

Nonperturbative excitations in overoccupied gluon plasmas

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Talk mainly based on:

arXiv:2101.02715

PRD 100, 094022 (2019), [arXiv:1907.05892]

PRD 98, 014006 (2018), [arXiv:1804.01966]

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2 Models, setup and a new attractor (1907.05892)

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Introduction

The goal is to study

Microscopic properties of QCD nonperturbatively

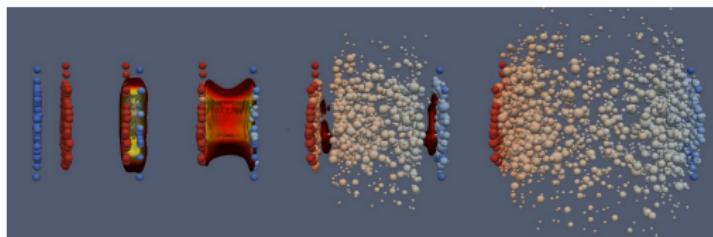
⇒ *Spectral functions* $\rho(\omega, p)$ of gluons / quarks encode full excitation spectrum!

- Our approach: *far from equilibrium*
 - ✓ Highly occupied gluon plasma ($A \sim 1/g$), weak coupling ($g^2 \ll 1$)
 - ✓ Then **nonperturbative** and **perturbative** methods available!
- Classical-statistical lattice simulations vs. HTL, kinetic theory
 - class. covariant equ.: $D_\mu F^{\mu\nu} = 0$, HTL: $\Pi_{\mu\nu}^{\text{HTL}}$
- Nonequilibrium application: heavy-ion collisions

Motivation: heavy-ion collisions

Application

Microscopic properties of non-equilibrium QCD



MADAI collaboration

- ✓ Initial stages in heavy-ion collisions suitable playground
- ✓ Quark-gluon plasma initially: eff. 2+1D and 3+1D mainly gluonic
 - Initially color fields approx. boost invariant (Glasma) \Rightarrow eff. 2+1D
 - Later: Bottom-up scenario with kinetic theory in anisotropic 3+1D
- ★ **Excitation spectra:** Quasiparticles (QP)? When is kinetic theory valid? How does transition 2+1D \rightarrow 3+1D work microscopically?
- ★ Why are **instabilities** suppressed in kinetic evol. of anisotr. plasmas?

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Considered models and initial conditions

- $SU(N_c)$ Yang-Mills theory (simulations: $N_c = 2$)

$$S_{YM}[A] = -\frac{1}{4} \int d^{d+1}x F_a^{\mu\nu} F_{\mu\nu}^a$$

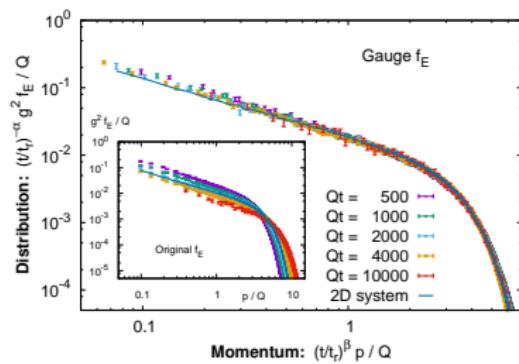
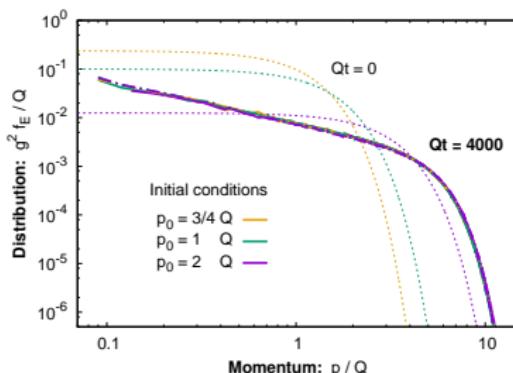
(in gauge-covariant formulation, with links $U_j \approx \exp(ig a_s A_j)$)

- Models of initial stages (here non-expanding geometry)
 - ① 2+1D
 - ② Glasma-like 2+1D: add adjoint scalar ϕ to model 1
 - ③ 3+1D: isotropic 3+1D
- Initial conditions (with $E = \partial_0 A$): highly occupied

$$f(t=0, p) = \frac{Q}{g^2} n_0 e^{-\frac{p^2}{2Q^2}} \quad \text{with} \quad f(t, p) \propto \frac{\langle |E_T(t, p)|^2 \rangle}{p}$$

- Solve classical equations, average over initial ensembles
⇒ classical-statistical lattice simulations

Attractor in 2+1D (PRD 100, 094022 (2019))



- Both 2+1D theories approach a classical self-similar attractor

$$f(t, p) = (Qt)^\alpha f_s \left((Qt)^\beta p \right) \quad (\text{typical nonthermal state, see Backup})$$

- Universal scaling exponents insensitive to details of initial conditions

$$\beta = -\frac{1}{5}, \quad \alpha = 3\beta \quad (\text{parametric kinetic explanation in Backup})$$

- Hard scale $\Lambda(t) = \langle p \rangle \sim Q(Qt)^{-\beta}$, soft scale $m_D(t) \sim Q(Qt)^\beta$
- Classical attractor in 3+1D well known, $\beta = -\frac{1}{7}$, $\alpha = -\frac{4}{7}$

Spectral and statistical correlation functions

- Equal-time correlator $\langle \{\hat{E}(t), \hat{E}(t)\} \rangle \propto f(t, p)$ is distribution
⇒ But what are the relevant **gluon excitations?**
- Knowledge of **spectral function** needed ($\dot{\rho} = \partial_t \rho$, $E = \partial_t A$)

$$\dot{\rho}(x, x') = \frac{i}{N_c^2 - 1} \left\langle \left[\hat{E}(x), \hat{A}(x') \right] \right\rangle$$

- **Statistical correlator** $\langle EE \rangle$ ($\equiv \ddot{F}$) in general independent of $\dot{\rho}$

$$\langle EE \rangle(x, x') = \frac{1}{2(N_c^2 - 1)} \left\langle \left\{ \hat{E}(x), \hat{E}(x') \right\} \right\rangle$$

- Fourier transf. in $t - t'$ and $\vec{x} - \vec{x}'$ to frequency ω and momentum \vec{p}

Approximation: normally at fixed $\bar{t} = \frac{1}{2}(t + t')$, we hold $t \approx \bar{t}$

- In **classical-statistical** simulations

$$\langle EE \rangle(t, t', p) = \frac{1}{N_c^2 - 1} \langle E(t, \vec{p}) E^*(t', \vec{p}) \rangle$$

- **Gauge** at print-out t : temporal $A_0 = 0 +$ Coulomb-type $\partial^j A_j|_t = 0$

Perturbative computation: HTL results

- Hard loop (HTL) framework applicable for $m_D/\Lambda \ll 1$;
in thermal equ. for $g \sim m_D/T \ll 1$, Braaten, Pisarski (1990); Blaizot, Iancu (2002); ...
- In 3+1D $m_D^2 = 4N_c \int \frac{d^3 p}{(2\pi)^3} \frac{g^2 f(t, p)}{p} \sim g^2 f \Lambda^2 \Rightarrow$ HTL applicable
- In 2+1D soft-soft interactions important

$$m_D^2 \approx d_{\text{pol}} N_c \int \frac{d^2 p}{(2\pi)^2} \frac{g^2 f(t, p)}{\sqrt{m^2 + p^2}} \sim g^2 f \Lambda \ln \left(\frac{\Lambda}{m_D} \right)$$

\Rightarrow HTL breaks down already at soft scale $p \sim m_D$
 \Rightarrow Nonperturbative method necessary!

- Comparison to HTL still useful to extract nonperturbative features
- Quasiparticles in $\rho^{\text{HTL}}(\omega, p)$ as $\sim \delta(\omega - \omega_\alpha^{\text{HTL}}(p))$
- All expressions depend only on m_D , computed consistently in HTL

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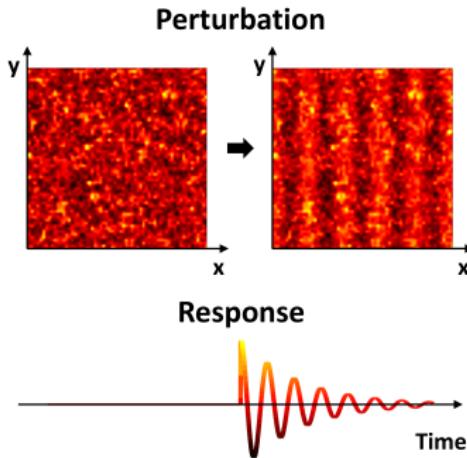
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Nonperturbative computation of spectral function ρ

Classical-statistical $SU(N_c)$ simulations + linear response theory
KB, A. Kurkela, T. Lappi, J. Peuron, *PRD 98, 014006 (2018)*, Editors' suggestion



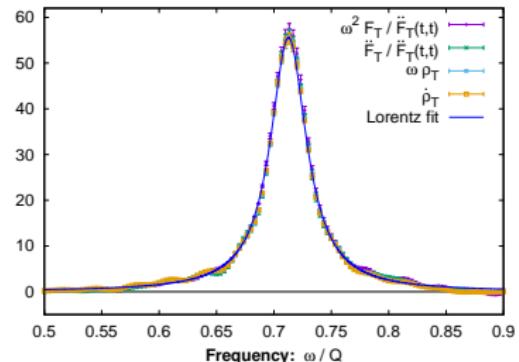
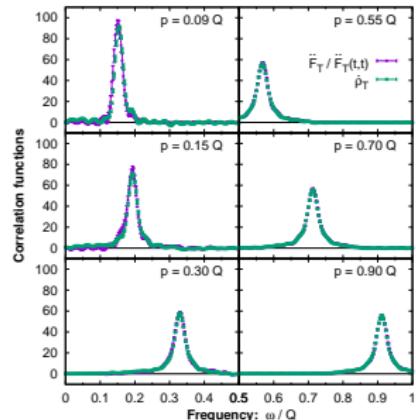
- Perturb $A(t, \vec{x}) \mapsto A(t, \vec{x}) + \delta A(t, \vec{x})$
- Class. EOM for A : $D_\mu F^{\mu\nu}[A] = 0$
- Linearized EOM for $\delta A(t, \vec{x})$
(both in gauge-cov. formulation)
Kurkela, Lappi, Peuron, *EUJC 76 (2016) 688*
- $G_R(t, t', p) \propto \langle \delta A(t', \vec{p}) \delta E^*(t, \vec{p}) \rangle$
- $\theta(t - t') \boxed{\rho(t, t', p)} = G_R(t, t', p)$
- $\dot{\rho}(t, \omega, p) \approx \omega \rho(t, \omega, p)$

Similar methods for scalars:

Aarts (2001); Piñeiro Orioli, Berges (2019); Schlichting, Smith, von Smekal (2020); KB, Piñeiro Orioli (2020)

First: Isotropic 3+1D gluon plasmas

KB, A. Kurkela, T. Lappi, J. Peuron, PRD 98, 014006 (2018)



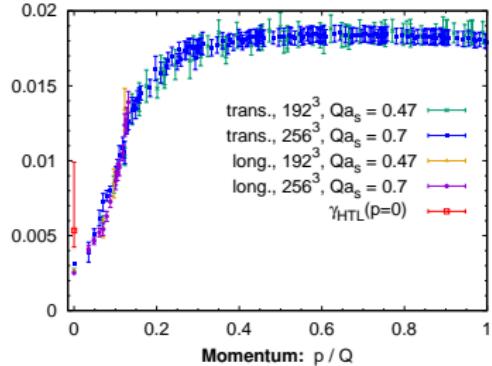
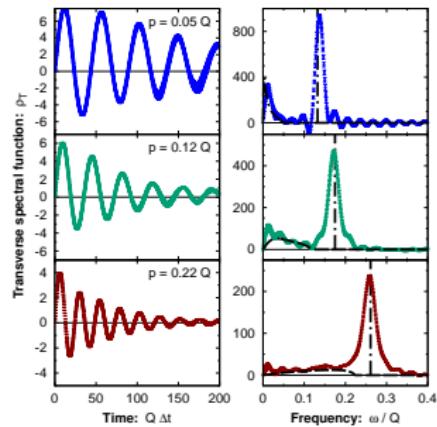
- Narrow Lorentzian quasiparticle peaks for all momenta, even $p \lesssim m_D$
- Generalized fluctuation dissipation relation (FDR) for $\alpha = T, L$

$$\frac{\langle EE \rangle_\alpha(t, \omega, p)}{\langle EE \rangle_\alpha(t, \Delta t=0, p)} \approx \frac{\dot{\rho}_\alpha(t, \omega, p)}{\dot{\rho}_\alpha(t, \Delta t=0, p)}$$

- Small width $\gamma_\alpha(p) \ll \omega_\alpha(p)$, decreases faster $\gamma_\alpha(t) \sim (Qt)^{-2/7} m_D(t)$

Spectral function in isotr. 3+1D

KB, A. Kurkela, T. Lappi, J. Peuron, PRD 98, 014006 (2018)

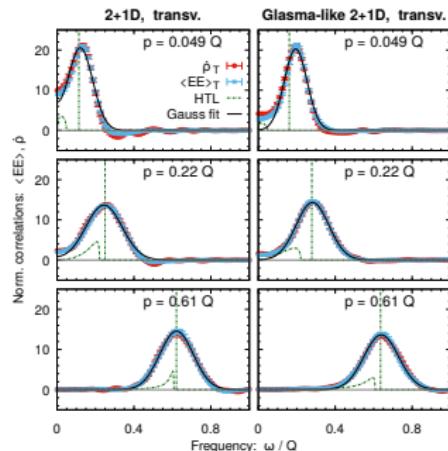


- **HTL** at LO (black dashed) **describes** main features well
- Landau cut ($\omega < p$) and q.p. peak distinguishable
- $\gamma_{T/L}(p)$ beyond HTL at LO
- First determination of p dep.
- 'isotropic' $\gamma_T(p) \approx \gamma_L(p)$
- HTL prediction $\gamma(p=0)$

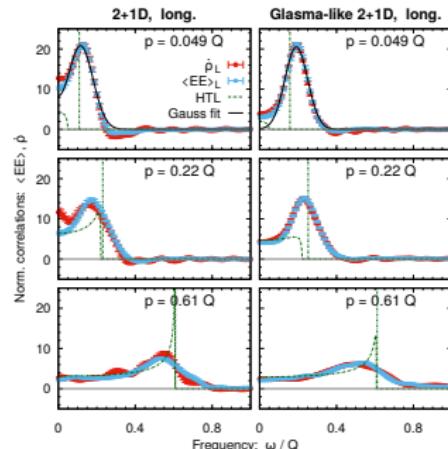
Braaten, Pisarski, PRD 42, 2156 (1990)

Now: correlations in 2+1D plasmas (2101.02715)

Transverse

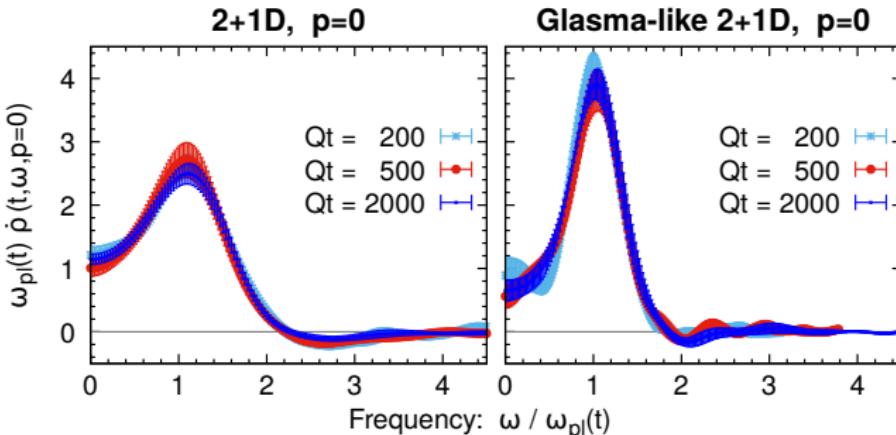


Longitudinal



- Generalized FDR observed for $\rho, \langle EE \rangle$
- Broad peaks $\gamma_\alpha \sim \omega_{\text{pl}} \equiv \omega_T(p=0) \propto m_D$ [in HTL $2\omega_{\text{pl}}^2 = m_D^2$]
- \Rightarrow no quasiparticles for $p \lesssim m_D$!
- Non-Lorentzian peak shape (Backup)
- HTL curves (green) agree poorly (except for $\omega \ll p$ for long.)

Time dependence of 2+1D gluon plasmas

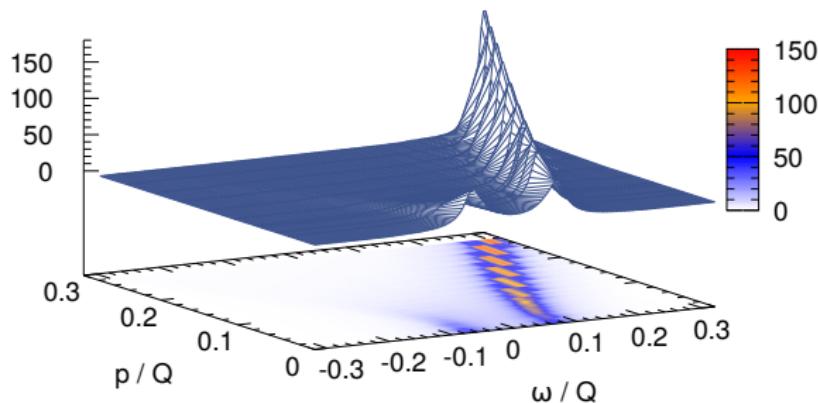


- $\omega_{\text{pl}} \dot{\rho}(t, \omega/\omega_{\text{pl}}, p/\omega_{\text{pl}})$ is **time independent** (for all p , Backup)
- This implies $\boxed{\gamma_\alpha(t, p) \sim \omega_{\text{pl}}(t)} \sim m_D \sim Q(Qt)^{-1/5}$
- Estimates as for 3+1D lead to $Q(Qt)^{-2/5} \Rightarrow$ different mechanism
- Also in **classical thermal equilibrium** $\gamma \sim \omega_{\text{pl}}$ (Backup)
- No quasiparticles at low $p \Rightarrow$ **quite general in 2+1D**

Teaser: Fermion ρ in 3+1D (mainly) gluon plasma

KB, M. Mace, T. Lappi, S. Schlichting, *in preparation*

Spectral function ρ_+



Fermions: $\rho(t, \omega, \vec{p}) \approx \gamma^0 \rho_0^V(t, \omega, \vec{p}) + \gamma^j \frac{p_j}{p} \rho_V(t, \omega, \vec{p}), \quad \rho_+ = \rho_0^V + \rho_V$

Many **similarities** with **gluons** in 3+1D and 2+1D!

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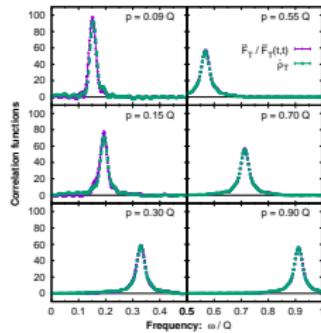
4 Conclusion

Conclusion

- Equal-time correlators often insufficient to understand microscopics
⇒ unequal-time correlations required
- Much broader peaks in 2+1D than in 3+1D
⇒ excitations too short-lived to form quasiparticles for $p \lesssim m_D$
(an effective kinetic description may be possible for $p \gg m_D$ but requires nonperturbatively determined collision kernel)
- Nonperturbative low- p physics in anisotropic plasmas
⇒ structure of instabilities in heavy-ion collisions well understood?

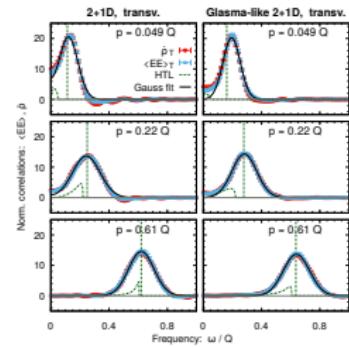
Outlook

- ρ in Bjorken expanding systems (for heavy-ion collisions)
- How does an eff. kinetic theory in 2+1D gauge systems look like?
- Gauge-invariant checks of nonperturbative properties:
Heavy-quark diffusion KB, A. Kurkela, T. Lappi, J. Peuron, JHEP 09, 077 (2020), transport, ...



3+1D

Thank you for your attention!



2+1D

Backup slides

Classical-statistical lattice simulations

- ① Set initial conditions

$$\langle E_T^*(t_0, \vec{p}) E_T(t_0, \vec{q}) \rangle \propto p f(t_0, p) \delta_{jk} (2\pi)^3 \delta(\vec{p} - \vec{q})$$

with $E_T^j p_j = 0$, initially $\langle E_L^*(t_0, \vec{p}) E_L(t_0, \vec{q}) \rangle = 0$, same for A

- ② Restore Gauss law (algorithm: G.D. Moore, Nucl. Phys. B 480, 657 (1996))
- ③ Solve classical field equations on the lattice

$$U_j(t + dt/2, \vec{x}) = e^{i dt a_s g E_a^j(t, \vec{x})} U_j(t - dt/2, \vec{x})$$

$$g E_a^i(t + dt, \vec{x}) = g E_a^i(t, \vec{x}) - \frac{dt}{a_s^3} \sum_{j \neq i} \left[U_{ij} \left(t - \frac{dt}{2}, \vec{x} \right) + U_{i(-j)} \left(t - \frac{dt}{2}, \vec{x} \right) \right]_{\text{ah}}$$

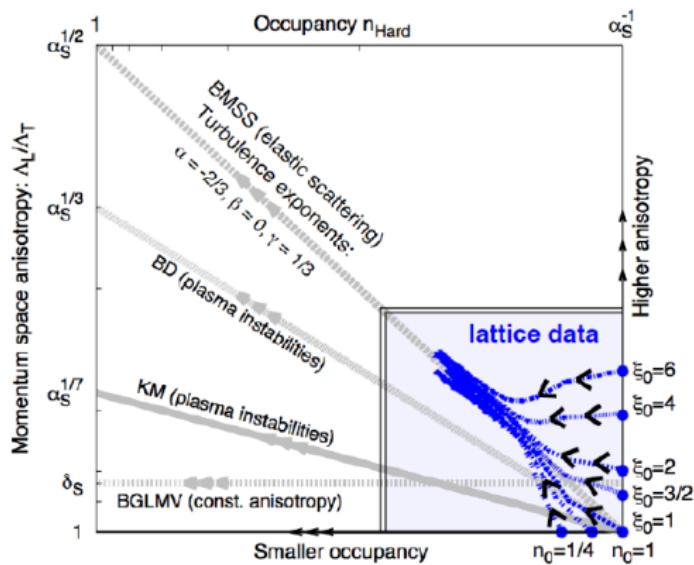
- ④ Evolve each initial configuration $\{U(t_0, \vec{x}), E(t_0, \vec{x})\}$ until $t > t_0$
- ⑤ Compute observable $O[U, E]$ that depends on the fields

$$O(t) = \frac{1}{\#k} \sum_k O[U(t), E(t)]$$

Classical attractors: Distinguishing kinetic descriptions

Real-time lattice simulations

Berges, KB, Schlichting, Venugopalan,
PRD 89, 114007 (2014), PRD 89, 074011 (2014)



Kinetic descriptions

- Baier, Mueller, Schiff, Son ([BMSS](#)), (2001)
 - Bodeker ([BD](#)), (2005)
 - Kurkela, Moore ([KM](#)), (2011)
 - Blaizot, Gelis, Liao, McLerran, Venugopalan ([BGLMV](#)), (2012)

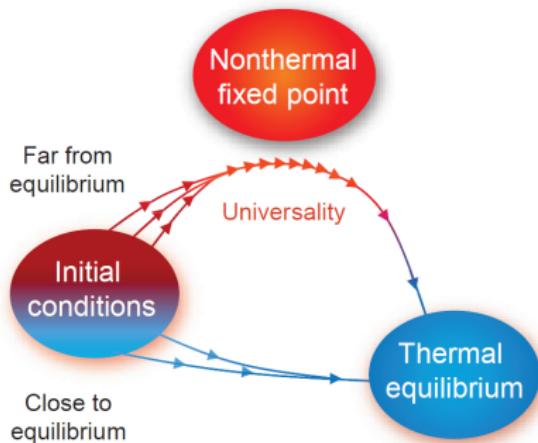
- Numerics consistent with 1st stage of BMSS scenario
Baier, Mueller, Schiff, Son, PLB 502, 51 (2001)
 - Effective kinetic theory (EKT)
Arnold, Moore, Yaffe, JHEP 0301, 030 (2003)

$\frac{df}{d\tau} - \frac{p_z}{\tau} \frac{\partial f}{\partial p_z} =$ $-$ $+$

 - Used as standard description now

Universal classical attractors: nonthermal fixed points

- Rich nonequilibrium dynamics in gauge and scalar systems
- Share similar universal features:



Nonthermal fixed point (NTFP)

- ★ Large initial occupancy
⇒ may approach attractor
- ★ System 'forgets' initial conditions
- ★ Self-similar dynamics

$$f(t, p) = t^\alpha f_s(t^\beta p)$$

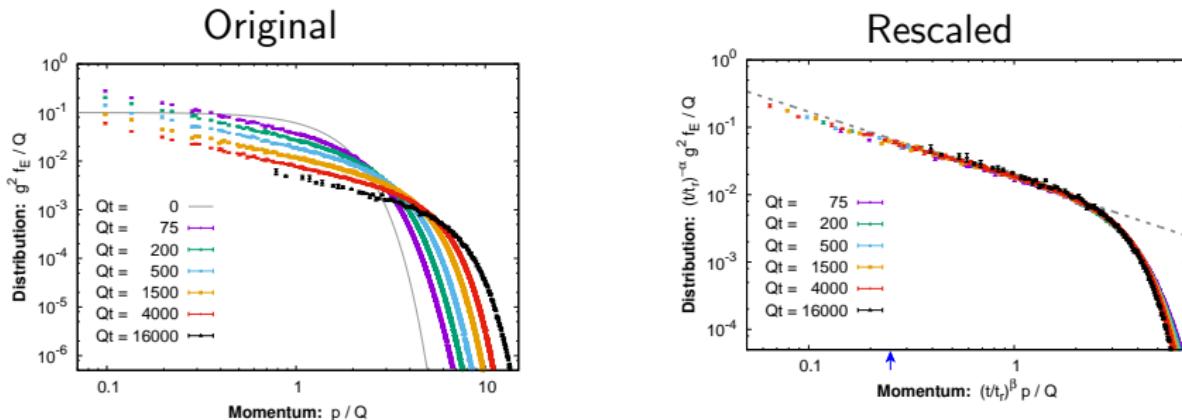
- ★ Universal $\alpha, \beta, f_s(p)$

NTFP: Micha, Tkachev (2004); Berges, Rothkopf, Schmid (2008)

Universality: Berges, KB, Schlichting, Venugopalan (2015); Piñeiro Orioli, KB, Berges (2015)

Experimental observations: Prüfer et al., Nature 563, 217 (2018); Erne et al., Nature 563, 225 (2018)

Self-similarity of 2+1D theory (PRD 100, 094022 (2019))



- Self-similar evolution

$$f(t, p) = (Qt)^\alpha f_s \left((Qt)^\beta p \right)$$

- Universal scaling exponents

$$\beta = -\frac{1}{5}, \quad \alpha = 3\beta \quad (\text{energy conserv.})$$

Perturbative explanation of scaling exponents (PRD 100, 094022 (2019))

- Soft scale (Debye mass) from HTL

$$m_D^2 \approx d_{\text{pol}} N_c \int \frac{d^2 p}{(2\pi)^2} \frac{g^2 f(t, p)}{p} \sim g^2 f \Lambda \ln(\Lambda/m_D)$$

(Log from soft-soft interactions \Rightarrow breakdown of HTL at m_D in 2+1D)

- Scaling exponents from kinetic arguments:

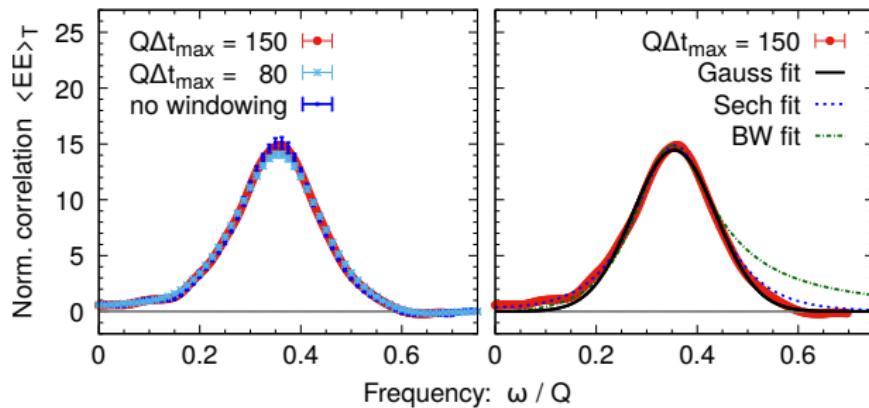
Elastic scattering rate: $\frac{d\Gamma}{dq_\perp} \sim \frac{g^4}{(q_\perp^2 + m_D^2)^2} \int d^2 p f(1+f)$

Momentum diffusion: $\hat{q} \sim \int dq_\perp \frac{d\Gamma}{dq_\perp} q_\perp^2 \sim \frac{\Lambda^2 (g^2 f)^2}{m_D}$

From broadening $\Lambda^2 \sim \hat{q} t$ follows $\Lambda \sim Q(Qt)^{1/5}$

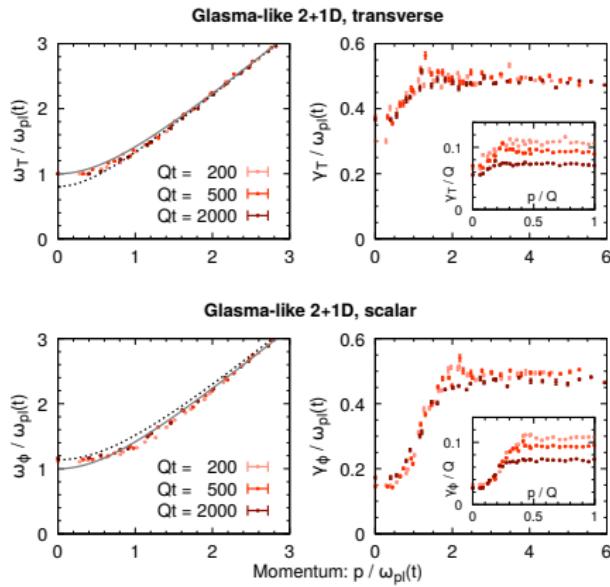
- In 2+1D $q_\perp \sim m_D$ crucial! If $q_\perp \sim \Lambda$, then $\Lambda \sim Q(Qt)^{1/7}$ instead!
- Kinetic estimates work \Rightarrow eff. kinetic descr. may exist for $p \gg m_D$

Shape of the excitation peaks in 2+1D (arXiv:2101.02715)



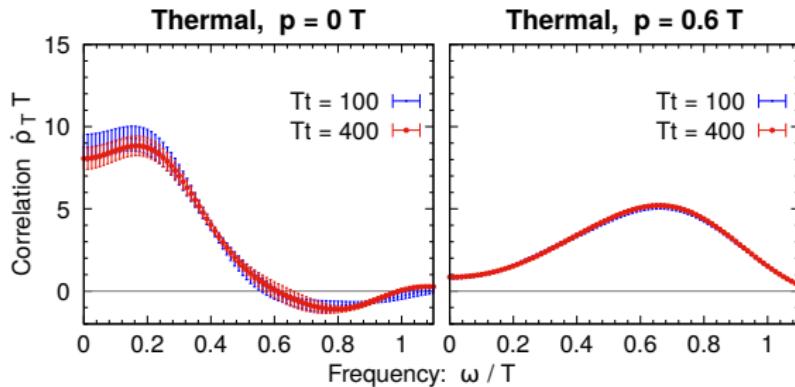
- *Left:* Different ways of computing the Fourier transform are consistent
- *Right:* Peak has non-Lorentzian shape (not Breit-Wigner)

Dispersion relations, damping rates in Glasma-like 2+1D (arXiv:2101.02715)



- *Left:* Dispersions $\omega_\alpha(t, p)/\omega_{\text{pl}}(t)$
- *Right:* Peak width $\gamma_\alpha(t, p)/\omega_{\text{pl}}(t)$
- As functions of $p/\omega_{\text{pl}}(t)$ time independent $\Rightarrow \gamma(t, p) \sim \omega_{\text{pl}}(t)$
- Scalar excitation narrow for $p \lesssim m_D$, but same t dependence

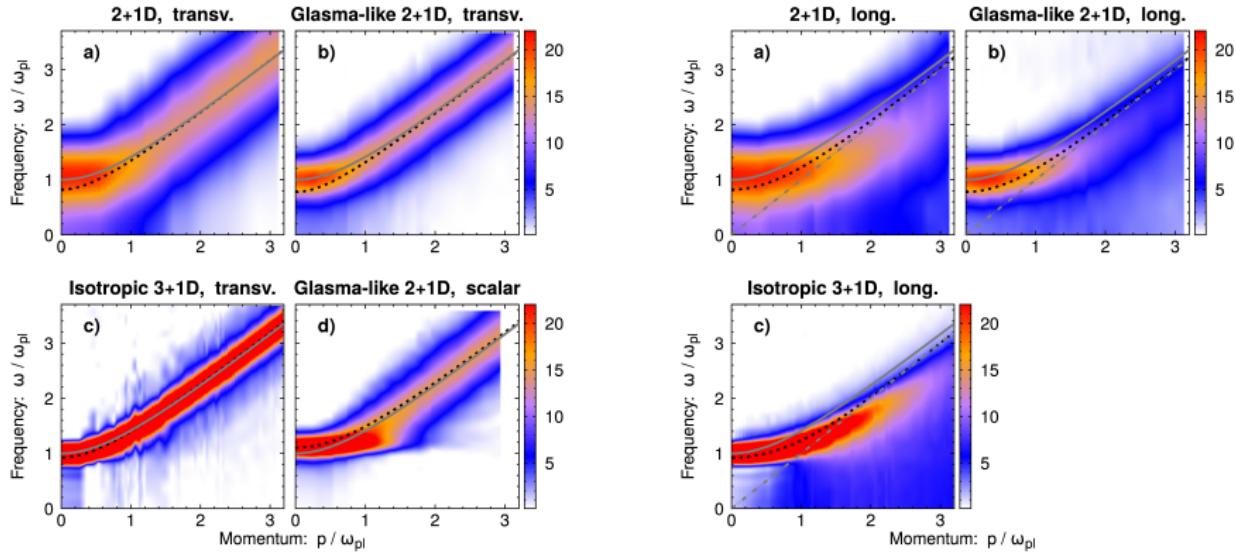
ρ in 2+1D classical thermal equilibrium



- Qualitatively similar behavior as far from equilibrium
 - ✓ Broad gluonic excitations with $\gamma(p) \sim \omega_{\text{pl}}$
 - ✓ HTL provides poor description
 - ✓ For $\omega \rightarrow 0$, $\dot{\rho}_T = \omega \rho_T$ finite at low p
- Interpretation:

These qualitative features seem generic in 2+1D gauge theories

Summary of $\langle EE \rangle_\alpha(t=const, \omega, p)$



Black dashed: $\omega_\alpha^{\text{HTL}}(p)$, gray: $\sqrt{\omega_{\text{pl}}^2 + p^2}$, with $\omega_{\text{pl}} \propto m_D$

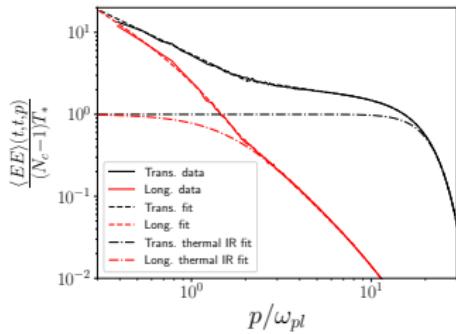
Heavy quark diffusion: IR gluon excess in isotropic 3+1D

The following is based on

KB, A. Kurkela, T. Lappi, J. Peuron, *JHEP 09, 077 (2020)*, [[arXiv:2005.02418](https://arxiv.org/abs/2005.02418)]

- What are the consequences of the nonperturbative properties of correlation functions?
- E.g., consider correlations in 3+1D at self-similar attractor

Excess of infrared gluons



- $\langle EE \rangle_{T/L}(t, t, p)$ shown
- Dashed: HTL expectations
- Excess of gluons w.r.t. HTL for $p \lesssim m_D \sim \omega_{pl}$

Heavy quark diffusion: far from equilibrium in 3+1D

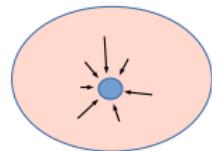
- Correlations like $\langle EE \rangle_T(t, t', p)$ are not gauge-invariant
- We used $A_0 = 0$ and $\vec{\nabla} \vec{A} = 0|_t$ gauges
- Are effects visible in **gauge-invariant** observables?

Example

Heavy quark in QGP

- Quark experiences ‘kicks’ from the medium

$$\dot{p}_i(t) = \mathcal{F}_i(t)$$



- Gauge-inv. force-force correlator leads to momentum broadening

$$\begin{aligned}\langle \dot{p}_i(t) \dot{p}_i(t') \rangle &= g^2 \frac{\text{Tr} \langle E_i(t, \vec{x}) U_0(t, t', \vec{x}) E_i(t', \vec{x}) U_0(t', t, \vec{x}) \rangle}{\text{Tr } \mathbb{1}} \\ &= \frac{g^2}{2N_c} \langle E_i^a(t, \vec{x}) E_i^a(t', \vec{x}) \rangle \equiv \frac{g^2}{2N_c} \langle EE \rangle(t, t')\end{aligned}$$

Models to understand evolution of $\kappa(t, \Delta t)$

SR: 'Spectral reconstruction'

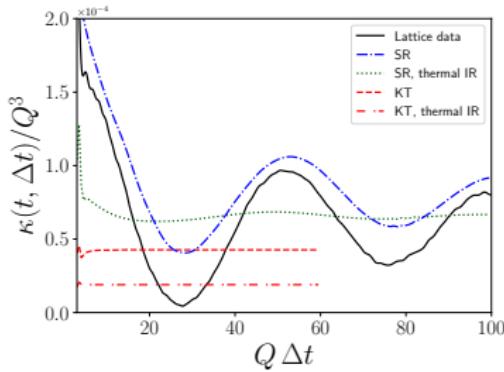
$$\begin{aligned} 3\kappa(t, \Delta t) &= \frac{d}{d\Delta t} \langle p^2(t, \Delta t) \rangle \\ &\approx \frac{g^2}{N_c} \int \frac{d^3 p}{(2\pi)^3} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{\sin(\omega \Delta t)}{\omega} \\ &\quad \times \left[2\langle EE \rangle_T(t, t, p) \frac{\dot{\rho}_T(t, \omega, p)}{\dot{\rho}_T(t, t, p)} + \langle EE \rangle_L(t, t, p) \frac{\dot{\rho}_L(t, \omega, p)}{\dot{\rho}_L(t, t, p)} \right] \end{aligned}$$

- use extracted equal-time and spectral functions in computation
- use $\langle EE \rangle_{T/L}(t, t, p)$ with IR excess ('data') & without ('thermal HTL')
- in $\dot{\rho}_{T/L}(t, \omega, p)$ Landau ($\omega < p$) and q.p. terms can be distinguished

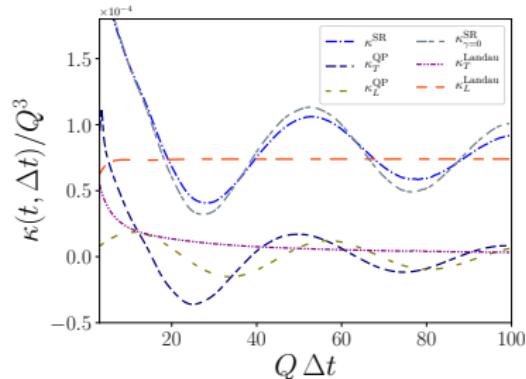
Heavy-quark diffusion: IR gluon excess observable

$$\kappa(t, \Delta t) \approx \frac{g^2}{3N_c} \int \frac{d^3 p}{(2\pi)^3} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{\sin(\omega \Delta t)}{\omega} \\ \times \left[2\langle EE \rangle_T(t, t, p) \frac{\dot{\rho}_T(t, \omega, p)}{\dot{\rho}_T(t, t, p)} + \langle EE \rangle_L(t, t, p) \frac{\dot{\rho}_L(t, \omega, p)}{\dot{\rho}_L(t, t, p)} \right]$$

Total $\kappa(t, \Delta t) \equiv \sum_i \kappa_i(t, \Delta t)$



Components $\kappa_i(t, \Delta t)$



- Nonperturbative effects of $\langle EE \rangle_\alpha(t, t, p)$ and $\dot{\rho}_\alpha(t, \omega, p)$ visible!
- Oscillations with ω_{pl} due to QP excitations, sign of IR excess
- Heavy quarks, quarkonia, jets may reveal IR dynamics of QGP