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Nonrelativistic QCD

- NRQCD provides a description of a heavy quarkonium state $|\mathcal{Q}\rangle$ as nonrelativistic Fock state expansion

 $|\mathcal{Q}\rangle = O(1)|Q\bar{Q}\rangle + O(v)|Q\bar{Q}g\rangle + O(v^2)|Q\bar{Q}gg\rangle + \cdots$

 $v^2 \approx 0.3$ for charmonia, $v^2 \approx 0.1$ for bottomonia. $v^2 \approx 0.1$ for bottomonia. Bodwin, Braaten, Lepage, PRD51, 1125 (1995), PRD55, 5853 (1997)

- LO in v: the leading Fock state is $Q\overline{Q}$ in a color-singlet state.
- Contributions at higher orders can involve color-octet states.
- NRQCD have been successfully applied to spectroscopy and decay processes.

NRQCD Factorization

Inclusive production cross section of a quarkonium Q

Short-distance cross sections Long-distance matrix elements $\sigma_{Q+X} = \sum_{n} \hat{\sigma}_{Q\bar{Q}(n)+X} \langle \mathcal{O}^{Q}(n) \rangle$ • Sum is over the color, spin, and orbital angular momentum states

- of the QQ.
- Matrix elements have known scalings in v.
- For a *S*-wave spin-triplet quarkonium, Leading order in v: ${}^{3}S_{1}^{[1]}$ (color singlet) Relative orders v^{3} , v^{4} : ${}^{1}S_{0}^{[8]}$, ${}^{3}S_{1}^{[8]}$, ${}^{3}P_{J}^{[8]}$ (*J*=0,1,2) (color octet)
- Factorization is expected to be valid at large p_T .

NRQCD Factorization

- At large *p_T*, contribution at leading order in *v* (color singlet channel) severely underestimates data. The gap is filled by color-octet production, which is enhanced by short-distance cross sections.
 Braaten and Fleming, PRL74, 3327 (1995) Cho and Leibovich, PRD 53, 150 (1996)
- Color-octet production:

Color-singlet production:



Production of J/ψ , $\psi(2S)$, Υ

• Production cross section of a ${}^{3}S_{1}$ quarkonium $V=J/\psi, \ \psi(2S), \ \Upsilon$

$$\sigma_{V+X} = \hat{\sigma}_{Q\bar{Q}({}^{3}S_{1}^{[1]})} \langle \mathcal{O}^{V}({}^{3}S_{1}^{[1]}) \rangle + \hat{\sigma}_{Q\bar{Q}({}^{3}S_{1}^{[8]})} \langle \mathcal{O}^{V}({}^{3}S_{1}^{[8]}) \rangle + \hat{\sigma}_{Q\bar{Q}({}^{1}S_{0}^{[8]})} \langle \mathcal{O}^{V}({}^{1}S_{0}^{[8]}) \rangle + \sum_{J=0,1,2} \hat{\sigma}_{Q\bar{Q}({}^{3}P_{J}^{[8]})} (2J+1) \langle \mathcal{O}^{V}({}^{3}P_{0}^{[8]}) \rangle.$$

• The color-singlet matrix element $\langle \mathcal{O}^V({}^3S_1^{[1]}) \rangle$ can be obtained from decay rates, lattice QCD, or potential models.

$$\langle \mathcal{O}^V({}^3S_1^{[1]}) \rangle = \frac{3N_c}{2\pi} |R(0)|^2$$

- It is not known how to compute color-octet matrix elements from first principles, so they are usually extracted from cross section data : three unknowns for each ${}^{3}S_{1}$ quarkonium state.
- "NRQCD predictions" depend strongly on matrix element determinations

Color-octet matrix elements

• Color-octet matrix elements roughly correspond to probabilities for color-octet $Q\overline{Q}$ to evolve into a quarkonium.



• The ${}^{3}S_{1}{}^{[8]}$ and ${}^{3}P_{J}{}^{[8]}$ channels mix under scale variation :

$$\frac{d}{d\log\Lambda}\langle \mathcal{O}^V({}^3S_1^{[8]})\rangle = \frac{6(N_c^2 - 4)}{N_c m^2} \frac{\alpha_s}{\pi} \langle \mathcal{O}^V({}^3P_0^{[8]})\rangle$$

so that the sum of ${}^{3}S_{1}{}^{[8]}$ and ${}^{3}P_{J}{}^{[8]}$ channels is physically meaningful. Usually A = m is taken in the $\overline{\text{MS}}$ scheme.

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Production of J/ψ , $\psi(2S)$, Υ

• Matrix element determinations from cross section data rely heavily on the p_T shapes. This can be understood from expansion in $1/p_T$ ($p_T \gg m$)



- Relative size of LP and NLP determines overall shape in p_T .
- Two degrees of freedom in p_T shape constrains only two linear combinations of color-octet matrix elements. Need more constraints to determine all three matrix elements.

Potential NRQCD

Pineda, Soto, NPB Proc. Suppl. 64, 428 (1998) Brambilla, Pineda, Soto, Vairo, NPB566, 275 (2000) Brambilla, Pineda, Soto, Vairo, Rev. Mod. Phys. 77, 1423 (2005)

 Potential NRQCD effective field theory calculation of the coloroctet matrix elements for strongly coupled quarkonia give



and field strengths; they are *universal* and *independent* of the heavy quark *flavor* or *radial excitation*.

• This reduces the number of nonperturbative unknowns and enhances the predictive power : three nonperturbative unknowns determine all ${}^{3}S_{1}$ quarkonium cross sections

Potential NRQCD

 Universality of the gluonic correlators leads to the prediction for cross section ratios, independently of the correlators





Matrix element determinations

• J/ψ matrix elements $\langle \mathcal{O}^{J/\psi}({}^{3}S_{1}^{[8]})\rangle, \langle \mathcal{O}^{J/\psi}({}^{3}P_{0}^{[8]})\rangle/m^{2}, \langle \mathcal{O}^{J/\psi}({}^{1}S_{0}^{[8]})\rangle$ (GeV³)



Matrix element determinations

• $\psi(2S)$ matrix elements $\langle \mathcal{O}^{\psi(2S)}({}^{3}S_{1}^{[8]})\rangle, \langle \mathcal{O}^{\psi(2S)}({}^{3}P_{0}^{[8]})\rangle/m^{2}, \langle \mathcal{O}^{\psi(2S)}({}^{1}S_{0}^{[8]})\rangle$ (GeV³)



Matrix element determinations

• $\Upsilon(3S)$ matrix elements $\langle \mathcal{O}^{\Upsilon}({}^{3}S_{1}^{[8]})\rangle, \langle \mathcal{O}^{\Upsilon}({}^{3}P_{0}^{[8]})\rangle/m^{2}, \langle \mathcal{O}^{\Upsilon}({}^{1}S_{0}^{[8]})\rangle$ (GeV³)



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J/ψ Matrix elements from Large p_T Hadroproduction

• Same signs for $\langle \mathcal{O}^{J/\psi}({}^{3}S_{1}^{[8]})\rangle \ \langle \mathcal{O}^{J/\psi}({}^{3}P_{0}^{[8]})\rangle/m^{2}$



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ψ (2S) Matrix elements from Large p_T Hadroproduction

• Same signs for $\langle \mathcal{O}^{\psi(2S)}({}^{3}S_{1}^{[8]})\rangle \ \langle \mathcal{O}^{\psi(2S)}({}^{3}P_{0}^{[8]})\rangle/m^{2}$



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Matrix elements from Large p_T Hadroproduction Good description of cross section at large p_T .



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Global Fit

 $\langle \mathcal{O}^{J/\psi}({}^{3}S_{1}^{[8]}) \rangle, \, \langle \mathcal{O}^{J/\psi}({}^{3}P_{0}^{[8]}) \rangle / m^{2}, \, \langle \mathcal{O}^{J/\psi}({}^{1}S_{0}^{[8]}) \rangle \, (\text{GeV}^{3})$

- J/ψ global fit mainly from low- p_T data, gives negative $\langle \mathcal{O}^{J/\psi}({}^3P_0^{[8]})\rangle/m^2$ Hamburg
- $\psi(2S)$ global fit only comes from hadroproduction, results without p_T cut gives negative $\langle \mathcal{O}^{\psi(2S)}({}^3P_0^{[8]}) \rangle / m^2$
- $\psi(2S)$ with $p_T > 7$ GeV similar to large p_T hadroproduction based results, $\langle \mathcal{O}^{\psi(2S)}({}^3P_0^{[8]})\rangle/m^2$ is now positive. Quality of fit also improves with p_T cut.



Global Fit

 Description of hadroproduction improves with p_T cut, but uncertainties increase at large p_T



Quarkonium Polarization

- The polarization of the quarkonium can discriminate between different octet channels. This can be measured through the polar angular distribution of the dilepton decay ~ $1+\lambda_{ heta}\cos^2\theta$
- The polarization measured at the LHC show near-zero λ_{θ} (helicity frame)



Quarkonium Polarization

Different color-octet channels lead to different polarizations.



- $Q\overline{Q}({}^{3}S_{1}{}^{[8]})$ can produce a quarkonium by soft gluon emission : mostly transverse, small longitudinal contribution
- $Q\overline{Q}({}^{3}P_{1}{}^{[8]})$ also evolves into a quarkonium by soft gluon emission, but short-distance coefficient is negative : mostly negatively transverse, small positive longitudinal contribution
- $Q\overline{Q}({}^{1}S_{0}{}^{[8]})$ is isotropic, unpolarized.

Quarkonium Polarization

Different color-octet channels lead to different polarizations.







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Large positive transverse, small positive longitudinal Large negative transverse, small positive longitudinal

unpolarized

- To get near-zero λ_{θ} , we need either
 - ${}^{1}S_{0}{}^{[8]}$ dominates, while sum of ${}^{3}S_{1}{}^{[8]}$ and ${}^{3}P_{J}{}^{[8]}$ is small
 - ${}^{3}S_{1}{}^{[8]}$ + ${}^{3}P_{J}{}^{[8]}$ dominates despite large cancellations, small ${}^{1}S_{0}{}^{[8]}$
- For cancellations to happen in ${}^{3}S_{1}{}^{[8]} + {}^{3}P_{J}{}^{[8]}$, the matrix elements must have same signs.

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J/ψ Polarization

 Hadroproduction-based determinations generally lead to good descriptions of polarization. Global fit does not.





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Υ Polarization

 Hadroproduction-based determinations generally lead to good descriptions of polarization.
 TUM (pNRQCD) PRD105, L111503 (2022)

 $\lambda_{ heta}$

23

-0.5

-1.0

10

20



(pNRQCD) PRD105, L111503 (2022)

30

 p_T

(GeV)

CMS PRL 110, 081802 (2013)

• $\Upsilon(2S)$ CMS data, |y| < 0.6

• $\Upsilon(3S)$ CMS data, |y| < 0.6

 $\Box \Upsilon(2S) \text{ CMS data}, 0.6 < |y| < 1.2$

\Box $\Upsilon(3S)$ CMS data, 0.6 < |y| < 1.2

40

50

Other observables

- Polarization alone do not constrain color-octet matrix elements strongly, because near-zero λ_{θ} can be obtained from both ${}^{3}S_{1}{}^{[8]}+{}^{3}P_{J}{}^{[8]}$ dominance and ${}^{1}S_{0}{}^{[8]}$ dominance scenarios.
- Hadroproduction-based analyses are usually between the two scenarios.
- pNRQCD analysis using universality of gluonic correlators leads to large positive ³P_J^[8], which favors ³S₁^[8]+³P_J^[8] dominance and small ¹S₀^[8] for all ³S₁ quarkonia.
- Global fit analysis shows that low-p_T observables do not lead to polarization results consistent with measurements.
- Other large-p_T observables may be able to give additional constraints.

η_c Production

By using heavy-quark spin symmetry, J/ψ matrix elements lead to η_c matrix elements

$$\begin{split} \sigma_{\eta_c+X} &= \hat{\sigma}_{Q\bar{Q}({}^{1}S_{0}^{[1]})} \langle \mathcal{O}^{\eta_c}({}^{1}S_{0}^{[1]}) \rangle + \hat{\sigma}_{Q\bar{Q}({}^{3}S_{1}^{[8]})} \langle \mathcal{O}^{\eta_c}({}^{3}S_{1}^{[8]}) \rangle \\ &+ \hat{\sigma}_{Q\bar{Q}({}^{1}S_{0}^{[8]})} \langle \mathcal{O}^{\eta_c}({}^{1}S_{0}^{[8]}) \rangle + \hat{\sigma}_{Q\bar{Q}({}^{1}P_{1}^{[8]})} \langle \mathcal{O}^{\eta_c}({}^{1}P_{1}^{[8]}) \rangle \end{split}$$

• Significant contributions come from ${}^{1}S_{0}{}^{[1]}$ and ${}^{3}S_{1}{}^{[8]}$ channels. (color singlet contribution is not suppressed) Heavy-quark spin symmetry relations:

$$\langle \mathcal{O}^{\eta_c}({}^1S_0^{[1]})\rangle = \frac{1}{3} \times \langle \mathcal{O}^{J/\psi}({}^3S_1^{[1]})\rangle \qquad \langle \mathcal{O}^{\eta_c}({}^3S_1^{[8]})\rangle = \langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]})\rangle$$

$$\langle \mathcal{O}^{\eta_c}({}^1S_0^{[8]})\rangle = \frac{1}{3} \times \langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]})\rangle \qquad \langle \mathcal{O}^{\eta_c}({}^1P_1^{[8]})\rangle = 3 \times \langle \mathcal{O}^{J/\psi}({}^3P_0^{[8]})\rangle$$

- This can be used to constrain $\langle \mathcal{O}^{\eta_c}({}^3S_1^{[8]})\rangle = \langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]})\rangle$
- The production rate has been measured by LHCb LHCb, EPJC75 (2015) 7, 311 EPJC80 (2020) 3, 191

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• Additional constraints from η_c production to J/ψ matrix elements $\langle \mathcal{O}^{J/\psi}({}^{3}S_1^{[8]}) \rangle \ \langle \mathcal{O}^{J/\psi}({}^{3}P_0^{[8]}) \rangle / m^2 \ \langle \mathcal{O}^{J/\psi}({}^{1}S_0^{[8]}) \rangle (\text{GeV}^3)$





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$J_{\psi} \text{ matrix elements } \langle \mathcal{O}^{J/\psi}({}^{3}S_{1}^{[8]}) \rangle \ \langle \mathcal{O}^{J/\psi}({}^{3}P_{0}^{[8]}) \rangle / m^{2} \ \langle \mathcal{O}^{J/\psi}({}^{1}S_{0}^{[8]}) \rangle$

- Determinations with ${}^{3}S_{1}[8] + {}^{3}P_{J}[8]$ dominance can describe cross sections, polarizations, η_{c} and associated production cross sections.
- pNRQCD implies similar results for all other ³S₁ quarkonium states.
- Caveat : inconsistent with low-p_T observables, large cancellations can be bad for perturbative stability



Y matrix elements

- $\Upsilon(3S)$ matrix elements $\langle \mathcal{O}^{\Upsilon}({}^{3}S_{1}^{[8]}) \rangle \ \langle \mathcal{O}^{\Upsilon}({}^{3}P_{0}^{[8]}) \rangle / m^{2} \ \langle \mathcal{O}^{\Upsilon}({}^{1}S_{0}^{[8]}) \rangle \ (\text{GeV}^{3})$
- Υ matrix element
 determinations are still limited to cross section data.
- pNRQCD implies ${}^{3}S_{1}[8] + {}^{3}P_{J}[8]$ dominance also for Υ states. Cancellation less severe than charmonium case due to running of $\langle \mathcal{O}^{\Upsilon}({}^{3}S_{1}^{[8]}) \rangle$



Summary and outlook

- **NRQCD** description of J/ψ , $\psi(2S)$, Υ production depends heavily on determination of *three matrix elements* corresponding to ${}^{3}S_{1}[8]$, ${}^{3}P_{J}[8]$, ${}^{1}S_{0}[8]$.
- pNRQCD gives universality relations that reduce the number of independent matrix elements through universality of gluonic correlators.
- Polarization is still useful for testing matrix elements, but cannot strongly distinguish ${}^{3}S_{1}[8] + {}^{3}P_{J}[8]$ dominance and ${}^{1}S_{0}[8]$ dominance.
- This degeneracy can be lifted from *pNRQCD theory* supports ³S₁^[8]+³P_J^[8] dominance for all ³S₁ quarkonia
 J/ψ and η_c data also support ³S₁^[8]+³P_J^[8] dominance for J/ψ.
- On the other hand, large p_T determinations are in conflict with low- p_T observables. ${}^{3}S_{1}[8] + {}^{3}P_{J}[8]$ dominance also prone to radiative corrections.
- $J/\psi + W/Z$ measurements and future experiments such as the EIC can provide useful tests of the quarkonium production mechanism.

Backup

Potential NRQCD

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• Definitions of gluonic correlators:

$$\mathcal{E}_{10;10} = d^{a'bc'} d^{e'xy'} \int_0^\infty dt_1 t_1 \int_{t_1}^\infty dt_2 \langle \Omega | \Phi_{\ell}^{\dagger ad}(0) \Phi_0^{a'a\dagger}(0;t_1) g E^{b,i}(t_1) \Phi_0^{cc'\dagger}(t_1;t_2) g E^{c,i}(t_2) \\ \times \int_0^\infty dt_1' t_1' \int_{t_1'}^\infty dt_2' g E^{y,j}(t_2') \Phi_0^{yy'}(t_1';t_2') g E^{x,j}(t_1') \Phi_0^{e'e}(0;t_1') \Phi_{\ell}^{de}(0) | \Omega \rangle$$

$$\mathcal{E}_{00} = \int_0^\infty dt \int_0^\infty dt' \langle \Omega | \Phi_\ell^{\dagger ab}(0) \Phi_0^{\dagger ad}(0;t) g E^{d,i}(t) g E^{e,i}(t') \Phi_0^{ec}(0;t') \Phi_\ell^{bc}(0) | \Omega \rangle$$

$$\mathcal{B}_{00} = \int_0^\infty dt \int_0^\infty dt' \langle \Omega | \Phi_\ell^{\dagger ab}(0) \Phi_0^{\dagger ad}(0;t) g B^{d,i}(t) g B^{e,i}(t') \Phi_0^{ec}(0;t') \Phi_\ell^{bc}(0) | \Omega \rangle$$

• Configurations of Wilson lines and field strength insertions:



$\boldsymbol{\Upsilon}$ Polarization and evolution

- Υ is generally more transverse than ψ at similar values of p_T/m. This happens because the relative size of ³S₁^[8] compared to ³P_J^[8] is larger for bottomonium than charmonium.
- The evolution equation :

$$\langle \mathcal{O}^{V}({}^{3}S_{1}^{[8]})\rangle^{(\Lambda)} = \frac{1}{2N_{c}m^{2}} \frac{3|R(0)|^{2}}{4\pi} \mathcal{E}_{10;10}(\Lambda) \qquad \qquad \langle \mathcal{O}^{V}({}^{3}P_{0}^{[8]})\rangle = \frac{1}{18N_{c}} \frac{3|R(0)|^{2}}{4\pi} \mathcal{E}_{00}$$
$$\qquad \qquad \frac{d}{d\log\Lambda} \mathcal{E}_{10;10} = \frac{2\alpha_{s}}{3\pi} \frac{N_{c}^{2} - 4}{N_{c}} \mathcal{E}_{00}$$

For ${}^{3}S_{1}{}^{[8]}$ to be larger at $A = m_{b}$ than at $A = m_{c}$, ${}^{3}P_{J}{}^{[8]}$ needs to be positive.

• Hence pNRQCD strongly constrains ${}^{3}P_{J}{}^{[8]}$ to be positive. To counter the large negative ${}^{3}P_{J}{}^{[8]}$ contribution, large positive contribution should come from the ${}^{3}S_{1}{}^{[8]}$ channel, leading to ${}^{3}S_{1}{}^{[8]}+{}^{3}P_{J}{}^{[8]}$ dominance.



• Associated production can also help discriminate matrix elements : ATLAS measured $J/\psi + W$ and $J/\psi + Z$ production ATLAS, EPJC75 (2015) 229, JHEP 01 (2020) 095, JHEP 04 (2014) 172



J/ψ Production at EIC

 The ep → J/ψ+X cross section can also discriminate different matrix element determinations, and is expected to be measurable at the EIC.



Short-distance coefficients from Qiu, Wang, Xing, Chin. Phys. Lett. 38 (2021) 041201

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Υmatrix elements

• $\Upsilon(2S)$ matrix elements $\langle \mathcal{O}^{\Upsilon}({}^{3}S_{1}^{[8]}) \rangle \langle \mathcal{O}^{\Upsilon}({}^{3}P_{0}^{[8]}) \rangle / m^{2} \langle \mathcal{O}^{\Upsilon}({}^{1}S_{0}^{[8]}) \rangle$ (GeV³)



35

(2022)

Y matrix elements

 $\Upsilon(1S) \text{ matrix elements } \langle \mathcal{O}^{\Upsilon}(^{3}S_{1}^{[8]}) \rangle \ \langle \mathcal{O}^{\Upsilon}(^{3}P_{0}^{[8]}) \rangle / m^{2} \ \langle \mathcal{O}^{\Upsilon}(^{1}S_{0}^{[8]}) \rangle \ (\text{GeV}^{3})$



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J/ψ in Jet

• J/ψ momentum distribution in jet have been measured by LHCb and CMS. LHCb, PRL118, 192001 (2017) CMS, PLB 825 (2021) 136842



- $z = \text{fraction of } J/\psi \text{ transverse momentum in jet}$
- Measured distributions fall as $z \rightarrow 1$.

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 J/ψ in Jet

 The distribution has been studied in SCET (Fragmenting Jet Functions, FJF) and in gluon fragmentation improved PYTHIA (GFIP). Bain, Dai, Leibovich, Makris, Mehen, PRL119, 032002 (2017)



• Data agrees better with theory when ${}^{3}S_{1}{}^{[8]}$ and ${}^{3}P_{J}{}^{[8]}$ matrix elements have same signs.

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J/ψ in Jet

• At LO in α_s , the distribution is determined by the gluon fragmentation function. Fragmentation functions for ${}^3S_1^{[8]}$ and ${}^3P_J^{[8]}$ channels diverge as $z \to 1$.



• Hence, contributions from ${}^{3}S_{1}^{[8]}$ and ${}^{3}P_{J}^{[8]}$ channels must cancel to have decreasing distribution as $z \rightarrow 1$. **QNP2022**



- Hadroproduction data from PHENIX at RHIC, CDF at Tevatron, ATLAS, CMS, ALICE and LHCb at LHC, (mostly at low *p*_T)
- Photoproduction data from ZEUS and H1 at HERA,
- DELPHI at LEP II, and Belle at KEKB.

Butenschoen and Kniehl, MPLA28, 1350027 (2013)

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Low-pr observables

• Color-octet matrix elements extracted from hadroproduction data at large p_T lead to overestimation of photoproduction and Electromagnetic production data. Butenschoen and Kniehl, MPLA28, 1350027 (2013)



Matrix elements from Gong, Wan, Wang, Zhang, PRL110, 042002 (2013) (IHEP)

Matrix elements from Chao, Ma, Shao, Wang, Zhang, PRL108, 242004 (2012) (Peking)