Workshop on Novel Probes of the Nucleon Structure in SIDIS, e⁺e⁻ and pp (FF2019) Duke University - March 14-16, 2019

Fragmentation in hadron leptoproduction

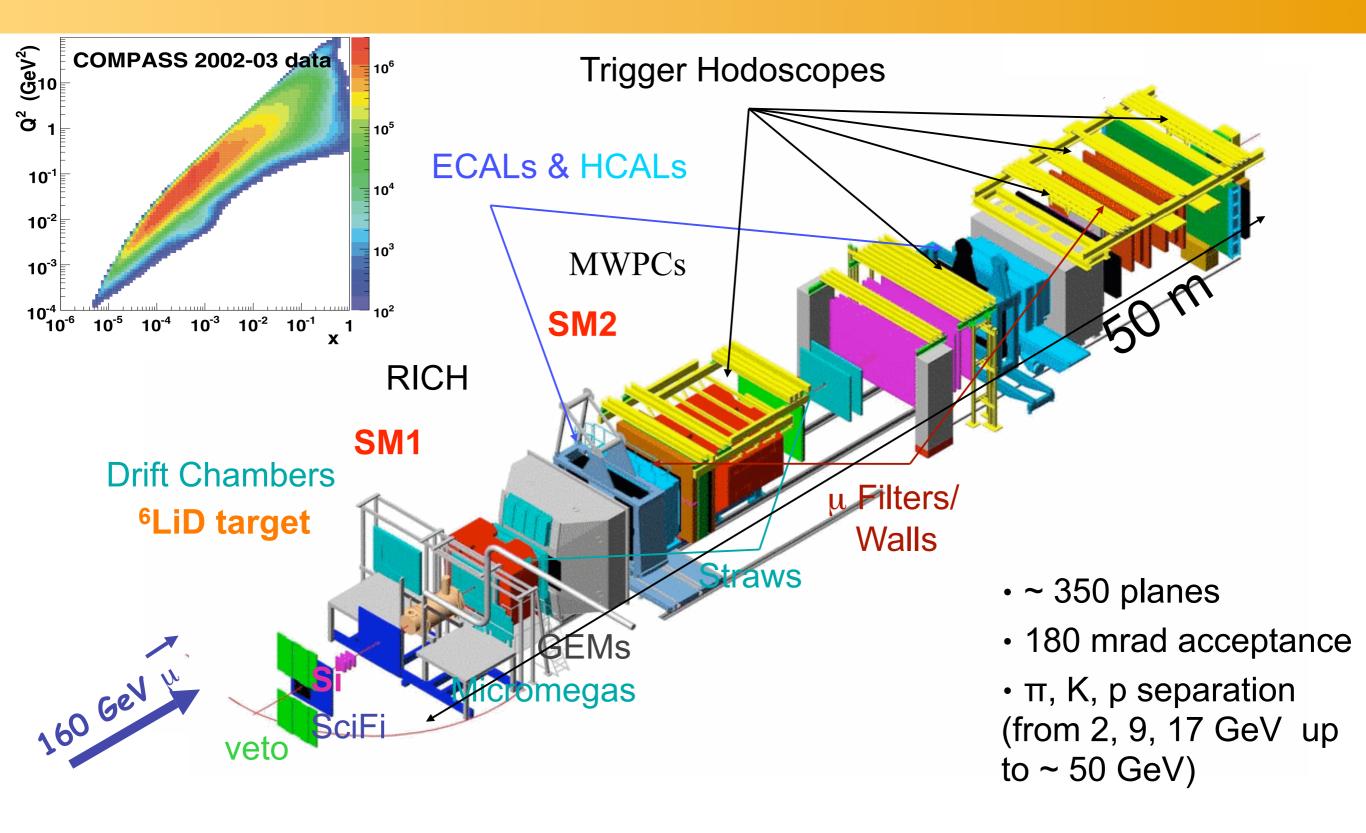
selected recent SIDIS results from COMPASS & HERMES



Gunar.Schnell @ DESY.de

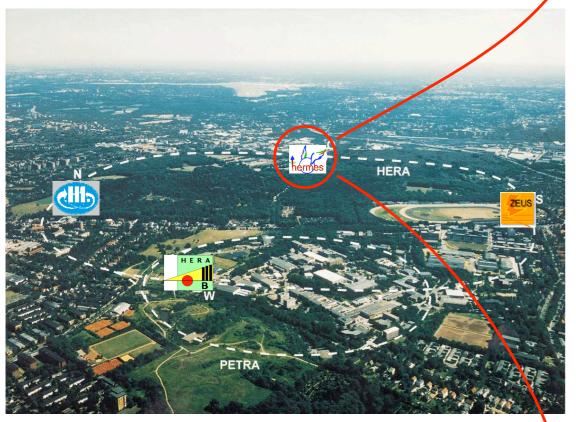


COMPASS @ CERN



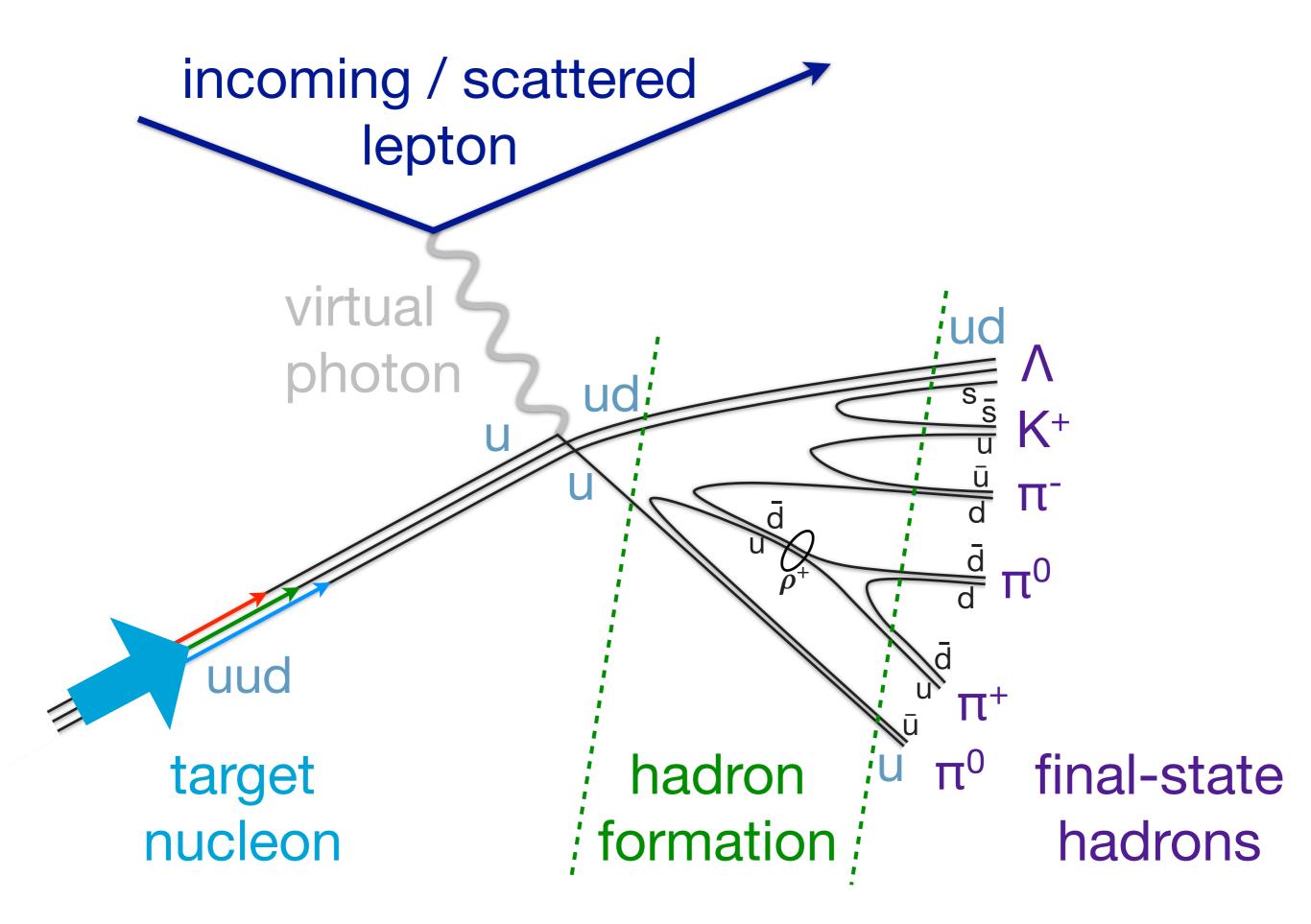
HERMES (†2007) @ DESY

27.6 GeV polarized e⁺/e⁻ beam scattered off ...

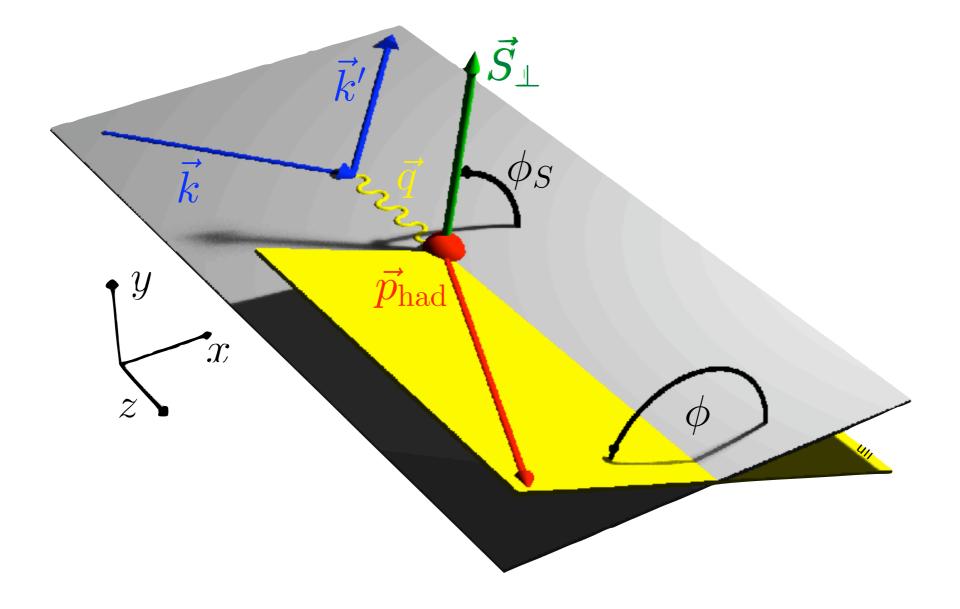


- unpolarized (H, D, He,..., Xe)
- as well as transversely (H) and
longitudinally (H, D, He) polarized
(pure) gas targets

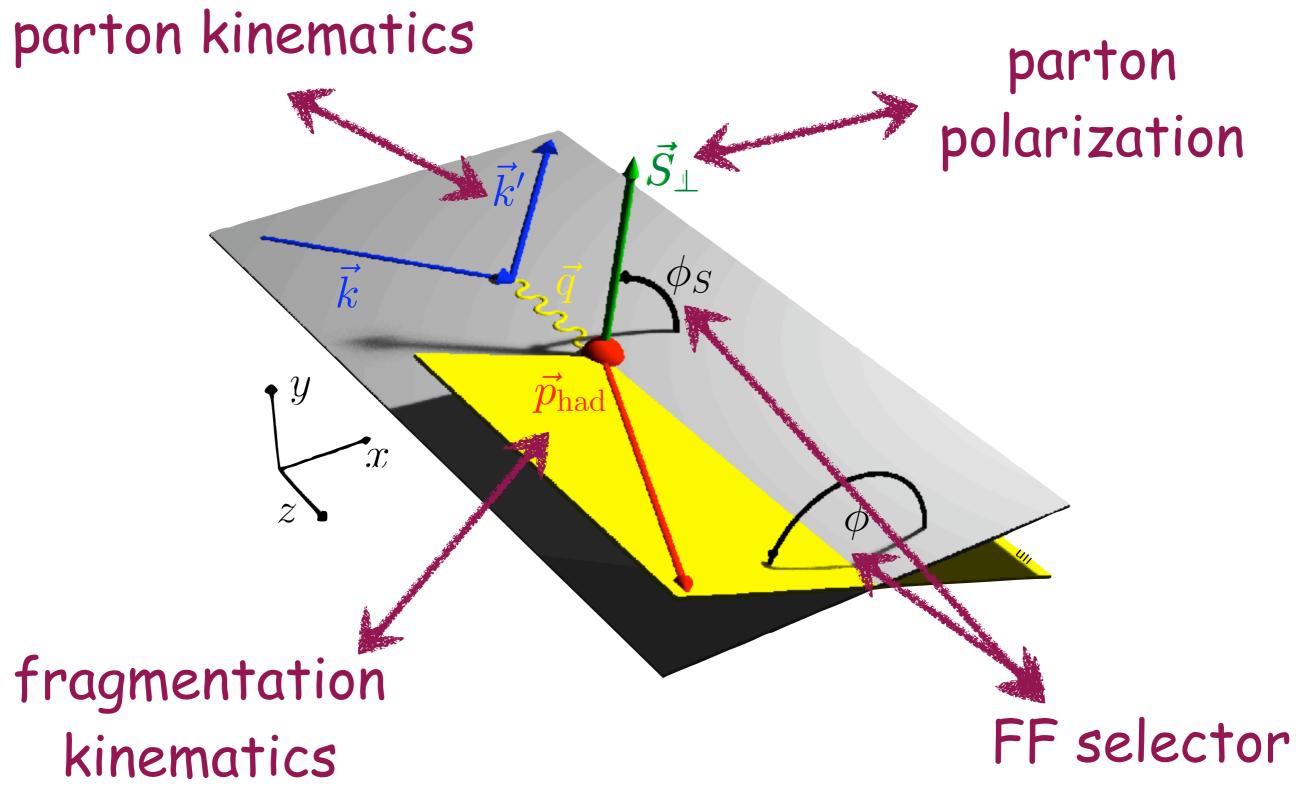




one-hadron production ($ep \rightarrow ehX$)



one-hadron production ($ep \rightarrow ehX$)



Spin-momentum structure of the nucleon

$$\frac{1}{2} \operatorname{Tr} \left[\left(\gamma^{+} + \lambda \gamma^{+} \gamma_{5} \right) \Phi \right] = \frac{1}{2} \left[f_{1} + S^{i} \epsilon^{ij} k^{j} \frac{1}{m} f_{1T}^{\perp} + \lambda \Lambda g_{1} + \lambda S^{i} k^{i} \frac{1}{m} g_{1T} \right]$$

$$\frac{1}{2} \operatorname{Tr} \left[\left(\gamma^{+} - s^{j} i \sigma^{+j} \gamma_{5} \right) \Phi \right] = \frac{1}{2} \left[f_{1} + S^{i} \epsilon^{ij} k^{j} \frac{1}{m} f_{1T}^{\perp} + s^{i} \epsilon^{ij} k^{j} \frac{1}{m} h_{1}^{\perp} + s^{i} S^{i} h_{1} \right]$$

$$+ s^{i} (2k^{i}k^{j} - \mathbf{k}^{2}\delta^{ij})S^{j} \frac{1}{2m^{2}} h_{1T}^{\perp} + \Lambda s^{i}k^{i} \frac{1}{m} h_{1L}^{\perp}$$

UULTIO f_1 L h_1^{\perp} ID f_1 g_{1L} h_{1L}^{\perp} ID f_{1T}^{\perp} g_{1T} h_1, h_{1T}^{\perp}

quark pol.

- each TMD describes a particular spinmomentum correlation
- functions in black survive integration over transverse momentum
- functions in green box are chirally odd
- functions in red are naive T-odd

Spin-momentum structure of the nucleon

pretzelosity green box are chirally odd

functions in red are naive T-odd

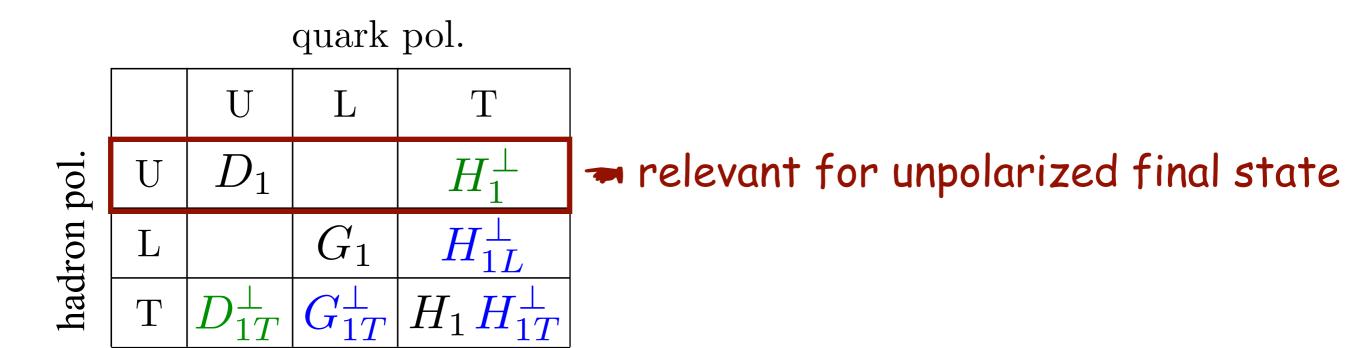
transversity

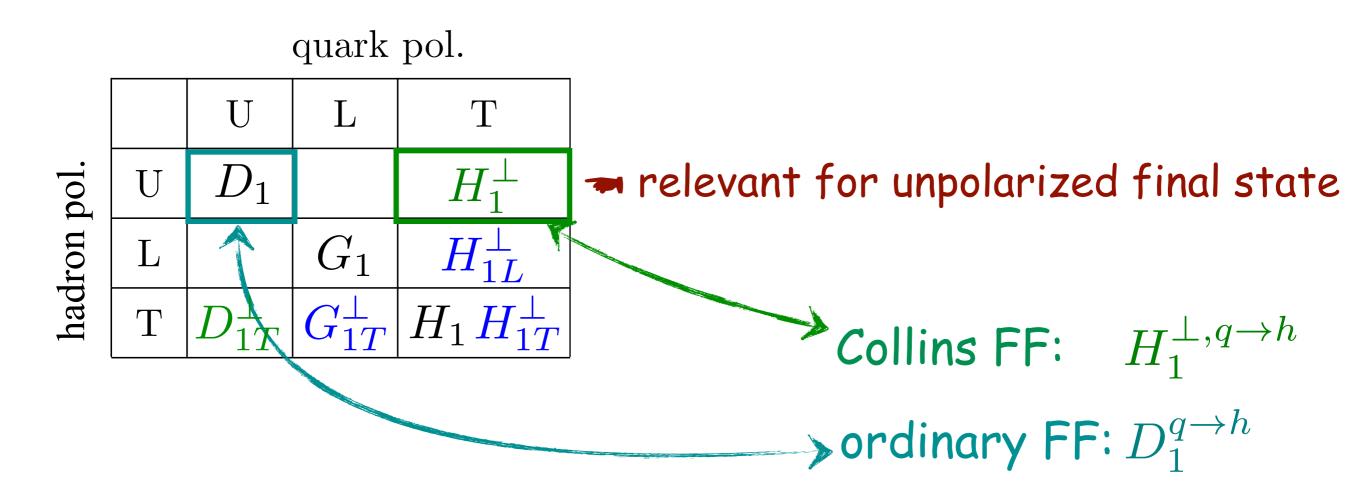
Sivers

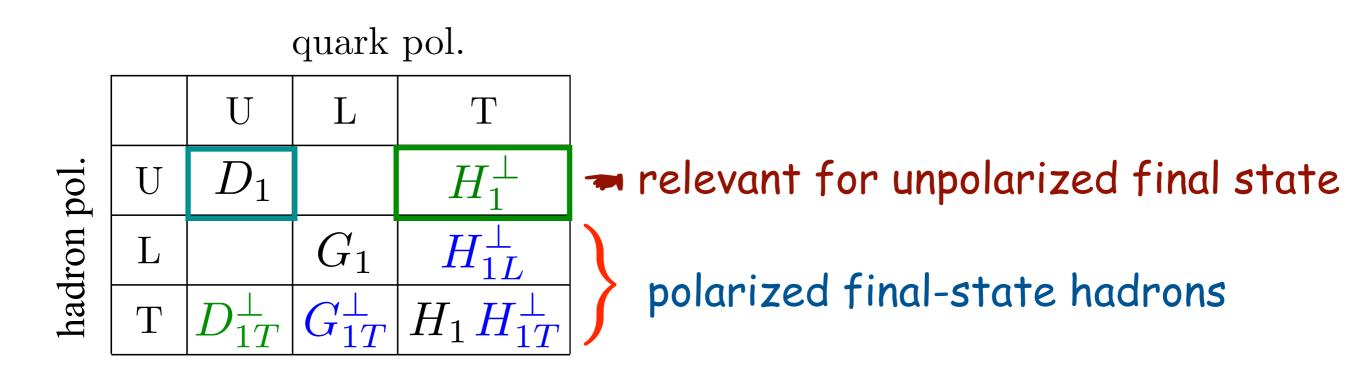
Gunar Schnen

worm-gear

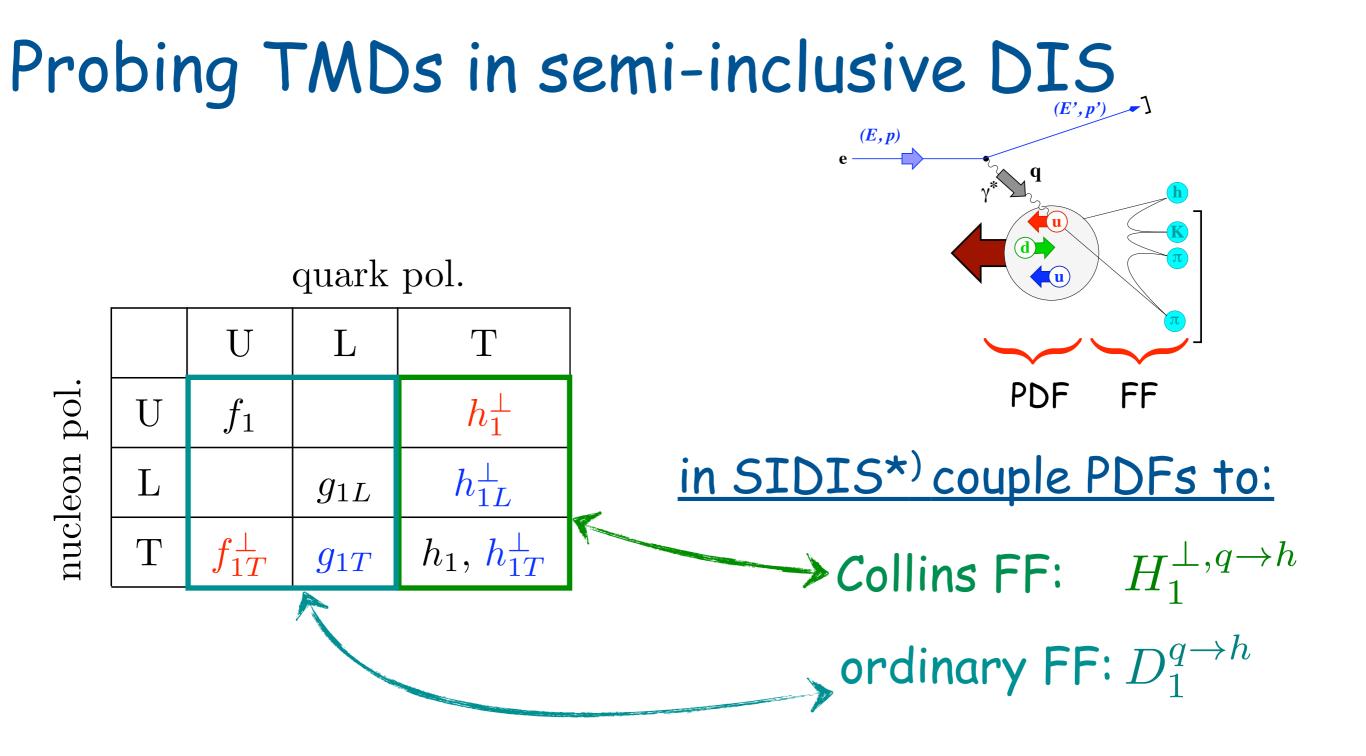
		quark pol.		
hadron pol.		U	L	Т
	U	D_1		H_1^{\perp}
	L		G_1	H_{1L}^{\perp}
	Т	D_{1T}^{\perp}	G_{1T}^{\perp}	$H_1 H_{1T}^{\perp}$







- 6 out of 8 require final-state polarimetry
 - most accessible: hyperons (parity-violating decay), but
 - Iower production rate
 - spin structure often dominated by strange quarks
- (even) more involved: dihadron fragmentation functions



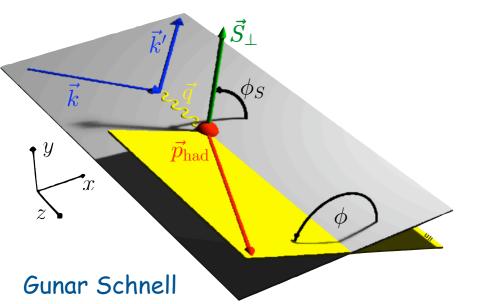
*) semi-inclusive DIS with unpolarized final state

one-hadron production ($ep \rightarrow ehX$)

$$d\sigma = d\sigma_{UU}^{0} + \cos 2\phi \, d\sigma_{UU}^{1} + \frac{1}{Q} \cos \phi \, d\sigma_{UU}^{2} + \lambda_{e} \frac{1}{Q} \sin \phi \, d\sigma_{LU}^{3}$$
$$+ S_{L} \left\{ \sin 2\phi \, d\sigma_{UL}^{4} + \frac{1}{Q} \sin \phi \, d\sigma_{UL}^{5} + \lambda_{e} \left[d\sigma_{LL}^{6} + \frac{1}{Q} \cos \phi \, d\sigma_{LL}^{7} \right] \right\}$$

$$+S_T \left\{ \sin(\phi - \phi_S) \, d\sigma_{UT}^8 + \sin(\phi + \phi_S) \, d\sigma_{UT}^9 + \sin(3\phi - \phi_S) \, d\sigma_{UT}^{10} \right\}$$

$$\begin{array}{c} \sigma_{XY} \\ \downarrow \\ \textbf{Beam Target} \\ \textbf{Polarization} \end{array} + \frac{1}{Q} \left(\sin(2\phi - \phi_S) \ d\sigma_{UT}^{11} + \sin\phi_S \ d\sigma_{UT}^{12} \right) \\ + \lambda_e \left[\cos(\phi - \phi_S) \ d\sigma_{LT}^{13} + \frac{1}{Q} \left(\cos\phi_S \ d\sigma_{LT}^{14} + \cos(2\phi - \phi_S) \ d\sigma_{LT}^{15} \right) \right] \right\}$$



Mulders and Tangermann, Nucl. Phys. B 461 (1996) 197 Boer and Mulders, Phys. Rev. D 57 (1998) 5780 Bacchetta et al., Phys. Lett. B 595 (2004) 309 Bacchetta et al., JHEP 0702 (2007) 093 "Trento Conventions", Phys. Rev. D 70 (2004) 117504 9 FF2019, Duke U.

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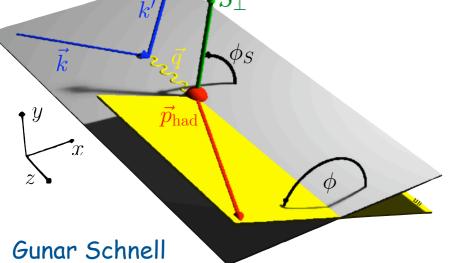
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$$+ \frac{1}{Q} \left(\sin(2\phi - \phi_{S}) \, d\sigma_{UT}^{11} + \sin \phi_{S} \, d\sigma_{UT}^{12} \right)$$

$$+ \lambda_{e} \left[\cos(\phi - \phi_{S}) \, d\sigma_{LT}^{13} + \frac{1}{Q} \left(\cos \phi_{S} \, d\sigma_{LT}^{14} + \cos(2\phi - \phi_{S}) \, d\sigma_{LT}^{15} \right) \right] \right\}$$
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Beam

Boer and Mulders, Phys. Rev. D 57 (1998) 5780 Bacchetta et al., Phys. Lett. B 595 (2004) 309 Bacchetta et al., JHEP 0702 (2007) 093 "Trento Conventions", Phys. Rev. D 70 (2004) 117504 FF2019, Duke U.

... possible measurements

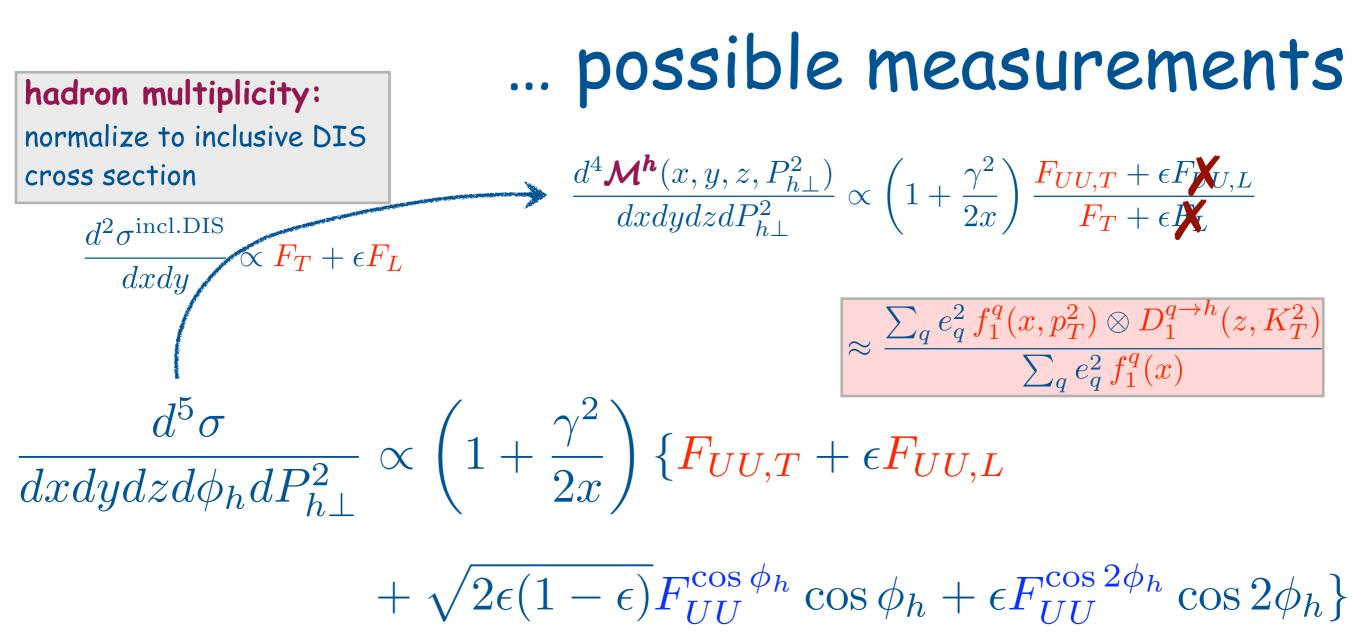
$$\frac{d^5\sigma}{dxdydzd\phi_h dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1-\epsilon)}F_{UU}^{\cos\phi_h}\cos\phi_h + \epsilon F_{UU}^{\cos 2\phi_h}\cos 2\phi_h\}$$

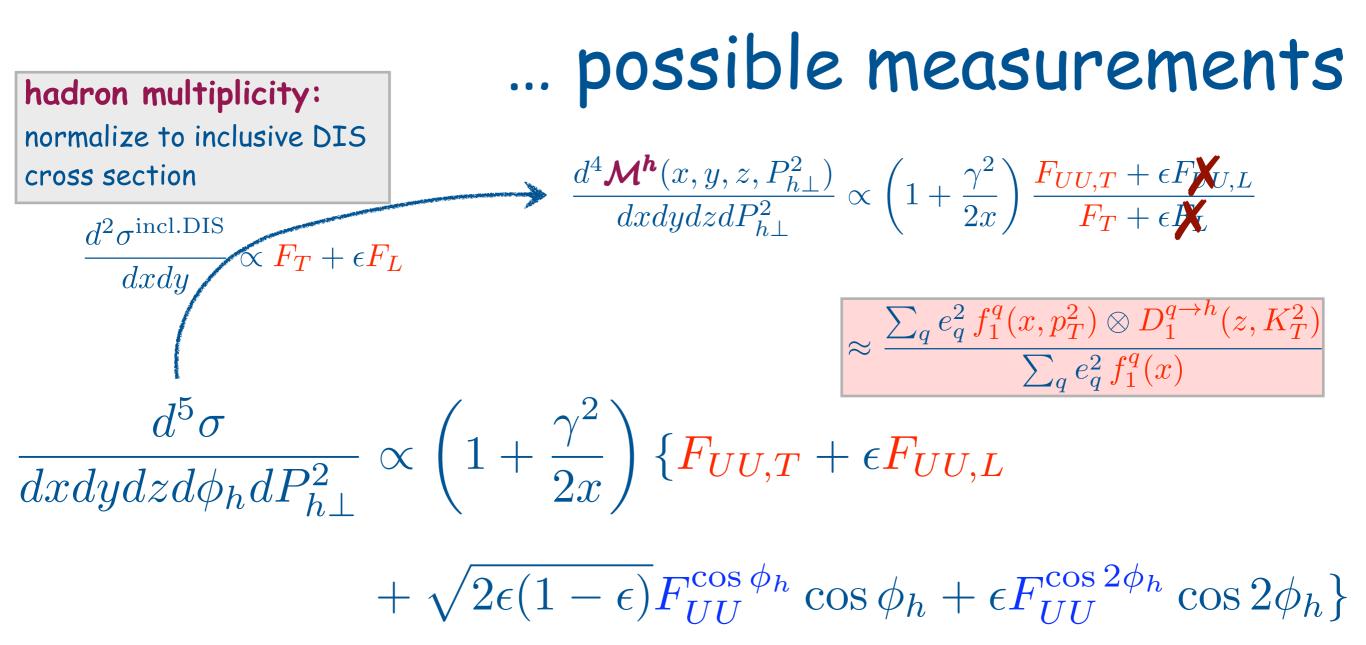
hadron multiplicity: normalize to inclusive DIS cross section

... possible measurements

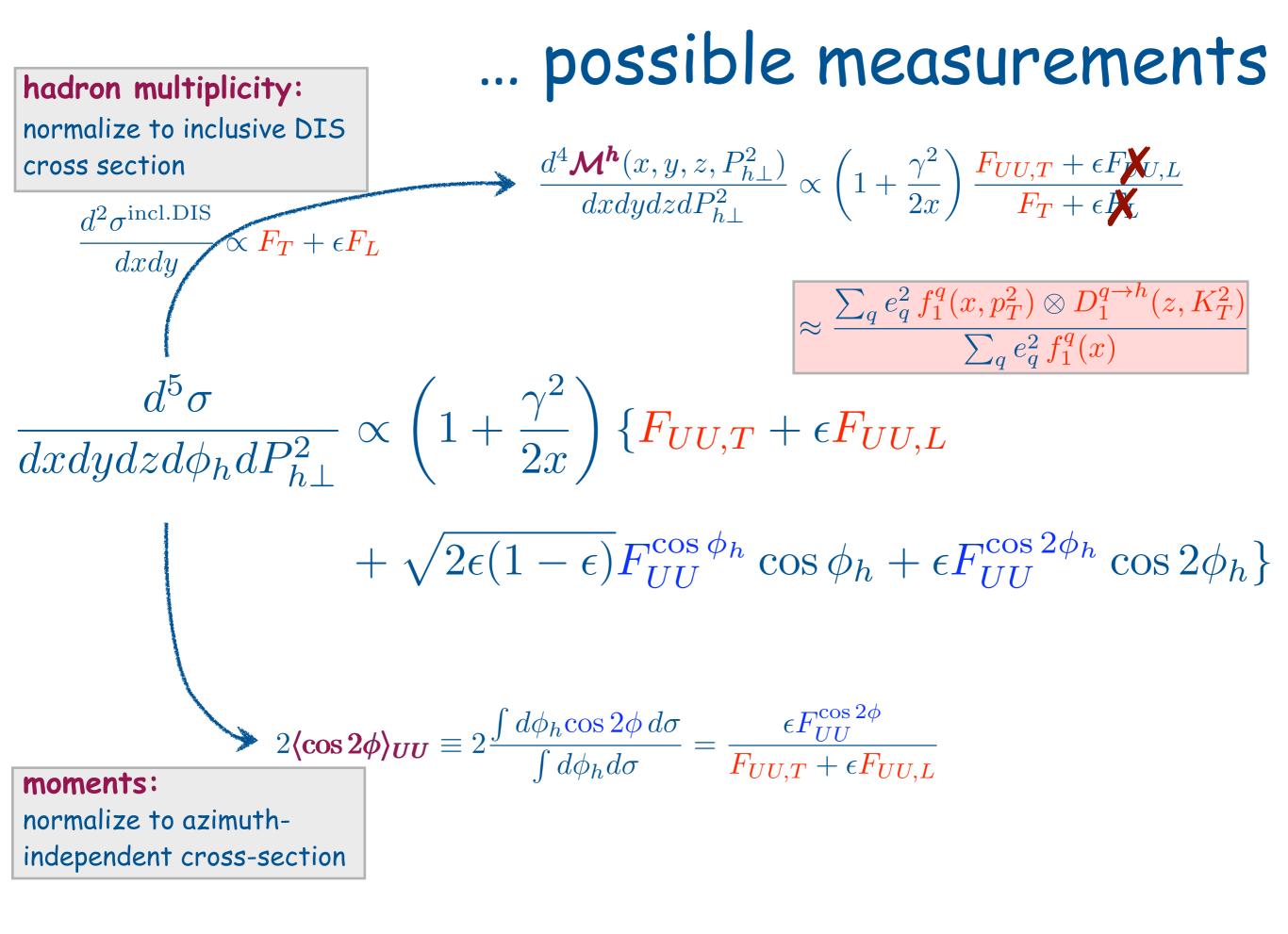
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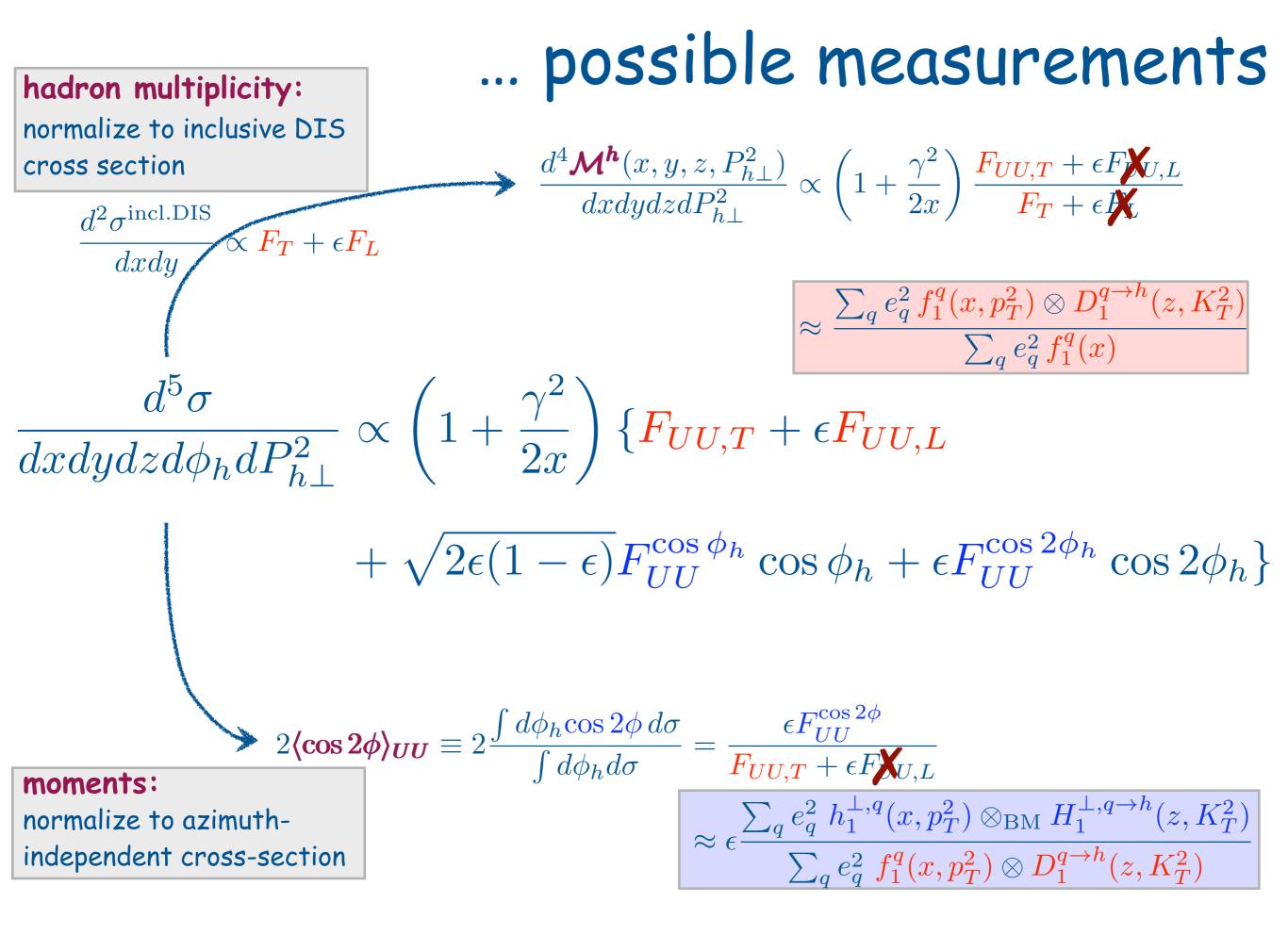
$$\begin{array}{c} \text{hadron multiplicity:}\\ \text{normalize to inclusive DIS}\\ \text{cross section} \\ \hline \\ \frac{d^2\sigma^{\text{incl.DIS}}}{dxdy} \propto F_T + \epsilon F_L \\ \hline \\ \frac{d^5\sigma}{dxdydzd\phi_h dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \frac{F_{UU,T} + \epsilon F_{UU,L}}{F_T + \epsilon F_L} \\ \hline \\ \frac{d^5\sigma}{dxdydzd\phi_h dP_{h\perp}^2} \propto \left(1 + \frac{\gamma^2}{2x}\right) \left\{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1 - \epsilon)}F_{UU}^{\cos\phi_h}\cos\phi_h + \epsilon F_{UU}^{\cos2\phi_h}\cos2\phi_h\right\} \\ \end{array}$$





moments: normalize to azimuthindependent cross-section





... azimuthal spin asymmetries

$$A_{UT}(\phi,\phi_S) = \frac{1}{\langle |S_{\perp}| \rangle} \frac{N_h^{\uparrow}(\phi,\phi_S) - N_h^{\downarrow}(\phi,\phi_S)}{N_h^{\uparrow}(\phi,\phi_S) + N_h^{\downarrow}(\phi,\phi_S)}$$

$$\sim \sin(\phi + \phi_S) \sum_{q} e_q^2 \mathcal{I} \left[\frac{k_T \hat{P}_{h\perp}}{M_h} h_1^q(x, p_T^2) H_1^{\perp, q}(z, k_T^2) \right]$$

fit azimuthal modulations, e.g., using maximum-likelihood method

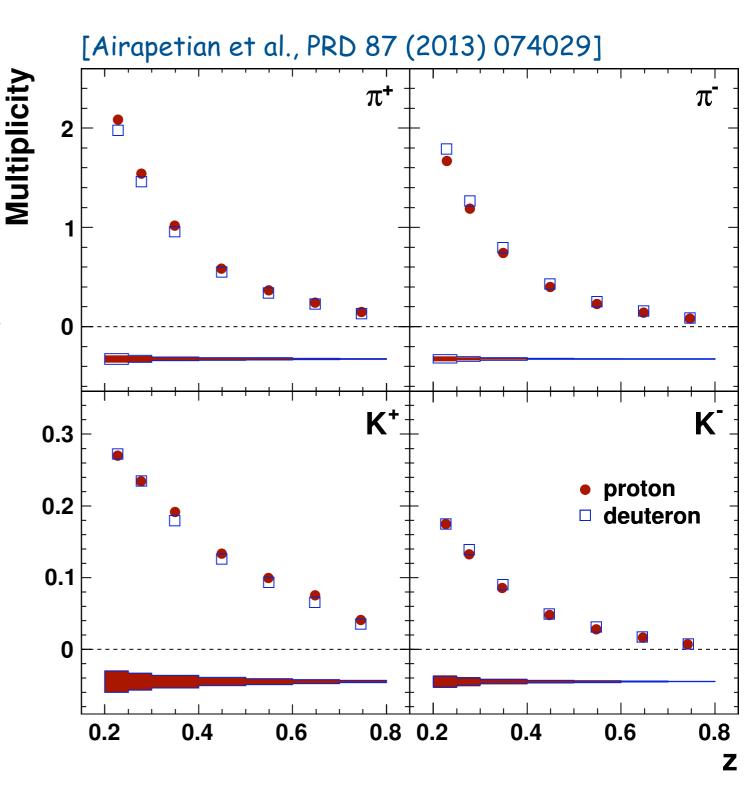
 $PDF(2\langle\sin(\phi\pm\phi_S)\rangle_{UT},\ldots,\phi,\phi_S) = \frac{1}{2}\{1+P_T(2\langle\sin(\phi\pm\phi_S)\rangle_{UT}\sin(\phi\pm\phi_S)+\ldots)\}$



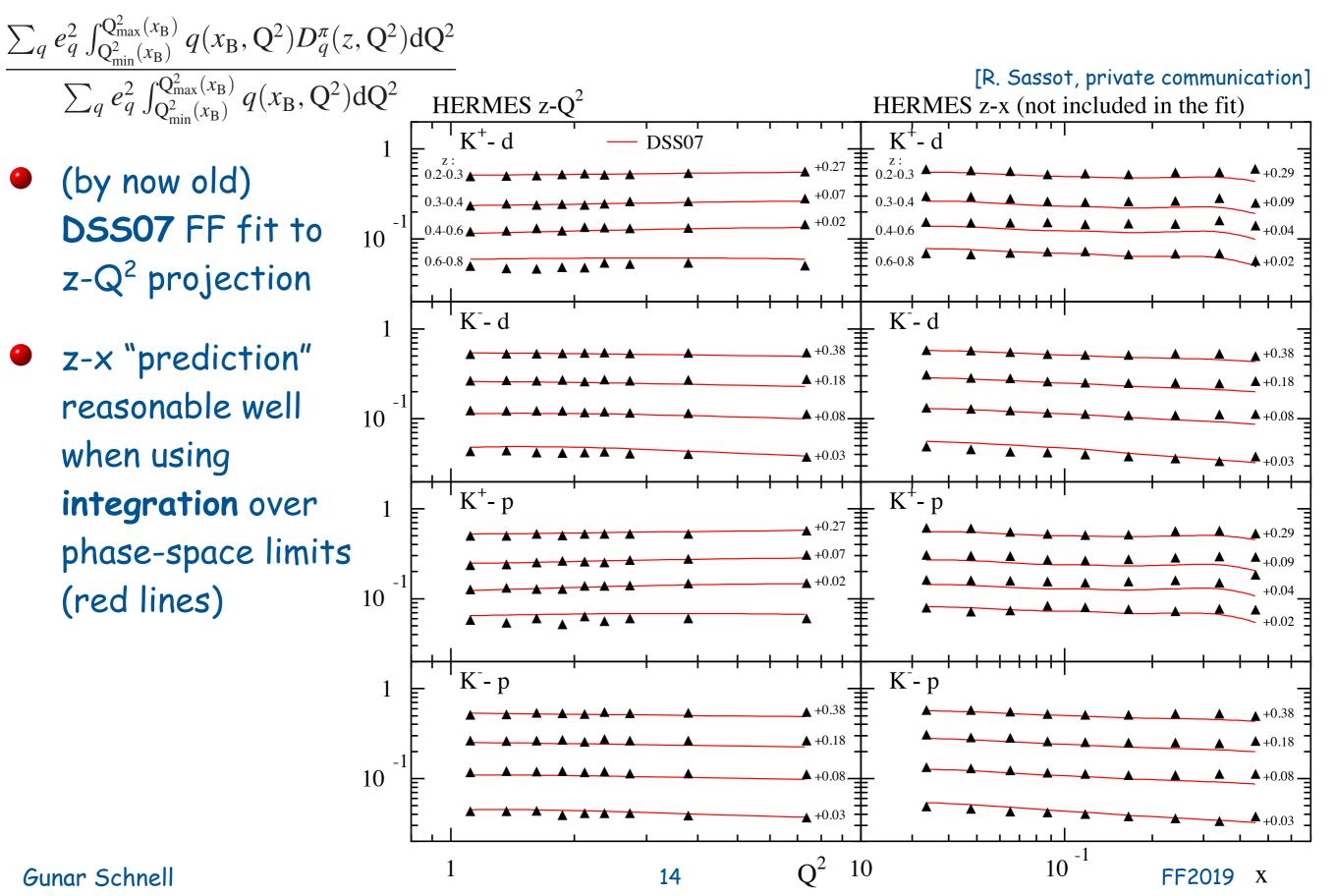
multiplicities @ HERMES

- remember: M = SIDIS / DIS
- extensive data set on pure proton and deuteron targets for identified charged mesons
 - access to flavor dependence of fragmentation through different mesons & targets
- input to fragmentation function analyses
- extracted in a 3-dimensional unfolding procedure:
 - (x, z, $P_{h\perp}$)

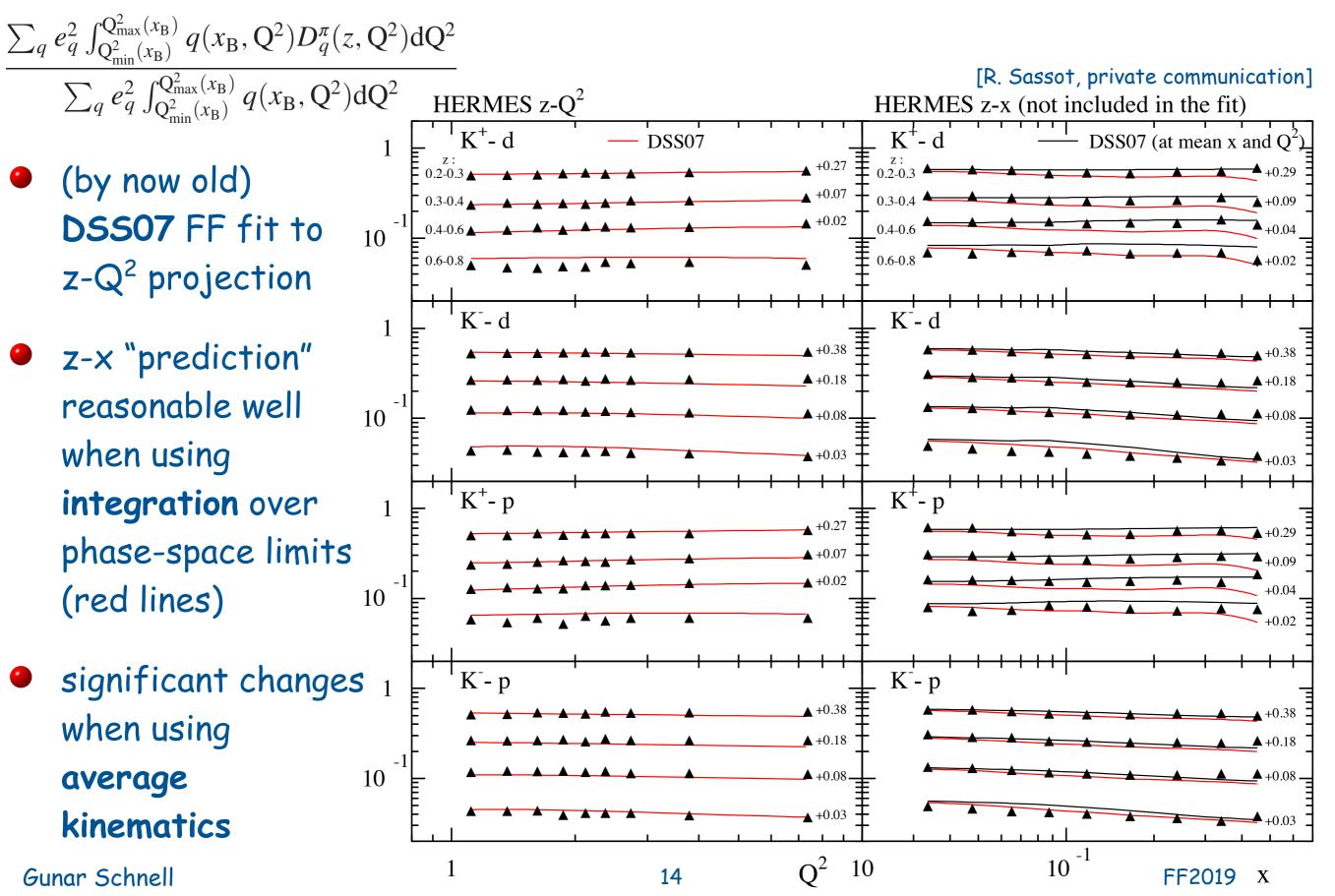
• (Q², z, P_{h⊥})

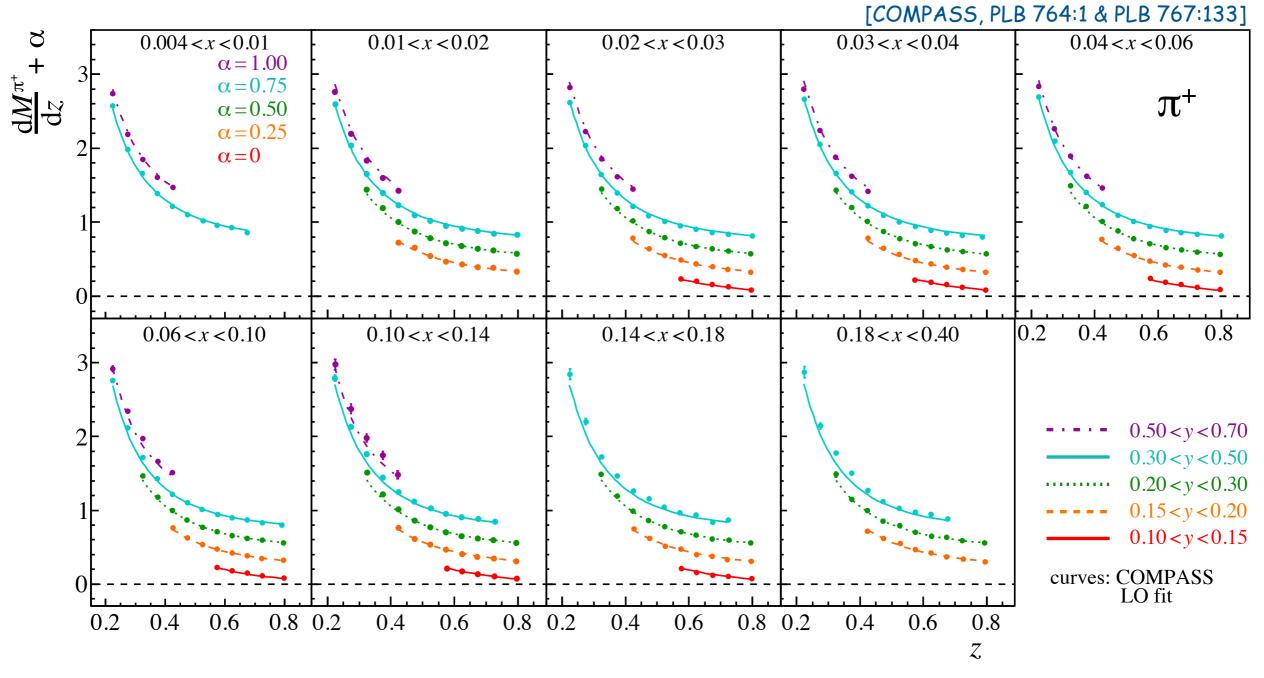


integrating vs. using average kinematics



integrating vs. using average kinematics

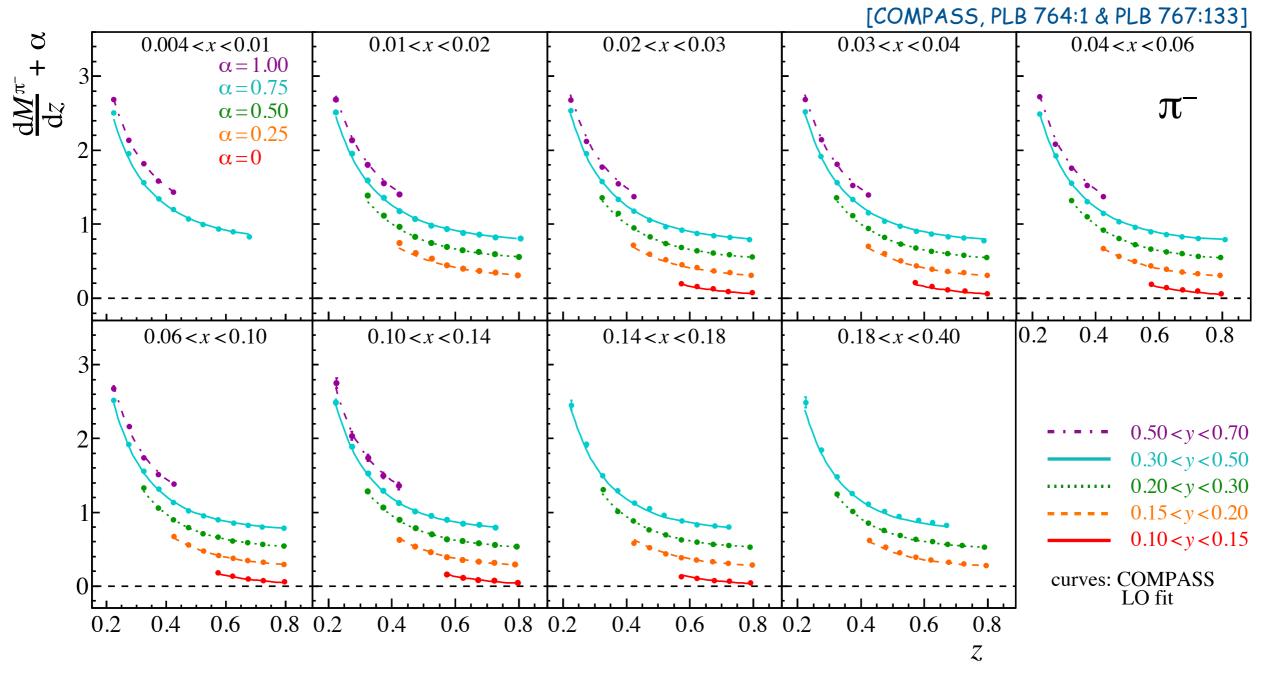




very precise data for pions and kaons, in a large kinematic range

available in 3d binning in x, y, z

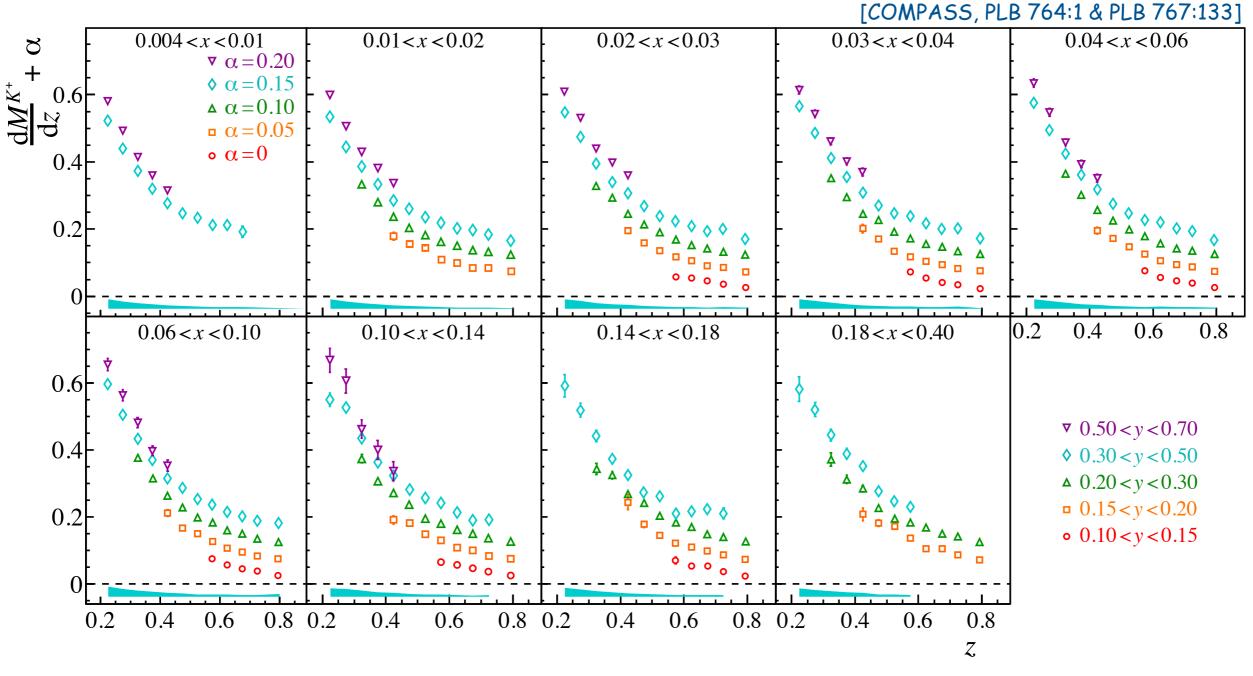
• follows expected hierarchy: $\pi^+ > \pi^- > K^+ > K^-$ Gunar Schnell



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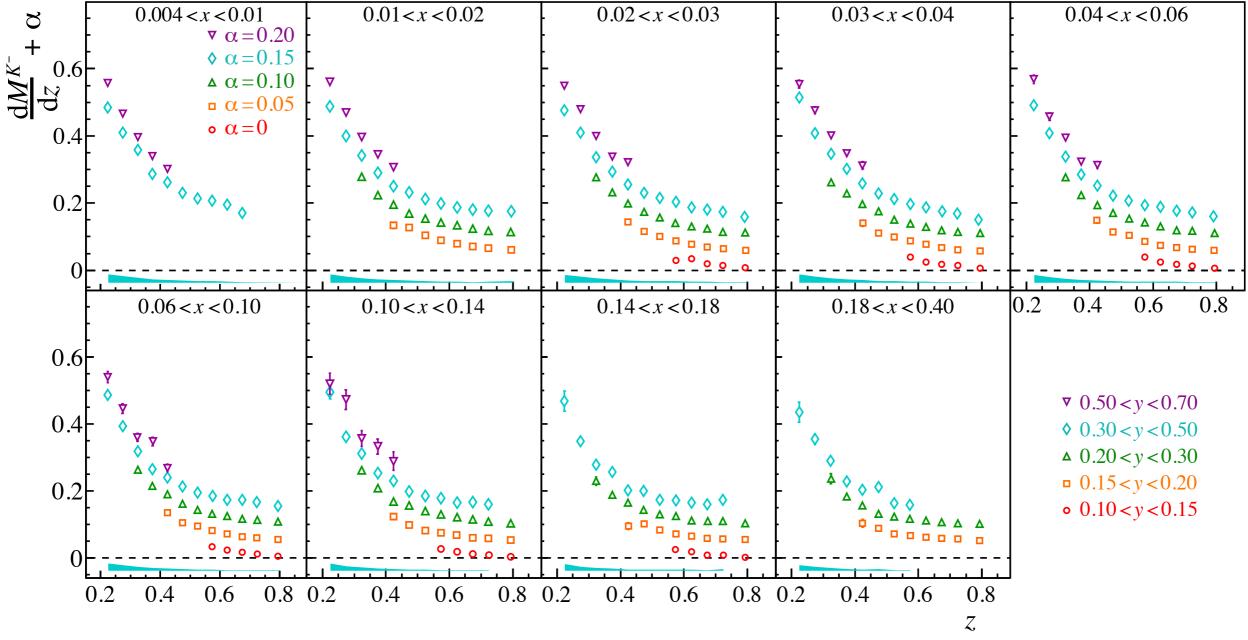


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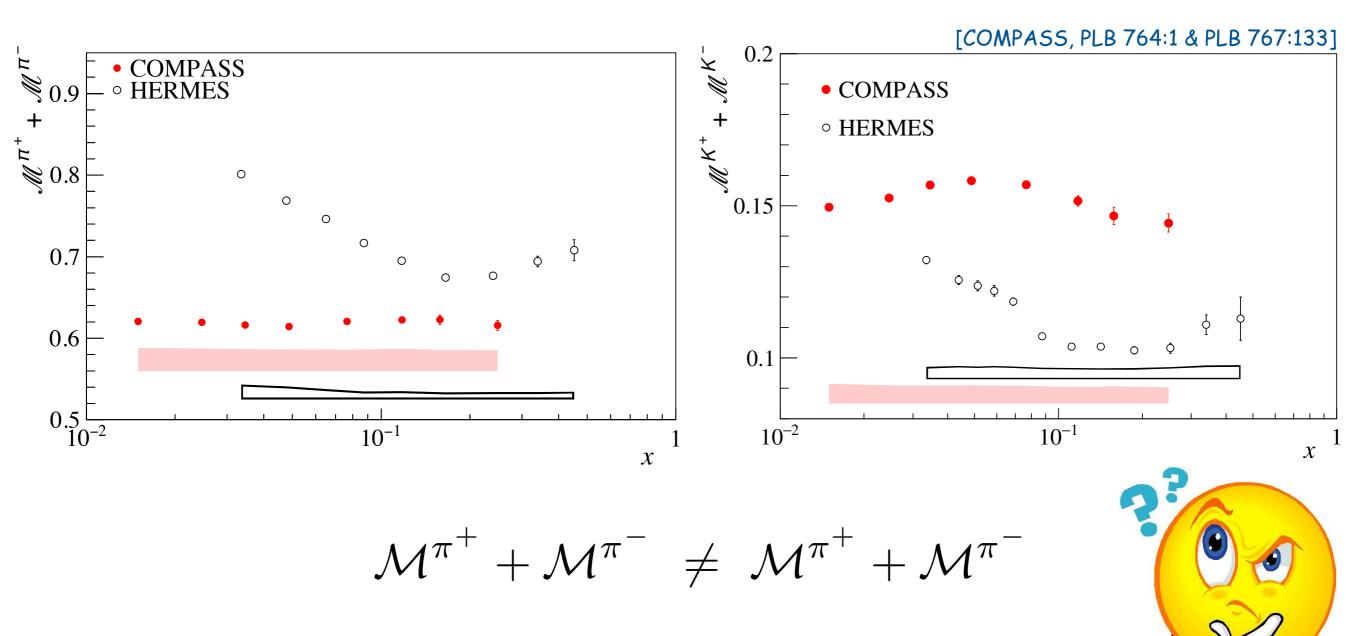




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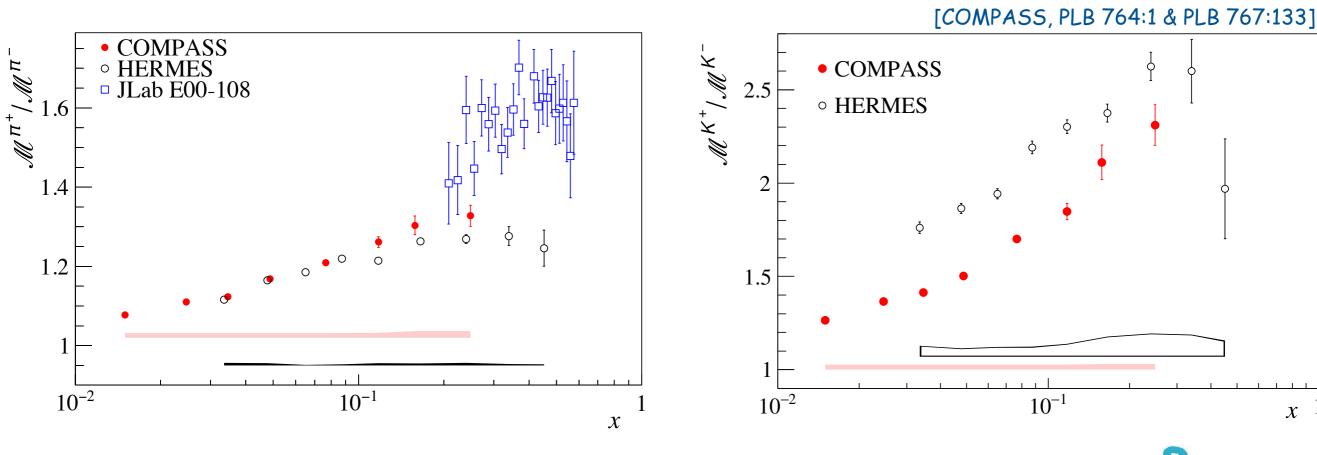
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multiplicities @ COMPASS & HERMES



- COMPASS: weighted average over y
- HERMES: integral over Q² range of each x-bin

multiplicities @ COMPASS & HERMES

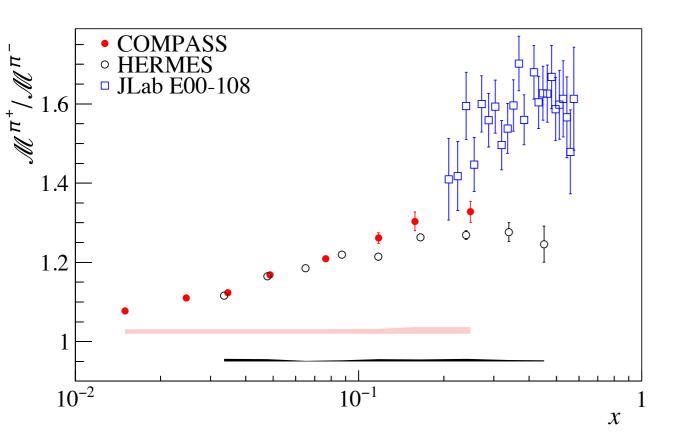


- good agreement for pions
- larger suppression of disfavored K⁻ production at HERMES

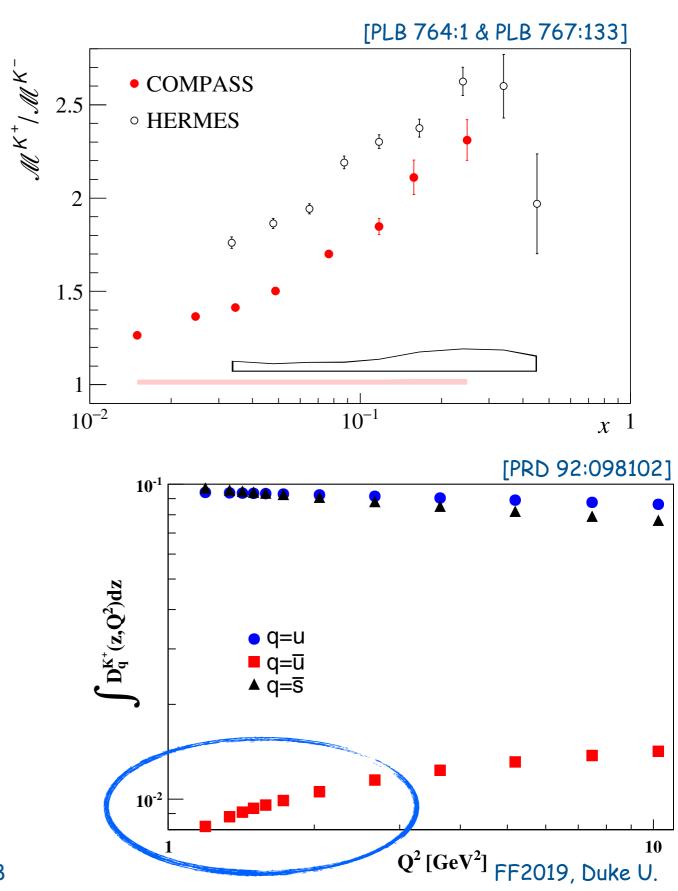


<u>x</u>]

multiplicities @ COMPASS & HERMES



- good agreement for pions
- Iarger suppression of disfavored K⁻ production at HERMES
- large suppression of disfavored kaon FF at low Q² in DSS set

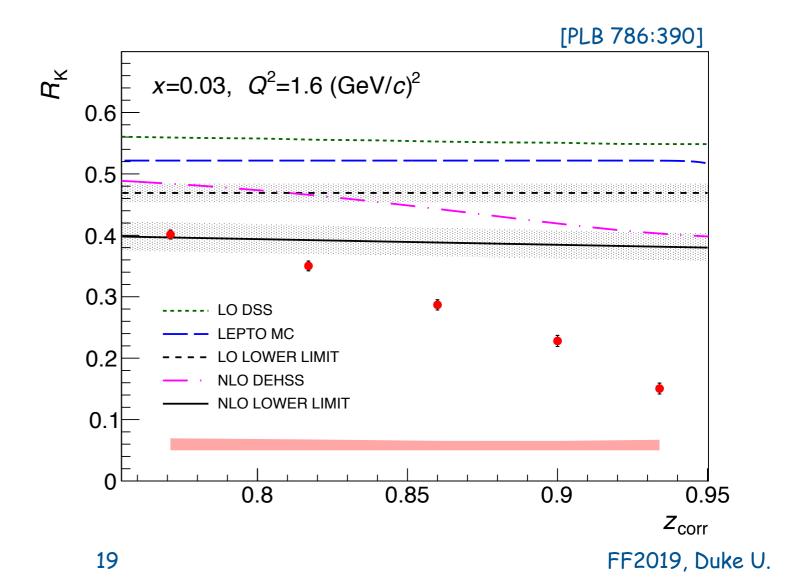


high-z multiplicities @ COMPASS

- look at kaon multiplicity ratio $R_{\rm K}(x, Q^2, z)$
- neglecting disfavored and strange fragmentation
- yields lower bound driven by light-quark PDFs:

 $= \frac{dM^{K^{-}}(x, Q^{2}, z)/dz}{dM^{K^{+}}(x, Q^{2}, z)/dz}$ $= \frac{d(\bar{u} + \bar{d})D_{c}}{d(\bar{u} + \bar{d})D_{c}} + (5u + 5d + \bar{u} + \bar{d} + 2\bar{s})D_{c} + (5u + \bar{s} + \bar{s$

 $= \frac{4(\bar{u}+\bar{d})D_{fav} + (5u+5d+\bar{u}+\bar{d}+2\bar{s})D_{unf} + 2sD_{str}}{4(u+d)D_{fav} + (5\bar{u}+5\bar{d}+u+d+2s)D_{unf} + 2\bar{s}D_{str}}$

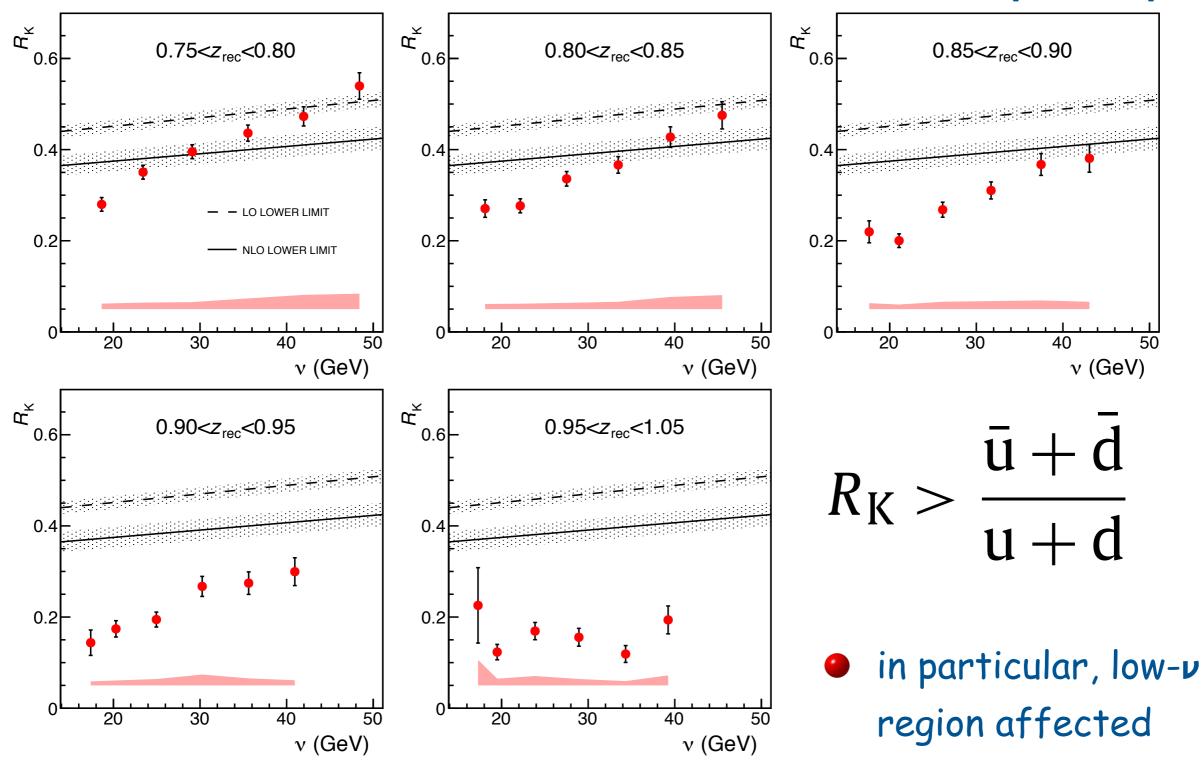


 $R_{\rm K} > \frac{\bar{\rm u} + \rm d}{{\rm u} + \rm d}$

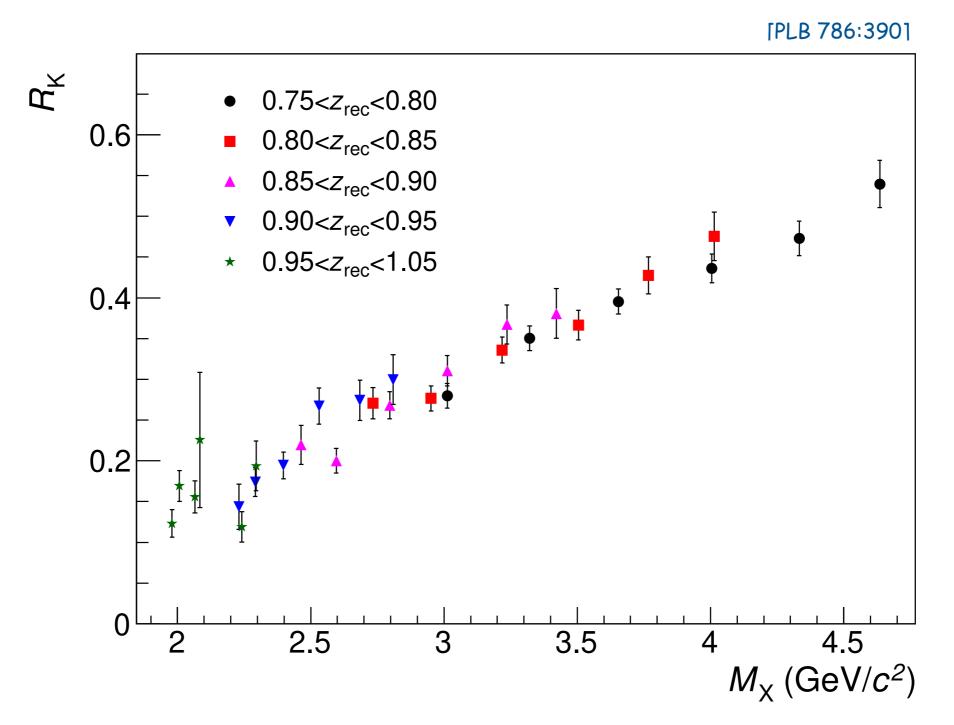
... in variance with data

high-z multiplicities @ COMPASS

[PLB 786:390]



high-z multiplicities @ COMPASS



not so surprising: strong suppression mainly at low missing mass, where phase space for "independent" fragmentation tight
 Gunar Schnell
 FF2019, Duke U.

$P_{h\perp}$ -multiplicity landscape

	EMC [11]	HERMES [15]	JLAB [31]	COMPASS [16]	COMPASS (This paper)
Target	p/d	p/d	d	d	d
Beam energy (GeV)	100–280	27.6	5.479	160	160
Hadron type	h^{\pm}	$\pi^{\pm},~\mathrm{K}^{\pm}$	π^{\pm}	h^{\pm}	h^{\pm}
Observable	$M^{h^++h^-}$	M^h	σ^h	M^h	M^h
$Q_{\min}^2 \; ({ m GeV}/c)^2$	2/3/4/5	1	2	1	1
$W_{\rm min}^2 ~({\rm GeV}/c^2)^2$	-	10	4	25	25
y range	[0.2,0.8]	[0.1,0.85]	[0.1,0.9]	[0.1,0.9]	[0.1,0.9]
x range	[0.01,1]	[0.023,0.6]	[0.2,0.6]	[0.004,0.12]	[0.003, 0.4]
$P_{\rm hT}^2$ range $({\rm GeV}/c)^2$	[0.081, 15.8]	[0.0047,0.9]	[0.004,0.196]	[0.02,0.72]	[0.02,3]

- [11] J. Ashman et al. (EMC), Z. Phys.C 52, 361 (1991).
- [15] A. Airapetian et al. (HERMES), Phys. Rev. D87, 074029 (2013).
- [16] C. Adolph et al. (COMPASS), Eur. Phys. J. C73, 2531 (2013); 75, 94(E) (2015).
- [31] R. Asaturyan et al., Phys. Rev. C 85, 015202 (2012).
- ["This paper"] M. Aghasyan et al. (COMPASS), Phys. Rev. D 97, 032006 (2018).

... as well as more limited measurements by H1 and Zeus

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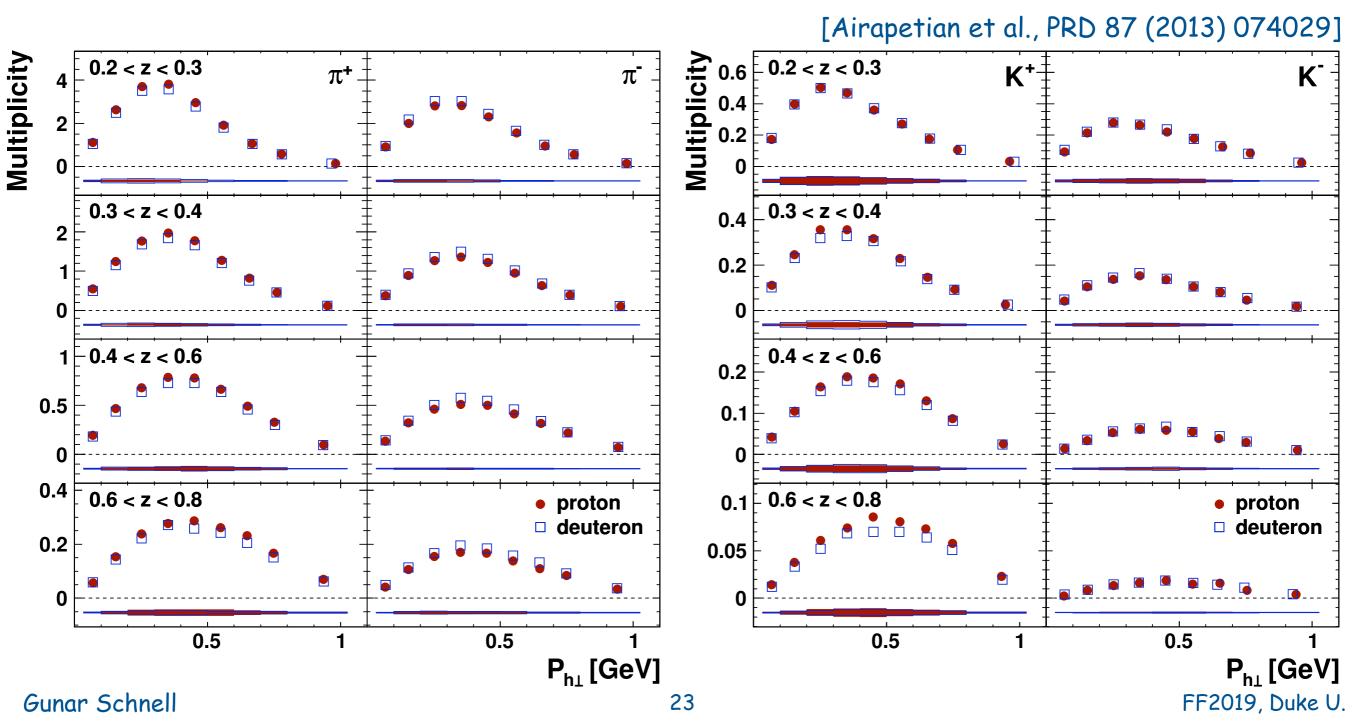
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... as well as more limited measurements by H1 and Zeus

multiplicities: $P_{h\perp}$ dependence

- multi-dimensional analysis allows going beyond collinear factorization
- flavor information on transverse momenta via target/hadron variation, e.g. [A. Signori et al., JHEP 11(2013)194]



$P_{h\perp}$ -multiplicity landscape

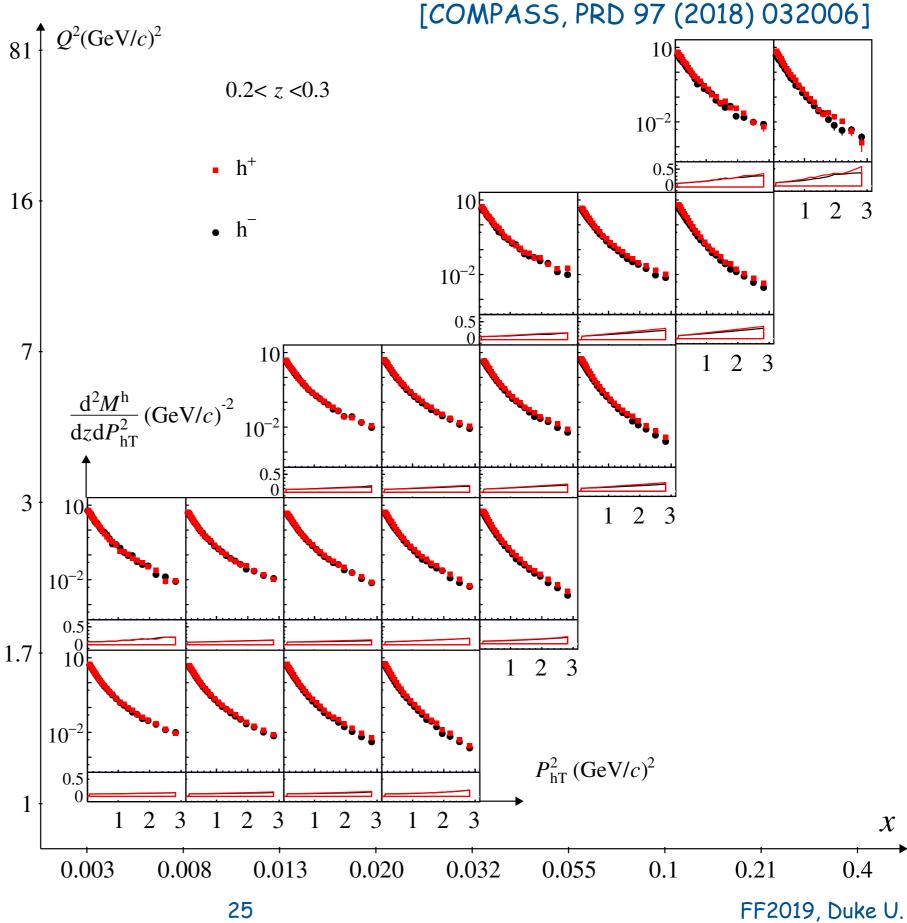
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- ["This paper"] M. Aghasyan et al. (COMPASS), Phys. Rev. D 97, 032006 (2018).

... as well as more limited measurements by H1 and Zeus

$P_{h\perp}$ dependence

- data on LiD target
- differential in $\mathbf{x}, \mathbf{z}, \mathbf{Q}^2, \mathbf{P}_{h\perp}^2$
- one(!) example (lowest z bin)
- high statistical precision allows for more detailed studies



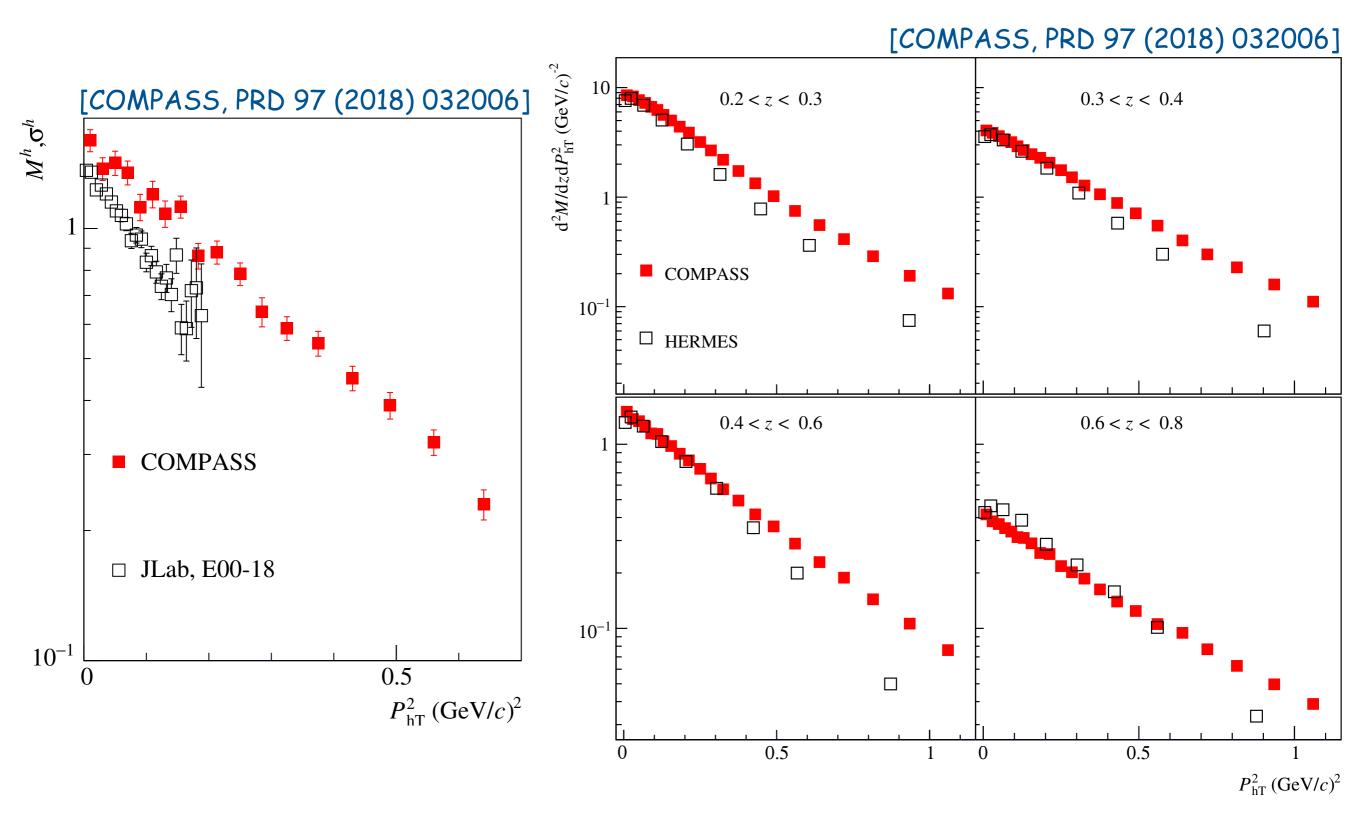
$P_{h\perp}$ dependence

[COMPASS, PRD 97 (2018) 032006] $d^2 M^h/dz dP_{hT}^2 (GeV/c)^{-2}$ 0.2 < z < 0.30.3 < z < 0.4 10^{-1} ■ h⁺ 10^{-2} • h 10^{-3} 2 0.4 < z < 0.60.6 < z < 0.8 10^{-1} 10^{-2} $\langle x \rangle = 0.149$ 10^{-3} $\langle Q^2 \rangle = 9.78 \; (\text{GeV}/c)^2$ 4 2 3 2 0 2 3 0 1 1 $P_{\rm hT}^2 \, ({\rm GeV}/c)^2$

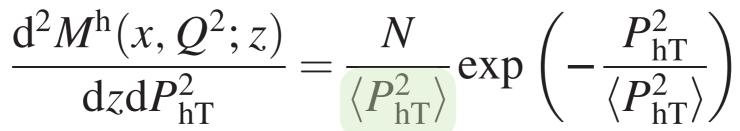
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differences between h⁺ and h⁻ increase with z

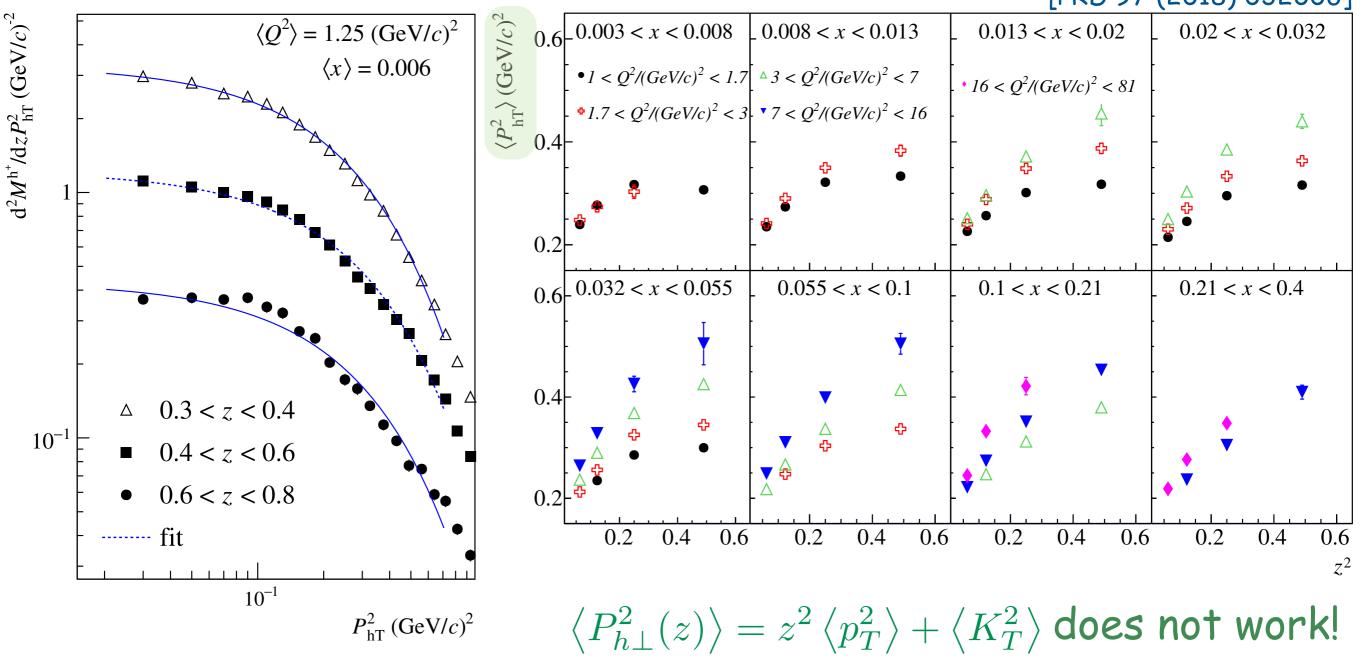
COMPASS vs. JLab & HERMES



fitting the $P_{h\perp}$ dependence



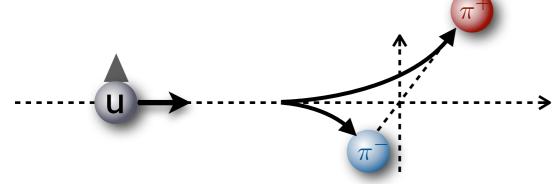
[PRD 97 (2018) 032006]



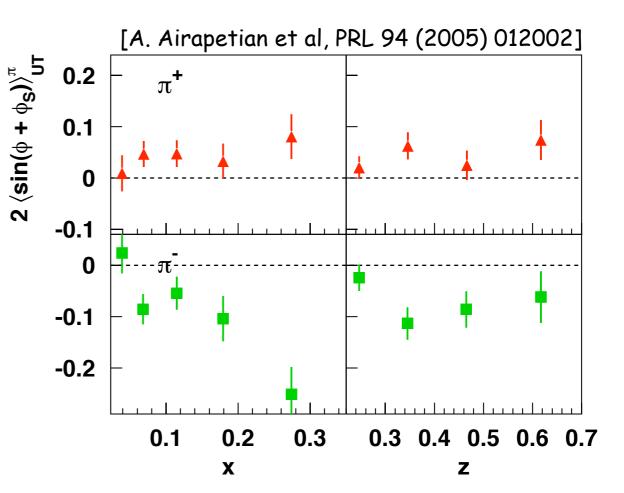
chiral-odd fragmentation

Collins amplitudes

- significant in size and opposite in sign for charged pions
- disfavored Collins FF large and opposite in sign to favored one



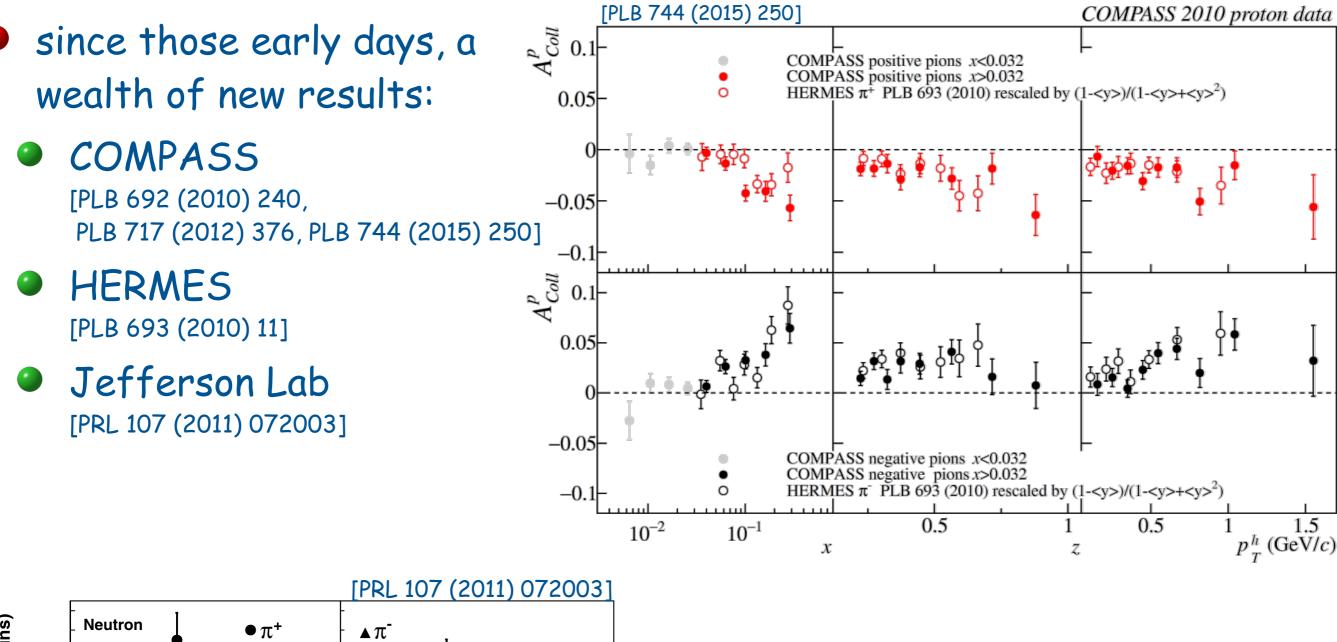
leads to various cancellations in SSA observables

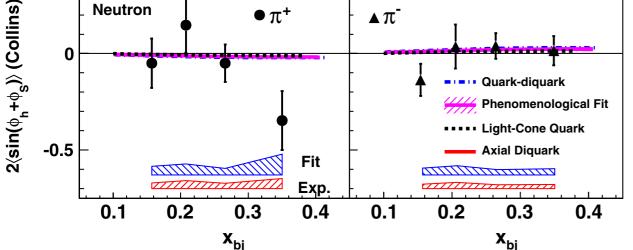


2005: First evidence from HERMES SIDIS on proton

> Non-zero transversity Non-zero Collins function

Collins amplitudes

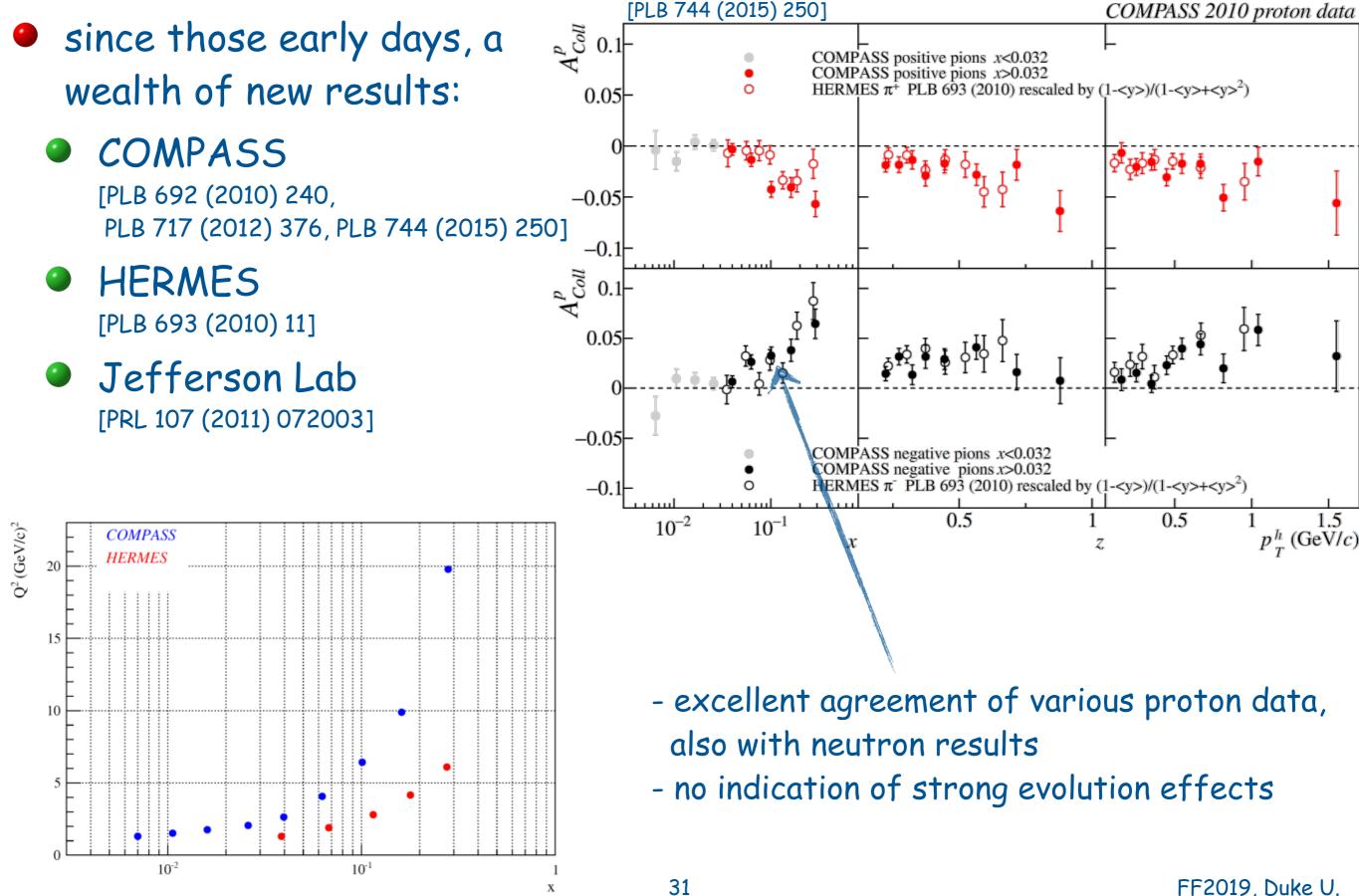




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Collins amplitudes



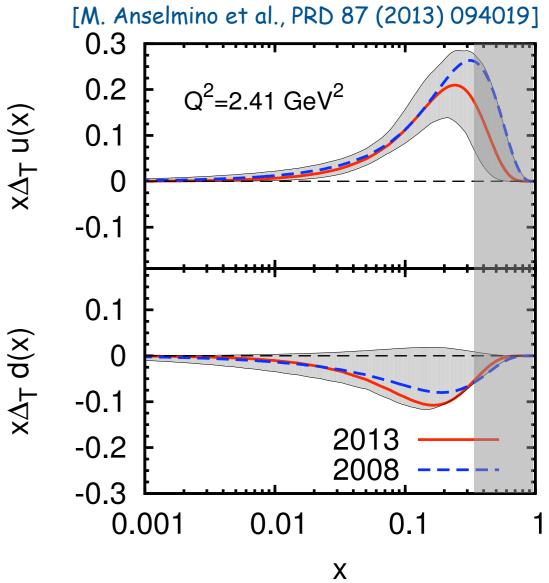
the "Collins trap"

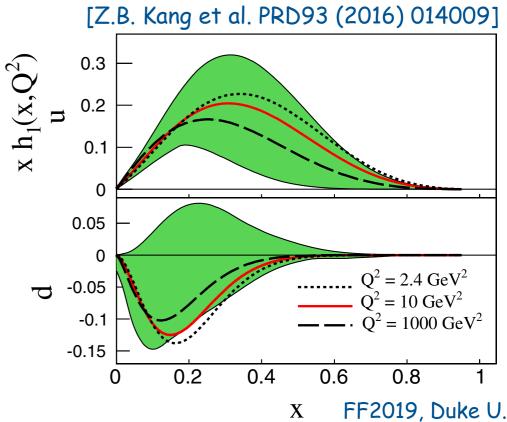
$$H_{1,\mathrm{fav}}^{\perp} \simeq -H_{1,\mathrm{dis}}^{\perp}$$

thus

$$\langle \sin(\phi + \phi_S) \rangle_{UT}^{\pi^+} \sim \left(4h_1^u - h_1^d \right) H_{1,\text{fav}}^{\perp}$$
$$\langle \sin(\phi + \phi_S) \rangle_{UT}^{\pi^-} \sim - \left(4h_1^u - h_1^d \right) H_{1,\text{fav}}^{\perp}$$

"impossible" to disentangle u/d transversity -> current limits driven mainly by Soffer bound?





the "Collins trap"

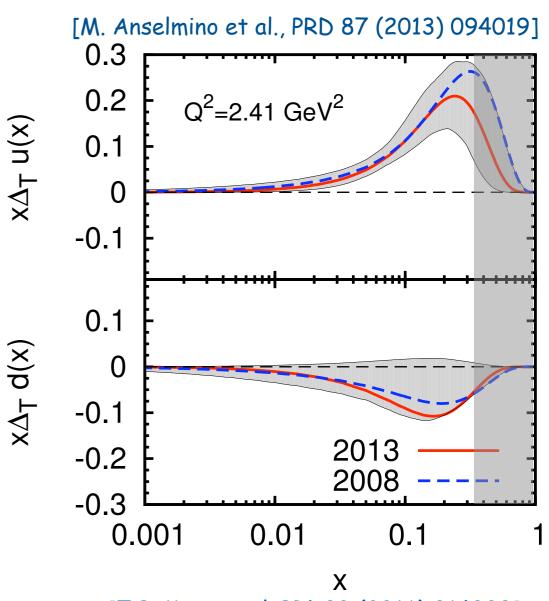
$$H_{1,\mathrm{fav}}^{\perp} \simeq -H_{1,\mathrm{dis}}^{\perp}$$

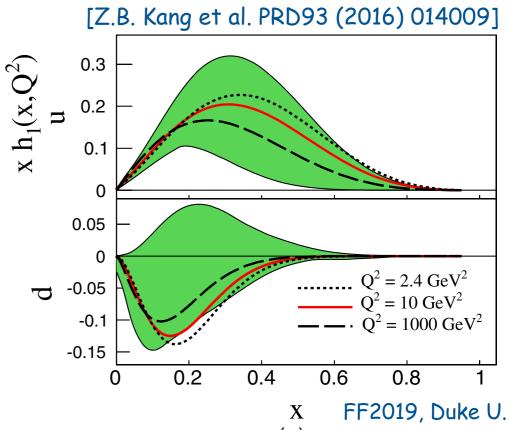
thus

$$\langle \sin(\phi + \phi_S) \rangle_{UT}^{\pi^+} \sim \left(4h_1^u - h_1^d \right) H_{1,\text{fav}}^{\perp} \langle \sin(\phi + \phi_S) \rangle_{UT}^{\pi^-} \sim - \left(4h_1^u - h_1^d \right) H_{1,\text{fav}}^{\perp}$$

"impossible" to disentangle u/d transversity -> current limits driven mainly by Soffer bound?

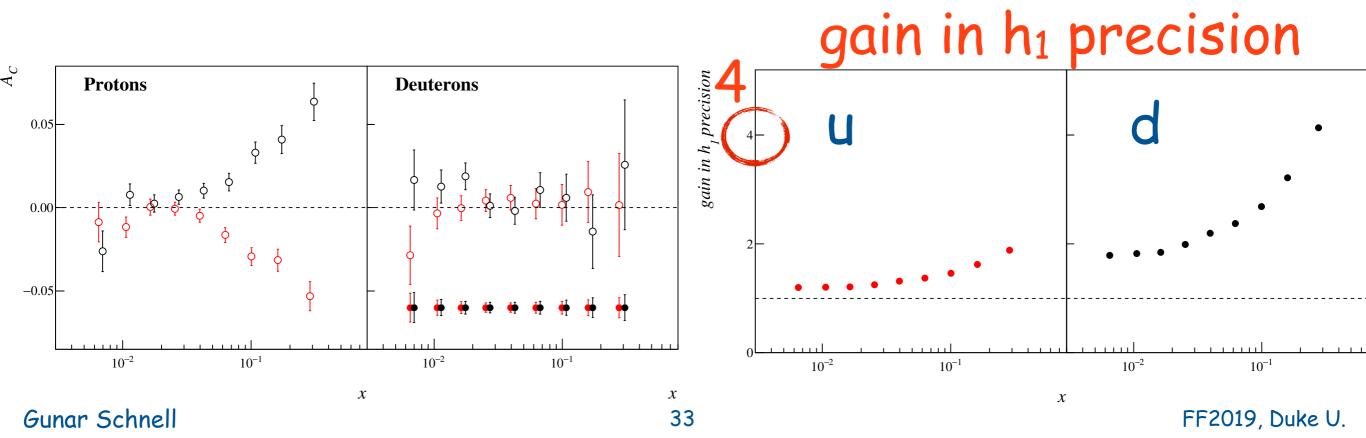
clearly need precise data from "neutron" target(s), e.g., COMPASS d, and later JLab12 & EIC





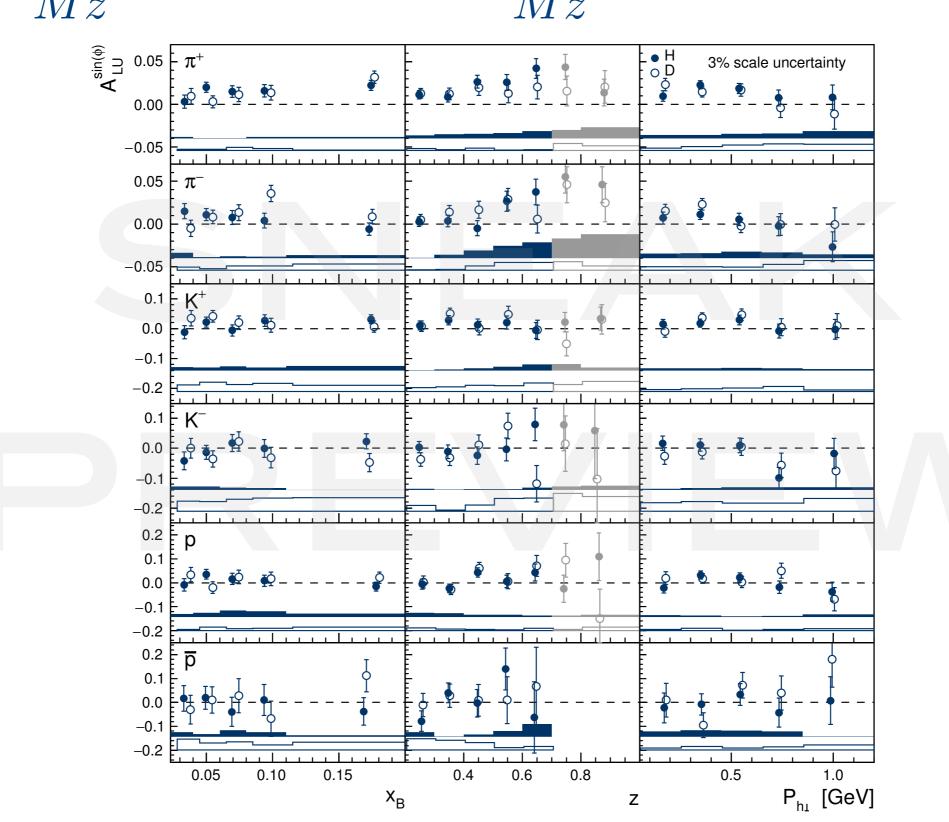
d-transversity running at COMPASS

currently much more p than d i define the second sec

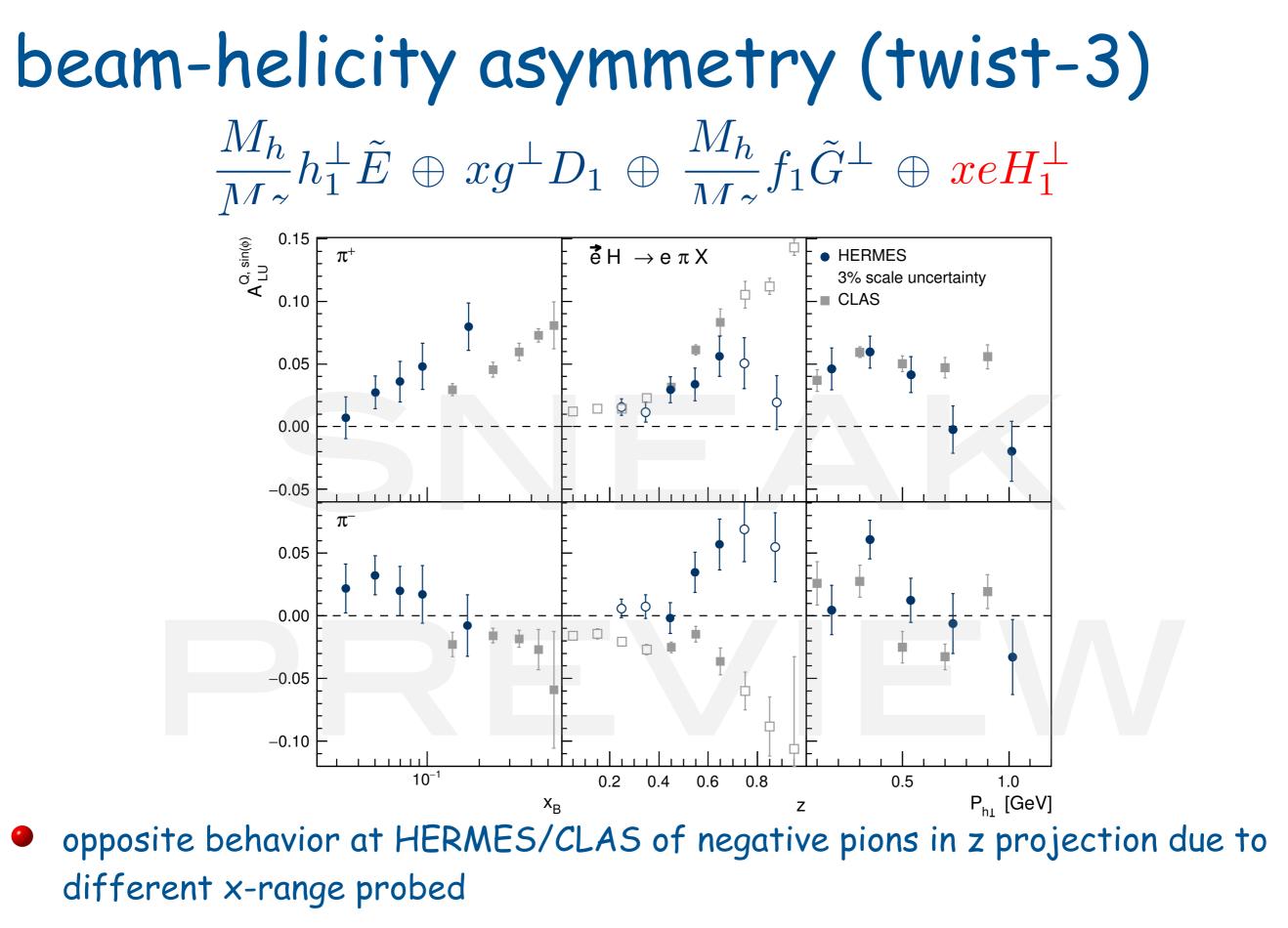


non-vanishing twist-3

beam-helicity asymmetry (twist-3) $\frac{M_h}{Mz}h_1^{\perp}\tilde{E} \oplus xg^{\perp}D_1 \oplus \frac{M_h}{Mz}f_1\tilde{G}^{\perp} \oplus xeH_1^{\perp}$



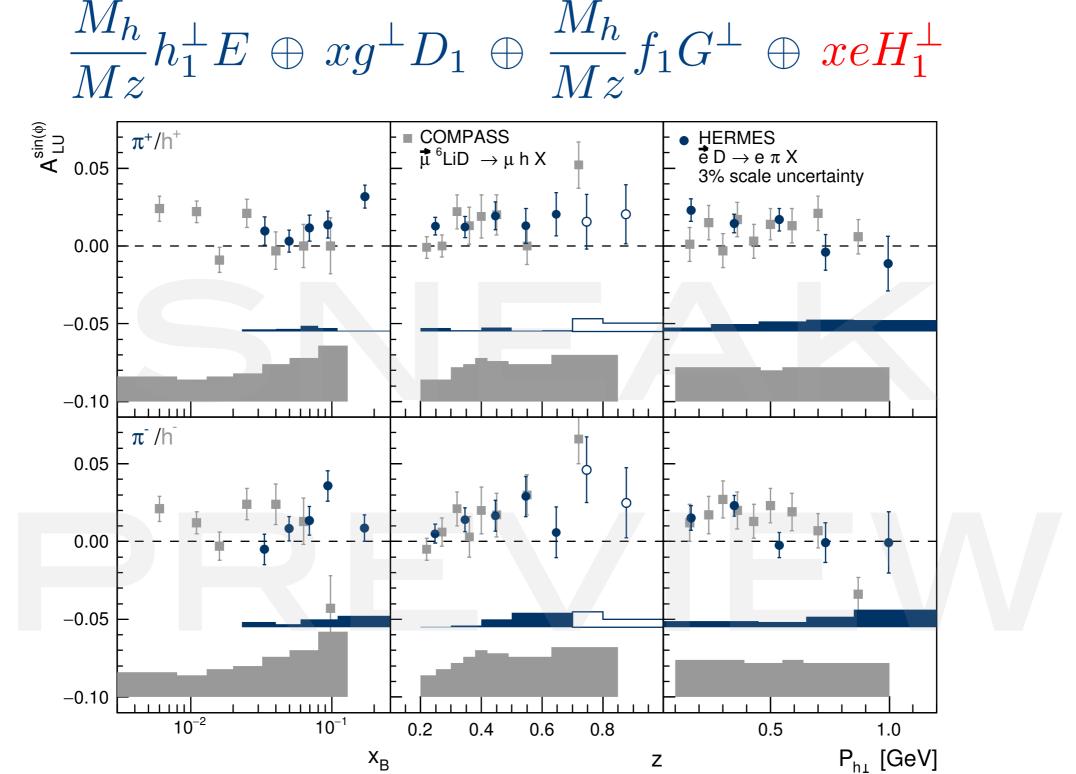
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CLAS more sensitive to e(x)Collins term due to higher x probed? Gunar Schnell

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beam-helicity asymmetry (twist-3)



consistent behavior for charged pions / hadrons at HERMES / COMPASS for isoscalar targets Gunar Schnell 37 FF2019, Duke U. back to the roots

longitudinal double-spin asymmetries

- flagship observable for extraction of proton's quark helicity dist.'s
- revisited at HERMES to
 - exploit slightly larger data set
 - provide $A_{||}$ in addition to A_1

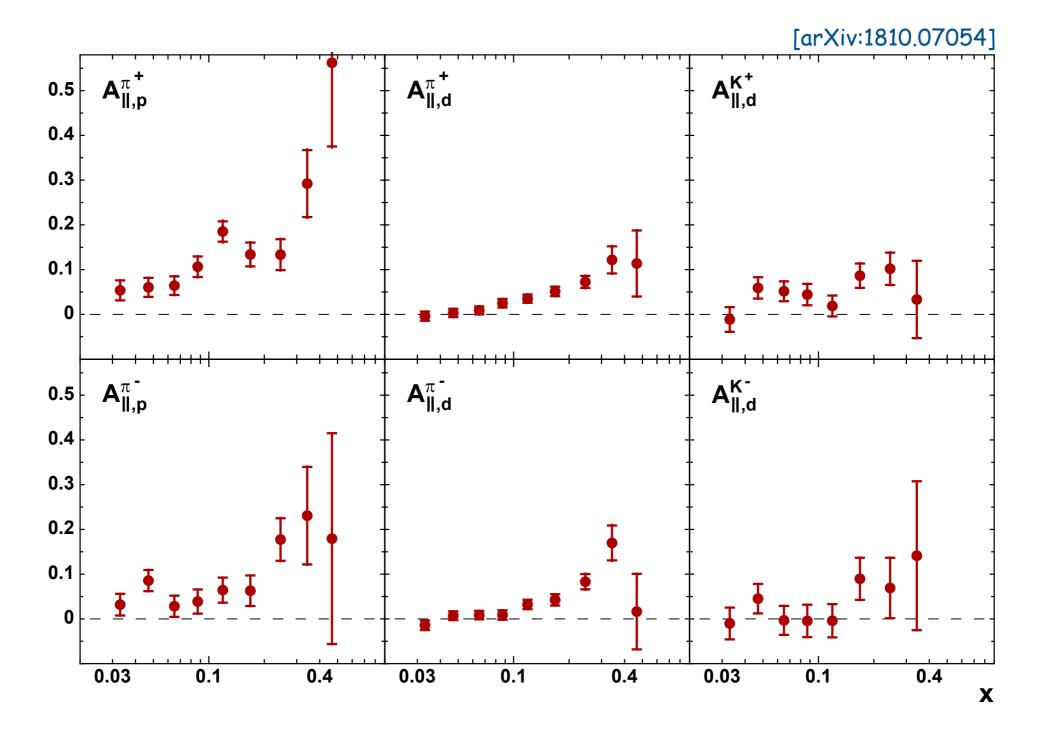
$$A_1^h = \frac{1}{D(1+\eta\gamma)} A_{\parallel}^h \qquad D = \frac{1-(1-y)\epsilon}{1+\epsilon R}$$

R (ratio of longitudinal-to-transverse cross section) to be measured [only available for inclusive DIS data, e.g., used in g_1 SF measurements]

- Iook at multi-dimensional dependences
- extract twist-3 cosine modulations

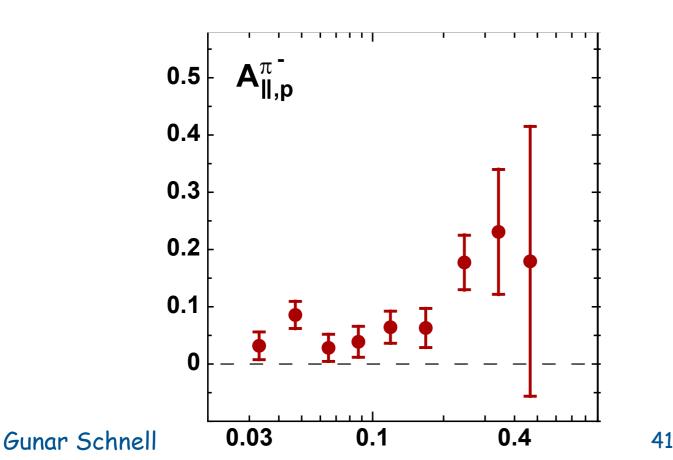
longitudinal double-spin asymmetries

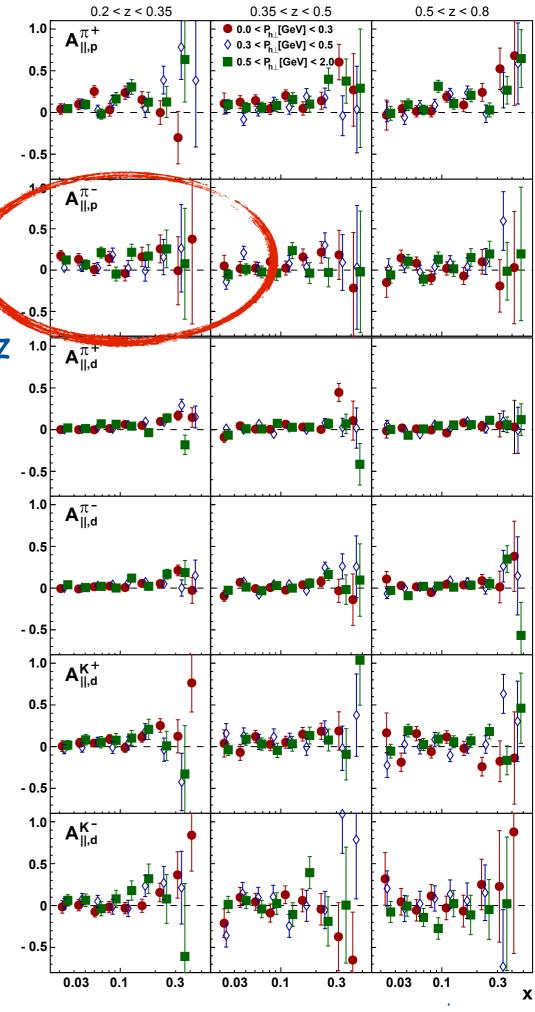
• x dependence of $A_{||}$ (consistent with previous HERMES publication)



longitudinal DSA

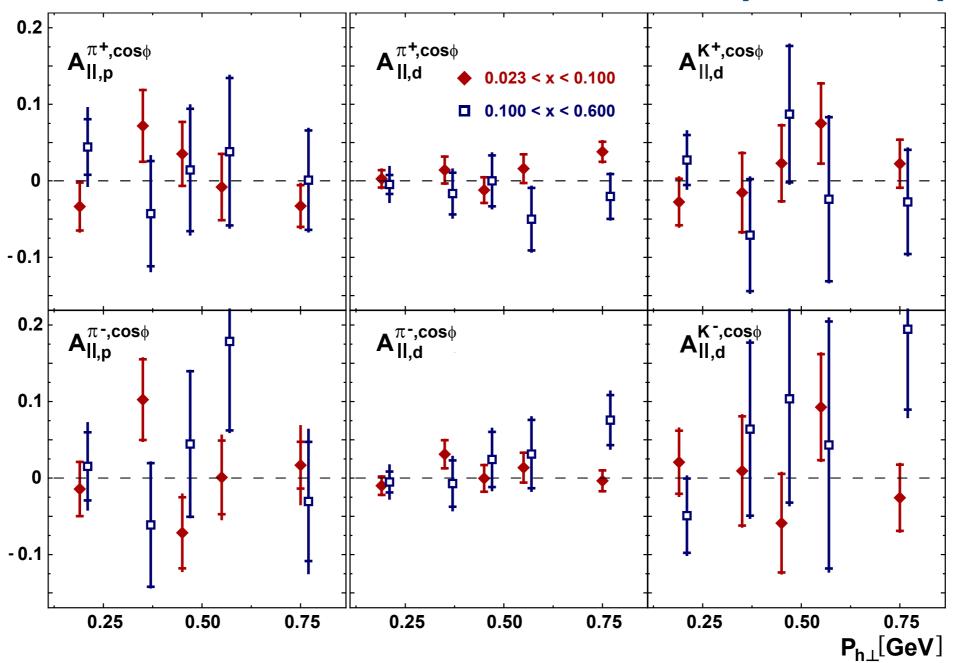
- 3d dependences provides extra flavor sensitivity but also transverse-momentum dependence, e.g.,
 - π⁻ asymmetries mainly coming from low-z region where disfavored fragmentation large and thus sensitivity to the large positive up-quark polarization





longitudinal DSA - cosine moments

- "polarized Cahn effect"
- twist-3 effect, thus various other contributions
- Iargely consistent with zero at HERMES



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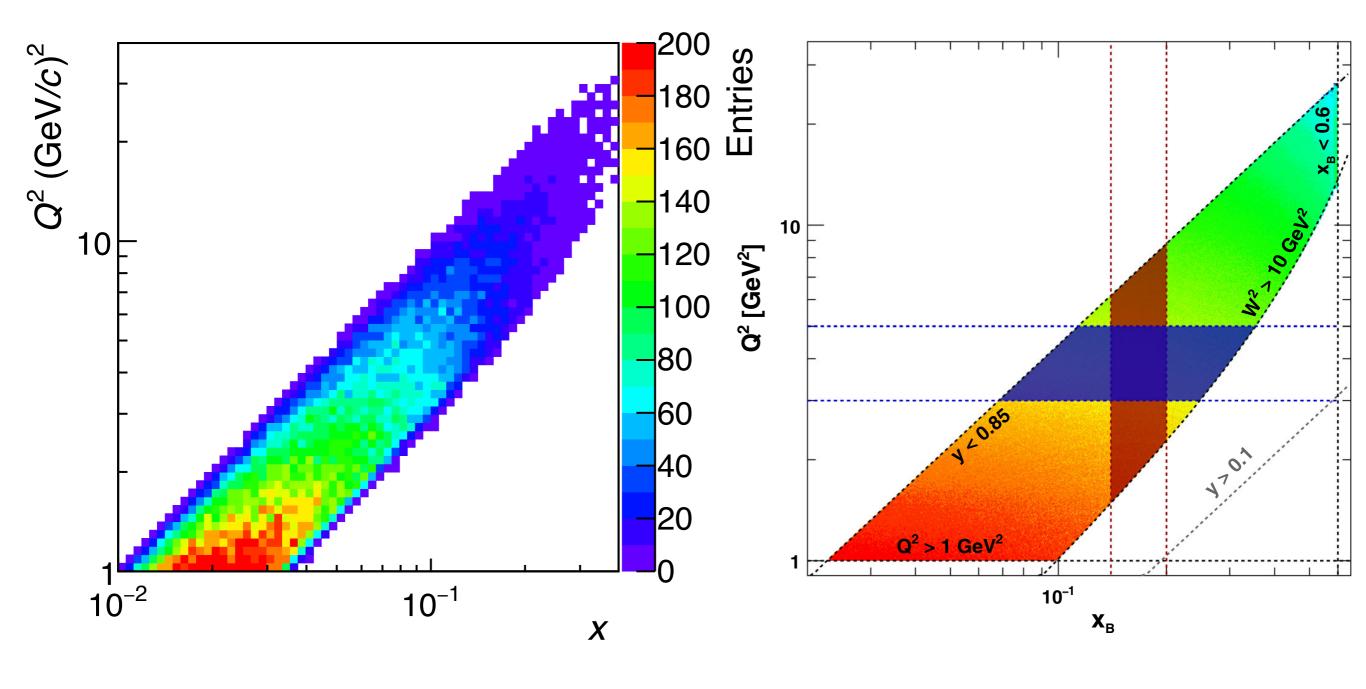
[arXiv:1810.07054]

conclusions

- SIDIS provides important input to the study of FFs
 - flavor dependence of collinear FFs
 - pushing theory description in corner places of kinematics
 - transverse-momentum dependent multiplicities clearly indicate zdependent transverse-momentum Gaussian width of FFs
- access to chiral-odd FF through azimuthal modulations
 - also here: easier flavor decomposition of FFs
 - d-quark transversity difficult to access with only proton targets
 - additional deuteron data to come from COMPASS
- non-zero beam-helicity asymmetries
 - sizable twist-3 effects
 - intriguing kinematic dependences might shed light at different roles of the various terms contributing
- COMPASS and HERMES continue producing results

backup

kinematic coverage

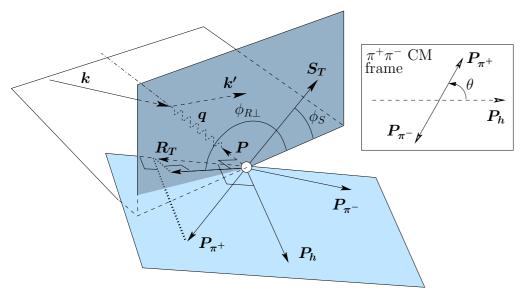


• COMPASS

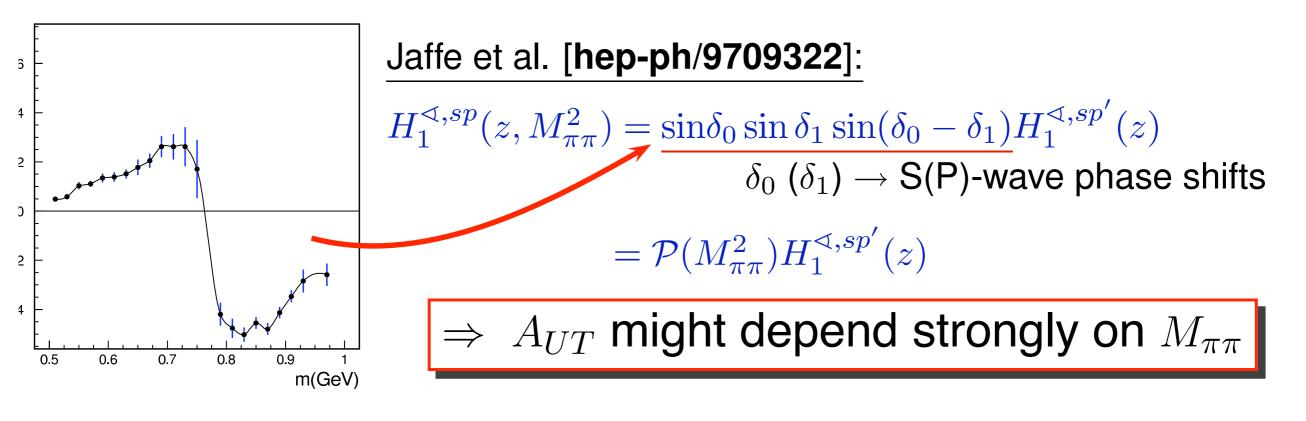
HERMES

	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^\perp

Transversity (2-hadron fragmentation)



 $A_{UT} \sim \sin(\phi_{R\perp} + \phi_S) \sin \theta h_1 H_1^{\triangleleft}$



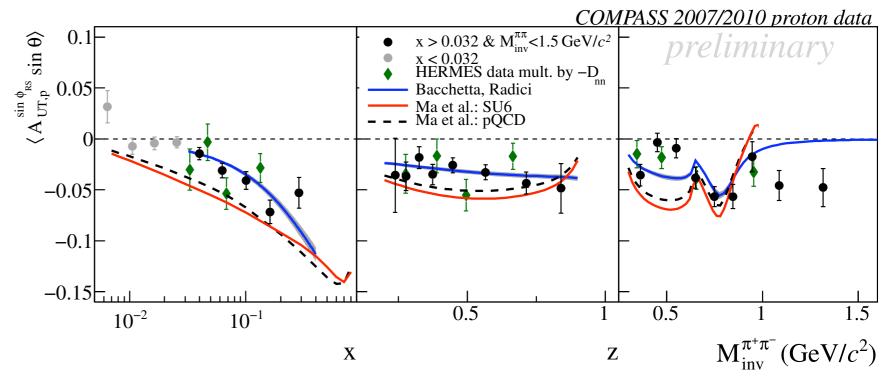
	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1,h_{1T}^\perp

Transversity

(2-hadron fragmentation)

- HERMES, COMPASS: for comparison scaled HERMES data by depolarization factor and changed sign
- ²H results consistent with zero

[A. Airapetian et al., JHEP 06 (2008) 017] COMPASS 2007: [C. Adolph et al., Phys. Lett. B713 (2012) 10] COMPASS 2010: [C. Braun et al., Nuovo Cimento C 035 (2012) 02]



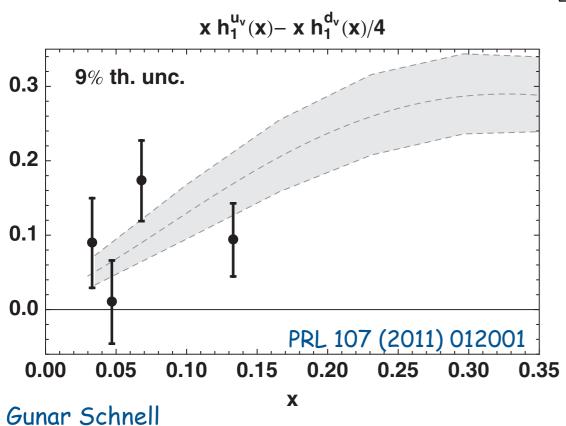
	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1,h_{1T}^\perp

Transversity

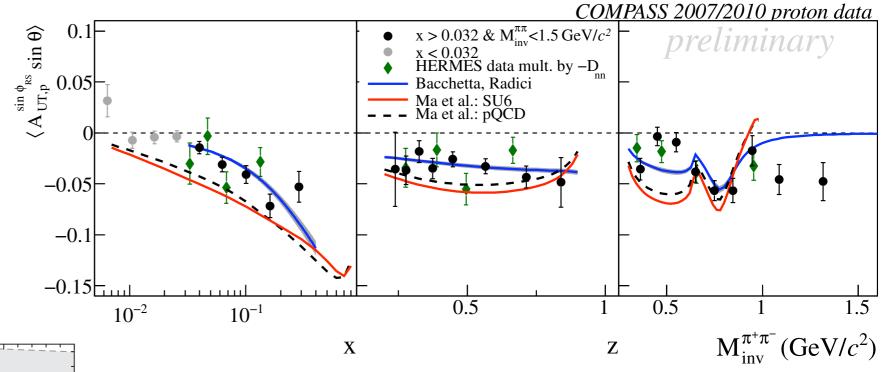
(2-hadron fragmentation)

HERMES, COMPASS: for comparison scaled HERMES data by depolarization factor and changed sign

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[A. Airapetian et al., JHEP 06 (2008) 017] COMPASS 2007: [C. Adolph et al., Phys. Lett. B713 (2012) 10] COMPASS 2010: [C. Braun et al., Nuovo Cimento C 035 (2012) 02]

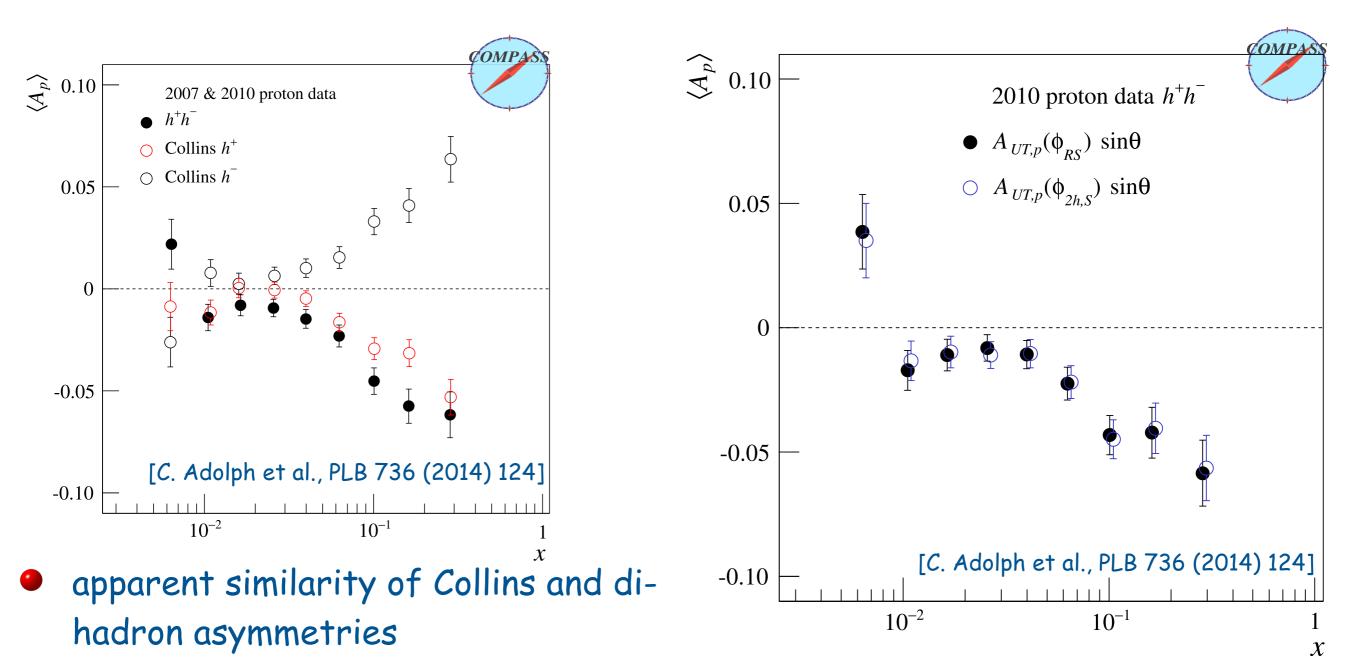


data from e⁺e⁻ by BELLE allow first (collinear) extraction of transversity (compared to Anselmino et al.)

updated analysis available (incl. COMPASS) 47 FF2019, Duke U.

	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1,h_{1T}^\perp

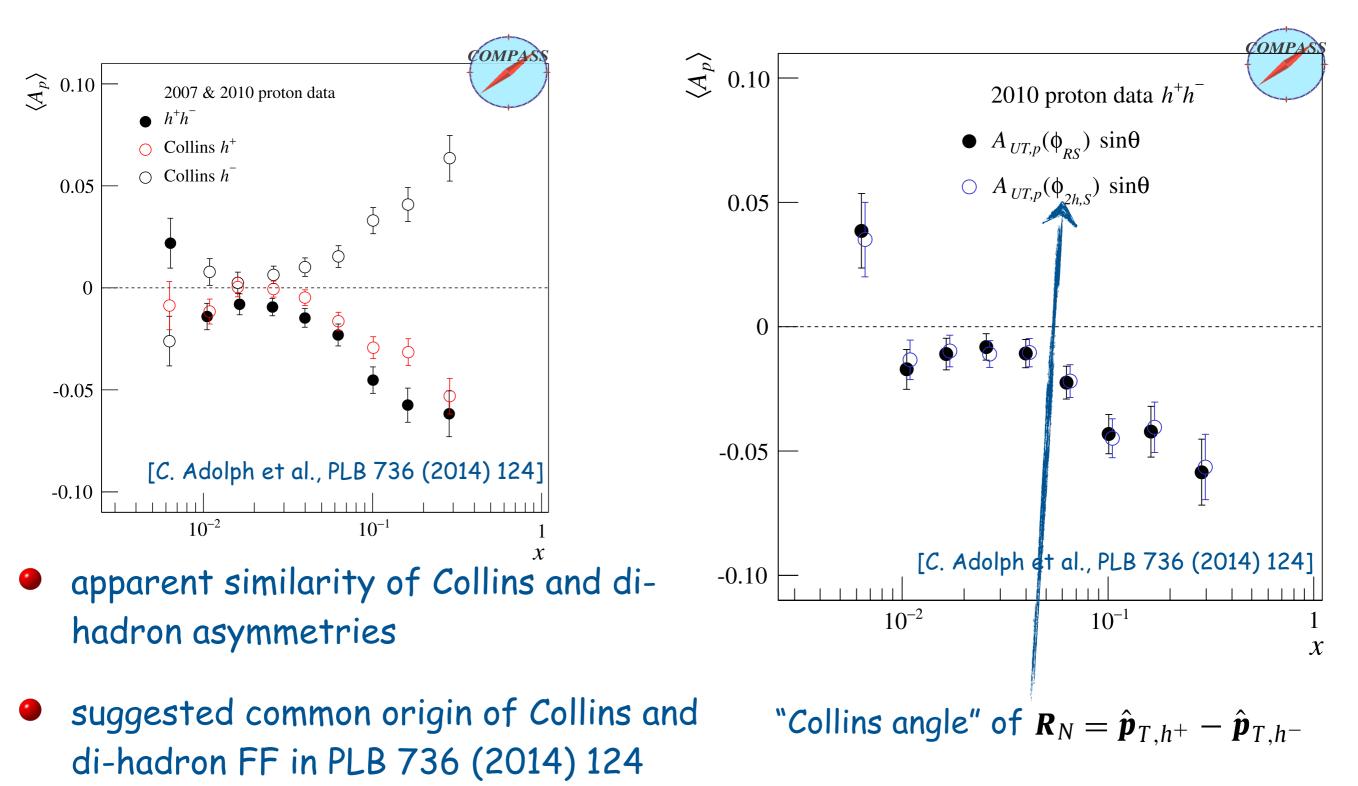
Di-hadron vs. Collins fragmentation



suggested common origin of Collins and di-hadron FF in PLB 736 (2014) 124

	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1,h_{1T}^\perp

Di-hadron vs. Collins fragmentation



1

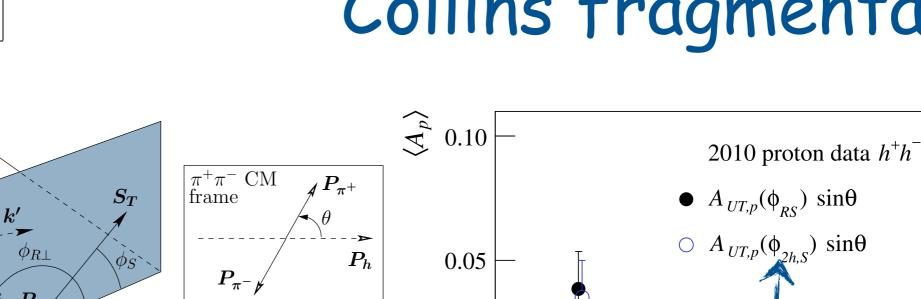
X

[C. Adolph dt al., PLB 736 (2014) 124]

 10^{-1}

"Collins angle" of $\boldsymbol{R}_N = \hat{\boldsymbol{p}}_{T,h^+} - \hat{\boldsymbol{p}}_{T,h^-}$

Di-hadron vs. Collins fragmentation

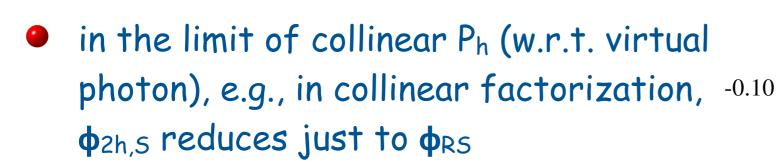


0

-0.05

49

 10^{-2}



 P_h

 $P_{\pi^{-}}$

- no big surprise that those two asymmetries are very similar? Gunar Schnell

	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^\perp

 \boldsymbol{k}

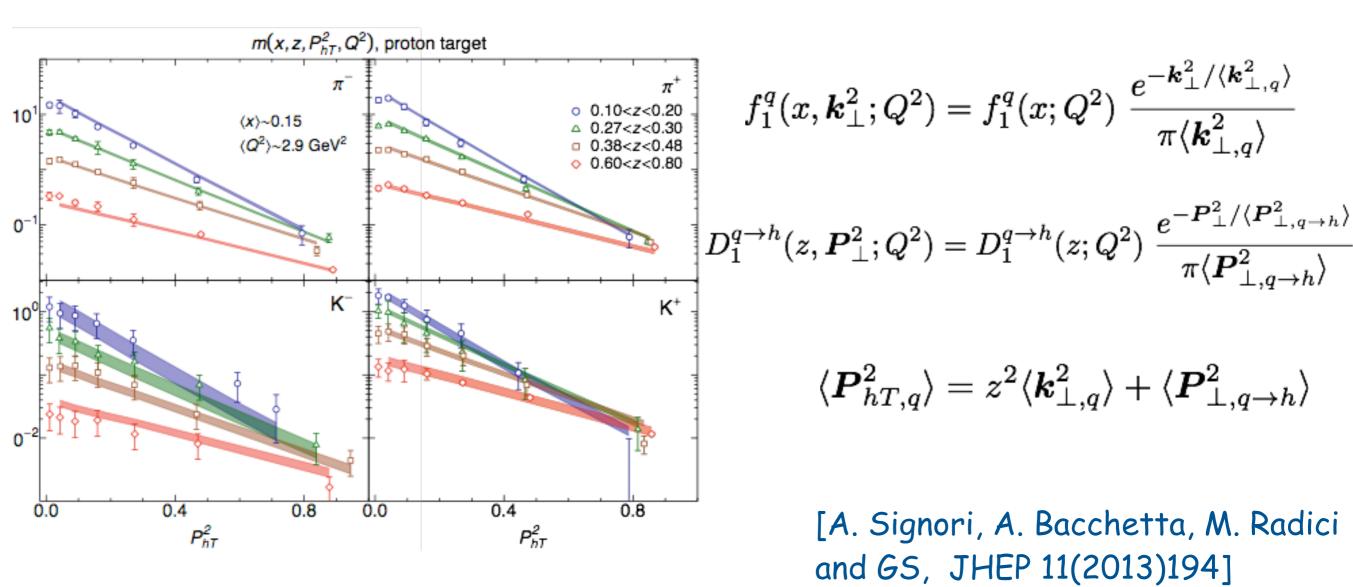
 R_T

 P_{π^+}

FF TMD flavor dependence

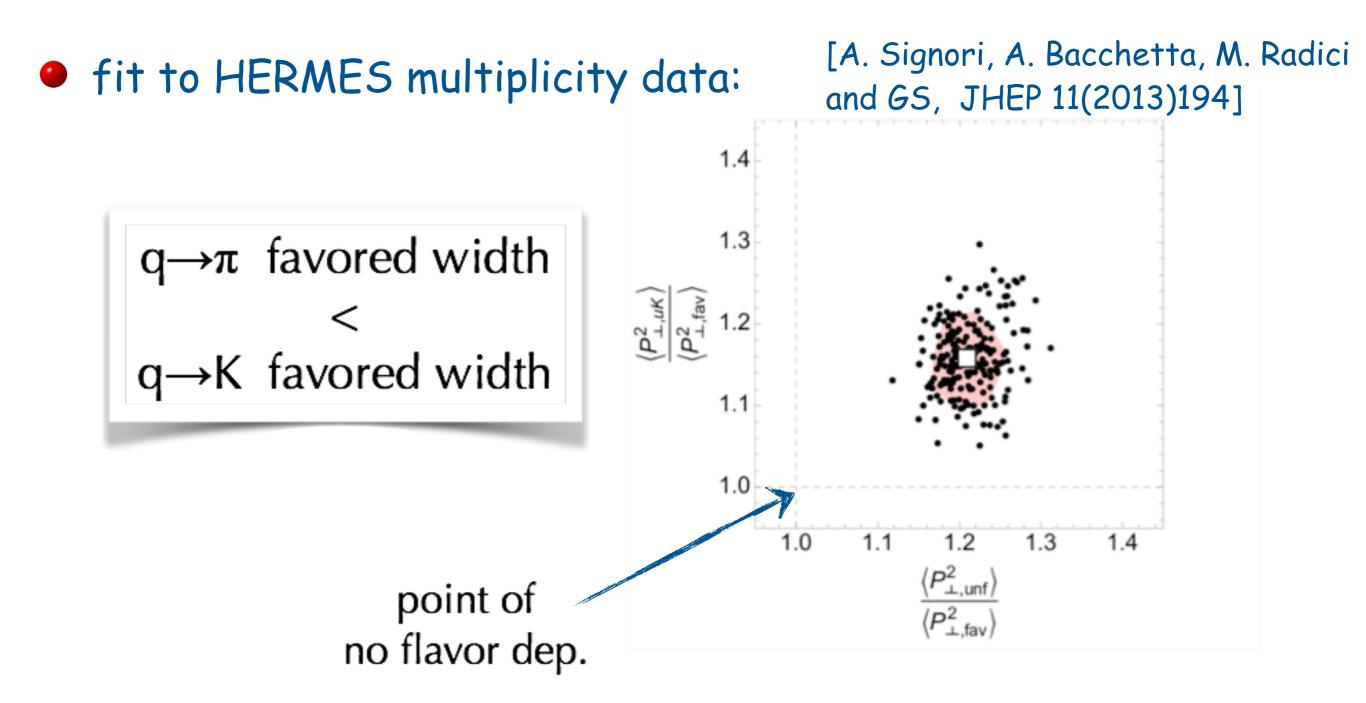
• fit to HERMES multiplicity data:

$$m_N^h(x,z,\boldsymbol{P}_{hT}^2;Q^2) = \frac{\pi}{\sum_q e_q^2 f_1^q(x;Q^2)} \sum_q e_q^2 f_1^q(x;Q^2) D_1^{q \to h}(z;Q^2) \frac{e^{-\boldsymbol{P}_{hT}^2/\langle \boldsymbol{P}_{hT,q}^2 \rangle}}{\pi \langle \boldsymbol{P}_{hT,q}^2 \rangle}$$



FF2019, Duke U.

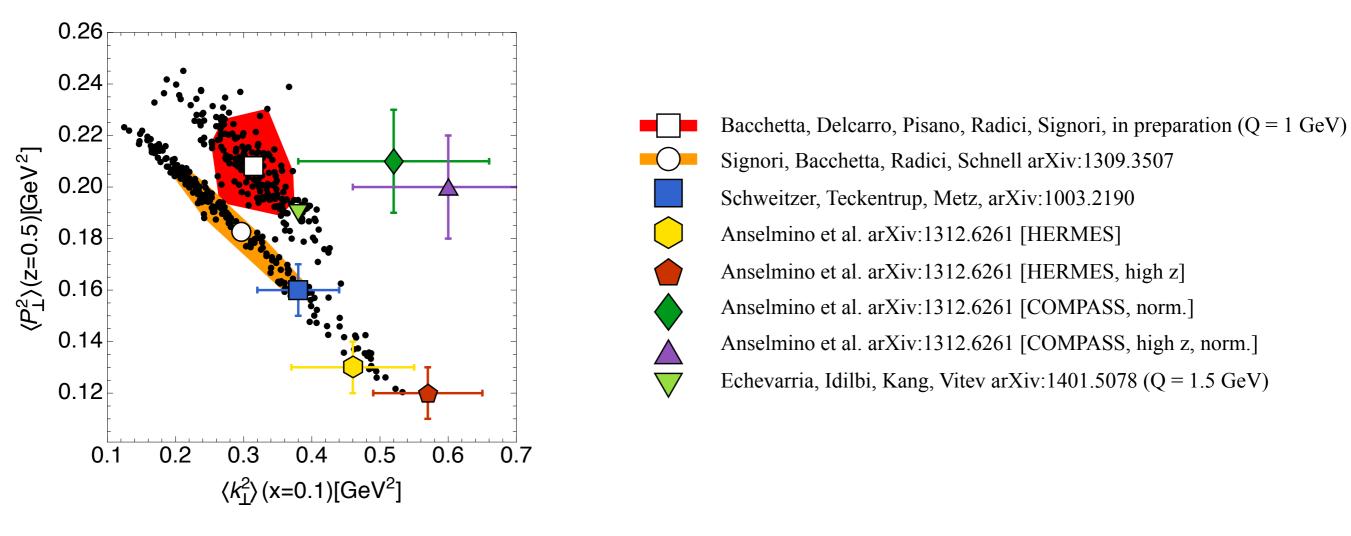
FF TMD flavor dependence



 $q \rightarrow \pi$ favored width < unfavored

FF TMD flavor dependence

• fit to SIDIS, DY & Z boson production: JHEP 06 (2017) 081



fit to e⁺e⁻ data: PLB 772 (2017) 78-86

new data: COMPASS arXiv:1709.07374