

Gravitational form factors and their applications in hadronic physics

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Outline

- **Introduction**

- importance of energy momentum tensor (EMT)
 - D -term last unknown global property

- **What do we know from theory & experiment**

- Belle $\gamma\gamma^* \rightarrow \pi^0\pi^0$: π^0 D -term [Kumano et al](#)
 - DVCS nucleon: [Kumericki, Müller](#); [Burkert et al, Nature \(2018\)](#)

- **What do we learn about hadrons**

- 3D densities: valid for nucleon & nuclei (Breit frame) \rightarrow [PS](#)
 - mechanical properties of light mesons \rightarrow [Adam Freese](#)
 - other frames, 2D, higher spin \rightarrow [Cedric Lorcé](#)

- **Applications**

- insights in forces: stability
 - large- N_c baryons, hadrocharmonia \rightarrow [PS](#)
 - largest hadron: neutron star \rightarrow [Simonetta Liuti](#)

- **Outlook**

- based on: [M.V.Polyakov, PS, IJMPA 33, 1830025 \(2018\) arXiv:1805.06596](#)
(and many works before, and many after it!)

Introduction Energy momentum tensor (EMT)

- **theory** $\mathcal{L} = \mathcal{L}(\partial_\kappa \phi_i, \phi_i)$
- **canonical EMT**: Noether current of translations $\rightarrow \hat{T}_{\mu\nu} = \partial_\mu \phi_i \frac{\partial \mathcal{L}}{\partial(\partial^\nu \phi_i)} - g_{\mu\nu} \mathcal{L}$
- **symmetric EMT**: couple to classical (symm.) gravitational background metric field
- **EMT conservation**: $\partial^\mu \hat{T}_{\mu\nu} = 0$
- **Poincaré group generators**: $\hat{P}^\mu = \int d^3x \hat{T}^{0\mu}$, $\hat{M}^{\kappa\nu} = \int d^3x (x^\kappa \hat{T}^{0\nu} - x^\nu \hat{T}^{0\kappa})$
Poincaré algebra: $[\hat{P}^\mu, \hat{P}^\nu] = 0$, $[\hat{M}^{\mu\nu}, \hat{P}^\kappa] = i(g^{\mu\kappa} \hat{P}^\nu - g^{\nu\kappa} \hat{P}^\mu)$, $[\hat{M}^{\mu\nu}, \hat{M}^{\kappa\sigma}] = i(g^{\mu\kappa} \hat{M}^{\nu\sigma} - g^{\nu\kappa} \hat{M}^{\mu\sigma} - g^{\mu\sigma} \hat{M}^{\nu\kappa} + g^{\nu\sigma} \hat{M}^{\mu\kappa})$
- **Casimir operators**: $\hat{P}^\mu \hat{P}_\mu \rightarrow m^2$, $\hat{W}^\mu \hat{W}_\mu \rightarrow m^2 J(J+1)$ where $\hat{W}^\kappa = -\frac{1}{2} \varepsilon^{\kappa\mu\nu\sigma} \hat{M}_{\mu\nu} \hat{P}_\sigma \rightarrow$ **mass & spin**
- **in QCD** $\hat{T}_{\mu\nu} = \sum_q T_{\mu\nu}^q + \hat{T}_{\mu\nu}^g$ quark, gluon parts each gauge-invariant, but not conserved
- classically **scale transformations** $\rightarrow j^\mu = x_\nu \hat{T}^{\mu\nu}$ conserved $\partial_\mu j^\mu = \hat{T}_\mu^\mu = \sum_q m_q \bar{\psi}_q \psi_q$ for $m_q \rightarrow 0$
- quantum corrections: **trace anomaly** $\hat{T}_\mu^\mu \equiv \frac{\beta(g)}{2g} F^{a,\mu\nu} F^a_{\mu\nu} + (1 + \gamma_m) \sum_q m_q \bar{\psi}_q \psi_q$ in QED, QCD

S.L.Adler, J.C.Collins and A.Duncan, PRD **15**, 1712 (1977). N.K.Nielsen, NPB **120**, 212 (1977).
J.C.Collins, A.Duncan and S.D.Joglekar, PRD **16**, 438 (1977).

EMT form factors of nucleon (Kobzarev & Okun 1962, Pagels 1966)

$$\langle p' | \hat{T}_{\mu\nu}^a | p \rangle = \bar{u}(p') \left[A^a(t, \mu^2) \frac{P_\mu P_\nu}{M} + J^a(t, \mu^2) \frac{i(P_\mu \sigma_{\nu\rho} + P_\nu \sigma_{\mu\rho}) \Delta^\rho}{2M} + D^a(t, \mu^2) \frac{\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2}{4M} + \bar{c}^a(t, \mu^2) g_{\mu\nu} \right] u(p)$$

- conserved external current $\partial_\mu \hat{T}^{\mu\nu} = 0$, $\hat{T}_{\mu\nu} = \sum_a \hat{T}_{\mu\nu}^a$ ($a = q, g$)
- $A(t) = \sum_a A^a(t, \mu^2)$, $J(t)$, $D(t)$ scale invariant, $\sum_a \bar{c}^a(t, \mu^2) = 0$
- constraints: **mass** $\Leftrightarrow A(0) = 1 \Leftrightarrow$ quarks + gluons carry 100% of nucleon momentum
spin $\Leftrightarrow J(0) = \frac{1}{2} \Leftrightarrow$ quarks + gluons carry 100% of nucleon spin *
- D-term** $\Leftrightarrow D(0) \equiv D \rightarrow$ unconstrained! **Last global unknown!**

$$\begin{aligned} 2P &= (p' + p) & \text{notation: } A^q(t) + B^q(t) &= 2J^q(t) \\ \Delta &= (p' - p) & D^q(t) &= \frac{4}{5} d_1^q(t) = \frac{1}{4} C^q(t) \text{ or } C^q(t) \\ t &= \Delta^2 & A^q(t) &= M_2^q(t) \end{aligned}$$

* or: total anomalous gravitomagnetic moment vanishes (Gordon identity)

Studying hadrons:

$|N\rangle$ = **strong**-interaction particle. Use other forces to probe it!

em: $\partial_\mu J_{\text{em}}^\mu = 0$ $\langle N'|J_{\text{em}}^\mu|N\rangle \longrightarrow G_E(t), G_M(t) \longrightarrow Q, \mu, \dots$

weak: PCAC $\langle N'|J_{\text{weak}}^\mu|N\rangle \longrightarrow G_A(t), G_P(t) \longrightarrow g_A, g_p, \dots$

gravity: $\partial_\mu T_{\text{grav}}^{\mu\nu} = 0$ $\langle N'|T_{\text{grav}}^{\mu\nu}|N\rangle \longrightarrow A(t), J(t), D(t) \longrightarrow M, J, D, \dots$

global properties:

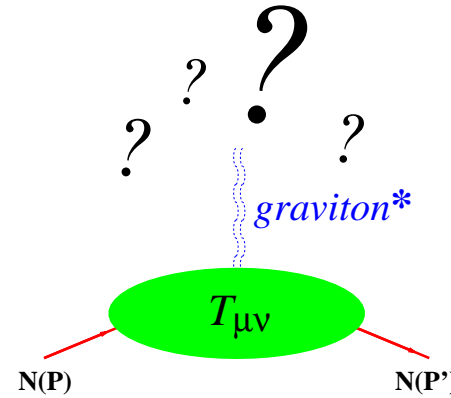
Q_{prot}	=	$1.602176487(40) \times 10^{-19} \text{C}$
μ_{prot}	=	$2.792847356(23) \mu_N$
g_A	=	$1.2694(28)$
g_p	=	$8.06(0.55)$
M	=	$938.272013(23) \text{MeV}$
J	=	$\frac{1}{2}$
D	=	?

and more:
t-dependence
 parton structure, etc

$\hookrightarrow D =$ "last" global unknown
 which value does it have?
 how can it be measured?
 what does it mean?

How to measure?

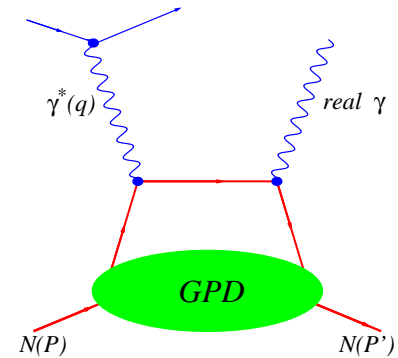
- direct probe:
graviton scattering (in principle)



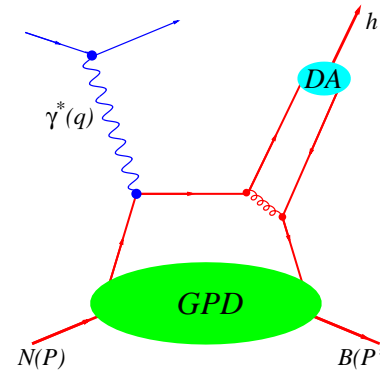
- indirect access through: **GPDs**
D.Müller, D.Robaschik, B.Geyer, F.-M.Dittes,
J.Hořejši, Fortsch. Phys. **42**, 101 (1994)

$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle N'(p') | \bar{\psi}_q(-\frac{\lambda n}{2}) n_\mu \gamma^\mu \mathcal{W}(-\frac{\lambda n}{2}, \frac{\lambda n}{2}) \psi_q(\frac{\lambda n}{2}) | N(p) \rangle$$

$$= \bar{u}(p') \left[n_\mu \gamma^\mu H^q(x, \xi, t) + \bar{u}(p') \frac{i\sigma^{\mu\nu} n_\mu \Delta_\nu}{2M} E^q(x, \xi, t) \right] u(p)$$



- deeply virtual Compton Scattering
X.D.Ji, PRL **78**, 610 (1997); PRD **55**, 7114 (1997).
A.V.Radyushkin, PLB **380**, 417 & **385**, 333 (1996).
J.C.Collins and A.Freund, PRD **59**, 074009 (1999).



- hard exclusive meson production
J.C.Collins, L.Frankfurt, M.Strikman,
PRD **56**, 2982 (1997).

- bonus: gravity couples to total EMT
hard exclusive reactions distinguish q , g
(indebted to M. Diehl for this wisecrack)

polynomiality

$$\int dx x H^q(x, \xi, t) = A^q(t) + \xi^2 D^q(t)$$

$$\int dx x E^q(x, \xi, t) = B^q(t) - \xi^2 D^q(t)$$

- **extraction** of GPDs & EMT form factors: non-trivial task
GPDs are convoluted. In DVCS “Compton form factors:”

$$\mathcal{H}(\xi, t, \mu^2) = \sum_q e_q^2 \int dx \left[\frac{1}{x - \xi - i\varepsilon} - \frac{1}{x + \xi - i\varepsilon} \right] H^q(x, \xi, t, \mu^2) \quad \text{in LO (analog } \mathcal{E})$$

- **dispersion relations** → for $D(t)$ situation better:

$$\Re \mathcal{H}(\xi, t, \mu^2) = \frac{1}{\pi} \text{PV} \int dx \left[\frac{1}{\xi - x} - \frac{1}{\xi + x} \right] \Im \mathcal{H}(x, t, \mu^2) - \Delta(t, \mu^2) \quad \begin{array}{l} \text{Teryaev hep-ph/0510031} \\ \text{Anikin, Teryaev, PRD76 (2007)} \end{array}$$

$$\Delta(t, \mu^2) = 4 \sum_q e_q^2 \left[d_1^q(t, \mu^2) + d_3^q(t, \mu^2) + d_5^q(t, \mu^2) + \dots \right] \quad \begin{array}{l} \text{Diehl and Ivanov, EPJC52 (2007)} \\ \text{Radyushkin, PRD83, 076006 (2011)} \\ \text{M.V.Polyakov, PLB 555 (2003) small } x \end{array}$$

$$\lim_{\mu \rightarrow \infty} d_1^Q(t, \mu^2) = d_1(t) \frac{N_f}{N_f + 4C_F} \quad \frac{4}{5} d_1(t) = D(t)$$

$$\lim_{\mu \rightarrow \infty} d_1^g(t, \mu^2) = d_1(t) \frac{4C_F}{N_f + 4C_F} \quad C_F = \frac{N_c^2 - 1}{2N_c}$$

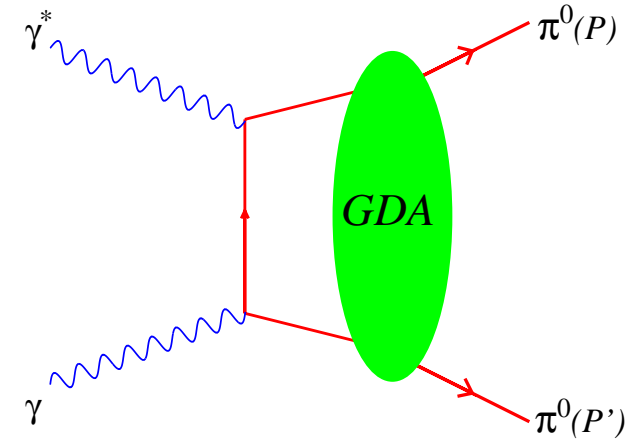
$$\lim_{\mu \rightarrow \infty} d_i^a(t, \mu^2) \rightarrow 0 \quad \text{for } i = 3, 5, \dots$$

- beam-spin asymmetry in DVCS $\rightsquigarrow \Im \mathcal{H}$
unpolarized DVCS cross section $\rightsquigarrow \Re \mathcal{H}$

- **generalized distribution amplitudes**

analytic continuation of GPDs
to timelike region
 $t > 2m^2$

opportunity to learn about EMT
of unstable particles e.g. π^0



What do we know about the D -term from theory?

Spin-0 particles

- free Klein-Gordon field $D = -1$
(Pagels 1966; Hudson, PS 2017)
- Goldstone bosons of chiral symmetry breaking $D = -1$
Novikov, Shifman; Voloshin, Zakharov (1980); Polyakov, Weiss (1999)
soft pion theorems (needed for decays of $\psi' \rightarrow J/\psi \pi\pi$ in 1980s)
light Higgs $\rightarrow \pi\pi$ (Donoghue, Gasser, Leutwyler 1990)
- chiral perturbation theory for π, K, η
Donoghue, Leutwyler (1991)

$$D_\pi = -1 + 16a \frac{m_\pi^2}{F^2} + \frac{m_\pi^2}{F^2} I_\pi - \frac{m_\pi^2}{3F^2} I_\eta + \mathcal{O}(E^4)$$

$$D_K = -1 + 16a \frac{m_K^2}{F^2} + \frac{2m_K^2}{3F^2} I_\eta + \mathcal{O}(E^4)$$

$$D_\eta = -1 + 16a \frac{m_\eta^2}{F^2} - \frac{m_\pi^2}{F^2} I_\pi + \frac{8m_K^2}{3F^2} I_K + \frac{4m_\eta^2 - m_\pi^2}{3F^2} I_\eta + \mathcal{O}(E^4)$$

where

$$a = L_{11}(\mu) - L_{13}(\mu)$$

$$I_i = \frac{1}{48\pi^2} (\log \frac{\mu^2}{m_i^2} - 1)$$

$i = \pi, K, \eta.$

$$D_\pi = -0.97 \pm 0.01$$

$$D_K = -0.77 \pm 0.15$$

$$D_\eta = -0.69 \pm 0.19$$

estimates Hudson, PS
PRD **96** (2017) 114013

$D(t)$ of spin $\frac{1}{2}$ particle: generated by dynamics!

- free spin $\frac{1}{2}$ fermion: $D = 0$

Dirac equation predicts $g = 2$ anomalous magnetic moment
 analogously it predicts $D = 0$ for non-interacting fermion
 implicit: e.g. Donoghue et al, PLB529, 132 (2002)
 explicit: Hudson, PS PRD97 (2018) 056003

- interacting fermion I: $D = -\frac{1}{3} F_\pi^2 M_N \int d^3r r^2 P_2(\cos \theta) \text{tr}_F[\nabla^k U][\nabla^k U^\dagger] + \mathcal{O}(\nabla U)^3$

nucleon in chiral quark-soliton model (Δ resonance)
 Diakonov, Petrov, Pobylitsa, NPB 306, 809 (1988)
 $\mathcal{L}_{\text{eff}} = \bar{\Psi} (i \not{\partial} - M U \gamma_5) \Psi$, $U \gamma_5 = \exp(i \gamma_5 \tau^a \pi^a / f_\pi)$

“switch off chiral interaction”
 \Leftrightarrow pion fields $U = \exp(i \tau^a \pi^a / F_\pi) \rightarrow 1$
 $\Rightarrow \mathcal{L}_{\text{eff}} \rightarrow \bar{\Psi} (i \not{\partial} - M) \Psi$
 $\Rightarrow D \rightarrow 0$

expansion to leading order in ∇U
 PS, Radici, Boffi, PRD66 (2002)
 all-order resummed (in large- N_c)
 Goeke et al PRD75 (2007)
 (see next page)

- interacting fermion II: $D = -N_c^2 \underbrace{\left(\frac{4\pi^2 - 15}{45} \right)}_{\approx 0.54}$ for $R \rightarrow \infty$
 or $m_q \rightarrow \infty$

free fermion \rightarrow
 introduce boundary condition
 \rightarrow interaction (even confining)
 \rightarrow generates a non-zero D -term!

respects large N_c
 also non-relativistic limit
 Hudson, PS PRD97 (2018)

- distinction bosons and fermions: $\begin{cases} \text{non-interacting boson } D = -1 \\ \text{non-interacting fermion } D = 0 \end{cases}$

$D(t) = \frac{4}{5} d_1(t) = 4C(t)$ from models lattice, dispersion relations

- **bag model** $D = -1.145 < 0$ (Ji, Melnitchouk, Song (1997), Neubelt, Sampino et al (2019))

- **chiral quark soliton**

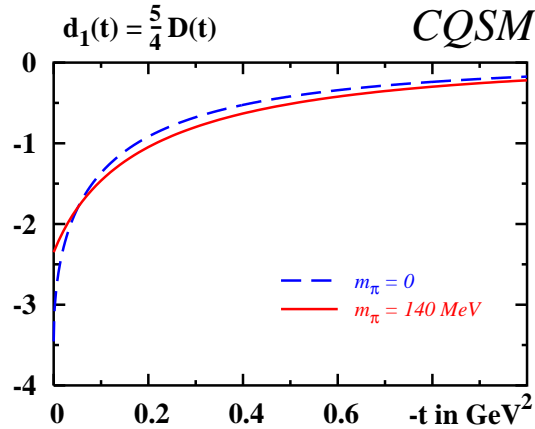
Goeke et al, PRD75 (2007)

$$d_1(m_\pi) = \overset{\circ}{d}_1 + \frac{5k g_A^2 M}{64 \pi f_\pi^2} m_\pi + \dots$$

$$\overset{\circ}{d}'_1(0) = -\frac{k g_A^2 M}{32 \pi f_\pi^2 m_\pi} + \dots \quad k = \begin{cases} 1, & N_c < \infty \\ 3, & N_c \rightarrow \infty \end{cases}$$

χ PT cannot predict nucleon D -term

Belitsky, Ji (2002), Diehl et al (2006)



- **lattice: QCDSF**

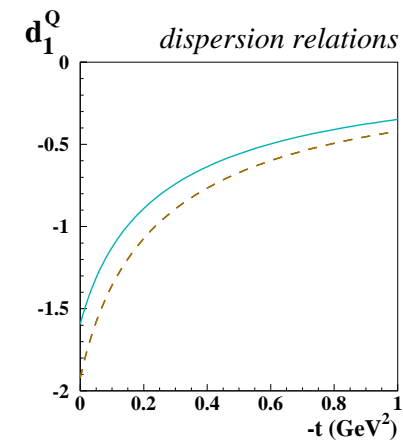
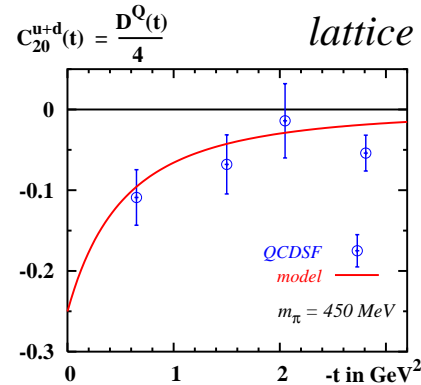
Göckeler et al, PRL92 (2004)

$\mu = 2 \text{ GeV}$, $m_\pi = 450 \text{ MeV}$

disconnected diagrams neglected recently:

$D^g(t) < 0$ with $|D^g(t)| > |D^Q(t)|$

Shanahan, Detmold, PRD99 (2019)



- **dispersion relations** $d_1^Q(t)$

Pasquini, Polyakov, Vanderhaeghen (2014)

pion PDFs are input, scale $\mu^2 = 4 \text{ GeV}^2$

What do we know about D -term from experiment?

first insights from experiment

- D -term of π^0

access EMT form factors of unstable particles through generalized distribution amplitudes (analytic continuation of GPDs)

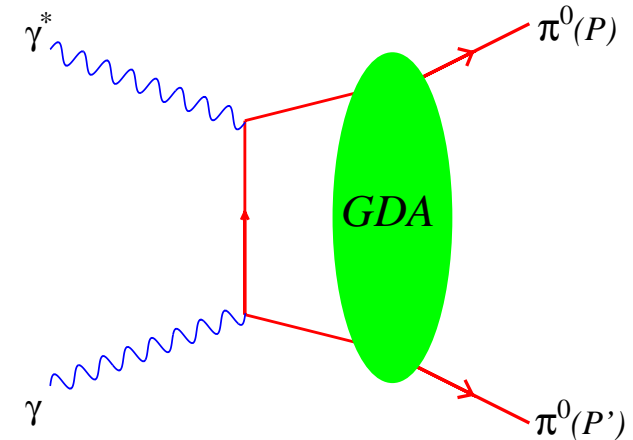
via $\gamma\gamma^* \rightarrow \pi^0\pi^0$ in e^+e^-

Masuda et al (Belle), PRD 93, 032003 (2016)

best fit to Belle data $\rightarrow D_{\pi^0}^Q \approx -0.7$
at $\langle Q^2 \rangle = 16.6 \text{ GeV}^2$

Kumano, Song, Teryaev, PRD97, 014020 (2018)

compatible with soft pion theorem: $D_{\pi^0} \approx -1$
(assuming gluons contribute the rest)

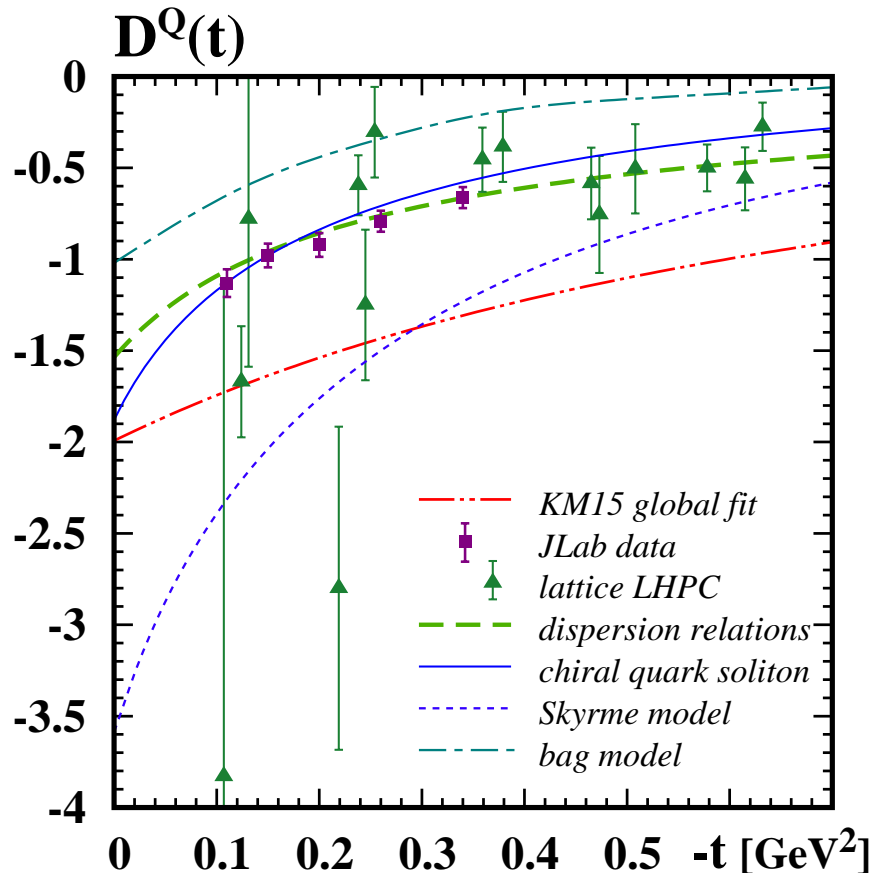


• D -term of nucleon

Burkert, Elouadrhiri, Girod, *Nature* **557**, 396 (2018) based on:
 Girod et al PRL 100 (2008) 162002 and Jo et al PRL 115 (2015) 212003

beam-spin asymmetry $\rightarrow \text{Im } \mathcal{H}$

unpol. cross section $\rightarrow \text{Re } \mathcal{H}$



$$\Delta(t, \mu^2) = 4 \sum_q e_q^2 \left[d_1^q(t, \mu^2) + \dots \right]$$

assumptions:

- neglect power corrections, NLO corrections at $E_{\text{beam}} = 6 \text{ GeV}$ and $\langle Q^2 \rangle = 1.5 \text{ GeV}^2$
- only \mathcal{H} , neglect \mathcal{E} , etc
- $\Delta(t, \mu^2) = 4 \sum_q e_q^2 d_1^q(t, \mu^2)$
 with $d_i^q(t, \mu^2)$ for $i = 3, 5, \dots$ neglected
 (in CQSM $d_3^Q/d_1^Q \sim 0.3$, $d_5^Q/d_1^Q \sim 0.1$
 (Kivel, Polyakov, Vanderhaeghen (2001)))
- assume $d_1^u \approx d_1^d$
 (okay in CQSM, to be tested in experiment)
 $\rightsquigarrow D^Q(t, \mu^2) \approx \frac{18}{25} \Delta(t, \mu^2)$
- how good are these approximations?
 will see: JLab12, COMPASS, EIC, future experiments

\Rightarrow CLAS, KM-fits, dispersion relations, models, lattice: **D -term negative**

interpretation what do we learn from EMT form factors?

- Breit frame $\Delta^\mu = (0, \vec{\Delta})$ and $t = -\vec{\Delta}^2$

- analog to electric form factor, for proton:

$$G_E(\vec{\Delta}^2) = \int d^3\vec{r} \rho_E(\vec{r}) e^{i\vec{\Delta}\vec{r}} = 1 - \frac{1}{6}\vec{\Delta}^2 \underbrace{\langle r_{ch}^2 \rangle}_{\approx (0.8... \text{ fm})^2} + \dots$$

→ charge distribution $Q = \int d^3\vec{r} \rho_E(\vec{r})$ Sachs, PR126 (1962) 2256

- **3D density**

popular concept

- **limitations:**

3D densities not exact, “relativistic corrections” for $r \lesssim \lambda_{\text{Compt}} = \frac{\hbar}{mc}$
2D densities exact partonic probability densities (better concept)

limitations known since Sachs (1962). Discussed e.g. in:

- Belitsky & Radyushkin, Phys. Rept. 418, 1 (2005), Sec. 2.2.2
- X.-D. Ji, PLB254 (1991) 456 (Skyrme model, not a big effect)
- G. Miller, PRC80 (2009) 045210 (toy model, dramatic effect)
- Hudson, PS PRD 96 (2017) 114013 (not a big effect)

- important distinction:

2D densities = **partonic probability densities** (unitarity)
 must (and better be) exact! → M. Burkardt (2000)
 apply to any particle (including the light pion)

vs

3D densities = **mechanical response functions**
correlation functions (\neq probabilities!)
 if reasonably small corrections have to be tolerated: here “ok”

- **attention:**

heavy constituents → sufficient condition (not necessary!)
 despite light quarks: in QCD nucleon heavy (necessary condition)

- mathematically correct,
 physically justified for heavy particles:

relative correction for $\langle r_E^2 \rangle = \int d^3r r^2 T_{00}(r)/m$ is $\delta_{\text{rel}} = 1/(2m^2 R^2)$ Hudson, PS PRD (2007)
 numerically $\underbrace{\text{pion}}_{220\%}$, $\underbrace{\text{kaon}}_{25\%}$, $\underbrace{\text{nucleon}}_{3\%}$, $\underbrace{\text{deuterium}}_{1 \times 10^{-3}}$, $\underbrace{{}^4\text{He}}_{5 \times 10^{-4}}$, $\underbrace{{}^{12}\text{C}}_{3 \times 10^{-5}}$, $\underbrace{{}^{20}\text{Ne}}_{6 \times 10^{-6}}$, $\underbrace{{}^{56}\text{Fe}}_{5 \times 10^{-7}}$, $\underbrace{{}^{132}\text{Xe}}_{6 \times 10^{-8}}$, $\underbrace{{}^{208}\text{Pb}}_{2 \times 10^{-8}}$

- for nucleon strictly correct in large- N_c limit

“ $1/N_c$ only small parameter in QCD at all energies” (S. Coleman, Aspects of Symmetry)

- interpretation as 3D-densities

M.V.Polyakov, PLB 555 (2003) 57

Breit frame with $\Delta^\mu = (0, \vec{\Delta})$: static EMT $T_{\mu\nu}(\vec{r}) = \int \frac{d^3\vec{\Delta}}{2E(2\pi)^3} e^{i\vec{\Delta}\cdot\vec{r}} \langle P' | \hat{T}_{\mu\nu} | P \rangle$
 formulae correct, interpretation has grain of salt

$$\int d^3r T_{00}(\vec{r}) = M \quad \text{known}$$

$$\int d^3r \varepsilon^{ijk} s_i r_j T_{0k}(\vec{r}, \vec{s}) = \frac{1}{2} \quad \text{known}$$

$$-\frac{2}{5} M \int d^3r \left(r^i r^j - \frac{r^2}{3} \delta^{ij} \right) T_{ij}(\vec{r}) \equiv D \quad \text{new!}$$

with: $T_{ij}(\vec{r}) = \mathbf{s}(\mathbf{r}) \left(\frac{r_i r_j}{r^2} - \frac{1}{3} \delta_{ij} \right) + \mathbf{p}(\mathbf{r}) \delta_{ij}$ stress tensor

$\left. \begin{array}{l} \mathbf{s}(\mathbf{r}) \text{ related to distribution of } \textit{shear forces} \\ \mathbf{p}(\mathbf{r}) \text{ distribution of } \textit{pressure} \text{ inside hadron} \end{array} \right\} \longrightarrow \text{“mechanical properties”}$

- **relation to stability:** EMT conservation $\Leftrightarrow \partial^\mu \hat{T}_{\mu\nu} = 0 \Leftrightarrow \nabla^i T_{ij}(\vec{r}) = 0$

\hookrightarrow necessary condition for stability $\int_0^\infty dr r^2 p(r) = 0$ (von Laue, 1911)

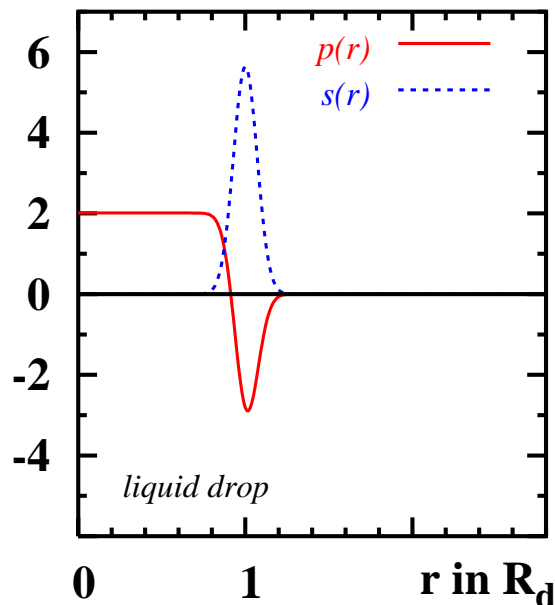
$$D = -\frac{16\pi}{15} m \int_0^\infty dr r^4 s(r) = 4\pi m \int_0^\infty dr r^4 p(r) \rightarrow \text{shows how internal forces balance}$$

(already the sign insightful! So far always negative)

intuition from models:

- liquid drop model of nucleus

$p(r)$ & $s(r)$ in γR_d^{-1} (c)



radius $R_A = R_0 A^{1/3}$, $m_A = m_0 A$

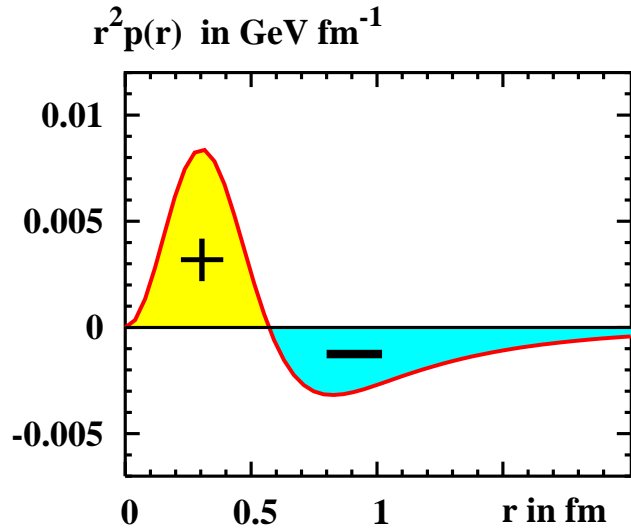
surface tension $\gamma = \frac{1}{2} p_0 R_A$, $s(r) = \gamma \delta(r - R_A)$

pressure $p(r) = p_0 \Theta(R_A - r) - \frac{1}{3} p_0 R_A \delta(r - R_A)$

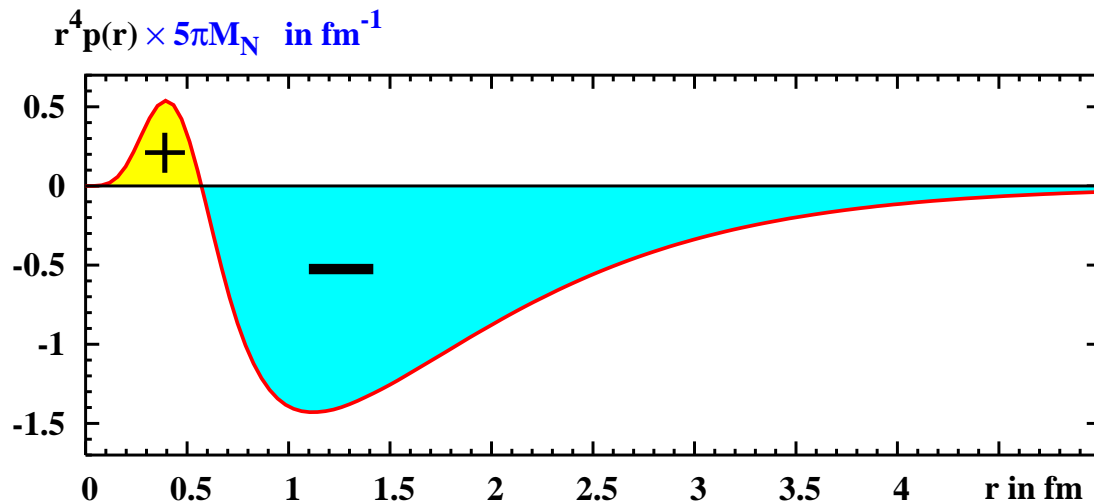
D -term $D = -\frac{4\pi}{3} m_A \gamma R_A^4 \approx -0.2 A^{7/3}$

M.V.Polyakov PLB555 (2003);
 tested in Walecka model Guzey, Siddikov (2006)
 alternative result in Liuti, Taneja, PRC 72 (2005)

- chiral quark soliton model of nucleon



- $p(0) = 0.23 \text{ GeV}/\text{fm}^3 \approx 4 \times 10^{34} \text{ N}/\text{m}^2$
 $\gtrsim 10\text{-}100 \times (\text{pressure in center of neutron star})$
 - $p(r) = 0$ at $r = 0.57 \text{ fm}$ change of sign in pressure
 - $p(r) = \left(\frac{3g_A^2}{8\pi f_\pi}\right)^2 \frac{1}{r^6}$ at large r in chiral limit $m_\pi \rightarrow 0$
- Goeke et al, PRD75 (2007) 094021



recall: $\int_0^\infty dr r^2 p(r) = 0$

$D = 4\pi m \int_0^\infty dr r^4 p(r) < 0$

negative sign of $D \Leftrightarrow$ stability (necessary condition)
 (see also Nature article Burkert et al, also lattice Shanahan, Detmold)

mechanical radius

- $T_{ij}(\vec{r}) = s(r) \left(\frac{r_i r_j}{r^2} - \frac{1}{3} \delta_{ij} \right) + p(r) \delta_{ij} =$ symmetric 3×3 matrix \rightarrow diagonalize:

$$\frac{2}{3} s(r) + p(r) = \text{normal force (eigenvector } \vec{e}_r)$$

$$-\frac{1}{3} s(r) + p(r) = \text{tangential force } (\vec{e}_\theta, \vec{e}_\phi, \text{ degenerate for spin 0 and } \frac{1}{2})$$

- mechanical stability \Leftrightarrow normal force directed towards outside

$$\Leftrightarrow T^{ij} e_r^j dA = \underbrace{\left[\frac{2}{3} s(r) + p(r) \right]}_{>0} e_r^i dA \quad \Rightarrow \quad D < 0 \text{ (proof!)} \text{ Perevalova et al (2016)}$$

- define: $\langle r^2 \rangle_{\text{mech}} = \frac{\int d^3r r^2 \left[\frac{2}{3} s(r) + p(r) \right]}{\int d^3r \left[\frac{2}{3} s(r) + p(r) \right]} = \frac{6D(0)}{\int_{-\infty}^0 dt D(t)}$ vs $\langle r_{\text{ch}}^2 \rangle = \frac{6G'_E(0)}{G_E(0)}$ “anti-derivative”

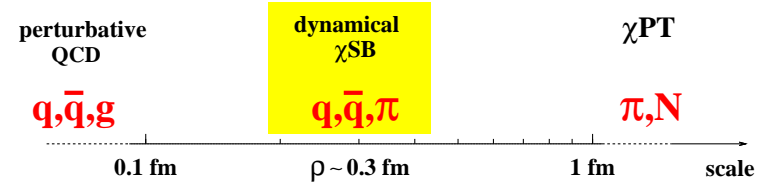
intuitive result for large nucleus $\frac{2}{3} s(r) + p(r) = p_0 \Theta(R_A - r) \rightarrow \langle r^2 \rangle_{\text{mech}} = \frac{3}{5} R_A^2$

M.Polyakov, PS arXiv:1801.05858 (Kumano, Song, Teryaev PRD (2018) used $D'(0)$ but inadequate)

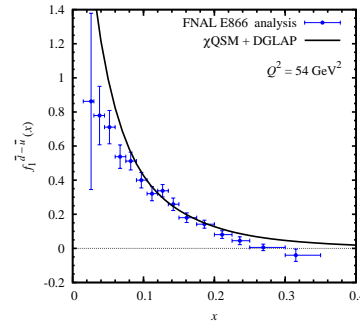
- in chiral limit $\langle r^2 \rangle_{\text{mech}}$ finite vs $\langle r_{\text{ch}}^2 \rangle$ divergent (better concept)
- neutron $\langle r^2 \rangle_{\text{mech}}$ same as proton(!) $\langle r_{\text{ch}}^2 \rangle = -0.11 \text{ fm}^2$ inappropriate concept for neutron size (see also recent work Lorcé, Moutarde, Trawiński)
- proton: $\langle r^2 \rangle_{\text{mech}} \approx 0.75 \langle r_{\text{ch}}^2 \rangle$ in chiral quark soliton model (why always this model?)

brief interlude: chiral quark soliton model

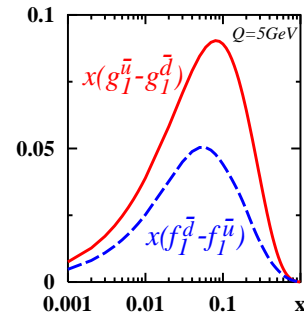
- spontaneous breaking of chiral symmetry
effective degrees of freedom
 q, \bar{q} , Goldstone bosons



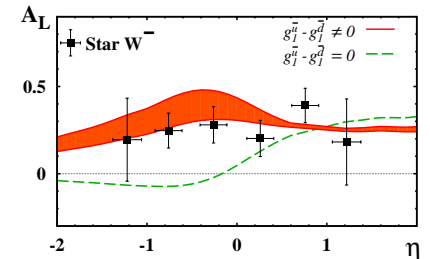
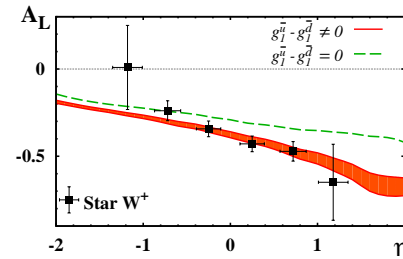
- postdiction:** flavor asymmetry in unpolarized sea
Pobylitsa et al, Phys.Rev.D59 (1999) 034024



- prediction:** even larger asymmetry in helicity sea
Diakonov et al, Nucl.Phys.B480 (1996) 341



- prediction:** A_L in W^\pm at RHIC:
Dressler et al, EPJC 18 (2001) 719
confirmed: STAR, PRL 113, 072301 (2014)



Applications of EMT

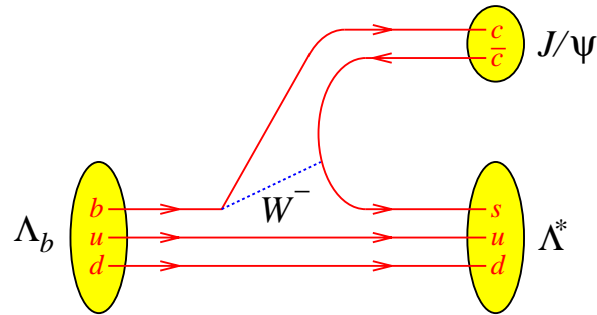
- hadronic decays of charmonia $\Psi(2S) \rightarrow J/\Psi \pi \pi$: $\langle \pi \pi | T^{\mu\nu} | 0 \rangle \leftrightarrow \langle \pi | T^{\mu\nu} | \pi \rangle$
Voloshin, Zakharov (1980), Novikov, Shifman (1981), Voloshin, Dolgov (1982)
- hadrocharmonia
Voloshin (1982), Eides, Petrov, Polyakov (2017) ← here
- mass decomposition
X. D. Ji, PRL 74, 1071 (1995), PRD 52, 271 (1995)
- spin decomposition
X. D. Ji, Phys. Rev. Lett. 78, 610 (1997), Leader, Lorcé, Phys. Rept. 541, 163 (2014)
- forces inside hadrons
M. V. Polyakov, Phys. Lett. B 555, 57 (2003)
- q - and g -contributions to trace anomaly
Tuesday talk by Yoshitaka Hatta
- Thursday morning: talks by Simonetta Liuti, Adam Freese, Cedric Lorcé
also: Constantia Alexandrou, Matthias Burkardt, Sylvester Joosten, Andreas Metz, Chao Shi
in principle applications in any other talk too! Pay attention to all talks!! Stay tuned!!!

Application: hidden-charm pentaquarks as hadrocharmonia

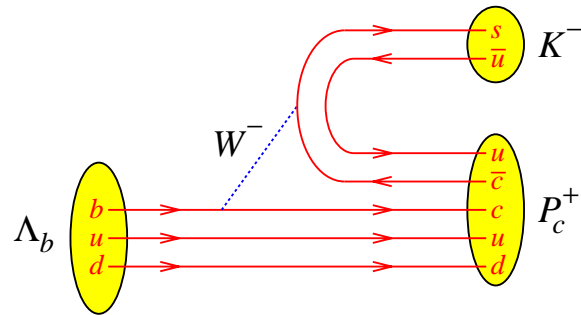
$\Lambda_b^0 \longrightarrow J/\Psi p K^-$ seen
 Aaij *et al.* PRL 115 (2015)

Λ_b^0 $m = 5.6$ GeV, $\tau = 1.5$ ps
 J/Ψ $m = 3.1$ GeV, $\Gamma = 93$ keV, $\Gamma_{\mu^+\mu^-} = 6\%$
 Λ^* $m = 1.4$ GeV or more, $\Lambda^* \rightarrow K^- p$ in 10^{-23} s

$\longrightarrow J/\Psi \Lambda^*$



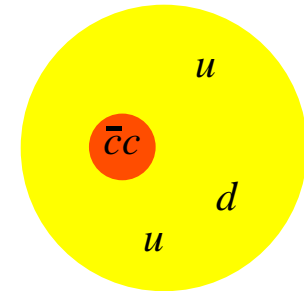
$\longrightarrow P_c^+ K^-$



state	m [MeV]	Γ [MeV]	Γ_{rel}	mode	J^P
$P_c^+(4380)$	$4380 \pm 8 \pm 29$	$205 \pm 18 \pm 86$	$(4.1 \pm 0.5 \pm 1.1)\%$	$J/\psi p$	$\frac{3}{2}^-$ or $\frac{5}{2}^+$
$P_c^+(4450)$	$4450 \pm 2 \pm 3$	$39 \pm 5 \pm 19$	$(8.4 \pm 0.7 \pm 4.2)\%$	$J/\psi p$	$\frac{5}{2}^\pm$ or $\frac{3}{2}^-$

Hadrocharmonium approach Eides, Petrov, Polyakov, PRD93, 054039 (2016)

- **theoretical approach:** in heavy quark limit $\Rightarrow V_{\text{eff}} = -\frac{1}{2} \alpha \vec{E}^2$ Voloshin, Yad. Fiz. **36**, 247 (1982)
- **chromoelectric polarizability** property of charmonium
 - $\alpha(1S) \approx (1.6 \pm 0.8) \text{ GeV}^{-3}$ Polyakov, PS PRD98 (2018); Sugiura et al, arXiv:1711.11219
 - $\alpha(2S) \approx 17 \text{ GeV}^{-3}$ Eides et al; Perevalova, Polyakov, PS, PRD 94, 054024 (2016)
 - $|\alpha(2S \rightarrow 1S)| \approx 2 \text{ GeV}^{-3}$ Voloshin, Prog. Part. Nucl. Phys. 61 (2008) 455
 - cf. quarkonia = Coulomb systems Peskin NPB 156 (1979) 365
- **chromoelectric field strength:** $\vec{E}^2 \rightarrow T_{00}(r), p(r)$ from CQSM, Skyrme
- **compute quarkonium-nucleon bound state:** $\left(-\frac{\vec{\nabla}^2}{2\mu} + V_{\text{eff}}(r)\right)\psi = E_{\text{bind}} \psi$
- **results:** N and J/ψ form no bound state
 N and $\psi(2S)$ form **two** bound states
with nearly degenerate masses $\sim 4450 \text{ MeV}$
mass-splitting $\mathcal{O}(10-20) \text{ MeV}$, $J^P = \frac{1}{2}^-$ and $\frac{3}{2}^-$,
important: partial width $\Gamma = |\alpha(2S \rightarrow 1S)|^2 \times \dots = \text{few tens of MeV}$
- **update:** 26 March 2019, Observation of new pentaquarks, Moriond conference:
previous $P_c^+(4450)$ resolved in:
 $P_c^+(4440) \ m = (4440.3 \pm 1.3_{-4.7}^{+4.1}) \text{ MeV}$, $\Gamma = (20.6 \pm 4.9_{-10.1}^{+8.7}) \text{ MeV}$
 $P_c^+(4457) \ m = (4457.3 \pm 0.6_{-1.7}^{+4.1}) \text{ MeV}$, $\Gamma = (6.4 \pm 2.0_{-1.9}^{+5.7}) \text{ MeV}$ **exciting!**
- **predictions:** bound states of $\psi(2S)$ with Δ and hyperons \leftarrow test approach



Summary & Outlook

- **GPDs, GDAs** →
form factors of **energy momentum tensor**
- **D-term**: last unknown global property,
related to forces, attractive and physically appealing → “motivation”
- **first results**(!) from experiment/phenomenology for proton, π^0
compatible with results from theory and models
- define **pressure, forces & mechanical radius**
→ unique, appealing, complementary information!
- **applications:**
imaging of nucleon structure
hadrocharmonia pentaquarks & tetraquarks
more applications (see other talks at workshop)
- rich **potential**, new **predictions**, some work is done
lots of work still ahead of us
- I hope this talk showed:
appealing, interesting topics, to be continued!

Summary & Outlook

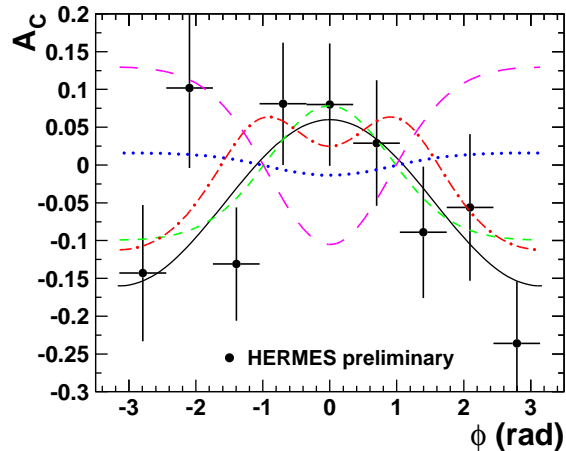
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- **looking forward to other talks! Stay tuned!!**

Thank you!

Support slides

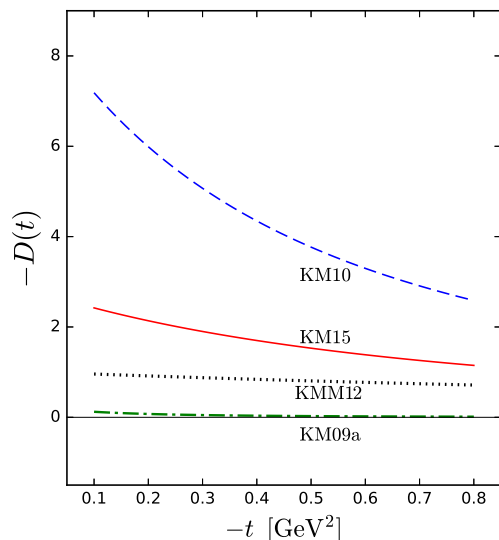
Results from experiment & phenomenology

- HERMES proceeding NPA711, 171 (2002) (model-dependent)



beam charge asymmetry (DVCS e^+ vs e^-)
dotted line: VGG model without D -term (ruled out)
dashed line: VGG model + positive D -term (ruled out)
dashed-dotted: VGG model + **negative** D -term (yeah!)
(cf. Belitsky, Müller, Kirchner, NPB 629 (2002) 323)

- fits by Kresimir Kumerički, Dieter Müller et al: $D < 0$ needed! (model-independent)



DVCS parametrizations from:

Kumerički, Müller, NPB 841 (2010) 1

Kumerički, Müller, Murray, Phys. Part. Nucl. 45 (2012) 723

Kumerički, Müller, EPJ Web Conf. 112 (2016) 01012

Fig. 9 in ECT* workshop proceeding 1712.04198

statistical uncertainty of D in KMM12: $\sim 50\%$,

statistical uncertainty of D in KM15: $\sim 20\%$.

unestimated systematic uncertainty

K.Kumerički private communication

EMT form factors of nucleon I (Kobzarev & Okun 1962, Pagels 1966)

$$\langle p' | \hat{T}_{\mu\nu}^a | p \rangle = \bar{u}(p') \left[A^a(t, \mu^2) \frac{\gamma_\mu P_\nu + \gamma_\nu P_\mu}{2} + B^a(t, \mu^2) \frac{i(P_\mu \sigma_{\nu\rho} + P_\nu \sigma_{\mu\rho}) \Delta^\rho}{4M} + D^a(t, \mu^2) \frac{\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2}{4M} + \bar{c}^a(t, \mu^2) g_{\mu\nu} \right] u(p)$$

- conserved external current $\partial_\mu \hat{T}^{\mu\nu} = 0$, $\hat{T}_{\mu\nu} = \sum_a \hat{T}_{\mu\nu}^a$ ($a = q, g$)
- $A(t) = \sum_a A^a(t, \mu^2)$, $B(t)$, $D(t)$ scale invariant, $\sum_a \bar{c}^a(t, \mu^2) = 0$
- constraints: **mass** $\Leftrightarrow A(0) = 1 \Leftrightarrow$ quarks + gluons carry 100% of nucleon momentum
spin $\Leftrightarrow B(0) = 0 \Leftrightarrow$ total anomalous gravitomagnetic moment vanishes *
- D-term** $\Leftrightarrow D(0) \equiv D \rightarrow$ unconstrained! **Last global unknown!**

$$\begin{aligned} 2P &= (p' + p) & \text{notation: } A^q(t) + B^q(t) &= 2J^q(t) \\ \Delta &= (p' - p) & D^q(t) &= \frac{4}{5} d_1^q(t) = \frac{1}{4} C^q(t) \text{ or } C^q(t) \\ t &= \Delta^2 & A^q(t) &= M_2^q(t) \end{aligned}$$

* equivalent to: total nucleon spin $J^q + J^g = \frac{1}{2}$ is due to quarks + gluons (via Gordon identity)

EMT form factors of nucleon II (Kobzarev & Okun 1962, Pagels 1966)

$$\langle p' | \hat{T}_{\mu\nu}^a | p \rangle = \bar{u}(p') \left[A^a(t, \mu^2) \frac{P_\mu P_\nu}{M} + J^a(t, \mu^2) \frac{i(P_\mu \sigma_{\nu\rho} + P_\nu \sigma_{\mu\rho}) \Delta^\rho}{2M} + D^a(t, \mu^2) \frac{\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2}{4M} + \bar{c}^a(t, \mu^2) g_{\mu\nu} \right] u(p)$$

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* equivalent to: nucleons total anomalous gravitomagnetic moment vanishes (Gordon identity)

Nuclei

- nuclei in liquid drop model $D \approx -0.2 \times A^{7/3} \rightarrow$ potential for DVCS with nuclei!
Maxim Polyakov (2002) (see below)

- nuclei in Walecka model
Guzey, Siddikov (2006)

^{12}C	:	D	=	-6.2
^{16}O	:	D	=	-115
^{40}Ca	:	D	=	-1220
^{90}Zr	:	D	=	-6600
^{208}Pb	:	D	=	-39000

Q-balls

- Q-balls, non-topological solitons, strongly interacting, $\mathcal{L} = \partial_\mu \phi^* \partial^\mu \phi - V(|\phi|)$ S.Coleman (1985)

ground states $90 \leq -D \leq \infty$

Mai, PS PRD86, 076001 (2012)

N^{th} excited Q-ball state (decay \rightarrow ground states): $D = -\text{const } N^8$

Mai, PS PRD86, 096002 (2012)

Q-cloud limit, most extreme instability we could find: $D = -\text{const}/\varepsilon^2$ in the limit $\varepsilon \rightarrow 0$

Cantara, Mai, PS NPA953, 1 (2016)

Q-cloud excitations, even more extreme instability: $D < 0$ divergent and even more negative

Bergabo, Cantara, PS, in preparation (2019)

GPDs

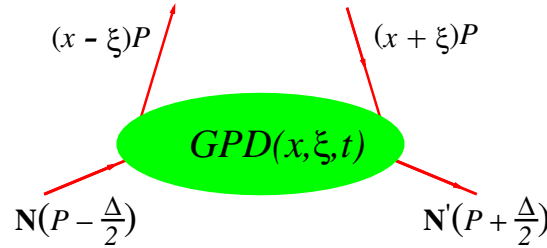
- **microsurgery**

$$\xi = (n \cdot \Delta) / (n \cdot P), \quad t = \Delta^2$$

$$P = \frac{1}{2}(p' + p), \quad \Delta = p' - p$$

$$n^2 = 0, \quad n \cdot P = 2, \quad k = xP$$

renormalization scale μ

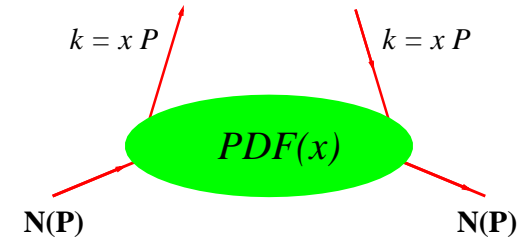
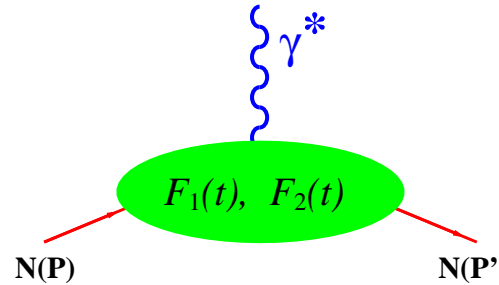


- **generalize PDFs, form factors**

$$\int dx H^q(x, \xi, t) = F_1^q(t)$$

$$\int dx E^q(x, \xi, t) = F_2^q(t)$$

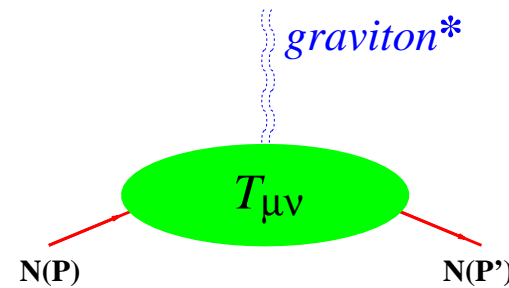
$$\lim_{\Delta \rightarrow 0} H^q(x, \xi, t) = f_1^q(x)$$



- **gravitational form factors (polynomiality)**

$$\int dx x H^q(x, \xi, t) = A^q(t) + \xi^2 D^q(t)$$

$$\int dx x E^q(x, \xi, t) = B^q(t) - \xi^2 D^q(t)$$

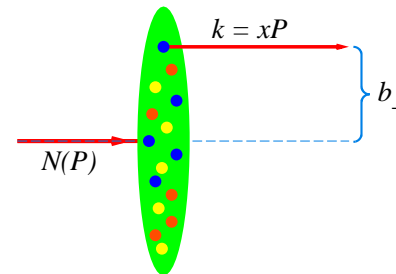


- **Ji sum rule**

$$\int dx x (H^a + E^a)(x, \xi, t) = 2J^q(t) \xrightarrow{t \rightarrow 0} 2J^q(0)$$

- **tomography (M. Burkardt)**

$$H^q(x, b_\perp) = \int \frac{d^2 \Delta_\perp}{(2\pi)^2} \left[\lim_{\xi \rightarrow 0} H^q(x, \xi, t) \right] e^{i \Delta_\perp b_\perp}$$

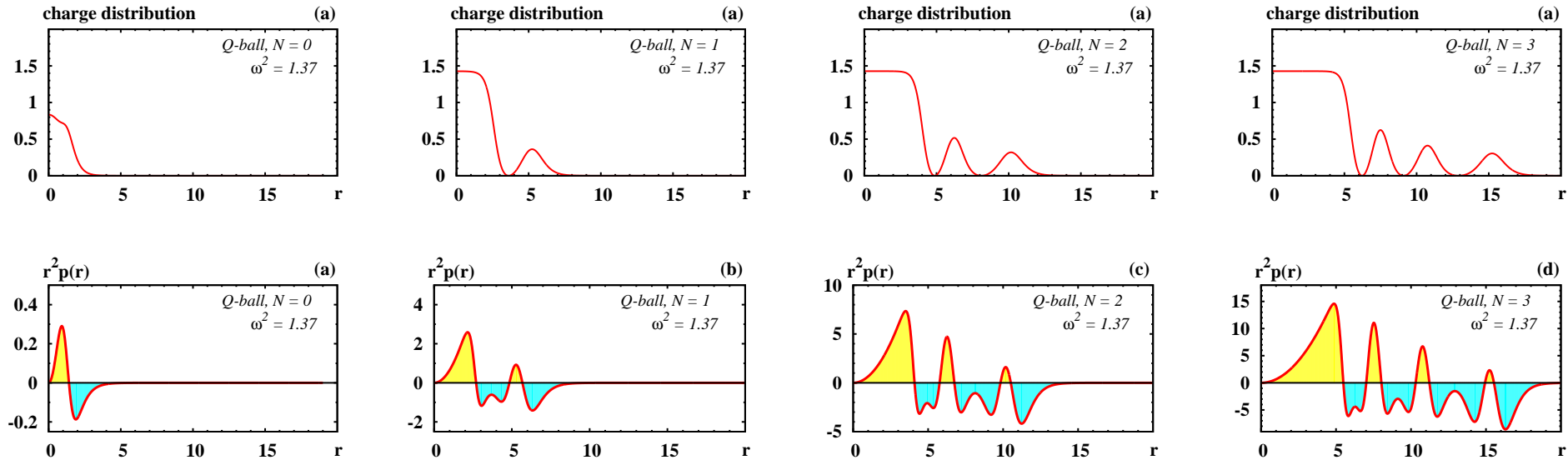


- more intuition from toy system: Q -ball

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \Phi^*) (\partial^\mu \Phi) - V \text{ with U(1) global symm., } V = A (\Phi^* \Phi) - B (\Phi^* \Phi)^2 + C (\Phi^* \Phi)^3, \quad \Phi(t, \vec{r}) = e^{i\omega t} \phi(r)$$

$N = 0$ ground state, $N = 1$ first excited state, etc [Volkov & Wohnert \(2002\)](#), [Mai, PS PRD86 \(2012\)](#)

charge density exhibits N shells, $p(r)$ exhibits $(2N + 1)$ zeros



excited states unstable, but $\int_0^\infty dr r^2 p(r) = 0$ always valid, and D -term always negative!

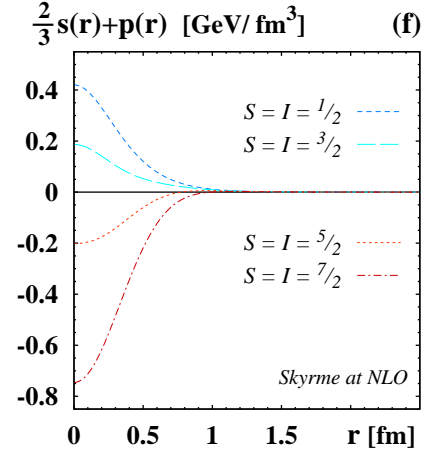
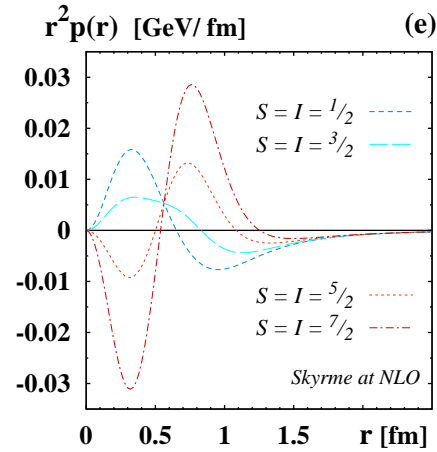
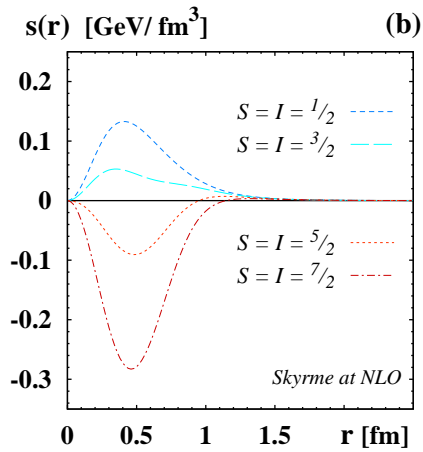
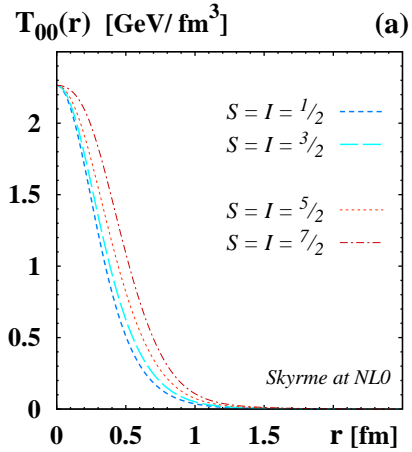
so far all D -terms negative: pions, nucleons, nuclei, nucleons in nuclear matter, photons, Q -balls, Q -clouds

could Roper resonance look like this? (possible to measure??) (transition GPDs???)
 However e.g. Δ -resonance, similar to nucleon! (lowest state for $J = T = \frac{3}{2}$, see below)

- side remark: you won't believe in how many models of the nucleon "the nucleon does not exist!!"
 (explodes or implodes within $t < 10^{-23}$ s vs $t_{\text{proton}} > 10^{32}$ years ...)

Application I: nucleon, Δ , large- N_c artifacts Witten 1979

in large N_c baryons = rotational excitations of soliton with $S = I = \underbrace{\frac{1}{2}, \frac{3}{2}}_{\text{observed}}, \underbrace{\frac{5}{2}, \dots}_{\text{artifacts}}$



$$M_B = M_{\text{sol}} + \frac{S(S+1)}{2\Theta}$$

nucleon $s(r) \neq \gamma\delta(r-R)$
 Δ much more diffuse

$\int_0^\infty dr r^2 p(r) = 0$
 stability needs more:
 $p(r) > 0$ in center,
 negative outside
 okay for nucleon, Δ
 \implies implies $D < 0$

mechanical stability
 $T^{ij} da^j \geq 0$
 $\Leftrightarrow \frac{2}{3} s(r) + p(r) \geq 0$
 artifacts do not satisfy!
 \implies **have positive D-term!!**
That's why they do not exist!
 EMT: dynamical understanding
Perevalova et al (2016)

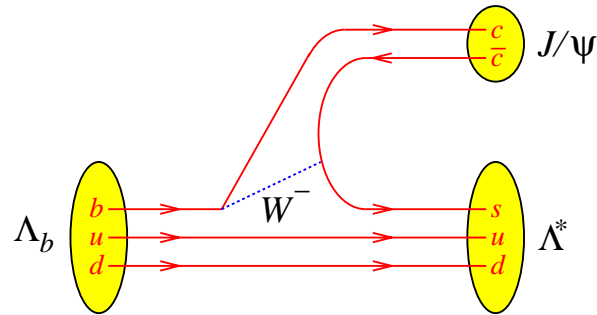
\implies particles with positive D unphysical!!!

Application II: hidden-charm pentaquarks as hadrocharmonia

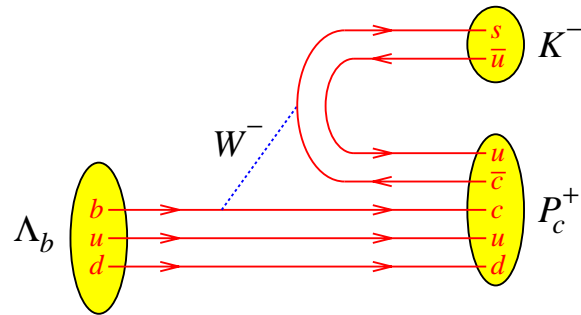
$\Lambda_b^0 \longrightarrow J/\Psi p K^-$ seen
 Aaij *et al.* PRL 115 (2015)

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$\longrightarrow J/\Psi \Lambda^*$



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appealing approach to new pentaquarks

M. I. Eides, V. Y. Petrov and M. V. Polyakov, PRD93, 054039 (2016)

• theoretical approach

$R_{c\bar{c}} \ll R_N \Rightarrow$ non-relativistic multipole expansion [Gottfried, PRL 40 \(1978\) 598](#)
baryon-quarkonium interaction dominated by 2 virtual chromoelectric dipole gluons

$$V_{\text{eff}} = -\frac{1}{2} \alpha \vec{E}^2 \quad \text{Voloshin, Yad. Fiz. 36, 247 (1982)}$$

• chromoelectric polarizability

$$\begin{aligned} \alpha(1S) &\approx 0.2 \text{ GeV}^{-3} \text{ (pert),} \\ \alpha(2S) &\approx 12 \text{ GeV}^{-3} \text{ (pert),} \\ \alpha(2S \rightarrow 1S) &\approx \begin{cases} -0.6 \text{ GeV}^{-3} \text{ (pert),} \\ \pm 2 \text{ GeV}^{-3} \text{ (pheno),} \end{cases} \end{aligned}$$

in heavy quark mass limit & large- N_c limit
 \rightsquigarrow “perturbative result” [Peskin, NPB 156 \(1979\) 365](#)

value for $2S \rightarrow 1S$ transition from
phenomenological analysis of $\psi' \rightarrow J/\psi \pi \pi$ data
[Voloshin, Prog. Part. Nucl. Phys. 61 \(2008\) 455](#)

• chromoelectric field strength:

$$\vec{E}^2 = g^2 \left(\frac{8\pi^2}{bg^2} T^\mu{}_\mu + T_{00}^G \right)$$

$b = \frac{11}{3} N_c - \frac{2}{3} N_F$ leading coeff. of β -function
 g = strong coupling at low (nucleon) scale $\lesssim 1 \text{ GeV}$
 g_s = strong coupling at scale of heavy quark ($g_s \neq g$)
 $T_{00}^G = \xi T_{00}$ with ξ = fractional contributions of gluon to M
 $T^\mu{}_\mu = T^{00} - T^{ii}$, stress tensor $T^{ij} = \left(\frac{r^i r^j}{r} - \frac{1}{3} \delta^{ij} \right) s(r) + \delta^{ij} p(r)$

• universal effective potential

$$V_{\text{eff}} = -\frac{1}{2} \alpha \frac{8\pi^2}{b} \frac{g^2}{g_s^2} \left[\nu T_{00}(r) + 3p(r) \right], \quad \nu = 1 + \xi_s \frac{b g_s^2}{8\pi^2}$$

$\nu \approx 1.5$ estimate by [Eides et al, op. cit.](#)
[Novikov & Shifman, Z.Phys.C8, 43 \(1981\);](#)
[X. D. Ji, Phys. Rev. Lett. 74, 1071 \(1995\)](#)

- **future application:** GPDs

⇒ EMT form factors ⇒ EMT densities

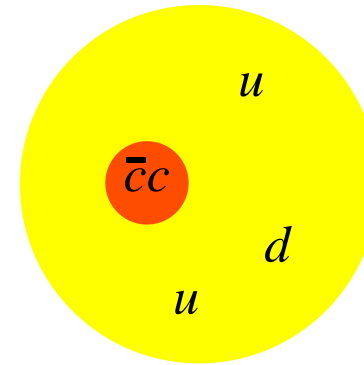
⇒ universal potential V_{eff} for quarkonium-baryon interaction!

- **currently:** chiral quark soliton model (Eides et al, 2015); Skyrme (Perevalova et al 2016)

- **compute quarkonium-nucleon bound state**

$$\text{solve } \left(-\frac{\vec{\nabla}^2}{2\mu} + V_{\text{eff}}(r) \right) \psi = E_{\text{bind}} \psi$$

μ = reduced quarkonium-baryon mass



- **results:** N and J/ψ form no bound state

N and $\psi(2S)$ form **two** bound states

with nearly degenerate masses ~ 4450 MeV

mass-splitting $\mathcal{O}(10-20)$ MeV, $\alpha(2S) \approx 17 \text{ GeV}^{-3}$

quantum numbers $J^P = \frac{1}{2}^-$ and $\frac{3}{2}^-$,

important: partial width $\Gamma = |\alpha(2S \rightarrow 1S)|^2 \times \dots = \text{few tens of MeV}$

- **predictions:** for bound states of $\psi(2S)$ with Δ and hyperons ← test approach

- **update** 26 March 2019, Observation of new pentaquarks, Moriond conference:

$$P_c^+(4312) \quad m = (4311.9 \pm 0.7_{-0.6}^{+6.8}) \text{ MeV}, \quad \Gamma = (9.8 \pm 2.7_{-4.5}^{+3.7}) \text{ MeV}$$

$$P_c^+(4440) \quad m = (4440.3 \pm 1.3_{-4.7}^{+4.1}) \text{ MeV}, \quad \Gamma = (20.6 \pm 4.9_{-10.1}^{+8.7}) \text{ MeV}$$

$$P_c^+(4457) \quad m = (4457.3 \pm 0.6_{-1.7}^{+4.1}) \text{ MeV}, \quad \Gamma = (6.4 \pm 2.0_{-1.9}^{+5.7}) \text{ MeV} \quad \text{exciting!}$$