

# Quark fragmentation and dynamical mass generation

Andrea Signori

Argonne National Laboratory

QCD evolution 2019

May 13, 2019





#### Outline

Hadronization

Momentum sum rules

Phenomenology

### Outline

Hadronization

Momentum sum rules

Phenomenology

# Hadronization and QCD

Hadronization is directly connected to the dynamical generation of some of the hadronic properties, e.g.:

- the mass
- the spin
- the size of hadrons



# Hadronization and QCD

Hadronization is directly connected to the dynamical generation of some of the hadronic properties, e.g.:

- the mass
- the spin
- the size of hadrons



Hadronization is also connected to the confinement of partons and to the chiral symmetry, and is thus one of the most interesting phenomena within QCD.

### Quark fragmentation functions

$$\Delta_{ij}(k, P_h, S_h) = \int \frac{d^4\xi}{(2\pi)^4} e^{ikx} \frac{\operatorname{Tr}_c}{N_c} \langle \Omega | \hat{T} W_1(\infty, \xi) \psi_i(\xi) \, a^{\dagger} a \, \overline{\psi}_j(0) W_2(0, \infty) | \Omega \rangle$$



$$\Delta_{ij}(z, \mathbf{P}_{h\perp}) = \mathsf{Disc} \int \frac{dk^+}{2z} [\Delta_{ij}(k, P_h)]_{k^- = P_h^-/z} = \frac{\gamma^+}{2} D_1(z, P_{h\perp}^2) + \cdots$$



# Quark fragmentation functions

$$\Delta_{ij}(k, P_h, S_h) = \int \frac{d^4\xi}{(2\pi)^4} e^{ikx} \frac{\mathsf{Tr}_c}{N_c} \langle \Omega | \hat{T} W_1(\infty, \xi) \psi_i(\xi) \, a^\dagger a \, \overline{\psi}_j(0) W_2(0, \infty) | \Omega \rangle$$



$$\Delta_{ij}(z, \mathbf{P}_{h\perp}) = \text{Disc} \int \frac{dk^+}{2z} [\Delta_{ij}(k, P_h)]_{k^- = P_h^-/z} = \frac{\gamma^+}{2} D_1(z, P_{h\perp}^2) + \cdots$$

Here we consider quark to single-hadron fragmentation functions (FFs), but the argument can be extended, in principle, to di-hadron FFs and gluon FFs.

$$\Xi_{ij}(k;v) = \operatorname{Disc} \int \frac{d^4\xi}{(2\pi)^4} \, e^{ikx} \, \frac{\operatorname{Tr}_c}{N_c} \langle \Omega | \hat{T} W_1(\infty,\xi;v) \psi_i(\xi) \overline{\psi}_j(0) W_2(0,\infty;v) | \Omega \rangle$$



Partonic picture: gauge invariant dressed quark correlator

- $\blacktriangleright$  only the discontinuity is considered  $\rightarrow$  on-shellness
- the color is neutralized by the average

$$\Xi_{ij}(k;v) = \mathsf{Disc} \int \frac{d^4\xi}{(2\pi)^4} \, e^{ikx} \, \frac{\mathsf{Tr}_c}{N_c} \langle \Omega | \hat{T} W_1(\infty,\xi;v) \psi_i(\xi) \overline{\psi}_j(0) W_2(0,\infty;v) | \Omega \rangle$$



Partonic picture: gauge invariant dressed quark correlator

- only the discontinuity is considered  $\rightarrow$  on-shellness
- the color is neutralized by the average
- Hadronic picture: "fully inclusive jet" correlator
  - X: the complete set of hadronization products crossing the cut
  - $\blacktriangleright$  no hadrons are measured  $\rightarrow$  no need for algorithms to define a jet
  - the scale is defined by the end-point kinematics

$$\Xi_{ij}(k;v) = \mathsf{Disc} \int \frac{d^4\xi}{(2\pi)^4} \, e^{ikx} \, \frac{\mathsf{Tr}_c}{N_c} \langle \Omega | \hat{T} W_1(\infty,\xi;v) \psi_i(\xi) \overline{\psi}_j(0) W_2(0,\infty;v) | \Omega \rangle$$



Partonic picture: gauge invariant dressed quark correlator

- only the discontinuity is considered  $\rightarrow$  on-shellness
- the color is neutralized by the average
- Hadronic picture: "fully inclusive jet" correlator
  - ► X: the complete set of hadronization products crossing the cut
  - $\blacktriangleright$  no hadrons are measured ightarrow no need for algorithms to define a jet
  - the scale is defined by the end-point kinematics

 insights into dynamical generation of mass and momentum and chiral symmetry breaking

$$\Xi_{ij}(k;v) = \operatorname{Disc} \int \frac{d^4\xi}{(2\pi)^4} \, e^{ikx} \, \frac{\operatorname{Tr}_c}{N_c} \langle \Omega | \hat{T} W_1(\infty,\xi;v) \psi_i(\xi) \overline{\psi}_j(0) W_2(0,\infty;v) | \Omega \rangle$$



See Sterman NPB 281 ('87) 310, Chen et al. NPB 763 ('07) 183, Accardi et al. -0805.1496, Collins et al. - 0708.2833 (and refs. therein) (figure from Chen et al.)

- ► Ξ emerges in the factorization theorem for DIS at *large x*, where a new semi-hard scale appears
- ► Ξ captures the physics at  $Q^2(1-x) \sim Q\Lambda_{QCD}$ , which becomes increasingly non-perturbative at low energy and large x
- the end-point factorization should be extend to different processes (e.g. e<sup>+</sup>e<sup>-</sup>)
- ► here we study the properties of Ξ and ∆ regardless of processes

# The quark-jet mass

$$\boxed{M_j(k^-) \sim \int dk^+ \operatorname{Tr}_D\left[\Xi \,\mathbb{I}\right]} \sim \stackrel{+}{\longrightarrow}$$

Mass associated with the scalar term (chiral-odd) of the cut quark propagator:

inclusive "jet mass" or color-screened dressed quark mass

# The quark-jet mass

Mass associated with the scalar term (chiral-odd) of the cut quark propagator:

inclusive "jet mass" or color-screened dressed quark mass

In the light-cone gauge we can relate it to the chiral-odd spectral function for the quark propagator:

$$M_j = \int_0^{+\infty} d\mu^2 \sqrt{\mu^2} \,\rho_1^{lcg}(\mu^2)$$

# The quark-jet mass

Mass associated with the scalar term (chiral-odd) of the cut quark propagator:

inclusive "jet mass" or color-screened dressed quark mass

In the light-cone gauge we can relate it to the chiral-odd spectral function for the quark propagator:

$$M_j = \int_0^{+\infty} d\mu^2 \sqrt{\mu^2} \,\rho_1^{lcg}(\mu^2)$$

This mass term:

gauge-invariant

- renormalization scale dependent
- calculable via the spectral functions of the cut quark propagator

measurable via momentum sum rules for twist-3 FFs

### Outline

Hadronization

Momentum sum rules

Phenomenology



#### Master sum rule - operator level



Dressed quark propagator

as the "average" on-shell four-momentum produced by hadronization

The discontinuity and the Dirac projections of both sides give the momentum sum rules for the FFs

#### Master sum rule - operator level



Dressed quark propagator

as the "average" on-shell four-momentum produced by hadronization

The discontinuity and the Dirac projections of both sides give the momentum sum rules for the FFs

Accardi, AS - 1903.04458 + work in progress extend work by Meissner et al. - 1002.4393

#### Unpolarized sector







The I projection of the operatorial sum rule yields (Accardi, AS - 1903.04458):

$$\left(\sum_{h S_h} \int dz M_h E^h(z) = M_j\right)$$

average of produced hadron masses weighted by chiral-odd  $E\ {\sf FF}$ 

The I projection of the operatorial sum rule yields (Accardi, AS - 1903.04458):

$$\left(\sum_{h \, S_h} \int dz M_h E^h(z) = M_j\right)$$

average of produced hadron masses weighted by chiral-odd  $E\ {\rm FF}$ 

Separation of the jet/quark mass into current and dynamical components:

The I projection of the operatorial sum rule yields (Accardi, AS - 1903.04458):

$$\left(\sum_{h \, S_h} \int dz M_h E^h(z) = M_j\right)$$

average of produced hadron masses weighted by chiral-odd  $E \ \mathsf{FF}$ 

Separation of the jet/quark mass into current and dynamical components:

EOM relations: 
$$E^h = \tilde{E}^h + \frac{m_q}{M_h} z D_1^h$$

The I projection of the operatorial sum rule yields (Accardi, AS - 1903.04458):

$$\left(\sum_{h \, S_h} \int dz M_h E^h(z) = M_j\right)$$

average of produced hadron masses weighted by chiral-odd  $E \ \mathsf{FF}$ 

Separation of the jet/quark mass into current and dynamical components:

EOM relations: 
$$E^h = \tilde{E}^h + \frac{m_q}{M_h} z D_1^h$$

WW approximation:  $\tilde{E}^h = 0 \implies M_j = m_q$ 

The I projection of the operatorial sum rule yields (Accardi, AS - 1903.04458):

$$\left(\sum_{h \, S_h} \int dz M_h E^h(z) = M_j\right)$$

average of produced hadron masses weighted by chiral-odd  $E \ \mathsf{FF}$ 

Separation of the jet/quark mass into current and dynamical components:

EOM relations: 
$$E^h = \tilde{E}^h + \frac{m_q}{M_h} z D_1^h$$

WW approximation:  $\tilde{E}^h = 0 \implies M_j = m_q$ 

In the full QCD, instead, we decompose  $M_j = m_q + m_q^{corr}$ , where

$$\left(\sum_{h \ S_h} \int dz M_h \tilde{E}^h(z) = M_j - m_q = m_q^{corr}\right)$$

The I projection of the operatorial sum rule yields (Accardi, AS - 1903.04458):

$$\left(\sum_{h \, S_h} \int dz M_h E^h(z) = M_j\right)$$

average of produced hadron masses weighted by chiral-odd  $E\ {\sf FF}$ 

Separation of the jet/quark mass into current and dynamical components:

EOM relations: 
$$E^h = \tilde{E}^h + \frac{m_q}{M_h} z D_1^h$$

WW approximation:  $\tilde{E}^h = 0 \implies M_j = m_q$ 

In the full QCD, instead, we decompose  $M_j = m_q + m_q^{corr}$ , where

$$\left(\sum_{h \ S_h} \int dz M_h \tilde{E}^h(z) = M_j - m_q = m_q^{corr}\right)$$

We expect  $m_q^{corr}$  not to vanish in the chiral limit

#### Full set of momentum sum rules

$$\begin{split} \sum_{h \, S_h} \int dz z D_1^h(z) &= 1 \\ \sum_{h \, S_h} \int dz M_h E^h(z) &= M_j \\ \sum_{h \, S_h} \int dz M_h E^h(z) &= M_j \\ \sum_{h \, S_h} \int dz M_h H^h(z) &= 0 \\ \sum_{h \, S_h} \int dz M_h H_1^{\perp (1) \, h}(z) &= 0 \\ \sum_{h \, S_h} \int dz M_h^2 D^{\perp (1) \, h}(z) &= 0 \\ \sum_{h \, S_h} \int dz M_h^2 D^{\perp (1) \, h}(z) &= 0 \\ \sum_{h \, S_h} \int dz M_h^2 G^{\perp (1) \, h}(z) &= 0 \\ \sum_{h \, S_h} \int dz M_h^2 G^{\perp (1) \, h}(z) &= 0 \\ \sum_{h \, S_h} \int dz M_h^2 G^{\perp (1) \, h}(z) &= 0 \\ \end{split}$$

In red the ones connected to dynamical quantities The sum rules for  $D_1$ ,  $H_1^{\perp}$ ,  $\tilde{H}$  are already known in literature

"Excusatio non petita accusatio manifesta", but still ... :

"Excusatio non petita accusatio manifesta", but still ... :

we are working with bare quantities, but since we operate on the basis of some of the symmetries of QCD (Lorentz invariance, P, T invariance) and the argument is related to the conservation of the partonic four-momentum, we expect the equations to be valid *in form* at the renormalized level

"Excusatio non petita accusatio manifesta", but still ... :

- we are working with bare quantities, but since we operate on the basis of some of the symmetries of QCD (Lorentz invariance, P, T invariance) and the argument is related to the conservation of the partonic four-momentum, we expect the equations to be valid *in form* at the renormalized level
- the proof should anyway be generalized to the renormalized case
- quantitative predictions in QCD should consider the renormalization of these operators ( => running of the jet/quark mass, evolution of the (TMD) FFs)

"Excusatio non petita accusatio manifesta", but still ... :

- we are working with bare quantities, but since we operate on the basis of some of the symmetries of QCD (Lorentz invariance, P, T invariance) and the argument is related to the conservation of the partonic four-momentum, we expect the equations to be valid *in form* at the renormalized level
- the proof should anyway be generalized to the renormalized case
- quantitative predictions in QCD should consider the renormalization of these operators ( => running of the jet/quark mass, evolution of the (TMD) FFs)
- ▶ keeping the Wilson lines on the light-cone has the advantage that the structures associated to \u03c8 = \u03c9<sub>+</sub> in the quark propagator emerge only at twist 4
- If 
  *ψ* = *ψ*<sub>+</sub> one has to renormalize the TMD FFs on the light-cone (SCET literature)

### Outline

Hadronization

Momentum sum rules

Phenomenology

# The NJL model of QCD

The Nambu–Jona-Lasinio (NJL) model of QCD is a chiral effective theory which is useful to help understand non-perturbative phenomena in low energy QCD. In particular:

- it encapsulates dynamical chiral symmetry breaking (gap equation)
- it mimics confinement

# The NJL model of QCD

The Nambu–Jona-Lasinio (NJL) model of QCD is a chiral effective theory which is useful to help understand non-perturbative phenomena in low energy QCD. In particular:

- it encapsulates dynamical chiral symmetry breaking (gap equation)
- it mimics confinement

contact four-fermion interaction  $\implies$  non-renormalizable Proper-time regularization scheme: it can incorporate aspects of confinement

# The NJL model of QCD

The Nambu–Jona-Lasinio (NJL) model of QCD is a chiral effective theory which is useful to help understand non-perturbative phenomena in low energy QCD. In particular:

- it encapsulates dynamical chiral symmetry breaking (gap equation)
- it mimics confinement

contact four-fermion interaction  $\implies$  non-renormalizable Proper-time regularization scheme: it can incorporate aspects of confinement

The NJL model has been used to describe:

- hadrons as bound states of quarks
- nuclear matter and nuclei in terms of quarks (medium modifications)
- phases of strongly interacting matter at high densities (e.g. neutron stars, etc.)

(Klevansky - Rev.Mod.Phys. 64 (1992) 649-708)

### The NJL-jet model for FFs



- Within the NJL it is possible to calculate PDFs and FFs by calculating and regularizing the associated Feynman diagrams
- A more realistic model of FFs: take into account that the fragmentation process occurs as a *cascade*: the NJL-jet (Ito et al. - 0906.5362)

### The NJL-jet model for FFs



- Within the NJL it is possible to calculate PDFs and FFs by calculating and regularizing the associated Feynman diagrams
- A more realistic model of FFs: take into account that the fragmentation process occurs as a *cascade*: the NJL-jet (Ito et al. - 0906.5362)

$$D_q^{\pi}(z) = \sum_{m=1}^N \int_0^1 d\eta_1 \cdots \int_0^1 d\eta_N \, 6^N \, \sum_{Q_N} d_q^{Q_1}(\eta_1) \cdots d_{Q_{m-1}}^{\pi}(z) \cdots d_{Q_{N-1}}^{Q_N}(\eta_N)$$

The physical FF  $D^{\pi}_q$  can be calculated from the  $\textit{elementary}~d^{\pi}_q$  solving two integral Volterra equations

#### A single QCD scattering amplitude

Parton distribution functions (PDFs) and FFs: discontinuity of the same QCD scattering amplitude  $\mathcal{A}(k^2, s, u)$  evaluated in different kinematic regions (|x| < 1 for PDFs and |x = 1/z| > 1 for FFs)



$$\Phi(x) = \theta(x)\theta(1-x)\mathsf{D}_{[s]}\mathcal{A} + \theta(-x)\theta(1+x)\mathsf{D}_{[u]}\mathcal{A}$$
$$\Delta(x) = \theta(x-1)\mathsf{D}_{[s]}\mathcal{A} + \theta(-1-x)\mathsf{D}_{[u]}\mathcal{A}$$

#### A single QCD scattering amplitude

Parton distribution functions (PDFs) and FFs: discontinuity of the same QCD scattering amplitude  $\mathcal{A}(k^2, s, u)$  evaluated in different kinematic regions (|x| < 1 for PDFs and |x = 1/z| > 1 for FFs)



Moreover, the Drell-Levy-Yan (DLY) correspondence (which pre-dates QCD) allows one to connect (unpolarized) PDFs and FFs

(in pQCD discussed at the collinear level and up to twist-2)

$$\Delta^{[\Gamma]}(z) = \frac{z}{2N_c} \Phi^{[\Gamma]}(x=1/z) \quad \text{with} \quad \Gamma = \{\gamma^+, \mathbb{I}\} \to \{D_1(z), E(z)\}$$

Gamberg, Mukherjee, Mulders - 1010.4556

Ito et al. - 0906.5362, Blüemlein et al. - Nucl.Phys. B586 (2000) 349-381



#### PRELIMINARY

We estimate  $M_j$ for an up quark in the pion sector at the low-energy model scale (< 0.6 GeV)



#### PRELIMINARY

We estimate  $M_j$ for an up quark in the pion sector at the low-energy model scale (< 0.6 GeV)

$$M_{j(\pi)}^{u} = \sum_{h=\pi^{+,0,-}} m_{\pi} \int dz E_{u}^{h}(z) \sim 0.44 \text{ GeV}$$



#### PRELIMINARY

We estimate  $M_j$ for an up quark in the pion sector at the low-energy model scale (< 0.6 GeV)

$$M^{u}_{j(\pi)} = \sum_{h=\pi^{+,0,-}} m_{\pi} \, \int dz E^{h}_{u}(z) \sim 0.44 \; {\rm GeV}$$

• estimate the current quark mass from the gap equation and calculate  $m_u^{corr}$ 



#### PRELIMINARY

We estimate  $M_j$ for an up quark in the pion sector at the low-energy model scale (< 0.6 GeV)

$$M^{u}_{j(\pi)} = \sum_{h=\pi^{+,0,-}} m_{\pi} \, \int dz E^{h}_{u}(z) \sim 0.44 \; {\rm GeV}$$

- $\blacktriangleright$  estimate the current quark mass from the gap equation and calculate  $m_u^{corr}$
- study the chiral limit



#### PRELIMINARY

We estimate  $M_j$ for an up quark in the pion sector at the low-energy model scale (< 0.6 GeV)

$$M_{j(\pi)}^{u} = \sum_{h=\pi^{+,0,-}} m_{\pi} \int dz E_{u}^{h}(z) \sim 0.44 \text{ GeV}$$

- $\blacktriangleright$  estimate the current quark mass from the gap equation and calculate  $m_u^{corr}$
- study the chiral limit
- compare to (NJL) gap equation:  $M_q = m_q - 4G_{\pi} \langle q\bar{q} \rangle$

Cloët, AS - work in progress

- We can study the phenomenology of the jet/quark dressed mass in (semi-) inclusive hard processes applying the mass sum rule
- interesting but challenging: work in the chiral-odd sector at least at twist-3
- working in collinear factorization :

- We can study the phenomenology of the jet/quark dressed mass in (semi-) inclusive hard processes applying the mass sum rule
- interesting but challenging: work in the chiral-odd sector at least at twist-3
- working in collinear factorization :

$$\ell N^{\uparrow} \to \ell h X \colon h_1(x) \otimes \tilde{E}(z) \qquad \xrightarrow{\text{mass}} \qquad \ell N^{\uparrow} \to \ell X \colon h_1(x) \otimes m_q^{corr}$$



Contribution to the  $g_2$  structure function in inclusive DIS Accardi, Bacchetta - 1706.02000

- We can study the phenomenology of the jet/quark dressed mass in (semi-) inclusive hard processes applying the mass sum rule
- interesting but challenging: work in the chiral-odd sector at least at twist-3
- working in collinear factorization :

$$e^+e^- o h_1^{\uparrow}h_2 X$$
:  $H_1(z_1) \otimes \tilde{E}(z_2) \xrightarrow{\text{mass}} e^+e^- o h^{\uparrow} X$ :  $H_1(z) \otimes m_q^{corr}$ 



Requires both lepton and hadron polarization Accardi, Bacchetta, Radici, AS - work in progress

- We can study the phenomenology of the jet/quark dressed mass in (semi-) inclusive hard processes applying the mass sum rule
- interesting but challenging: work in the chiral-odd sector at least at twist-3
- working in collinear factorization :

$$e^+e^- \to \{h_1h_2\}h_3X \colon H_1^{\triangleleft} \otimes \tilde{E}(z_3) \xrightarrow[]{\text{mass}} e^+e^- \to \{h_1h_2\}X \colon H_1^{\triangleleft} \otimes m_q^{corr}$$



Requires lepton polarization (?) Accardi, Bacchetta, Radici, AS - work in progress

- We can study the phenomenology of the jet/quark dressed mass in (semi-) inclusive hard processes applying the mass sum rule
- interesting but challenging: work in the chiral-odd sector at least at twist-3
- working in collinear factorization :
  - ▶ (?)  $pp^{\uparrow} \rightarrow h_1h_2X \xrightarrow{\text{mass}} f_1(x_1) \otimes h_1(x_2) \otimes D_1(z) \otimes m_q^{corr}$ (fixed-target configuration at LHC)
- (?) potentially also TMD factorization
- in order to make quantitative predictions and extractions the factorization of these processes has to be addressed

#### Conclusions

we can quantitatively connect quark fragmentation to the dynamical generation of mass and transverse momentum

- gauge-invariant definition for jet/color-screend quark mass, M<sub>j</sub>
- its dynamical component  $m_q^{corr}$  is recognized as an observable order parameter for chiral-simmetry breaking
- calculate/measure  $\tilde{E}$ : obtain dynamical mass  $m_a^{corr}$
- momentum sum rules: powerful tool, investigate also renormalization properties
- within the WW approximation one fails to account -in principle- for the dynamical components of the mass and the transverse momentum
- phenomenology of the dressed quark mass in semi-inclusive processes: M<sub>j</sub> can serve as a handle to access the chiral-odd sector of hadron structure and hadronization: work in progress
- possibility to measure  $M_j$ ,  $m_q^{corr}$  in these processes

## Hadronization and fellowships



From Sept. 2019 I will start a research program in partnership between the University of Pavia and JSA/JLab centered around hadronization

Inputs/ideas/discussions are welcome!