):

- How quarks become hadrons
- Normally obtained from $e + e^- \rightarrow h^\pm + X$
- Also from SIDIS multiplicity:

$$M^h(Q^2, z) = \frac{\sigma_{SIDIS}}{\sigma_{DIS}} = \frac{\sum_q e_q^2 f_1^q(Q^2, x) \cdot D_q^h(Q^2, z)}{\sum_q e_q^2 f_1^q(Q^2, x)}$$

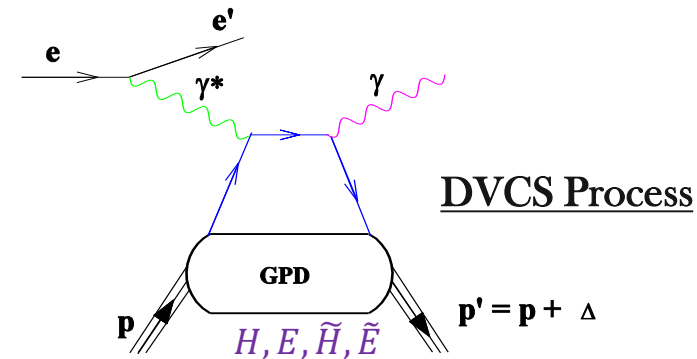
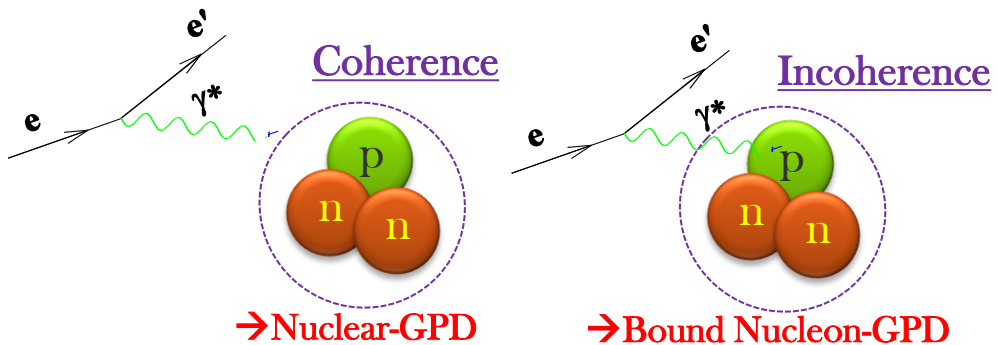


- Relatively poorly known.

The Proposed Measurement

➤ Parasitic Run: DVCS measurement on H3 and He3:

❖ DVCS off He3 and H3 (4 GPD for spin 1/2 targets):



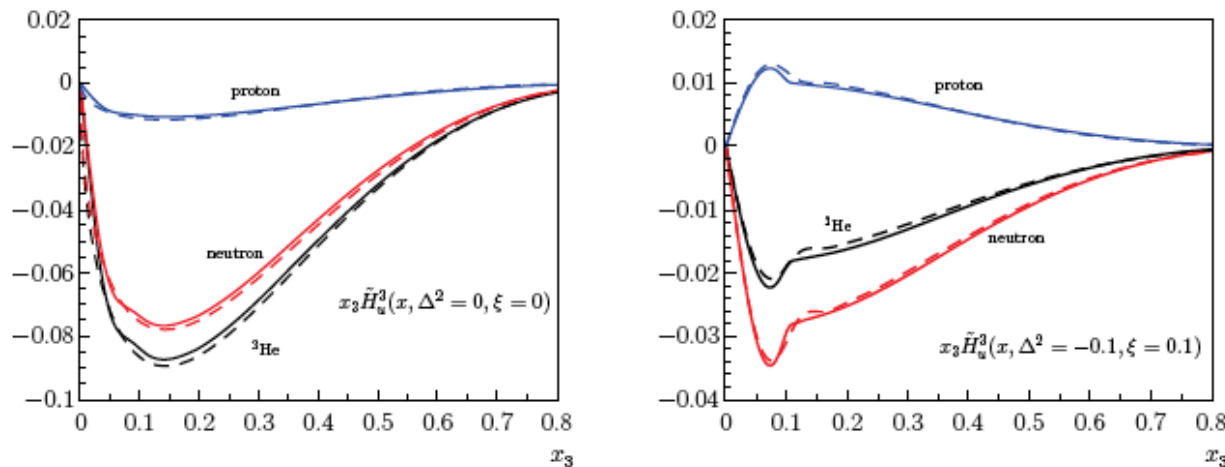
$$H_q^A(x, \xi, \Delta^2) \simeq \sum_N \int \frac{d\bar{z}}{\bar{z}} h_N^A(\bar{z}, \xi, \Delta^2) H_q^N\left(\frac{x}{\bar{z}}, \frac{\xi}{\bar{z}}, \Delta^2\right)$$

❖ Advantage of using DVCS off He3 & H3:

- ✓ Neutron-contribution dominates in He3-GPDs
- ✓ Sensitive to GPD-E (orbital angular momentum)
- ✓ Use H3 to isolate pure neutron/proton contributions
- ✓ Get access to the flavor-dependence GPDs

$$H_u^{He3} = H_d^{H3}$$

✓ **Medium Modification Effect in GPDs**



Scopetta, PRC70 (2004) 015205; PRC79 (2009) 025207;
 Rinadli and Scopetta, PRC87 (2013) 035208; arXiv:1401.1350 (2014)

- ❖ In collaboration with Silvia Nicolai, Alex Camsonne to explore this run-group proposal
- ❖ Welcome new collaborators
- ❖ Strong theory support needed!