



**OLD DOMINION**  
UNIVERSITY

# Deeply Virtual Compton Scattering Measurement off Bound Protons in $^4\text{He}$

**M. Hattawy**

- Physics Motivations
- Recent Results.
- Future Measurements.

CLAS Collaboration Meeting, Nov 13<sup>th</sup>-16<sup>th</sup>, 2018

# Exploring the Hadron Structure

Most of what we know today about hadrons' structure has come from the **electromagnetic probes** which give access to measure **structure functions** that quantify the properties of **partons** in hadrons.

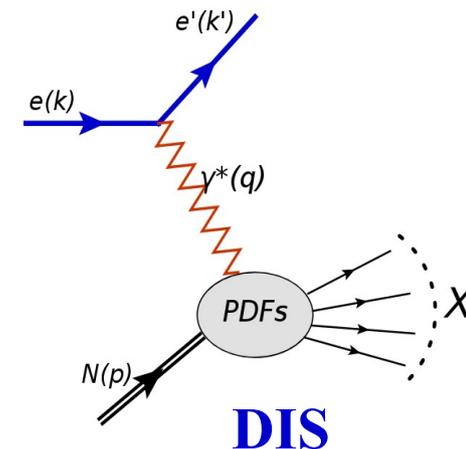
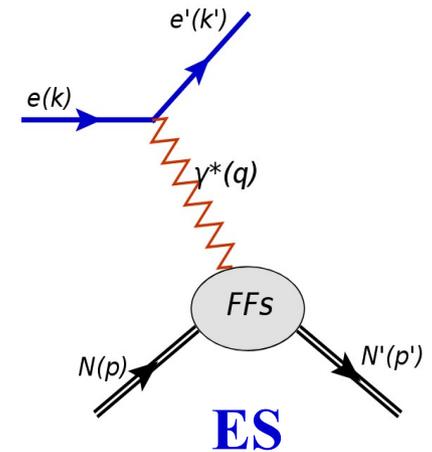
- **Form Factors (FFs)**

- Provide the **charge** and **magnetization** distributions inside a hadron.
- Accessible via Elastic Scattering (**ES**).

$$\left(\frac{d\sigma}{d\Omega}\right)_{exp} = \left(\frac{d\sigma}{d\Omega}\right)_{Mott} \frac{E'}{E} \left( \frac{G_E^2(Q^2) + \tau G_M^2(Q^2)}{1 + \tau} + 2\tau G_M^2(Q^2) \tan^2\left(\frac{\theta_e}{2}\right) \right)$$

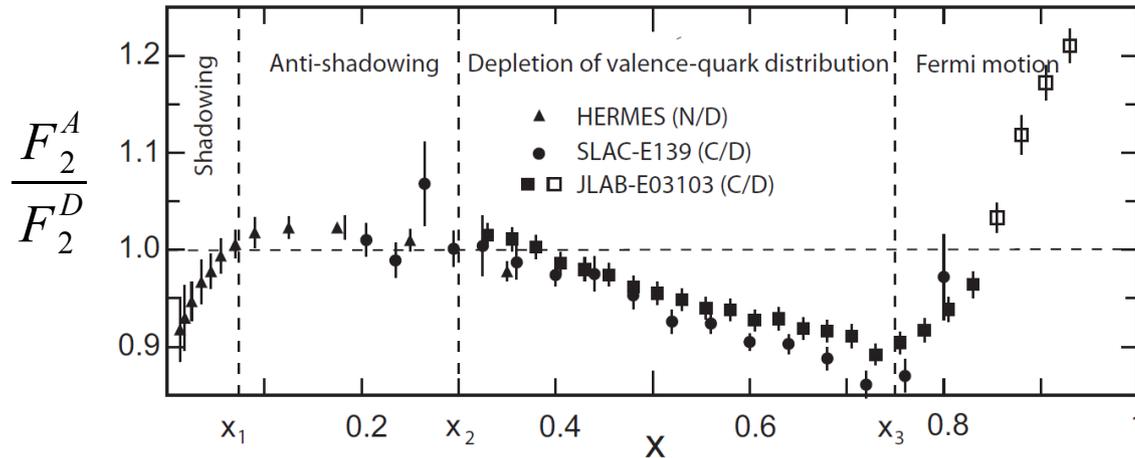
- **Parton Distribution Functions (PDFs)**

- Provide partons **longitudinal momentum** distributions
- Measurable via Deep Inelastic Scattering (**DIS**).
- **For nucleons**, the unpolarized DIS cross section is parametrized by two PDFs:  $F_{1,2}(x)$ , with  $\mathcal{F}_1(x) = \frac{1}{2} \sum_q e_q^2 f_q(x)$  and  $\mathcal{F}_2(x) = x \sum_q e_q^2 f_q(x)$ .



**All seems well and working, until ...**

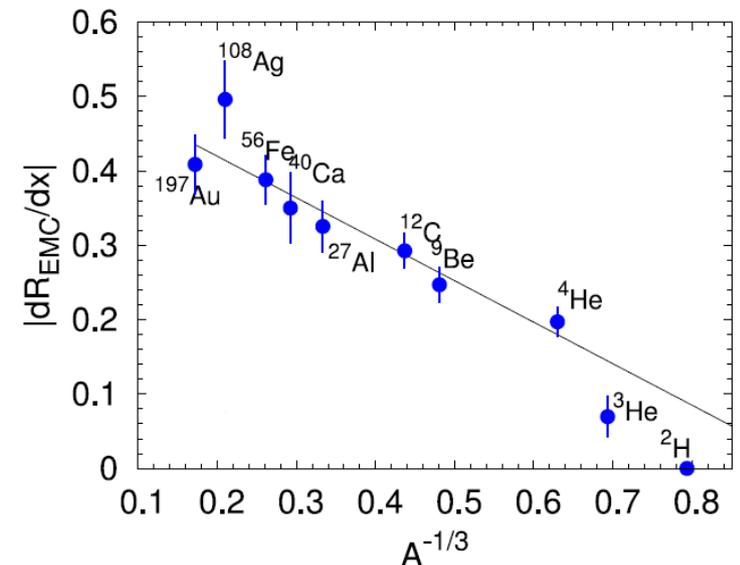
# EMC Effect



[K. Rith, arXiv:1402.5000 [hep-ph], 2014]

- Precise measurements at **CERN, SLAC** and **JLab**  
→ Links with the nuclear properties, i.e. **mass & density**
- The **origin** of the EMC effect is still not fully understood, but possible **explanations**:
  - Modifications of the nucleons themselves
  - Effect of non-nucleonic degrees of freedom, e.g. pions exchange
  - Modifications from multi-nucleon effects (binding, N-N correlations, etc...)

**EMC effect: the modification of the PDF  $F_2$  as a function of the longitudinal momentum fraction  $x$  [0.3, 0.75] carried by the parton.**



[J. Arrington et al., Phys. Rev. C 86 (2012) 065204]

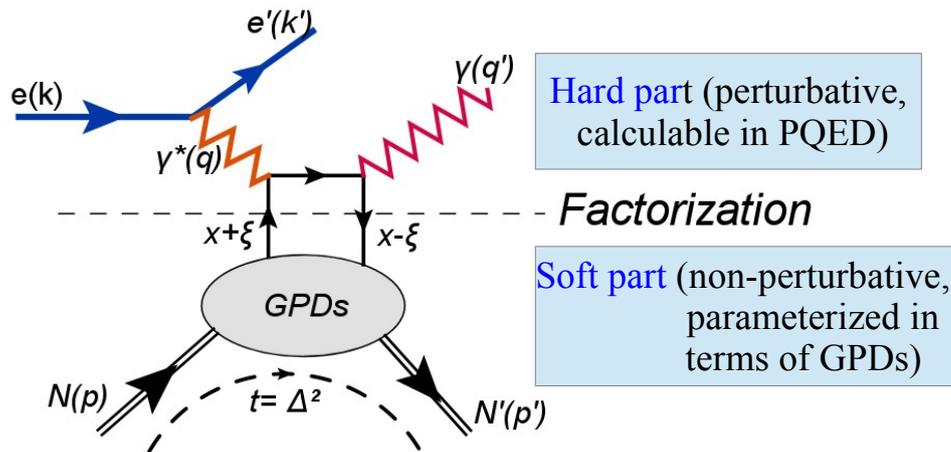
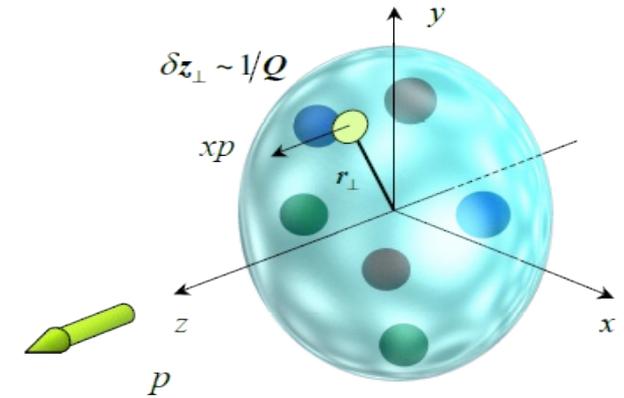
**Clear explanations may arise from measuring the nuclear modifications via measuring the **Generalized Parton Distributions**.**

# Generalized Parton Distributions

## - Contain information on:

- Correlation between quarks and anti-quarks
- Correlation between **longitudinal momentum** and **transverse spatial** position of partons

## - Can be accessed via hard exclusive processes such as deeply virtual Compton scattering (DVCS):



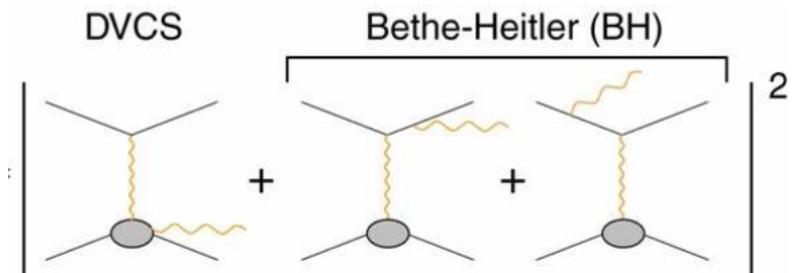
$$\xi \simeq x_B / (2 - x_B) \quad x_B = Q^2 / 2p \cdot q$$

$$t = (p - p')^2 = (q - q')^2$$

\* At leading order in  $1/Q^2$  (**twist-2**) and in the coupling constant of QCD ( $\alpha_s$ ).

- Experimentally, the **measured** photon-electroproduction cross section ( $ep \rightarrow ep\gamma$ ) is:

$$d\sigma \propto |\tau_{\text{BH}}|^2 + \underbrace{(\tau_{\text{DVCS}}^* \tau_{\text{BH}} + \tau_{\text{BH}}^* \tau_{\text{DVCS}})}_I + |\tau_{\text{DVCS}}|^2$$



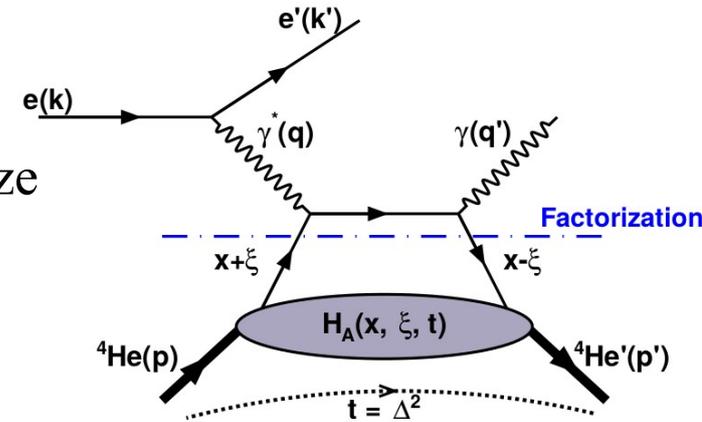
- The **DVCS** signal is enhanced by the interference with BH.

# DVCS off Nuclei

## Two DVCS channels are accessible with nuclear targets:

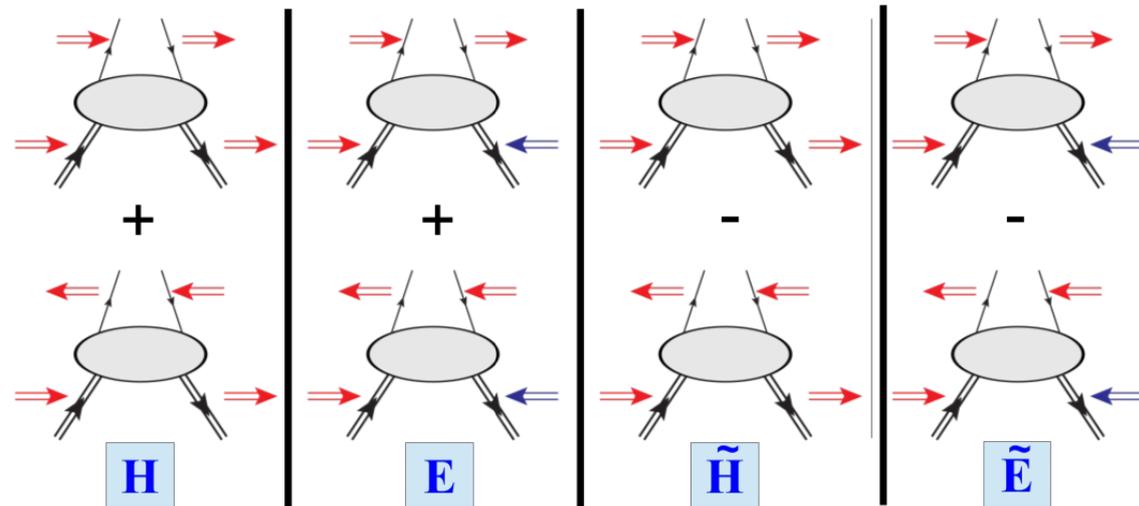
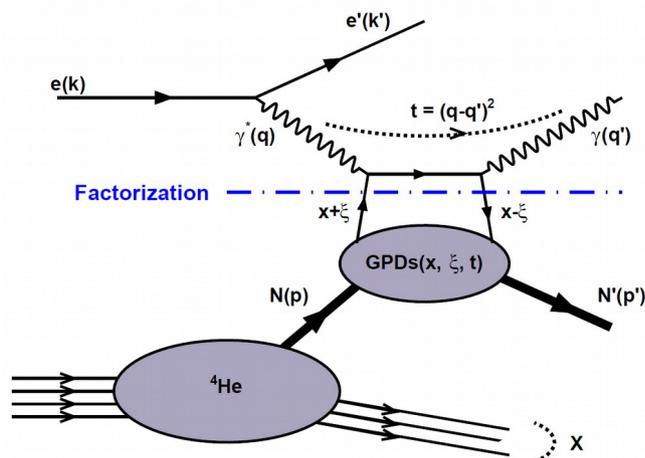
### ◇ Coherent DVCS: $e^- A \rightarrow e^- A \gamma$

- Study the partonic structure of the nucleus.
- **One chiral-even GPD** ( $H_A(x, \xi, t)$ ) is needed to parametrize the structure of the **spinless nuclei** ( ${}^4\text{He}$ ,  ${}^{12}\text{C}$ ,  ${}^{16}\text{O}$ , ...).



### ◇ Incoherent DVCS: $e^- A \rightarrow e^- N \gamma X$

- The nucleus breaks and the DVCS takes place on a nucleon.
- Study the partonic structure of the bound nucleons  
(**4 chiral-even GPDs** are needed to parametrize their structure).



# DVCS Observables

The four-fold cross section for the process  $e^- N \rightarrow e^- N \gamma$ :

$$\frac{d\sigma}{dx_B dy d|\Delta^2| d\phi} = \frac{\alpha^3 x_B y}{16 \pi^2 Q^2 \sqrt{1 + \epsilon^2}} \frac{|\mathcal{T}_{\text{BH}}|^2 + |\mathcal{T}_{\text{DVCS}}|^2 + \mathcal{I}}{e^6}$$

The BH term  $|\mathcal{T}_{\text{BH}}|^2$ , squared DVCS amplitude  $|\mathcal{T}_{\text{DVCS}}|^2$ , and interference term  $\mathcal{I}$  read

$$|\mathcal{T}_{\text{BH}}|^2 = \frac{e^6}{x_B^2 y^2 (1 + \epsilon^2)^2 \Delta^2 \mathcal{P}_1(\phi) \mathcal{P}_2(\phi)} \left\{ c_0^{\text{BH}} + \sum_{n=1}^2 c_n^{\text{BH}} \cos(n\phi) + s_1^{\text{BH}} \sin(\phi) \right\},$$

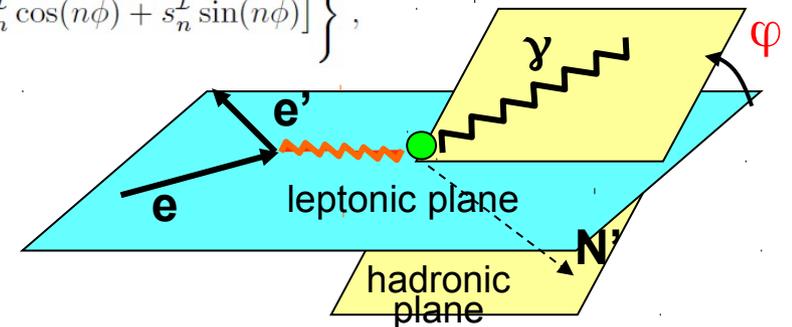
$$|\mathcal{T}_{\text{DVCS}}|^2 = \frac{e^6}{y^2 Q^2} \left\{ c_0^{\text{DVCS}} + \sum_{n=1}^2 [c_n^{\text{DVCS}} \cos(n\phi) + s_n^{\text{DVCS}} \sin(n\phi)] \right\},$$

$$\mathcal{I} = \frac{\pm e^6}{x_B y^3 \Delta^2 \mathcal{P}_1(\phi) \mathcal{P}_2(\phi)} \left\{ c_0^{\mathcal{I}} + \sum_{n=1}^3 [c_n^{\mathcal{I}} \cos(n\phi) + s_n^{\mathcal{I}} \sin(n\phi)] \right\},$$

Beam-spin asymmetry ( $A_{LU}(\varphi)$ ) : (+/- beam helicity)

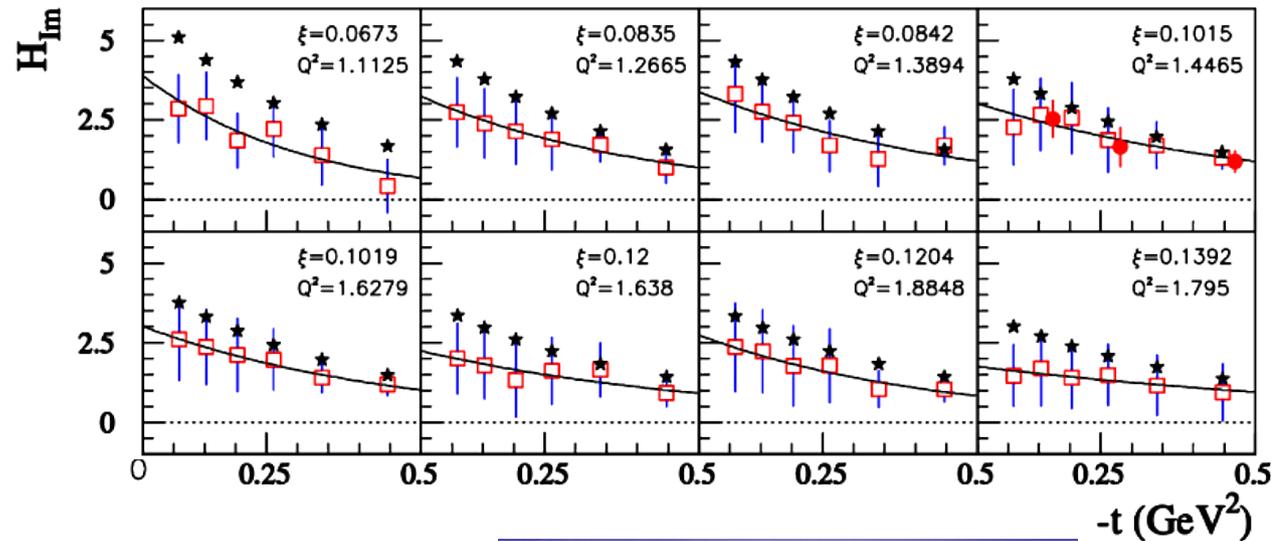
$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$

$$A_{LU}^{\sin\phi} \propto \text{Im}(F_1 \mathcal{H} - \frac{t}{4M^2} F_2 \mathcal{E} + \frac{x_B}{2} (F_1 + F_2) \tilde{\mathcal{H}})$$

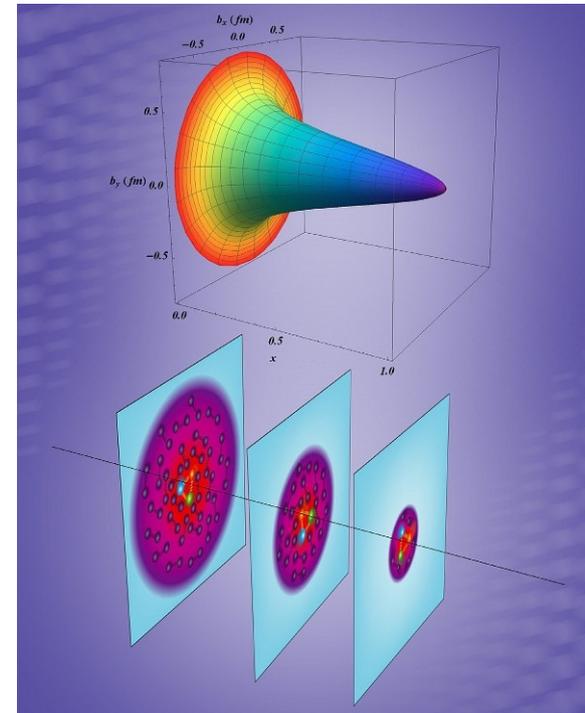
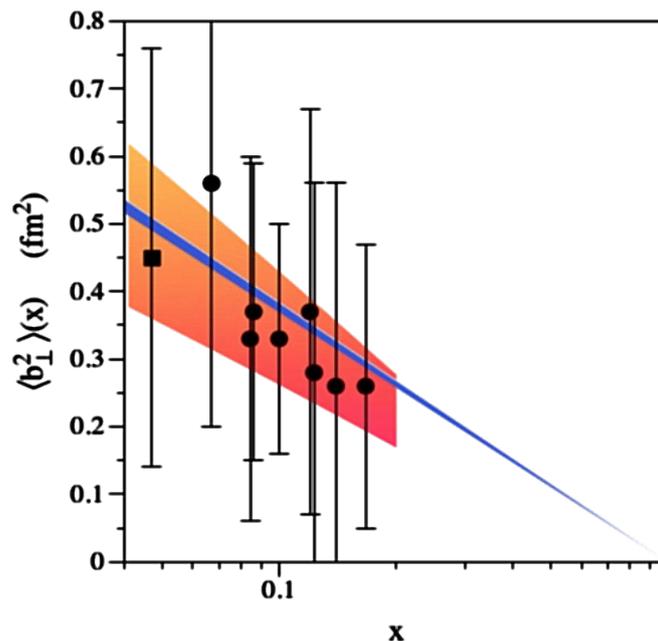


# Proton Tomography via DVCS

- Local fit of all the JLab data
  - Jlab Hall A ( $\sigma$ ,  $\Delta\sigma$ )
  - CLAS ( $\sigma$ ,  $\Delta\sigma$ , ITSA, DSA)
- Enough coverage to explore the  $t$  and  $x_B$  ( $\rightarrow \xi$ ) dependence of  $H_{Im}$ .



- Obtaining the tomography of the proton
  - Represented is the mean square charge radius of the proton for slices of  $x$ .



[R. Dupré et al. Phys.Rev. D95 (2017) no.1, 011501]

# Theoretical Predictions of the EMC in $^4\text{He}$

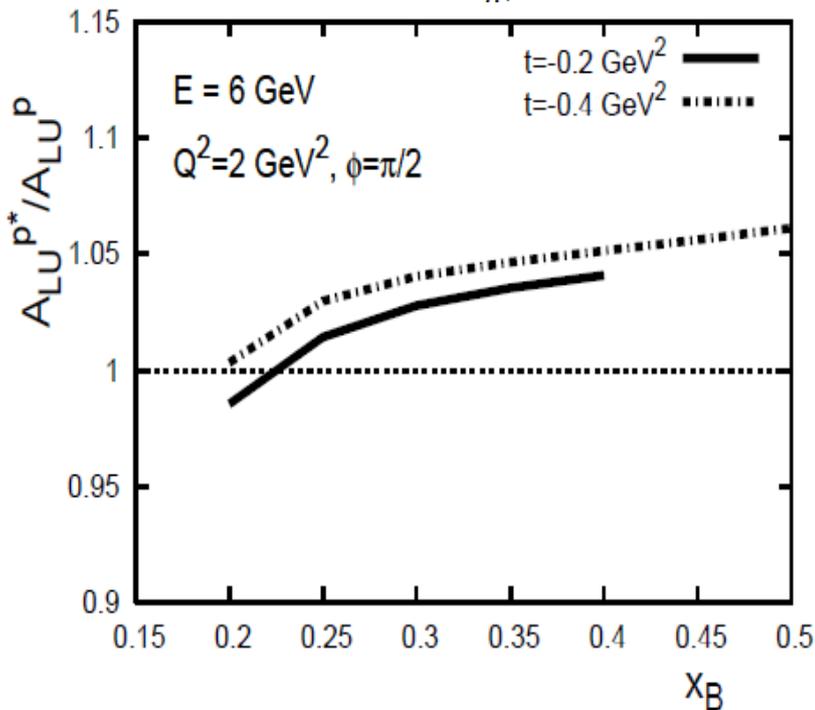
## On-shell calculations:

### (1) Impulse approximation

$$\text{GPD}^{4\text{He}}(x, \xi, t) = \sum (\text{free p and n GPDs}) * F_{4\text{He}}(t)$$

### (2) Medium modifications:

$$H^{q/p^*}(x, \xi, t, Q^2) = \frac{F_1^{p^*}(t)}{F_1^p(t)} H^q(x, \xi, t, Q^2),$$



[V. Guzey, A. W. Thomas, K. Tsushima, PRC 79 (2009) 055205]

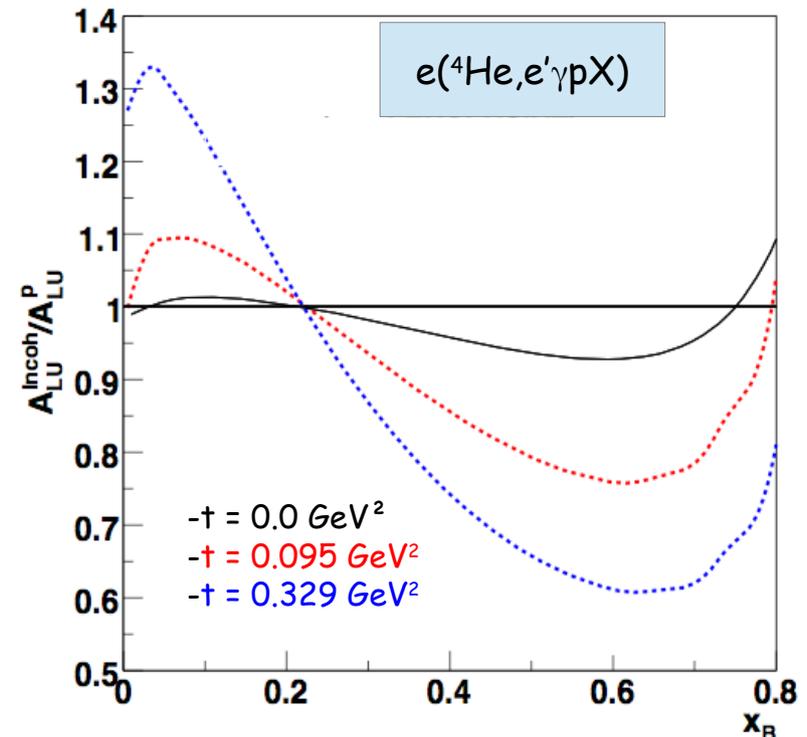
## Off-shell calculations:

Nucleus = bound nucleons

+ nuclear binding effects

$$H^A(x, \xi, t) = \sum_N \int \frac{d^2P_\perp dY}{2(2\pi)^3} \frac{1}{A-Y} \left[ \rho^A(P^2, P'^2) \right] \times \sqrt{\frac{Y-\xi}{Y}} \left[ H_{\text{OFF}}^N\left(\frac{x}{Y}, \frac{\xi}{Y}, P^2, t\right) - \frac{1}{4} \frac{(\xi/Y)^2}{1-\xi/Y} E_{\text{OFF}}^N\left(\frac{x}{Y}, \frac{\xi}{Y}, P^2, t\right) \right]$$

Nuclear spectral function



[S. Liuti, K. Taneja, PRC 72 (2005) 034902]

# CLAS - E08-024 Experimental Setup



6 GeV,  
L. polarized

Beam polarization ( $P_B$ ) = 83%

## - CLAS:

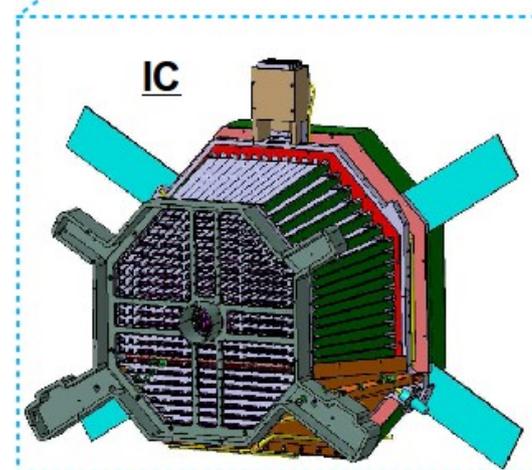
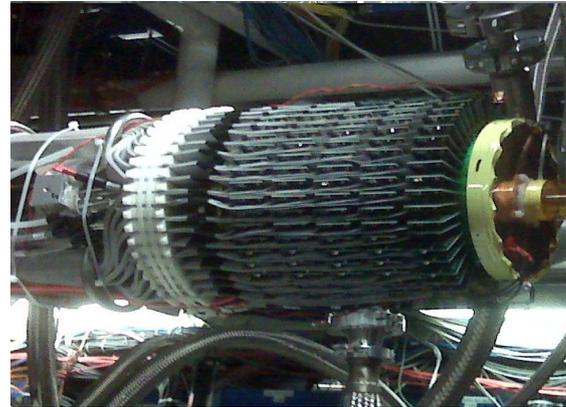
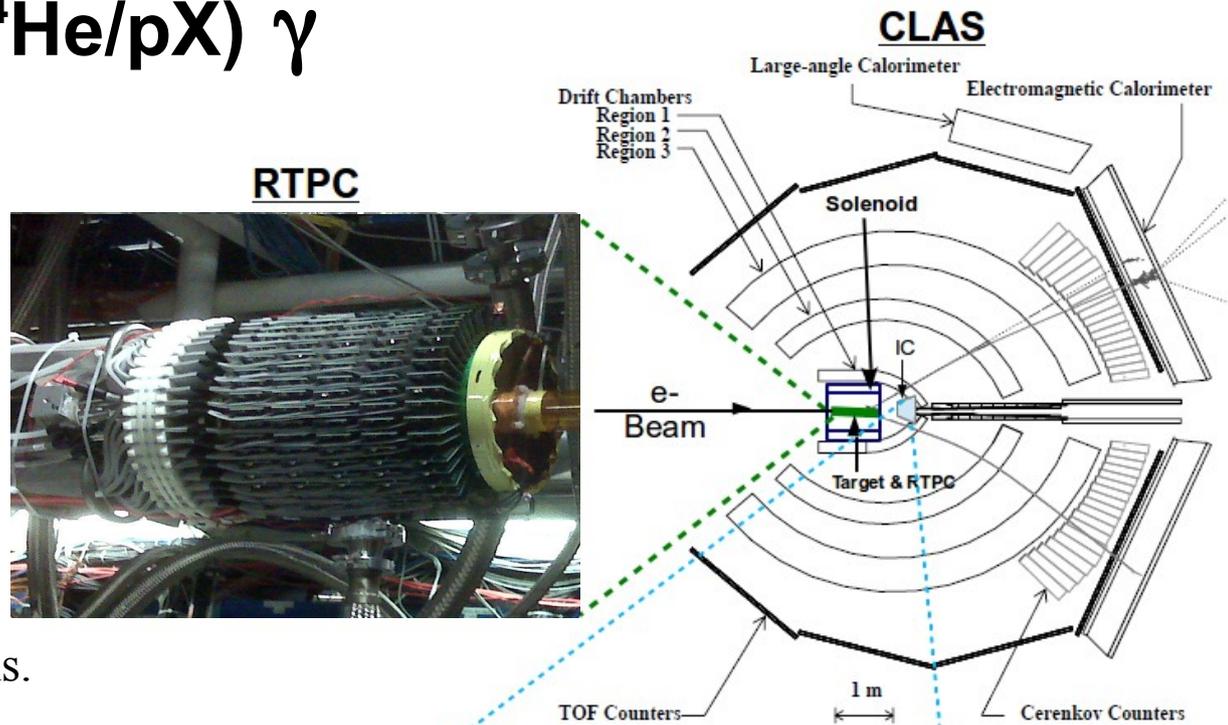
- Superconducting **Torus** magnet.
- 6 independent sectors:
  - **DCs** track charged particles.
  - **CCs** separate  $e^-/\pi^-$ .
  - **TOF Counters** identify hadrons.
  - **ECs** detect  $\gamma$ ,  $e^-$  and  $n$  [ $8^\circ, 45^\circ$ ].

- **IC:** Improves  $\gamma$  detection acceptance [ $4^\circ, 14^\circ$ ].

- **RTPC:** Detects low energy nuclear recoils.

- **Solenoid:** - Shields the detectors from Møller electrons.  
- Enables tracking in the RTPC.

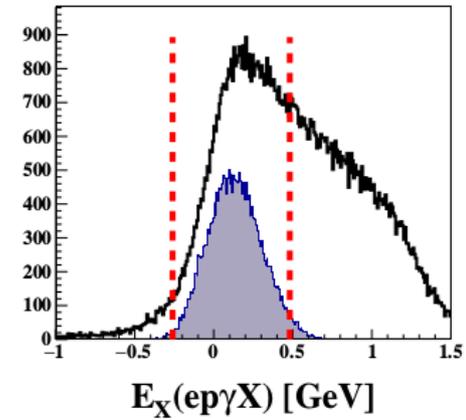
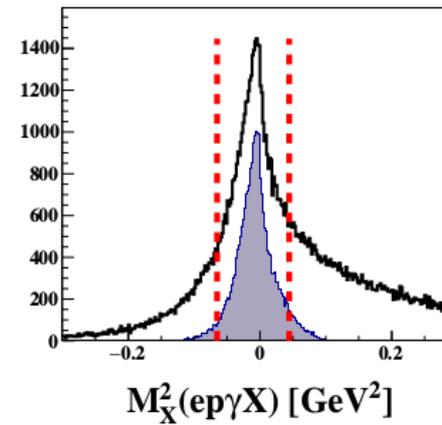
- **Target:**  $^4\text{He}$  gas @ 6 atm, 293 K



# Incoherent DVCS Selection & Asymmetries

## 1. We select events which have:

- ◇ Events with :
  - Only one good electron in CLAS
  - At least one high-energy photon ( $E_\gamma > 2$  GeV)
  - Only one proton in CLAS.
- ◇  $Q^2 > 1$  GeV<sup>2</sup> and  $W > 2$  GeV/c<sup>2</sup>
- ◇ Exclusivity cuts (3 sigmas).



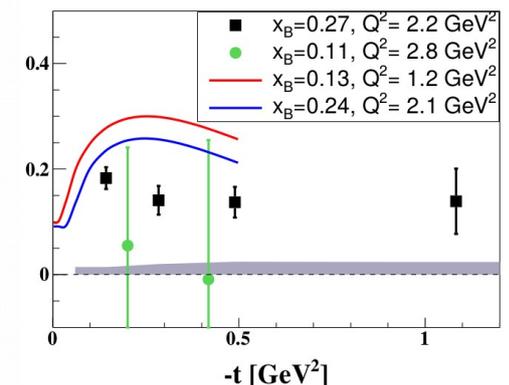
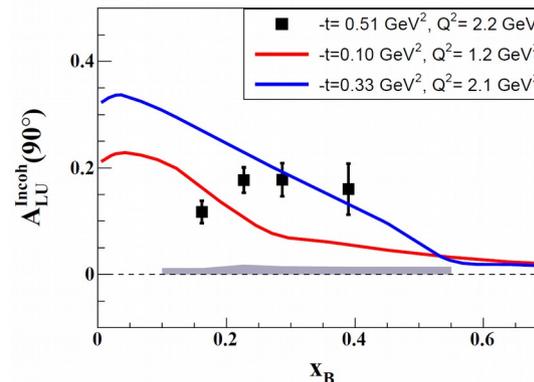
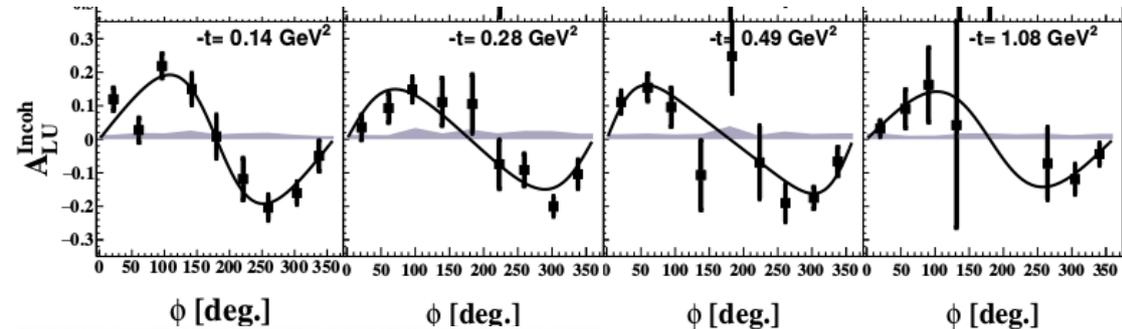
## 2. $\pi^0$ background subtraction (contaminations ~ 8 - 11%)

## 3. Beam-spin asymmetry:

$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$

$$A_{LU} \propto \alpha(\phi) \{F_1 H + \xi(F_1 + F_2) \tilde{H} + \kappa F_2 E\}$$

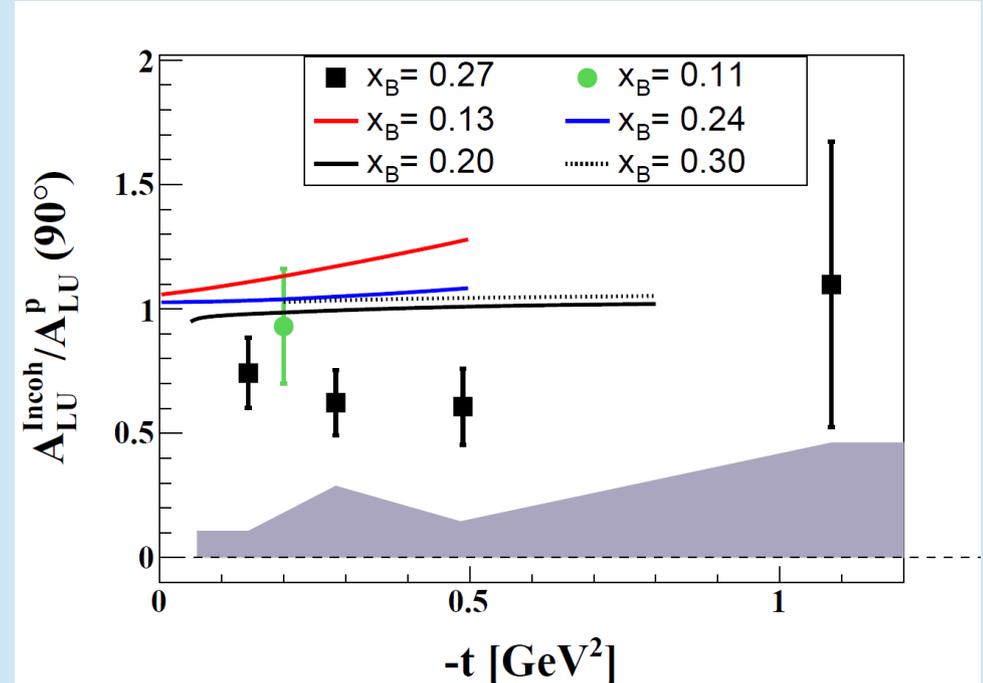
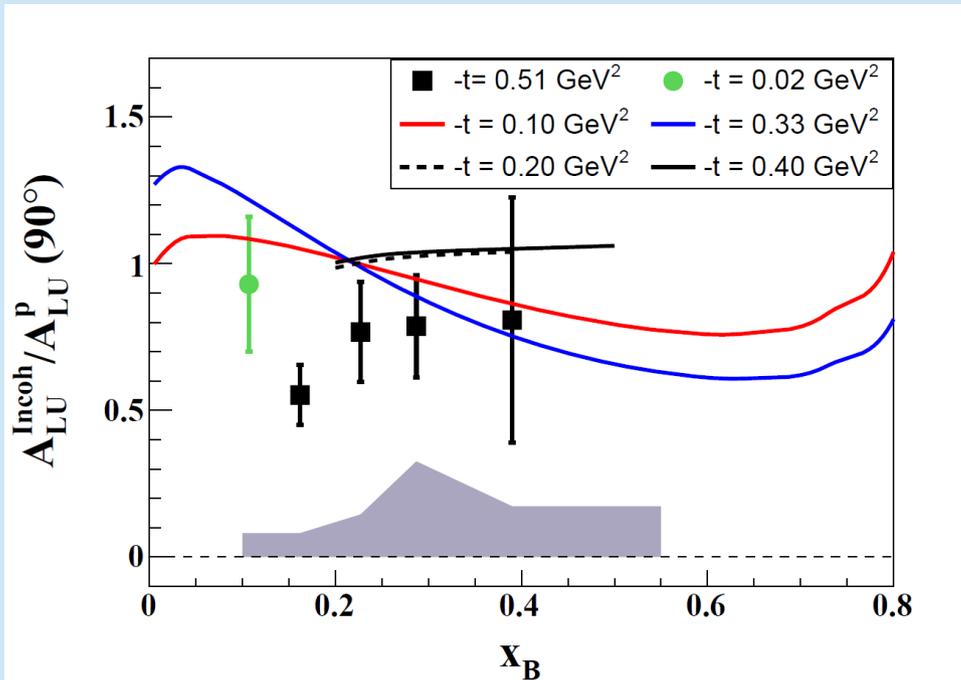
- **2D** bins due to **limited statistics**
- Fits in the form:  $\frac{\alpha * \sin(\phi)}{(1 + \beta * \cos(\phi))}$



[S. Liuti and K. Taneja. PRC 72 (2005) 032201]

# Generalized EMC Ratio

◇ We comparing our measured incoherent asymmetries to the asymmetries measured in CLAS DVCS experiment on free proton



→ **Incoherent/proton** is suppressed compared to both the PWIA and the nuclear spectral function calculations.

[S. Liuti and K. Taneja. PRC 72 (2005) 032201]

[V. Guezy et al., PRC 78 (2008) 025211]

# CLAS12-ALERT Program

## CLAS-E08-024 experiment:

- 2D binning due to limited statistics
- Limited phase-space.

## CLAS12 experimental apparatus:

- High luminosity & large acceptance.
- Measurements of deeply virtual exclusive, semi-inclusive, and inclusive processes.

## We proposed to measure with CLAS12:

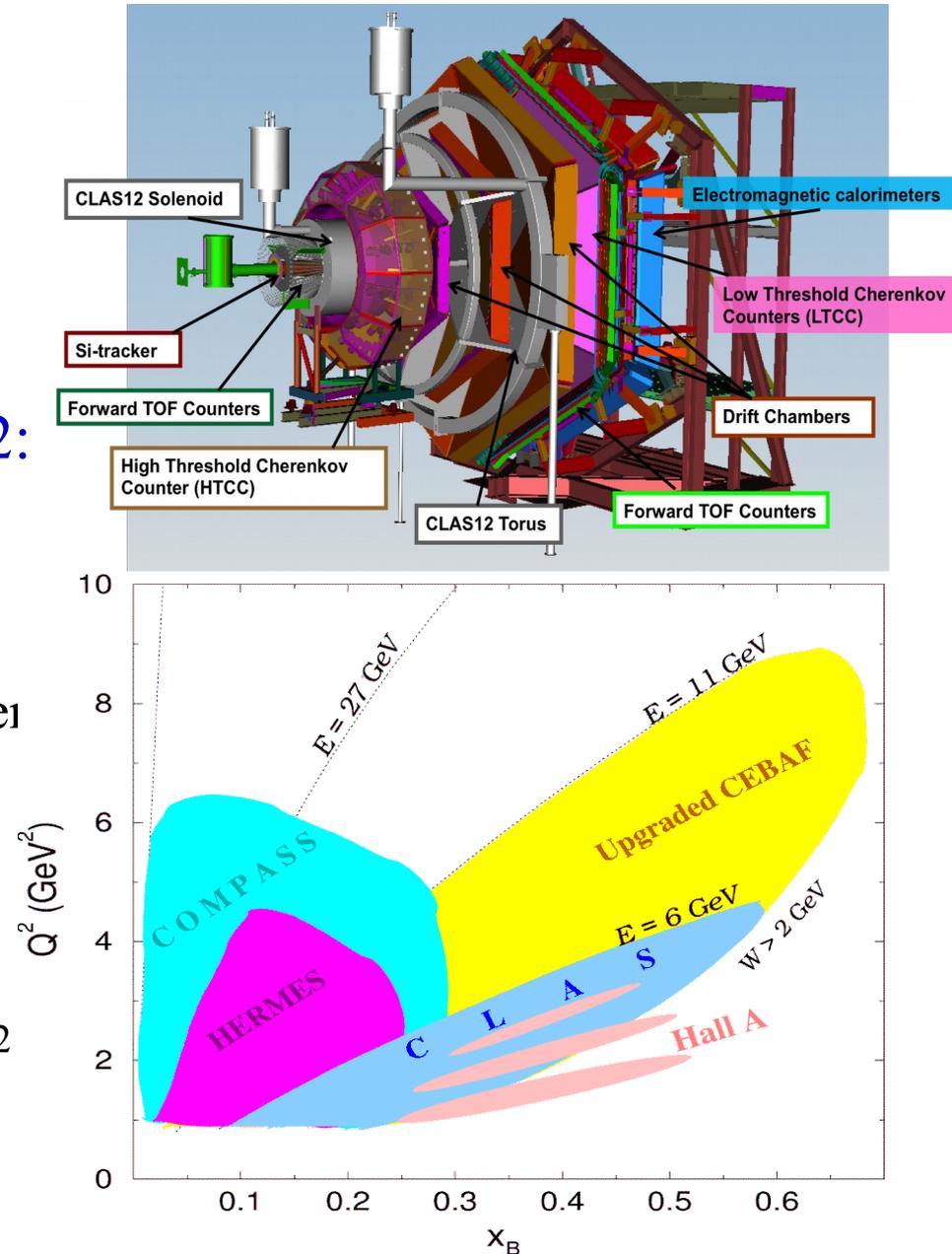
- Partonic Structure of Light Nuclei.
- Tagged EMC Measurements on Light Nuclei.
- Spectator-Tagged DVCS Off Light Nuclei.
- Other Physics Opportunities.

◆ The momentum threshold of the CLAS12 inner tracker is **too high** to be used for our measurements.

## Proposed experimental setup:

- CLAS12 forward detectors.
- A Low Energy Recoil Tracker (ALERT) in place of CLAS12 Central detector (SVT & MVT).

◆ **CLAS12-ALERT** setup will allow **higher statistics** and **wider kinematical coverage**.



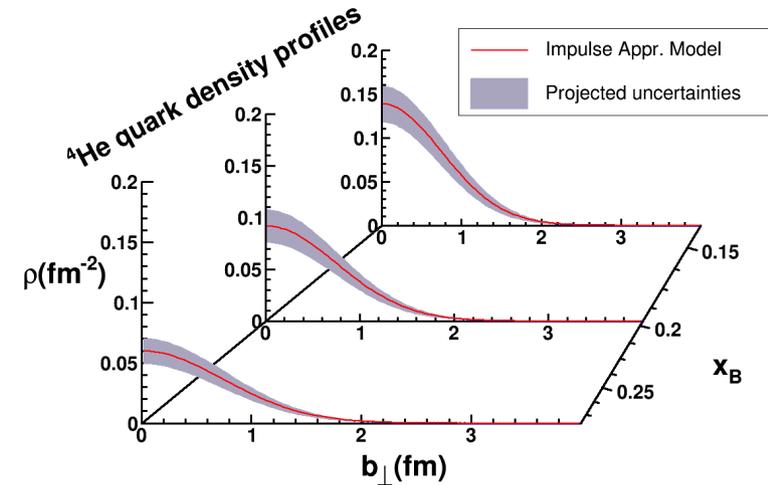
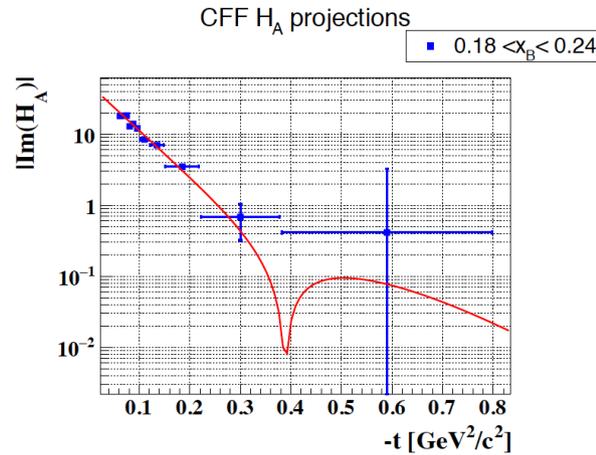
# Partonic Structure of Light Nuclei (PR12-17-012)

- Map the fundamental structure of nuclei within the GPD framework
- Compare the **quark** and **gluon** 3D structure of the Helium nucleus

## $e\ ^4\text{He} \rightarrow e'\ ^4\text{He}' \gamma$ :

- Fully model independent extraction of  $H_A$  CFF from fitting the BSA.
- Fourier transform of  $\text{Im}(H_A)$  at  $\xi=0$  gives probability density of quarks as function of  $x$  and impact parameter.

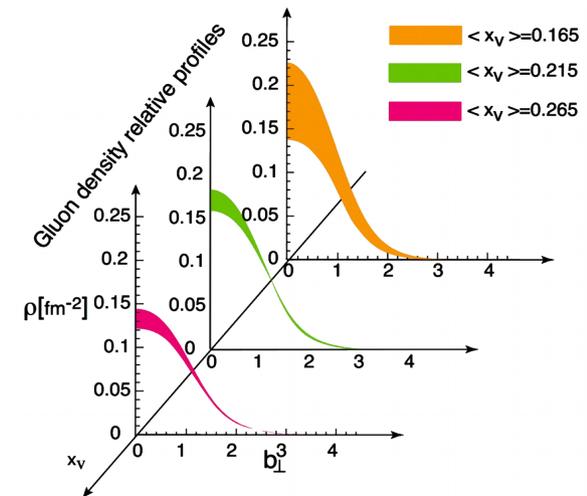
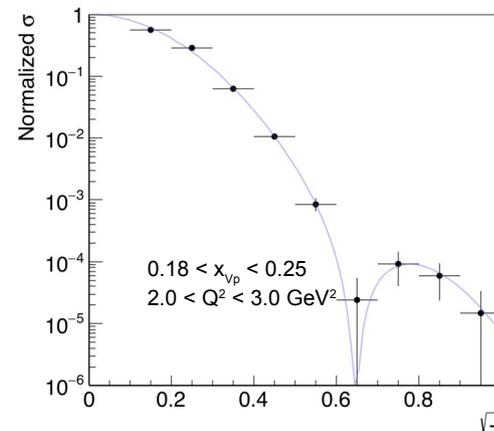
$$\rho(x, 0, b_\perp) = \int_0^\infty J_0(b\sqrt{t}) H^A(x, 0, t) \frac{\sqrt{t}}{2\pi} d\sqrt{t}$$



## $e\ ^4\text{He} \rightarrow e'\ ^4\text{He}' \phi$ :

- Detect recoil  $^4\text{He}$ ,  $e$ , and  $K^+$  (missing  $K^-$ )
- The longitudinal cross-section will be extracted from the angular distribution of the kaon decay in the phi helicity frame.
- Gluon density extraction:

$$\rho_g(x, 0, b_\perp) \rightarrow \int_0^\infty J_0(b\sqrt{t}) \sqrt{\frac{d\sigma_L}{dt}} \frac{\sqrt{t}}{2\pi} d\sqrt{t}$$



Requested PAC days: 20 days at  $3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  + 10 days at  $6 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  + (5 Com.)

# Tagged EMC Measurements (PR12-17-012A)

DIS, with tagged spectator, provides access to new variables and explore links between **EMC effect** and **intranuclear dynamics**

## Tagged DIS provides test for:

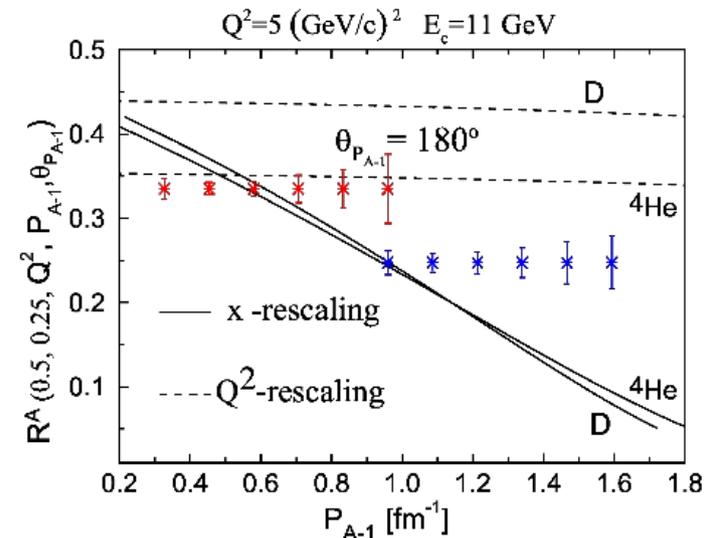
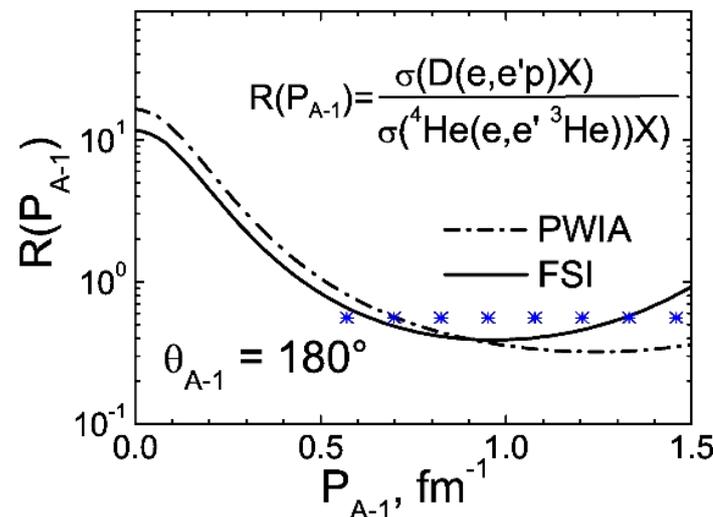
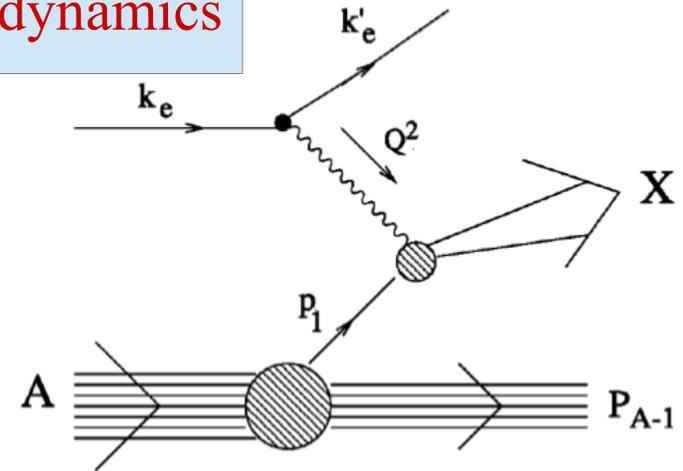
- FSI models over wide momentum and angle ranges.
- EMC effect models:  $x/Q^2$  scaling.
- d/u ratio changes in nuclear medium.

## Comparing D to $^4\text{He}$ is particularly interesting:

- It conserves the nucleus isospin symmetry.
- $^4\text{He}$  is a light nuclei with a sizable EMC effect.
- The two rescaling effects are cleanly separated by the comparison between the two nuclei.
- They complement each other in spectator momentum coverage.

## 40 (+5) PAC days

- 20 on  $^4\text{He}$  ( $3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ).
- 20 on D ( $3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ).



# Spectator-Tagged DVCS On Light Nuclei (PR12-17-012B)

- Probe connection between **partonic** and **nucleonic** interpretations via DVCS
- **Partonic interpretation** and **in-medium hadron tomography** of nucleons
- Study of **Off-Forward EMC** effect in incoherent DVCS

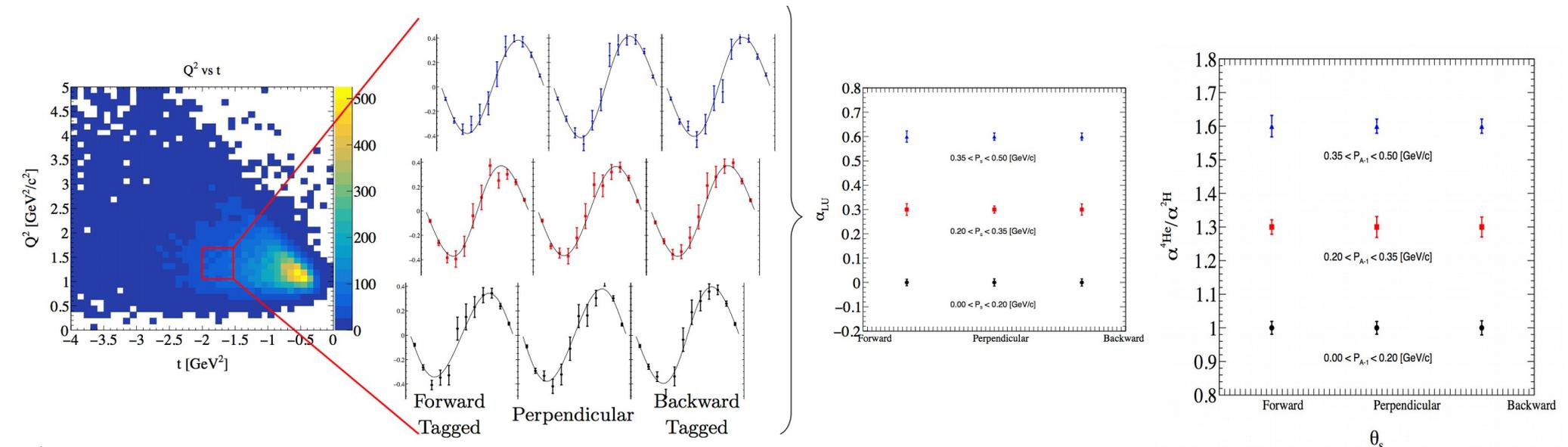
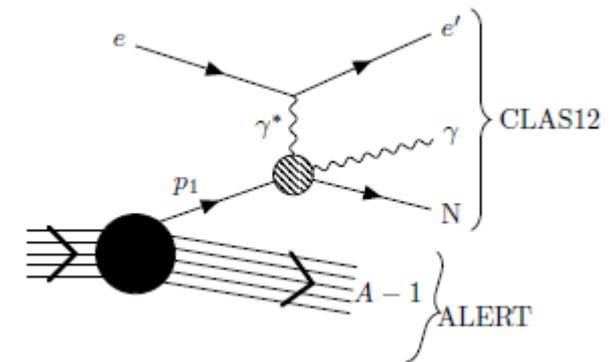
## Bound-p DVCS:

- Fully detected  $ep^3\text{H}$  final state, provides unique opportunity to study FSI, test PWIA, identify kinematics with small/large FSI.

## Bound neutron in $^4\text{He}$ /quasi-free in $^2\text{H}$ :

- $e^3\text{He}(n)$  /  $ep(n)$  final states (p detection down to  $\sim 70$  MeV,  $^3\text{He}$  to  $\sim 120$  MeV).
- Six-dimensional binning ( $Q^2$ ,  $x_B$ ,  $t$ ,  $\phi$ ,  $p_s$ ,  $\theta_s$ ).

## No additional PAC days



# Other Physics Opportunities (PR12-17-012C)

The **three main proposals of the ALERT** run group is only a fraction of the physics that can be achieved by successfully analyzing the ALERT run group data

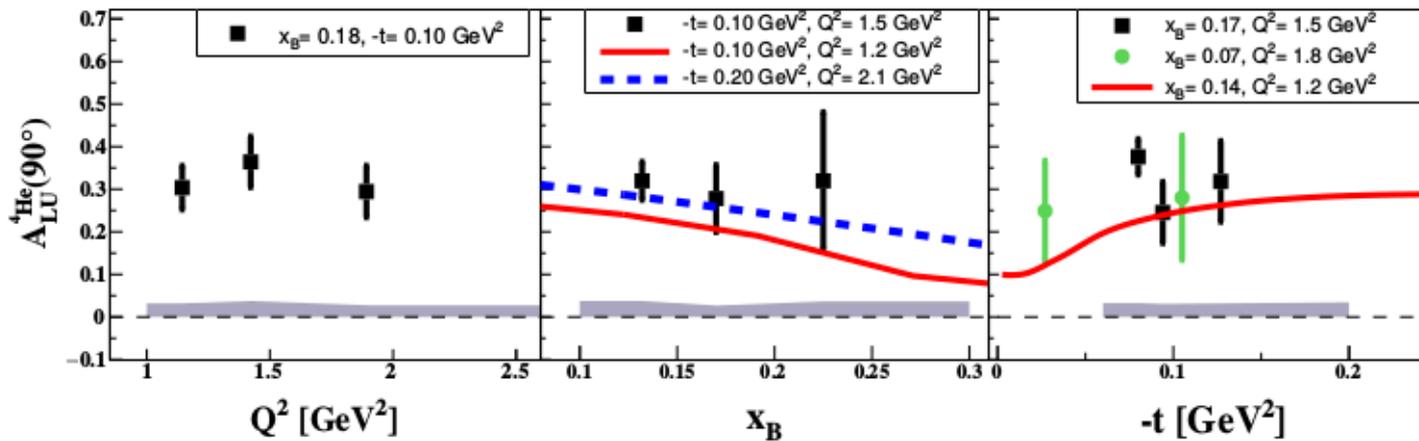
- ▶  $\pi^0$  production off  $^4\text{He}$ 
  - Coherent and incoherent production.
  - Measure BSA, leading to chiral-odd CFFs.
  - Also as a DVCS background.
- ▶ **Coherent DVCS off D**
  - Access to new GPDs,  $H_3$ , with relationships to dueteron charge form factors.
- ▶ **Coherent DVMP off D**
  - $\pi^0$ ,  $\phi$ ,  $\omega$  and  $\rho$  mesons.
- ▶ **Semi-inclusive reaction  $p(e, e'p)X$** 
  - Study the  $\pi^0$  cloud of the proton.
- ▶  **$D(e, e'pp_s)X$** 
  - Study the  $\pi^-$  cloud of the neutron.
- ▶ **More Physics:**
  - Helium GPDs beyond the DVCS at leading order and leading twist.
  - Tagged nuclear form factors measurements.
  - The role of  $\Delta$ s in short-range correlations.
  - The role of the final state interaction in hadronization and medium modified fragmentation functions.
  - The medium modification of the transverse momentum dependent parton distributions.
  - ... and more

# Conclusions & Perspectives

- ◇ **Several decades of elastic and DIS experiments on hadrons** have provided one-dimensional views of hadrons' structure.
- ◇ **We are now exploring the 3D structure of nucleons within the GPD framework**
  - Fifteen years of successful experiments at JLab.
  - Accumulated a wide array of proton data.
  - The first tomography was extracted.
- ◇ **The first exclusive measurement of DVCS off  $^4\text{He}$ :**
  - The bound proton has shown a different trend in the asymmetries compared to the free one indicating the medium modifications of the GPDs and opening up new opportunities to study the EMC effect.
  - We extracted EMC ratios and compared them to theoretical predictions.
- ◇ **CLAS12-ALERT** will provide wider kinematical coverage and better statistics that will:
  - Allow performing  $^4\text{He}$  tomography in terms of quarks and gluons.
  - Allow comparing the gluon radius to the charge radius.
  - Use tagging methods to study EMC effect via DIS measurements.
  - Use Tagged-DVCS techniques to study in-medium nucleon interpretations.
  - Reinforce EIC physics program by proving their usefulness in the valence region.



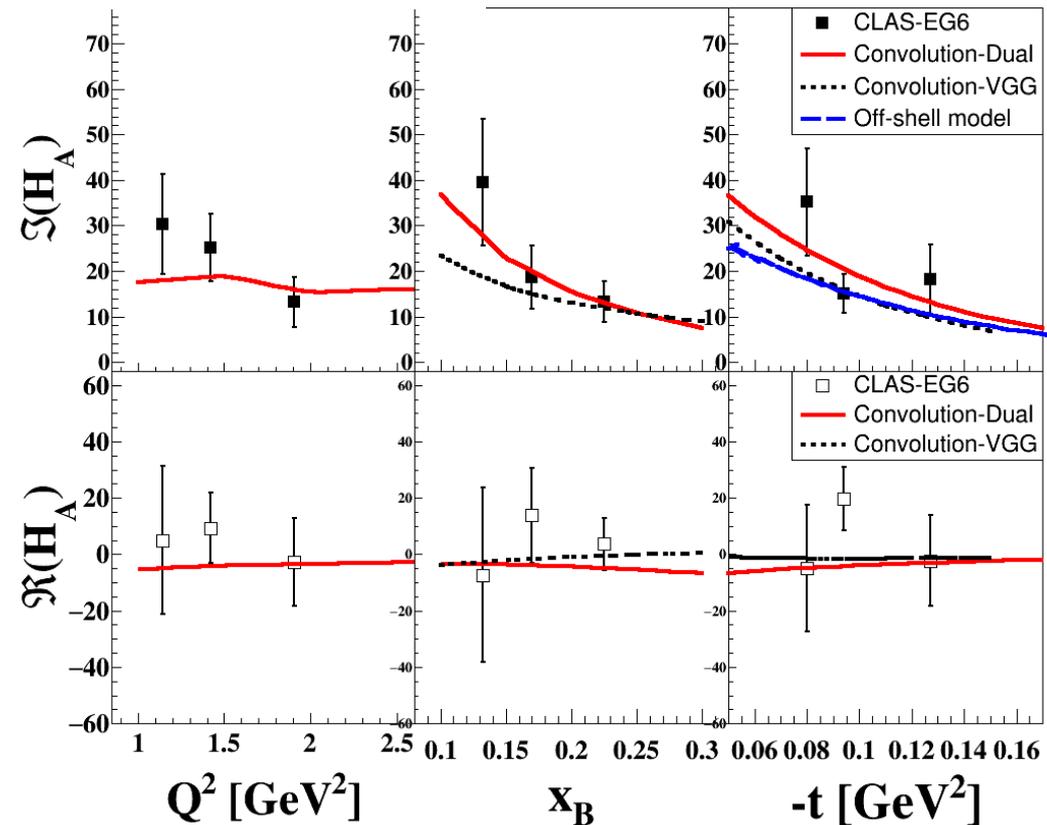
# Coherent $A_{LU}$ and CFFs



[S. Liuti and K. Taneja,  
PRC 72 (2005) 032201]  
[HERMES: A. Airapetian, et al.,  
PRC 81, 035202 (2010)]

- Same  $A_{LU}$  sign as HERMES.
- Asymmetries are in agreement with the available models.
- The first ever experimental extraction of the real and the imaginary parts of the  $^4\text{He}$  CFF. Compatible with the calculations.
- More precise extraction of  $\text{Im}(H_A)$ .

CLAS-EG6: M. Hattawy et al., Phys. Rev. Lett. 119, 202004 (2017)  
Convolution-Dual: V. Guzey, PRC 78, 025211 (2008).  
Convolution-VGG: M. Guidal, M. V. Polyakov, A. V. Radyushkin and M. Vanderhaeghen, PRD 72, 054013 (2005).  
Off-shell model: J. O. Gonzalez-Hernandez, S. Liuti, G. R. Goldstein and K. Kathuria, PRC 88, no. 6, 065206 (2013)



# Incoherent DVCS Selection

## 1. We select events which have:

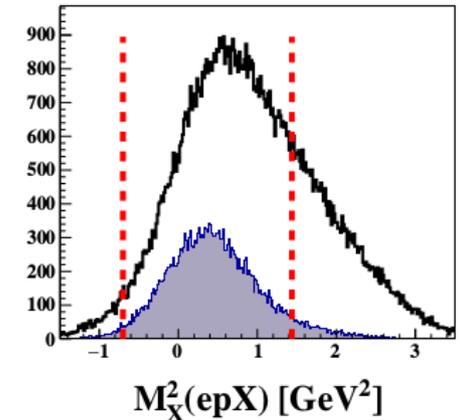
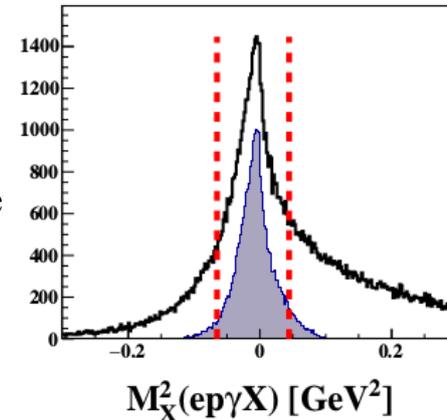
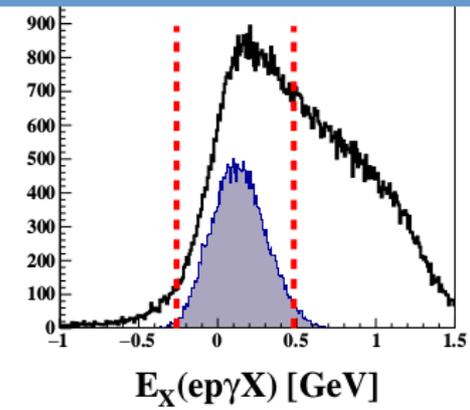
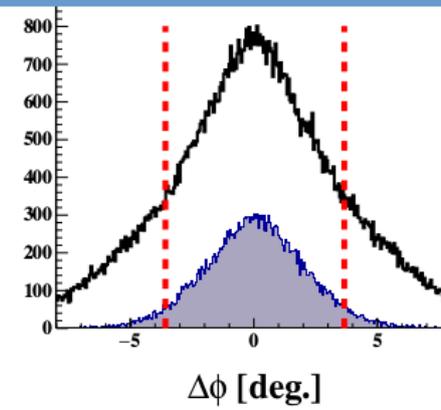
◇ Events with :

- Only one good electron in CLAS
- At least one high-energy photon ( $E_\gamma > 2$  GeV)
- Only one proton in CLAS.

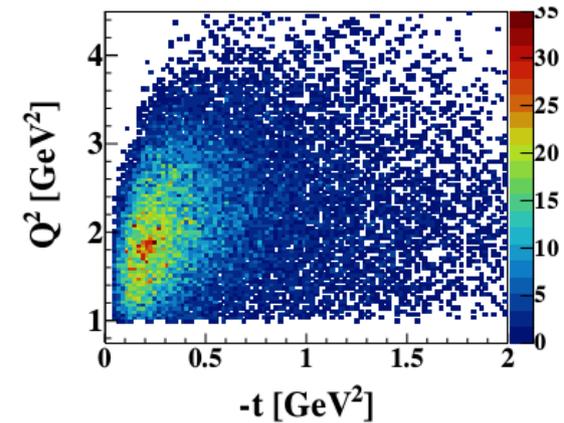
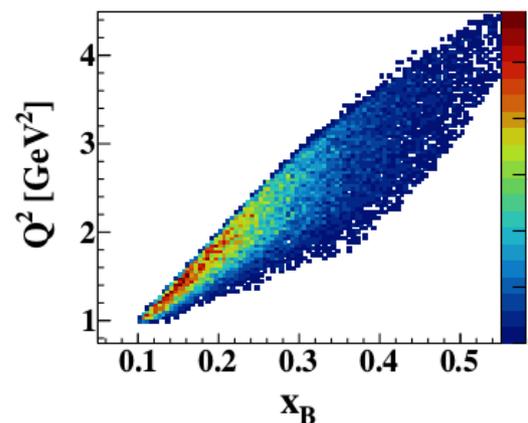
◇  $Q^2 > 1$  GeV<sup>2</sup>

◇ Exclusivity cuts (3 sigmas).

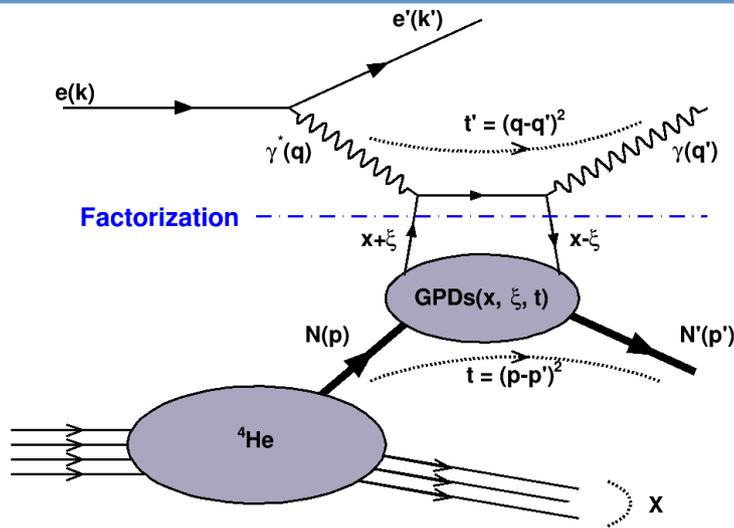
- In Black, **incoherent** events before all exclusivity cuts.
- In shaded gray, **incoherent** DVCS events which pass all the other exclusivity cuts **except** the one on the quantity itself.



## 2. $\pi^0$ background subtraction based on data and simulation (contaminations $\sim 8 - 11\%$ )



# Incoherent Beam-Spin Asymmetry Fitting

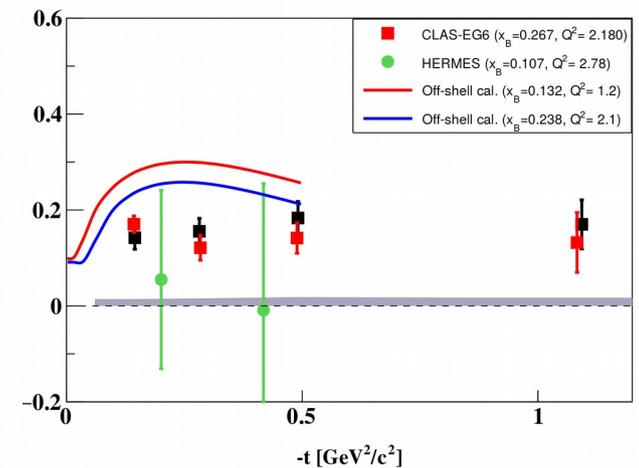
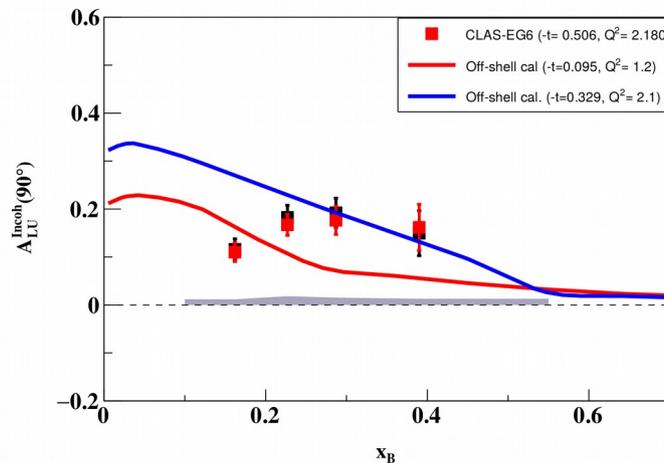
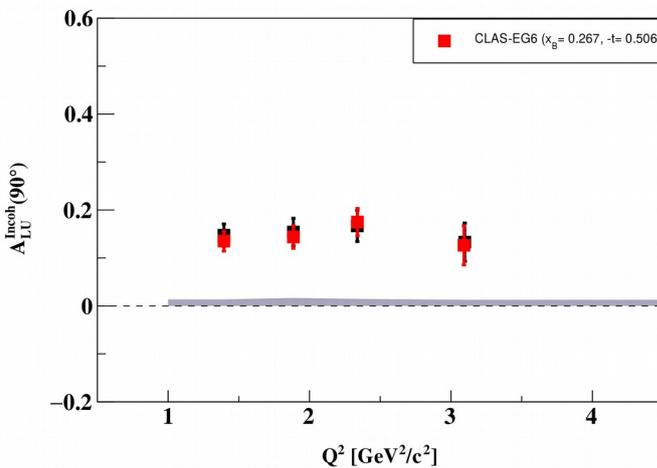


$$A_{LU} = \frac{d^4\sigma^+ - d^4\sigma^-}{d^4\sigma^+ + d^4\sigma^-} = \frac{1}{P_B} \frac{N^+ - N^-}{N^+ + N^-}$$

$$A_{LU} \propto \alpha(\phi) \{F_1 H + \xi(F_1 + F_2) \tilde{H} + \kappa F_2 E\}$$

- **2D** bins due to **limited statistics**
- Systematic uncertainties ( $\sim 10\%$ ) dominated by exclusivity cuts ( $\sim 6\%$ ) and large phi binning ( $\sim 7\%$ )
- Fits in the form: 
$$\frac{\alpha * \sin(\phi)}{(1 + \beta * \cos(\phi))}$$

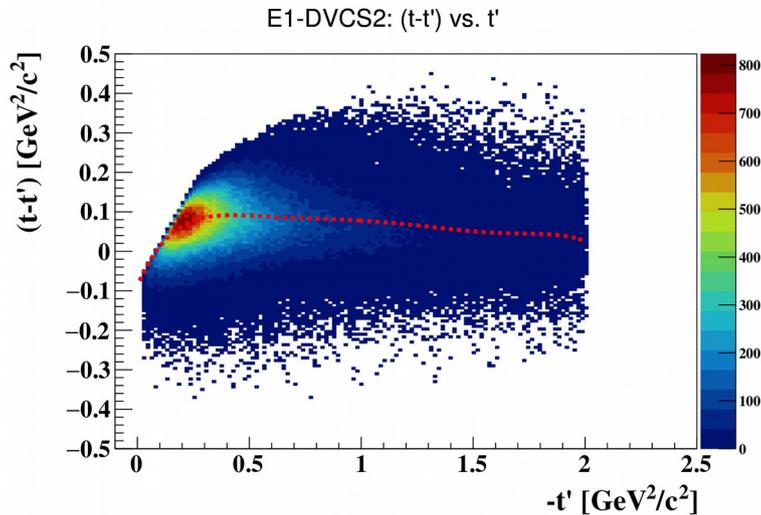
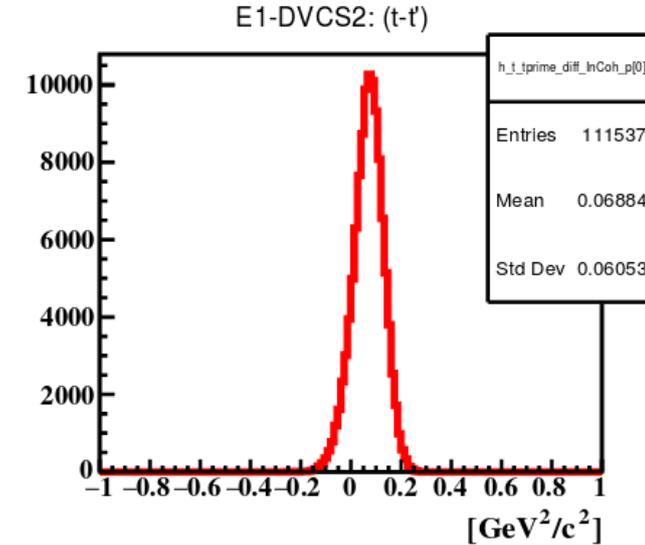
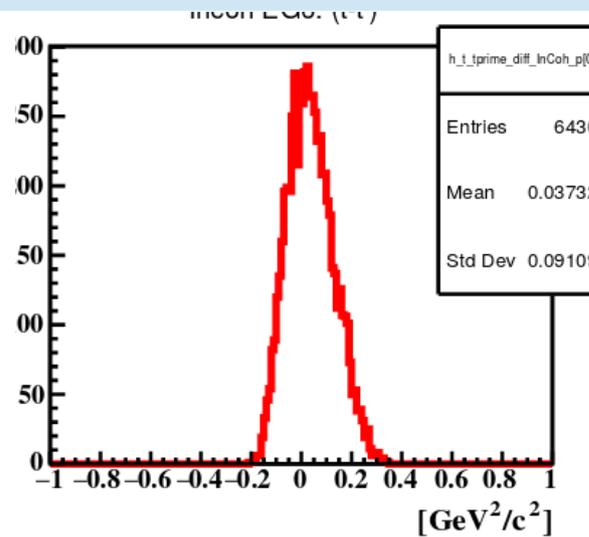
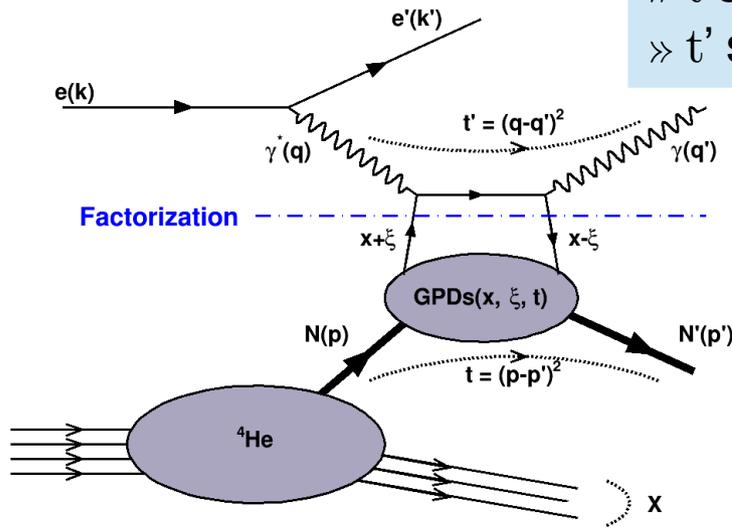
- **bins in  $t'$** : smeared due to radiative effects
- **bins in  $t$** : smeared due to Fermi motion



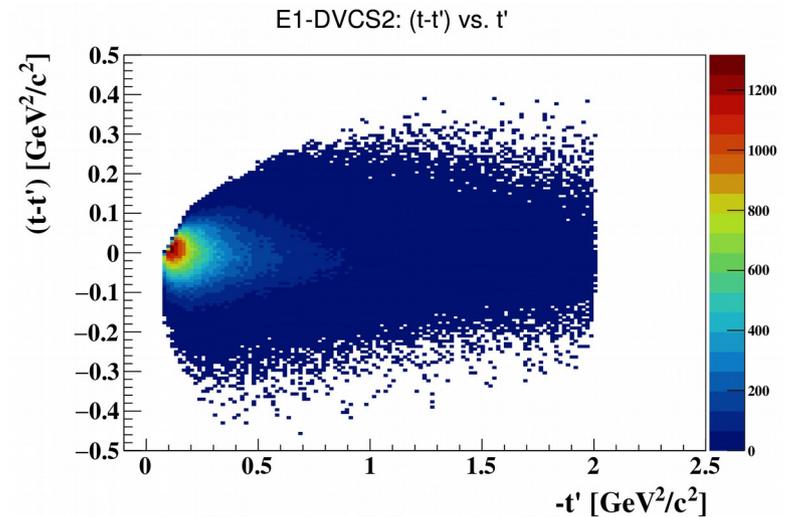
# Fermi Motion Effect on the Incoherent Channel

- » typically  $t = t'$
- »  $t$  suffers from fermi motion
- »  $t'$  suffers from radiative effects

Evaluate the size radiative effects from free proton dvcs data (E1-DVCS1&2)



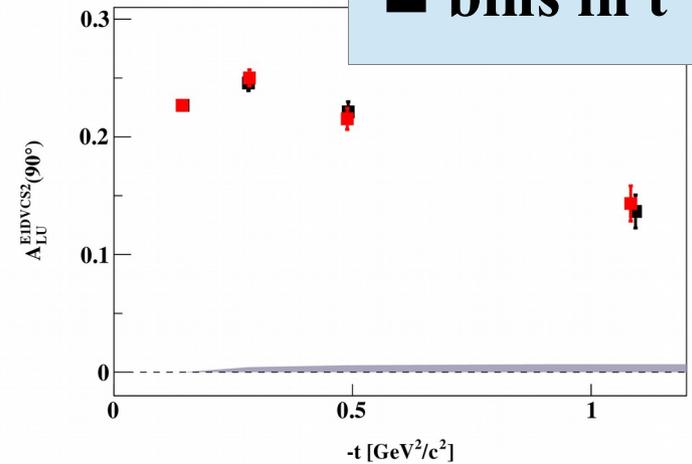
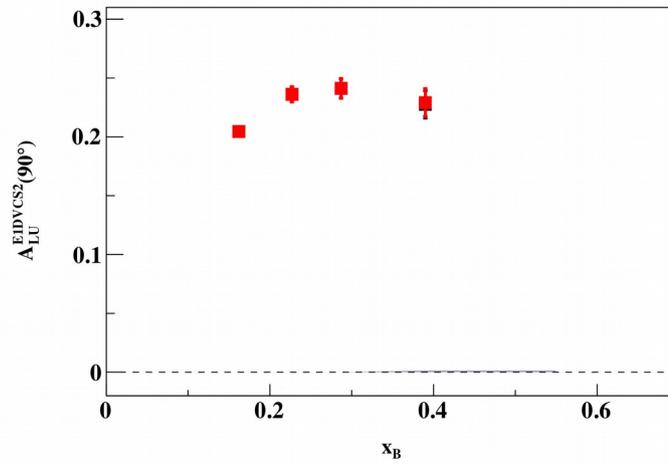
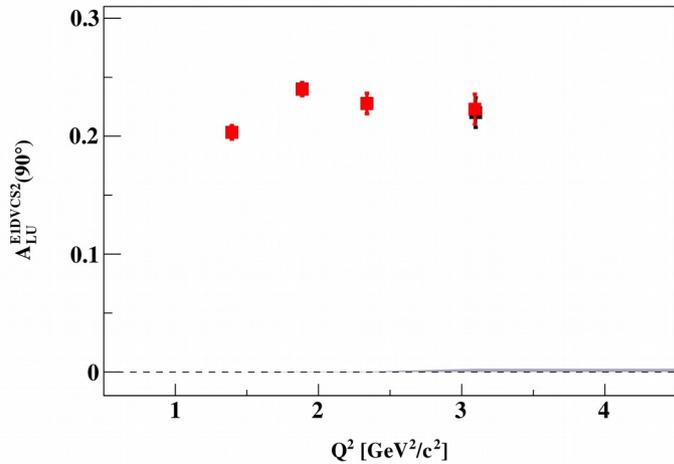
Corrections for radiative effects



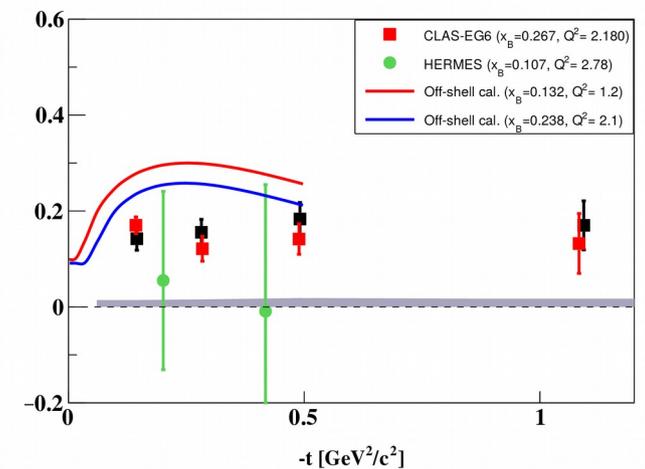
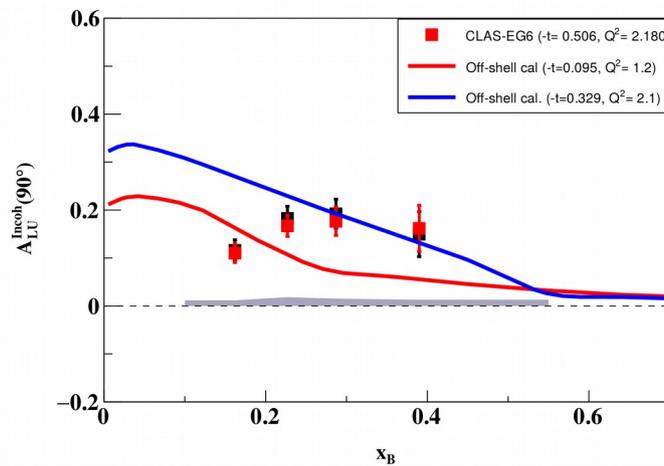
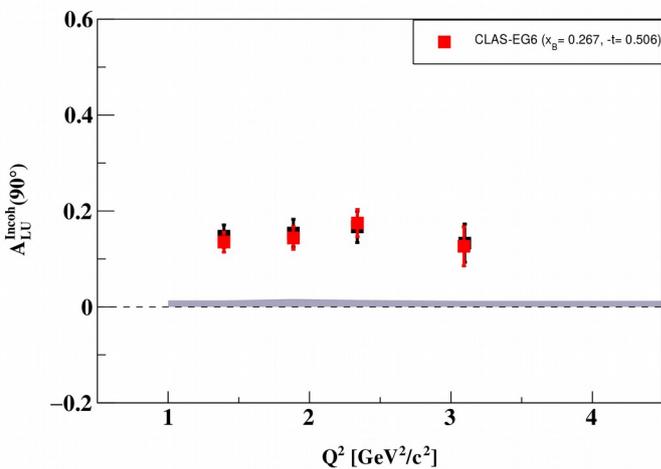
# Fermi Motion Effect on the Incoherent Channel

- Induced systematic uncertainties based on free proton data

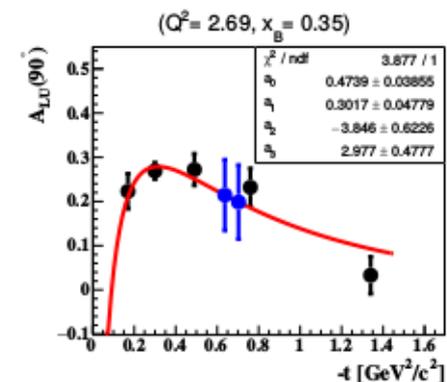
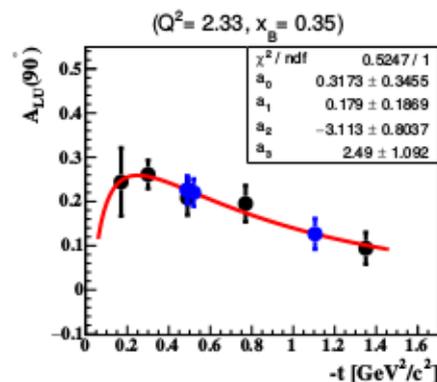
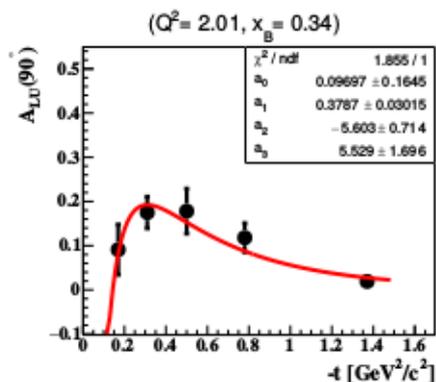
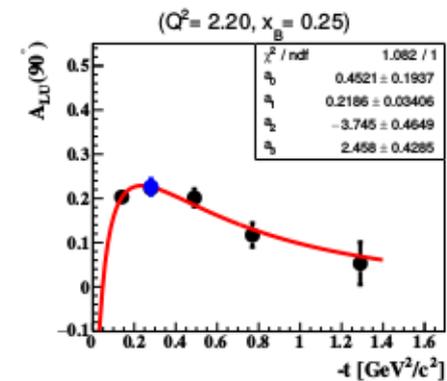
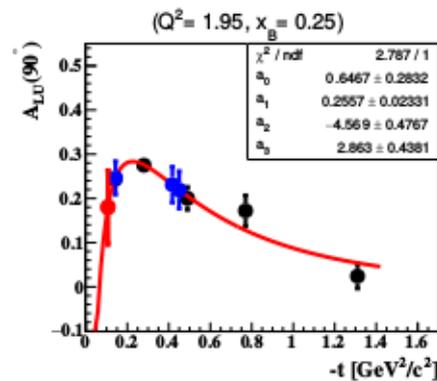
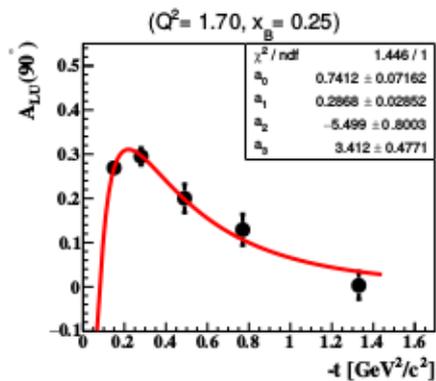
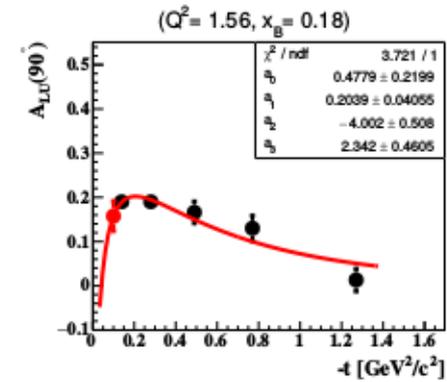
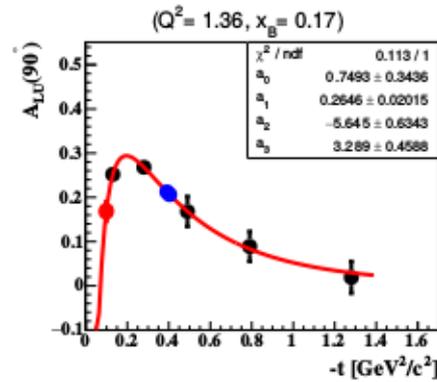
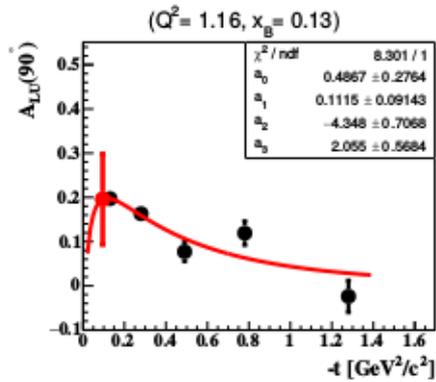
■ bins in  $t'$   
 ■ bins in  $t$



- EG6 incoherent DVCS  $A_{LU}^{Incoh} @ \varphi = 90^\circ$



# Free Proton $A_{LU}$ Fitting



# ALERT Detector

## ◆ Cylindrical target:

- 30 cm long
- 6 mm outer radius.
- Target at 3 atm pressure.
- 25 $\mu$ m target wall (Kapton).

## ◆ A clear space filled with helium

to reduce secondary scattering from the high rate Moller electrons ( $R_{\text{out}} = 30$  mm).

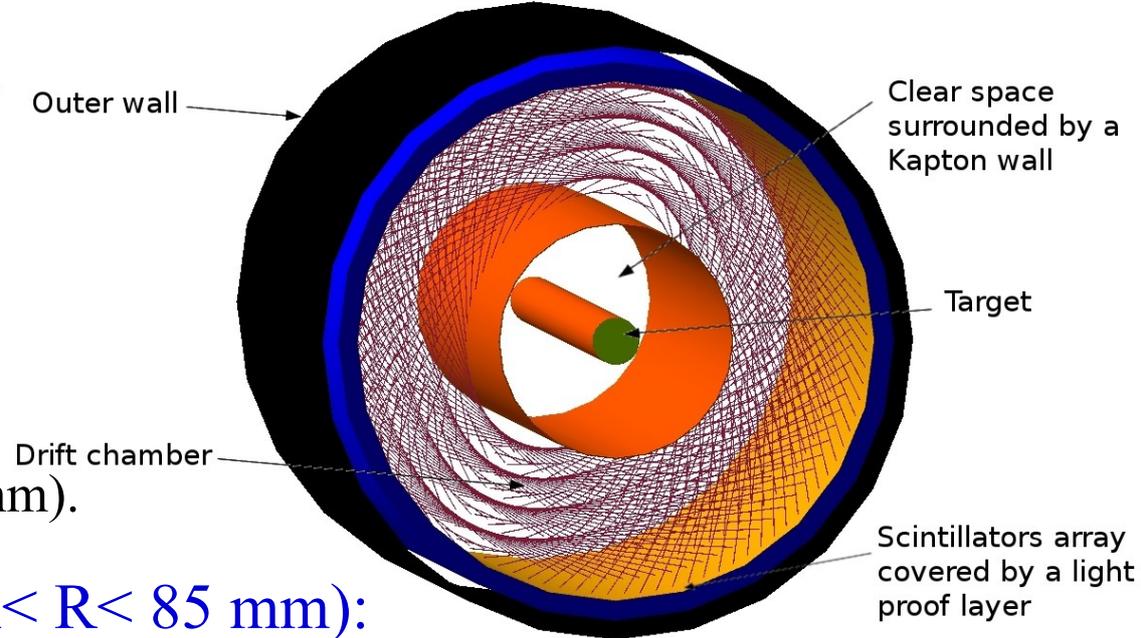
## ◆ Hyperbolic drift chamber ( $32$ mm $< R < 85$ mm):

→ Will detect the trajectory of the low energy nuclear recoils.

- 8 circular layers of 2mm hexagonal cells.
- 10° stereo-angle to give z-resolution.
- Total of 2600 wires, < 600 kg tension.
- Maximum drift time ~ 250 ns, will be included in the trigger.

## ◆ Two rings of plastic scintillators (Total thickness of 20 mm, SIPMs directly attached):

→ TOF (< 150 ps resolution) and deposited energy measurements.



→ Separate protons, deuterium, tritium, alpha,  $^3\text{He}$