



Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

Soft and hard aspects of QCD dynamics in hadronic form factors

A. Radyushkin

Old Dominion University
and
Jefferson Lab

Workshop “The Future of Color Transparency and Hadronization Studies at Jefferson Lab and Beyond”
Jefferson Lab, 7-8 June 2021

Hadronic form factors

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- **Hadronic form factors:** Observation:
 $(1/Q^2)^{n_q-1}$ counting rules for a hadron made of n_q quarks
- **Exclusive-inclusive connection:**
Observation: Parton distributions behave like $(1-x)^{2n_q-3}$
- **Expectation:** some fundamental/easily visible reason
- **Warning:** For every complex problem there is an answer that is clear, simple, and wrong

Soft mechanism

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

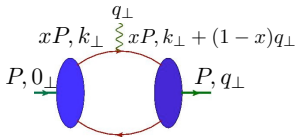
QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- **Early idea:** Feynman mechanism/Drell-Yan formula [PRL 70]

$$F(Q^2) = \int_0^1 dx \int d^2\mathbf{k}_\perp \Psi^*(x, \mathbf{k}_\perp + \bar{x}\mathbf{q}_\perp) \Psi(x, \mathbf{k}_\perp)$$



- Take region where both $\Psi_M(x, \mathbf{k}_\perp)$ and $\Psi_M^*(x, \mathbf{k}_\perp + \bar{x}\mathbf{q}_\perp)$ are maximal
 - $|\mathbf{k}_\perp| \sim \Lambda$ is small and
 - $\bar{x} \equiv 1 - x$ is close to 0, so that $|\bar{x}\mathbf{q}_\perp| \sim \Lambda$
- If $|\Psi(x, \Lambda)|^2 \sim (1-x)^{2n_q-3}$ then

$$F(Q^2) \sim \int_0^{\Lambda/Q} \bar{x}^{2n_q-3} d\bar{x} \sim (1/Q^2)^{n_q-1}$$

⇒ **Causal relation:** Form of $f(x)$ determines $F(Q^2)$

Hard mechanism

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- Another region in DY formula

$$F(Q^2) = \int_0^1 dx \int d^2\mathbf{k}_\perp \Psi^*(x, \mathbf{k}_\perp + \bar{x}\mathbf{q}_\perp) \Psi(x, \mathbf{k}_\perp)$$

- finite x and small $|\mathbf{k}_\perp|$, e.g., region $|\mathbf{k}_\perp| \ll \bar{x}|\mathbf{q}_\perp|$, where $\Psi(x, \mathbf{k}_\perp)$ is maximal. Then

$$F_M(Q^2) \sim 2 \int_0^1 dx |\Psi^*(x, \bar{x}\mathbf{q}_\perp) \varphi(x)|$$

⇒ form factor repeats large- \mathbf{k}_\perp behavior of WF

- Distribution amplitude

$$\varphi(x) = \int d^2\mathbf{k}_\perp \Psi(x, \mathbf{k}_\perp)$$

- Mechanism was proposed by G.B. West [PRL 70] (though in covariant BS-type formalism)

West's model

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

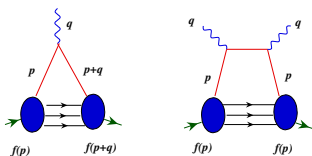
Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions



$$F(Q^2) \sim \int d^4p f(p) f(p+q)$$

- $f(p) = f(t, M^2)$ is a function of $t \equiv p^2$ and spectator mass M^2
- If $f(t, M^2) \sim t^{-n} g(M^2)$, then $F(Q^2) \sim (1/Q^2)^n$

$$\nu W_2(x) \sim \int_{t_{\min}}^{t_{\max} \sim -2\nu} dt f^2(t, M^2) \sim (t_{\min})^{2n-1}$$

$$\text{where } t_{\min} = \left(\frac{-x}{1-x} \right) [M^2 - (1-x)M_N^2]$$

$$\Rightarrow \nu W_2(x) \sim (1-x)^{2n-1}$$

DY vs West's model

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- **DY:** Active parton is “on-shell” $p^2 \sim \Lambda^2$
- $F(Q^2)$ reflects the size of phase space in which $1 - x \sim \Lambda/Q$
- **West's model:** Active parton is highly virtual
- $F(Q^2)$ reflects shape of WF for large virtualities
⇒ Two mechanisms are completely different
- **Surprise:**
 $(1/Q^2)^n \Leftrightarrow (1-x)^{2n-1}$ holds in both models!
- NB: In DY model, n is not necessarily integer
- NB: In West's model, $(1/Q^2)^n$ and $(1-x)^{2n-1}$ have the same cause, but not “causing” each other

Hard mechanism & pQCD

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

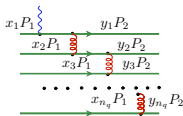
Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions



- **Integer** n naturally appear in hard model: reflects number of hard propagators
- **Hard exchange** in a theory with dimensionless coupling constant gives $n = n_q - 1$ [BF 73]
- **Consequence** of scale invariance [MMT 73]
- **QCD**: $(\alpha_s/Q^2)^{n_q-1}$
- **Suppression**: $F_\pi(Q^2) \rightarrow (2\alpha_s/\pi)s_0/Q^2$ [$s_0 = 4\pi^2 f_\pi^2 \approx 0.7 \text{ GeV}^2$]
- **Known**: $\alpha_s/\pi \sim 0.1$ is penalty for an extra loop
- **VMD**: $F_\pi(Q^2) \rightarrow m_\rho^2/Q^2$ with $m_\rho^2 \approx 0.6 \text{ GeV}^2 \approx s_0$

Perturbative QCD for Pion EM Form Factor

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

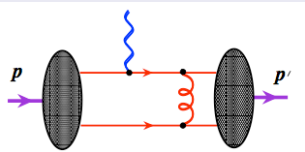
- First application of pQCD to exclusive processes (AR (1977); Jackson PhD Thesis (1977) for "Abelian QCD")
- Light-cone pion Distribution Amplitude $\varphi_\pi(\xi) = f_\pi a(\xi)$ introduced

$$F_\pi^{\text{lead}}(Q^2) = F_\pi^A(Q^2) = 16\pi\alpha_s(Q^2) \frac{f_\pi^2}{Q^2} \frac{C_2(R)}{N_c} |\gamma(Q^2)|^2,$$

$$\gamma = \int_0^1 a(\xi, Q^2) d\xi / (1 - \xi^2) \quad \left| \int_0^1 a(\xi, Q^2) d\xi \right| = 1.$$

- Hard scenario: **small-size configurations** dominate large- Q^2 behavior
- ERBL evolution results in $a(\xi, Q^2) \rightarrow \frac{3}{2}(1 - \xi^2)$ as $Q^2 \rightarrow \infty$
- $f_\pi = 93 \text{ MeV}$

Hard gluon exchange diagram



$$F_\pi^{\text{as}}(Q^2) = \frac{16\pi f_\pi^2 \alpha_s(Q^2)}{Q^2}$$

Pion Form Factor Data and pQCD

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

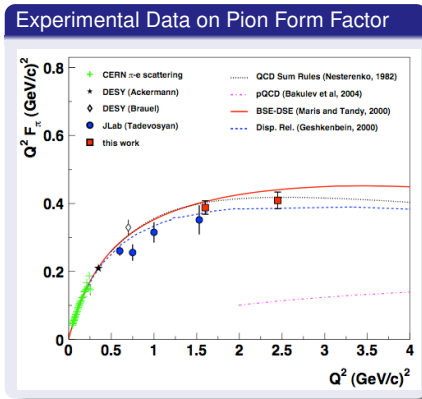
Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- With asymptotic pion DA $\varphi_{\pi}^{a,s}(\alpha)$, **hard** pQCD contribution to $F_{\pi}(Q^2)$ is less than 1/3 of experimental value
- Nonperturbative **soft** mechanism dominates for available Q^2



QCD Sum Rules for Pion Form Factor

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- Ioffe and Smilga (1982); V. A. Nesterenko and AR (1982)
- a) pQCD diagram
- b) LO QCD SR diagram
- c) 2-loop QCD SR diagram
- (c) Converts into pQCD diagram for large Q^2
- (c) is $\sim \alpha_s/\pi$ of (b) for small and moderate Q^2

- Dashed line: Borel parameter $M^2 = 1.8 \text{ GeV}^2$
- Solid line: $M^2 = \infty$
- Corresponds to local duality

QCD Sum Rules for Pion Form Factor

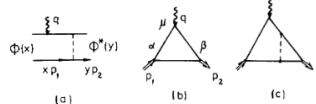


Fig. 1. Diagrams relevant to calculation of the pion form factor in QCD: (a) asymptotic perturbative QCD diagram; (b) lowest-order diagram of the QCD sum rule approach; (c) one of two-loop diagrams of the QCD sum rule approach.

Comparison with data

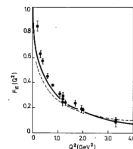


Fig. 3. Comparison between experimental data (taken from ref. [17]) and our theoretical predictions based on eq. (10): $M^2 = \infty$ (solid line); $M^2 = 1.8 \text{ GeV}^2$ (broken line).

Local Quark-Hadron Duality

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- The lowest state (pion) is dual to perturbative spectral density

$$f_{\pi}^2 F_{\pi}(Q^2) = \frac{1}{\pi^2} \int_0^{s_0} ds_1 \int_0^{s_0} ds_2 \rho^{\text{pert}}(s_1, s_2, q^2)$$

- Analytic expression (NR (1982))

$$F_{\pi}^{\text{local duality}}(Q^2) = 1 - (1 + 6s_0/Q^2) / (1 + 4s_0/Q^2)^{3/2}$$

- Asymptotically $F_{\pi}^{\text{LD,LO}} = 6s_0^2/Q^4$,
but it behaves like $1/Q^2$ at moderate Q^2
- Estimate for NLO local duality term

$$\frac{1}{\pi^2 f_{\pi}^2} \int_0^{s_0} ds_1 \int_0^{s_0} ds_2 \Delta \rho^{\text{pert}}(s_1, s_2, q^2) = \frac{\alpha_s}{\pi} (1 + Q^2/2s_0)^{-1}$$

- NLO term has $\sim s_0/Q^2$ behavior suppressed by $\sim \alpha_s/\pi$ factor

Local Quark-Hadron Duality for Proton

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

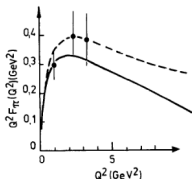


Fig. 5: Pion form factor.

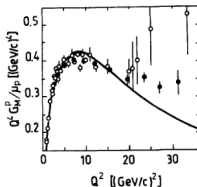


Fig. 6: Proton magnetic form factor

- LD for the proton magnetic form factor (Nesterenko & AR, (1983))

$$G_M^p(Q^2) = \frac{8}{3} \sqrt{T^2 - 1} \left\{ (4T^2 - 1)(T^2 - 1) + (4T^2 - 3)T\sqrt{T^2 - 1} \right\}^{-1}$$

- $T = 1 + Q^2/2s_0^N$ with $s_0^N = 2.3 \text{ GeV}^2$ taken from QCD SR analysis of 2-point correlator
- LD may be written in the form of Drell-Yan form factor formula with LC wave function

$$\Psi(x_i, k_{i\perp}) \sim \theta \left[\sum_i \frac{k_{i\perp}^2}{x_i} \leq s_0 \right]$$

From Local Duality to GPD representation

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- DY formula has the structure

$$F(Q^2) = \sum_{\text{flavors}} e_a \int_0^1 \mathcal{F}_a(x, Q^2) dx$$

- $\mathcal{F}_a(x, Q^2)$ is GPD at zero skewness $\xi = 0$
- Note that $\mathcal{F}_a(x, Q^2 = 0) = f_a(x)$ is the parton density (PDF)

Changing sharp cut-off to wave function with Gaussian k_{\perp} dependence

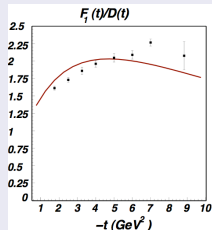
$$\Psi(x_i, k_{i\perp}) \sim \exp \left[-\frac{1}{\lambda^2} \sum_i \frac{k_{i\perp}^2}{x_i} \right]$$

suggests

$$\mathcal{F}_a(x, t) = f_a(x) e^{(1-x)t/2x\lambda^2}$$

$f_a(x)$ = experimental densities

Adjusting λ^2 to provide $\langle k_{\perp}^2 \rangle \approx (300\text{MeV})^2$



Regge-type models for GPDs

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

“Regge” improvement:

$$\begin{aligned} f(x) &\sim x^{-\alpha(0)} \\ \Rightarrow \mathcal{F}(x, t) &\sim x^{-\alpha(t)} \\ \Rightarrow \mathcal{F}(x, t) &= f(x)x^{-\alpha't} \end{aligned}$$

Accommodating quark counting rules:

$$\begin{aligned} \mathcal{F}(x, t) &= f(x)x^{-\alpha't(1-x)}|_{x \rightarrow 1} \\ &\sim f(x)e^{\alpha'(1-x)^2 t} \end{aligned}$$

Does not change small- x behavior but provides

$$\begin{aligned} f(x)|_{x \rightarrow 1} \text{ vs. } F_1(t)|_{t \rightarrow \infty} \text{ interplay:} \\ f(x) \sim (1-x)^n \Rightarrow F_1(t) \sim t^{-(n+1)/2} \end{aligned}$$

Note: no pQCD involved in these counting rules!

Extra $1/t$ for $F_2(t)$

can be produced by taking

$$\mathcal{E}_a(x, t) \sim (1-x)^2 \mathcal{F}_a(x, t)$$

for **“magnetic”** GPDs

More general:

$$\begin{aligned} \mathcal{E}_a(x, t) &\sim (1-x)^{\eta_a} \mathcal{F}_a(x, t) \\ \text{Fit : } \eta_u &= 1.6, \eta_d = 1 \end{aligned}$$

Fit to All Four Nucleon $G_{E,M}$ Form Factors

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

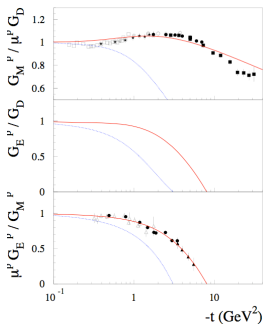
Hard mechanism & pQCD

QCD Sum Rules for Form Factors

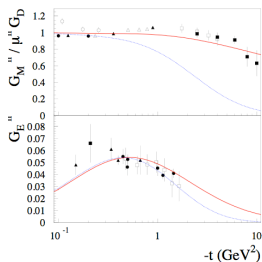
GPDs and Form Factors

Conclusions

PROTON



NEUTRON



→ modified Regge parametrization

→ Regge parametrization

- “Nucleon form-factors from generalized parton distributions”
M. Guidal, M.V. Polyakov, A.V. Radyushkin, M. Vanderhaeghen
Phys.Rev.D 72 (2005) 054013;
304 citations in INSPIRE database
- “Generalized parton distributions from nucleon form-factor data”
M. Diehl, Th. Feldmann, R. Jakob, P. Kroll
Eur.Phys.J.C 39 (2005) 1-39;
274 citations in INSPIRE database

Conclusions

Form Factors

A. Radyushkin

Hadronic form factors

Soft mechanism

Hard mechanism

Hard mechanism & pQCD

QCD Sum Rules for Form Factors

GPDs and Form Factors

Conclusions

- To induce a small-size configuration in the nucleon, one needs 2 hard gluon exchanges
- Each exchange is suppressed by $\alpha_s/\pi \approx 0.1$ factor
- Total suppression of hard mechanism is $\sim 1/100$
- Soft contributions are capable to describe data on nucleon form factors for all available Q^2 's
- Soft mechanism does not involve formation of small-size quark configurations
- I was not surprised that small-size configurations have not been seen in nucleon CT experiments

Work is supported by Jefferson Science Associates, LLC under U.S. DOE Contract #DE-AC05-06OR23177 and by U.S. DOE Grant #DE-FG02-97ER41028