#### The 3D hadron structure: from transverse momentum dependent factorization to parton distribution and fragmentation functions

#### M. Boglione

In collaboration with O. Gonzalez and A. Simonelli





#### **QCD** framework Asymptotic Freedom Long distance physics, QCD non-perturbative structure functions. Confinement Short distance effects, perturbative QCD Perturbative regime (computable but process dependent terms) QCD Non perturbative regime (non computable but universal terms) HADRONIZATION Strong interactions: hadron structure is a playground for 3D studies! CONFINEMENT

# QCD framework



- The interplay between perturbative and non-perturbative regimes is currently one of the most challenging aspects in phenomenology.
- Factorization allows to separate the perturbative content of an observable from its non-perturbative content. At large Q and small m, the non-perturbative contributions are separated out from anything that can be computed by using perturbative techniques, and identified with universal quantities (structure functions).
- Factorization restores the predictive power of QCD

## Factorization: region classification

J. Collins, Foundations of perturbative QCD (Cambridge University Press, 2011)

Particles are classified according to how they propagate in space, i.e. according to their virtuality.



#### **Factorization theorem**

General structure of a generic factorization theorem:

$$\mathcal{O} = H \times \boxed{S \times \prod_{j} C_{j}} + p.s.$$
Power suppressed terms
  
R-safe hard contribution
  
R-safe hard contributions, accounting for non-perturbative effects

- Each term is equipped with proper subtractions.
- The soft factor S encodes the *correlation* among the various collinear parts.
- While H can be computed in pQCD, S and C have to be determined using non perturbative methods. For instance they can be modeled and extracted from experimental data, or computed in lattice QCD

## TMD observables

#### The 3D hadron structure and transverse momentum dependence (TMD)

- Observables that carry information about the transverse motion of partons inside the hadrons are of primary interest in modern studies of QCD, as they encode very rich information about the 3D hadron structure and transverse spin effects.
- The TMD factorization of such observables is one of the most important and challenging approach to investigate the non-perturbative core of QCD, as well as spin-spin and spinmomentum correlations between the hadrons and their constituents.

#### **Quark-quark correlation matrix**

 $\Phi_{ij}(k, P, S) = F.T. \langle P S | \overline{\psi}_j(0) W[0, \xi] \psi_i(\xi) | P S \rangle$ 

See also talks by Harut Avakian, Matteo Cerutti, Marco Contalbrigo and Andrea Simonelli



## **Collinear factorization**

J. Collins, Foundations of perturbative QCD (Cambridge University Press, 2011)

 $q_T \gtrsim Q$ 

There is *enough* transverse momentum to produce jets at wide <sup>-</sup> angles in the final state. The low transverse momenta of the struck parton, the fragmenting parton and soft radiation are totally negligible

The soft factor becomes a trivial unit matrix in color space

All the hard jets are included into the hard part

Terms that encode non-perturbative effects:

- Collinear factor associated with the target
  - Collinear factor associated with the detected hadron

None of them carry TMD information.

$$d\sigma = \sum_{j,j'} H_{j,j'} \otimes f_{j,h_1}(x) \otimes d_{j',h_2}(z)$$

Typical

collinear

factorization

## **TMD** factorization

J. Collins, Foundations of perturbative QCD (Cambridge University Press, 2011)



# Rapidity divergences

- Rapidity divergences are introduced by the approximations induced by factorization
- Problematic for TMD factorization.
- Rapidity divergences are ultimately associated to Wilson lines along the light-cone:

Eikonal propagators  $\sim \frac{1}{k^+ + i 0}$  and  $y = \frac{1}{2} \log \frac{k^+}{k^-}$ 

Collins' regulator: soft Wilson lines are tilted off the light-cone:  $(1, 0, \vec{0}_T) \rightarrow (1, -e^{-2y_1}, \vec{0}_T), \qquad y_1$  Large and positive  $(0, 1, \vec{0}_T) \rightarrow (-e^{2y_2}, 1, \vec{0}_T), \qquad y_2$  Large and negative

Analogous to introducing a rapidity cut-offs

$$\widetilde{d\sigma} = \sum_{j,j'} \underbrace{H_{j,j'}F_{j,h_1}(x,b_T)S(b_T)D_{j',h_2}(z,b_T)}_{-\infty < y < y_2 \quad y_2 < y < y_1 \quad y_1 < y < +\infty}$$

The dependence on rapidity cut-offs is cancelled in the final result

## Soft factor and soft/collinear subtraction

$$\frac{d\sigma}{dq_T} = \mathcal{H}_{\text{proc.}} \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{i\vec{q}_T \cdot \vec{b}_T} F(b_T) S(b_T) D(b_T)$$

TMDs are defined through the **factorization definition**:

$$D(z, b_T, y_1) = \lim_{\widehat{y} \to -\infty} \frac{D^{\text{uns.}}(z, b_T, y_P - \widehat{y})}{S(b_T, y_1 - \widehat{y})}$$
From quark-quark correlation matrix  
Subtraction of soft-collinear overlapping

The soft factor (included the subtraction term) is defined as:

$$S(b_T, y_1 - y_2) = \frac{\text{Tr}}{N_C} \left\langle 0 | W_{n_2}^{\dagger}[\vec{b_T}/2, \infty] W_{n_1}[\vec{b_T}/2, \infty] \times W_{n_2}[-\vec{b_T}/2, \infty] W_{n_1}^{\dagger}[-\vec{b_T}/2, \infty] | 0 \right\rangle$$

The soft factor of the process and the soft factor of subtractions are the same function!

#### September 8 2022

# Square root definition of TMDs S. M. Aybat and T. C. Rogers, Phys.Rev. D83, 114042 (2011) $\frac{d\sigma}{dq_T} = \mathcal{H}_{\text{proc.}} \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{i\vec{q}_T \cdot \vec{b}_T} F(b_T) \mathbb{S}_{2-n}(b_T) D(b_T) =$ Recasting terms sart. Parton model-like = $\mathcal{H}_{\text{proc.}} \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{i\vec{q}_T \cdot \vec{b}_T} F^{\text{sqrt}}(b_T) D^{\text{sqrt}}(b_T)$

#### Square-root definition of the TMD:

$$D^{\text{sqrt}}(z, b_T, y_n) = \lim_{\substack{\widehat{y}_1 \to +\infty \\ \widehat{y}_2 \to -\infty}} D^{\text{uns.}}(z, b_T, y_P - \widehat{y}_2) \sqrt{\frac{S(b_T, \widehat{y}_1 - y_n)}{S(b_T, \widehat{y}_1 - \widehat{y}_2) S(b_T, y_n - \widehat{y}_2)}}$$







## e<sup>+</sup>e<sup>-</sup> annihilations in two hadrons: $e^+ e^- \rightarrow h_1 h_2 X$



In e<sup>+</sup>e<sup>-</sup> cross sections, distribution and fragmentation TMDs are convoluted. How can they be disentangled?



# $e^+e^-$ annihilations in one hadron: $e^+e^- \rightarrow h X$





One of the cleanest ways to access TMD Fragmentation Functions\*...

BUT

#### $D^{*}(P_{T})$ is not the same as $D(P_{T})$ !!!

# Soft Gluon contribution



Soft Gluon Factor:

# Double hadron production $\frac{d\sigma}{dq_T} = \mathcal{H}_{2-h} \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{i\vec{q}_T \cdot \vec{b}_T} D_1(b_T) D_2(b_T)$

Non-Perturbative contribution

Evenly shared by the TMDs

# Soft Gluons



M. Boglione, A. Simonelli, Eur. Phys. J. C 81 (2021)

#### Soft Gluon Factor:

- Perturbative contribution
- The TMD FF\* is free from any soft gluon contributions

 $D(P_T)$  and  $D^*(P_T)$  are different, BUT the relation between D and D\* is known!

We can perform combined analyses and disentangle non-perturbative terms.

## Relation between FF and FF\*

M. Boglione, A. Simonelli, Eur. Phys. J. C 81 (2021)

 $D = D^* \sqrt{M_S}$ 

#### SQUARE ROOT DEFINITION

Usual definition of TMDs. Soft Gluon Factor contributing to the cross section are included in the two TMDS and equally shared between them.

#### FACTORIZATION DEFINITION

**Purely collinear** TMD, totally free from any soft gluon contribution.

#### SOFT MODEL

The Soft Gluon Factor appearing in the cross section (process dependent) is **not** included in the TMD

- Same for Drell-Yan, SIDIS and 2-hadron production. (2-h class universality).
- Non-perturbative function (phenomenology).

#### $e^+e^- \rightarrow hX$ cross section

M. Boglione, A. Simonelli, JHEP 02 (2021) 076

The hadronic cross section is written as a convolution of a partonic cross section with a TMD FF



# Partonic cross section (NLO)

M. Boglione, A. Simonelli, JHEP 02 (2021) 076

$$\frac{d\sigma}{dz_h \, dT \, dP_T^2} = \pi \sum_f \int_{z_h}^1 \frac{dz}{z} \frac{d\hat{\sigma}_f}{dz_h/z \, dT} D_{1, \pi^{\pm}/f}(z, P_T, Q, (1-T) Q^2)$$

$$\frac{d\widehat{\sigma}_f}{dz\,dT} = \left[-\sigma_B e_f^2 N_C \frac{\alpha_S(Q)}{4\pi} C_F \delta(1-z) \left[\frac{3+8\log\tau}{\tau}\right] + \mathcal{O}\left(\alpha_S(Q)^2\right)\right] e^{-\frac{\alpha_S(Q)}{4\pi} 3C_F(\log\tau)^2 + \mathcal{O}\left(\alpha_S(Q)^2\right)}$$

## **TMD** Fragmentation Function

$$\frac{d\sigma}{dz_{h} dT dP_{T}^{2}} = \pi \sum_{f} \int_{z_{h}}^{1} \frac{dz}{z} \frac{d\hat{\sigma}_{f}}{dz_{h}/z dT} D_{1, \pi^{\pm}/f}(z, P_{T}, Q, (1-T)Q^{2})$$
Fourier Transform of:  

$$\widetilde{D}_{1, \pi^{\pm}/f}(z, b_{T}; Q, \tau Q^{2}) = \frac{1}{z^{2}} \sum_{k} \left[ d_{\pi^{\pm}/k} \otimes \mathcal{C}_{k/f} \right] (\mu_{b}) \times \\ \times \exp\left\{ \frac{1}{4} \widetilde{K} \log \frac{\tau Q^{2}}{\mu_{b}^{2}} + \int_{\mu_{b}}^{Q} \frac{d\mu'}{\mu'} \left[ \gamma_{D} - \frac{1}{4} \gamma_{K} \log \frac{\tau Q^{2}}{\mu'^{2}} \right] \right\} \times \\ \times (M_{D})_{f, \pi^{\pm}}(z, b_{T}) \exp\left\{ -\frac{1}{4} g_{K}(b_{T}) \log\left(\tau \frac{Q^{2}}{M_{H}^{2}}\right) \right\}$$
Embeds the non-perturbative, long-range behavior of the TMD FF

## Phenomenological parametrization: M<sub>D</sub>

M. Boglione, J.O. Gonzalez-Hernandez, A. Simonelli, 2206.08876 [hep-ph]

$$M_D = \frac{2^{2-p} (b_T M)^{p-1}}{\Gamma(p-1)} K_{p-1}(b_T M) \times F(b_T, z_h)$$

#### **Power-law model**

 $\mathcal{FT}\{M_D\}$ 

reminiscent of a propagator in  $k_{\rm T}$  space

$$\frac{1}{\left(k_T^2 + M^2\right)^p}$$

Multiplicative function modulating the z dependece

Exponential behaviour at  $b_T \rightarrow \infty$ 

Preliminary fits at fixed z show that

- the M and p parameters are VERY strongly correlated
- M requires some z-dependence while p does not vary much with z



## Phenomenological parametrization: M<sub>D</sub>

M. Boglione, J.O. Gonzalez-Hernandez, A. Simonelli, 2206.08876 [hep-ph]

$$M_{\rm D} = \frac{2^{2-p}(b_{\rm T}M_0)^{p-1}}{\Gamma(p-1)}K_{p-1}(b_{\rm T}M_0) \times F(b_{\rm T}, z_h)$$
BK parameters do not depend on z
$$M_{\rm D} \text{ MODEL 1}$$

$$I = \frac{M_{\rm D} \text{ model}}{I = F(\frac{1+\log\left(1+(b_{\rm T}M_z)^2\right)}{1+(b_{\rm T}M_z)^2})^q}$$

$$M_z = -M_1\log(z_h)$$
z-dependence controlled by the function F, through Mz
$$F(b_{\rm T}, z_h) = \frac{1}{1+\log\left(1+(b_{\rm T}M_z)^2\right)}{I + (b_{\rm T}M_z)^2}$$

#### Phenomenological parametrization: MD

$$M_D = \frac{2^{2-p_z} (b_T M_z)^{p_z - 1}}{\Gamma(p_z - 1)} K_{p_z - 1} (b_T M_z) \times F(b_T, z_h)$$
  
BK parameters depend on z

#### M<sub>D</sub> MODEL 2

II 
$$F = 1$$
  
 $M_z = M_h \frac{1}{z f(z)^2} \sqrt{\frac{3}{1 - f(z)}}$   
 $p_z = 1 + \frac{3}{2} \frac{f(z)}{1 - f(z)}$   
 $f(z) = 1 - (1 - z)^{\beta}, \quad \beta = \frac{1 - z_0}{z_0}$ 

The z behaviour of  $M_D$  is constrained by requiring that the theory lines appropriately reproduce the peak and the width of the measured cross sections, at each value of z.





BELLE Phys. Rev. D99 (2019) 11 112006

## Phenomenological parametrization: g<sub>K</sub>

M. Boglione, J.O. Gonzalez-Hernandez, A. Simonelli, 2206.08876 [hep-ph]

In this analysis we consider two different hypothesis for  $g_{\kappa}$  for which, asymptotically, we have  $g_{\kappa} = o(b_{T})$ 

J. Collins, T. Rogers, Phys. Rev. D 91, 074020 (2015) C. Aidala et al., Phys.Rev. D89, 094002 (2014) A.. Vladimirov Phys. Rev. Lett. 125, 192002 (2020).

$g_{ m K}$ model				
A	$g_{\mathrm{K}} = \log\left(1 + (b_{\mathrm{T}}M_{\mathrm{K}})^{p_{\mathrm{K}}} ight)$	$M_{ m K},~p_{ m K}^{*}$		
В	$g_{\mathrm{K}} = M_{\mathrm{K}} b_{\mathrm{T}}^{(1-2p_{\mathrm{K}})}$	$M_{ m K},~p_{ m K}^{*}$		

Testing different  $b_T$  behaviors of  $g_K$ allows us to give a reliable estimate of the uncertainties affecting our analysis

## Phenomenological results – correlations

M. Boglione, J.O. Gonzalez-Hernandez, A. Simonelli, 2206.08876 [hep-ph]

#### Model I

#### 3 parameter fit

$q_{ m T}/Q < 0.15~({ m pts}=168)$				
	IA	IB		
$\chi^2_{ m d.o.f.}$	1.25	1.19		
$M_0({ m GeV})$	$0.300\substack{+0.075\\-0.062}$	$0.003\substack{+0.089\\-0.003}$		
$M_1({ m GeV})$	$0.522\substack{+0.037\\-0.041}$	$0.520\substack{+0.027\\-0.040}$		
$p^*$	1.51	1.51		
$q^*$	8	8		
$M_{ m K}({ m GeV})$	$1.305\substack{+0.139\\-0.146}$	$0.904\substack{+0.037\\-0.086}$		
$p_{ m K}^{*}$	0.609	0.229		

Data selection				
$0.375 \le z_h \le 0.725 ,$	$0.750 \le T \le 0.875$ .			
$q_{\mathrm{T}}/Q \leq 0.15$				



#### Phenomenological results – correlations

M. Boglione, J.O. Gonzalez-Hernandez, A. Simonelli, 2206.08876 [hep-ph]

#### **Model II**

3 parameter fit

$q_{\rm T}/Q < 0.15 ~({\rm pts} = 168)$				
	IIA	IIB		
$\chi^2_{ m d.o.f.}$	1.35	1.33		
$z_0$	$0.574\substack{+0.039\\-0.041}$	$0.556\substack{+0.047\\-0.051}$		
$M_{ m K}({ m GeV})$	$1.633\substack{+0.103 \\ -0.105}$	$0.687\substack{+0.114\\-0.171}$		
$p_k$	$0.588\substack{+0.127\\-0.141}$	$0.293\substack{+0.047\\-0.038}$		

Data selection  $0.375 \le z_h \le 0.725$ ,  $0.750 \le T \le 0.875$ ,  $q_{\rm T}/Q \le 0.15$ 



## Phenomenological results – T dependence

M. Boglione, J.O. Gonzalez-Hernandez, A. Simonelli, 2206.08876 [hep-ph]



BELLE Collaboration, R. Seidl et al., Phys. Rev. D99 (2019), no. 11 112006

September 8 2022

## Phenomenological results

M. Boglione, J.O. Gonzalez-Hernandez, A. Simonelli, 2206.08876 [hep-ph]



September 8 2022

## Phenomenological results





September 8 2022

#### Collins-Soper kernel: comparison to other analyses

M. Boglione, J.O. Gonzalez-Hernandez, A. Simonelli, 2206.08876 [hep-ph]





## Outlook



1. 
$$e^+ e^- \rightarrow h X$$

Extraction of the unpolarized TMD FF, D\*, for charged pions from BELLE data (using factorization definition)



2.  $e^+ e^- \rightarrow h_1 h_2 X$ Two non-perturbative functions: D\*, known from step 1 Soft Model M<sub>s</sub>, obtained as ratio:  $M_S = D/D^*$ 



#### з. SIDIS

Three non-perturbative functions in the cross section D\*, known from step 1. Soft Model  $M_s$ , known from step 2.

Extraction of the TMD PDF, F\* (in the factorization definition,  $F^* \neq F$ ).

## **Conclusions and Outlook**

#### The Soft Factor acquires a central role

The focus of phenomenological analyses moves from the TMDs considered as a whole, to the Soft Factor contribution (which encloses the full process dependent part of the TMD).

#### The Collins-Soper kernel acquires a central role

The focus of phenomenological analyses moves from the TMDs considered as a whole, to the  $g_{\kappa}$  function (which embeds the non-perturbative essence of the TMD evolution).