



# QCD Evolution 2019

## Phenomenological analysis of partonic Sivers distribution

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# Outline

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- › Introduction to Sivers and phenomenology of TMDs
- › Extraction of **Sivers function**
  - › Relation between experimental observables and TMDs
  - › Relation between unpolarized TMDs and Sivers distribution
  - › Our choices for parametrization
  - › Overview of experiments and data considered
  - › Results and comparisons
- › Outlook

# Transverse Momentum Distributions: TMD PDF

quark pol.

	U	L	T
U	$f_1$		$h_1^\perp$
L		$g_{1L}$	$h_{1L}^\perp$
T	$f_{1T}^\perp$	$g_{1T}$	$h_1, h_{1T}^\perp$

nucleon pol.

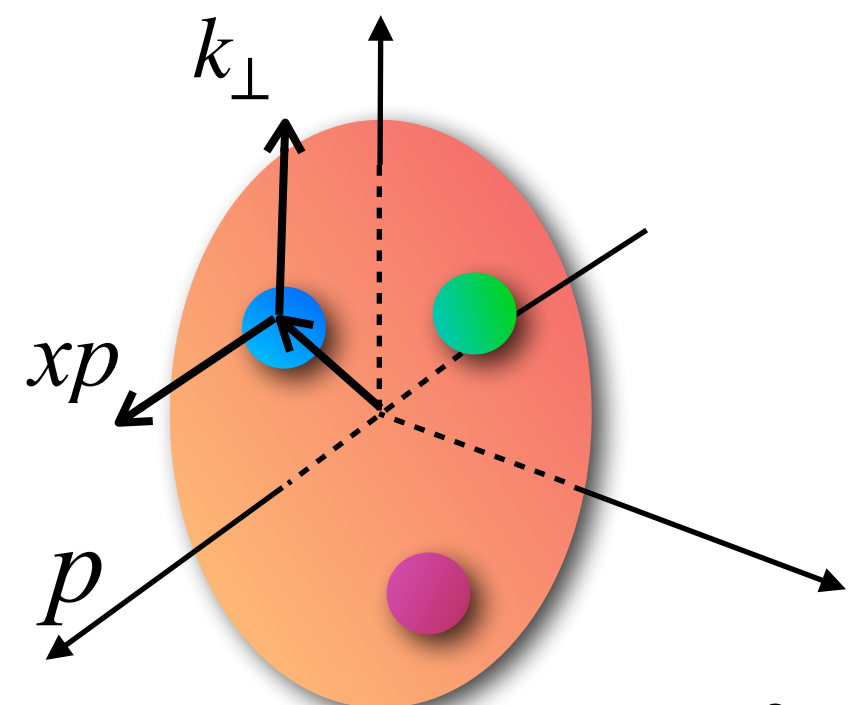
Sivers function

dependence on:

longitudinal momentum fraction  $x$

transverse momentum  $k_\perp$

energy scale



# Phenomenology of polarized TMDs

⇒ presence of a non-zero Sivers function  $f_{1T}^\perp$  will induce a dipole deformation of  $f_1$

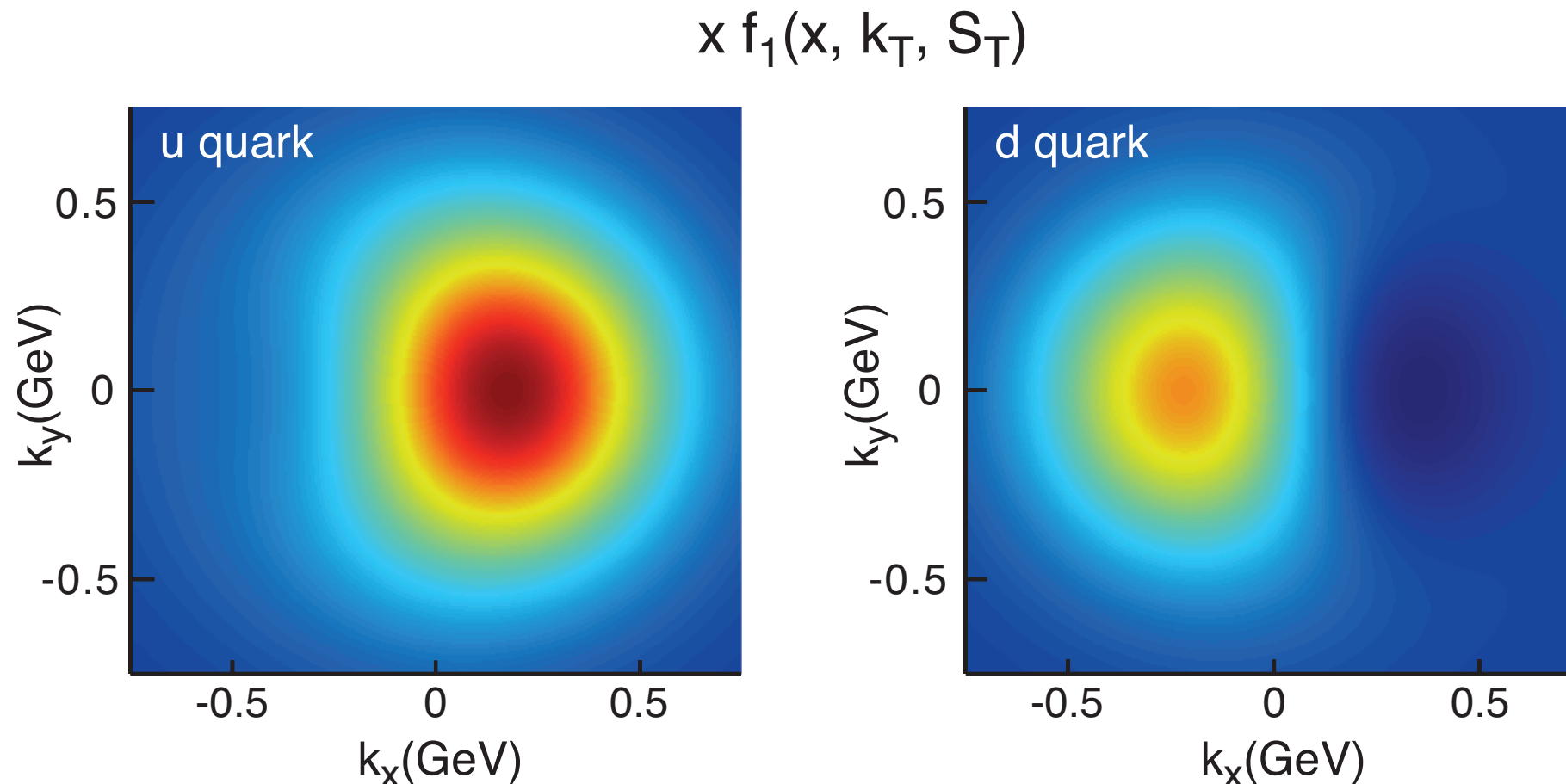


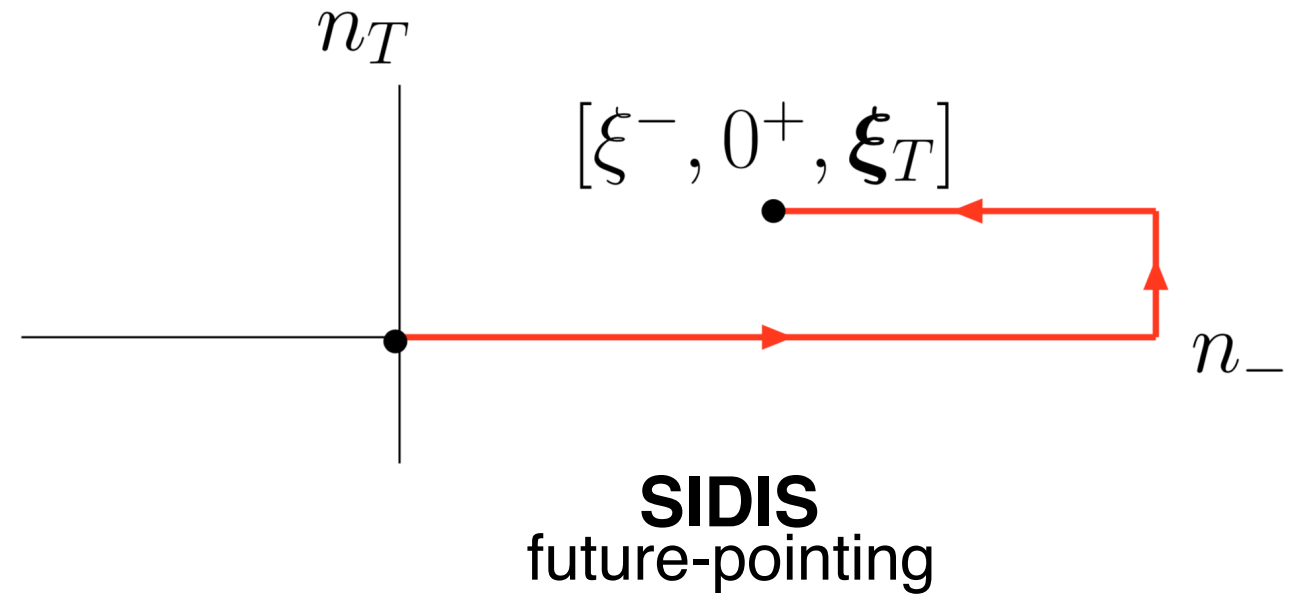
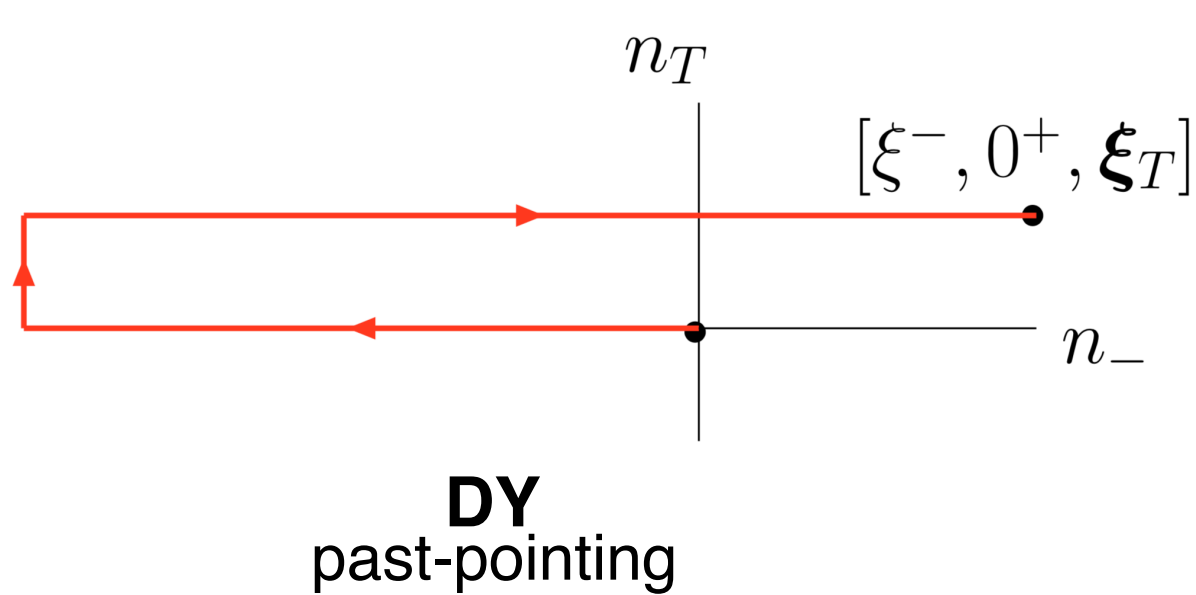
Figure 2.13: The density in the transverse-momentum plane for unpolarized quarks with  $x = 0.1$  in a nucleon polarized along the  $\hat{y}$  direction. The anisotropy due to the proton polarization is described by the Sivers function, for which the model of [77] is used. The deep red (blue) indicates large negative (positive) values for the Sivers function.



# Sivers function sign change

vanishing Sivers function?  $\longrightarrow$

Final state interactions and  
Wilson lines to consider

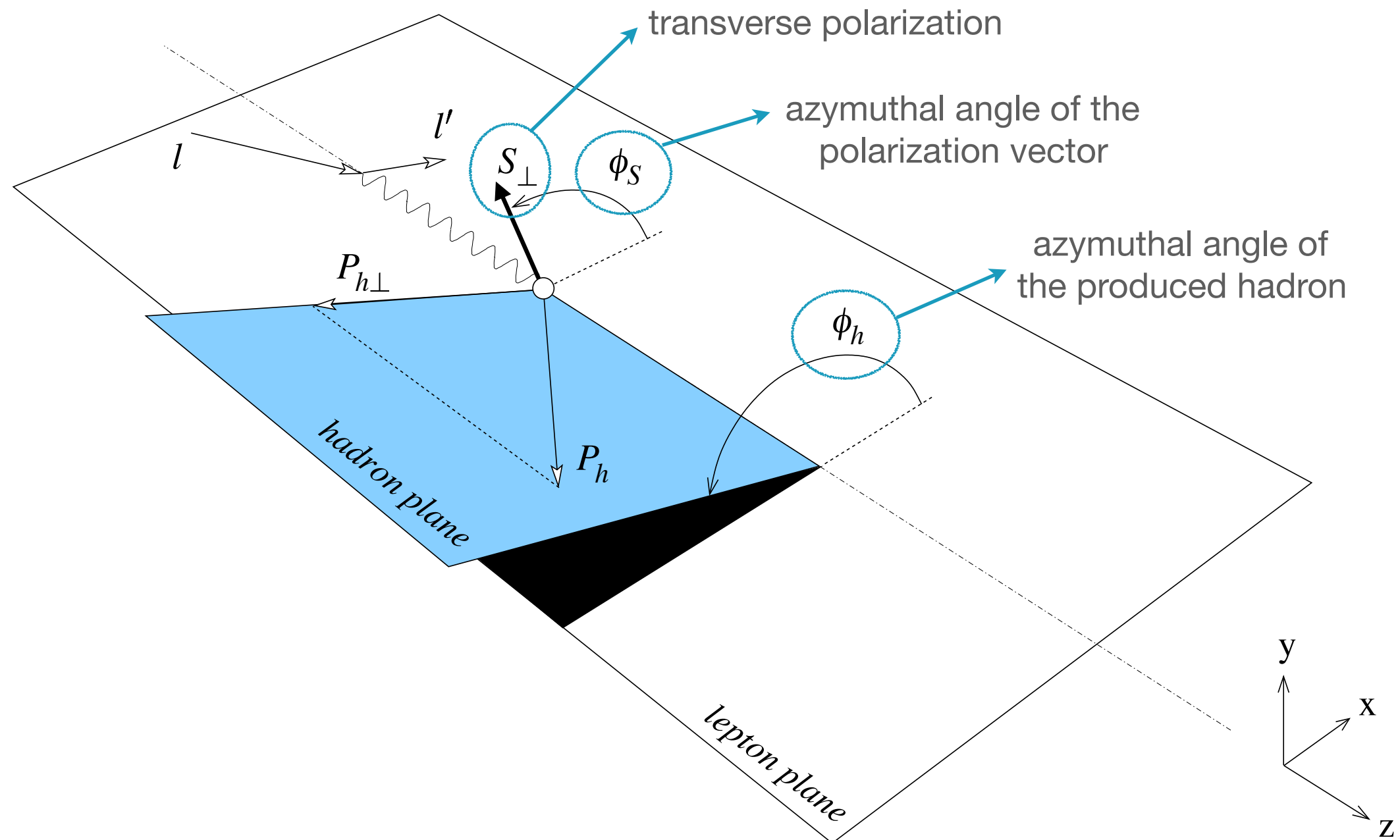


Sign change in Sivers function

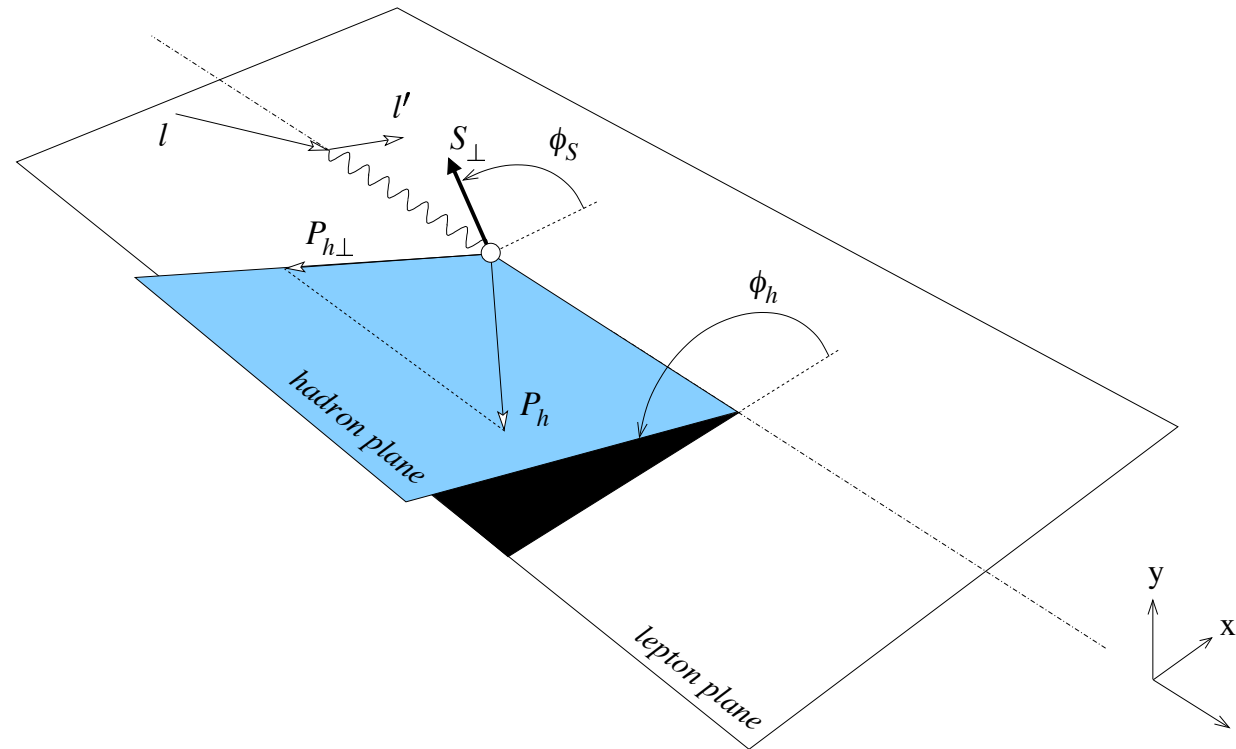
$$f_{1T,DIS}^\perp = -f_{1T,DY}^\perp$$

# Extraction of Sivers Function

The Sivers function can be determined through its contributions to the cross section of the **polarized SIDIS** process.



# Extraction of Sivers Function



$$\frac{d\sigma}{dx dy dz d\phi_S d\phi_h d\mathbf{P}_{hT}^2} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\epsilon)} \left( 1 + \frac{\gamma^2}{2x} \right) \left\{ F_{UU,T} + \epsilon F_{UU,L} \right.$$

$$\left. + \sin(\phi_h - \phi_S) |\mathbf{S}_T| \left[ F_{UT,T}^{\sin(\phi_h - \phi_S)} + \epsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right] + \dots \right\}$$

contributions from other spin structure functions

the spin structure function  $F_{UT}^{\sin(\phi_h - \phi_S)}$  is a convolution of the Sivers function  $f_{1T}^{\perp}$  with the unpolarized fragmentation function  $D_{h/q}$

# Extraction of Sivers Function

Isolating the terms relevant to the  $\sin(\phi_h - \phi_S)$  modulation

$$A_{UT}^{\sin(\phi_h - \phi_S)} = \frac{\int d\phi_S d\phi_h [d\sigma^\uparrow - d\sigma^\downarrow] \sin(\phi_h - \phi_S)}{\int d\phi_S d\phi_h [d\sigma^\uparrow + d\sigma^\downarrow]}$$



in terms of structure functions

$$A_{UT}^{\sin(\phi_h - \phi_S)} = \frac{F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}}{F_{UU,T} + \varepsilon F_{UU,L}}$$

we will consider only the terms at order  $\alpha_s^0$

**LO - NLL**



written in terms of convolutions of TMDs

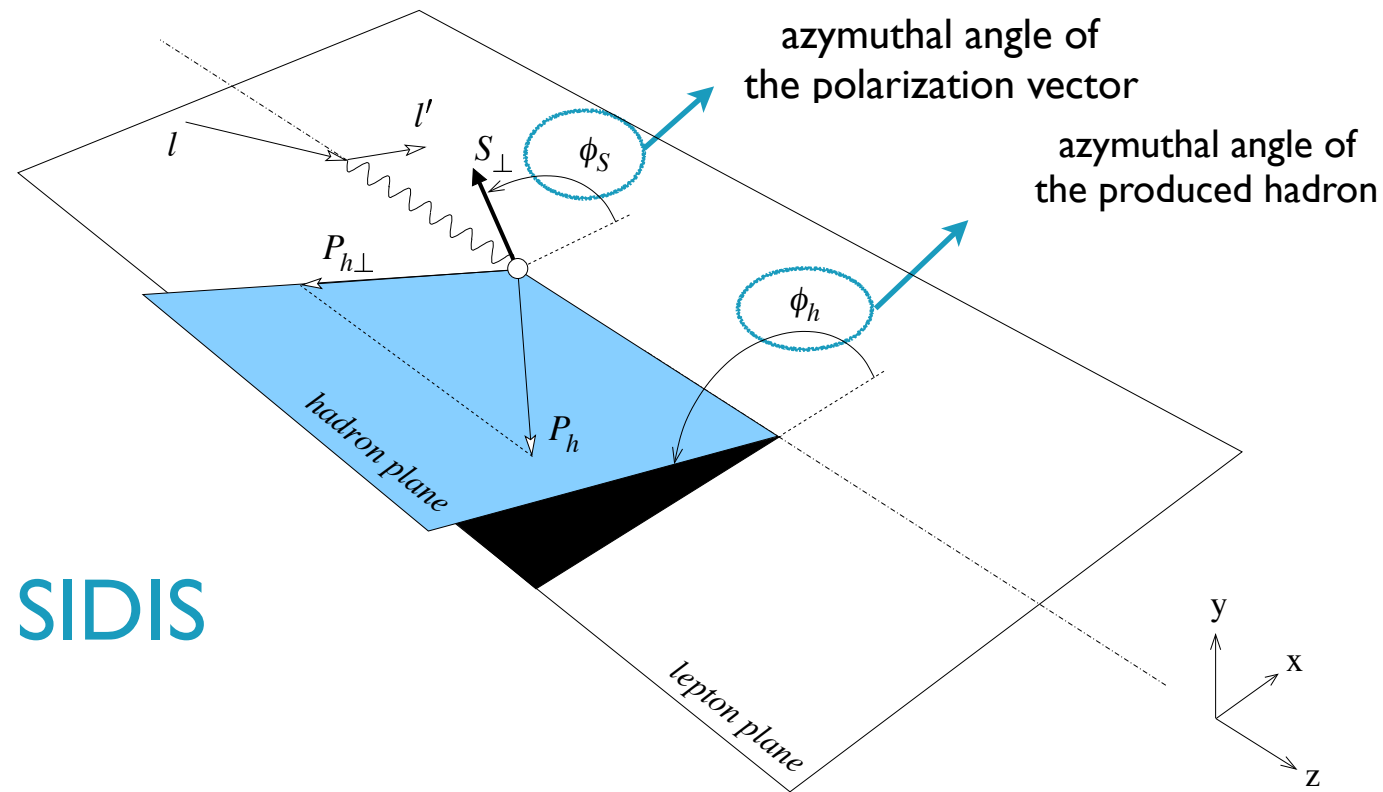
$$F_{UT,T}^{\sin(\phi_h - \phi_S)} = \mathcal{C} \left[ -\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_\perp}{M} f_{1T}^\perp D_1 \right]$$

$$F_{UU,T} = \mathcal{C} [f_1 D_1]$$

$$F_{UU,L}^{\sin(\phi_h - \phi_S)} = 0$$

$$F_{UU,L} = \mathcal{O}(M^2/Q^2, P_{hT}^2/Q^2) = 0$$

# Extraction of Sivers Function



SIDIS

$$A_{UT}^{\sin(\phi_h - \phi_S)} \equiv \langle \sin(\phi_h - \phi_S) \rangle \sim \frac{f_{1T}^\perp \otimes D_1^{a \rightarrow h}}{f_1^a \otimes D_1^{a \rightarrow h}}$$

universality

first Sivers extraction with unpolarised TMDs extracted from data

# TMDs in coordinate space

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TMD  
Fragmentation Function

$$F_{UU,T}(x, z, \mathbf{P}_{hT}^2, Q^2) \approx \sum_a x \int d^2\mathbf{k}_\perp d^2\mathbf{P}_\perp f_1^a(x, \mathbf{k}_\perp^2; Q^2) D_1^{a \rightarrow h}(z, \mathbf{P}_\perp^2; Q^2) \times \delta^2(z\mathbf{k}_\perp - \mathbf{P}_{hT} + \mathbf{P}_\perp)$$

TMD Parton  
Distribution Function

Parametrization defined through previous **global fit**

# Global fit of unpolarized TMDs

	Framework	HERMES	COMPASS	DY	Z production	N of points
Pavia 2017 (+ JLab)	LO-NLL	✓	✓	✓	✓	8059

published in  
[ JHEP06(2017)081 ]

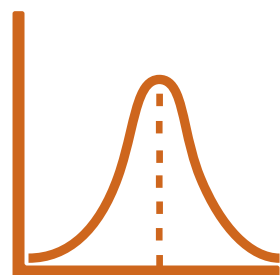
## Summary of results

Total number of data points: **8059**

Total number of free parameters: **11**

→ 4 for TMD PDFs → 6 for TMD FFs

→ 1 for TMD evolution



$$\chi^2 / d.o.f. = 1.55 \pm 0.05$$

# Evolved TMDs

## Fourier transform: $\xi_T$ space

alternative notation:  
 $b_T$

$$\tilde{f}_1^a(x, \xi_T; \mu^2) =$$

$$= \sum_i \left( \tilde{C}_{a/i} \otimes f_1^i \right) (x, \bar{\xi}_*; \mu_b) e^{\tilde{S}(\bar{\xi}_*; \mu_b, \mu)} e^{g_K(\xi_T) \ln(\mu/\mu_0)} \hat{f}_{NP}^a(x, \xi_T)$$

collinear PDF

(Wilson  
Coefficient)

pQCD

(Sudakov  
form factor)

nonperturbative part  
of evolution

nonperturbative part  
of TMD

Non-perturbative contributions have to be **extracted** from experimental data, after **parametrization**

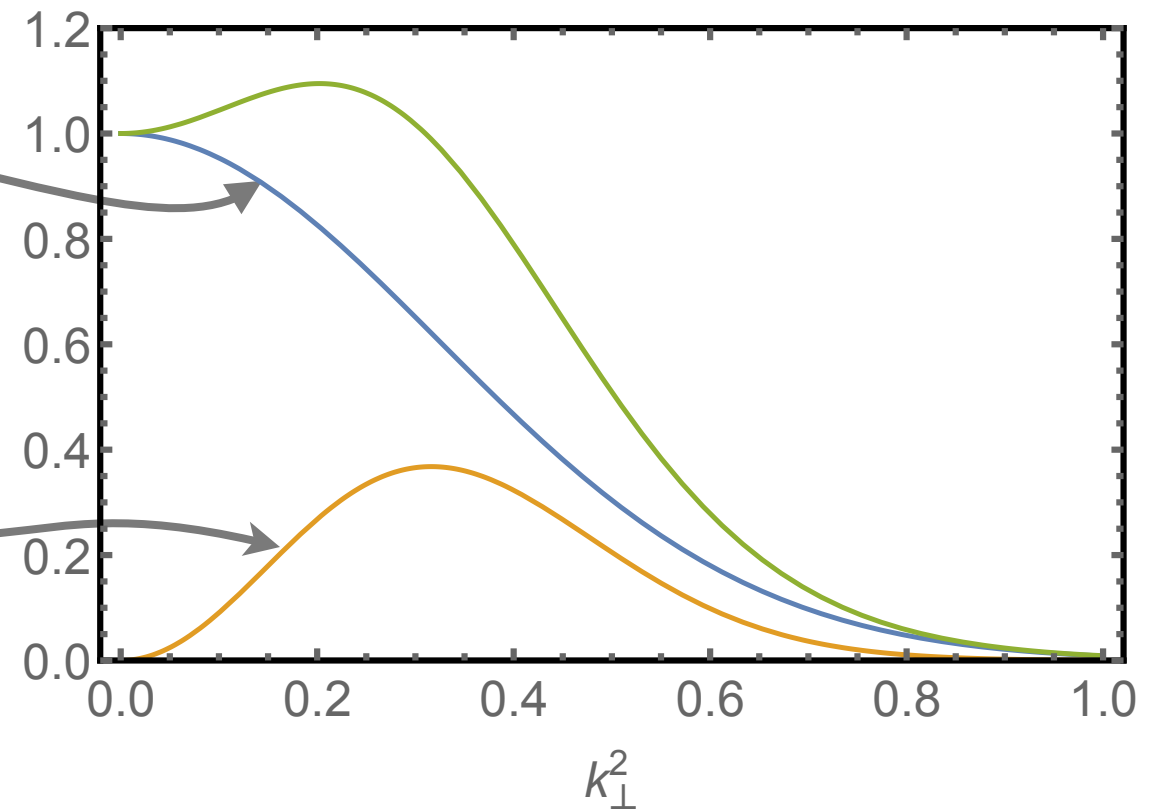


# Model: non perturbative elements

input TMD PDF @  $Q^2=1\text{GeV}^2$

$\tilde{f}_{NP}^a = \mathcal{F.T.}$  of

$$\left( \underbrace{\exp\left(\frac{-k_{\perp}^2}{g_1}\right)}_{\text{blue}} + \lambda k_{\perp} \underbrace{\exp\left(\frac{-k_{\perp}^2}{g_1}\right)}_{\text{orange}} \right)$$



sum of **two different gaussians**  
dependent on **transverse momenta**

$$g_1(x) = N_1 \frac{(1-x)^\alpha x^\sigma}{(1-\hat{x})^\alpha \hat{x}^\sigma}$$

where

$$N_1 \equiv g_1(\hat{x})$$

$$\hat{x} = 0.1$$

for the **FF** we use two different variances:

$$g_3(z), g_4(z)$$

# Sivers in coordinate space

$\xi_T$  space

to apply  
CSS formalism for evolution

Sivers distribution function

$$\tilde{f}_{1T}^{\perp(n)a}(x, \xi_T^2; Q^2) = n! \left( -\frac{2}{M^2} \partial_{\xi_T^2} \right)^n \tilde{f}_{1T}^{\perp a}(x, \xi_T^2; Q^2) = \frac{n!}{(M^2)^n} \int_0^\infty d|\mathbf{k}_\perp| |\mathbf{k}_\perp| \left( \frac{|\mathbf{k}_\perp|}{\xi_T} \right)^n J_n(\xi_T |\mathbf{k}_\perp|) \tilde{f}_{1T}^{\perp a}(x, \xi_T^2; Q^2)$$

first moment

$$\tilde{f}_{1T}^{\perp(1)a}(x, \xi_T^2; Q^2) = \frac{1}{M^2} \int_0^\infty d|\mathbf{k}_\perp| |\mathbf{k}_\perp| \left( \frac{|\mathbf{k}_\perp|}{\xi_T} \right) J_1(\xi_T |\mathbf{k}_\perp|) \tilde{f}_{1T}^{\perp a}(x, \xi_T^2; Q^2)$$

# Parametrization of Sivers function

Sivers function can be parametrized in terms of its first moment

$$f_{1T}^\perp(x, k_\perp^2) = f_{1T}^{\perp(1)}(x) \underline{f_{1TNP}^\perp(x, k_\perp^2)}$$

Its nonperturbative part is arbitrary, but constrained by the positivity bound.

$$\underline{f_{1TNP}^\perp(x, k_\perp^2)} = \frac{1}{\pi K_f} \frac{1}{F_{max}} \frac{(1 + \lambda_S k_\perp^2)}{(M_1^2 + \lambda_S M_1^4)} e^{-k_\perp^2/M_1^2} \underline{f_{1NP}(x, k_\perp^2)}$$

following the definition to the nonperturbative part of the unpolarized TMD distribution

$$\underline{f_{1NP}(x, k_\perp^2)} = \frac{1}{\pi} \frac{(1 + \lambda k_\perp^2)}{(g_{1a} + \lambda g_{1a}^2)} e^{-k_\perp^2/g_{1a}}$$

Free parameters  $\lambda_S, M_1$

# Parametrization of Sivers function

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normalization factor  $K_f \equiv \int d^2 k_\perp \frac{k_\perp^2}{2M^2} f_{1TNP}^\perp$

following the definition to the nonperturbative part of the unpolarized TMD distribution

$$\underline{f_{1NP}^\perp(x, k_\perp^2)} = \frac{1}{\pi} \frac{(1 + \lambda k_\perp^2)}{(g_{1a} + \lambda g_{1a}^2)} e^{-k_\perp^2/g_{1a}}$$

Free parameters  $\lambda_S, M_1$

# Parametrization of Sivers function

$$f_{1T}^{\perp(1)}(x) = \frac{N_{Siv}^a}{G_{max}^a} x^{\alpha_a} (1-x)^{\beta_a} (1 + A_a T_1(x) + B_a T_2(x)) f_1(x, Q^2)$$

normalization  
(abs.value <1)

$T_n(x)$  Chebyshev polynomials

maximum value  
of the function

[Radici \[Phys. Rev. Lett., 120\(19\):192001, 2018\]](#)

Free parameters  $N_{Siv}^a, \alpha_a, \beta_a, A_a, B_a$

Flavor dependent: distinct for up, down, sea

# Evolution of Sivers

We simply assume that  $f_{1T}^{\perp(1)}$  evolves in the same way as unpolarized  $f_1$

Difference in the Wilson coefficients:  $C^i \rightarrow C^{Siv}$

At our accuracy level (LO):  $C^{Siv(0)} = \delta(1-x)\delta^{ai}$

The **evolved Sivers function** first moment becomes

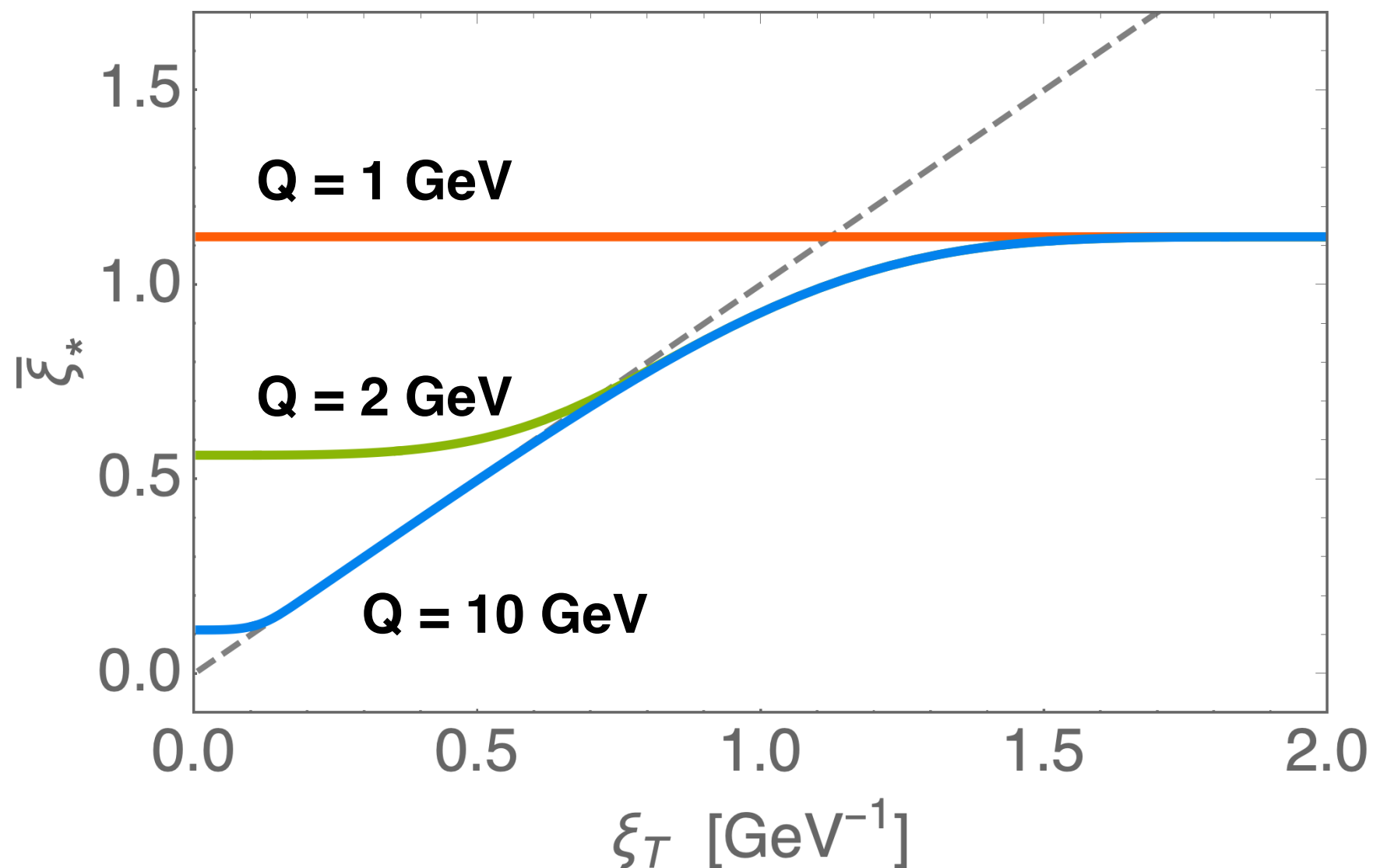
$$\tilde{f}_{1T}^{\perp(1)a}(x, \xi_T^2; Q^2) = f_1^a(x; \mu_b^2) e^{S(\mu_b^2, Q^2)} e^{g_K(\xi_T) \ln(Q^2/Q_0^2)} \tilde{f}_{1TNP}^{\perp(1)a}(x, \xi_T^2)$$

same choices used for evolved unpolarized TMDs

# Evolution and $\xi_T$ regions

$$\mu_b = 2e^{-\gamma_E} / \bar{\xi}_*$$

$$\bar{\xi}_* (\xi_T, \xi_{min}, \xi_{max}) = \xi_{max} \left[ \frac{1 - \exp(\xi_T^4 / \xi_{max}^4)}{1 - \exp(\xi_T^4 / \xi_{min}^4)} \right]^{1/4}$$

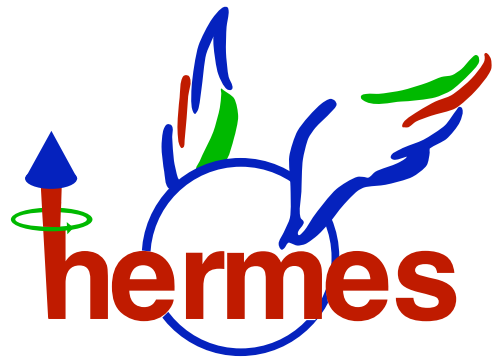


$$\xi_{max} = 2e^{-\gamma_E}$$

$$\xi_{min} = 2e^{-\gamma_E} / Q$$

# Experimental data

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proton [H]

**95**  
data points



neutron [ $^3\text{He}$ ]

**6**  
data points



deuteron [ $^6\text{LiD}$ ]

**88**  
data points



Proton [ $\text{NH}_3$ ]

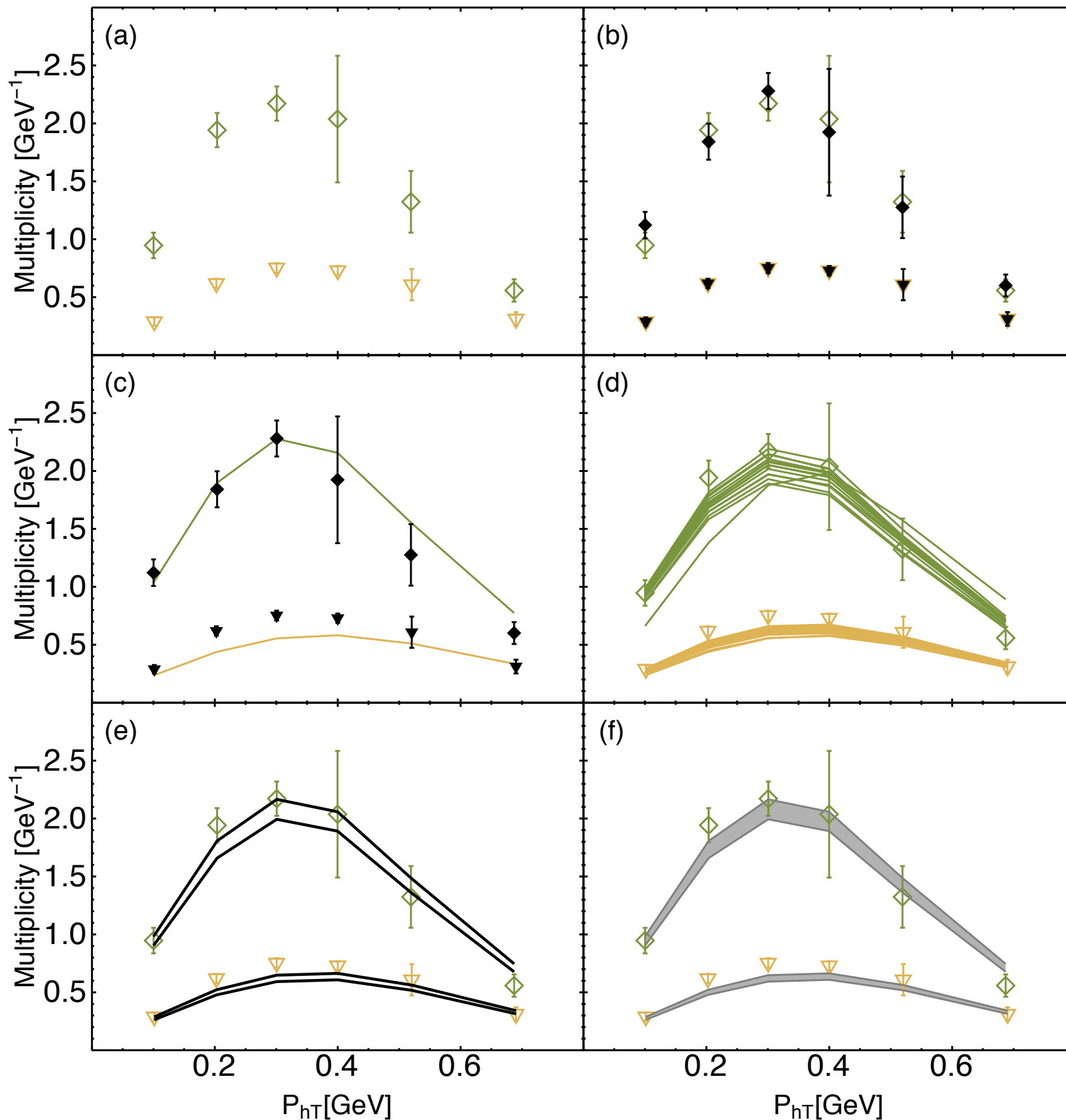
**111**  
data points

Same kinematic cuts applied to unpolarized

  $x, z, P_{hT}$  data projections



# Replica Methodology



a) Example of original data (two bins)

b) Data are replicated with Gaussian noise

c) The fit is performed on the replicated data

d) The procedure is repeated 200 times

e) For each point a 68% confidence level is identified

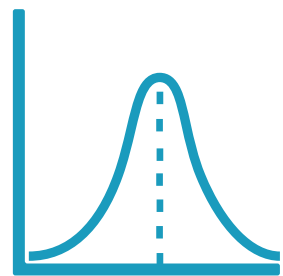
f) These point connects to create a 68% C.L. band

## Summary of results

Total number of data points: **118**

Total number of free parameters: **14**

→ for 3 different flavors



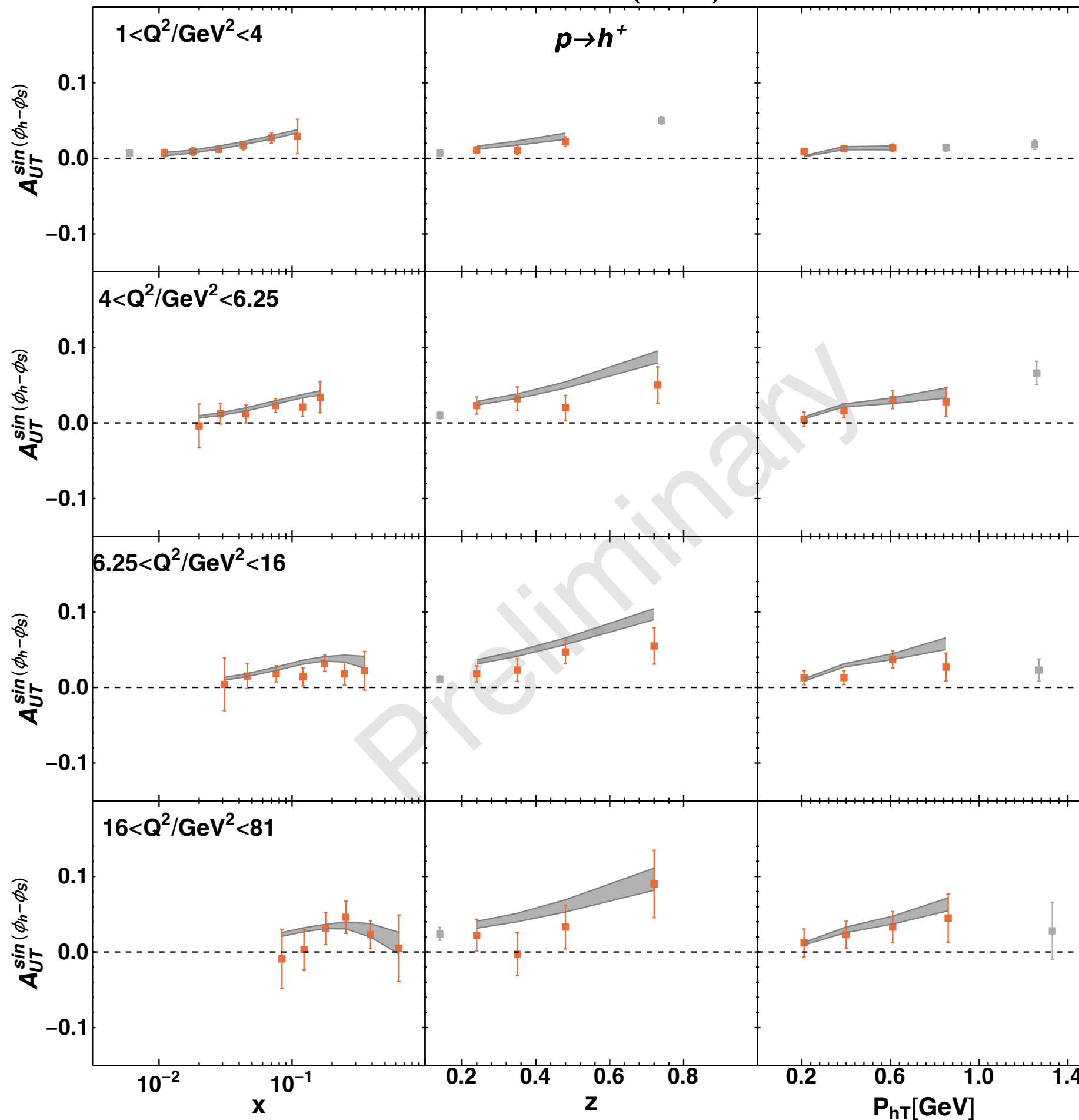
$$\chi^2/d.o.f = 1.22 \pm 0.20$$

# COMPASS (2017)

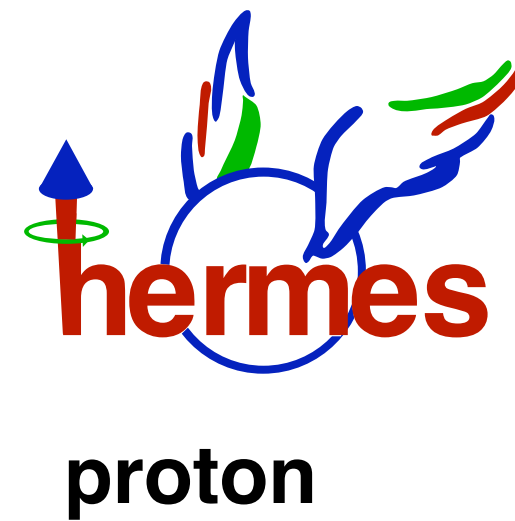
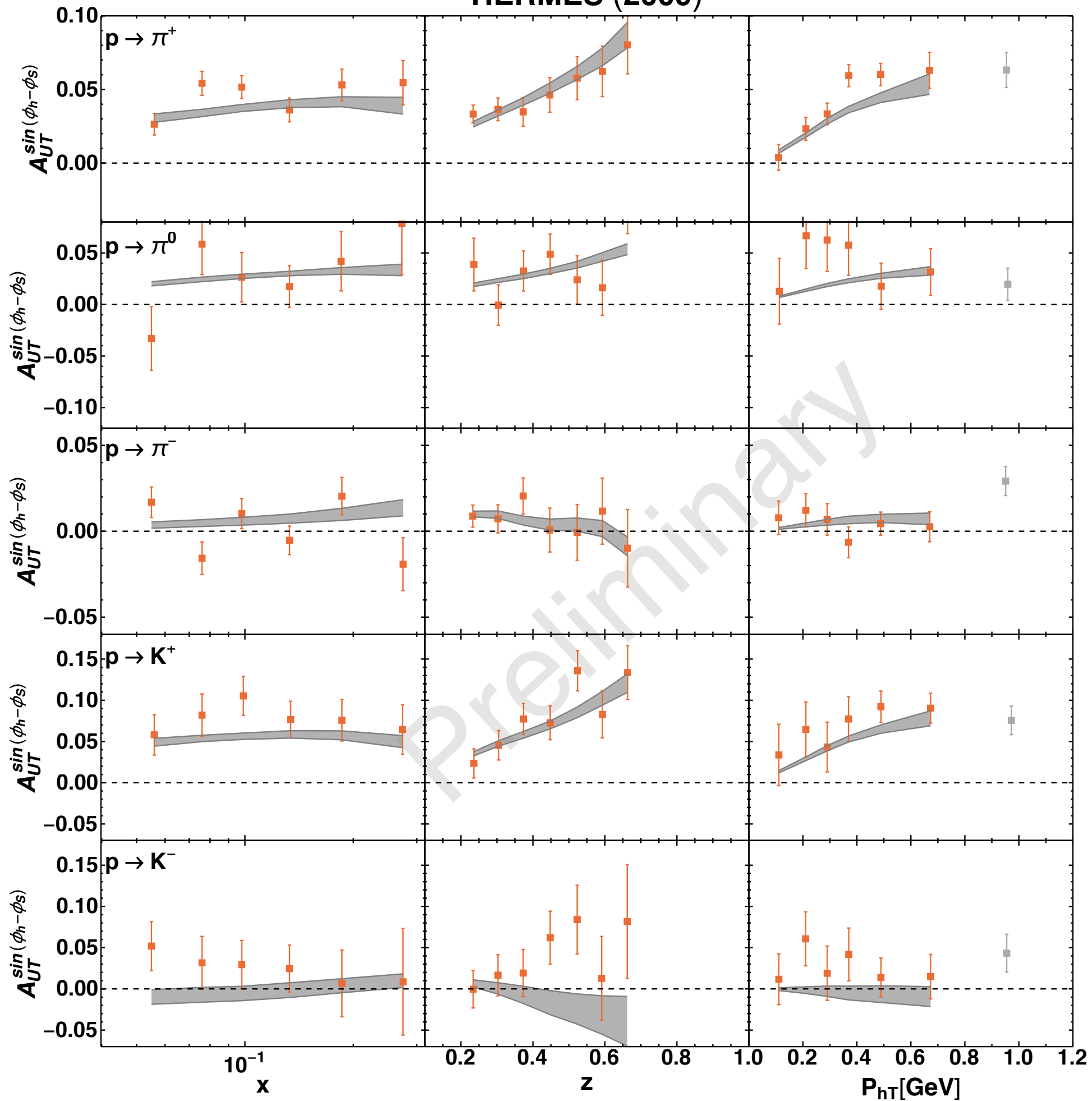


**proton**

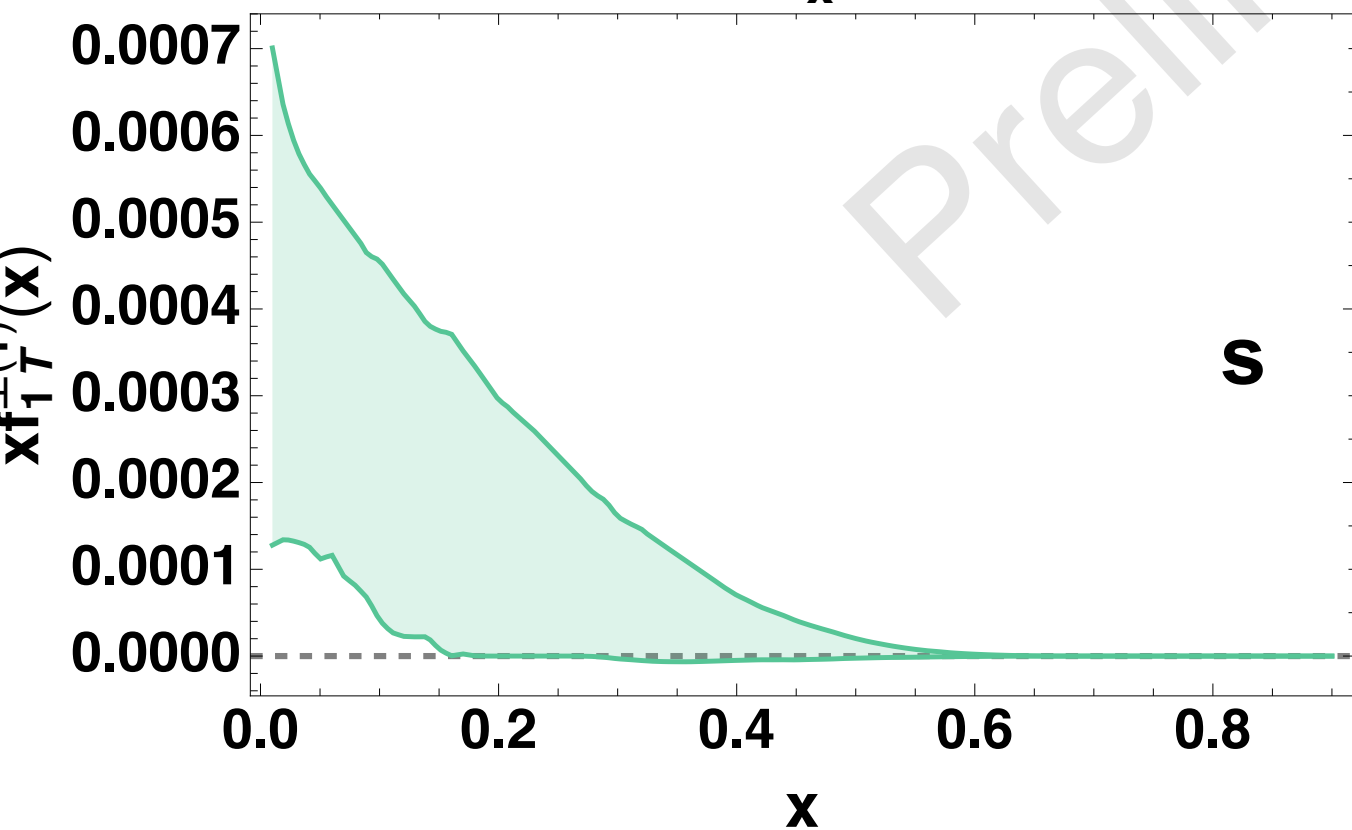
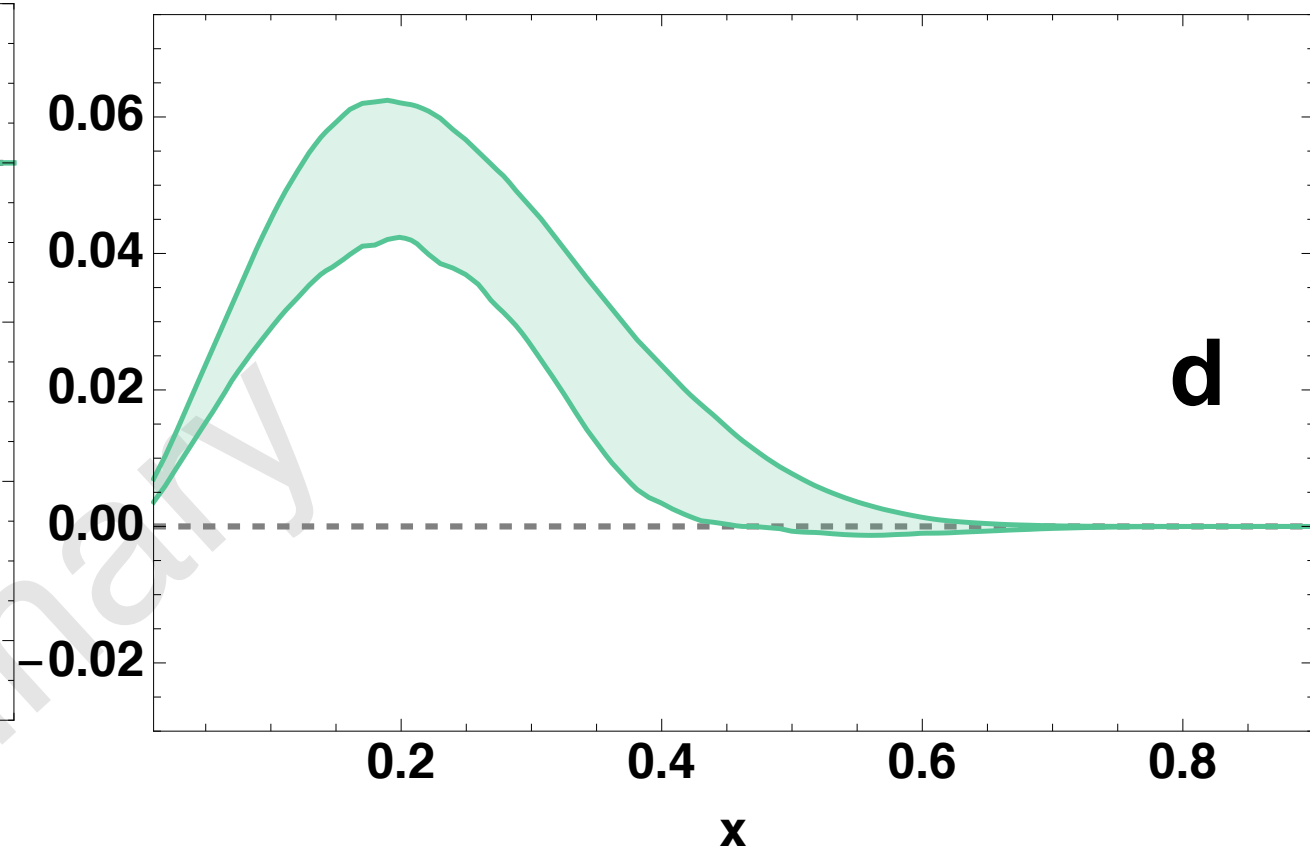
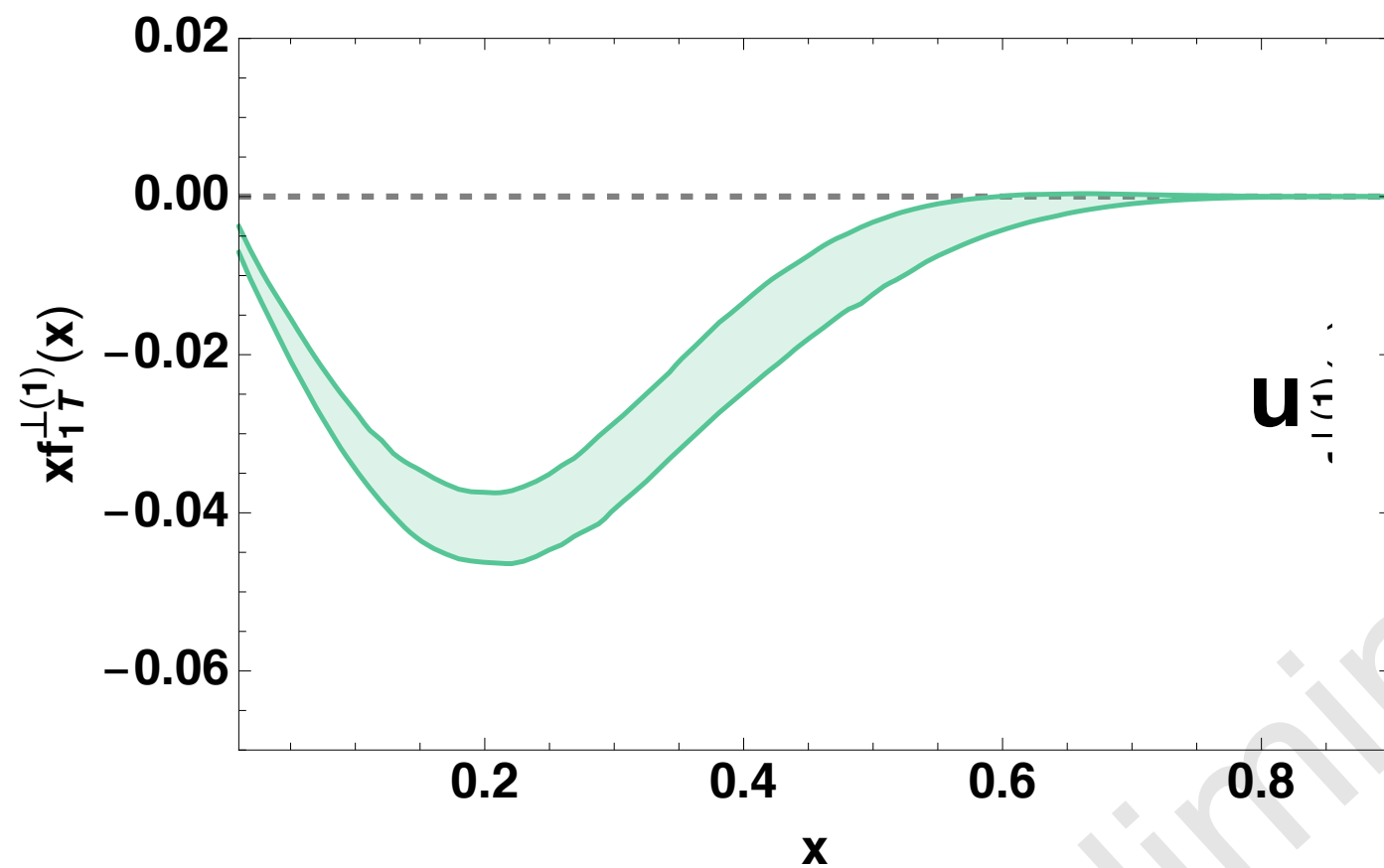
**positive  
hadron**



# HERMES (2009)

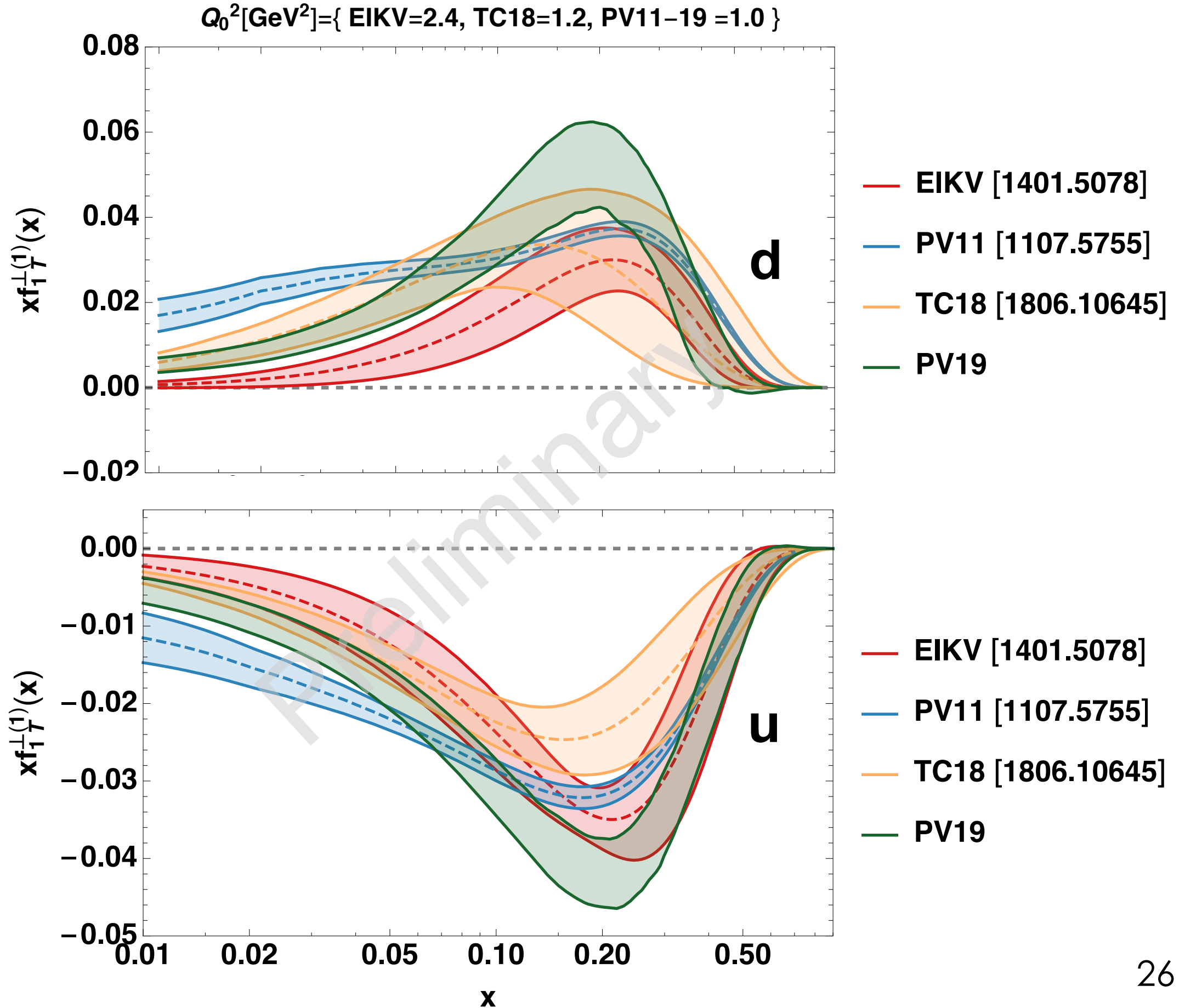


# Sivers function first moment

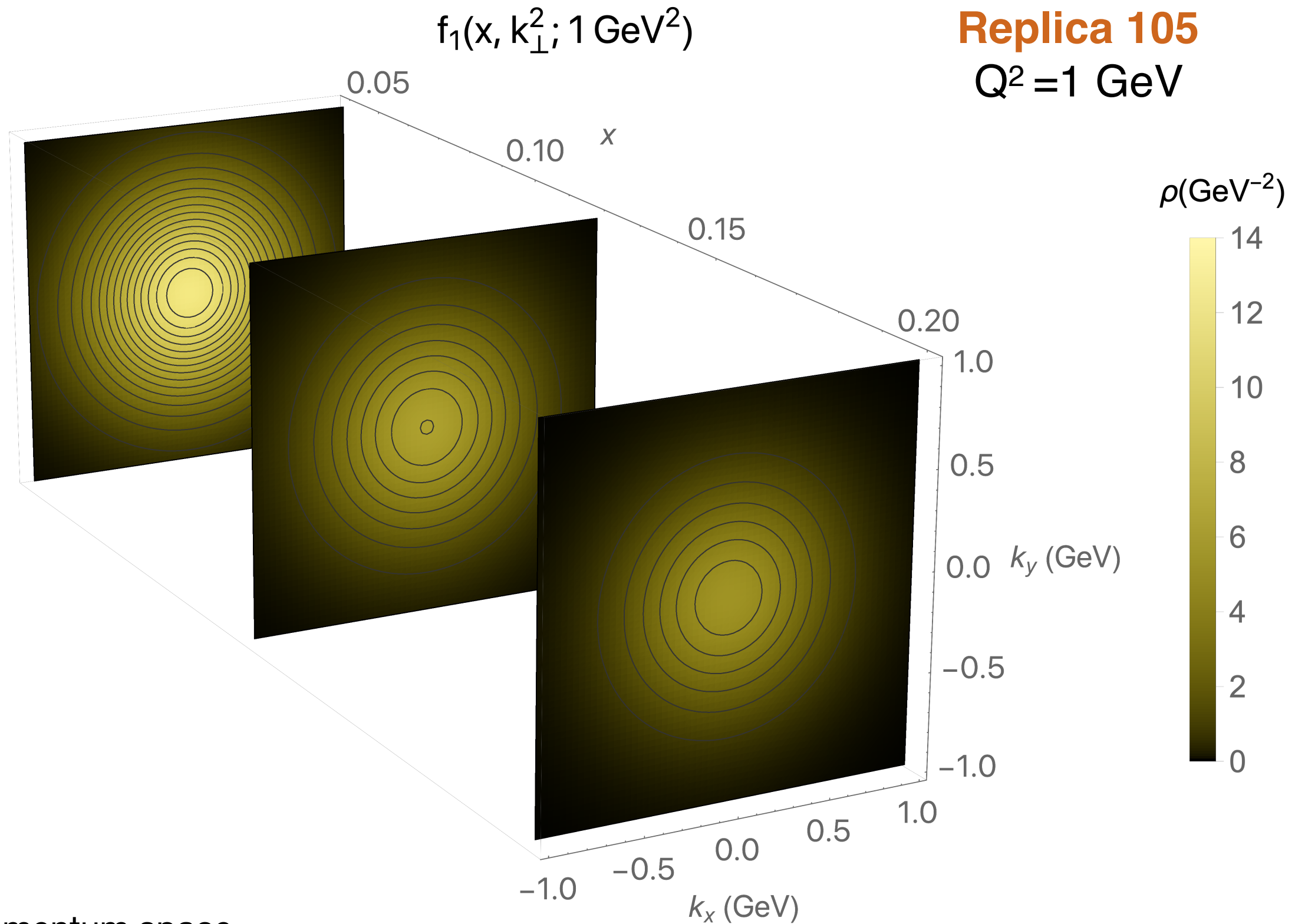


logarithmic plots of 68% C.L bands for first moment of Sivers function for down, up and s quarks

# Results comparison



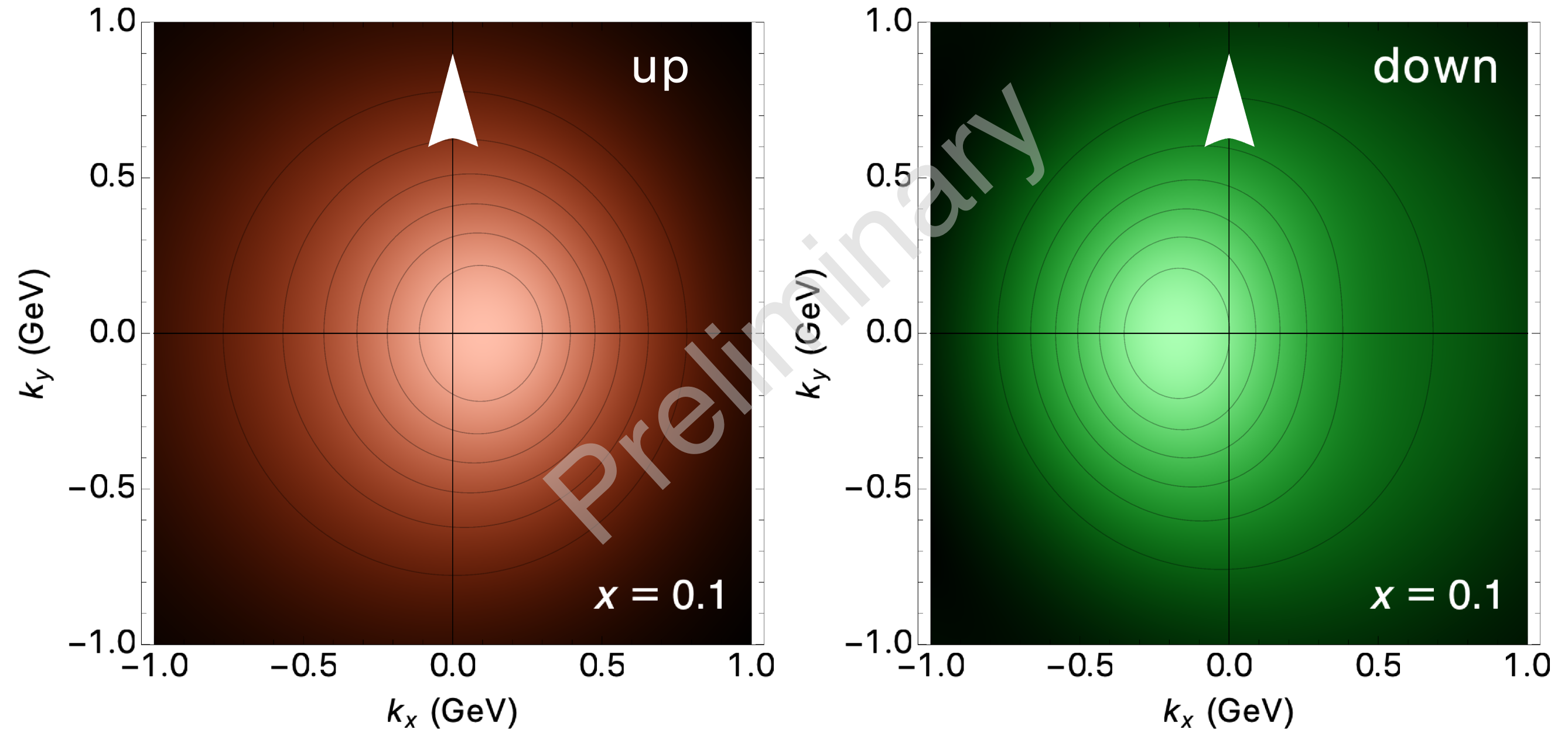
# Visualization of TMDs: PDF 3D structure



Momentum space



# Visualization of TMDs: structure deformation



$$xf_1(x, k_\perp^2; Q^2) - xf_{1T}^\perp(x, k_\perp^2; Q^2)$$



# Conclusions

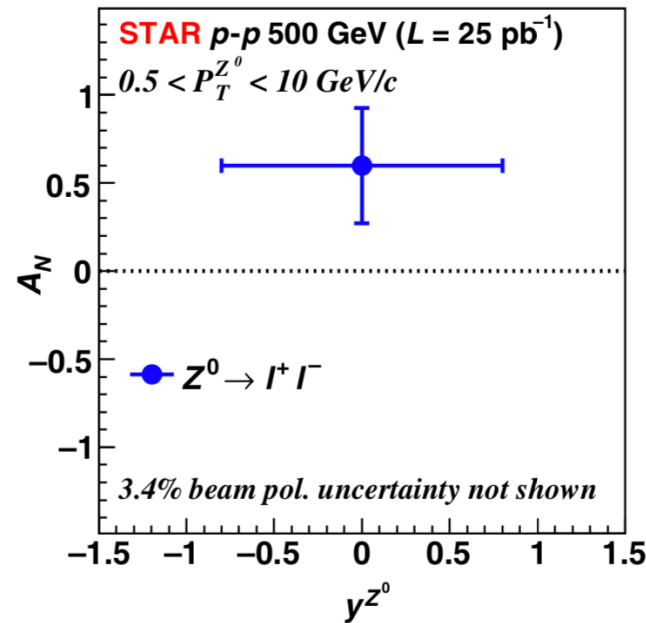
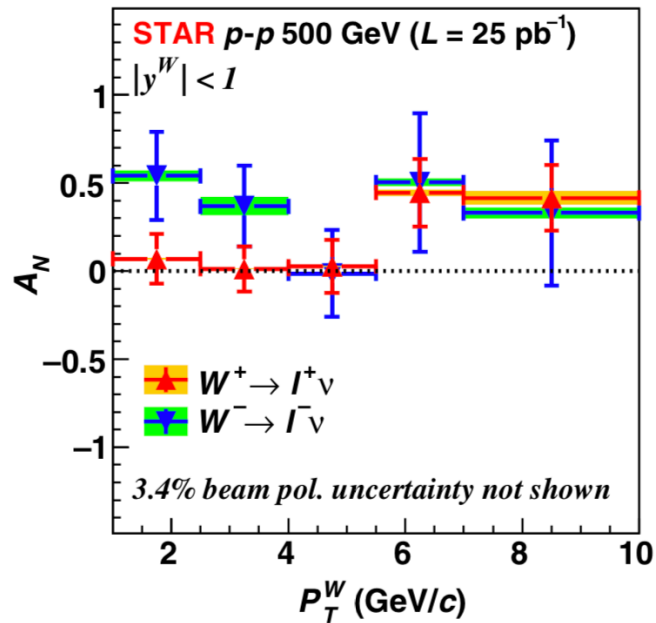
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We extracted a **functional form** for Sivers distribution function, able to describe SIDIS data, even for different projections

For the first time the determination of  $A_{UT}$  included **unpolarized TMDs** extracted directly from data. Moreover, the analysis included the full formalism for **QCD evolution**

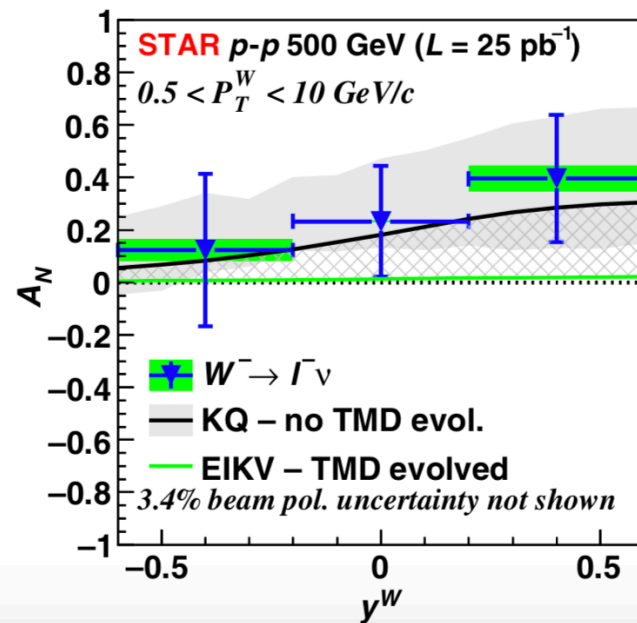
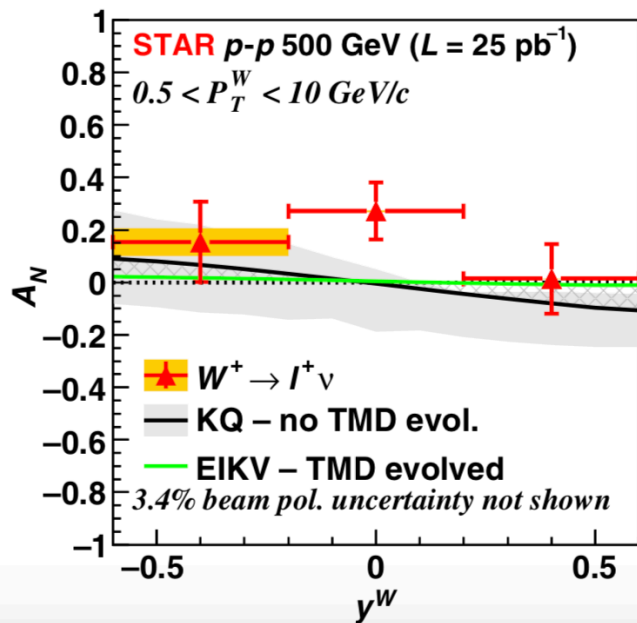
We are able to observe a **deformation** of the internal nucleon structure using our parametrization.

# Future outlooks: Sivers



**Anomalous magnetic moment**  
 (testing Pavia2011 hypothesis)

$$J^a(Q^2) = \frac{1}{2} \int_0^1 dx x [H^a(x, 0, 0; Q^2) + E^a(x, 0, 0; Q^2)].$$



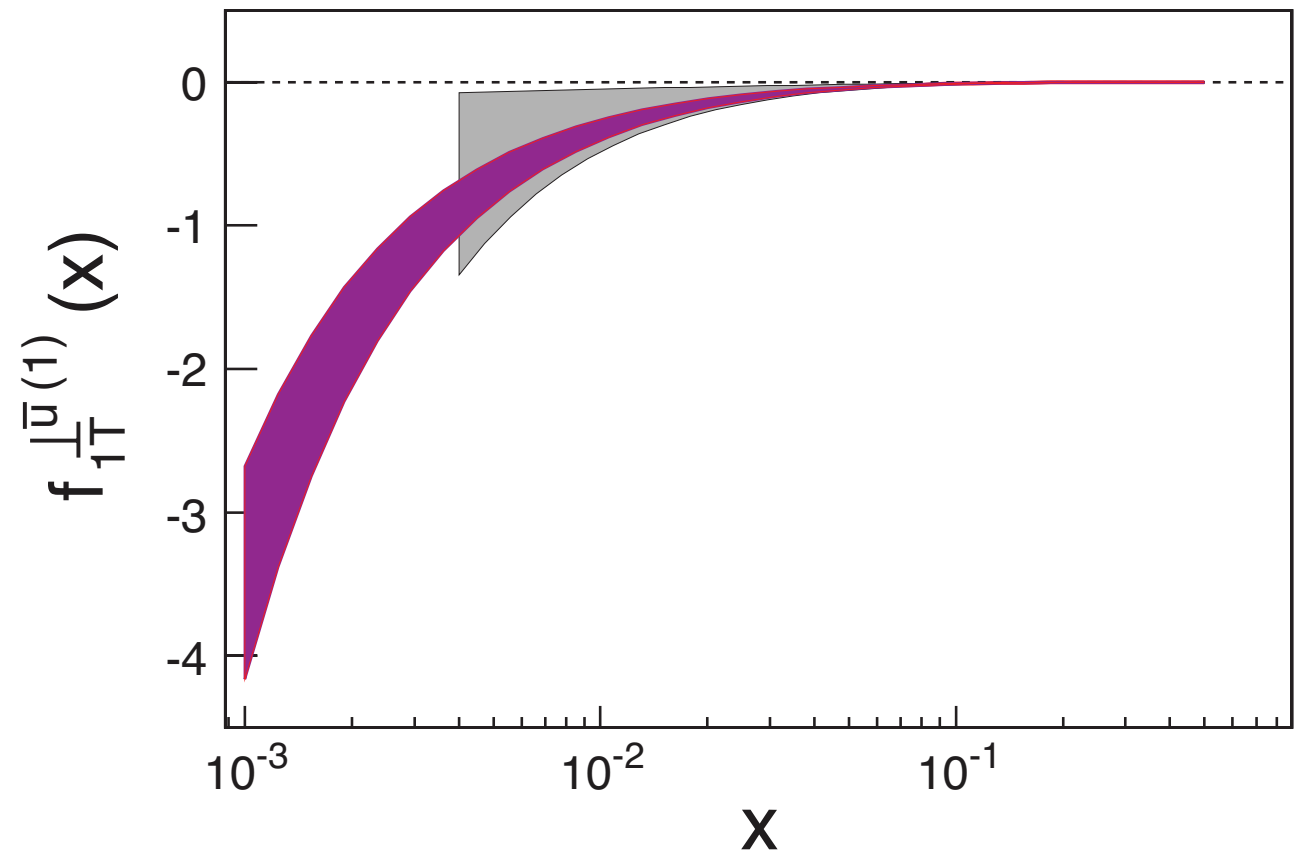
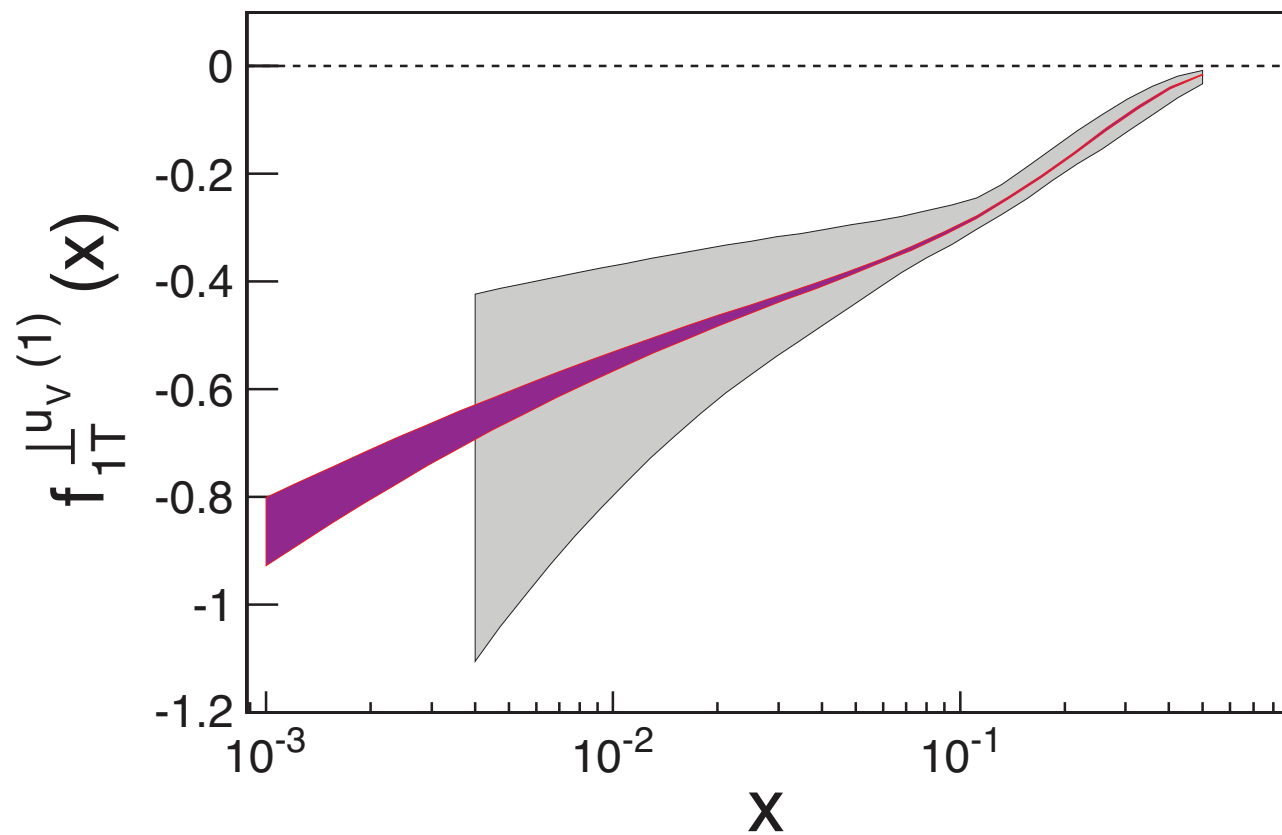
**Higher accuracy**  
 (after unpol. TMD improved fit)



Predictions of  
 $A_N$  asymmetries  
 for W/Z production

# Long term outlooks

Current knowledge of Sivers function (both valence and sea quarks) can be greatly improved thanks to the high luminosity measurements at EIC





# Results comparison: Pavia 2011

## Constraining Quark Angular Momentum through Semi-Inclusive Measurements

Angular momentum

$$J^a(Q^2) = \frac{1}{2} \int_0^1 dx x [H^a(x, 0, 0; Q^2) + E^a(x, 0, 0; Q^2)].$$

GPD

$$f_1^a(x, Q^2)$$

no corresponding collinear pdf

$$\sum_q e_{q_v} \int_0^1 dx E^{q_v}(x, 0, 0) = \kappa,$$



# Results comparison: Pavia 2011

## Constraining Quark Angular Momentum through Semi-Inclusive Measurements

Angular momentum

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GPD

$$f_1^a(x, Q^2)$$

no corresponding collinear pdf

$$\sum_q e_{q_v} \int_0^1 dx E^{q_v}(x, 0, 0) = \kappa,$$

..from theoretical consideration and spectator model results:

$$\rightarrow f_{1T}^{\perp(0)a}(x; Q_L^2) = -L(x)E^a(x, 0, 0; Q_L^2),$$

Lensing function

$$L(x) = \frac{K}{(1-x)^\eta}$$

# Results comparison: Pavia 2011

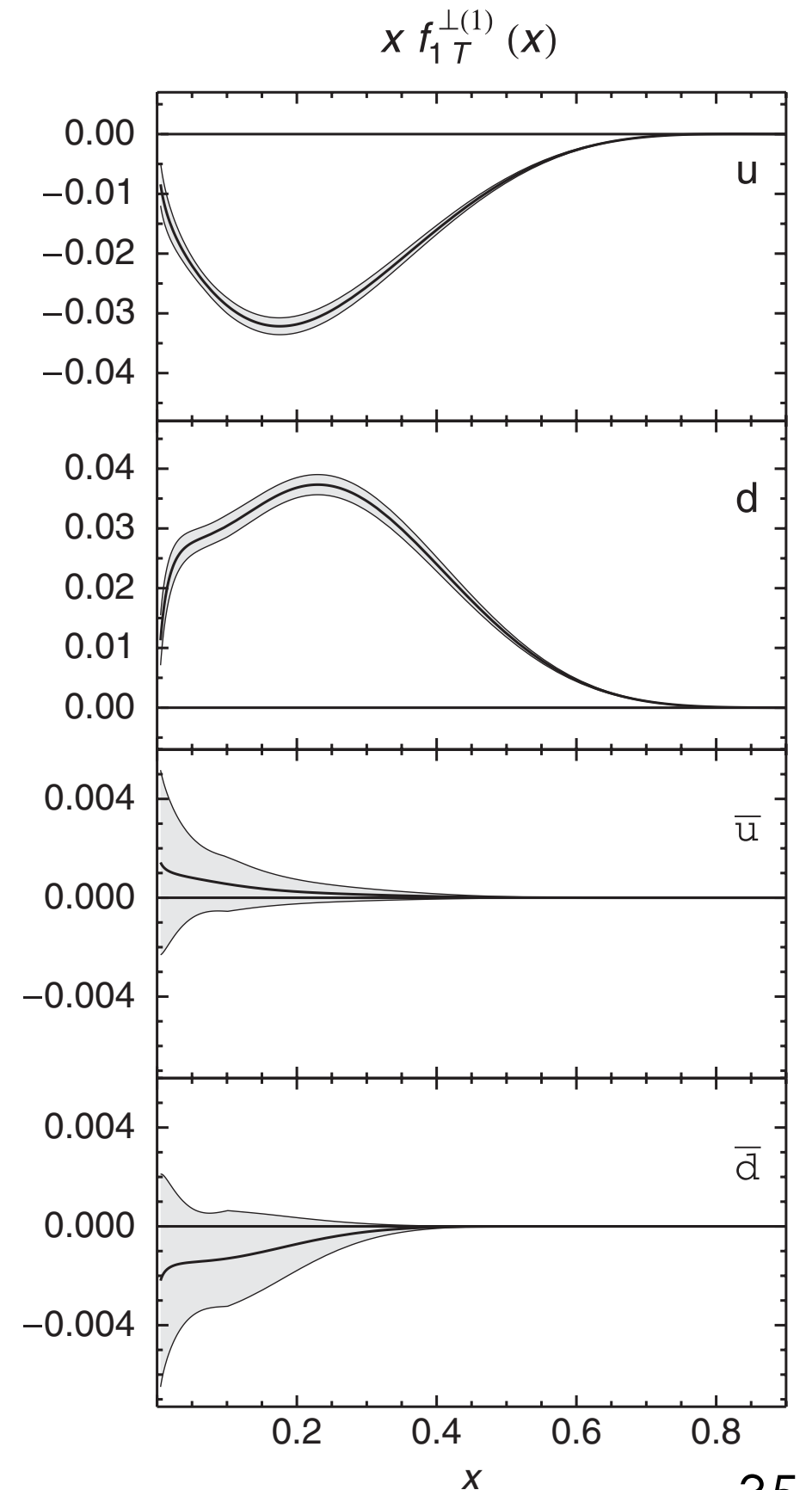
## Azimuthal asymmetries

$$\begin{aligned}
 & A_{UT}^{\sin(\phi_h - \phi_s)}(x, z, P_T^2, Q^2) \\
 &= -\frac{M_1^2(M_1^2 + \langle k_\perp^2 \rangle)}{\langle P_{\text{Siv}}^2 \rangle^2} \frac{z P_T}{M} \left( z^2 + \frac{\langle P_\perp^2 \rangle}{\langle k_\perp^2 \rangle} \right)^3 e^{-z^2 P_T^2 / \langle P_{\text{Siv}}^2 \rangle} \\
 &\quad \times \frac{\sum_a e_a^2 f_{1T}^{\perp(0)a}(x; Q^2) D_1^a(z; Q^2)}{\sum_a e_a^2 f_1^a(x; Q^2) D_1^a(z; Q^2)},
 \end{aligned}$$

## Hermes, Compass, Jlab data

TABLE I. Best-fit values of the 8 free parameters for the case  $C^{s_v} = C^{\bar{s}} = 0$ . The final  $\chi^2/\text{d.o.f.}$  is 1.323. The errors are statistical and correspond to  $\Delta\chi^2 = 1$

$C^{u_v}$	$C^{d_v}$	$C^{\bar{u}}$	$C^{\bar{d}}$
$-0.229 \pm 0.002$	$1.591 \pm 0.009$	$0.054 \pm 0.107$	$-0.083 \pm 0.122$
$M_1$ (GeV)	$K$ (GeV)	$\eta$	$\alpha^{u_v}$
$0.346 \pm 0.015$	$1.888 \pm 0.009$	$0.392 \pm 0.040$	$0.783 \pm 0.001$

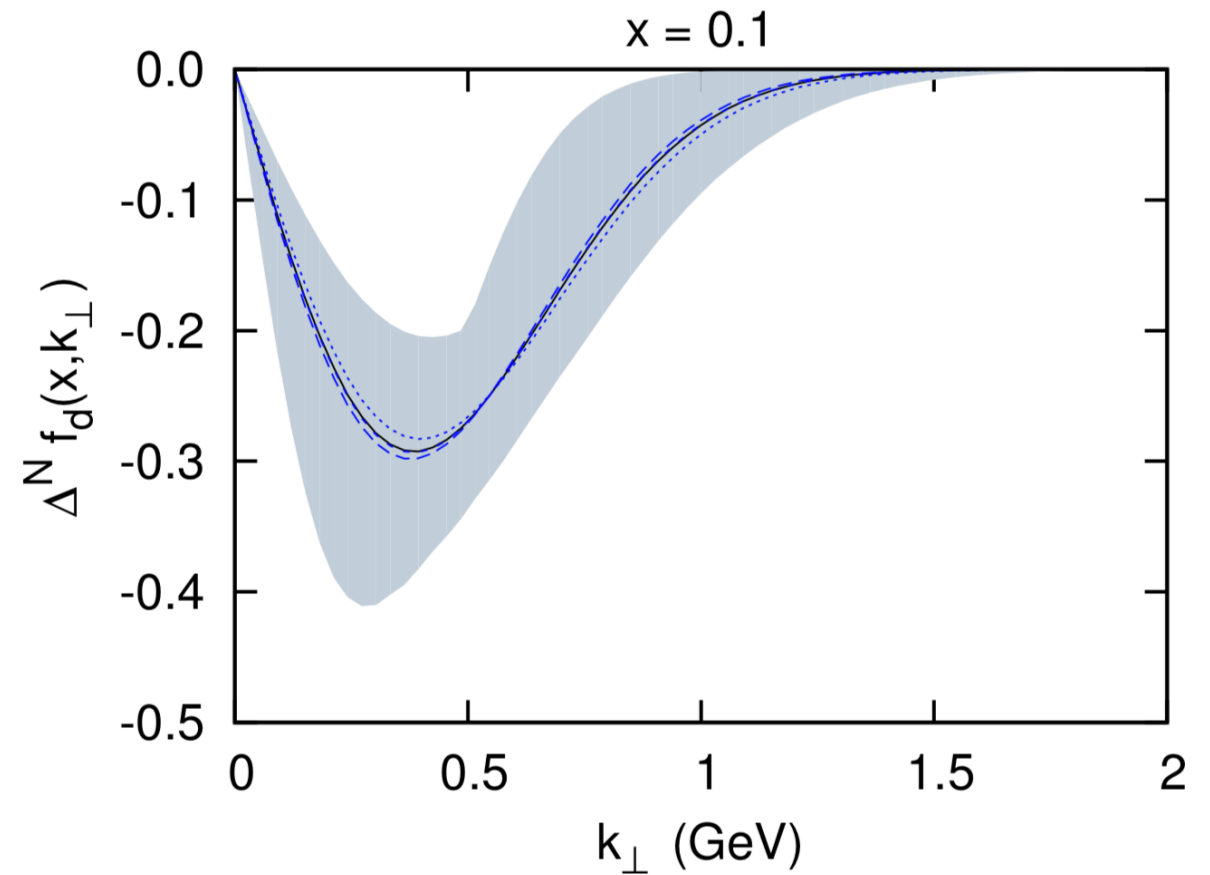
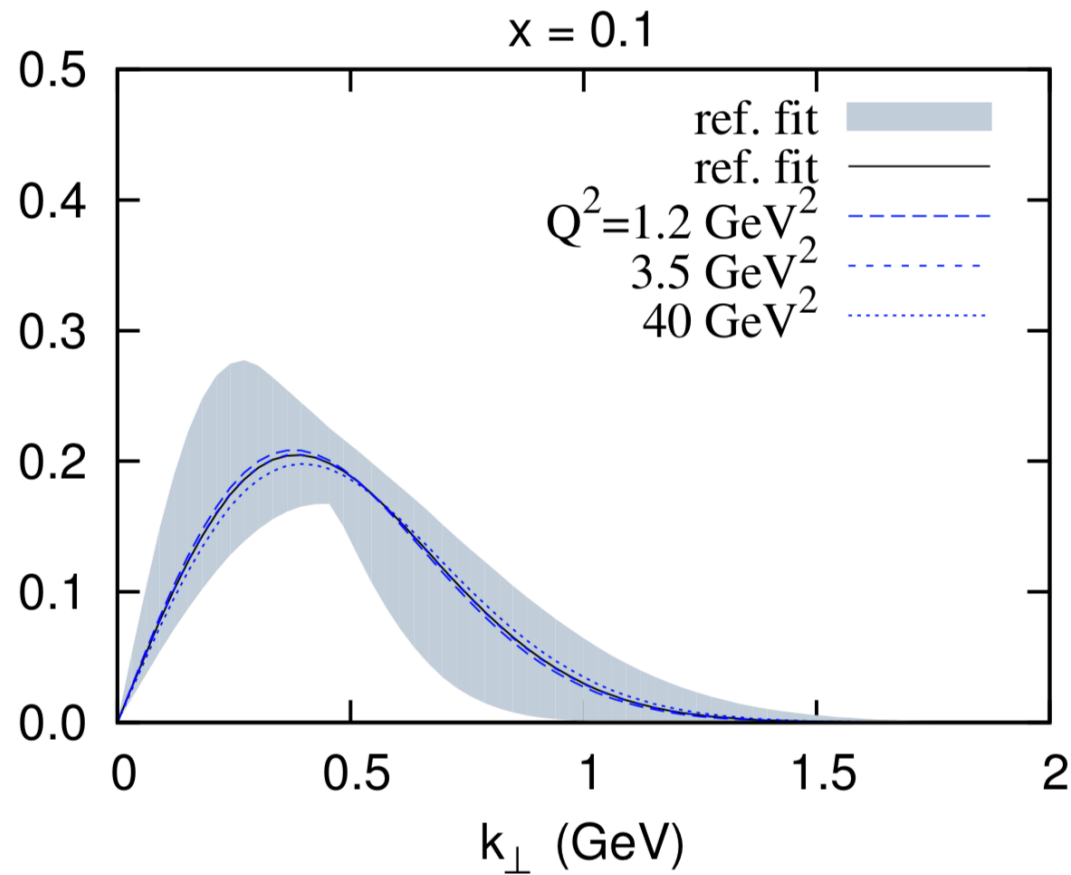


# Results comparison: TO - CA group

Same selection of data, considering all projections

$$A_{UT}^{\sin(\phi_h - \phi_S)}$$

3 cases for evolution: no evolution, collinear twist-3, TMD-like evolution



$$\chi^2/dof \sim 0.94$$



# Results comparison: EIKV

Global fit of the HERMES, COMPASS and JLab experimental data on polarized reactions to extract the Sivers functions.

→Hermes, Compass, Jlab data

→using CSS evolution

→relating the first moment of the Sivers function to the twist-three **Qiu-Sterman** quark-gluon correlation function

$$f_{1T,SIDIS}^{\perp q(\alpha)}(x, b; Q) = \left(\frac{ib^\alpha}{2}\right) T_{q,F}(x, x, c/b_*) \exp \left\{ - \int_{c/b_*}^Q \frac{d\mu}{\mu} \left( A \ln \frac{Q^2}{\mu^2} + B \right) \right\} \\ \times \exp \left\{ -b^2 \left( g_1^{\text{sivers}} + \frac{g_2}{2} \ln \frac{Q}{Q_0} \right) \right\}$$

$$T_{q,F}(x, x, \mu) = N_q \frac{(\alpha_q + \beta_q)^{(\alpha_q + \beta_q)}}{\alpha_q^{\alpha_q} \beta_q^{\beta_q}} x^{\alpha_q} (1-x)^{\beta_q} f_{q/A}(x, \mu)$$

# Results comparison: EKV

$T_{qF}(x, x, \mu) \rightarrow$  “collinear counterpart” of the Sivers function

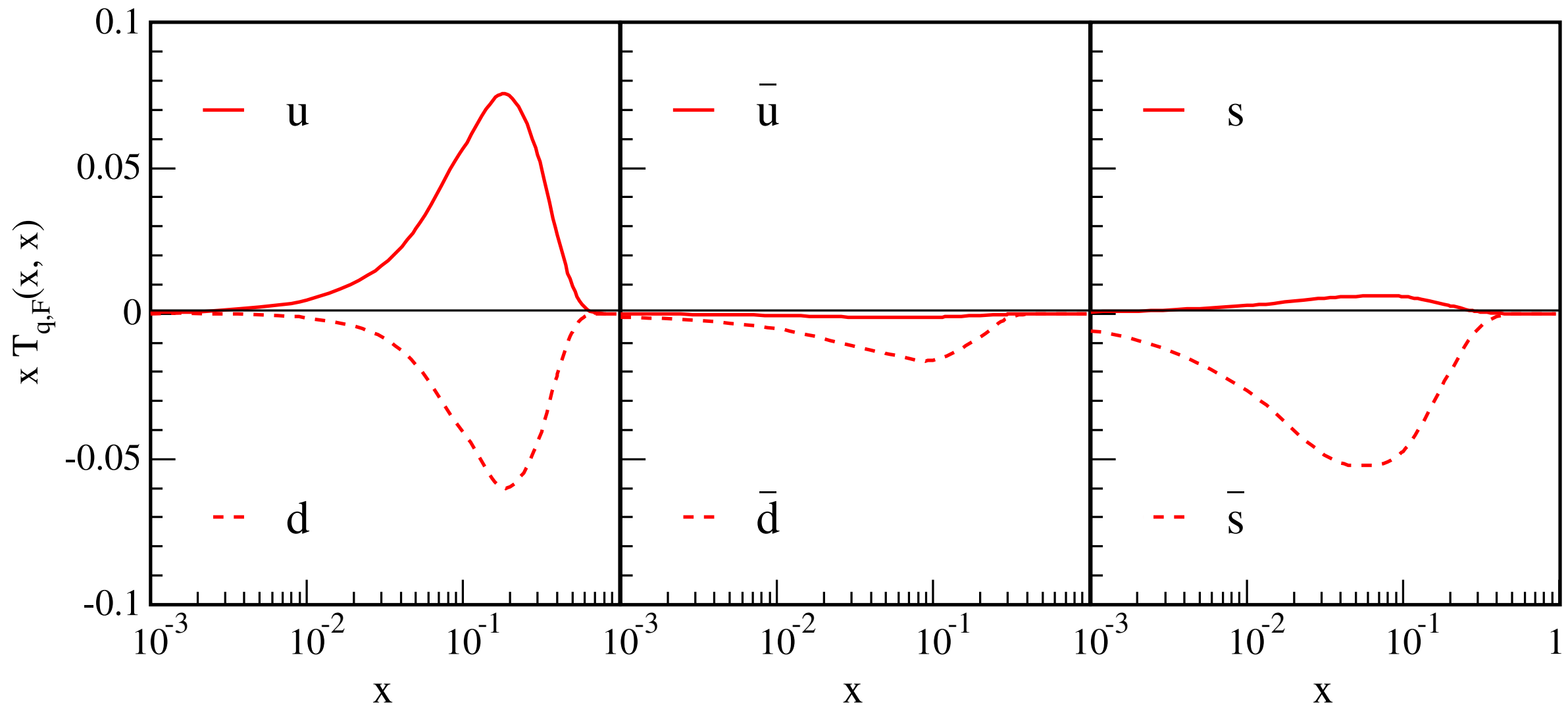


FIG. 11 (color online). Qiu-Sterman function  $T_{q,F}(x, x, Q)$  for  $u$ ,  $d$  and  $s$  flavors at a scale  $Q^2 = 2.4 \text{ GeV}^2$ , as extracted by our simultaneous fit of JLab, HERMES and COMPASS data.