



Andrea Signori

University of Pavia and Jefferson Lab

Transverse momentum imaging

Lecture 3

Hampton University Graduate School (e-HUGS) 2021

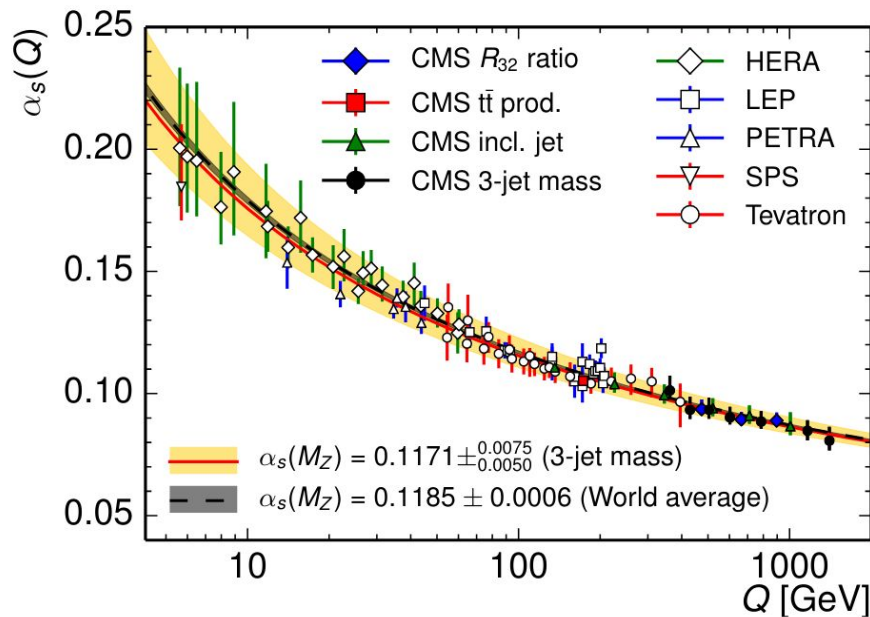
June 9, 2021

Recap++ of lectures 1, 2

How should we “use” QCD ?

Expansion of observable in powers of the coupling constant α :

$$\mathcal{O}(Q) \sim \mathcal{O}^{(0)} + \alpha_s^1(Q) \mathcal{O}^{(1)} + \alpha_s^2(Q) \mathcal{O}^{(2)} + \alpha_s^3(Q) \mathcal{O}^{(3)} \dots = ??$$



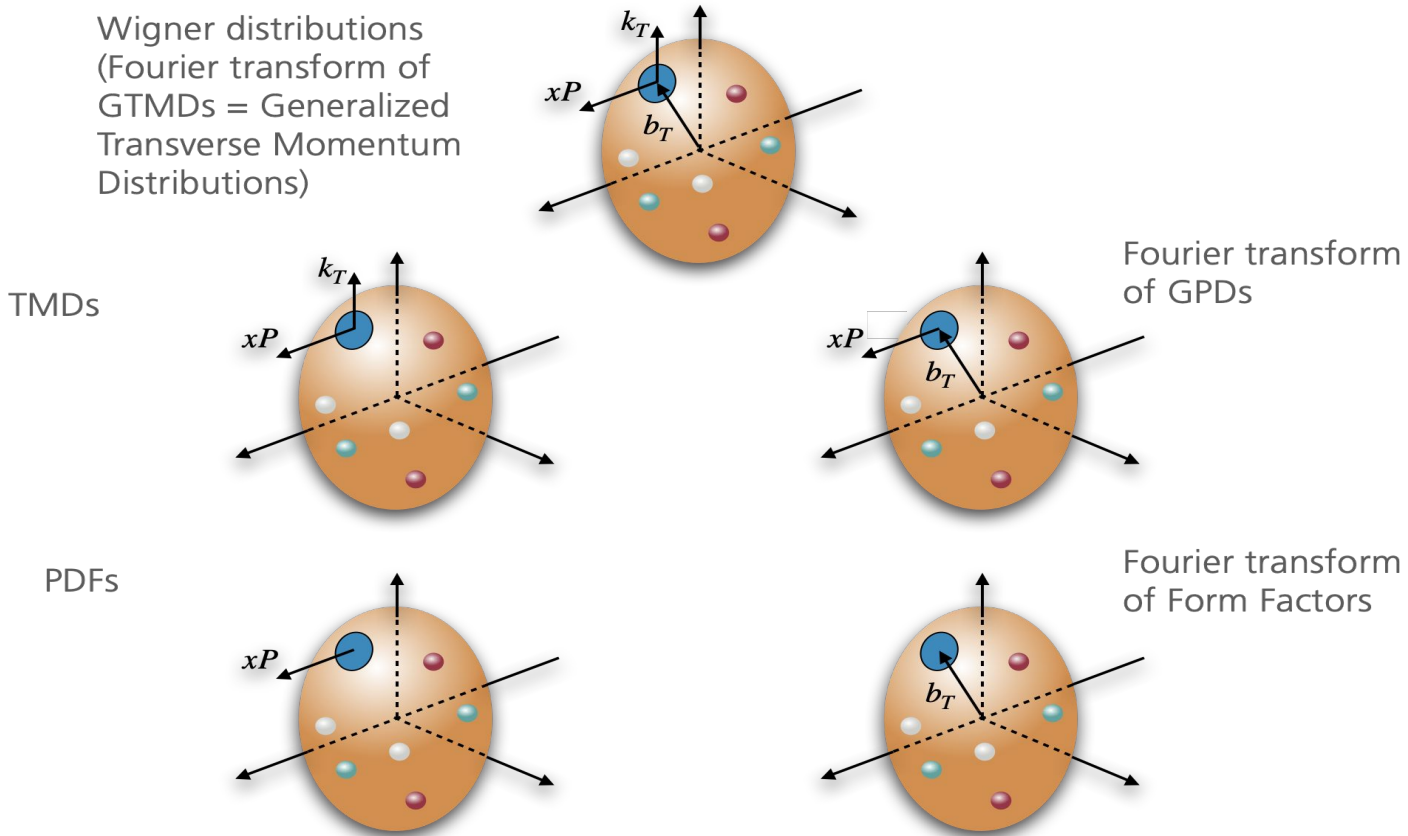
High energy \rightarrow convergence
 \rightarrow perturbative QCD

Low energy (hadronic scales)
 \rightarrow non-perturbative QCD

need alternative techniques

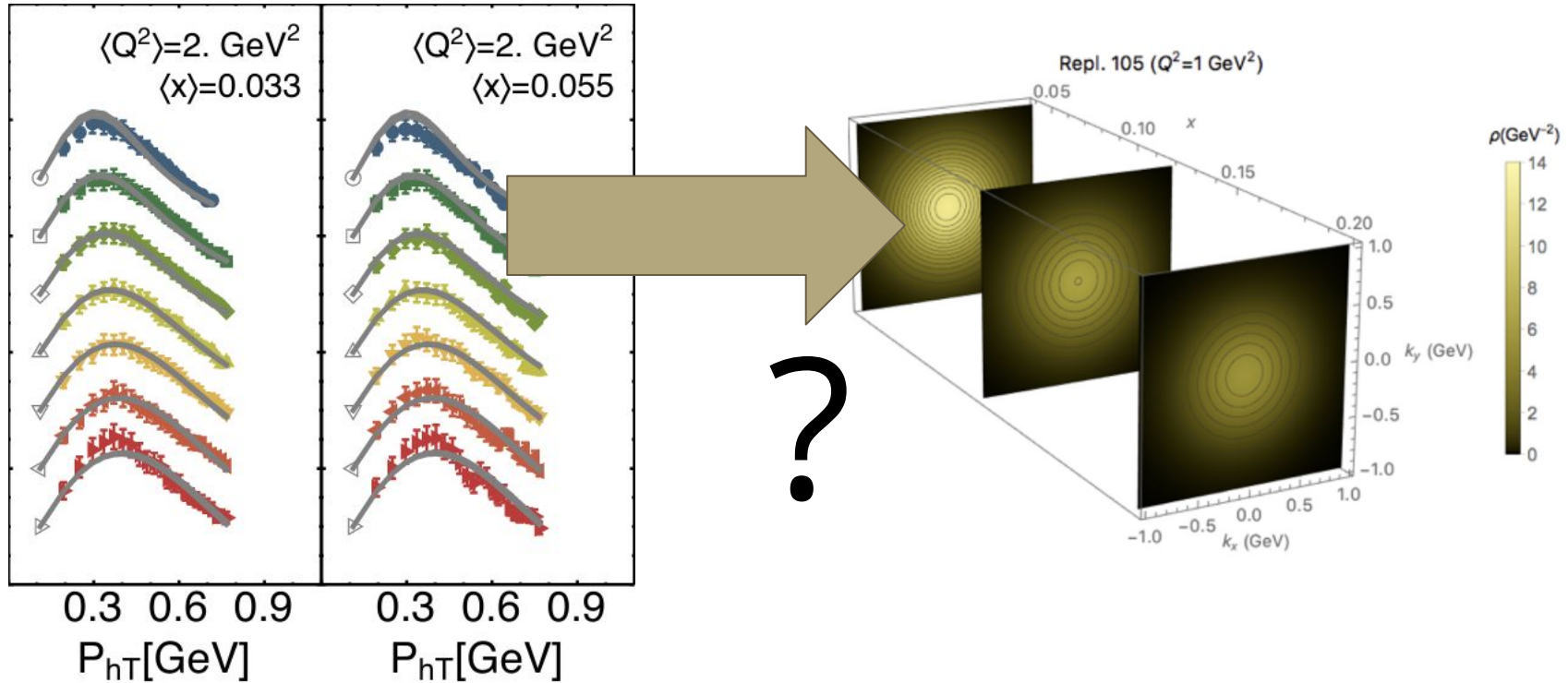
The hadron structure landscape

Wigner distributions
(Fourier transform of
GTMDs = Generalized
Transverse Momentum
Distributions)



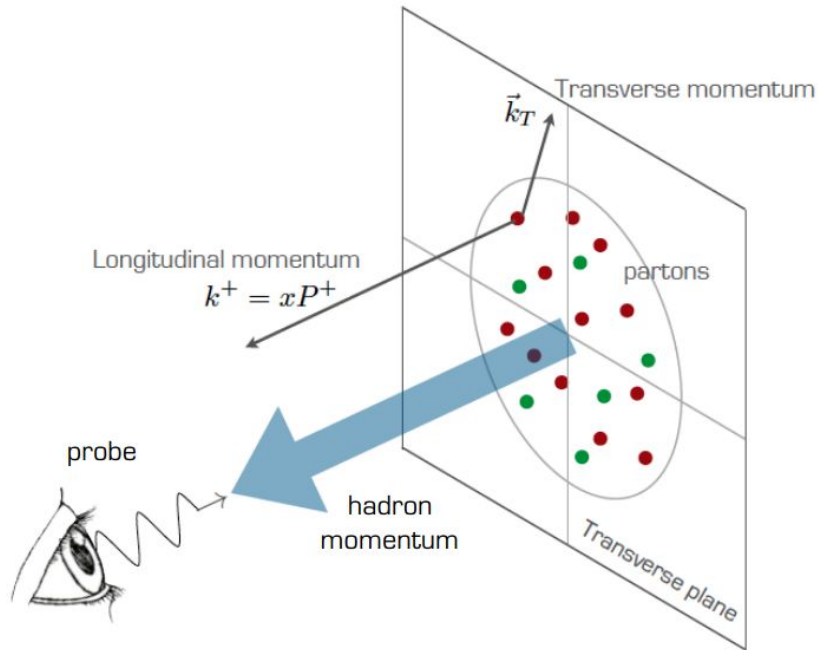
see, e.g., C. Lorcé, B. Pasquini, M. Vanderhaeghen, JHEP 1105 (11)

Transverse momentum imaging



Parton distribution functions (PDFs)

“Maps” of hadron *structure* in momentum space



$$f_1(x)$$

1D structure
in momentum space

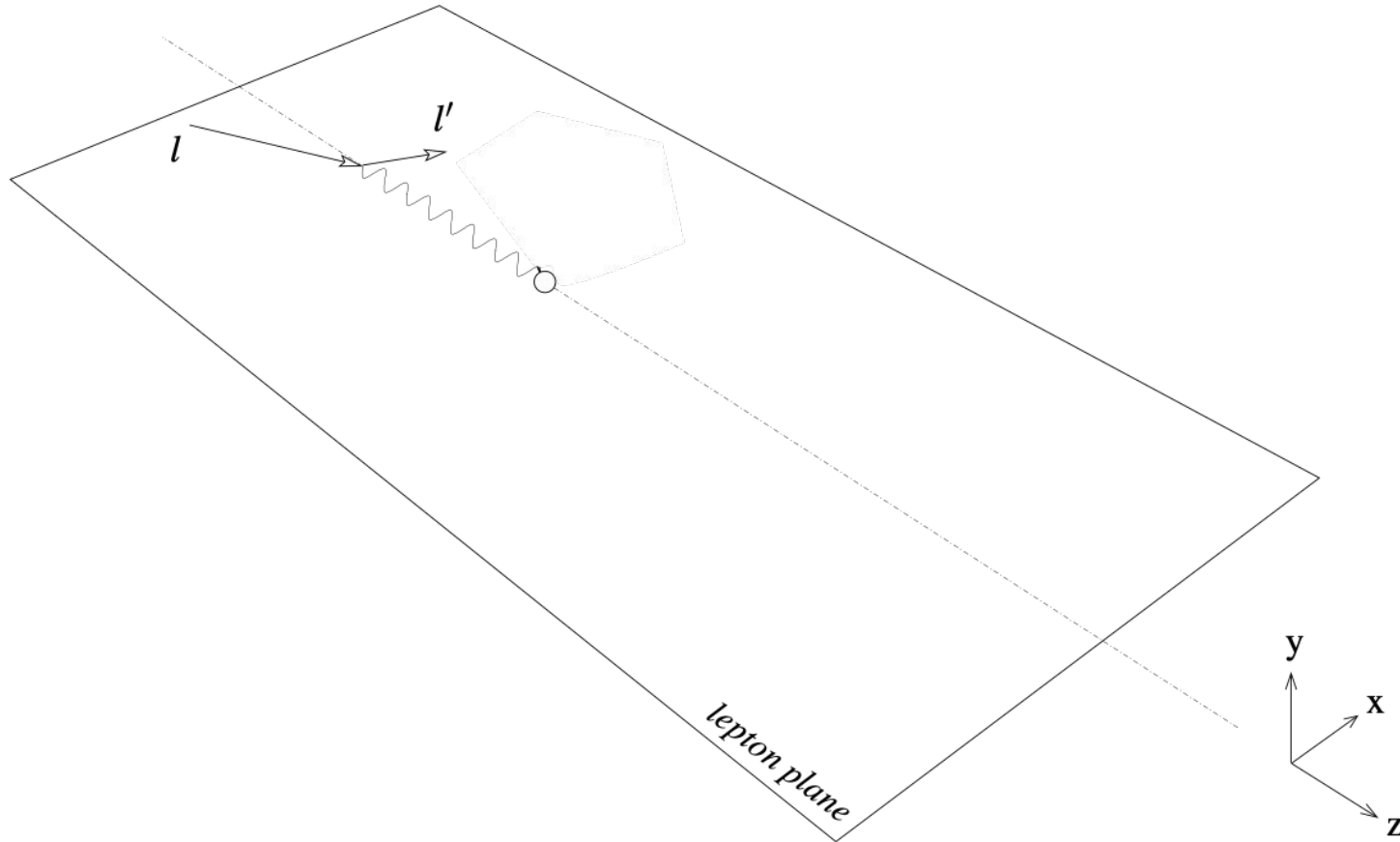
$$f_1(x, k_T^2)$$

3D structure
in momentum space

Credit picture: A. Bacchetta

Deep-inelastic scattering

$$l(\ell) + N(P) \rightarrow l'(\ell') + X(P_X)$$



Polarized case - spin 1/2

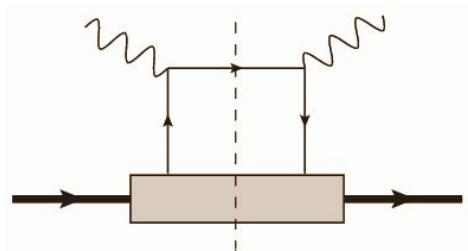
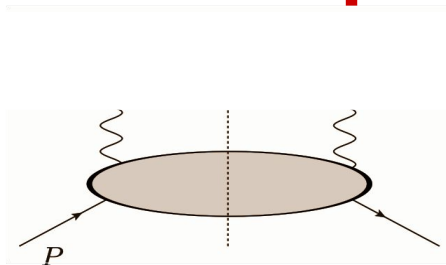
$$W^{\mu\nu}(q, P, S) \sim -g_{\perp}^{\mu\nu} F_{UU,T} + \hat{t}^{\mu} \hat{t}^{\nu} F_{UU,L} \\ + i S_L \epsilon_{\perp}^{\mu\nu} F_{LL} + i \left(\hat{t}^{\mu} \epsilon_{\perp}^{\nu\rho} - \hat{t}^{\nu} \epsilon_{\perp}^{\mu\rho} \right) S_{\rho} F_{LT}^{\cos \phi}$$

Two additional structure functions for the nucleon:

longitudinal and **transverse** target polarization → related to “standard” g1 and g2 functions

Transverse beam polarization is proportional to electron mass and thus suppressed

Partonic interpretation



quark-antiquark

$$2MW_{\mu\nu}(q, P, S) = \frac{1}{2\pi} \int d^4\xi e^{iq \cdot \xi} \langle PS | [J_\mu^\dagger(x), J_\nu(0)] | PS \rangle$$

$$J_\mu(\xi) = : \bar{\psi}(\xi) Q \gamma_\mu \psi(\xi) :$$

$$2MW^{\mu\nu}(q, P, S) = \sum_q e_q^2 \frac{1}{2} \text{Tr} [\Phi(x, S) \gamma^\mu \gamma^+ \gamma^\nu]$$

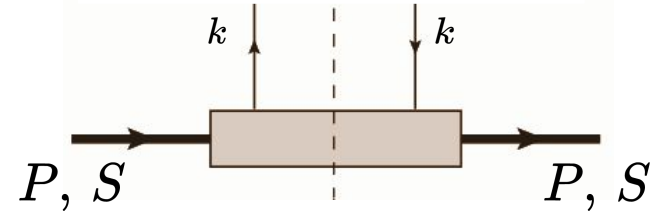
$\phi(x, S)$: "collinear" quark correlator

$x_B \simeq x \equiv k^+ / P^+ \rightarrow$ measure collinear parton dynamics

The quark transverse momentum is integrated out in DIS

Collinear parton distribution functions

$\Phi_{ij}(k, P, S)$: non-perturbative hadron structure matrix



$$\Phi(x, S) = \frac{1}{2} \boxed{f_1(x)} \not{n}_+$$

→ unpolarized PDF

$$\frac{1}{2} \boxed{g_1(x)} S_L \gamma_5 \not{n}_+$$

→ longitudinally polarized PDF
(helicity)

$$\frac{1}{2} \boxed{h_1(x)} i\sigma_{\mu\nu} \gamma_5 n_+^\mu S_T^\nu$$

→ transversely polarized PDF
(transversity)

“Leading twist”
approximation
for spin 1/2

DIS: structure functions and PDFs

$$\begin{aligned}
 F_T &= x_B \sum_q e_q^2 f_1^q(x_B), && \leftarrow \text{Leading twist PDFs} \\
 F_L &= 0, \\
 F_{LL} &= x_B \sum_q e_q^2 g_1^q(x_B), && \leftarrow \text{Higher twist PDF} \\
 F_{UT}^{\sin \phi_S} &= 0, \\
 F_{LT}^{\cos \phi_S} &= -x_B \sum_q e_q^2 \frac{2M}{Q} \left(x_B g_T^q(x_B) + \frac{M_q - m_q}{M} h_1^q(x_B) \right)
 \end{aligned}$$

LHS: measurable
RHS: partonic quantities
“Collinear” imaging

DIS on a spin ½ hadron: structure functions at leading order in perturbation theory
 (at higher orders: convolution with perturbative coefficients)

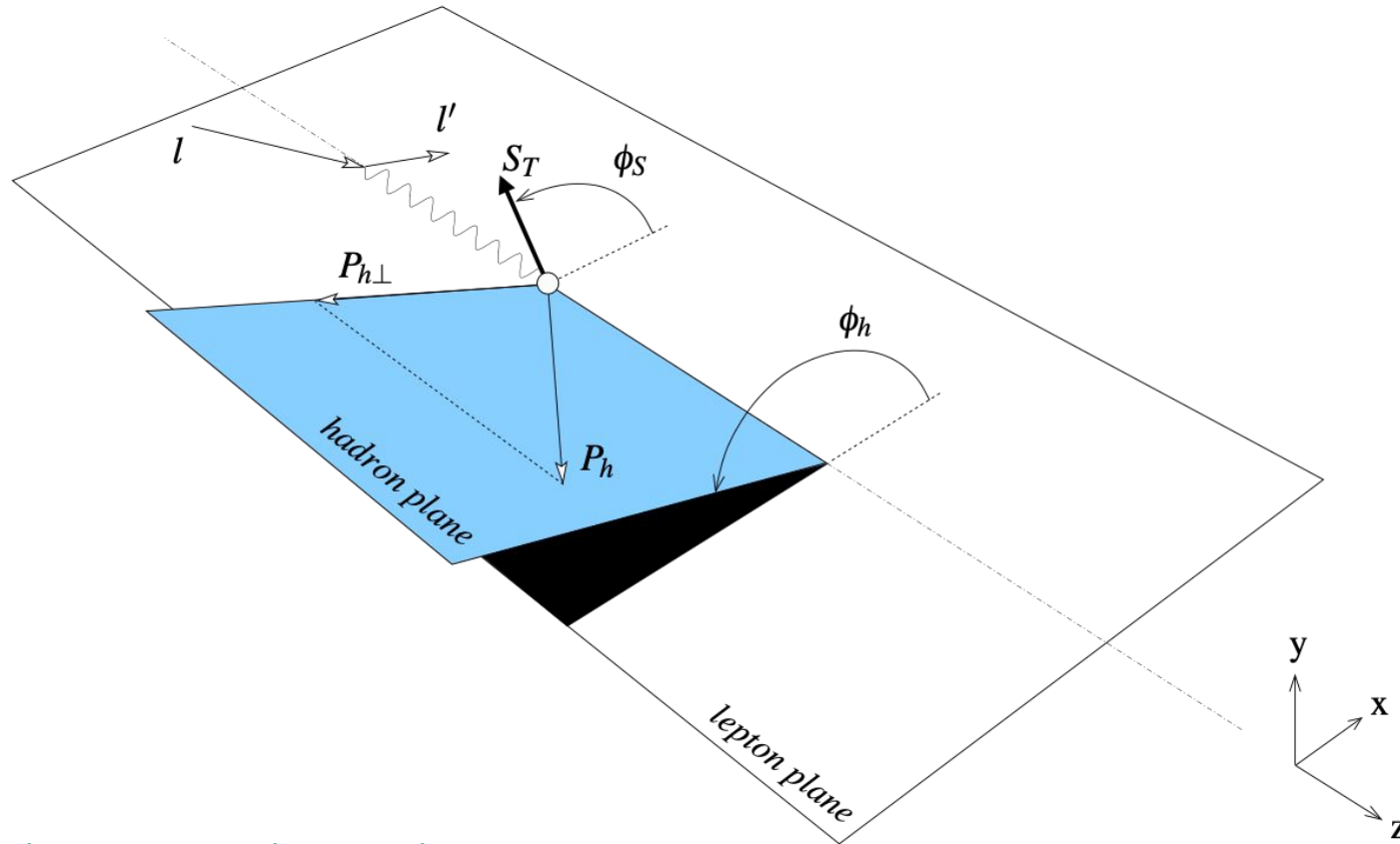
Higher twist PDFs

$$\begin{aligned}
 \text{Twist 2} \quad \Phi(x) &= \frac{1}{2} \left\{ f_1(x) \not{x}_+ + \lambda g_1(x) \gamma_5 \not{x}_+ + h_1(x) \frac{\gamma_5 [\not{x}_T, \not{x}_+]}{2} \right\} \\
 \text{Twist 3} &+ \frac{M}{2P^+} \left\{ e(x) + g_T(x) \gamma_5 \not{x}_T + \lambda h_L(x) \frac{\gamma_5 [\not{x}_+, \not{x}_-]}{2} \right\} \\
 &+ \frac{M}{2P^+} \left\{ -\lambda e_L(x) i\gamma_5 - f_T(x) \epsilon_T^{\rho\sigma} \gamma_\rho S_{T\sigma} + h(x) \frac{i [\not{x}_+, \not{x}_-]}{2} \right\} \\
 \text{Twist 4} &+ \frac{M^2}{2(P^+)^2} \left\{ f_3(x) \not{x}_- + \lambda g_3(x) \gamma_5 \not{x}_- + h_3(x) \frac{\gamma_5 [\not{x}_T, \not{x}_-]}{2} \right\}, \\
 \text{Twist } t \text{ (operational definition):} &\quad \left(\frac{M}{P^+} \right)^{t-2}
 \end{aligned}$$

For more details on the definition(s) of twist, see Jaffe's "Erice" lecture notes

Semi-Inclusive DIS

$$\ell(l) + N(P) \rightarrow \ell(l') + h(P_h) + X,$$



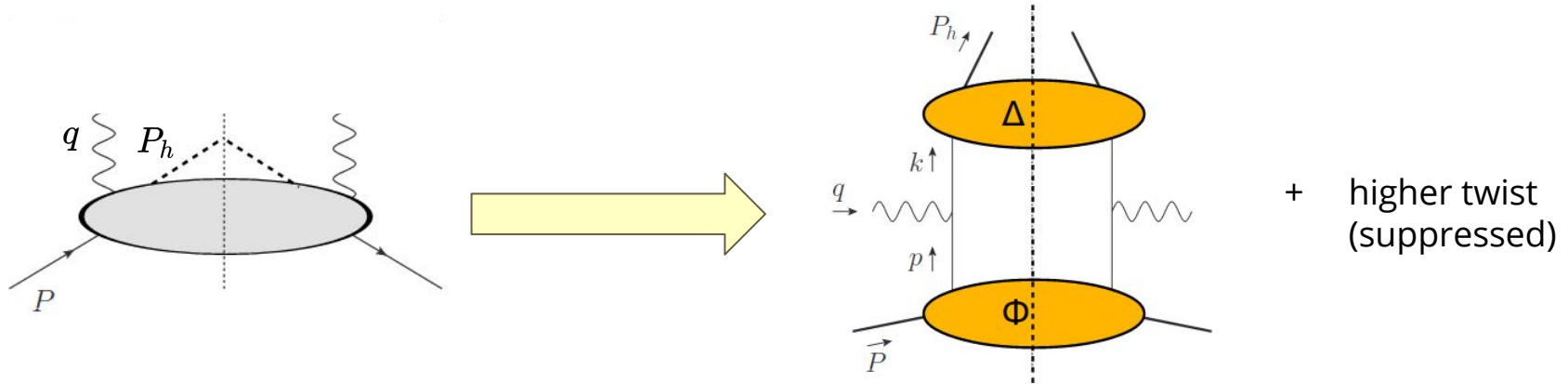
<https://inspirehep.net/literature/732275>

SIDIS cross section (polarized nucleon - spin 1/2)

$$\begin{aligned}
 & \frac{d\sigma}{dx dy d\phi_S dz d\phi_h dP_{h\perp}^2} \\
 &= \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} \right. \\
 &+ \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} + S_L \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] \\
 &+ S_L \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right] \\
 &+ S_T \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} \right. \\
 &+ \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} + \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} \\
 &+ \left. \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right] + S_T \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} \right. \\
 &+ \left. \left. \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \right\}
 \end{aligned}$$

18 structure functions
for polarized nucleon target

Partonic interpretation



$$2MW^{\mu\nu}(q, P, S, P_h) = \frac{2z_h}{x_B} \mathcal{C} \left[\text{Tr}(\Phi(x_B, \mathbf{p}_T, S) \gamma^\mu \Delta(z_h, \mathbf{k}_T) \gamma^\nu) \right]$$

$$\mathcal{C}[wfD] = \sum x e_a^2 \int d^2 \mathbf{p}_T d^2 \mathbf{k}_T \delta^{(2)}(\mathbf{p}_T - \mathbf{k}_T - \mathbf{P}_{h\perp}/z) w(\mathbf{p}_T, \mathbf{k}_T) f^a(x, p_T^2) D^a(z, k_T^2)$$

TMD PDFs and TMD FFs

		quark pol.		
		U	L	T
nucleon pol.	U	f_1		h_1^\perp
	L		g_{1L}	h_{1L}^\perp
	T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

8 TMD PDFs at leading twist

		quark pol.		
		U	L	T
hadron pol.	U	D_1		H_1^\perp
	L		G_{1L}	H_{1L}^\perp
	T	D_{1T}^\perp	G_{1T}	H_1, H_{1T}^\perp

8 TMD FFs at leading twist

SIDIS: structure functions and TMDs

$$F_{UU,T} = \mathcal{C} [f_1 D_1],$$

Leading twist TMDs

“Collins effect”

$$F_{UU}^{\cos 2\phi_h} = \mathcal{C} \left[-\frac{2(\hat{\mathbf{h}} \cdot \mathbf{k}_T)(\hat{\mathbf{h}} \cdot \mathbf{p}_T) - \mathbf{k}_T \cdot \mathbf{p}_T}{MM_h} h_1^\perp H_1^\perp \right]$$

“Sivers effect”

$$F_{UT,T}^{\sin(\phi_h - \phi_S)} = \mathcal{C} \left[-\frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} f_{1T}^\perp D_1 \right],$$

$$F_{LU}^{\sin \phi_h} = \frac{2M}{Q} \mathcal{C} \left[-\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} \left(x e H_1^\perp + \frac{M_h}{M} f_1 \frac{\tilde{G}^\perp}{z} \right) + \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} \left(x g^\perp D_1 + \frac{M_h}{M} h_1^\perp \frac{\tilde{E}}{z} \right) \right]$$

Higher twist TMDs

Etc. ...

SIDIS on a spin 1/2 hadron: structure functions at leading order in perturbation theory
(at higher orders: convolution with perturbative coefficients)

Higher twist TMDs

Sivers effect:
correlation between transverse spin and momentum

$$\Phi(x, \mathbf{k}_T) = \frac{1}{2} \left\{ f_1(x, \mathbf{k}_T) \not{n}_+ + f_{1T}^\perp(x, \mathbf{k}_T) \frac{\epsilon_{\mu\nu\rho\sigma} \gamma^\mu n_+^\nu k_T^\rho S_T^\sigma}{M} + g_{1s}(x, \mathbf{k}_T) \gamma_5 \not{n}_+ \right.$$

$$\text{Twist 2} \quad \left. + h_{1T}(x, \mathbf{k}_T) \frac{\gamma_5 [\not{S}_T, \not{n}_+]}{2} + h_{1s}^\perp(x, \mathbf{k}_T) \frac{\gamma_5 [\not{k}_T, \not{n}_+]}{2M} + h_1^\perp(x, \mathbf{k}_T) \frac{i [\not{k}_T, \not{n}_+]}{2M} \right\}$$

$$+ \frac{M}{2P^+} \left\{ e(x, \mathbf{k}_T) + f^\perp(x, \mathbf{k}_T) \frac{\not{k}_T}{M} - f_T(x, \mathbf{k}_T) \epsilon_T^{\rho\sigma} \gamma_\rho S_{T\sigma} \right.$$

Twist 3

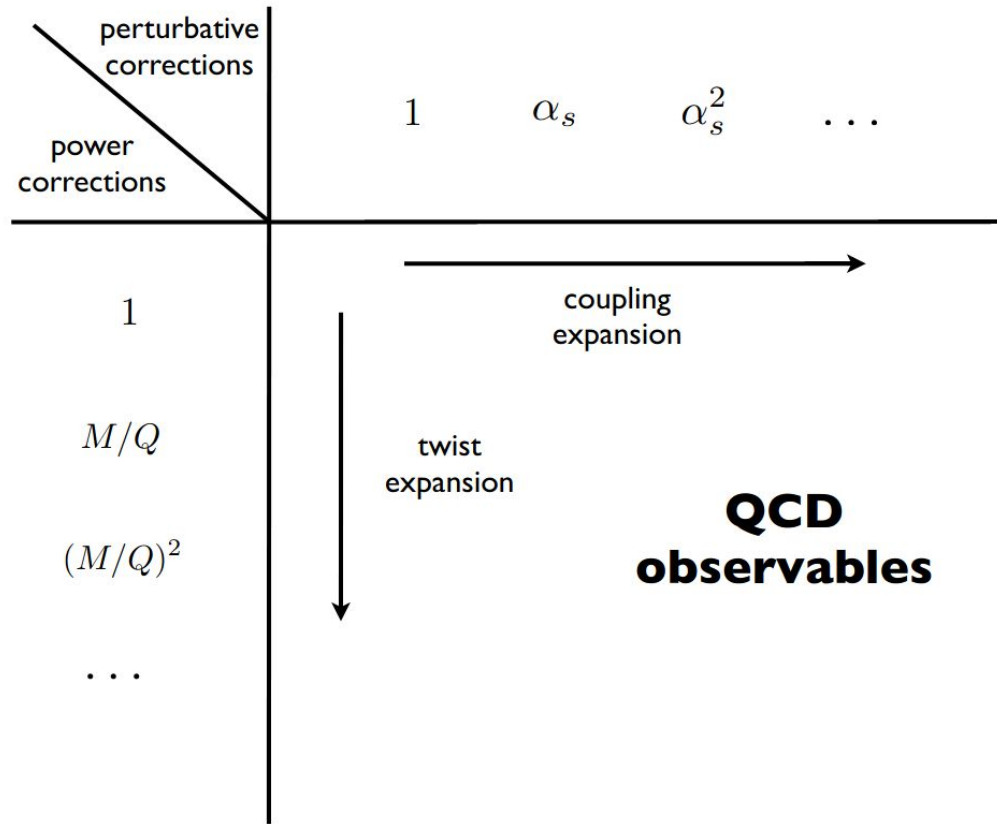
$$- \lambda f_L^\perp(x, \mathbf{k}_T) \frac{\epsilon_T^{\rho\sigma} \gamma_\rho k_{T\sigma}}{M} - e_s(x, \mathbf{k}_T) i \gamma_5$$

$$+ g_T'(x, \mathbf{k}_T) \gamma_5 \not{S}_T + g_s^\perp(x, \mathbf{k}_T) \frac{\gamma_5 \not{k}_T}{M} + h_T^\perp(x, \mathbf{k}_T) \frac{\gamma_5 [\not{S}_T, \not{k}_T]}{2M}$$

$$\left. + h_s(x, \mathbf{k}_T) \frac{\gamma_5 [\not{n}_+, \not{n}_-]}{2} + h(x, \mathbf{k}_T) \frac{i [\not{n}_+, \not{n}_-]}{2} \right\}. \quad (3.44)$$

Formally derived within the “diagrammatic approach” :

no interpretation in TMD factorization (yet)

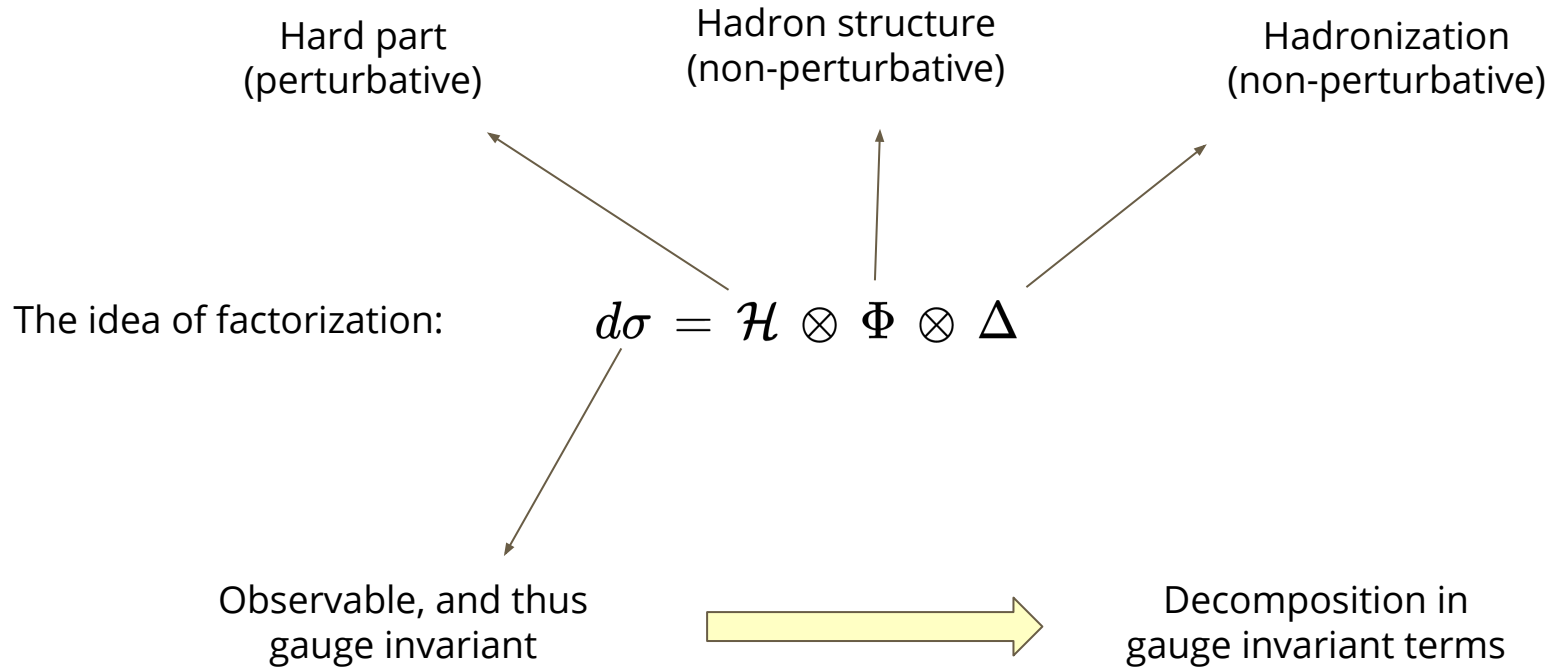


Plan of these lectures

1. DIS and partons
2. From DIS to SIDIS
3. Symmetries and universality
4. Factorization, evolution, matching
5. Phenomenology

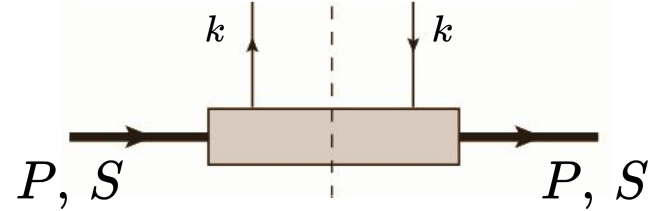
3.1 Symmetries

Gauge symmetry



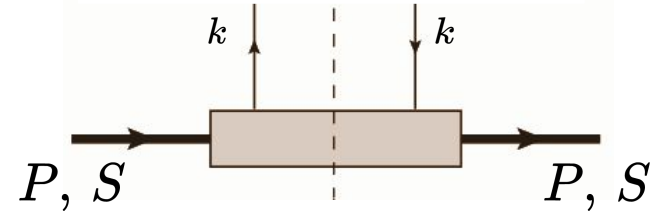
Quark correlator

$$\Phi_{ij}(k, P, S) = \int \frac{d^4\xi}{(2\pi)^4} e^{i k \cdot \xi} \langle PS | \bar{\psi}_j(0) \psi_i(\xi) | PS \rangle$$



Quark correlator

$$\Phi_{ij}(k, P, S) = \int \frac{d^4\xi}{(2\pi)^4} e^{i k \cdot \xi} \langle PS | \bar{\psi}_j(0) \psi_i(\xi) | PS \rangle$$



NOT GAUGE INVARIANT!

$$\mathcal{U}(x) = e^{i \alpha^a(x) t^a}$$

$$\bar{\psi}_j(0) \psi_i(\xi) \rightarrow \bar{\psi}_j(0) \mathcal{U}^\dagger(0) \mathcal{U}(\xi) \psi_i(\xi)$$

We need to “correct” the operator to make it gauge invariant

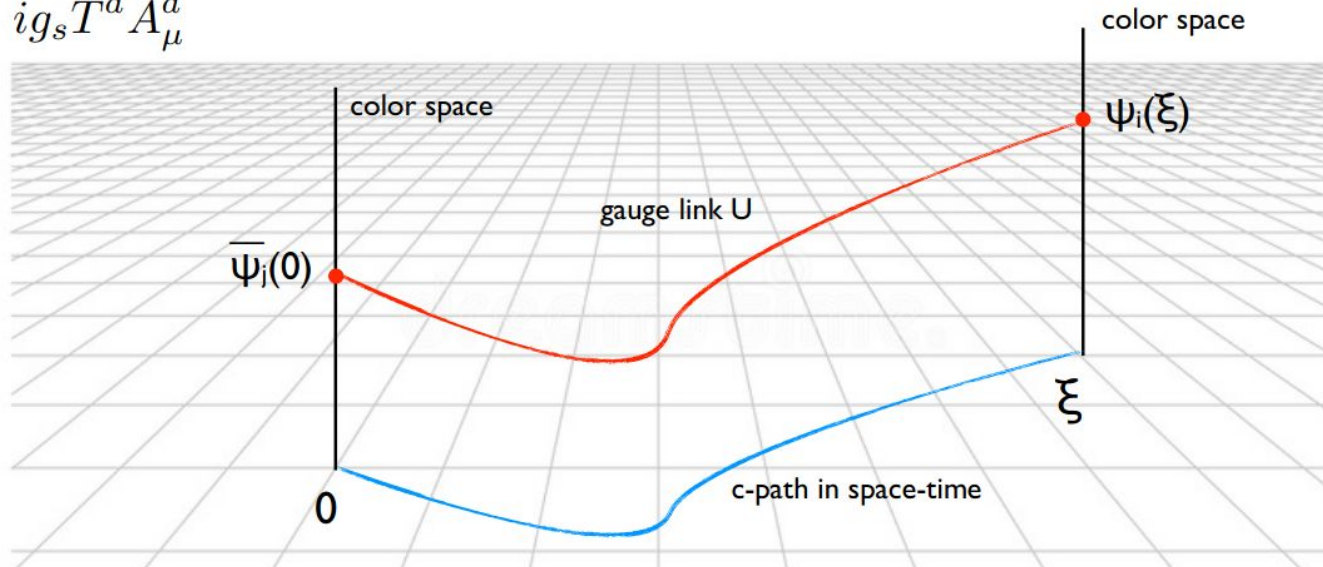
Close the non locality with a “gauge link” (or Wilson line)

Geometric interpretation

$$D_{\dot{c}} \psi(x(t)) = 0, \quad t \in I \subset \mathbb{R}$$

“Parallel transport” to close the non-locality

$$D_{\mu} = \partial_{\mu} - ig_s T^a A_{\mu}^a$$



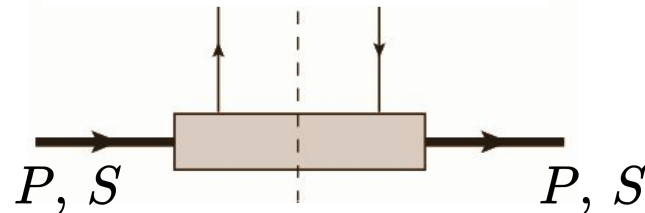
$$\psi'_{\beta}(x(t)) = \mathbb{P} \exp \left\{ -ig \int_0^t ds \frac{dx^{\mu}}{ds} A_{\mu}^a(x(s)) T_{\beta\alpha}^a \right\} \psi_{\alpha}(x(0))$$

$$\doteq U_{\beta\alpha}(x(t), x(0)) \psi_{\alpha}(x(0)) .$$

Gauge link U

Gauge invariant quark correlator

$$\Phi_{ij}(k, P, S) = \int \frac{d^4\xi}{(2\pi)^4} e^{i k \cdot \xi} \langle PS | \bar{\psi}_j(0) U(0, \xi) \psi_i(\xi) | PS \rangle$$



GAUGE INVARIANT!

$$U(x) = e^{i \alpha^a(x) t^a}$$

The Wilson line “bridges” the non-locality and makes the operator gauge invariant

$$U(0, \xi) \rightarrow U(0) U(0, \xi) U^\dagger(\xi)$$

$$\bar{\psi}_j(0) U(0, \xi) \psi_i(\xi) \rightarrow \bar{\psi}_j(0) U^\dagger(0) U(0) U(0, \xi) U^\dagger(\xi) U(\xi) \psi_i(\xi) = \bar{\psi}_j(0) U(0, \xi) \psi_i(\xi)$$



Eventually the correlator and the (TMD) PDFs **depend on the gauge link and its path** in spacetime

Discrete symmetries: parity

$$a^\mu = (a^0, \vec{a}), \quad \tilde{a}^\mu = (a^0, -\vec{a}) \quad \leftarrow \text{let's consider this definition}$$

$$z^\mu \longrightarrow \tilde{z}^\mu$$

$$P^\mu \longrightarrow \tilde{P}^\mu$$

$$S^\mu \longrightarrow S^\mu \equiv -\tilde{S}^\mu \quad (\text{since } S^\mu = (0, \vec{S}) \text{ by definition})$$

$$n_\pm \longrightarrow n_\mp$$

$$\psi(\xi) \longrightarrow \mathcal{P} \psi(\xi) \mathcal{P}^\dagger = \Lambda_{\mathcal{P}} \psi(\tilde{\xi}), \quad \Lambda_{\mathcal{P}} = \gamma^0$$

$$\gamma^\mu \longrightarrow \mathcal{P} \gamma^\mu \mathcal{P}^\dagger = \Lambda_{\mathcal{P}} \gamma^\mu \Lambda_{\mathcal{P}}^\dagger$$

The action on the quark field is the one that leaves the QCD lagrangian invariant under parity transformation (symmetry)

Discrete symmetries: time reversal

$$a^\mu = (a^0, \vec{a}), \quad \tilde{a}^\mu = (a^0, -\vec{a}) \quad \leftarrow \text{let's consider this definition}$$

$$z^\mu \longrightarrow -\tilde{z}^\mu$$

$$P^\mu \longrightarrow \tilde{P}^\mu$$

$$S^\mu \longrightarrow \tilde{S}^\mu$$

$$n_\pm \longrightarrow -n_\mp$$

$$\psi(\xi) \longrightarrow \mathcal{T} \psi(\xi) \mathcal{T}^\dagger = \Lambda_{\mathcal{T}} \psi(-\tilde{\xi}), \quad \Lambda_{\mathcal{T}} = -i\gamma_5 C = i\gamma^1 \gamma^3$$

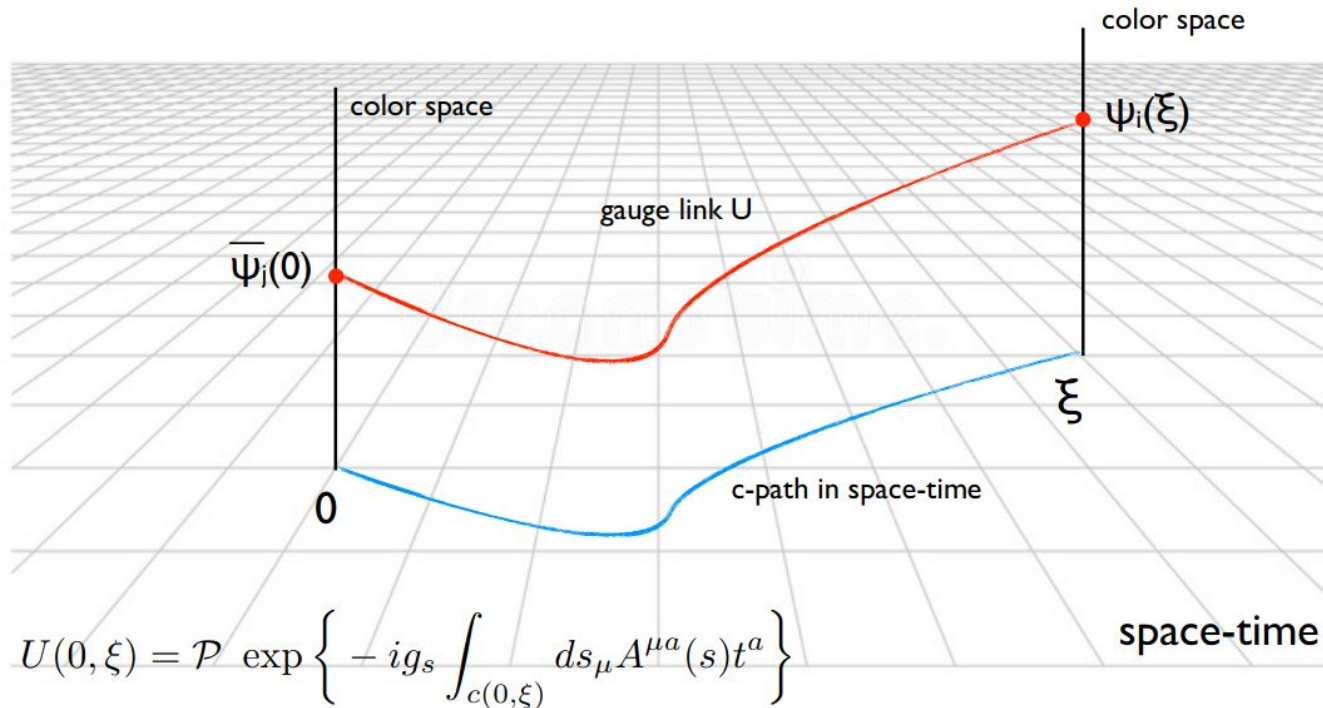
$$\gamma^\mu \longrightarrow \mathcal{T} \gamma^\mu \mathcal{T}^\dagger = \Lambda_{\mathcal{T}} \gamma^\mu \Lambda_{\mathcal{T}}^\dagger = \gamma_\mu^*$$

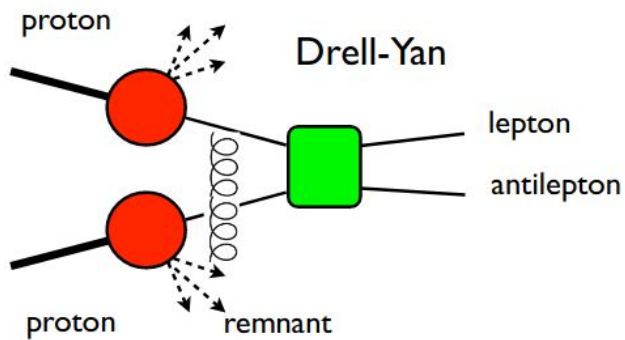
The action on the quark field is the one that leaves the QCD lagrangian invariant under time reversal transformation (symmetry)

3.2 Universality ?

Geometric structure

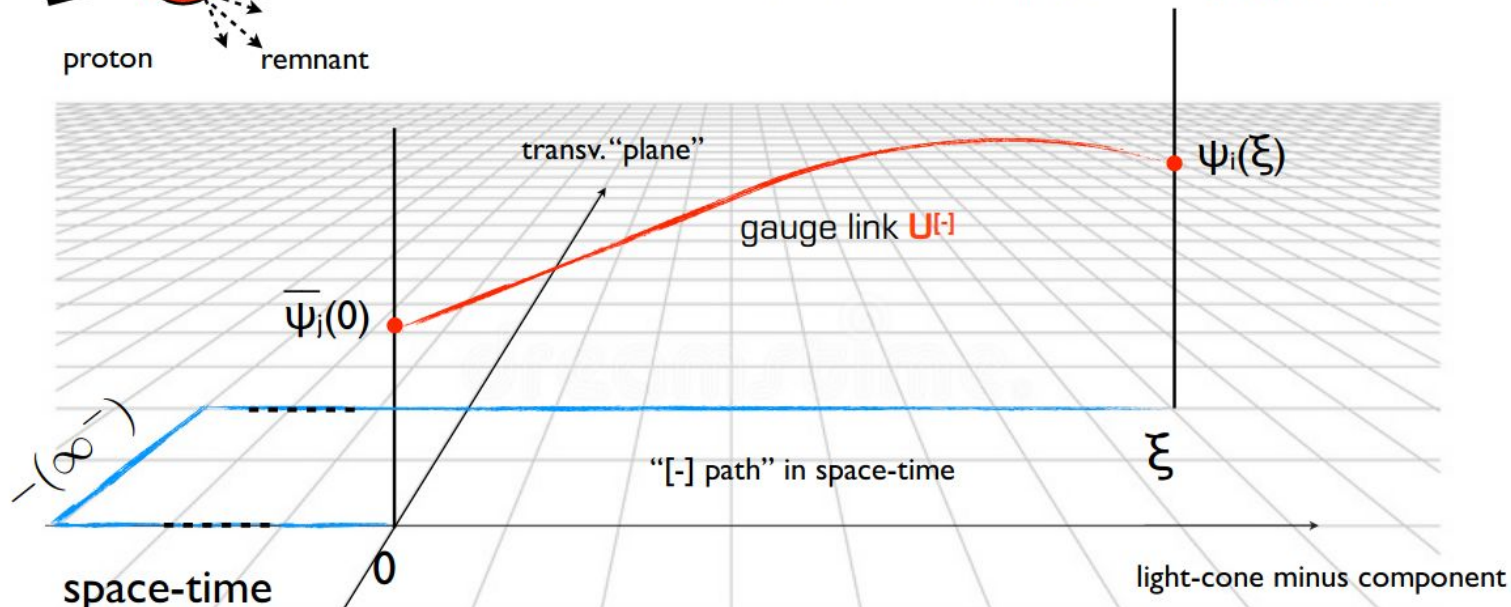
$$\Phi(k, P) = \text{F.T.} \langle P | \bar{\psi}_j(0) U \psi_i(\xi) | P \rangle \longrightarrow f_1^a [U](x, k_T^2) \not{P} + \dots$$



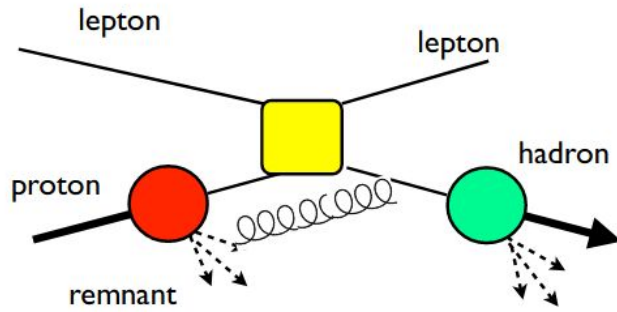


In **Drell-Yan** the **remnant** of the proton feels the color force of a **quark** in the **initial state**

$$\Phi(k, P) = \text{F.T.} \langle P | \bar{\psi}_j(0) U^{[-]}(0, \xi) \psi_i(\xi) | P \rangle$$

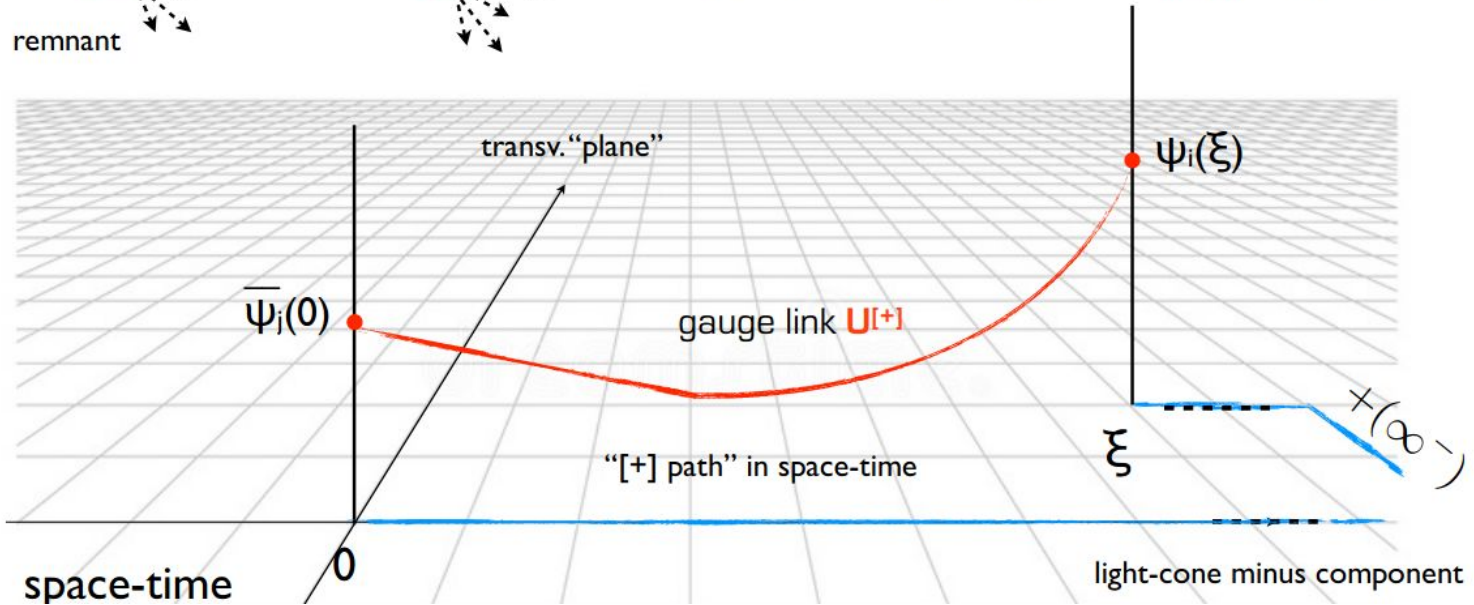


Distributions defined with U^- gauge link: $f_1^{[U^-]}(x, k_T^2)$



In **SIDIS** the **remnant** of the proton feels the color force of a **quark** in the **final state**

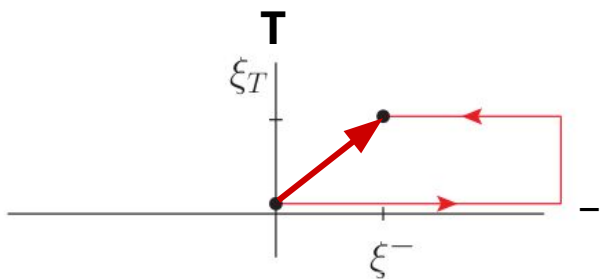
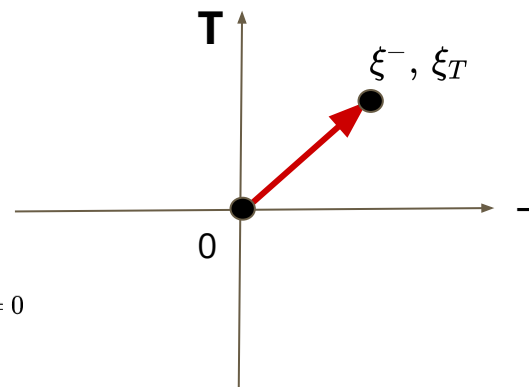
$$\Phi(k, P) = \text{F.T.} \langle P | \bar{\psi}_j(0) U^{[+]}(0, \xi) \psi_i(\xi) | P \rangle$$



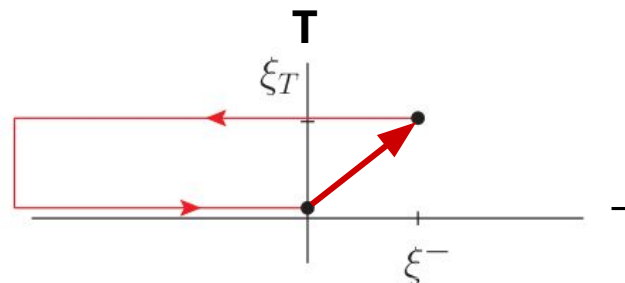
Distributions defined with U^+ gauge link: $f_1^{[U^+]}(x, k_T^2)$

Gauge links for TMD PDFs

$$\begin{aligned} \Phi_{ij}^{[U]}(x, \mathbf{p}_T, S) &= \int dp^+ dp^- \delta(p^+ - xP^+) \Phi^{[U]}(p, P, S) = \\ &= \int \frac{d\xi^- d^2\xi_T}{2\pi} e^{ip \cdot \xi} \langle PS | \bar{\psi}_j(0) U(0, \xi) \psi_i(\xi) | PS \rangle_{\xi^+ = 0} \end{aligned}$$



$U^{[+]}$ Future pointing (SIDIS)



$U^{[-]}$ Past pointing (Drell-Yan)

Process dependence

The hard process determines the path of the link U ,
and the **distributions are process dependent**.

What happens to the *universal* concept of hadron structure?



Process dependence

The interplay between **time reversal** and **gauge symmetry** generates **relations** between the two configurations:

$$f_1^{a [+]}(x, k_T^2) = f_1^{a [-]}(x, k_T^2)$$

$$f_{1T}^{a\perp [+]}(x, k_T^2) = -f_{1T}^{a\perp [-]}(x, k_T^2)$$

T-even distribution

striking consequence
of the symmetries of QCD

T-odd distribution



Sign-change relation for the Sivers function : not yet confirmed experimentally

Implications of discrete symmetries

$$U_{\pm}(a, b)^{\dagger} = U_{\pm}(b, a)$$

$$\mathcal{P}U_{\pm}(a, b)\mathcal{P}^{\dagger} = U_{\pm}(\tilde{a}, \tilde{b})$$

$$\mathcal{T}U_{\pm}(a, b)\mathcal{T}^{\dagger} = U_{\mp}(-\tilde{a}, -\tilde{b})$$

We are going to **derive** these properties (together with the **sign change** of the T-odd Sivers function) during the **recitation sessions**

Hermiticity: $\Phi^{[\pm]\dagger}(k; P, S) = \gamma^0 \Phi^{[\pm]}(k; P, S) \gamma^0$

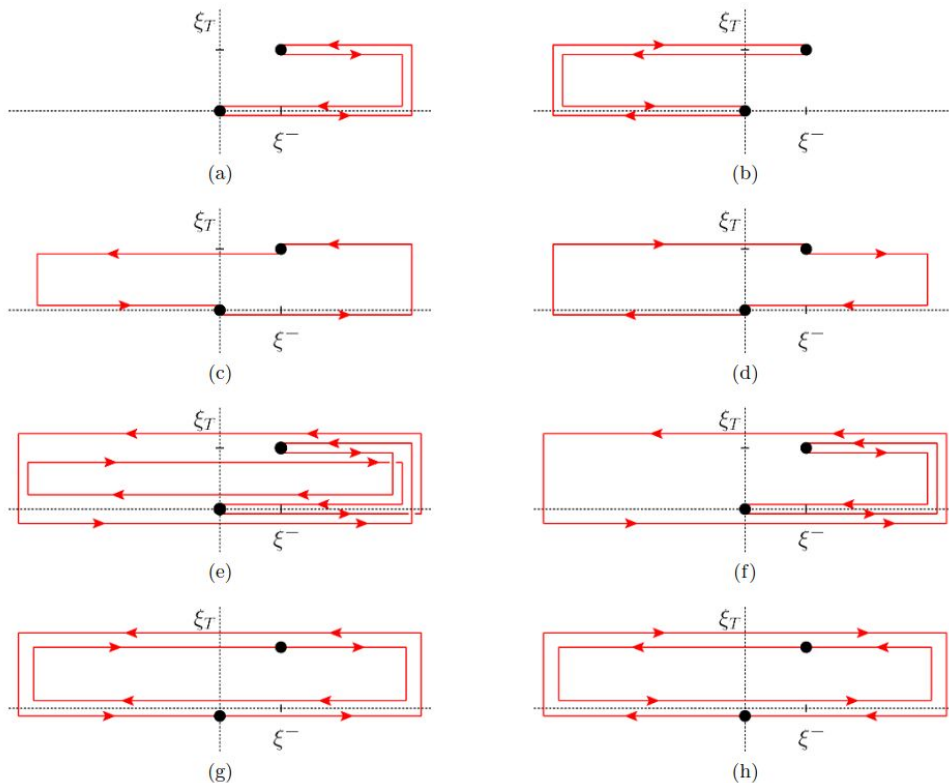
Parity: $\Phi^{[\pm]}(k; P, S) = \gamma^0 \Phi^{[\pm]}(\tilde{k}; \tilde{P}, -\tilde{S}) \gamma^0$

Time reversal: $\Phi^{[\pm]*}(k; P, S) = i\gamma^1 \gamma^3 \Phi^{[\mp]}(\tilde{k}; \tilde{P}, \tilde{S}) i\gamma^1 \gamma^3$

Gauge links for gluon TMDs (more complicated)

$$F^{\mu\nu}(0) U(0, \xi) F^{\rho\sigma}(\xi) U'(\xi, 0)$$

← more complicated operator with two gauge links

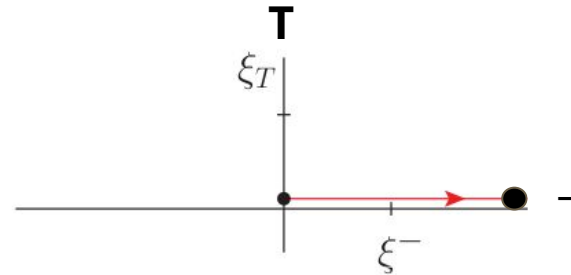
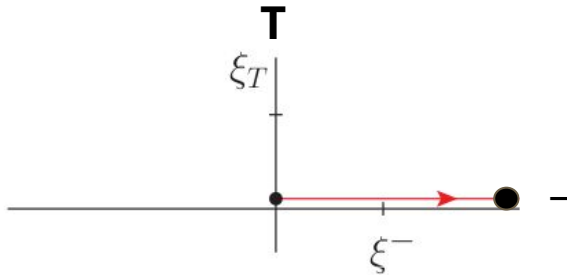
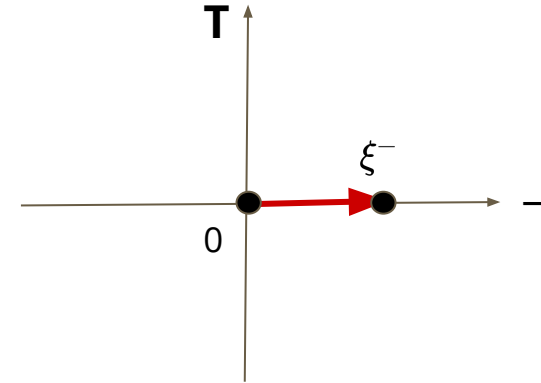


The process dependence for these TMDs amounts to more complicated relations than a minus sign (but still calculable!)

For more details see
<https://inspirehep.net/literature/1391461>

Gauge links for collinear PDFs (simpler)

$$\begin{aligned} \Phi_{ij}^{[U]}(x, S) &= \int dk^+ dk^- d^2\mathbf{k}_T \delta(k^+ - xP^+) \Phi^{[U]}(k, P, S) = \\ &= \int \frac{d\xi^-}{2\pi} e^{ik \cdot \xi} \langle PS | \bar{\psi}_j(0) U(0, \xi) \psi_i(\xi) | PS \rangle_{\xi^+ = \xi_T = 0} \end{aligned}$$



In the collinear limit the two gauge links reduce to the same object