Andrea Simonelli, INFN Torino



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

In collaboration with M. Boglione

New insights on the factorization of single-inclusive e+e- annihilation



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Extraction of TMD Fragmentation Functions

Access to the 3D-dynamics of confinement



Standard TMD factorization (Collins factorization formalism)



• SIDIS $d\sigma \sim H_{\text{SIDIS}} F D$

• DIA $d\sigma \sim H_{\rm DIA} \, D_1 \, D_2$

Always two TMDs that have to be extracted simultaneously



SIA would provide a much cleaner access to TMD FFs! $d\sigma \stackrel{??}{\propto} D$



We will consider the process $e^+e^- \rightarrow h X$ The transverse momentum of the detected hadron is measured w.r.t. the thrust axis

$$z_h = \frac{E}{Q/2}, \quad T = \frac{\sum_i |\vec{P}_{(\text{c.m.}), i} \cdot \hat{n}|}{\sum_i |\vec{P}_{(\text{c.m.}), i}|}, \quad P_T \text{ w.r.t } \vec{n}$$

Physical intuition picture

Depending on where the hadron is located within the jet the underlying kinematics can be remarkably different, resulting in different factorization theorems



M. Boglione, A. Simonelli, JHEP 02 (2022) 013

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Radiation decomposition

 $d\sigma \sim H$

• Hard (far off-shell) radiation dresses $\gamma^{\star} \rightarrow q\overline{q}$ vertex



Radiation decomposition

 $d\sigma \sim H \times J(\tau)$

- Hard (far off-shell) radiation dresses \(\gamma^{\star} \rightarrow q \overline{q}\) vertex
 Backward radiation irrelevant for the transverse motion of the detected hadron



Radiation decomposition

Hallmark of R_2 and R_3

$$d\sigma \sim H \times J(\tau) \times \mathcal{S}(\tau)$$

- Hard (far off-shell) radiation dresses $\gamma^{\star} \rightarrow q\overline{q}$ vertex
- Backward radiation irrelevant for the transverse motion of the detected hadron
- Soft radiation does not affect the transverse motion of the detected hadron



Radiation decomposition Hallmark of R_2 and R_3

$$d\sigma \sim H \times J(\tau) \times \dot{\mathcal{S}(\tau)} \times \overset{\bullet}{\mathcal{G}_{h/j}^{\mathrm{asy}}}(\tau, z, P_T) \quad \text{Hallmark of } \mathsf{R}_{_1} \text{ and } \mathsf{R}_{_2}$$

- Hard (far off-shell) radiation dresses $\gamma^\star \to q \overline{q}$ vertex
- Backward radiation irrelevant for the transverse motion of the detected hadron
- Soft radiation does not affect the transverse motion of the detected hadron
- Collinear (forward) radiation necessarily contributes to TMD effects



Subtractions

$$d\sigma \sim H \times J(\tau) \times \frac{\mathcal{S}(\tau)}{Y_L(\tau)} \times \mathcal{G}_{h/j}^{asy}(\tau, z, P_T)$$

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Subtractions

$$d\sigma \sim H \times J(\tau) \times \frac{\mathcal{S}(\tau)}{Y_L(\tau) \times ??} \times \mathcal{G}_{h/j}^{asy}(\tau, z, P_T)$$

- Hard (far off-shell) radiation dresses $\gamma^\star \to q \overline{q}$ vertex
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Subtractions: approach I

1) Forward soft-collinear radiation is **TMD-irrelevant**

$$\begin{split} d\sigma &\sim H \times J(\tau) \times \frac{\mathcal{S}(\tau)}{Y_L(\tau) \times Y_R(\tau)} \\ &= S_{\mathrm{thr}}(\tau) \end{split} \times \mathcal{G}_{h/j}^{\mathrm{asy}}(\tau, z, P_T) \quad \begin{bmatrix} \text{The hadronization process is} \\ & \text{not described by a TMD FF} \end{bmatrix} \end{split}$$

	soft	$\operatorname{soft-collinear}$	collinear	
R_1	TMD-relevant	TMD-relevant	TMD-relevant	
R_2	TMD-irrelevant	TMD-irrelevant	TMD-relevant	The underlying physics
R_3	TMD-irrelevant	TMD-irrelevant	TMD-relevant	indistinguishable

The above scheme is much more close to a representation of just two kinematic regions, R_1 and R_3 , and the "bulk" of the phase space exists just as a limit of its boundaries.

This limit is indeed a **matching region**, M, and not an independent kinematic configuration: the label " R_2 " does not fit it anymore.

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Matching between what??





The previous forward-radiation scheme is *incomplete* and there must be (at least) another kinematic region between M and R_1

The "bulk" of the phase space deserves its own independent kinematic region R_2



Subtractions: approach II

2) Forward soft-collinear radiation is TMD-relevant

$$d\sigma \sim H \times J(\tau) \times \frac{\mathcal{S}(\tau)}{Y_L(\tau)} \times \frac{\mathcal{G}_{h/j}^{asy}(\tau, z, P_T)}{\frac{\mathcal{C}_R(\tau, P_T)}{D_{h/j}(z, P_T)}}$$



Matches intuition!

The hadronization process is described by a **TMD FF**

Totally "symmetric"

structure among the three regions

	soft	$\operatorname{soft-collinear}$	collinear
R_1	TMD-relevant	TMD-relevant	TMD-relevant
R_2	TMD-irrelevant	TMD-relevant	TMD-relevant
R_3	TMD-irrelevant	TMD-irrelevant	TMD-relevant

Now **Region 2** is a truly independent kinematic region!







Having a well-defined factorization theorem in a matching region is a unusual and remarkable fact!

- Very helpful for phenomenological description of experimental data
- Extremely useful for constraining the non-perturbative behavior of generalized FJFs



Having a well-defined factorization theorem in a matching region is a unusual and remarkable fact!

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Constraining the long-distance behavior of TMF FFs would require a factorization theorem M_{12} , but this has not been investigated, yet.

ii) Approach I coincides with the result obtained in **SCET**



$$d\sigma_{M_{2,3}}^{(I)} \sim H \ J(\tau) \ S_{\text{thr}} \ \mathcal{G}_{h/j}^{\text{asy}}(\tau, z, P_T) = H \ J(\tau) \ S_{\text{thr}} \ \mathcal{C}_R(\tau, P_T) \frac{\mathcal{G}_{h/j}^{(\tau, z, T_T)}}{\mathcal{C}_R(\tau, P_T)} = D_{h/j}(z, P_T)$$

...and this coincides with Eq.(2.21) of Makris, Ringer, Waalewijn, JHEP 02 (2021) 070

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SCET:
$$d\sigma_{M_{2,3}}^{(I)} \sim H J(\tau) S_{\text{thr}} C_R(\tau, P_T) D_{h/j}(z, P_T)$$

CSS: $d\sigma_{R_2}^{(II)} \sim H J(\tau) \frac{S(\tau)}{\mathcal{Y}_L(\tau)} D_{h/j}(z, P_T)$

The differences are only in the large distance behavior (NON-PERTURBATIVE)

TMD UNIVERSALITY

The TMD FF appearing in the Region 2 factorized cross section is not defined as in SIDIS and DIA (standard TMD factorization).

The differences concern the non-perturbative region (large bT) as they are due to the impact of long-distance soft radiation in standard TMD factorization theorems:

$$D^{\text{usual}}(z, b_T) = D^{R_2}(z, b_T) \sqrt{M_S(b_T)}$$

Boglione, Simonelli, Eur.Phys.J.C 81 (2021)

Factorization Theorem of Region 2

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Boglione, Simonelli, Eur. Phys. J.C 81 (2021)

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Boglione, Simonelli, Eur. Phys. J.C 81 (2021)

Factorization Theorem of Region 2

RAPIDITY DIVERGENCES TREATMENT

Standard treatment of rapidity divergences cannot be applied to Region 2.



Thrust dependent observable

SIA^{thr} has a **double nature**:

TMD observable

The thrust τ naturally regularizes the rapidity divergences. The 2-jet limit $\tau \to 0$ corresponds to removing the regulator and to exposing the rapidity divergences in fixed order calculations.

So the final result depends on a regulator? Yes, but...

1) The thrust is *measured*.

2) When the regulator is removed the (factorized) cross section vanishes, as showed by resummation.

The rapidity cut-offs $y_{1,2}$ artificially regularize the rapidity divergences. The limits $y_{1,2} \rightarrow \pm \infty$ correspond to removing the regulator and to exposing the rapidity divergences in fixed order calculations.

So the final result depends on a regulator? Yes (in principle), but...

- 1) The rapidity cut-offs are just mathematical tools.
- 2) In standard TMD factorization they cancel among themselves before the limit $y_{1,2} \rightarrow \pm \infty$ is taken and the final cross section is automatically rapidity cut-offs independent.



SIA^{thr} has a **double nature**:

TMD observable

Thrust dependent observable

Both kind of regularization coexists in SIA^{thr}.

Therefore, it should not be surprising that the two mechanisms intertwine themselves and hence that thrust and rapidity regulators are strictly related.

There are cases where only the thrust survives...

$$\frac{\mathcal{S}(\tau, y_1, y_2)}{\mathcal{Y}_L(\tau, y_2) \, \mathcal{Y}_R(\tau, y_1)} = S_{\text{thr}}(\tau)$$

...and cases where only the rapidity cut-off...

$$\cdot \frac{\mathcal{G}_{h/j}^{abj}(\tau, z, P_T)}{\mathcal{C}_R(\tau, P_T, y_1)} = D_{h/j}(z, P_T, y_1)$$

...but only in Region 2 the factorized cross section depends both on τ and on y_1 !

This signals a *redundancy* of regulators: one can be expressed in terms of the other. In particular, the rapidity cut-off y_1 should be a function of thrust, such that when it is removed, also τ is removed. In other words:

$$\tau \to 0 \Longleftrightarrow y_1 \to +\infty$$





The solution that we have found is valid ONLY at perturbative level



The solution that we have found is valid ONLY at perturbative level



$$d\sigma_{R_{2}} \sim H J(u) \frac{\mathcal{S}(u, \overline{y}_{1}, y_{2})}{\mathcal{Y}_{L}(u, y_{2})} \widetilde{D}_{h/j}(z, b_{T}, \overline{y}_{1})$$

$$= H J \frac{\mathcal{S}}{\mathcal{Y}_{L}} \bigg|_{\text{ref. scale}} \exp\left\{ \int_{\mu_{J}}^{Q} \frac{d\mu'}{\mu'} \gamma_{J} + \frac{1}{2} \int_{\mu_{S}}^{Q} \frac{d\mu'}{\mu'} \gamma_{S} \right\} \times \widetilde{D}_{h/j}(z, b_{T}) \bigg|_{y_{1}=0}$$

$$\times \exp\left\{ \frac{1}{2} \int_{\mu_{S}}^{\mu_{S} e^{\overline{y}_{1}}} \frac{d\mu'}{\mu'} \left[\widehat{g} - \gamma_{K} \log\left(\frac{\mu'}{\mu_{S}}\right) \right] - \overline{y}_{1} \widetilde{K} \bigg|_{\mu_{S}} \right\}$$

Factorization theorem in Region 2 $d\sigma_{R_{2}} \sim H J(u) \frac{\mathcal{S}(u, \overline{y}_{1}, y_{2})}{\mathcal{Y}_{L}(u, y_{2})} \widetilde{D}_{h/j}(z, b_{T}, \overline{y}_{1})$ $= \left. H J \frac{\mathcal{S}}{\mathcal{Y}_{L}} \right|_{\text{ref. scale}} \exp\left\{ \int_{\mu_{J}}^{Q} \frac{d\mu'}{\mu'} \gamma_{J} + \frac{1}{2} \int_{\mu_{S}}^{Q} \frac{d\mu'}{\mu'} \gamma_{S} \right\} \times \left. \widetilde{D}_{h/j}(z, b_{T}) \right|_{y_{1}=0}$ $\times \exp\left\{ \frac{1}{2} \int_{\mu_{S}}^{\mu_{S} e^{\overline{y}_{1}}} \frac{d\mu'}{\mu'} \left[\widehat{g} - \gamma_{K} \log\left(\frac{\mu'}{\mu_{S}}\right) \right] - \overline{y}_{1} \left. \widetilde{K} \right|_{\mu_{S}} \right\}$

standard TMD factorization

$$\begin{aligned} d\sigma_{R_2} &\sim H \; J(u) \; \frac{\mathcal{S}(u, \overline{y}_1, y_2)}{\mathcal{Y}_L(u, y_2)} \; \widetilde{D}_{h/j}(z, b_T, \overline{y}_1) \\ &= H \; J \; \frac{\mathcal{S}}{\mathcal{Y}_L} \Big|_{\text{ref. scale}} \; \exp\left\{ \int_{\mu_J}^Q \frac{d\mu'}{\mu'} \gamma_J + \frac{1}{2} \int_{\mu_S}^Q \frac{d\mu'}{\mu'} \gamma_S \right\} \times \; \widetilde{D}_{h/j}(z, b_T) \Big|_{y_1 = 0} \\ &\times \exp\left\{ \frac{1}{2} \int_{\mu_S}^{\mu_S e^{\overline{y}_1}} \frac{d\mu'}{\mu'} \left[\widehat{g} - \gamma_K \; \log\left(\frac{\mu'}{\mu_S}\right) \right] - \overline{y}_1 \; \widetilde{K} \Big|_{\mu_S} \right\} \end{aligned}$$
Correlation part. It encodes the correlations between the measured variables

$$d\sigma_{R_{2}} \sim H \ J(u) \ \frac{S(u,\overline{y}_{1},y_{2})}{\mathcal{Y}_{L}(u,y_{2})} \ \widetilde{D}_{h/j}(z,b_{T},\overline{y}_{1})$$

$$= H \ J \frac{S}{\mathcal{Y}_{L}} \Big|_{\text{ref. scale}} \exp\left\{ \int_{\mu_{J}}^{Q} \frac{d\mu'}{\mu'} \gamma_{J} + \frac{1}{2} \int_{\mu_{S}}^{Q} \frac{d\mu'}{\mu'} \gamma_{S} \right\} \times \left. \widetilde{D}_{h/j}(z,b_{T}) \Big|_{y_{1}=0} \right.$$

$$\times \exp\left\{ \frac{1}{2} \int_{\mu_{S}}^{\mu_{S} e^{\overline{y}_{1}}} \frac{d\mu'}{\mu'} \left[\widehat{g} - \gamma_{K} \log\left(\frac{\mu'}{\mu_{S}}\right) \right] - \overline{y}_{1} \ \widetilde{K} \Big|_{\mu_{S}} \right\}$$
The function g_{K} is in both terms and not only into the TMD FF

The function g_K is in both terms and not only into the TMD FF

Resummation

$$\frac{d\sigma_{R_2}}{dz \, dT \, dP_T} \stackrel{\text{LL}}{=} -\frac{\sigma_B}{1-T} N_C \int \frac{d^2 \vec{b}_T}{(2\pi)^2} \left. \widetilde{D}_{h/j}^{\text{LL}}(z, b_T) \right|_{y_1=0} \\ \times \exp\left\{-\log\left(1-T\right) f_1(\bullet)\right\} \gamma(\bullet)$$

• = {
$$-a_S \beta_0 \log (1 - T), 2 a_S \beta_0 L_b^{\star}, g_K(b_T)$$
}

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$$d\sigma_{R_2} \sim H \ J(u) \ \frac{\mathcal{S}(u, \overline{y}_1, y_2)}{\mathcal{Y}_L(u, y_2)} \ \widetilde{D}_{h/j}(z, b_T, \overline{y}_1)$$

$$= H \ J \ \frac{\mathcal{S}}{\mathcal{Y}_L} \bigg|_{\text{ref. scale}} \exp\left\{ \int_{\mu_J}^Q \frac{d\mu'}{\mu'} \gamma_J + \frac{1}{2} \int_{\mu_S}^Q \frac{d\mu'}{\mu'} \gamma_S \right\} \times \left. \widetilde{D}_{h/j}(z, b_T) \right|_{y_1 = 0}$$

$$\times \exp\left\{ \frac{1}{2} \int_{\mu_S}^{\mu_S e^{\overline{y}_1}} \frac{d\mu'}{\mu'} \left[\widehat{g} - \gamma_K \log\left(\frac{\mu'}{\mu_S}\right) \right] - \overline{y}_1 \ \widetilde{K} \bigg|_{\mu_S} \right\}$$



The function g_K is in both terms and not only into the TMD FF

Resummation

$$\frac{d\sigma_{R_2}}{dz \, dT \, dP_T} \stackrel{\text{NLL}}{=} -\frac{\sigma_B}{1-T} N_C \int \frac{d^2 \vec{b}_T}{(2\pi)^2} \left. \widetilde{D}_{h/j}^{\text{NLL}}(z, b_T) \right|_{y_1=0} \\ \times \exp\left\{ -\log\left(1-T\right) f_1(\bullet) + f_2(\bullet) \right\} \gamma(\bullet)$$

• = {
$$-a_S \beta_0 \log (1 - T), 2 a_S \beta_0 L_b^{\star}, g_K(b_T)$$
}

$$d\sigma_{R_2} \sim H \ J(u) \ \frac{\mathcal{S}(u, \overline{y}_1, y_2)}{\mathcal{Y}_L(u, y_2)} \ \widetilde{D}_{h/j}(z, b_T, \overline{y}_1)$$

$$= H \ J \ \frac{\mathcal{S}}{\mathcal{Y}_L} \bigg|_{\text{ref. scale}} \exp\left\{ \int_{\mu_J}^Q \frac{d\mu'}{\mu'} \gamma_J + \frac{1}{2} \int_{\mu_S}^Q \frac{d\mu'}{\mu'} \gamma_S \right\} \times \left. \widetilde{D}_{h/j}(z, b_T) \right|_{y_1=0}$$

$$\times \exp\left\{ \frac{1}{2} \int_{\mu_S}^{\mu_S e^{\overline{y}_1}} \frac{d\mu'}{\mu'} \left[\widehat{g} - \gamma_K \log\left(\frac{\mu'}{\mu_S}\right) \right] - \overline{y}_1 \ \widetilde{K} \bigg|_{\mu_S} \right\}$$



The function g_K is in both terms and not only into the TMD FF

Resummation

$$\frac{d\sigma_{R_2}}{dz\,dT\,dP_T} \stackrel{\text{NNLL}}{=} -\frac{\sigma_B}{1-T} N_C \int \frac{d^2 \vec{b}_T}{(2\pi)^2} \left. \widetilde{D}_{h/j}^{\text{NNLL}}(z,b_T) \right|_{y_1=0} \left(1 + a_S C_1(b_T) \right) \\ \times \exp\left\{ -\log\left(1-T\right) f_1(\bullet) + f_2(\bullet) - \frac{1}{\log\left(1-T\right)} f_3(\bullet) \right\} \left(\gamma(\bullet) - \frac{1}{\log\left(1-T\right)} \rho(\bullet) \right) \right\}$$

• = {
$$-a_S \beta_0 \log (1 - T), 2 a_S \beta_0 L_b^{\star}, g_K(b_T)$$
}

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1) $\overline{y}_1 = L_u - L_b^{\star} \begin{pmatrix} 1 + \frac{1 - e^{-\frac{2\beta_0}{\gamma_K^{(1)}}}(g_K - \tilde{K}^{\star})}{\lambda_b^{\star}} \end{pmatrix} \longrightarrow \widehat{y}_1 = -\log \sqrt{\tau}$ Just kinematics! 2) Thrust is not resummed JHEP 02 (2021) 076



A strongly simplified version of this theorem is possible

PHENOMENOLOGY: Boglione, Gonzalez-Hernandez, Simonelli, 2206.08876 [hep-ph]

DATA: BELLE collab., *Phys.Rev.D 99 (2019)*

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Conclusions

- The factorization properties of SIA^{thr} have been deeply investigated.
- The *tension* between SCET and the formalism presented here has been clarified: the two approaches lead to two different factorization theorems, describing different kinematics. The very bulk of the phase space (Region 2) is addressed by this CSS-based treatment, while SCET result is relevant (and remarkable!) for matching.

• Factorization in Region 2 exposes the double nature of SIA^{thr}, an observable which is both thrust-dependent and TMD. This is made manifest in the relation linking the TMD rapidity regulator with the thrust. $\int_{C} \frac{2\beta_0}{a_K - \tilde{K}^*}$

$$\overline{y}_1 = L_u - L_b^{\star} \left(1 + \frac{1 - e^{-\frac{-1}{\gamma_K^{[1]}}(g_K - K^{-})}}{\lambda_b^{\star}} \right)$$

 First phenomenological results have been obtained in a strongly simplified version of this formalism, showing nevertheless very good agreement with BELLE data.



 The next step is to perform phenomenology on BELLE data without introducing simplifications to the formalism and provide the first cleanest extraction of a TMD FF.

